■ NetApp

Reference

Setup and administration

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Reference

Permissions

Permissions summary for BlueXP

To use BlueXP features and services, you'll need to provide permissions so that BlueXP can perform operations in your cloud environment. Use the links on this page to quickly access the permissions that you need based on your goal.

AWS permissions

BlueXP requires AWS permissions for the Connector and for individual services.

Connectors

| Goal | Description | Link |
|---------------------|--|-----------------------------------|
| | The user who creates a Connector from BlueXP needs specific permissions to deploy the instance in AWS. | Set up AWS permissions |
| for the Connector i | When BlueXP launches the Connector, it attaches a policy to the instance that provides the permissions required to manage resources and processes in your AWS account. You need to set up the policy yourself if you launch a Connector from the AWS Marketplace, if you manually install the Connector, or if you add more AWS credentials to a Connector. You also need to ensure that the policy is up to date as new | AWS permissions for the Connector |

Backup and recovery

| Goal | Description | Link |
|---|--|---|
| Back up on- premises ONTAP clusters to Amazon S3 | When activating backups on your ONTAP volumes, BlueXP backup and recovery prompts you to enter an access key and secret for an IAM user that has specific permissions. | Set up S3 permissions for backups |

Cloud Volumes ONTAP

| Goal | Description | Link |
|---|-------------|--|
| Provide permissions for Cloud Volumes ONTAP nodes | | Learn how to set up the IAM roles yourself |

Copy and sync

| Goal | Description | Link |
|--|---|---|
| Deploy the data broker in AWS | The AWS user account that you use to deploy the data broker must have specific permissions. | Permissions required to deploy the data broker in AWS |
| Provide permissions for the data broker | When BlueXP copy and sync deploys the data broker, it creates an IAM role for the data broker instance. You can deploy the data broker using your own IAM role, if you prefer. | Requirements to use your own IAM role with the AWS data broker |
| Enable AWS access for a manually installed data broker | If you use the data broker with a sync relationship that includes an S3 bucket, then you should prepare the Linux host for AWS access. When you install the data broker, you'll need to provide AWS keys for an IAM user that has programmatic access and specific permissions. | Enabling access to AWS |

FSx for ONTAP

| Goal | Description | Link |
|------------------------------------|---|---|
| Create and manage FSx for ONTAP | To create or manage an Amazon FSx for NetApp ONTAP working environment, you need to add AWS credentials to BlueXP by providing the ARN of an IAM role that gives BlueXP the permissions needed to create the working environment. | Learn how to set up AWS credentials for FSx |

Tiering

| Goal | Description | Link |
|--|--|-----------------------------------|
| Tier on-premises ONTAP clusters to Amazon S3 | When you enable BlueXP tiering to AWS, the wizard prompts you to enter an access key and secret key. These credentials are passed to the ONTAP cluster so that ONTAP can tier data to the S3 bucket. | Set up S3 permissions for tiering |

Azure permissions

BlueXP requires Azure permissions for the Connector and for individual services.

Connectors

| Goal | Description | Link |
|--|--|------|
| Deploy the Connector from BlueXP | When you deploy a Connector from BlueXP, you need to use an Azure account or service principal that has permissions to deploy the Connector VM in Azure. | |

| Goal | escription | Link |
|--|--|-------------------------------------|
| for the Connector custres You Con Con You | Then BlueXP deploys the Connector VM in Azure, it creates a sustom role that provides the permissions required to manage esources and processes within that Azure subscription. Ou need to set up the custom role yourself if you launch a connector from the marketplace, if you manually install the connector, or if you add more Azure credentials to a Connector. Ou also need to ensure that the policy is up to date as new termissions are added in subsequent releases. | Azure permissions for the Connector |

Copy and sync

| Goal | Description | Link |
|---------------------------------|---|--|
| Deploy the data broker in Azure | The Azure user account that you use to deploy the data broker must have the required permissions. | Permissions required to deploy the data broker in Azure |

Google Cloud permissions

BlueXP requires Google Cloud permissions for the Connector and for individual services.

Connectors

| Goal | Description | Link |
|--|---|--|
| Deploy the Connector from BlueXP | The Google Cloud user who deploys a Connector from BlueXP needs specific permissions to deploy the Connector in Google Cloud. | Set up permissions to deploy the Connector |
| Provide permissions for the Connector | The service account for the Connector VM instance must have specific permissions for day-to-day operations. You need to associate the service account with the Connector during deployment. | Google Cloud permissions for the Connector |
| | You also need to ensure that the policy is up to date as new permissions are added in subsequent releases. | |

Backup and recovery

| Goal | Description | Link |
|---|---|---|
| Back up Cloud Volumes ONTAP to Google Cloud | When using BlueXP backup and recovery to back up Cloud Volumes ONTAP, you need to add permissions to the Connector in the following scenarios: • You want to use "Search & Restore" functionality • You want to use customer-managed encryption keys (CMEK) | Permissions for Search & Restore functionality Permissions for CMEKs |

| Goal | Description | Link |
|--|---|--|
| Back up on- premises ONTAP clusters to Google Cloud | When using BlueXP backup and recovery to back up on-prem ONTAP clusters, you need to add permissions to the Connector in order to use the "Search & Restore" functionality. | Permissions for Search & Restore functionality |

Cloud Volumes Service for Google Cloud

| Goal | Description | Link |
|---|--|--------------------------|
| Discover Cloud Volumes Service for Google Cloud | BlueXP needs access to the Cloud Volumes Service API and the right permissions through a Google Cloud service account. | Set up a service account |

Copy and sync

| Goal | Description | Link |
|--|--|---|
| Deploy the data broker in Google Cloud | Ensure that the Google Cloud user who deploys the data broker has the required permissions. | Permissions required to deploy the data broker in Google Cloud |
| Enable Google Cloud access for a manually installed data broker | If you plan to use the data broker with a sync relationship that includes a Google Cloud Storage bucket, then you should prepare the Linux host for Google Cloud access. When you install the data broker, you'll need to provide a key for a service account that has specific permissions. | Enabling access to Google Cloud |

StorageGRID permissions

BlueXP requires StorageGRID permissions for two services.

Backup and recovery

| Goal | Description | Link |
|---|---|---|
| Back up on- premises ONTAP clusters to StorageGRID | When you prepare StorageGRID as a backup target for ONTAP clusters, BlueXP backup and recovery prompts you to enter an access key and secret for an IAM user that has specific permissions. | Prepare StorageGRID as your backup target |

Tiering

| Goal | Description | Link |
|--|-------------|--------------------------------|
| Tier on-premises ONTAP clusters to StorageGRID | , , , | Prepare tiering to StorageGRID |

AWS permissions for the Connector

When BlueXP launches the Connector instance in AWS, it attaches a policy to the

instance that provides the Connector with permissions to manage resources and processes within that AWS account. The Connector uses the permissions to make API calls to several AWS services, including EC2, S3, CloudFormation, IAM, the Key Management Service (KMS), and more.

IAM policies

The IAM policies available below provide the permissions that a Connector needs to manage resources and processes within your public cloud environment based on your AWS region.

Note the following:

- If you create a Connector in a standard AWS region directly from BlueXP, BlueXP automatically applies policies to the Connector. You don't need to do anything in this case.
- You need to set up the policies yourself if you deploy the Connector from the AWS Marketplace, if you manually install the Connector on a Linux host, or if you want to add additional AWS credentials to BlueXP.
- You also need to ensure that the policies are up to date as new permissions are added in subsequent releases.
- If needed, you can restrict the IAM policies by using the IAM Condition element. AWS documentation: Condition element
- To view step-by-step instructions for using these policies, refer to the following pages:
 - Set up permissions for Connector installation in AWS (standard mode)
 - Set up permissions for Connector installation on premises (standard mode)
 - Set up permissions for restricted mode
 - Set up permissions for private mode

Select your region to view the required policies:

Standard regions

For standard regions, the permissions are spread across two policies. Two policies are required due to a maximum character size limit for managed policies in AWS.

The first policy provides permissions for the following services:

- · Amazon S3 bucket discovery
- Backup and recovery
- Classification
- Cloud Volumes ONTAP
- FSx for ONTAP
- Tiering

The second policy provides permissions for the following services:

