



**國立臺北科技大學**

**資訊工程系碩士班**

**碩士學位論文**

**Use Efficiency-based Genetic Programming  
to Create Loss Function for Image  
Classification**

**研究生：周子榆**

**指導教授：陳香君博士**

**中華民國一百一十四年五月**



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# Abstract

Title: Use Efficiency-based Genetic Programming to Create Loss Function for Image Classification

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In the past five years, with the rapid rise of deep learning, image classification models have quickly become one of the most popular and widely known models. When training such models, the steps are broadly divided into the following: preparing image datasets, dividing them into training, validation and testing sets as needed, training the model, evaluating the model, and repeating these steps until the ideal result is met or the computational resources are exhausted.

A crucial function during the process of training a model is called the loss function, which calculate the difference between the predicted values and the ground-truth values. The results of the loss function can significantly influence the effectiveness of the model's training because it simply decide the direction of the adjustment to the model. However, designing a loss function often requires the assistance of experts in the related field, leading to a resource-intensive design process. Recent research has proposed using Genetic Programming (GP) to generate loss functions to avoid the necessity of hiring numerous domain experts for assistance. Nevertheless, using GP typically results in decreased computational efficiency. This paper aims to improve the method of using GP to generate loss functions by modifying certain genetic operations and introducing the concept of tournament selection.

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# Chapter 1 Introduction

After several decades of development, deep learning [1] technology has achieved significant breakthroughs in the last ten years, largely due to the remarkable improvements in GPU computational power. Consequently, the predictive and analytical capabilities of models have achieved substantial advancements. Numerous models have been launched by major companies and applied in practical scenarios, leading to significant changes in our daily lives.

When it comes to training deep learning model, we usually refer to the following steps: collecting relevant data and organizing it into datasets, partitioning the datasets into training and validation sets as needed, initializing model parameters, training the model, evaluating the performance of the model, adjusting the model parameters, and repeating the training until the target is achieved or computational resources are exhausted. During the evaluation of the model's performance, we use a function called the loss function [2]. Its purpose is to calculate the difference between the model's predicted results and the ground truth, which helps model adjust its parameter to better fit the target. Therefore, different loss functions can influence the direction of model adjustments, significantly impacting the final outcomes of the model.

However, the design of a loss function is often closely related to the propose of the model [3]. In other words, different models may require experts from specific fields to assist in designing the loss function to enhance the speed and effectiveness of model training. Nevertheless, recent research has shown that it's feasible to use genetic programming (GP) [4] to automatically generate loss functions. Due to the domain-independent nature of GP, it allows us to develop an algorithm that can automatically create the required loss function without needing specialized knowledge in that particular field. However, this method typically requires a significant amount of time and computational resources.

The operation mode of GP can be simply divided into the following steps: Representing the solution we hope to find (which may be a value or a function) in an encoded form, then forming these solutions into a population. After evaluating the whole population, we perform genetic operations (e.g., mutation or crossover) on the population's solutions, reevaluate them, and repeat the above steps until the target is achieved or computational resources are exhausted.

Although the computational power of GPUs today is far superior to that of the past, both training models and using GP to find solutions still require substantial computational resources and time to achieve a decent result. In this paper, an efficiency-oriented GP algorithm is proposed to reduce the computational resources and the time to train the model required for GP to search for the optimal algorithm while maintaining the same level of effectiveness. We aim to improve the genetic operation part of the existing GP framework by referencing the concept that offspring in a better living environment can usually receive better care. We modify the GP's genetic operation such that only a certain number of high-scoring populations can execute it. Here's what we want to do: Select a certain proportion of high-scoring offspring from the population, then randomly select a fixed number from these offspring to perform genetic operations. The randomized selection can avoid always using the same population for genetic operations, giving more excellent offspring the opportunity to improve.

The structure of this paper is as follows: Chapter 2 is related work. This chapter provides a detailed illustration to the development and history of GP, the detailed description of the loss function and how they collaborate with deep learning model, and how loss functions are randomly generated via GP. Chapter 3 is proposed algorithm and main methodology. In this chapter, we will explain the architecture of the algorithm implemented in this paper. After that, we will then describe the experimental environment setup and the initial parameter values. Finally, we will present the methods and procedures used in this paper. Chapter 4 is results analysis. This section will show the detail parameter settings among all compared peer-algorithm. After that, we will present the analysis of the results by organizing the experimental results into charts and figures. Finally, we will explain the outcomes and analyze why our algorithm can achieve the similar result while decreasing the usage of computational resources. Chapter 5 is conclusion and future work. In this chapter, we will provide the conclusion of this paper by outlining the contribution we have made so far. After that, we would like to discuss potential directions for future research or possible way to apply this algorithm in practical.

