

4.4: Concavity and Points of Inflection

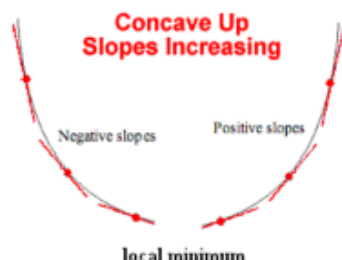
Date: _____

Test for Concavity

IF $f(x)$ is a differentiable function and $f''(x)$ exists on $x \in [a, b]$, THEN

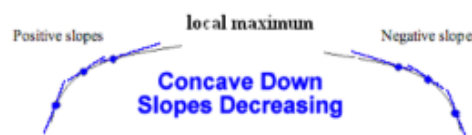
i. IF $f''(x) > 0$

THEN the graph of $f(x)$ is **CONCAVE UP**



ii. IF $f''(x) < 0$

THEN the graph of $f(x)$ is **CONCAVE DOWN**



The 2nd Derivative Test [Used to test if critical points are local maxima or minima. Not used to test for points of inflection.]

IF $(c, f(c))$ exists and $f'(c) = 0$, THEN

i. $f''(c) > 0 \rightarrow f(c)$ is a local min

ii. $f''(c) < 0 \rightarrow f(c)$ is a local max

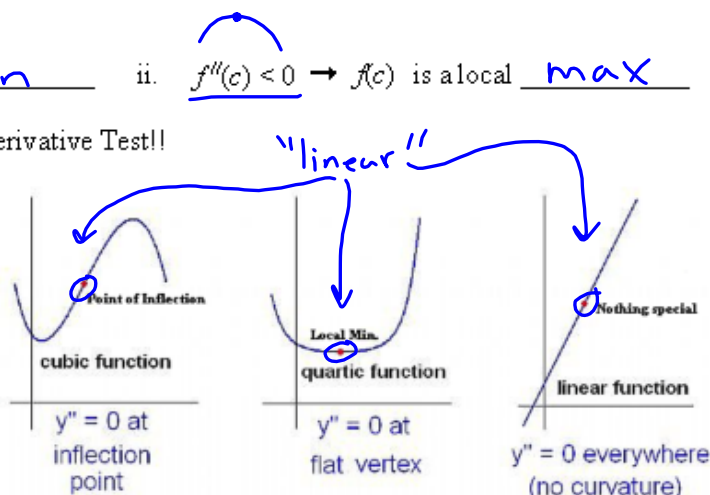
iii. $f''(c) = 0 \rightarrow$ FAIL! Use the 1st Derivative Test!!

When $f''(c) = 0$, the derivative is stationary and $(c, f(c))$ could be

i.e.
slope
is
constant

function
is
linear

- an inflection point
- a local maximum or minimum
- neither

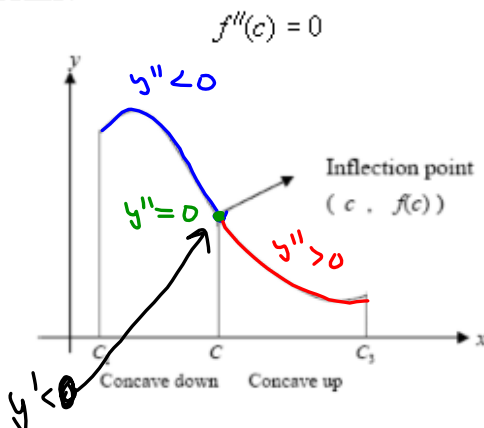


Points of Inflection

A **POINT OF INFLECTION** occurs at $(c, f(c))$ if $f''(x)$ changes sign at $x = c$. That is, the curve changes from concave down to concave up, or vice versa.

IF $(c, f(c))$ is a point of inflection,

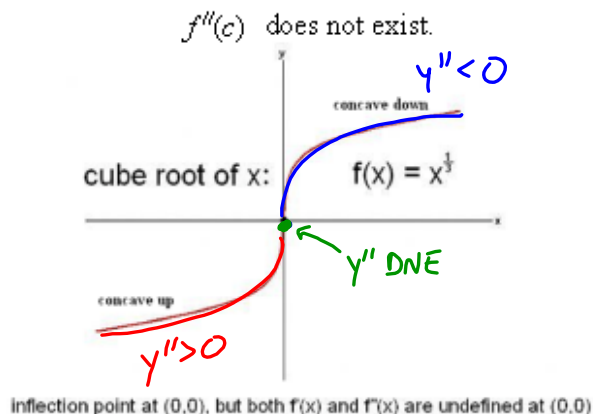
THEN



So, to find points of inflection:

1. Find potential candidates $(c, f(c))$ where $f''(c) = 0$ or $f''(c)$ does not exist.
2. Check if $f''(x)$ switches signs on either side of c .

