

LISTS

Gustavo Carvalho
(ghpc@cin.ufpe.br)

Universidade Federal de Pernambuco
Centro de Informática, 50740-560, Brazil



Agenda

1 Abstract data types and data structures

2 List ADT

3 List ADT as an array

4 List ADT as a linked list

5 Asymptotic efficiency of lists

6 Bibliography



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Abstract data types and data structures

Important concepts

- **Type**: a collection of **values**
- **Simple** vs. **composite** type
- **Data type**: a type and operations to manipulate the type
- **Abstract data type** (ADT): a data type as a software component
- **Data structure**: implementation for an ADT
- **Multiple** implementations for the same ADT

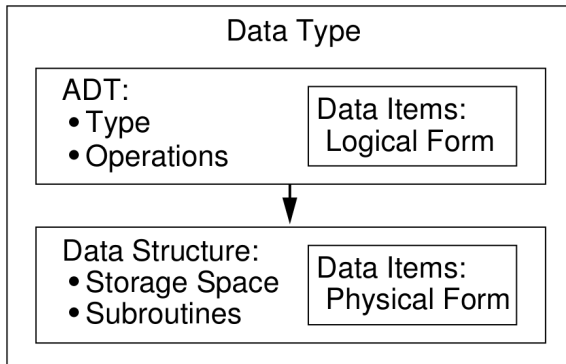


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Abstract data types and data structures¹



¹ Source: C. Shaffer. Data Structures and Algorithm Analysis. 2013.

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List ADT

Mathematical abstraction

- Sequences of homogenous elements
- A symbol $|$ to denote the current position of the cursor

Example

- Let $l = \langle 20, 23 \mid 12, 15 \rangle$
- After **inserting** 10 in l , we have $l' = \langle 20, 23 \mid 10, 12, 15 \rangle$
- After **removing** an element from l , we have $l'' = \langle 20, 23 \mid 12, 15 \rangle$



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List ADT

Operations (let E be an **arbitrary** data type):

- void clear(List l);
- void insert(List l, E item);
- void append(List l, E item);
- E remove(List l);
- void moveToStart(List l);
- void moveToEnd(List l);
- void prev(List l);
- void next(List l);
- int length(List l);
- int currPos(List l);
- void moveToPos(List l, int pos);
- E getValue(List l);



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Declaring an ADT in C

Type and operations as prototypes in a .h file

- A function prototype to create an instance of the ADT
- Provide to the functions the values to be manipulated (`List* l`)

```

1  #ifndef _LIST_H
2  #define _LIST_H
3
4  typedef struct list List;
5  List* create_list(int size); // Creates a new list
6  void clear(List* l);
7  void insert(List* l, E item);
8
9  // ...
10 #endif
  
```



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Declaring an ADT in Java

Typically, an **interface**, in addition to operations as **abstract methods**

- **No need** of a function prototype to create an instance of the ADT
- **No need** to provide to the methods the values to be manipulated

```
1 public interface List {  
2  
3     public void clear();  
4     public void insert(E item);  
5     // ...  
6  
7 }
```



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Operations implemented in the logical level

We might have operations implemented in the **logical level**

- **Same implementation** regardless of data structure

Algorithm: boolean find(List l, int k)

```
1  moveToStart(l);
2  while currPos(l) < length(l) do
3      if k = getValue(l) then
4          return true;
5      next(l);
6  return false;
```



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Operations implemented in the logical level

Concrete functions defined in the *.h file

```
1  #ifndef _LIST_H
2  #define _LIST_H
3  // ...
4
5  bool find(List* l, int k) {
6      moveToStart(l);
7      while(currPos(l) < length(l)) {
8          if (k == getValue(l)) { return true; }
9          next(l);
10     }
11     return false;
12 }
13 #endif
```



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Operations implemented in the logical level

Concrete methods defined in an abstract class

```
1 public abstract class List {  
2     // ...  
3  
4     public boolean find(int k) {  
5         this.moveToStart();  
6         while (this.currPos() < this.length()) {  
7             if (k == this.getValue()) { return true; }  
8             this.next();  
9         }  
10        return false;  
11    }  
12 }
```



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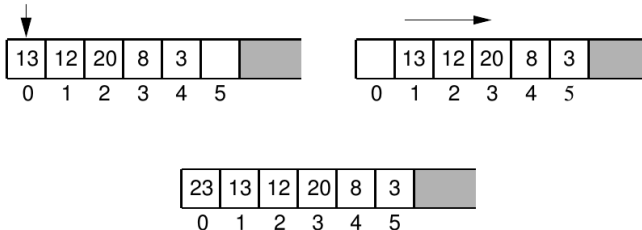


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List ADT implemented as an array²

Insertion

Insert 23:



Deletion

■ Analogous implementation

²Source: C. Shaffer. Data Structures and Algorithm Analysis. 2013.



