Cyber Authentication Technology Solutions

SAML 2.0 Deployment Profile for Credential Authentication

Table of Contents

1. Introduction	2
1.1. Overview of the CATS SAML 2.0 Deployment Profile for Credential Authentication	2
2. Notation and Terminology	4
2.1. References to SAML 2.0 specification	4
2.2. Terminology	5
3. Compliance to the CATS SAML 2.0 Deployment Profile	7
4. Common Requirements.	8
4.1. General	8
4.2. Metadata and Trust Management	9
4.3. Cryptographic Algorithms	15
5. SP Requirements	17
5.1. Web Browser SSO	17
5.2. Single Logout	26
5.3. Metadata and Trust Management.	30
5.4. CATS-Specific Requirements	32
6. IdP Requirements	33
6.1. Web Browser SSO	33
6.2. Single Logout	38
6.3. Metadata and Trust Management.	41
6.4. CATS-Specific Requirements	42
7. CATS-Specific Proxy Requirements	45
8. References	47
8.1. Normative	47
8.2. Non-Normative	48
9. Contributors	40

Version

3.x

Date

2018-07-26

Status

Work In Progress

Required Information

Document identifier: TBD

1. Introduction

SAML V2.0 is a rich and extensible standard that must be profiled to be used interoperably, and the profiles that typically emerge from the broader standardization process usually remain fairly broad and include a number of options and features that increase the burden for implementers and make deployment-time decisions more difficult.

Implementation profiles define the features that software implementations must support such that deployers can be assured of the ability to meet their own (possibly varied) deployment requirements. Deployment profiles define specific options and constraints to which deployments are required to conform; they guide product configuration and federation operations, and provide criteria against which actual deployments may be tested. This document provides a deployment profile for use by members of the Sign in Canada federation.

1.1. Overview of the CATS SAML 2.0 Deployment Profile for Credential Authentication

This deployment profile is based on the draft SAML V2.0 Interoperability Deployment Profile V1.0 [SAML2Iop] published by the Kantara Initiative, which in turn is based on the SAML 2.0 specifications created by the Security Services Technical Committee (SSTC) of the Organization for the Advancement of Structured Information Standards (OASIS).

The scope of this profile applies only to providers and consumers of anonymous credential authentication services. A future profile will be developed to support identity authentication services.



Figure 1. CATS SAML Profile Building Blocks

2. Notation and Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

This specification uses the following typographical conventions in text: <ns:Element>, Attribute,

Datatype, OtherCode. The normative requirements of this specification are individually labeled with a unique identifier in the following form: [SDP-EXAMPLE01]. All information within these requirements should be considered normative unless it is set in *italic* type. Italicized text is non-normative and is intended to provide additional information that may be helpful in implementing the normative requirements.

2.1. References to SAML 2.0 specification

When referring to elements from the SAML 2.0 core specification [SAML2Core], the following syntax is used:

- <samlp:ProtocolElement> for elements from the SAML 2.0 Protocol namespace.
- <saml:AssertionElement> for elements from the SAML 2.0 Assertion namespace.

When referring to elements from the SAML 2.0 metadata specification [SAML2Meta], the following syntax is used:

. <md:MetadataElement>

When referring to elements from the SAML 2.0 Metadata Extensions for Login and Discovery User Interface specification [MetaUi], the following syntax is used:

• <mdui:MetadataElement>

When referring to elements from the SAML 2.0 Metadata Extension for Entity Attributes specification [MetaAttr], the following syntax is used:

• <mdattr:MetadataElement>

When referring to elements from the SAML V2.0 Asynchronous Single Logout Protocol Extension specification [SAML2ASLO], the following syntax is used:

. <aslo:Element>

When referring to elements from the XML-Signature Syntax and Processing Version 1.1 WWWC Recommendation [XMLSig], the following syntax is used:

. <ds:Element>

2.2. Terminology

The following SAML standard terms and abbreviations are used in a manner consistent with the SAML Browser SSO Profile and Single Logout profiles described in [SAML2Prof]. Formal definitions of these terms can be found in the SAML2 Glossary [SAML2Gloss]:

- Service Provider (SP)
- Session Authority
- Session Participant
- Subject
- Identity Provider (IdP)
- Proxying Identity Provider

In addition, the following terms are used:

Anonymous Credential

A Credential that, while still making an assertion about some property, status, or right of the person, does not reveal the person's identity.

Assurance

A measure of certainty that a statement or fact is true.

Assurance of Credential (Credential Assurance)

The assurance that an individual, organization or device has maintained control over what has been entrusted to him or her (e.g., a password, key, token, document or identifier) and that the credential has not been compromised (e.g., tampered with, modified or stolen).

Authoritative Party

A federation member that provides assurances of credential to other federation members (i.e. "Relying Parties").