- Edge caching
- Kubernetes
- Remediation

Policy #1

```
{
    "Version": "2012-10-17",
    "Statement": [
            "Action": [
                "ec2:DescribeInstances",
                "ec2:DescribeInstanceStatus",
                "ec2:RunInstances",
                "ec2:ModifyInstanceAttribute",
                "ec2:DescribeInstanceAttribute",
                "ec2:DescribeRouteTables",
                "ec2:DescribeImages",
                "ec2:CreateTags",
                "ec2:CreateVolume",
                "ec2:DescribeVolumes",
                "ec2:ModifyVolumeAttribute",
                "ec2:CreateSecurityGroup",
                "ec2:DescribeSecurityGroups",
                "ec2:RevokeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupIngress",
                "ec2:RevokeSecurityGroupIngress",
                "ec2:CreateNetworkInterface",
                "ec2:DescribeNetworkInterfaces",
                "ec2:ModifyNetworkInterfaceAttribute",
                "ec2:DescribeSubnets",
                "ec2:DescribeVpcs",
                "ec2:DescribeDhcpOptions",
                "ec2:CreateSnapshot",
                "ec2:DescribeSnapshots",
                "ec2:GetConsoleOutput",
                "ec2:DescribeKeyPairs",
                "ec2:DescribeRegions",
                "ec2:DescribeTags",
                "ec2:AssociateIamInstanceProfile",
                "ec2:DescribeIamInstanceProfileAssociations",
                "ec2:DisassociateIamInstanceProfile",
                "ec2:CreatePlacementGroup",
                "ec2:DescribeReservedInstancesOfferings",
                "ec2:AssignPrivateIpAddresses",
                "ec2:CreateRoute",
                "ec2:DescribeVpcs",
                "ec2:ReplaceRoute",
                "ec2:UnassignPrivateIpAddresses",
```

```
"ec2:DeleteSecurityGroup",
"ec2:DeleteNetworkInterface",
"ec2:DeleteSnapshot",
"ec2:DeleteTags",
"ec2:DeleteRoute",
"ec2:DeletePlacementGroup",
"ec2:DescribePlacementGroups",
"ec2:DescribeVolumesModifications",
"ec2:ModifyVolume",
"cloudformation:CreateStack",
"cloudformation:DescribeStacks",
"cloudformation:DescribeStackEvents",
"cloudformation: Validate Template",
"cloudformation:DeleteStack",
"iam:PassRole",
"iam:CreateRole",
"iam:PutRolePolicy",
"iam:CreateInstanceProfile",
"iam:AddRoleToInstanceProfile",
"iam: RemoveRoleFromInstanceProfile",
"iam:ListInstanceProfiles",
"iam:DeleteRole",
"iam:DeleteRolePolicy",
"iam: DeleteInstanceProfile",
"iam:GetRolePolicy",
"iam:GetRole",
"sts:DecodeAuthorizationMessage",
"sts:AssumeRole",
"s3:GetBucketTagging",
"s3:GetBucketLocation",
"s3:ListBucket",
"s3:CreateBucket",
"s3:GetLifecycleConfiguration",
"s3:ListBucketVersions",
"s3:GetBucketPolicyStatus",
"s3:GetBucketPublicAccessBlock",
"s3:GetBucketPolicy",
"s3:GetBucketAcl",
"s3:PutObjectTagging",
"s3:GetObjectTagging",
"s3:DeleteObject",
"s3:DeleteObjectVersion",
"s3:PutObject",
"s3:ListAllMyBuckets",
"s3:GetObject",
"s3:GetEncryptionConfiguration",
```

```
"kms:List*",
        "kms:ReEncrypt*",
        "kms:Describe*",
        "kms:CreateGrant",
        "fsx:Describe*",
        "fsx:List*",
        "kms:GenerateDataKeyWithoutPlaintext"
    ],
    "Resource": "*",
    "Effect": "Allow",
    "Sid": "cvoServicePolicy"
},
    "Action": [
        "ec2:StartInstances",
        "ec2:StopInstances",
        "ec2:DescribeInstances",
        "ec2:DescribeInstanceStatus",
        "ec2:RunInstances",
        "ec2:TerminateInstances",
        "ec2:DescribeInstanceAttribute",
        "ec2:DescribeImages",
        "ec2:CreateTags",
        "ec2:CreateVolume",
        "ec2:CreateSecurityGroup",
        "ec2:DescribeSubnets",
        "ec2:DescribeVpcs",
        "ec2:DescribeRegions",
        "cloudformation:CreateStack",
        "cloudformation:DeleteStack",
        "cloudformation:DescribeStacks",
        "kms:List*",
        "kms:Describe*",
        "ec2:DescribeVpcEndpoints",
        "kms:ListAliases",
        "athena:StartQueryExecution",
        "athena:GetQueryResults",
        "athena:GetQueryExecution",
        "glue:GetDatabase",
        "glue:GetTable",
        "glue:CreateTable",
        "glue:CreateDatabase",
        "glue:GetPartitions",
        "glue:BatchCreatePartition",
        "glue:BatchDeletePartition"
    ],
```

```
"Resource": "*",
    "Effect": "Allow",
   "Sid": "backupPolicy"
},
   "Action": [
        "s3:GetBucketLocation",
        "s3:ListAllMyBuckets",
        "s3:ListBucket",
        "s3:CreateBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions",
        "s3:GetBucketAcl",
        "s3:PutBucketPublicAccessBlock",
        "s3:GetObject",
        "s3:PutEncryptionConfiguration",
        "s3:DeleteObject",
        "s3:DeleteObjectVersion",
        "s3:ListBucketMultipartUploads",
        "s3:PutObject",
        "s3:PutBucketAcl",
        "s3:AbortMultipartUpload",
        "s3:ListMultipartUploadParts",
        "s3:DeleteBucket",
        "s3:GetObjectVersionTagging",
        "s3:GetObjectVersionAcl",
        "s3:GetObjectRetention",
        "s3:GetObjectTagging",
        "s3:GetObjectVersion",
        "s3:PutObjectVersionTagging",
        "s3:PutObjectRetention",
        "s3:DeleteObjectTagging",
        "s3:DeleteObjectVersionTagging",
        "s3:GetBucketObjectLockConfiguration",
        "s3:GetBucketVersioning",
        "s3:PutBucketObjectLockConfiguration",
        "s3:PutBucketVersioning",
        "s3:BypassGovernanceRetention",
        "s3:PutBucketPolicy",
        "s3:PutBucketOwnershipControls"
   ],
    "Resource": [
        "arn:aws:s3:::netapp-backup-*"
   ],
```

```
"Effect": "Allow",
    "Sid": "backupS3Policy"
},
   "Action": [
        "s3:CreateBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions",
        "s3:GetBucketPolicyStatus",
        "s3:GetBucketPublicAccessBlock",
        "s3:GetBucketAcl",
        "s3:GetBucketPolicy",
        "s3:PutBucketPublicAccessBlock",
        "s3:DeleteBucket"
   ],
    "Resource": [
       "arn:aws:s3:::fabric-pool*"
    "Effect": "Allow",
   "Sid": "fabricPoolS3Policy"
},
   "Action": [
       "ec2:DescribeRegions"
   "Resource": "*",
   "Effect": "Allow",
   "Sid": "fabricPoolPolicy"
},
   "Condition": {
        "StringLike": {
           "ec2:ResourceTag/netapp-adc-manager": "*"
        }
    },
    "Action": [
       "ec2:StartInstances",
       "ec2:StopInstances",
        "ec2:TerminateInstances"
    ],
    "Resource": [
       "arn:aws:ec2:*:*:instance/*"
    "Effect": "Allow"
```

```
},
            "Condition": {
                "StringLike": {
                   "ec2:ResourceTag/WorkingEnvironment": "*"
            },
            "Action": [
                "ec2:StartInstances",
               "ec2:TerminateInstances",
               "ec2:AttachVolume",
               "ec2:DetachVolume",
               "ec2:StopInstances",
               "ec2:DeleteVolume"
            ],
            "Resource": [
               "arn:aws:ec2:*:*:instance/*"
            ],
           "Effect": "Allow"
        },
           "Action": [
               "ec2:AttachVolume",
                "ec2:DetachVolume"
            ],
            "Resource": [
            "arn:aws:ec2:*:*:volume/*"
            "Effect": "Allow"
        },
            "Condition": {
                "StringLike": {
                   "ec2:ResourceTag/WorkingEnvironment": "*"
            },
            "Action": [
               "ec2:DeleteVolume"
            ],
            "Resource": [
              "arn:aws:ec2:*:*:volume/*"
            ],
            "Effect": "Allow"
   ]
}
```

```
Policy #2
 {
      "Version": "2012-10-17",
      "Statement": [
              "Action": [
                  "ec2:DescribeRegions",
                  "eks:ListClusters",
                  "eks:DescribeCluster",
                  "iam:GetInstanceProfile"
              ],
              "Resource": "*",
              "Effect": "Allow",
              "Sid": "K8sServicePolicy"
          },
              "Action": [
                  "cloudformation:DescribeStacks",
                  "cloudwatch: GetMetricStatistics",
                  "cloudformation:ListStacks"
              ],
              "Resource": "*",
              "Effect": "Allow",
              "Sid": "GFCservicePolicy"
          },
              "Condition": {
                  "StringLike": {
                      "ec2:ResourceTag/GFCInstance": "*"
              },
              "Action": [
                  "ec2:StartInstances",
                  "ec2:TerminateInstances",
                  "ec2:AttachVolume",
                  "ec2:DetachVolume"
              ],
              "Resource": [
                 "arn:aws:ec2:*:*:instance/*"
              "Effect": "Allow"
          },
              "Action": [
                  "ec2:CreateTags",
```

```
"ec2:DeleteTags",
    "ec2:DescribeTags",
    "tag:getResources",
    "tag:getTagKeys",
    "tag:getTagValues",
    "tag:TagResources",
    "tag:UntagResources"
],
    "Resource": "*",
    "Effect": "Allow",
    "Sid": "tagServicePolicy"
}
]
```

```
{
    "Version": "2012-10-17",
    "Statement": [
            "Effect": "Allow",
            "Action": [
                "iam:ListInstanceProfiles",
                "iam:CreateRole",
                "iam:DeleteRole",
                "iam:PutRolePolicy",
                "iam:CreateInstanceProfile",
                "iam:DeleteRolePolicy",
                "iam:AddRoleToInstanceProfile",
                "iam: RemoveRoleFromInstanceProfile",
                "iam:DeleteInstanceProfile",
                "ec2:ModifyVolumeAttribute",
                "sts:DecodeAuthorizationMessage",
                "ec2:DescribeImages",
                "ec2:DescribeRouteTables",
                "ec2:DescribeInstances",
                "iam:PassRole",
                "ec2:DescribeInstanceStatus",
                "ec2:RunInstances",
                "ec2:ModifyInstanceAttribute",
                "ec2:CreateTags",
                "ec2:CreateVolume",
                "ec2:DescribeVolumes",
                "ec2:DeleteVolume",
                "ec2:CreateSecurityGroup",
                "ec2:DeleteSecurityGroup",
                "ec2:DescribeSecurityGroups",
                "ec2:RevokeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupIngress",
                "ec2:RevokeSecurityGroupIngress",
                "ec2:CreateNetworkInterface",
                "ec2:DescribeNetworkInterfaces",
                "ec2:DeleteNetworkInterface",
                "ec2:ModifyNetworkInterfaceAttribute",
                "ec2:DescribeSubnets",
                "ec2:DescribeVpcs",
                "ec2:DescribeDhcpOptions",
                "ec2:CreateSnapshot",
                "ec2:DeleteSnapshot",
```

```
"ec2:DescribeSnapshots",
        "ec2:StopInstances",
        "ec2:GetConsoleOutput",
        "ec2:DescribeKeyPairs",
        "ec2:DescribeRegions",
        "ec2:DeleteTags",
        "ec2:DescribeTags",
        "cloudformation:CreateStack",
        "cloudformation: DeleteStack",
        "cloudformation: DescribeStacks",
        "cloudformation: DescribeStackEvents",
        "cloudformation: Validate Template",
        "s3:GetObject",
        "s3:ListBucket",
        "s3:ListAllMyBuckets",
        "s3:GetBucketTagging",
        "s3:GetBucketLocation",
        "s3:CreateBucket",
        "s3:GetBucketPolicyStatus",
        "s3:GetBucketPublicAccessBlock",
        "s3:GetBucketAcl",
        "s3:GetBucketPolicy",
        "kms:List*",
        "kms:ReEncrypt*",
        "kms:Describe*",
        "kms:CreateGrant",
        "ec2: Associate Iam Instance Profile",
        "ec2:DescribeIamInstanceProfileAssociations",
        "ec2:DisassociateIamInstanceProfile",
        "ec2:DescribeInstanceAttribute",
        "ec2:CreatePlacementGroup",
        "ec2:DeletePlacementGroup"
    ],
    "Resource": "*"
},
    "Sid": "fabricPoolPolicy",
    "Effect": "Allow",
    "Action": [
        "s3:DeleteBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions",
        "s3:GetBucketPolicyStatus",
        "s3:GetBucketPublicAccessBlock",
```

```
"s3:GetBucketAcl",
        "s3:GetBucketPolicy",
        "s3:PutBucketPublicAccessBlock"
    ],
    "Resource": [
        "arn:aws-us-gov:s3:::fabric-pool*"
},
    "Sid": "backupPolicy",
    "Effect": "Allow",
    "Action": [
        "s3:DeleteBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions",
        "s3:GetObject",
        "s3:ListBucket",
        "s3:ListAllMyBuckets",
        "s3:GetBucketTagging",
        "s3:GetBucketLocation",
        "s3:GetBucketPolicyStatus",
        "s3:GetBucketPublicAccessBlock",
        "s3:GetBucketAcl",
        "s3:GetBucketPolicy",
        "s3:PutBucketPublicAccessBlock"
    ],
    "Resource": [
        "arn:aws-us-gov:s3:::netapp-backup-*"
},
    "Effect": "Allow",
    "Action": [
        "ec2:StartInstances",
        "ec2:TerminateInstances",
        "ec2:AttachVolume",
        "ec2:DetachVolume"
    ],
    "Condition": {
        "StringLike": {
            "ec2:ResourceTag/WorkingEnvironment": "*"
    },
    "Resource": [
```

```
{
    "Version": "2012-10-17",
    "Statement": [{
            "Effect": "Allow",
            "Action": [
                "ec2:DescribeInstances",
                "ec2:DescribeInstanceStatus",
                "ec2:RunInstances",
                "ec2:ModifyInstanceAttribute",
                "ec2:DescribeRouteTables",
                "ec2:DescribeImages",
                "ec2:CreateTags",
                "ec2:CreateVolume",
                "ec2:DescribeVolumes",
                "ec2:ModifyVolumeAttribute",
                "ec2:DeleteVolume",
                "ec2:CreateSecurityGroup",
                "ec2:DeleteSecurityGroup",
                "ec2:DescribeSecurityGroups",
                "ec2:RevokeSecurityGroupEgress",
                "ec2:RevokeSecurityGroupIngress",
                "ec2:AuthorizeSecurityGroupEgress",
                "ec2:AuthorizeSecurityGroupIngress",
                "ec2:CreateNetworkInterface",
                "ec2:DescribeNetworkInterfaces",
                "ec2:DeleteNetworkInterface",
                "ec2:ModifyNetworkInterfaceAttribute",
                "ec2:DescribeSubnets",
                "ec2:DescribeVpcs",
                "ec2:DescribeDhcpOptions",
                "ec2:CreateSnapshot",
                "ec2:DeleteSnapshot",
                "ec2:DescribeSnapshots",
                "ec2:GetConsoleOutput",
                "ec2:DescribeKeyPairs",
                "ec2:DescribeRegions",
                "ec2:DeleteTags",
                "ec2:DescribeTags",
                "cloudformation:CreateStack",
                "cloudformation: DeleteStack",
                "cloudformation: DescribeStacks",
                "cloudformation: DescribeStackEvents",
                "cloudformation: Validate Template",
                "iam:PassRole",
```

```
"iam:CreateRole",
        "iam:DeleteRole",
        "iam:PutRolePolicy",
        "iam:CreateInstanceProfile",
        "iam:DeleteRolePolicy",
        "iam: AddRoleToInstanceProfile",
        "iam: RemoveRoleFromInstanceProfile",
        "iam:DeleteInstanceProfile",
        "s3:GetObject",
        "s3:ListBucket",
        "s3:GetBucketTagging",
        "s3:GetBucketLocation",
        "s3:ListAllMyBuckets",
        "kms:List*",
        "kms:Describe*",
        "ec2: Associate Iam Instance Profile",
        "ec2:DescribeIamInstanceProfileAssociations",
        "ec2:DisassociateIamInstanceProfile",
        "ec2:DescribeInstanceAttribute",
        "ec2:CreatePlacementGroup",
        "ec2:DeletePlacementGroup",
        "iam:ListinstanceProfiles"
    ],
    "Resource": "*"
},
    "Sid": "fabricPoolPolicy",
    "Effect": "Allow",
    "Action": [
        "s3:DeleteBucket",
        "s3:GetLifecycleConfiguration",
        "s3:PutLifecycleConfiguration",
        "s3:PutBucketTagging",
        "s3:ListBucketVersions"
    ],
    "Resource": [
        "arn:aws-iso:s3:::fabric-pool*"
},
    "Effect": "Allow",
    "Action": [
        "ec2:StartInstances",
        "ec2:StopInstances",
        "ec2:TerminateInstances",
        "ec2:AttachVolume",
```

```
"ec2:DetachVolume"
            ],
            "Condition": {
                 "StringLike": {
                     "ec2:ResourceTag/WorkingEnvironment": "*"
            },
            "Resource": [
                 "arn:aws-iso:ec2:*:*:instance/*"
        },
        {
            "Effect": "Allow",
            "Action": [
                 "ec2:AttachVolume",
                 "ec2:DetachVolume"
            ],
            "Resource": [
                 "arn:aws-iso:ec2:*:*:volume/*"
        }
    ]
}
```