## **Chapter 2 Related Work**

### **2.1 Metaheuristic**

#### **2.1.1 Evolving Algorithm**

#### **2.1.2 Genetic Algorithm**

#### **2.1.3 Genetic Programming**

### **2.2 Loss Function**

#### **2.2.1 Deep Learning Model**

#### **2.2.2 Importance of Loss Function**

### **2.3 Image Classification**

## Chapter 3 Purposed Algorithm

This section will provide a brief overview of the contents that will be discussed in this chapter: Section 3.1 will introduce the architecture of our algorithm and use a simple flowchart to provide a basic introduction to the proposed algorithm. Section 3.2 will provide a detailed description of our software and hardware specifications, as well as the setup procedures. Section 3.3 will provide an in-depth explanation of our proposed algorithm, including the underlying concepts and the rationale behind our approach.

### 3.1 The Architecture of Algorithm

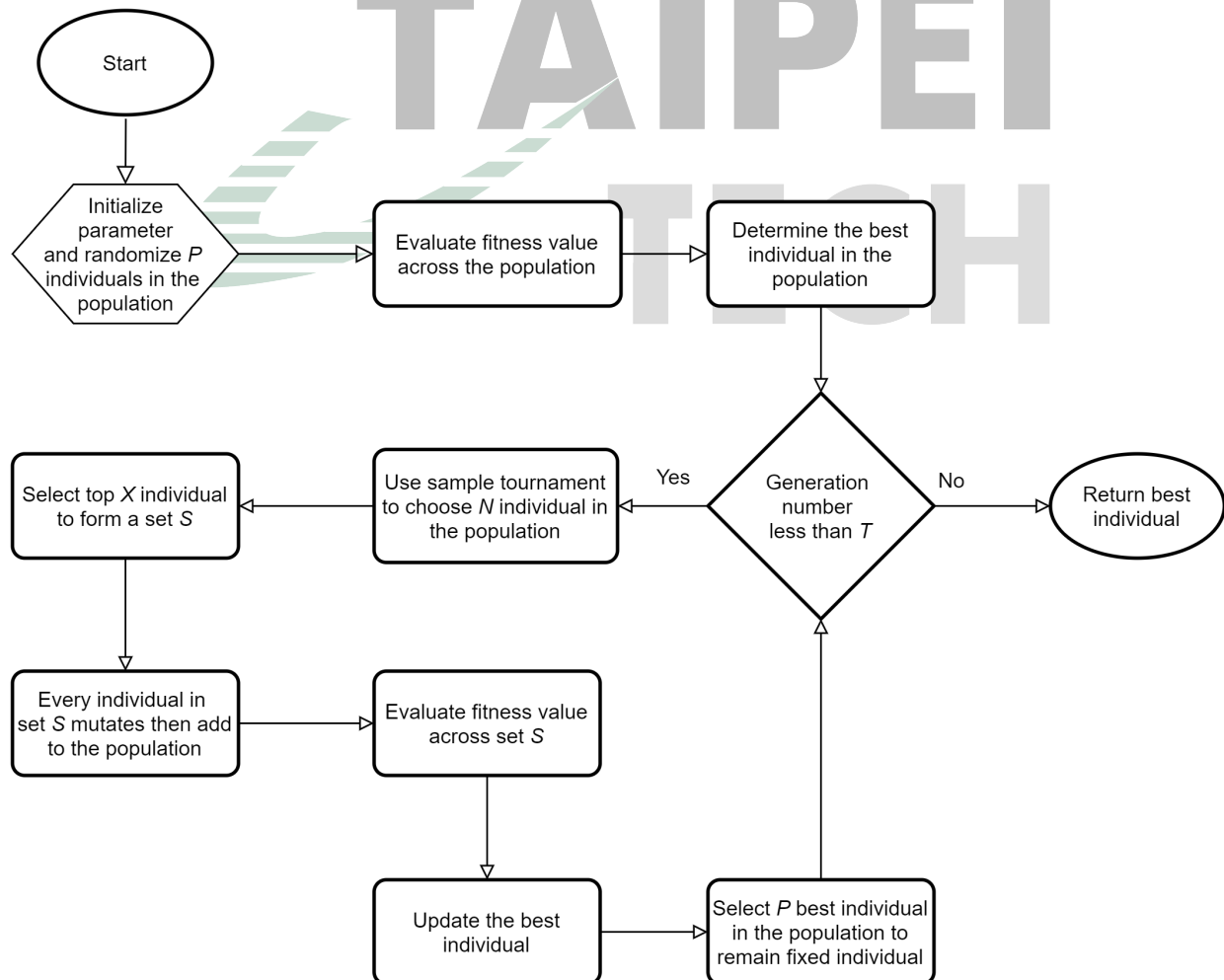


Figure 3.1 FlowChart for Efficiency-based GP

## 3.2 Experimental Environment Setup

## 3.3 Main Method

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**Algorithm 1** Efficiency-based GP to generate loss function

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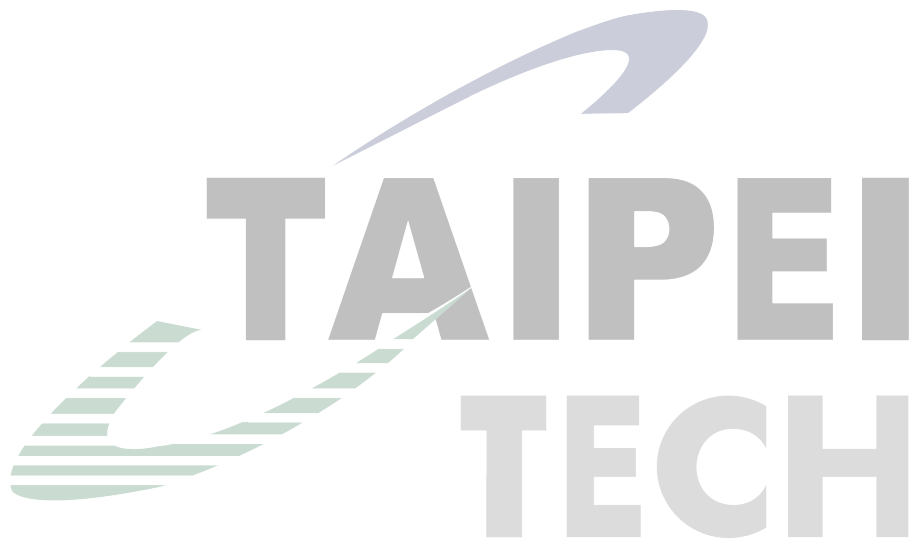
Initialize:  $P = x$ ,  $T = x$ ,  $N = x$ ,  $M_{ST} = 0.5$   
Randomly initialize population which include  $P$  trees  
Evaluate GP fitness function  $F$  for each individual in the population  
Determine the best individual  
**while** generation number  $< T$  **do**  
    Sample tournament  $G \sim \text{Uniform}(P)$   
    Select top  $N$  trees from  $G$  to form a set  $S$   
    **for** Individual in  $S$  **do**  
        **if**  $rand_1 < M_{ST}$  **then**  
            Apply subtree mutation to the generated child  
        **else**  
            Apply one-point mutation to the individual  
        **end if**  
        **if** Functional\_check() is true **then**  
            add individual to population  
        **end if**  
    **end for**  
    Evaluated GP fitness function  $F$  for each generated child individual  
    Update the best individual  
    Select  $P$  best trees from population to form a new population containing  $P$  trees  
**end while**

---

## **Chapter 4 Result and Analysis**

### **4.1 Parameter settings**

### **4.2 Figures**



## **Chapter 5 Conclusion and Future Work**

### **5.1 Conclusion**

### **5.2 Future Work**



## References

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- [4] Michael O’ Neill. “Riccardo Poli, William B. Langdon, Nicholas F. McPhee: A Field Guide to Genetic Programming”. In: *Genetic Programming and Evolvable Machines* 10.2 (2009), pp. 229–230.