Credential

A unique physical or electronic object (or identifier) issued to, or associated with, a person, organization, or device (e.g. key, token, document, program identifier).

Credential Service Provider (CSP)

An Identity Provider that provides anonymous credential authentication services.

Federation

A cooperative agreement between autonomous entities that have agreed to relinquish some of their autonomy in order to work together effectively to support a collaborative effort. The federation is supported by trust relationships and standards to support interoperability.

Level of Assurance

A level of confidence that may be relied on by others.

Relying Party (RP)

A federation member who relies on assurances of credential from other federation members (i.e. "Authoritative Parties").

Sign in Canada Acceptance Platform

A Government of Canada service that acts as a trusted intermediary between Credential Service Providers and Government of Canada Relying Parties. The Acceptance Platform operates as a Proxying Identity Provider and centralized Session Authority.

Sign in Canada Federation

A Federation whose members include the Sign in Canada Acceptance Platform and all Relying Parties who use it.

User Agent

Software that is acting on behalf of a user. For example, a web browser or native mobile application.

Whether explicit or implicit, all the requirements in this document are meant to apply to deployments of SAML profiles and may involve explicit support for requirements by SAML-implementing software and/or supplemental support via application code. Deployments of a Service Provider may refer to both stand-alone implementations of SAML, libraries integrated with an application, or any combination of the two. It is difficult to define a clear boundary between a Service Provider and the Relying Party application/service it represents, and unnecessary to do so for the purposes of this document.

3. Compliance to the CATS SAML 2.0 Deployment Profile

The requirements specified are in addition to all normative requirements of the underlying Web Browser SSO and Single Logout profiles [SAML2Prof], as modified by the Approved Errata [SAML2Err], and readers are assumed to be familiar with all relevant reference documents. Any such requirements are not repeated here except where deemed necessary to highlight a point of discussion or draw attention to an issue addressed in errata, but remain implied.

Note that SAML features that are optional, or lack mandatory processing rules, are assumed to be optional and out of scope of this profile if not otherwise precluded or given specific processing rules.

The normative requirements of this CATS Deployment Profile in terms of the applicable sections of the Kantara Profile are detailed in Sections 4 through 6 of this document. The requirements of [SAML2Iop] are repeated word-for-word in the same order as they appear in the upstream profile. Each requirement is then annotated with the support required by this profile: typically this is either "Supported" or "Constrained" or "Not Applicable". Whenever further details are required to fully explain the CATS requirement, they are provided.

This profile also has requirements which are additional to the [SAML2Iop] requirements. These are specified at the end of each applicable section, as well as in section 7.

Deployments owned by Government of Canada departments and agencies MUST obtain approval from the Chief Information Officer Branch of Treasury Board Secretariat before ignoring any requirements labelled with the key words "SHOULD", "SHOULD NOT", "RECOMMENDED" or "NOT RECOMMENDED".

4. Common Requirements

This section includes material of general significance to both IdPs and SPs. Subsequent sections provide guidance specific to those roles.

4.1. General

4.1.1. Clock Skew

Kantara Requirement: [SDP-G01]

Deployments MUST allow for a minimum of three (3) and a maximum of five (5) minutes of clock skew — in either direction — when interpreting xsd:dateTime values in assertions and when enforcing security policies based thereupon.

The following is a non-exhaustive list of items to which this directive applies: NotBefore, NotOnOrAfter, and validUntil XML attributes found on <saml:Conditions>, <saml:SubjectConfirmationData>, <samlp:LogoutRequest>, <md:EntityDescriptor>, <md:EntitiesDescriptor>, <md:RoleDescriptor>, and <md:AffiliationDescriptor> elements.

CATS Support: Supported

4.1.2. Data Size

Kantara Requirement: [SDP-G02]

Unless otherwise specified, deployments MUST limit the size of all element and attribute content they produce to 256 characters. This applies in particular to the values within <saml:NameID> and <saml:AttributeValue> elements.

CATS Support: Supported

4.1.3. Document Type Definitions

Kantara Requirement: [SDP-G03]

Deployments MUST NOT produce any SAML protocol message that contains a (DTD) Document Type Definition. Deployments SHOULD reject messages that contain them.

CATS Support: Supported

4.1.4. SAML entityIDs

Kantara Requirement: [SDP-G04]

Deployments MUST be named via an absolute URI whose total length MUST NOT exceed 256 characters.

An entityID SHOULD be chosen in a manner that minimizes the likelihood of it changing for political or technical reasons, including for example a change to a different software implementation or hosting provider.