How the AWS permissions are used

The following sections describe how the permissions are used for each BlueXP service. This information can be helpful if your corporate policies dictate that permissions are only provided as needed.

Amazon FSx for ONTAP

The Connector makes the following API requests to manage Amazon FSx for ONTAP:

- ec2:DescribeInstances
- ec2:DescribeInstanceStatus
- ec2:DescribeInstanceAttribute
- ec2:DescribeRouteTables
- ec2:DescribeImages
- ec2:CreateTags
- ec2:DescribeVolumes
- ec2:DescribeSecurityGroups
- ec2:DescribeNetworkInterfaces
- ec2:DescribeSubnets
- ec2:DescribeVpcs

- ec2:DescribeDhcpOptions
- ec2:DescribeSnapshots
- ec2:DescribeKeyPairs
- ec2:DescribeRegions
- · ec2:DescribeTags
- · ec2:DescribelamInstanceProfileAssociations
- ec2:DescribeReservedInstancesOfferings
- ec2:DescribeVpcEndpoints
- ec2:DescribeVpcs
- ec2:DescribeVolumesModifications
- ec2:DescribePlacementGroups
- kms:List*
- kms:Describe*
- · kms:CreateGrant
- · kms:ListAliases
- · fsx:Describe*
- fsx:List*

Amazon S3 bucket discovery

The Connector makes the following API request to discover Amazon S3 buckets:

s3:GetEncryptionConfiguration

Backup and recovery

The Connector makes the following API requests to manage backups in Amazon S3:

- s3:GetBucketLocation
- s3:ListAllMyBuckets
- s3:ListBucket
- s3:CreateBucket
- s3:GetLifecycleConfiguration
- s3:PutLifecycleConfiguration
- s3:PutBucketTagging
- s3:ListBucketVersions
- s3:GetBucketAcl
- s3:PutBucketPublicAccessBlock
- · kms:List*
- · kms:Describe*
- s3:GetObject

- ec2:DescribeVpcEndpoints
- · kms:ListAliases
- s3:PutEncryptionConfiguration

The Connector makes the following API requests when you use the Search & Restore method to restore volumes and files:

- s3:CreateBucket
- s3:DeleteObject
- s3:DeleteObjectVersion
- s3:GetBucketAcl
- s3:ListBucket
- s3:ListBucketVersions
- s3:ListBucketMultipartUploads
- · s3:PutObject
- s3:PutBucketAcl
- s3:PutLifecycleConfiguration
- s3:PutBucketPublicAccessBlock
- s3:AbortMultipartUpload
- s3:ListMultipartUploadParts
- · athena:StartQueryExecution
- · athena:GetQueryResults
- athena:GetQueryExecution
- athena:StopQueryExecution
- glue:CreateDatabase
- glue:CreateTable
- · glue:BatchDeletePartition

The Connector makes the following API requests when you use DataLock and Ransomware protection for your volume backups:

- s3:GetObjectVersionTagging
- s3:GetBucketObjectLockConfiguration
- s3:GetObjectVersionAcl
- s3:PutObjectTagging
- s3:DeleteObject
- s3:DeleteObjectTagging
- s3:GetObjectRetention
- s3:DeleteObjectVersionTagging
- s3:PutObject

- s3:GetObject
- s3:PutBucketObjectLockConfiguration
- · s3:GetLifecycleConfiguration
- s3:ListBucketByTags
- s3:GetBucketTagging
- s3:DeleteObjectVersion
- s3:ListBucketVersions
- s3:ListBucket
- s3:PutBucketTagging
- s3:GetObjectTagging
- s3:PutBucketVersioning
- s3:PutObjectVersionTagging
- s3:GetBucketVersioning
- s3:GetBucketAcl
- · s3:BypassGovernanceRetention
- s3:PutObjectRetention
- s3:GetBucketLocation
- s3:GetObjectVersion

The Connector makes the following API requests if you use a different AWS account for your Cloud Volumes ONTAP backups than you're using for the source volumes:

- s3:PutBucketPolicy
- s3:PutBucketOwnershipControls

Classification

The Connector makes the following API requests to deploy the BlueXP classification instance:

- ec2:DescribeInstances
- · ec2:DescribeInstanceStatus
- ec2:RunInstances
- ec2:TerminateInstances
- ec2:CreateTags
- ec2:CreateVolume
- ec2:AttachVolume
- ec2:CreateSecurityGroup
- ec2:DeleteSecurityGroup
- ec2:DescribeSecurityGroups
- · ec2:CreateNetworkInterface
- ec2:DescribeNetworkInterfaces

- ec2:DeleteNetworkInterface
- ec2:DescribeSubnets
- ec2:DescribeVpcs
- ec2:CreateSnapshot
- ec2:DescribeRegions
- · cloudformation:CreateStack
- cloudformation:DeleteStack
- · cloudformation:DescribeStacks
- cloudformation:DescribeStackEvents
- iam:AddRoleToInstanceProfile
- ec2:AssociatelamInstanceProfile
- · ec2:DescribelamInstanceProfileAssociations

The Connector makes the following API requests to scan S3 buckets when you use BlueXP classification:

- iam:AddRoleToInstanceProfile
- ec2:AssociatelamInstanceProfile
- ec2:DescribelamInstanceProfileAssociations
- s3:GetBucketTagging
- s3:GetBucketLocation
- s3:ListAllMyBuckets
- s3:ListBucket
- s3:GetBucketPolicyStatus
- s3:GetBucketPolicy
- s3:GetBucketAcl
- s3:GetObject
- · iam:GetRole
- s3:DeleteObject
- s3:DeleteObjectVersion
- s3:PutObject
- sts:AssumeRole

Cloud Volumes ONTAP

The Connector makes the following API requests to deploy and manage Cloud Volumes ONTAP in AWS.

