

Revision: 23.0 on 09/28/2020

DCT To Go Report

CH/A: JN1R - ALTA AVIONICS LLC DCT ID: 41276-FY21Q2-1.4.3-0001 MLF Label: Manuals DCT Type: ED DCT Specialty: Airworthiness DCT Revision: 23.0 on 09/28/2020 (Released)

Summary Information

Purpose (Certificate Holder Responsibility): To provide manuals required by personnel to perform their duties.

Objective (FAA Responsibility): Determine if the CH will be able to; (1) Comply with regulations; (2) Provide manuals required by personnel to perform their duties.

Common Data Fields

<input type="checkbox"/> *If work is offsite of the airfield, include one of the following		◦ City	
▷ Address:		◦ State	
▷ Latitude/Longitude:		◦ Postal Code	
Start Date		◦ Country	
End Date		◦ Latitude	
Location: (Nearest) Airfield		◦ Longitude	
◦ Address 1		Related/Affiliated Maintenance Designator	
◦ Address 2		CH/A Provided	No
◦ Address 3			

Supplemental Information

SRR
145.109(a), 145.161(a), 145.203, 145.205(a), 145.205(b), 145.205(c), 145.207(a), 145.207(c), 145.209(a), 145.209(b), 145.209(c), 145.209(e), 145.209(f), 145.209(g), 145.209(h), 145.209(i), 145.209(j), 145.209(k), 145.211(c), 145.217(a), 145.221(b)

Advisory Circular (AC)
AC-145-9

FAA Order 8900.1
8900.1 Vol 6 Ch 2 Sec 27, 8900.1 Vol 6 Ch 9 Sec 11, 8900.1 Vol 6 Ch 9 Sec 13, 8900.1 Vol 6 Ch 9 Sec 14, 8900.1 Vol 6 Ch 9 Sec 16, 8900.1 Vol 6 Ch 9 Sec 18, 8900.1 Vol 6 Ch 9 Sec 2, 8900.1 Vol 6 Ch 9 Sec 23, 8900.1 Vol 6 Ch 9 Sec 4, 8900.1 Vol 6 Ch 9 Sec 6, 8900.1 Vol 6 Ch 9 Sec 7, 8900.1 Vol 8 Ch 5 Sec 6

PI Instructions

Questions	Answers	Response Detail, Comments and Actions
1.4.3 Manuals		
2 Does the process design ensure the individual with responsibility provides both financial and human resources for the safety and quality performance of this element? Safety Attribute: Responsibility, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:48 PM , QID: 51943, Response Details: Responsibility ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	
4 Is the person with authority clearly identifiable, qualified and knowledgeable to effectively plan, direct and control resources, change procedures and make key determinations including safety risk acceptance decisions for this element? Safety Attribute: Authority, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:48 PM , QID: 51944, Response Details: Authority ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	
6 Do process measurement(s) exist to evaluate the performance of this element and implement corrective action if necessary? Safety Attribute: Process Measurement, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:48 PM , QID: 51945, Response Details: Process Measurement ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	

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Questions	Answers	Response Detail, Comments and Actions
<p>16 Do the Repair Station and Quality Control manuals have procedures for revising these manuals, to include notifying the responsible Flight Standards office and how often notifications will occur?</p> <p>REFERENCES: SRR 145.207(a), 145.209(j), 145.211(c) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 11, 8900.1 Vol 6 Ch 9 Sec 2</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 7 6/24/2019 9:38:08 AM , QID: 1236, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p> <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable </p>	
<p>17 Do the procedures specify how the FAA will receive its revisions?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 7</p> <p>NOTE: This applies to the Repair Station Manual and Quality Control Manual.</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 3 3/1/2017 9:43:35 AM , QID: 1238, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p> <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable </p>	
<p>18 Do the procedures specify how manual revisions will be distributed?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-9</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 1 5/14/2014 10:51:14 AM , QID: 1239, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p> <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable </p>	
<p>19 Do the procedures require that each manual holder receive each revision?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-9</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 1 5/14/2014 10:51:14 AM , QID: 1240, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p> <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable </p>	
<p>20 Do the procedures require that the revised text of each affected page be clearly identified?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 2</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 2 9/27/2018 10:52:35 AM , QID: 1241, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p> <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable </p>	
<p>21 Do procedures include controlling sections of the repair station manual, such as:</p> <p>#1. Identification; #2. Revision status; #3. Page numbering; #4. Issue date; #5. Approvals; and #6. Acceptance?</p> <p>REFERENCES: SRR 145.207(a), 145.209(k) Advisory Circular (AC) AC-145-9</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 3/1/2017 9:43:27 AM ,</p>	<p> <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable </p>	