CATS Support: Supported

4.2. Metadata and Trust Management

4.2.1. Metadata Consumption and Use

Kantara Requirement: [SDP-MD01]

Deployments MUST provision their behavior in the following areas based solely on the consumption of SAML Metadata [SAML2Meta] on an automated, periodic or real-time basis using (where applicable) the processing rules defined by the SAML Metadata Interoperability profile [SAML2MDIOP]:

- indications of support for Browser SSO and Single Logout profiles
- selection, determination, and verification of SAML endpoints and bindings
- determination of the trustworthiness of XML signing keys and TLS client and server certificates
- selection of XML Encryption keys
- determination of subject identifier SAML Attribute(s) to provide (per [SAML2SubjId])
- optional signing of assertions via the WantAssertionsSigned flag
- optional enforcement of request signing via the AuthnRequestsSigned flag

Deployments MUST NOT require out of band communication or coordination for the management of any behavior by peers included within the enumerated areas identified above. Deployments MAY of course rely on additional sources of policy, including other metadata content, in order to make determinations whether to successfully interact with peers or refuse to do so.

CATS Support: Constrained

Deployments MUST NOT use SAML metadata to provision their behaviour in the following areas:

- · determination of the trustworthiness of TLS client and server certificates
- determination of subject identifier SAML Attribute(s) to provide

Kantara Requirement: [SDP-MD02]

Consumption of metadata MUST be contingent on verification of a signature (STRONGLY RECOMMENDED) or TLS server certificate. The key ultimately used to establish trust in metadata MUST NOT itself appear within the same metadata in a <md:KeyDescriptor> element.

In most cases, the previous requirement implies that a key communicated via metadata may not also be used to sign and verify the same metadata, but it is possible to envision scenarios in which this may happen if metadata verification relies on a chain of certificates signed by an ultimately trusted Certificate Authority. However, it must be possible to seamlessly communicate new keys without necessarily changing the key used to establish trust in the metadata, which implies some level of indirection is required.

CATS Support: Constrained

Consumption of metadata by the Sign in Canada acceptance platform and all deployments federating with it MUST be contingent on verification of a signature applied by Shared Services Canada.

4.2.1.1. Metadata Validity

Kantara Requirement: [SDP-MD03]

Metadata without a validUntil attribute on its root element MUST be rejected. Metadata whose root element's validUntil attribute extends beyond a deployer- or community-imposed threshold MUST be rejected.

These are critical (but very simple to implement) requirements for secure application of [SAML2MDIOP] because it is the method by which keys are revoked and the window of revocation is established.

CATS Support: Supported

4.2.2. Metadata Production

Kantara Requirement: [SDP-MD04]

Deployments MUST have the ability to provide SAML metadata capturing their requirements and characteristics in the areas identified above in a secure fashion, the specifics of which will necessarily vary by context and community. The use of services offering third-party validation, curation, signing, and publishing of metadata is a recommended practice.

This profile does not mandate any specific automated support for the production of metadata by a deployment. In fact, automatic generation of metadata has a strong tendency to undermine the correct functioning of peer deployments in the face of key rollover or changes to endpoints or other software features because it tends to change too suddenly to accommodate a graceful transition between states.

CATS Support: Constrained

Deployments federating with the Sign in Canada acceptance platform MUST provide their metadata to Shared Services Canada who performs third-party validation, curation, signing, and publishing of metadata.

Kantara Requirement: [SDP-MD05]

Metadata MAY include content indicating support for profiles or features beyond the bounds of this profile, but metadata MUST NOT contain content that advertises profile support or features that aren't supported or that have not been deliberately and intentionally configured by a deployment.

As an example, deployments that lack support for, or have not tested and integrated an implementation's support for the HTTP-Artifact binding [SAML2Bind] must omit such endpoints.

CATS Support: Supported

4.2.2.1. Keys and Certificates

Kantara Requirement: [SDP-MD06]

Public keys used for signing, encryption, and TLS client and server authentication MUST be expressed via X.509 certificates included in metadata via <md:KeyDescriptor> elements.

These certificates SHOULD be long-lived and self-signed. To avoid problems with non-conforming SAML implementations, certificates in metadata SHOULD NOT be expired (though deployments complying with this profile MUST accept expired certificates by virtue of [SAML2MDIOP]).

CATS Support: Constrained

Deployments owned by Government of Canada departments and agencies MUST use X.509 certificates issued by the Government Shared Services (GSS) Certificate Authority for signing and encryption of SAML messages.

X.509 certificates used for TLS server authentication MUST be issued by a certificate authority that is recognized by all of the following:

- The Apple Trusted Root Certificate Program
- The Java Trusted Root Certificate Program
- The Microsoft Trusted Root Certificate Program
- The Mozilla Trusted Root Certificate Program

Deployments MUST NOT accept expired certificates.

Deployments SHOULD NOT perform runtime path validation or revocation checking of X.509 certificates used for signing or encryption of SAML messages.

Using revocation checking mechanisms such as certificate revocation lists (CRLs) and the Online Certificate Status Protocol (OCSP) during runtime creates a dependency that can reduce the availability of a deployment. In the event of a private key compromise, Shared Services Canada will revoke the affected deployment's SAML metadata.