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List ADT implemented as an array

Composite type:

```

1  int maxSize;                                // capacity
2  int listSize;                                // number of elements
3  int curr;                                    // position of the cursor
4  E[] listArray;                             // array with values

```

Algorithm: List create_list(int size)

```

1  l.maxSize  $\leftarrow$  size;
2  l.listSize  $\leftarrow$  l.curr  $\leftarrow$  0;
3  l.listArray  $\leftarrow$  new E[size];
4  return l;

```



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List ADT implemented as an array (C)

Data structure: defined in a **.c** file

```

1  #include "list.h"
2  typedef struct list {
3      int maxSize; int listSize; int curr; E* listArray;
4  } List;
5
6  List* create_list(int size) {
7      List* l = (List*) malloc(sizeof(List));
8      l->maxSize = size;
9      l->listSize = l->curr = 0;
10     l->listArray = (E*) malloc(size * sizeof(E));
11     return l;
12 }
13 // ...

```



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List ADT implemented as an array (Java)

Data structure: defined in a **concrete class**

```

1  public class ArrayList implements List { // List: an interface
2
3      private int maxSize; private int listSize;
4      private int curr; private E[] listArray;
5
6      // The constructor has the role of ``create_list``
7      public ArrayList(int size) {
8          this.maxSize = size;
9          this.listSize = this.curr = 0;
10         this.listArray = new E[size];
11     }
12     //...
13 }
```



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List ADT implemented as an array: $\langle | \rangle \rightsquigarrow \langle | 7 \rangle$

Algorithm: void insert(List l, E it)

```

1  if l.listSize  $\geq$  l.maxSize then error;
2  i  $\leftarrow$  l.listSize;
3  while i > l.curr do
4      l.listArray[i]  $\leftarrow$  l.listArray[i - 1];           // shift right
5      i--;
6  l.listArray[l.curr]  $\leftarrow$  it;
7  l.listSize++;

```

insert(1, 7), just after create_list(5) (c denotes curr)

index:	0	1	2	3	4
value:	-	-	-	-	-
	c				



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insert(1, 7), just after create_list(5) (c denotes curr)

index:	0	1	2	3	4
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	c				
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1  if l.listSize  $\geq$  l.maxSize then error;
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5      i--;
6  l.listArray[l.curr]  $\leftarrow$  it;
7  l.listSize++;

```

insert(1, 7), just after create_list(5) (c denotes curr)

index:	0	1	2	3	4
value:	7	-	-	-	-
	c				
	i				



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List ADT implemented as an array: $\langle 7 \rangle \rightsquigarrow \langle 5, 7 \rangle$

Algorithm: void insert(List l, E it)

```

1  if l.listSize ≥ l.maxSize then error;
2  i ← l.listSize;
3  while i > l.curr do
4      l.listArray[i] ← l.listArray[i - 1];           // shift right
5      i--;
6  l.listArray[l.curr] ← it;
7  l.listSize++;

```

insert(1, 5)

index:	0	1	2	3	4
value:	7	-	-	-	-
	c				
		i			



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4      l.listArray[i]  $\leftarrow$  l.listArray[i - 1];           // shift right
5      i--;
6  l.listArray[l.curr]  $\leftarrow$  it;
7  l.listSize++;

```

insert(1, 5)

index:	0	1	2	3	4
value:	7	7	-	-	-
	c				
		i			



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5      i--;
6  l.listArray[l.curr]  $\leftarrow$  it;
7  l.listSize++;

```

insert(1, 5)

index:	0	1	2	3	4
value:	7	7	-	-	-
	c				
	i				



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5      i--;
6  l.listArray[l.curr]  $\leftarrow$  it;
7  l.listSize++;

```

insert(1, 5)

index:	0	1	2	3	4
value:	5	7	-	-	-
	c				
	i				



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List ADT implemented as an array

Algorithm: void moveToStart(List l)

1 $l.curr \leftarrow 0;$

Algorithm: void moveToEnd(List l)

1 $l.curr \leftarrow l.listSize;$

Algorithm: void prev(List l)

1 **if** $l.curr \neq 0$ **then** $l.curr--;$

Algorithm: void next(List l)