OR



Ex1. Find any points of inflection and use the 2nd derivative to test for local minimum or maximum values. Then, sketch the graph using your results along with more information if needed.

a. $y = x^3 - 3x^2 - 9x + 10$




x	y
0	10
?	0

$$\begin{aligned} y' &= 3x^2 - 6x - 9 \\ &= 3(x^2 - 2x - 3) \\ &= 3(x+1)(x-3) \end{aligned}$$


$$\begin{aligned} y'' &= 6x - 6 \\ &= 6(x-1) \end{aligned}$$

2nd D Test

IF $x = -1$
 $y = 15, y' = 0$

Since $y'' < 0$ 
 \therefore local max @ $(-1, 15)$

IF $x = 3$
 $\therefore y = -17, y' = 0$

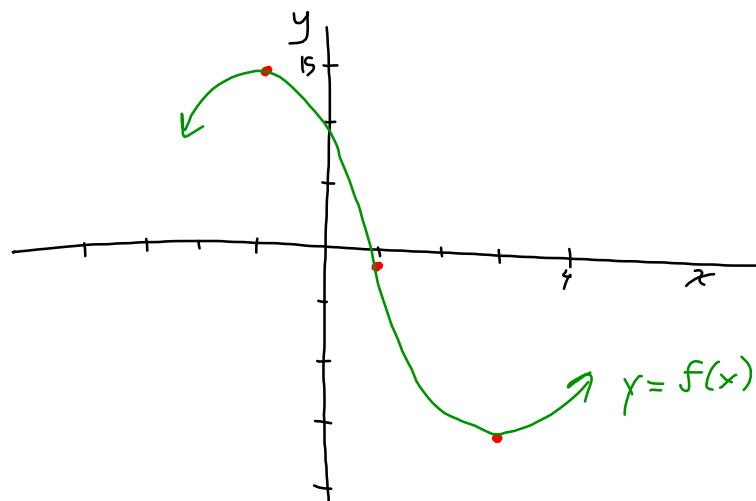
Since $y'' > 0$ 
 \therefore min @ $(3, -17)$

Points of Inflection

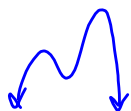
$$\begin{aligned} y'' &= 6x - 6 \\ &= 6(x-1) \end{aligned}$$



Thus there is
a point of
inflection at
 $(1, -1)$.



b. $y = -(x-2)^4 + 3$



x	y
2	3
0	-13
	0

$$(x-2)^4 = 3$$

$$x-2 = \pm \sqrt[4]{3}$$

$$x = 2 \pm \sqrt[4]{3}$$

$$y' = -4(x-2)^3 \quad (1)$$

$$= -4(x-2)^3$$

$$y'' = -12(x-2)^2 \quad (1)$$

$$= -12(x-2)^2$$

2nd D Test

IF $x=2$

$\therefore y=3, y'=0$

Since $y'' = 0$

\therefore FAIL

So use 1st D Test

1st D Test

$y' \quad \oplus \quad \curvearrowright \quad \ominus$

$\frac{1}{2}$

$\therefore \text{max @ } (2, 3)$

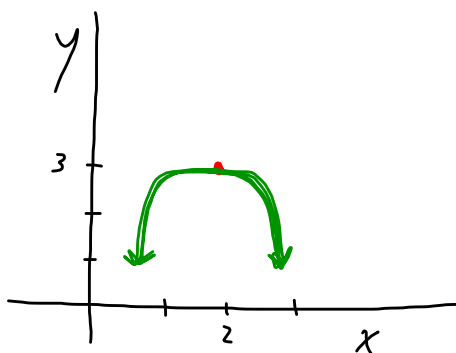
Inflection Points?

$$y'' = -12(x-2)^2$$

\therefore there are
no points of
inflection.