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|--|---|----------------------|----------------------------|--------------------|
| Create and manage IAM roles and | iam:ListInstanceProfiles | Yes | Yes | No |
| instance profiles for Cloud Volumes | iam:CreateRole | Yes | No | No |
| ONTAP instances | iam:DeleteRole | No | Yes | Yes |
| | iam:PutRolePolicy | Yes | No | No |
| | iam:CreateInstanceP rofile | Yes | No | No |
| | iam:DeleteRolePolic y | No | Yes | Yes |
| | iam:AddRoleToInsta nceProfile | Yes | No | No |
| | iam:RemoveRoleFro mlnstanceProfile | No | Yes | Yes |
| | iam:DeleteInstanceP rofile | No | Yes | Yes |
| | iam:PassRole | Yes | No | No |
| | ec2:AssociateIamIns tanceProfile | Yes | Yes | No |
| | ec2:DescribelamInst anceProfileAssociations | Yes | Yes | No |
| | ec2:Disassociatelam InstanceProfile | No | Yes | No |
| Decode authorization status messages | sts:DecodeAuthoriza tionMessage | Yes | Yes | No |
| Describe the specified images (AMIs) available to the account | ec2:DescribeImages | Yes | Yes | No |
| Describe the route tables in a VPC (required for HA pairs only) | ec2:DescribeRouteT ables | Yes | No | No |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|--|-----------------------------------|----------------------|----------------------------|--------------------|
| Stop, start, and monitor instances | ec2:StartInstances | Yes | Yes | No |
| | ec2:StopInstances | Yes | Yes | No |
| | ec2:DescribeInstanc | Yes | Yes | No |
| | ec2:DescribeInstanc eStatus | Yes | Yes | No |
| | ec2:RunInstances | Yes | No | No |
| | ec2:TerminateInstan | No | No | Yes |
| | ec2:ModifyInstanceA ttribute | No | Yes | No |
| Verify that enhanced networking is enabled for supported instance types | ec2:DescribeInstanc eAttribute | No | Yes | No |
| Tag resources with the "WorkingEnvironme nt" and "WorkingEnvironme ntld" tags which are used for maintenance and cost allocation | ec2:CreateTags | Yes | Yes | No |
| Manage EBS | ec2:CreateVolume | Yes | Yes | No |
| volumes that Cloud Volumes ONTAP uses as back-end storage | ec2:DescribeVolume s | Yes | Yes | Yes |
| | ec2:ModifyVolumeAt tribute | No | Yes | Yes |
| | ec2:AttachVolume | Yes | Yes | No |
| | ec2:DeleteVolume | No | Yes | Yes |
| | ec2:DetachVolume | No | Yes | Yes |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|---|---------------------------------------|----------------------|----------------------------|--------------------|
| Create and manage security groups for Cloud Volumes ONTAP | ec2:CreateSecurityG roup | Yes | No | No |
| | ec2:DeleteSecurityG roup | No | Yes | Yes |
| | ec2:DescribeSecurit yGroups | Yes | Yes | Yes |
| | ec2:RevokeSecurity GroupEgress | Yes | No | No |
| | ec2:AuthorizeSecurit yGroupEgress | Yes | No | No |
| | ec2:AuthorizeSecurit yGroupIngress | Yes | No | No |
| | ec2:RevokeSecurity GroupIngress | Yes | Yes | No |
| Create and manage network interfaces | ec2:CreateNetworkInterface | Yes | No | No |
| for Cloud Volumes ONTAP in the target subnet | ec2:DescribeNetwor kInterfaces | Yes | Yes | No |
| | ec2:DeleteNetworkIn terface | No | Yes | Yes |
| | ec2:ModifyNetworkIn terfaceAttribute | No | Yes | No |
| Get the list of destination subnets | ec2:DescribeSubnet s | Yes | Yes | No |
| and security groups | ec2:DescribeVpcs | Yes | Yes | No |
| Get DNS servers and the default domain name for Cloud Volumes ONTAP instances | ec2:DescribeDhcpO ptions | Yes | No | No |
| Take snapshots of | ec2:CreateSnapshot | Yes | Yes | No |
| EBS volumes for Cloud Volumes | ec2:DeleteSnapshot | No | Yes | Yes |
| ONTAP | ec2:DescribeSnapsh ots | No | Yes | No |
| Capture the Cloud Volumes ONTAP console, which is attached to AutoSupport messages | ec2:GetConsoleOutp ut | Yes | Yes | No |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|---|-------------------------------------|----------------------|----------------------------|--------------------|
| Get the list of available key pairs | ec2:DescribeKeyPair s | Yes | No | No |
| Get the list of available AWS regions | ec2:DescribeRegion s | Yes | Yes | No |
| Manage tags for resources associated with Cloud Volumes ONTAP instances | ec2:DeleteTags | No | Yes | Yes |
| | ec2:DescribeTags | No | Yes | No |
| Create and manage stacks for AWS CloudFormation templates | cloudformation:Creat eStack | Yes | No | No |
| | cloudformation:Delet eStack | Yes | No | No |
| | cloudformation:Desc ribeStacks | Yes | Yes | No |
| | cloudformation:Desc ribeStackEvents | Yes | No | No |
| | cloudformation:Valid ateTemplate | Yes | No | No |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|---|---|----------------------|----------------------------|--------------------|
| Create and manage | s3:CreateBucket | Yes | Yes | No |
| an S3 bucket that a Cloud Volumes | s3:DeleteBucket | No | Yes | Yes |
| ONTAP system uses as a capacity tier for data tiering | s3:GetLifecycleConfi guration | No | Yes | No |
| data tiering | s3:PutLifecycleConfi guration | No | Yes | No |
| | s3:PutBucketTaggin | No | Yes | No |
| | s3:ListBucketVersion s | No | Yes | No |
| | s3:GetBucketPolicyS tatus | No | Yes | No |
| | s3:GetBucketPublic AccessBlock | No | Yes | No |
| | s3:GetBucketAcl | No | Yes | No |
| | s3:GetBucketPolicy | No | Yes | No |
| | s3:PutBucketPublicA ccessBlock | No | Yes | No |
| | s3:GetBucketTaggin | No | Yes | No |
| | s3:GetBucketLocatio | No | Yes | No |
| | s3:ListAllMyBuckets | No | No | No |
| | s3:ListBucket | No | Yes | No |
| Enable data | kms:List* | Yes | Yes | No |
| encryption of Cloud Volumes ONTAP | kms:ReEncrypt* | Yes | No | No |
| using the AWS Key Management | kms:Describe* | Yes | Yes | No |
| Service (KMS) | kms:CreateGrant | Yes | Yes | No |
| | kms:GenerateDataK eyWithoutPlaintext | Yes | Yes | No |
| Create and manage an AWS spread placement group for two HA nodes and the mediator in a single AWS Availability Zone | ec2:CreatePlacemen tGroup | Yes | No | No |
| | ec2:DeletePlacemen tGroup | No | Yes | Yes |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|--|--------------------------------------|----------------------|----------------------------|--------------------|
| Create reports | fsx:Describe* | No | Yes | No |
| | fsx:List* | No | Yes | No |
| Create and manage aggregates that support the Amazon EBS Elastic Volumes feature | ec2:DescribeVolume sModifications | No | Yes | No |
| | ec2:ModifyVolume | No | Yes | No |

Edge caching

The Connector makes the following API requests to deploy BlueXP edge caching instances during deployment:

- · cloudformation:DescribeStacks
- · cloudwatch:GetMetricStatistics
- · cloudformation:ListStacks

Kubernetes

The Connector makes the following API requests to discover and manage Amazon EKS clusters:

- ec2:DescribeRegions
- eks:ListClusters
- eks:DescribeCluster
- · iam:GetInstanceProfile

Remediation

The Connector makes the following API requests to manage tags on AWS resources when you use BlueXP remediation:

- ec2:CreateTags
- ec2:DeleteTags
- ec2:DescribeTags
- · tag:getResources
- tag:getTagKeys
- tag:getTagValues
- · tag:TagResources
- · tag:UntagResources

Change log

As permissions are added and removed, we'll note them in the sections below.

6 June, 2023

The following permission is now required for Cloud Volumes ONTAP:

kms:GenerateDataKeyWithoutPlaintext

14 February, 2023

The following permission is now required for BlueXP tiering:

ec2:DescribeVpcEndpoints

Azure permissions for the Connector

When BlueXP launches the Connector VM in Azure, it attaches a custom role to the VM that provides the Connector with permissions to manage resources and processes within that Azure subscription. The Connector uses the permissions to make API calls to several Azure services.

Custom role permissions

The custom role shown below provides the permissions that a Connector needs to manage resources and processes within your Azure network.

When you create a Connector directly from BlueXP, BlueXP automatically applies this custom role to the Connector.

If you deploy the Connector from the Azure Marketplace or if you manually install the Connector on a Linux host, then you'll need to set up the custom role yourself.

