

Revision: 14.0 on 06/24/2019

DCT To Go Report

CH/A: JN1R - ALTA AVIONICS LLC DCT ID: 41276-FY21Q2-4.1.4-0001 MLF Label: Training and Qualification DCT Type: ED DCT Specialty: Airworthiness DCT Revision: 14.0 on 06/24/2019 (Released)

Summary Information

Purpose (Certificate Holder Responsibility): To train all maintenance personnel.

Objective (FAA Responsibility): Determine if the CH will be able to; (1) Comply with regulations; (2) Train persons performing maintenance and inspections.

Common Data Fields

<input type="checkbox"/> *If work is offsite of the airfield, include one of the following		◦ City	
▷ Address:		◦ State	
▷ Latitude/Longitude:		◦ Postal Code	
Start Date		◦ Country	
End Date		◦ Latitude	
Location: (Nearest) Airfield		◦ Longitude	
◦ Address 1		Related/Affiliated Maintenance Designator	
◦ Address 2		CH/A Provided	No
◦ Address 3			

Supplemental Information

SRR
145.153(b), 145.153(c), 145.155(b), 145.157(c), 145.163, 145.163(a), 145.163(b), 145.163(c), 145.205, 145.209(e)

Advisory Circular (AC)
AC-145-10, AC-145-9

FAA Order 8900.1
8900.1 Vol 6 Ch 9 Sec 13, 8900.1 Vol 6 Ch 9 Sec 14

PI Instructions

Questions	Answers	Response Detail, Comments and Actions
4.1.4 Training and Qualification		
2 Does the process design ensure the individual with responsibility provides both financial and human resources for the safety and quality performance of this element? Safety Attribute: Responsibility, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:48 PM, QID: 51943, Response Details: Responsibility ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	
4 Is the person with authority clearly identifiable, qualified and knowledgeable to effectively plan, direct and control resources, change procedures and make key determinations including safety risk acceptance decisions for this element? Safety Attribute: Authority, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:48 PM, QID: 51944, Response Details: Authority ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	
6 Do process measurement(s) exist to evaluate the performance of this element and implement corrective action if necessary? Safety Attribute: Process Measurement, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:48 PM, QID: 51945, Response Details: Process Measurement ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	

Questions	Answers	Response Detail, Comments and Actions
<p>7 Do the procedures require the training program to:</p> <p>#1. Consist of initial and recurrent training; and</p> <p>#2. Be FAA-approved?</p> <p>REFERENCES: SRR 145.163(a) Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 5 2/27/2018 8:48:49 AM , QID: 4252, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p>	
<p>8 Do the procedures require employees assigned to perform maintenance, preventive maintenance, alterations, and inspection functions to be capable of performing the assigned tasks?</p> <p>REFERENCES: SRR 145.163(b) Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 6 2/27/2018 8:48:49 AM , QID: 4255, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p>	
<p>9 Do the procedures specify who is responsible for revising the training program and submitting revisions to the FAA?</p> <p>REFERENCES: SRR 145.209(e) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:49 AM , QID: 4256, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p>	
<p>10 Do the procedures specify how the Repair Station approves training program revisions and when revisions are submitted to the FAA?</p> <p>REFERENCES: SRR 145.209(e) Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:49 AM , QID: 4257, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p>	
<p>11 Do the procedures specify how often the training program will be reviewed for currency and completeness?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:49 AM , QID: 4258, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> Not Applicable</p>	
<p>12 Do the procedures specify who is responsible for reviewing the training program?</p>	<p><input type="radio"/> Yes</p>	

Questions	Answers	Response Detail, Comments and Actions
REFERENCES: Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 6 2/27/2018 8:48:49 AM , QID: 4259, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> No <input type="radio"/> Not Applicable	
13 Do the procedures specify how training programs revisions will be: #1. Recorded; #2. Implemented; and #3. Identified? REFERENCES: Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 5 2/27/2018 8:48:49 AM , QID: 4260, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
14 Do the procedures specify how the revised text will be identified? REFERENCES: Advisory Circular (AC) AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:50 AM , QID: 4264, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
15 Do the procedures require each certificated supervisor overseeing work to be trained in or thoroughly familiar with: #1. Methods; #2. Techniques; #3. Practices; #4. Aids; #5. Equipment; and #6. Tools? REFERENCES: SRR 145.153(b) Advisory Circular (AC) AC-145-10, AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 13 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 8 6/24/2019 3:57:32 PM , QID: 4265, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
16 Do the procedures specify how to design each of the training program's areas of study and individual classes? REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:50 AM , QID: 4272, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
17 Do the procedures require that employee training records be maintained for 2 years? REFERENCES: SRR 145.163(c)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	