1 **if** $l.curr < l.listSize$ **then** $curr++;$



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List ADT implemented as an array: $\langle 2|5,7 \rangle \rightsquigarrow \langle 2|7 \rangle$

Algorithm: E remove(List l)

```

1  if  $l.curr < 0 \vee curr \geq listSize$  then return NULL;
2  E  $it \leftarrow l.listArray[l.curr]$ ;  $i \leftarrow l.curr$ ;
3  while  $i < l.listSize - 1$  do
4       $l.listArray[i] \leftarrow l.listArray[i + 1]$ ;           // shift left
5       $i++$ ;
6   $l.listSize--$ ;
7  return  $it$ ;

```

`remove(1)`, just after `insert(1,2)` ; `next(1)`

index:	0	1	2	3	4
value:	2	5	7	-	-
		C			



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3  while  $i < l.listSize - 1$  do
4       $l.listArray[i] \leftarrow l.listArray[i + 1]$ ;           // shift left
5       $i++$ ;
6   $l.listSize--$ ;
7  return  $E$ ;

```

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index:	0	1	2	3	4
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4       $l.listArray[i] \leftarrow l.listArray[i + 1]$ ;           // shift left
5       $i++$ ;
6   $l.listSize--$ ;
7  return  $it$ ;

```

`remove(1)`, just after `insert(1,2)` ; `next(1)`

index:	0	1	2	3	4
value:	2	7	7	-	-
		c			
			i		



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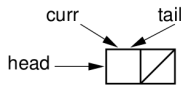
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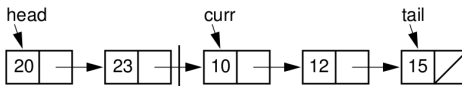
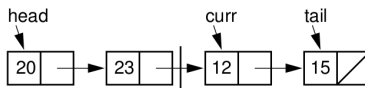
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List ADT implemented as a linked list³

Empty list: **header** node vs. space cases (insert and remove)

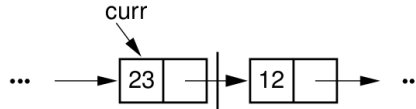


Attention to where **curr** is pointing to (not as follows)



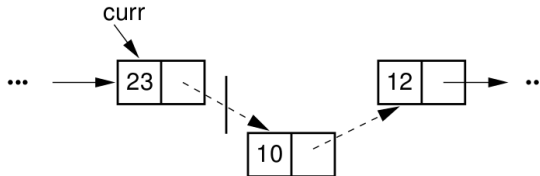
³Source: C. Shaffer. Data Structures and Algorithm Analysis. 2013.

List ADT implemented as a linked list: insertion⁴



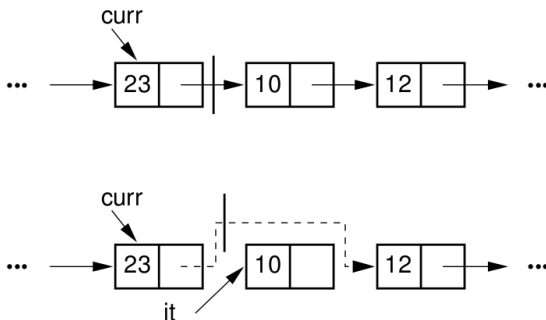
Insert 10:

10	
----	--



⁴Source: C. Shaffer. Data Structures and Algorithm Analysis. 2013.

List ADT implemented as a linked list: deletion⁵



Important: memory deallocation

- With no garbage collection: **user's** duty
- With garbage collection: **GC's** duty

⁵Source: C. Shaffer. Data Structures and Algorithm Analysis. 2013.

List ADT implemented as a linked list

Composite type (Link):

```

1  E element;           // value stored in this link/node
2  Link next;          // reference to the next link/node

```

Algorithm: Link create_link(E it, Link nextval)

```

1  n.element  $\leftarrow$  it; n.next  $\leftarrow$  nextval; return n;

```

Algorithm: Link create_link(Link nextval)

```

1  n.next  $\leftarrow$  nextval; return n;

```



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List ADT implemented as a linked list

Composite type (List):

```

1  Link head;
2  Link tail;
3  Link curr;
4  int cnt;                                // list size

```

Algorithm: List create_list()

```

1  l.curr  $\leftarrow$  l.tail  $\leftarrow$  l.head  $\leftarrow$  create_link(NULL);    // header node
2  l.cnt  $\leftarrow$  0;
3  return l;