- Set up permissions for Connector installation in Azure (standard mode)
- Set up permissions for Connector installation on premises (standard mode)
- Set up permissions for restricted mode
- · Set up permissions for private mode

You also need to ensure that the role is up to date as new permissions are added in subsequent releases.

```
"Microsoft.Compute/virtualMachines/restart/action",
                    "Microsoft.Compute/virtualMachines/deallocate/action",
                    "Microsoft.Compute/virtualMachines/start/action",
                    "Microsoft.Compute/virtualMachines/vmSizes/read",
                    "Microsoft.Compute/virtualMachines/write",
                    "Microsoft.Compute/images/read",
                    "Microsoft.Network/locations/operationResults/read",
                    "Microsoft.Network/locations/operations/read",
                    "Microsoft.Network/networkInterfaces/read",
                    "Microsoft.Network/networkInterfaces/write",
                    "Microsoft.Network/networkInterfaces/join/action",
                    "Microsoft.Network/networkSecurityGroups/read",
                    "Microsoft.Network/networkSecurityGroups/write",
                    "Microsoft.Network/networkSecurityGroups/join/action",
                    "Microsoft.Network/virtualNetworks/read",
"Microsoft.Network/virtualNetworks/checkIpAddressAvailability/read",
                    "Microsoft.Network/virtualNetworks/subnets/read",
                    "Microsoft.Network/virtualNetworks/subnets/write",
"Microsoft.Network/virtualNetworks/subnets/virtualMachines/read",
"Microsoft.Network/virtualNetworks/virtualMachines/read",
"Microsoft.Network/virtualNetworks/subnets/join/action",
                    "Microsoft.Resources/deployments/operations/read",
                    "Microsoft.Resources/deployments/read",
                    "Microsoft.Resources/deployments/write",
                    "Microsoft.Resources/resources/read",
"Microsoft.Resources/subscriptions/operationresults/read",
"Microsoft.Resources/subscriptions/resourceGroups/delete",
"Microsoft.Resources/subscriptions/resourceGroups/read",
"Microsoft.Resources/subscriptions/resourcegroups/resources/read",
"Microsoft.Resources/subscriptions/resourceGroups/write",
                    "Microsoft.Storage/checknameavailability/read",
                    "Microsoft.Storage/operations/read",
                    "Microsoft.Storage/storageAccounts/listkeys/action",
                    "Microsoft.Storage/storageAccounts/read",
                    "Microsoft.Storage/storageAccounts/delete",
                    "Microsoft.Storage/storageAccounts/write",
```

```
"Microsoft.Storage/storageAccounts/blobServices/containers/read",
"Microsoft.Storage/storageAccounts/listAccountSas/action",
                    "Microsoft.Storage/usages/read",
                    "Microsoft.Compute/snapshots/write",
                    "Microsoft.Compute/snapshots/read",
                    "Microsoft.Compute/availabilitySets/write",
                    "Microsoft.Compute/availabilitySets/read",
                    "Microsoft.Compute/disks/beginGetAccess/action",
"Microsoft.MarketplaceOrdering/offertypes/publishers/offers/plans/agreemen
ts/read",
"Microsoft.MarketplaceOrdering/offertypes/publishers/offers/plans/agreemen
ts/write",
                    "Microsoft.Network/loadBalancers/read",
                    "Microsoft.Network/loadBalancers/write",
                    "Microsoft.Network/loadBalancers/delete",
"Microsoft.Network/loadBalancers/backendAddressPools/read",
"Microsoft.Network/loadBalancers/backendAddressPools/join/action",
"Microsoft.Network/loadBalancers/loadBalancingRules/read",
                    "Microsoft.Network/loadBalancers/probes/read",
                    "Microsoft.Network/loadBalancers/probes/join/action",
                    "Microsoft.Authorization/locks/*",
                    "Microsoft.Network/routeTables/join/action",
                    "Microsoft.NetApp/netAppAccounts/read",
                    "Microsoft.NetApp/netAppAccounts/capacityPools/read",
"Microsoft.NetApp/netAppAccounts/capacityPools/volumes/write",
"Microsoft.NetApp/netAppAccounts/capacityPools/volumes/read",
"Microsoft.NetApp/netAppAccounts/capacityPools/volumes/delete",
                    "Microsoft.Network/privateEndpoints/write",
"Microsoft.Storage/storageAccounts/PrivateEndpointConnectionsApproval/acti
on",
"Microsoft.Storage/storageAccounts/privateEndpointConnections/read",
"Microsoft.Storage/storageAccounts/managementPolicies/read",
"Microsoft.Storage/storageAccounts/managementPolicies/write",
```

```
"Microsoft.Network/privateEndpoints/read",
                    "Microsoft.Network/privateDnsZones/write",
"Microsoft.Network/privateDnsZones/virtualNetworkLinks/write",
                    "Microsoft.Network/virtualNetworks/join/action",
                    "Microsoft.Network/privateDnsZones/A/write",
                    "Microsoft.Network/privateDnsZones/read",
"Microsoft.Network/privateDnsZones/virtualNetworkLinks/read",
"Microsoft.Resources/deployments/operationStatuses/read",
                    "Microsoft.Insights/Metrics/Read",
                    "Microsoft.Compute/virtualMachines/extensions/write",
                    "Microsoft.Compute/virtualMachines/extensions/delete",
                    "Microsoft.Compute/virtualMachines/extensions/read",
                    "Microsoft.Compute/virtualMachines/delete",
                    "Microsoft.Network/networkInterfaces/delete",
                    "Microsoft.Network/networkSecurityGroups/delete",
                    "Microsoft.Resources/deployments/delete",
                    "Microsoft.Compute/diskEncryptionSets/read",
                    "Microsoft.Compute/snapshots/delete",
                    "Microsoft.Network/privateEndpoints/delete",
                    "Microsoft.Compute/availabilitySets/delete",
                    "Microsoft.KeyVault/vaults/read",
                    "Microsoft.KeyVault/vaults/accessPolicies/write",
                    "Microsoft.Compute/diskEncryptionSets/write",
                    "Microsoft.KeyVault/vaults/deploy/action",
                    "Microsoft.Compute/diskEncryptionSets/delete",
                    "Microsoft.Resources/tags/read",
                    "Microsoft.Resources/tags/write",
                    "Microsoft.Resources/tags/delete",
                    "Microsoft.Network/applicationSecurityGroups/write",
                    "Microsoft.Network/applicationSecurityGroups/read",
"Microsoft.Network/applicationSecurityGroups/joinIpConfiguration/action",
"Microsoft.Network/networkSecurityGroups/securityRules/write",
                    "Microsoft.Network/applicationSecurityGroups/delete",
"Microsoft.Network/networkSecurityGroups/securityRules/delete",
"Microsoft.ContainerService/managedClusters/listClusterUserCredential/acti
on",
                    "Microsoft.ContainerService/managedClusters/read",
                    "Microsoft.Synapse/workspaces/write",
                    "Microsoft.Synapse/workspaces/read",
```

```
"Microsoft.Synapse/workspaces/delete",
                    "Microsoft.Synapse/register/action",
                    "Microsoft.Synapse/checkNameAvailability/action",
                    "Microsoft.Synapse/workspaces/operationStatuses/read",
                    "Microsoft.Synapse/workspaces/firewallRules/read",
"Microsoft.Synapse/workspaces/replaceAllIpFirewallRules/action",
                    "Microsoft.Synapse/workspaces/operationResults/read",
"Microsoft.Synapse/workspaces/privateEndpointConnectionsApproval/action",
"Microsoft.ManagedIdentity/userAssignedIdentities/assign/action",
                    "Microsoft.Compute/images/write",
"Microsoft.Network/loadBalancers/frontendIPConfigurations/read"
   ],
    "NotActions": [],
    "AssignableScopes": [],
    "Description": "BlueXP Permissions",
    "IsCustom": "true"
}
```

How Azure permissions are used

The following sections describe how the permissions are used for each BlueXP service. This information can be helpful if your corporate policies dictate that permissions are only provided as needed.

Azure NetApp Files

The Connector makes the following API requests when you use BlueXP classification to scan Azure NetApp Files data:

- Microsoft.NetApp/netAppAccounts/read
- Microsoft.NetApp/netAppAccounts/capacityPools/read
- Microsoft.NetApp/netAppAccounts/capacityPools/volumes/write
- Microsoft.NetApp/netAppAccounts/capacityPools/volumes/read
- Microsoft.NetApp/netAppAccounts/capacityPools/volumes/delete

Backup and recovery

The Connector makes the following API requests for BlueXP backup and recovery:

- Microsoft.Compute/virtualMachines/read
- · Microsoft.Compute/virtualMachines/start/action
- Microsoft.Compute/virtualMachines/deallocate/action
- Microsoft.Storage/storageAccounts/listkeys/action

- Microsoft.Storage/storageAccounts/read
- · Microsoft.Storage/storageAccounts/write
- · Microsoft.Storage/storageAccounts/blobServices/containers/read
- Microsoft.Storage/storageAccounts/listAccountSas/action
- · Microsoft.KeyVault/vaults/read
- Microsoft.KeyVault/vaults/accessPolicies/write
- Microsoft.Network/networkInterfaces/read
- · Microsoft.Resources/subscriptions/locations/read
- Microsoft.Network/virtualNetworks/read
- Microsoft.Network/virtualNetworks/subnets/read
- · Microsoft.Resources/subscriptions/resourceGroups/read
- · Microsoft.Resources/subscriptions/resourcegroups/resources/read
- · Microsoft.Resources/subscriptions/resourceGroups/write
- Microsoft.Authorization/locks/*
- · Microsoft.Network/privateEndpoints/write
- · Microsoft.Network/privateEndpoints/read
- Microsoft.Network/privateDnsZones/virtualNetworkLinks/write
- Microsoft.Network/virtualNetworks/join/action
- · Microsoft.Network/privateDnsZones/A/write
- · Microsoft.Network/privateDnsZones/read
- Microsoft.Network/privateDnsZones/virtualNetworkLinks/read
- · Microsoft.Compute/virtualMachines/extensions/delete
- Microsoft.Compute/virtualMachines/delete
- · Microsoft.Network/networkInterfaces/delete
- · Microsoft.Network/networkSecurityGroups/delete
- Microsoft.Resources/deployments/delete
- · Microsoft.ManagedIdentity/userAssignedIdentities/assign/action

The Connector makes the following API requests when you use the Search & Restore functionality:

- · Microsoft.Synapse/workspaces/write
- · Microsoft.Synapse/workspaces/read
- · Microsoft.Synapse/workspaces/delete
- Microsoft.Synapse/register/action
- · Microsoft.Synapse/checkNameAvailability/action
- · Microsoft.Synapse/workspaces/operationStatuses/read
- · Microsoft.Synapse/workspaces/firewallRules/read
- Microsoft.Synapse/workspaces/replaceAllIpFirewallRules/action

- Microsoft.Synapse/workspaces/operationResults/read
- $\bullet \ \, Microsoft. Synapse/work spaces/private Endpoint Connections Approval/action$

Classification

The Connector makes the following API requests when you use BlueXP classification.