Questions	Answers	Response Detail, Comments and Actions
Advisory Circular (AC) AC-145-10, AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 6 2/27/2018 8:48:51 AM , QID: 4274, Response Details: EP & ED DCTs (Both OP and AW)		
19 Do the procedures require instructors to be evaluated on their qualifications for a subject area, including: #1. Formal training and experience, as applicable; and #2. The ability to impart information on the particular subject matter? REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 5 2/27/2018 8:48:50 AM , QID: 4283, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
20 Do the procedures require that training records include: #1. The employee's name and job position; #2. Training requirements determined by the needs assessment, including indoctrination (initial and recurrent) and other training required by areas and course titles; #3. FAA certificates applicable to the qualifications (e.g., supervisors, RII personnel, and persons approving articles for return to service must be certificated under 14 CFR Part 65); #4. Other certifications, diplomas, and degrees; #5. Authorizations and qualifications (if not covered by 14 CFR Part 65 certificates); #6. Proof of training course completion, if determined applicable to capabilities; and #7. On-the-job training? REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 5 2/27/2018 8:48:50 AM , QID: 4286, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
21 Do the procedures require that training records include a list of accomplished training, including the: #1. Course title or description; #2. Course objective; #3. Date completed; #4. Test results; #5. Total hours and location of training; #6. Name of instructor and instructor qualifications; and #7. Employee signature? REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 5 2/27/2018 8:48:51 AM , QID: 4294, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
22 Do the procedures require employees to receive initial and recurrent indoctrination? REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14 NOTE: Training should cover the regulations and the Repair Station's operations, policies, and procedures. Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:51 AM , QID: 4302, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	

Questions	Answers	Response Detail, Comments and Actions
<p>23 Do the procedures require employees to receive initial technical skills training for new tasks?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:51 AM , QID: 4303, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>24 Do the procedures require employees to receive recurrent technical training for specific tasks or functions to ensure currency in existing or added capabilities?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:51 AM , QID: 4304, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>25 Do the procedures require specialized technical training or advanced training for specific tasks or functions to ensure all employees performing maintenance remain capable of performing assigned tasks?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>NOTE: Areas that may require specialized training include flame or plasma spray operations, special inspection or test techniques, special machining operations, complex welding operations, aircraft inspection techniques, and complex assembly operations.</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:51 AM , QID: 4305, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>26 Do the procedures require remedial technical training to correct demonstrated lack of skill or knowledge deficiencies?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:51 AM , QID: 4306, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>27 Do the procedures require that measurement of program effectiveness includes a method to determine whether employees are able to perform assigned tasks?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 4 2/27/2018 8:48:52 AM , QID: 4307, Response Details: EP & ED DCTs (Both OP and AW)</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	
<p>28 Does the training program include initial and recurrent training on maintenance human factors?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation,</p>	<p><input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable</p>	

Questions	Answers	Response Detail, Comments and Actions
Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 3 2/27/2018 8:48:49 AM , QID: 4308, Response Details: EP & ED DCTs (Both OP and AW)		
<p>29 Does the training program ensure that the operator's CAMP training requirements are met?</p> <p>REFERENCES: SRR 145.205 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") AND (OTHER CERTIFICATES OR APPROVALS = "PERFORMS AIR CARRIER MAINTENANCE") THEN ACTIVATE QUESTION, Rev. 6 2/27/2018 8:48:52 AM , QID: 4309, Response Details: EP & ED DCTs (Both OP and AW)</p>	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
<p>30 Does the training program include training for personnel assigned to perform inspection functions?</p> <p>REFERENCES: SRR 145.163 Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 6 2/27/2018 8:48:53 AM , QID: 4310, Response Details: EP & ED DCTs (Both OP and AW)</p>	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
<p>31 Does the training program specify overall training requirements, including:</p> <p>#1. What tasks are associated with each position responsible for performing maintenance, preventive maintenance, or alterations; #2. What skills, experience, and training of new and current employees are required; #3. How to assess employees being assigned new tasks; #4. How employees will return to tasks after extended periods; #5. How to introduce new regulations, procedures, equipment, and recordkeeping requirements; and #6. How to prepare for changes in the nature of basic capabilities?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 5 2/27/2018 8:48:52 AM , QID: 4317, Response Details: EP & ED DCTs (Both OP and AW)</p>	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
<p>32 Does the training program include an employee training needs assessment that includes:</p> <p>#1. Conducting objective and consistent assessments; #2. Documenting assessments in individual training records; #3. Providing individuals to conduct assessments who are qualified to evaluate assessment results; and #4. Monitoring individuals to ensure they perform tasks consistently at an acceptable level and assigning recurrent or remedial training if necessary?</p> <p>REFERENCES: Advisory Circular (AC) AC-145-10 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 14</p> <p>Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 5 2/27/2018 8:48:52 AM , QID: 4324, Response Details: EP & ED DCTs (Both OP and AW)</p>	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
<p>33 Does the certificate holder have procedures to determine the ability to understand, read and write English for the following personnel:</p> <p>#1. Supervisors; #2. Inspectors; and #3. Persons authorized to approve an article for return to service?</p> <p>REFERENCES: SRR 145.153(c), 145.155(b), 145.157(c) Advisory Circular (AC)</p>	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	