```



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List ADT implemented as a linked list: $\langle | \rangle \rightsquigarrow \langle | 7 \rangle$

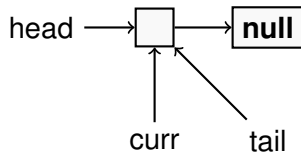
Algorithm: void insert(List l, E it)

```

1  l.curr.next  $\leftarrow$  create_link(it, l.curr.next);
2  if l.tail = l.curr then l.tail  $\leftarrow$  l.curr.next;
3  l.cnt++;

```

`insert(1, 7)`, just after `create_list()` (empty node: **header**)



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List ADT implemented as a linked list: $\langle | \rangle \rightsquigarrow \langle | 7 \rangle$

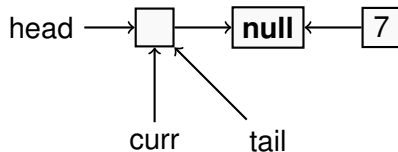
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1  l.curr.next  $\leftarrow$  create_link(it, l.curr.next);
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```

insert(1, 7), just after create_list() (empty node: header)



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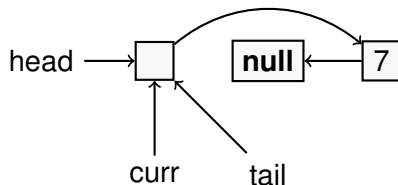
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2  if l.tail = l.curr then l.tail  $\leftarrow$  l.curr.next;
3  l.cnt++;

```

insert(1, 7), just after *create_list*() (empty node: header)



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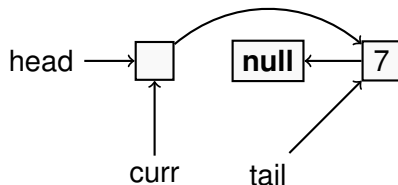
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insert(1, 7), just after create_list() (empty node: header)



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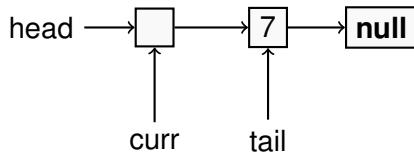
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```

1  l.curr.next  $\leftarrow$  create_link(it, l.curr.next);
2  if l.tail = l.curr then l.tail  $\leftarrow$  l.curr.next;
3  l.cnt++;

```

Better presented as follows



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List ADT implemented as a linked list: $\langle |7\rangle \rightsquigarrow \langle |5,7\rangle$

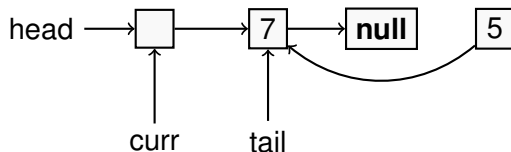
Algorithm: void insert(List l, E it)

```

1  l.curr.next  $\leftarrow$  create_link(it, l.curr.next);
2  if l.tail = l.curr then l.tail  $\leftarrow$  l.curr.next;
3  l.cnt++;

```

insert(l, 5)



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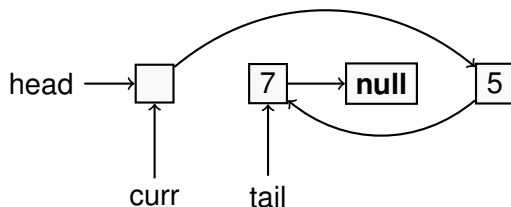
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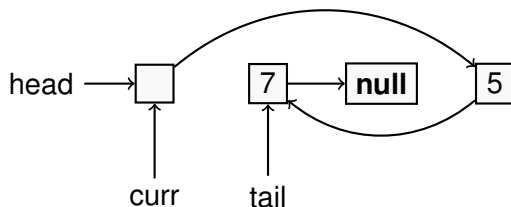
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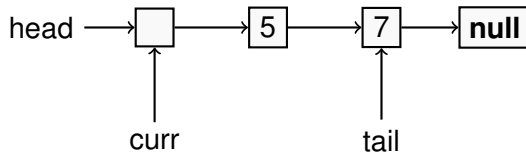
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List ADT implemented as a linked list

Algorithm: void moveToStart(List l)

1 $l.curr \leftarrow l.head;$

Algorithm: void prev(List l)

1 **if** $l.curr = l.head$ **then return;**
 2 Link $temp \leftarrow l.head;$
 3 **while** $temp.next \neq l.curr$ **do** $temp \leftarrow temp.next;$
 4 $l.curr \leftarrow temp;$