| Action | Used for set up? | Used for daily operations? |
|--|------------------|----------------------------|
| Microsoft.Compute/locations/operat ions/read | Yes | Yes |
| Microsoft.Compute/locations/vmSiz es/read | Yes | Yes |
| Microsoft.Compute/operations/read | Yes | Yes |
| Microsoft.Compute/virtualMachines/instanceView/read | Yes | Yes |
| Microsoft.Compute/virtualMachines/powerOff/action | Yes | No |
| Microsoft.Compute/virtualMachines/read | Yes | Yes |
| Microsoft.Compute/virtualMachines/restart/action | Yes | No |
| Microsoft.Compute/virtualMachines/ start/action | Yes | No |
| Microsoft.Compute/virtualMachines/ vmSizes/read | No | Yes |
| Microsoft.Compute/virtualMachines/write | Yes | No |
| Microsoft.Compute/images/read | Yes | Yes |
| Microsoft.Compute/disks/delete | Yes | No |
| Microsoft.Compute/disks/read | Yes | Yes |
| Microsoft.Compute/disks/write | Yes | No |
| Microsoft.Storage/checknameavaila bility/read | Yes | Yes |
| Microsoft.Storage/operations/read | Yes | Yes |
| Microsoft.Storage/storageAccounts/listkeys/action | Yes | No |
| Microsoft.Storage/storageAccounts/read | Yes | Yes |
| Microsoft.Storage/storageAccounts/write | Yes | No |
| Microsoft.Storage/storageAccounts/blobServices/containers/read | Yes | Yes |

| Action | Used for set up? | Used for daily operations? |
|--|------------------|----------------------------|
| Microsoft.Network/networkInterface s/read | Yes | Yes |
| Microsoft.Network/networkInterface s/write | Yes | No |
| Microsoft.Network/networkInterface s/join/action | Yes | No |
| Microsoft.Network/networkSecurity Groups/read | Yes | Yes |
| Microsoft.Network/networkSecurity Groups/write | Yes | No |
| Microsoft.Resources/subscriptions/l ocations/read | Yes | Yes |
| Microsoft.Network/locations/operationResults/read | Yes | Yes |
| Microsoft.Network/locations/operations/read | Yes | Yes |
| Microsoft.Network/virtualNetworks/r ead | Yes | Yes |
| Microsoft.Network/virtualNetworks/c hecklpAddressAvailability/read | Yes | Yes |
| Microsoft.Network/virtualNetworks/s ubnets/read | Yes | Yes |
| Microsoft.Network/virtualNetworks/s ubnets/virtualMachines/read | Yes | Yes |
| Microsoft.Network/virtualNetworks/virtualMachines/read | Yes | Yes |
| Microsoft.Network/virtualNetworks/s ubnets/join/action | Yes | No |
| Microsoft.Network/virtualNetworks/s ubnets/write | Yes | No |
| Microsoft.Network/routeTables/join/action | Yes | No |
| Microsoft.Resources/deployments/o perations/read | Yes | Yes |
| Microsoft.Resources/deployments/r ead | Yes | Yes |
| Microsoft.Resources/deployments/ write | Yes | No |
| Microsoft.Resources/resources/rea | Yes | Yes |

| Action | Used for set up? | Used for daily operations? |
|--|------------------|----------------------------|
| Microsoft.Resources/subscriptions/ operationresults/read | Yes | Yes |
| Microsoft.Resources/subscriptions/r esourceGroups/delete | Yes | No |
| Microsoft.Resources/subscriptions/r esourceGroups/read | Yes | Yes |
| Microsoft.Resources/subscriptions/r esourcegroups/resources/read | Yes | Yes |
| Microsoft.Resources/subscriptions/r esourceGroups/write | Yes | No |

Cloud Volumes ONTAP

The Connector makes the following API requests to deploy and manage Cloud Volumes ONTAP in Azure.

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|------------------------------|---|----------------------|----------------------------|--------------------|
| Create and manage VMs | Microsoft.Compute/I ocations/operations/r ead | Yes | Yes | No |
| | Microsoft.Compute/I ocations/vmSizes/re ad | Yes | Yes | No |
| | Microsoft.Resources /subscriptions/locatio ns/read | Yes | No | No |
| | Microsoft.Compute/o perations/read | Yes | Yes | No |
| | Microsoft.Compute/v irtualMachines/insta nceView/read | Yes | Yes | No |
| | Microsoft.Compute/v irtualMachines/powe rOff/action | Yes | Yes | No |
| | Microsoft.Compute/v irtualMachines/read | Yes | Yes | No |
| | Microsoft.Compute/v irtualMachines/restar t/action | Yes | Yes | No |
| | Microsoft.Compute/v irtualMachines/start/ action | Yes | Yes | No |
| | Microsoft.Compute/v irtualMachines/deall ocate/action | No | Yes | Yes |
| | Microsoft.Compute/v irtualMachines/vmSi zes/read | No | Yes | No |
| | Microsoft.Compute/v irtualMachines/write | Yes | Yes | No |
| | Microsoft.Compute/v irtualMachines/delet e | Yes | Yes | Yes |
| | Microsoft.Resources /deployments/delete | Yes | No | No |
| Enable deployment from a VHD | Microsoft.Compute/i mages/read | Yes | No | No |
| | Microsoft.Compute/i mages/write | Yes | No | No |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|---|---|----------------------|----------------------------|--------------------|
| Create and manage network interfaces in the target subnet | Microsoft.Network/n etworkInterfaces/rea d | Yes | Yes | No |
| | Microsoft.Network/n etworkInterfaces/writ e | Yes | Yes | No |
| | Microsoft.Network/n etworkInterfaces/join /action | Yes | Yes | No |
| | Microsoft.Network/n etworkInterfaces/del ete | Yes | Yes | No |
| Create and manage network security groups | Microsoft.Network/n etworkSecurityGroup s/read | Yes | Yes | No |
| | Microsoft.Network/n etworkSecurityGroup s/write | Yes | Yes | No |
| | Microsoft.Network/n etworkSecurityGroup s/join/action | Yes | No | No |
| | Microsoft.Network/n etworkSecurityGroup s/delete | No | Yes | Yes |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|--|---|----------------------|----------------------------|--------------------|
| Get network information about regions, the target VNet and subnet, and add the VMs to VNets | Microsoft.Network/lo cations/operationRe sults/read | Yes | Yes | No |
| | Microsoft.Network/lo cations/operations/re ad | Yes | Yes | No |
| | Microsoft.Network/vir tualNetworks/read | Yes | No | No |
| | Microsoft.Network/vir tualNetworks/checkl pAddressAvailability/ read | Yes | No | No |
| | Microsoft.Network/vir tualNetworks/subnet s/read | Yes | Yes | No |
| | Microsoft.Network/vir tualNetworks/subnet s/virtualMachines/re ad | Yes | Yes | No |
| | Microsoft.Network/vir tualNetworks/virtual Machines/read | Yes | Yes | No |
| | Microsoft.Network/vir tualNetworks/subnet s/join/action | Yes | Yes | No |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|-----------------------------------|---|----------------------|----------------------------|--------------------|
| Create and manage resource groups | Microsoft.Resources /deployments/operati ons/read | Yes | Yes | No |
| | Microsoft.Resources /deployments/read | Yes | Yes | No |
| | Microsoft.Resources /deployments/write | Yes | Yes | No |
| | Microsoft.Resources /resources/read | Yes | Yes | No |
| | Microsoft.Resources /subscriptions/operat ionresults/read | Yes | Yes | No |
| | Microsoft.Resources /subscriptions/resour ceGroups/delete | Yes | Yes | Yes |
| | Microsoft.Resources /subscriptions/resour ceGroups/read | No | Yes | No |
| | Microsoft.Resources /subscriptions/resour cegroups/resources/ read | Yes | Yes | No |
| | Microsoft.Resources /subscriptions/resour ceGroups/write | Yes | Yes | No |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|--|--|----------------------|----------------------------|--------------------|
| Manage Azure storage accounts | Microsoft.Compute/d isks/read | Yes | Yes | Yes |
| and disks | Microsoft.Compute/d isks/write | Yes | Yes | No |
| | Microsoft.Compute/d isks/delete | Yes | Yes | Yes |
| | Microsoft.Storage/ch ecknameavailability/r ead | Yes | Yes | No |
| | Microsoft.Storage/op erations/read | Yes | Yes | No |
| | Microsoft.Storage/st orageAccounts/listke ys/action | Yes | Yes | No |
| | Microsoft.Storage/st orageAccounts/read | Yes | Yes | No |
| | Microsoft.Storage/st orageAccounts/delet e | No | Yes | Yes |
| | Microsoft.Storage/st orageAccounts/write | Yes | Yes | No |
| | Microsoft.Storage/us ages/read | No | Yes | No |
| Enable backups to Blob storage and encryption of storage accounts | Microsoft.Storage/st orageAccounts/blob Services/containers/r ead | Yes | Yes | No |
| | Microsoft.KeyVault/v aults/read | Yes | Yes | No |
| | Microsoft.KeyVault/v aults/accessPolicies/ write | Yes | Yes | No |
| Enable VNet service endpoints for data tiering | Microsoft.Network/vir tualNetworks/subnet s/write | Yes | Yes | No |
| | Microsoft.Network/ro uteTables/join/action | Yes | Yes | No |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|--|---|----------------------|----------------------------|--------------------|
| Create and manage Azure managed | Microsoft.Compute/s napshots/write | Yes | Yes | No |
| snapshots | Microsoft.Compute/s napshots/read | Yes | Yes | No |
| | Microsoft.Compute/s napshots/delete | No | Yes | Yes |
| | Microsoft.Compute/d isks/beginGetAccess /action | No | Yes | No |
| Create and manage availability sets | Microsoft.Compute/a vailabilitySets/write | Yes | No | No |
| | Microsoft.Compute/a vailabilitySets/read | Yes | No | No |
| Enable programmatic deployments from the marketplace | Microsoft.Marketplac eOrdering/offertypes /publishers/offers/pla ns/agreements/read | Yes | No | No |
| | Microsoft.Marketplac eOrdering/offertypes /publishers/offers/pla ns/agreements/write | Yes | Yes | No |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|---|---|----------------------|----------------------------|--------------------|
| Manage a load balancer for HA | Microsoft.Network/lo adBalancers/read | Yes | Yes | No |
| pairs | Microsoft.Network/lo adBalancers/write | Yes | No | No |
| | Microsoft.Network/lo adBalancers/delete | No | Yes | Yes |
| | Microsoft.Network/lo adBalancers/backen dAddressPools/read | Yes | No | No |
| | Microsoft.Network/lo adBalancers/backen dAddressPools/join/ action | Yes | No | No |
| | Microsoft.Network/lo adBalancers/fronten dIPConfigurations/re ad | Yes | Yes | No |
| | Microsoft.Network/lo adBalancers/loadBal ancingRules/read | Yes | No | No |
| | Microsoft.Network/lo adBalancers/probes/ read | Yes | No | No |
| | Microsoft.Network/lo adBalancers/probes/ join/action | Yes | No | No |
| Enable management of locks on Azure disks | Microsoft.Authorizati on/locks/* | Yes | Yes | No |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|---|---|----------------------|----------------------------|--------------------|
| Enable private endpoints for HA pairs when there's no connectivity outside the subnet | Microsoft.Network/pr ivateEndpoints/write | Yes | Yes | No |
| | Microsoft.Storage/st orageAccounts/Priva teEndpointConnectio nsApproval/action | Yes | No | No |
| | Microsoft.Storage/st orageAccounts/priva teEndpointConnectio ns/read | Yes | Yes | Yes |
| | Microsoft.Network/pr ivateEndpoints/read | Yes | Yes | Yes |
| | Microsoft.Network/pr ivateDnsZones/write | Yes | Yes | No |
| | Microsoft.Network/pr ivateDnsZones/virtu alNetworkLinks/write | Yes | Yes | No |
| | Microsoft.Network/vir tualNetworks/join/act ion | Yes | Yes | No |
| | Microsoft.Network/pr ivateDnsZones/A/wri te | Yes | Yes | No |
| | Microsoft.Network/pr ivateDnsZones/read | Yes | Yes | No |
| | Microsoft.Network/pr ivateDnsZones/virtu alNetworkLinks/read | Yes | Yes | No |
| Required for some VM deployments, depending on the underlying physical hardware | Microsoft.Resources /deployments/operati onStatuses/read | Yes | Yes | No |
| Remove resources from a resource group in case of | Microsoft.Network/pr ivateEndpoints/delet e | Yes | Yes | No |
| deployment failure or deletion | Microsoft.Compute/a vailabilitySets/delete | Yes | Yes | No |