$y'' \quad \ominus \quad \ominus$

$\frac{1}{2}$



c. $y = 9(x+1)^{\frac{1}{3}}$

$$y = 9\sqrt[3]{x+1}$$

x	y
-1	0
0	9

$$y' = 3(x+1)^{-\frac{2}{3}} (1)$$

$$= \frac{3}{\sqrt[3]{(x+1)^2}}$$

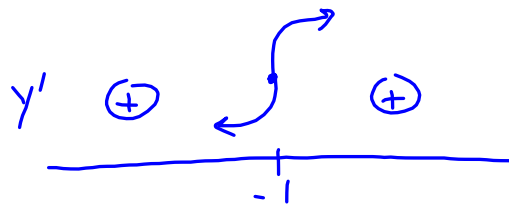
$$y'' = -2(x+1)^{-\frac{5}{3}}$$

$$= \frac{-2}{\sqrt[3]{(x+1)^5}}$$

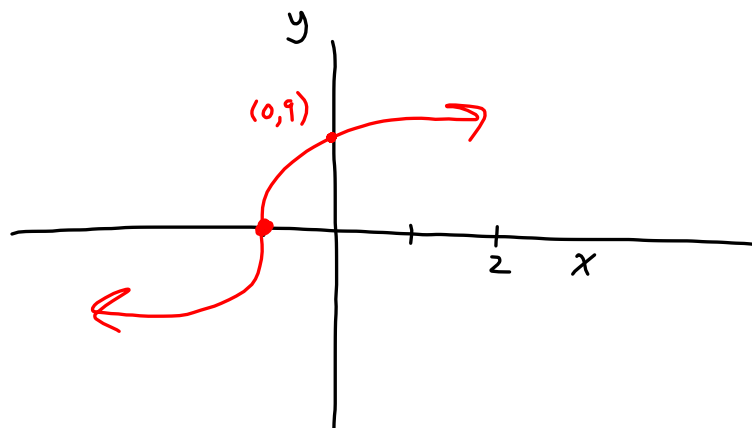
2nd D Test

FAIL!!!

1st D Test



\therefore inflection @ $(-1, 0)$



d. $y = \frac{1}{x^2+3}$ HA @ $y=0$

x	y
0	$\frac{1}{3}$
∞	0

$y = (x^2+3)^{-1}$

$$y' = -(x^2+3)^{-2} (2x) = \frac{-2x}{(x^2+3)^2}$$

$$y'' = \frac{-2(x^2+3)^2 - (-2x)(2(x^2+3)'(2x))}{(x^2+3)^4}$$

$$= \frac{-2(x^2+3) + 8x^2}{(x^2+3)^3}$$

$$= \frac{6x^2 - 6}{(x^2+3)^3}$$

$$= \frac{6(x-1)(x+1)}{(x^2+3)^3}$$

2nd D Test

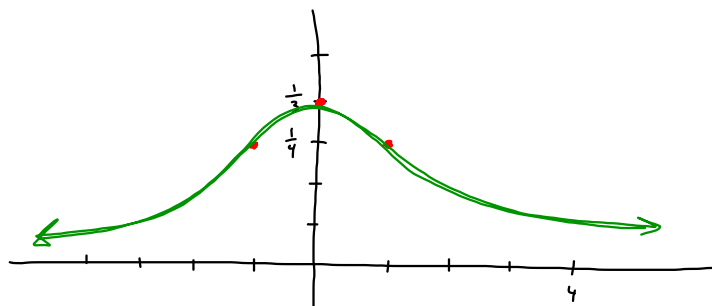
IF $x=0$
 $\therefore y = \frac{1}{3}, y' = 0$
 Since $y'' < 0$
 \therefore max @ $(0, \frac{1}{3})$

Inflection ???

$y'' \oplus \quad \ominus \quad \oplus$

-1	1	

$\therefore (-1, \frac{1}{4})$ and $(1, \frac{1}{4})$
 are points of inflection.



Homefun: Page 205 #1-4,

5 [ans. 5a and 5b graphs should pass through $(0, 2)$ and 5b is quartic, so right side of graph should show increase to ∞],
 6, 8 [ans. 8b $\left(\frac{49}{4}, \frac{49}{9}\right)$], 9 [ans. since $f'(2)=0$, graph must be flat at $x=2$], 10, 11