Algorithm: void next(List l)

1 **if** $l.curr \neq l.tail$ **then** $l.curr \leftarrow l.curr.next;$



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List ADT impl. as a linked list: $\langle 2|5,7 \rangle \rightsquigarrow \langle 2|7 \rangle$

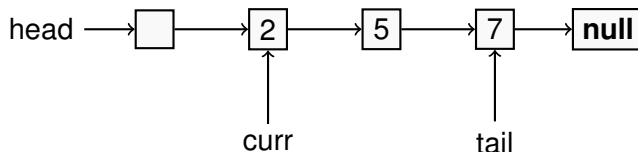
Algorithm: E remove(List l)

```

1  if l.curr.next = NULL then return NULL;
2  E it ← l.curr.next.element;
3  if l.tail = l.curr.next then l.tail ← l.curr;
4  l.curr.next ← l.curr.next.next; l.cnt--;
5  return it;

```

`remove(1)`, just after `insert(1,2)` ; `next(1)`



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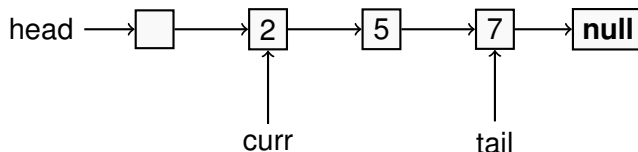
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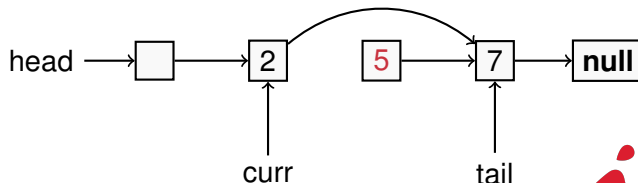
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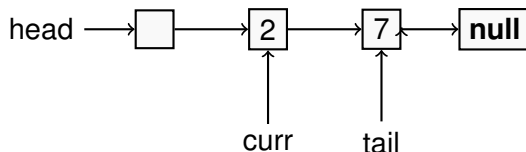
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5  return it;

```

After memory deallocation (by the user or by the GC)



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Agenda

- 1 Abstract data types and data structures
- 2 List ADT
- 3 List ADT as an array
- 4 List ADT as a linked list
- 5 Asymptotic efficiency of lists**
- 6 Bibliography



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Asymptotic efficiency of lists⁶

Data Structure	Time Complexity								Space Complexity
	Average				Worst				Worst
	Access	Search	Insertion	Deletion	Access	Search	Insertion	Deletion	
Array	$\Theta(1)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$	$\Theta(1)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Stack	$\Theta(n)$	$\Theta(n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(n)$	$\Theta(n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(n)$
Queue	$\Theta(n)$	$\Theta(n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(n)$	$\Theta(n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(n)$
Singly-Linked List	$\Theta(n)$	$\Theta(n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(n)$	$\Theta(n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(n)$
Doubly-Linked List	$\Theta(n)$	$\Theta(n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(n)$	$\Theta(n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(n)$
Skip List	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n \log(n))$
Hash Table	N/A	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	N/A	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Binary Search Tree	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Cartesian Tree	N/A	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	N/A	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
B-Tree	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(n)$
Red-Black Tree	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(n)$
Splay Tree	N/A	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	N/A	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(n)$
AVL Tree	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(n)$
KD Tree	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(\log(n))$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$

⁶Source: <http://bigocheatsheet.com/>

Other considerations

List ADT as an array

- \pm Predefined maximum size (alternative: dynamic arrays)
 - **Dynamic allocation** of arrays does not imply dynamic arrays
 - Amortised analysis (**append**): cost of copy becomes not relevant
- + No extra space with pointers (links)
- – Space consumed by unused positions

List ADT as a linked list

- + With no predefined maximum size
- + No extra space for no longer accessible elements
- – Space consumed by pointers (links)



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List ADT as a linked list

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- + No extra space for no longer accessible elements
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Variations

- Pool of free nodes (**freelist**)
- Doubly-linked lists
- Circular-linked lists



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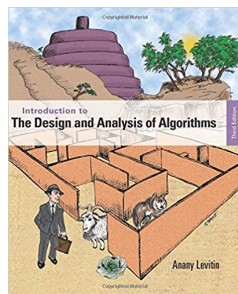


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LISTS

Gustavo Carvalho
(ghpc@cin.ufpe.br)

Universidade Federal de Pernambuco
Centro de Informática, 50740-560, Brazil