| Purpose | Action | Used for deployment? | Used for daily operations? | Used for deletion? |
|---|--|----------------------|----------------------------|--------------------|
| Enable the use of customer-managed encryption keys | Microsoft.Compute/d iskEncryptionSets/re ad | Yes | Yes | Yes |
| when using the API | Microsoft.Compute/d iskEncryptionSets/wr ite | Yes | Yes | No |
| | Microsoft.KeyVault/v aults/deploy/action | Yes | No | No |
| | Microsoft.Compute/d iskEncryptionSets/de lete | Yes | Yes | Yes |
| Configure an application security group for an HA pair | Microsoft.Network/a pplicationSecurityGr oups/write | No | Yes | No |
| to isolate the HA interconnect and cluster network NICs | Microsoft.Network/a pplicationSecurityGr oups/read | No | Yes | No |
| | Microsoft.Network/a pplicationSecurityGr oups/joinIpConfigura tion/action | No | Yes | No |
| | Microsoft.Network/n etworkSecurityGroup s/securityRules/write | Yes | Yes | No |
| | Microsoft.Network/a pplicationSecurityGr oups/delete | No | Yes | Yes |
| | Microsoft.Network/n etworkSecurityGroup s/securityRules/delet e | No | Yes | Yes |
| Read, write, and delete tags | Microsoft.Resources /tags/read | No | Yes | No |
| associated with Cloud Volumes ONTAP resources | Microsoft.Resources /tags/write | Yes | Yes | No |
| | Microsoft.Resources /tags/delete | Yes | No | No |
| Encrypt storage accounts during creation | Microsoft.ManagedId entity/userAssignedI dentities/assign/actio n | Yes | Yes | No |

Edge caching

The Connector makes the following API requests when you use BlueXP edge caching:

- · Microsoft.Insights/Metrics/Read
- Microsoft.Compute/virtualMachines/extensions/write
- · Microsoft.Compute/virtualMachines/extensions/read
- Microsoft.Compute/virtualMachines/extensions/delete
- Microsoft.Compute/virtualMachines/delete
- Microsoft.Network/networkInterfaces/delete
- Microsoft.Network/networkSecurityGroups/delete
- · Microsoft.Resources/deployments/delete

Kubernetes

The Connector makes the following API requests to discover and manage clusters running in Azure Kubernetes Service (AKS):

- · Microsoft.Compute/virtualMachines/read
- · Microsoft.Resources/subscriptions/locations/read
- · Microsoft.Resources/subscriptions/operationresults/read
- · Microsoft.Resources/subscriptions/resourceGroups/read
- Microsoft.Resources/subscriptions/resourcegroups/resources/read
- Microsoft.ContainerService/managedClusters/read
- Microsoft.ContainerService/managedClusters/listClusterUserCredential/action

Remediation

The Connector makes the following API requests to manage tags on Azure resources when you use BlueXP remediation:

- · Microsoft.Resources/resources/read
- · Microsoft.Resources/subscriptions/operationresults/read
- Microsoft.Resources/subscriptions/resourceGroups/read
- · Microsoft.Resources/subscriptions/resourcegroups/resources/read
- · Microsoft.Resources/tags/read
- · Microsoft.Resources/tags/write

Tiering

The Connector makes the following API requests when you set up BlueXP tiering.

- Microsoft.Storage/storageAccounts/listkeys/action
- · Microsoft.Resources/subscriptions/resourceGroups/read
- Microsoft.Resources/subscriptions/locations/read

The Connector makes the following API requests for daily operations.

- · Microsoft.Storage/storageAccounts/blobServices/containers/read
- · Microsoft.Storage/storageAccounts/managementPolicies/read
- Microsoft.Storage/storageAccounts/managementPolicies/write
- Microsoft.Storage/storageAccounts/read

Change log

As permissions are added and removed, we'll note them in the sections below.

12 May, 2023

The following permissions were added to the JSON policy because they are required for Cloud Volumes ONTAP management:

- · Microsoft.Compute/images/write
- · Microsoft.Network/loadBalancers/frontendIPConfigurations/read

The following permissions were removed from the JSON policy because they are no longer required:

- Microsoft.Storage/storageAccounts/blobServices/containers/write
- Microsoft.Network/publicIPAddresses/delete

23 March, 2023

The "Microsoft.Storage/storageAccounts/delete" permission is no longer needed for BlueXP classification.

This permission is still required for Cloud Volumes ONTAP.

5 January, 2023

The following permissions were added to the JSON policy:

- Microsoft.Storage/storageAccounts/listAccountSas/action
- Microsoft.Synapse/workspaces/privateEndpointConnectionsApproval/action

These permissions are required for BlueXP backup and recovery.

Microsoft.Network/loadBalancers/backendAddressPools/join/action

This permission is required for Cloud Volumes ONTAP deployment.

Google Cloud permissions for the Connector

BlueXP requires permissions to perform actions in Google Cloud. These permissions are included in a custom role provided by NetApp. You might want to understand what BlueXP does with these permissions.

Service account permissions

The custom role shown below provides the permissions that a Connector needs to manage resources and processes within your Google Cloud network.

You'll need to apply this custom role to a service account that gets attached to the Connector VM.

- Set up permissions for standard mode
- · Set up permissions for restricted mode
- · Set up permissions for private mode

You also need to ensure that the role is up to date as new permissions are added in subsequent releases.

```
title: NetApp BlueXP
description: Permissions for the service account associated with the
Connector instance.
stage: GA
includedPermissions:
- iam.serviceAccounts.actAs
- compute.regionBackendServices.create
- compute.regionBackendServices.get
- compute.regionBackendServices.list
- compute.networks.updatePolicy
- compute.backendServices.create
- compute.addresses.list
- compute.disks.create
- compute.disks.createSnapshot
- compute.disks.delete
- compute.disks.get
- compute.disks.list
- compute.disks.setLabels
- compute.disks.use
- compute.firewalls.create
- compute.firewalls.delete
- compute.firewalls.get
- compute.firewalls.list
- compute.globalOperations.get
- compute.images.get
- compute.images.getFromFamily
- compute.images.list
- compute.images.useReadOnly
- compute.instances.addAccessConfig
- compute.instances.attachDisk
- compute.instances.create
- compute.instances.delete
- compute.instances.detachDisk
- compute.instances.get
```

- compute.instances.getSerialPortOutput
- compute.instances.list
- compute.instances.setDeletionProtection
- compute.instances.setLabels
- compute.instances.setMachineType
- compute.instances.setMetadata
- compute.instances.setTags
- compute.instances.start
- compute.instances.stop
- compute.instances.updateDisplayDevice
- compute.instanceGroups.get
- compute.addresses.get
- compute.instances.updateNetworkInterface
- compute.machineTypes.get
- compute.networks.get
- compute.networks.list
- compute.projects.get
- compute.regions.get
- compute.regions.list
- compute.snapshots.create
- compute.snapshots.delete
- compute.snapshots.get
- compute.snapshots.list
- compute.snapshots.setLabels
- compute.subnetworks.get
- compute.subnetworks.list
- compute.subnetworks.use
- compute.subnetworks.useExternalIp
- compute.zoneOperations.get
- compute.zones.get
- compute.zones.list
- compute.instances.setServiceAccount
- deploymentmanager.compositeTypes.get
- deploymentmanager.compositeTypes.list
- deploymentmanager.deployments.create
- deploymentmanager.deployments.delete
- deploymentmanager.deployments.get
- deploymentmanager.deployments.list
- deploymentmanager.manifests.get
- deploymentmanager.manifests.list
- deploymentmanager.operations.get
- deploymentmanager.operations.list
- deploymentmanager.resources.get
- deploymentmanager.resources.list
- deploymentmanager.typeProviders.get
- deploymentmanager.typeProviders.list

- deploymentmanager.types.get - deploymentmanager.types.list - logging.logEntries.list - logging.privateLogEntries.list - resourcemanager.projects.get - storage.buckets.create - storage.buckets.delete - storage.buckets.get - storage.buckets.list - cloudkms.cryptoKeyVersions.useToEncrypt - cloudkms.cryptoKeys.get - cloudkms.cryptoKeys.list - cloudkms.keyRings.list - storage.buckets.update - iam.serviceAccounts.getIamPolicy - iam.serviceAccounts.list - storage.objects.get - storage.objects.list - monitoring.timeSeries.list - storage.buckets.getIamPolicy - cloudkms.cryptoKeys.getIamPolicy - cloudkms.cryptoKeys.setIamPolicy - cloudkms.keyRings.get - cloudkms.keyRings.getIamPolicy - cloudkms.keyRings.setIamPolicy