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Questions	Answers	Response Detail, Comments and Actions
QID: 1242, Response Details: EP & ED DCTs (Both OP and AW)		
<p>22 Do the procedures require current copies of the Repair Station Manual and Quality Control Manual to be accessible for use by Repair Station personnel?</p> <p>REFERENCES: SRR 145.207(c) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 2, 8900.1 Vol 6 Ch 9 Sec 7</p> <p>NOTE: All repair station employees on all shifts must have access to the manual, regardless of the media used (electronic, CD ROM, etc.).</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 6 9/27/2018 10:52:35 AM , QID: 1249, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>23 Do the manuals contain samples of inspection and maintenance forms and instructions for the completion of those forms or a reference to a separate forms manual?</p> <p>REFERENCES: SRR 145.211(c) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 11</p> <p>NOTE: This applies to the Repair Station Manual and Quality Control Manual.</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 3 3/1/2017 9:43:32 AM , QID: 1250, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>24 Does the manual include an organizational chart identifying each management position with authority to act on behalf of the Repair Station, and their:</p> <p>#1. Area of responsibility; and #2. Duties, responsibilities, and authority?</p> <p>REFERENCES: SRR 145.207(a), 145.209(a) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 2, 8900.1 Vol 6 Ch 9 Sec 4</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 6 9/27/2018 10:52:36 AM , QID: 1251, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>25 Does the manual include procedures for maintaining and revising the personnel roster for:</p> <p>#1. Managers and supervisors; #2. Inspection personnel; and #3. Personnel authorized to approve for return to service?</p> <p>REFERENCES: SRR 145.161(a), 145.207(a), 145.209(b) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 13, 8900.1 Vol 6 Ch 9 Sec 2</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 9/27/2018 10:52:36 AM , QID: 1254, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>26 Do the procedures require that the manual be followed?</p> <p>REFERENCES: SRR 145.207(a)</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 1 5/14/2014 10:51:14 AM ,</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	

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Questions	Answers	Response Detail, Comments and Actions
QID: 1258, Response Details: EP & ED DCTs (Both OP and AW) 27 Does the manual include a description of the Repair Station's operations, including the required: #1. Housing; #2. Facilities; #3. Equipment; and #4. Materials? REFERENCES: SRR 145.109(a), 145.207(a), 145.209(c) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 2 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 12/14/2018 10:45:26 AM , QID: 1259, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
28 Does the manual include procedures governing work performed at locations other than at the Repair Station's fixed location? REFERENCES: SRR 145.203, 145.207(a), 145.209(f) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 16, 8900.1 Vol 6 Ch 9 Sec 2 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE, Rev. 8 9/27/2018 10:52:36 AM , QID: 1264, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
30 Does the manual include procedures to maintain, revise, and provide the FAA with contract maintenance information, including: #1. FAA-approved functions to be contracted to outside sources; #2. The name of each contracted facility and their certificate type (if any); and #3. The frequency of FAA notification? REFERENCES: SRR 145.207(a), 145.209(h), 145.217(a) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 2, 8900.1 Vol 6 Ch 9 Sec 23 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 7 12/14/2018 10:45:28 AM , QID: 1271, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
32 Do the procedures specify to request the current, applicable parts of an air carrier maintenance program or manuals at the time work is performed? REFERENCES: Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 7 NOTE: This applies to Repair Stations performing maintenance, preventive maintenance, or alterations for Operators under 14 CFR Part 121, 125, 129, or 135. Maintenance must be performed per the Operator's program and maintenance manual. Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") AND (OTHER CERTIFICATES OR APPROVALS = "PERFORMS AIR CARRIER MAINTENANCE") THEN ACTIVATE QUESTION, Rev. 2 3/18/2015 9:00:08 AM , QID: 1301, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
36 Does the manual specify who will submit revisions to the FAA for review and acceptance and the frequency of such submissions? REFERENCES: SRR 145.209(j), 145.211(c) Advisory Circular (AC)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	