Questions	Answers	Response Detail, Comments and Actions
AC-145-10, AC-145-9 FAA Order 8900.1 8900.1 Vol 6 Ch 9 Sec 13 Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "145") THEN ACTIVATE QUESTION, Rev. 7 6/24/2019 3:57:32 PM , QID: 4329, Response Details: EP & ED DCTs (Both OP and AW)		
36 Do procedures exist in enough detail to meet the regulatory and guidance requirements for this element to produce the intended results? Safety Attribute: Procedures, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:47 PM , QID: 51940, Response Details: EP & ED DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Not Applicable	
38 Do controls exist within this element that ensure risks are reduced to an acceptable level? Safety Attribute: Controls, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:47 PM , QID: 51941, Response Details: Controls ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	
40 Does the certificate holder have a method to evaluate the impact of changes on related processes (interfaces) for this element? Safety Attribute: Interfaces, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:47 PM , QID: 51942, Response Details: Interfaces ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	
42 Does the process design require that individuals understand their safety role and how it contributes to the safety performance of this element? Safety Attribute: Safety Ownership, Question Type: Output Validation, Scoping Attribute: IF (FAR PART = "135" OR "145" OR "141" OR "142" OR "147") AND NOT (SAFETY PROGRAMS = "SMSVP") THEN ACTIVATE, Rev. 2 3/8/2019 12:47:48 PM , QID: 51946, Response Details: Safety Ownership ED/EP/SP DCTs (Both OP and AW)	<input type="radio"/> Yes <input type="radio"/> No	

Response Details List EP & ED DCTs (Both OP and AW) (Released, 1.0)

1	Unclear procedure(s)
2	Conflicting procedure(s)
3	Used workaround(s)
4	Skipped process step(s)
5	Personnel failed to follow process (procedures, guidance, etc)
6	Procedures/guidance not available
7	Procedures/guidance not current
8	Inconsistent procedure(s)
9	Undocumented procedure(s)
10	Minor typographical error(s) (i.e. record entries)
11	Information missing
12	Communication failure(s)
13	Personnel failed to perform task
14	Process failed to meet desired outcome
15	Process oversight failure
16	Equipment/tools not available
17	Equipment/tools not adequate
18	Equipment/tools not calibrated
19	Facilities not adequate
20	Other

Response Details List N/A Response Detail (Released, 2.0)

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1	Not applicable or authorized for the certificate holder or applicant
2	Other
Response Details List Controls ED/EP/SP DCTs (Both OP and AW) (Released, 1.0)	
1	Failure to identify hazards or ineffective risk controls
2	Failure to develop and maintain risk controls
3	Failure to effectively mitigate risk before applying risk controls
4	Risk controls ineffective
5	Unclear risk controls
6	Failure to assess risk for a new system
7	Failure to assess risk when revising an existing system
8	Failure to assess risk when developing operational procedures
9	Failure to maintain records of outputs of risk control assessments
10	Other
Response Details List Interfaces ED/EP/SP DCTs (Both OP and AW) (Released, 1.0)	
1	Internal communication failure(s)
2	External communication failure(s)
3	Lack of interfaces
4	Inconsistent interfaces
5	Poor coordination between departments and employees
6	Failure of interfaces between processes or procedures
7	Other
Response Details List Responsibility ED/EP/SP DCTs (Both OP and AW) (Released, 1.0)	
1	Failed to provide financial resources
2	Failed to provide sufficient human resources
3	Individual not clearly identified
4	Failure to ensure safety of process
5	Failure to oversee operations conducted under the certificate
6	Failed to ensure quality performance of process
7	Process oversight failure
8	Communication failure(s)
9	Other
Response Details List Authority ED/EP/SP DCTs (Both OP and AW) (Released, 1.0)	
1	Process oversight failure
2	Unacceptable safety risk acceptance
3	Ineffective planning of resources
4	Ineffective use of resources
5	Ineffective control of resources
6	Failure to implement safety risk processes
7	Process owner not clearly identified
8	Unqualified
9	Other
Response Details List Process Measurement ED/EP/SP DCTs (Both OP and AW) (Released, 1.0)	
1	Inadequate monitoring of operations processes
2	Ineffective evaluations of processes or systems
3	Undocumented or insufficient investigations
4	Failure to conduct audits
5	Ineffective audits
6	Failure to detect changes in the operational environment
7	Personnel failed to perform/follow process (procedures, guidance, etc.)
8	Process failed to meet desired outcome

9	Process oversight failure
10	Other
Response Details List Safety Ownership ED/EP/SP DCTs (Both OP and AW) (Released, 3.0)	
1	Unaware of or ineffective safety policies or processes
2	Unaware of or ineffective safety related reporting tools
3	Unclear safety policy
4	Ineffective safety related training
5	Lack of safety culture
6	Unclear safety objectives
7	Other