How Google Cloud permissions are used

| Actions | Purpose |
|---|---|
| - compute.disks.create - compute.disks.createSnapshot - compute.disks.delete - compute.disks.get - compute.disks.list - compute.disks.setLabels - compute.disks.use | To create and manage disks for Cloud Volumes ONTAP. |
| compute.firewalls.createcompute.firewalls.deletecompute.firewalls.getcompute.firewalls.list | To create firewall rules for Cloud Volumes ONTAP. |
| - compute.globalOperations.get | To get the status of operations. |
| compute.images.getcompute.images.getFromFamilycompute.images.listcompute.images.useReadOnly | To get images for VM instances. |

| Actions | Purpose |
|---|--|
| - compute.instances.attachDisk - compute.instances.detachDisk | To attach and detach disks to Cloud Volumes ONTAP. |
| - compute.instances.create - compute.instances.delete | To create and delete Cloud Volumes ONTAP VM instances. |
| - compute.instances.get | To list VM instances. |
| - compute.instances.getSerialPortOutput | To get console logs. |
| - compute.instances.list | To retrieve the list of instances in a zone. |
| - compute.instances.setDeletionProtection | To set deletion protection on the instance. |
| - compute.instances.setLabels | To add labels. |
| compute.instances.setMachineTypecompute.instances.setMinCpuPlatform | To change the machine type for Cloud Volumes ONTAP. |
| - compute.instances.setMetadata | To add metadata. |
| - compute.instances.setTags | To add tags for firewall rules. |
| compute.instances.startcompute.instances.stopcompute.instances.updateDisplayDevice | To start and stop Cloud Volumes ONTAP. |
| - compute.machineTypes.get | To get the numbers of cores to check qoutas. |
| - compute.projects.get | To support multi-projects. |
| compute.snapshots.create compute.snapshots.delete compute.snapshots.get compute.snapshots.list compute.snapshots.setLabels | To create and manage persistent disk snapshots. |
| compute.networks.get compute.regions.get compute.regions.list compute.subnetworks.get compute.subnetworks.list compute.zoneOperations.get compute.zones.get compute.zones.list | To get the networking information needed to create a new Cloud Volumes ONTAP virtual machine instance. |

| Actions | Purpose |
|---|--|
| deploymentmanager.compositeTypes.get deploymentmanager.deployments.create deploymentmanager.deployments.delete deploymentmanager.deployments.get deploymentmanager.deployments.list deploymentmanager.manifests.get deploymentmanager.manifests.list deploymentmanager.operations.get deploymentmanager.operations.list deploymentmanager.resources.get deploymentmanager.resources.list deploymentmanager.typeProviders.get deploymentmanager.typeProviders.list deploymentmanager.types.get deploymentmanager.types.get deploymentmanager.types.list | To deploy the Cloud Volumes ONTAP virtual machine instance using Google Cloud Deployment Manager. |
| logging.logEntries.listlogging.privateLogEntries.list | To get stack log drives. |
| - resourcemanager.projects.get | To support multi-projects. |
| storage.buckets.createstorage.buckets.deletestorage.buckets.getstorage.buckets.liststorage.buckets.update | To create and manage a Google Cloud Storage bucket for data tiering. |
| cloudkms.cryptoKeyVersions.useToEncryptcloudkms.cryptoKeys.getcloudkms.cryptoKeys.listcloudkms.keyRings.list | To use customer-managed encryption keys from the Cloud Key Management Service with Cloud Volumes ONTAP. |
| compute.instances.setServiceAccount iam.serviceAccounts.actAs iam.serviceAccounts.getIamPolicy iam.serviceAccounts.list storage.objects.get storage.objects.list | To set a service account on the Cloud Volumes ONTAP instance. This service account provides permissions for data tiering to a Google Cloud Storage bucket. |
| - compute.addresses.list | To retrieve the addresses in a region when deploying an HA pair. |
| compute.backendServices.createcompute.regionBackendServices.createcompute.regionBackendServices.getcompute.regionBackendServices.list | To configure a backend service for distributing traffic in an HA pair. |
| - compute.networks.updatePolicy | To apply firewall rules on the VPCs and subnets for an HA pair. |
| compute.subnetworks.usecompute.subnetworks.useExternallpcompute.instances.addAccessConfig | To enable BlueXP classification. |

| Actions | Purpose |
|--|---|
| - container.clusters.get - container.clusters.list | To discover Kubernetes clusters running in Google Kubernetes Engine. |
| compute.instanceGroups.getcompute.addresses.getcompute.instances.updateNetworkInterface | To create and manage storage VMs on Cloud Volumes ONTAP HA pairs. |
| - monitoring.timeSeries.list - storage.buckets.getlamPolicy | To discover information about Google Cloud Storage buckets. |
| cloudkms.cryptoKeys.get cloudkms.cryptoKeys.getlamPolicy cloudkms.cryptoKeys.list cloudkms.cryptoKeys.setlamPolicy cloudkms.keyRings.get cloudkms.keyRings.getlamPolicy cloudkms.keyRings.list cloudkms.keyRings.setlamPolicy | To select your own customer-managed keys in the BlueXP backup and recovery activation wizard instead of using the default Google-managed encryption keys. |

Change log

As permissions are added and removed, we'll note them in the sections below.

6 February, 2023

The following permission was added to this policy:

• compute.instances.updateNetworkInterface

This permission is required for Cloud Volumes ONTAP.

27 January, 2023

The following permissions were added to the policy:

- cloudkms.cryptoKeys.getlamPolicy
- · cloudkms.cryptoKeys.setlamPolicy
- · cloudkms.keyRings.get
- cloudkms.keyRings.getlamPolicy
- cloudkms.keyRings.setlamPolicy

These permissions are required for BlueXP backup and recovery.

Ports

Security group rules in AWS

The AWS security group for the Connector requires both inbound and outbound rules. BlueXP automatically creates this security group when you create a Connector from BlueXP. You need to set up this security group for all other installation options.

Inbound rules

| Protocol | Port | Purpose |
|----------|---------------|--|
| SSH | 22 | Provides SSH access to the Connector host |
| HTTP | 80 | Provides HTTP access from client web browsers to the local user interface |
| HTTPS | 443 | Provides HTTPS access from client web browsers to the local user interface, and connections from the BlueXP classification instance |
| TCP | 3128 | Provides Cloud Volumes ONTAP with internet access to send AutoSupport messages to NetApp Support. You must manually open this port after deployment. Learn how the Connector is used as a proxy for AutoSupport messages |
| TCP | 9060, 9061 | Provides the ability to enable and use BlueXP classification and BlueXP backup and recovery in Government regions. |

Outbound rules

The predefined security group for the Connector opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

Basic outbound rules

The predefined security group for the Connector includes the following outbound rules.

| Protocol | Port | Purpose |
|----------|------|----------------------|
| All TCP | All | All outbound traffic |
| All UDP | All | All outbound traffic |

Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by the Connector.



The source IP address is the Connector host.

| Service | Prot ocol | | Destination | Purpose |
|------------------------------|-----------|----------|--|---|
| API calls and AutoSupport | HTT PS | 44 3 | Outbound internet and ONTAP cluster management LIF | API calls to AWS, to ONTAP, to BlueXP classification, to BlueXP ransomware protection, and sending AutoSupport messages to NetApp |
| API calls | TCP | 30 00 | ONTAP HA mediator | Communication with the ONTAP HA mediator |
| | TCP | 80 80 | BlueXP classification | Probe to BlueXP classification instance during deployment |
| DNS | UDP | 53 | DNS | Used for DNS resolve by BlueXP |

Security group rules in Azure

The Azure security group for the Connector requires both inbound and outbound rules. BlueXP automatically creates this security group when you create a Connector from BlueXP. You need to set up this security group for all other installation options.

Inbound rules

| Protoc ol | Port | Purpose |
|--------------|---------------|--|
| SSH | 22 | Provides SSH access to the Connector host |
| HTTP | 80 | Provides HTTP access from client web browsers to the local user interface |
| HTTP S | 443 | Provides HTTPS access from client web browsers to the local user interface, and connections from the BlueXP classification instance |
| TCP | 3128 | Provides Cloud Volumes ONTAP with internet access to send AutoSupport messages to NetApp Support. You must manually open this port after deployment. Learn how the Connector is used as a proxy for AutoSupport messages |
| TCP | 9060, 9061 | Provides the ability to enable and use BlueXP classification and BlueXP backup and recovery in Government regions. |

Outbound rules

The predefined security group for the Connector opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

Basic outbound rules

The predefined security group for the Connector includes the following outbound rules.

| Protoc ol | Por t | Purpose |
|--------------|----------|----------------------|
| All TCP | All | All outbound traffic |
| All UDP | All | All outbound traffic |

Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by the Connector.



The source IP address is the Connector host.

| Service | Prot ocol | Destination | Purpose |
|------------------------------|-----------|--|---|
| API calls and AutoSupport | | Outbound internet and ONTAP cluster management LIF | API calls to Azure, to ONTAP, to BlueXP classification, to BlueXP ransomware protection, and sending AutoSupport messages to NetApp |

| Service | Prot ocol | | Destination | Purpose |
|-----------|-----------|----------|-----------------------|---|
| API calls | TCP | 80 80 | BlueXP classification | Probe to BlueXP classification instance during deployment |
| DNS | UDP | 53 | DNS | Used for DNS resolve by BlueXP |

Firewall rules in Google Cloud

The Google Cloud firewall rules for the Connector requires both inbound and outbound rules. BlueXP automatically creates this security group when you create a Connector from BlueXP. You need to set up this security group for all other installation options.

Inbound rules

| Protocol | Port | Purpose |
|----------|------|--|
| SSH | 22 | Provides SSH access to the Connector host |
| HTTP | 80 | Provides HTTP access from client web browsers to the local user interface |
| HTTPS | 443 | Provides HTTPS access from client web browsers to the local user interface |
| TCP | 3128 | Provides Cloud Volumes ONTAP with internet access to send AutoSupport messages to NetApp Support. You must manually open this port after deployment. Learn how the Connector is used as a proxy for AutoSupport messages |

Outbound rules

The predefined firewall rules for the Connector opens all outbound traffic. If that is acceptable, follow the basic outbound rules. If you need more rigid rules, use the advanced outbound rules.

Basic outbound rules

The predefined firewall rules for the Connector includes the following outbound rules.

| Protocol | Port | Purpose |
|----------|------|----------------------|
| All TCP | All | All outbound traffic |
| All UDP | All | All outbound traffic |

Advanced outbound rules

If you need rigid rules for outbound traffic, you can use the following information to open only those ports that are required for outbound communication by the Connector.



The source IP address is the Connector host.

| Service | Prot ocol | _ | Destination | Purpose |
|------------------------------|-----------|----------|--|--|
| API calls and AutoSupport | | 44 3 | Outbound internet and ONTAP cluster management LIF | API calls to Google Cloud, to ONTAP, to BlueXP classification, to BlueXP ransomware protection, and sending AutoSupport messages to NetApp |
| API calls | TCP | 80 80 | BlueXP classification | Probe to BlueXP classification instance during deployment |
| DNS | UDP | 53 | DNS | Used for DNS resolve by BlueXP |

Ports for the on-prem Connector

The Connector uses *inbound* ports when installed manually on an on-premises Linux host. You might need to refer to these ports for planning purposes.

These inbound rules apply to all BlueXP deployment models.

| Protocol | Port | Purpose | |
|----------|------|--|--|
| HTTP | 80 | Provides HTTP access from client web browsers to the local user interface | |
| HTTPS | 443 | Provides HTTPS access from client web browsers to the local user interface | |

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