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Questions	Answers	Response Detail, Comments and Actions
<p>AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 11, 8900.1 Vol 6 Ch 9 Sec 2</p> <p>NOTE: This applies to the Repair Station Manual and Quality Control Manual.</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 3 9/27/2018 10:52:36 AM , QID: 1315, Response Details: EP & ED DCTs (Both OP and AW)</p>		
<p>37 Does the manual include procedures for revising the training program and submitting revisions to the FAA for approval?</p> <p>REFERENCES: SRR 145.209(e) FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14, 8900.1 Vol 6 Ch 9 Sec 2</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 3 12/14/2018 10:45:29 AM , QID: 1316, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>38 Does the manual include procedures for performing maintenance, preventive maintenance, and alterations following the Operator's:</p> <p>#1. Continuous maintenance program under 14 CFR Part 121 or 135; and #2. FAA-approved maintenance program for foreign air carrier/persons operating U.S. registered aircraft under 14 CFR Part 129? (if applicable)</p> <p>REFERENCES: SRR 145.207(a), 145.209(g) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 18, 8900.1 Vol 6 Ch 9 Sec 2</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") AND (OTHER CERTIFICATES OR APPROVALS = "PERFORMS AIR CARRIER MAINTENANCE") THEN ACTIVATE QUESTION, Rev. 5 9/27/2018 10:52:37 AM , QID: 1318, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>39 Does the manual include a description of the recordkeeping system, including the required records and how they are:</p> <p>#1. Obtained; #2. Stored; and #3. Retrieved?</p> <p>REFERENCES: SRR 145.209(i) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 2, 8900.1 Vol 6 Ch 9 Sec 6</p> <p>NOTE: These records must be in English</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 7 12/14/2018 10:45:29 AM , QID: 1327, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>40 Do the procedures include instructions for submitting reports of any serious failure, malfunction, or defect?</p> <p>REFERENCES: SRR 145.221(b) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 2, 8900.1 Vol 8 Ch 5 Sec 6</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 3 9/27/2018 10:52:37 AM , QID: 1331, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>41 Do the procedures include instructions for detecting and reporting suspected unapproved parts?</p>	<p><input type="radio"/> Yes</p>	

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Questions	Answers	Response Detail, Comments and Actions
REFERENCES: Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 2 Sec 27, 8900.1 Vol 6 Ch 9 Sec 2 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 3 9/27/2018 10:52:37 AM , QID: 1332, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> No <input type="radio"/> Not Applicable	
43 Do procedures exist in enough detail to meet the regulatory and guidance requirements for this element to produce the intended results? Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:47 PM , QID: 51940, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
45 Do controls exist within this element that ensure risks are reduced to an acceptable level? Safety Attribute: Controls, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:47 PM , QID: 51941, Response Details: Controls ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	
47 Does the certificate holder have a method to evaluate the impact of changes on related processes (interfaces) for this element? Safety Attribute: Interfaces, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:47 PM , QID: 51942, Response Details: Interfaces ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	
49 Does the process design require that individuals understand their safety role and how it contributes to the safety performance of this element? Safety Attribute: Safety Ownership, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:48 PM , QID: 51946, Response Details: Safety Ownership ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	

Response Details List EP & ED DCTs (Both OP and AW) (Released, 1.0)	
1	Unclear procedure(s)
2	Conflicting procedure(s)
3	Used workaround(s)
4	Skipped process step(s)
5	Personnel failed to follow process (procedures, guidance, etc)
6	Procedures/guidance not available
7	Procedures/guidance not current
8	Inconsistent procedure(s)
9	Undocumented procedure(s)
10	Minor typographical error(s) (i.e. record entries)
11	Information missing
12	Communication failure(s)
13	Personnel failed to perform task
14	Process failed to meet desired outcome
15	Process oversight failure
16	Equipment/tools not available
17	Equipment/tools not adequate
18	Equipment/tools not calibrated
19	Facilities not adequate

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20	Other
Response Details List N/O Response Detail (Released, 2.0)	
1	Personnel (e.g., key certificate holder personnel not present/available)
2	Equipment (e.g., equipment not present/available/in serviceable condition)
3	Event did not occur (e.g., did not observe an intoxicated passenger on the flight)
4	Time Constraints (e.g., resources/workload)
5	Weather (e.g., weather needed for observation not present or weather made observation impossible)
6	Other
Response Details List N/A Response Detail (Released, 2.0)	
1	Not applicable or authorized for the certificate holder or applicant
2	Other
Response Details List Controls ED/EP/SP DCTs (Both OP and AW) (Released, 1.0)	
1	Failure to identify hazards or ineffective risk controls
2	Failure to develop and maintain risk controls
3	Failure to effectively mitigate risk before applying risk controls
4	Risk controls ineffective
5	Unclear risk controls
6	Failure to assess risk for a new system
7	Failure to assess risk when revising an existing system
8	Failure to assess risk when developing operational procedures
9	Failure to maintain records of outputs of risk control assessments
10	Other
Response Details List Interfaces ED/EP/SP DCTs (Both OP and AW) (Released, 1.0)	
1	Internal communication failure(s)
2	External communication failure(s)
3	Lack of interfaces
4	Inconsistent interfaces
5	Poor coordination between departments and employees
6	Failure of interfaces between processes or procedures
7	Other
Response Details List Responsibility ED/EP/SP DCTs (Both OP and AW) (Released, 1.0)	
1	Failed to provide financial resources
2	Failed to provide sufficient human resources
3	Individual not clearly identified
4	Failure to ensure safety of process
5	Failure to oversee operations conducted under the certificate
6	Failed to ensure quality performance of process
7	Process oversight failure
8	Communication failure(s)
9	Other
Response Details List Authority ED/EP/SP DCTs (Both OP and AW) (Released, 1.0)	
1	Process oversight failure
2	Unacceptable safety risk acceptance
3	Ineffective planning of resources
4	Ineffective use of resources
5	Ineffective control of resources
6	Failure to implement safety risk processes
7	Process owner not clearly identified
8	Unqualified
9	Other

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Response Details List Process Measurement ED/EP/SP DCTs (Both OP and AW) (Released, 1.0)	
1	Inadequate monitoring of operations processes
2	Ineffective evaluations of processes or systems
3	Undocumented or insufficient investigations
4	Failure to conduct audits
5	Ineffective audits
6	Failure to detect changes in the operational environment
7	Personnel failed to perform/follow process (procedures, guidance, etc.)
8	Process failed to meet desired outcome
9	Process oversight failure
10	Other
Response Details List Safety Ownership ED/EP/SP DCTs (Both OP and AW) (Released, 3.0)	
1	Unaware of or ineffective safety policies or processes
2	Unaware of or ineffective safety related reporting tools
3	Unclear safety policy
4	Ineffective safety related training
5	Lack of safety culture
6	Unclear safety objectives
7	Other