Deployments MUST perform path validation and check the revocation status of X.509 certificates used for TLS server authentication.

This profile does not contain any requirement for using TLS client authentication.

Kantara Requirement: [SDP-MD07]

RSA public keys MUST be at least 2048 bits in length. At least 3072 bits is RECOMMENDED for new deployments.

CATS Support: Supported

Kantara Requirement: [SDP-MD08]

EC public keys MUST be at least 256 bits in length.

CATS Support: Supported

Kantara Requirement: [SDP-MD09]

Certificates used MUST NOT be signed with an MD5-based signature algorithm and SHOULD NOT be signed with a SHA1-based signature algorithm.

CATS Support: Supported

Kantara Requirement: [SDP-MD10]

By virtue of the profile's overall requirements, an IdP's metadata MUST include at least one signing certificate (that is, an <md:KeyDescriptor> with no use attribute or one set to signing), and an SP's metadata MUST include at least one encryption certificate (that is, an <md:KeyDescriptor> with no use attribute or one set to encryption).

CATS Support: Constrained

The metadata of IdPs and SPs MUST contain at least one signing certificate with the use attribute set to signing and at least one encryption certificate with the use attribute set to encryption.

4.2.2.2. Discovery and User Interface Elements

Kantara Requirement: [SDP-MD11]

Metadata MUST include an <mdui:UIInfo> element as defined in [MetaUI] containing at least the child elements <mdui:DisplayName>, <mdui:Logo>, <mdui:InformationURL>, and <mdui:PrivacyStatementURL>.

CATS Support: Constrained

Metadata MAY include a <mdui:UIInfo> element with any child elements.

Kantara Requirement: [SDP-MD12]

The content of the <mdui:Logo> element MUST be either an https URL or an in-line image embedded in a data URI element.

CATS Support: Supported

Kantara Requirement: [SDP-MD13]

At least one <mdui:Logo> element MUST have a height attribute of 60 and a width attribute of 80.

An entity SHOULD include an <mdui:Logo> element with a height attribute of 16 and a width attribute of 16.

Any logo referenced by an <mdui:Logo> element MUST be in PNG format with a transparent background.

CATS Support: Supported

4.3. Cryptographic Algorithms

Kantara Requirement: [SDP-ALG01]

Deployments MUST support, and use, the following algorithms when communicating with peers in the context of this profile. Where multiple choices exist, any of the listed options may be used. The profile will be updated as necessary to reflect changes in government and industry recommendations regarding algorithm usage.

- Digest
 - http://www.w3.org/2001/04/xmlenc#sha256 [XMLEnc]
- Signature
 - http://www.w3.org/2001/04/xmldsig-more#rsa-sha256 [RFC4051]
 - http://www.w3.org/2001/04/xmldsig-more#ecdsa-sha256 [RFC4051]
- Block Encryption
 - http://www.w3.org/2009/xmlenc11#aes128-gcm [XMLEnc]
 - http://www.w3.org/2009/xmlenc11#aes192-gcm [XMLEnc]
 - http://www.w3.org/2009/xmlenc11#aes256-gcm [XMLEnc]
- Key Transport
 - http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgf1p [XMLEnc]
 - http://www.w3.org/2009/xmlenc11#rsa-oaep [XMLEnc]

The following default digest algorithm MUST be used in conjunction with the above key transport algorithms (the default mask generation function, MGF1 with SHA1, MUST be used):

http://www.w3.org/2001/04/xmlenc#sha256 [XMLEnc]

This profile cannot preclude the use of other algorithms when communicating with peers outside the scope of this profile, but the other algorithms in common use are generally considered to be weakening (e.g., SHA-1) or broken outright (e.g., RSA PKCS#1.5). Note that the use of AES-CBC block encryption algorithms remains widespread at the time of authoring, but are known to be broken [XMLEncBreak].

CATS Support: Constrained

IdP deployments MUST also support the use of http://www.w3.org/2001/04/xmlenc#aes128-cbc [XMLEnc] to encrypt Assertions for any SP that has specified this algorithm in its metadata.

The use of block encryption algorithms using the Galois/Counter Mode (GCM) mode of option is RECCOMMENDED for SP deployments, however http://www.w3.org/2001/04/xmlenc#aes128-cbc MAY be used if the SP software does not support GCM algorithms.

As per [ITSP.40.111], these encryption and signature algorithms are approved for use to protect the confidentiality of PROTECTED A and PROTECTED B information and the integrity of information to the medium injury level.

5. SP Requirements

5.1. Web Browser SSO

Kantara Requirement: [SDP-SP01]

SPs MUST support the Browser SSO Profile [SAML2Prof], as updated by the Approved Errata [SAML2Err], with behavior, capabilities, and options consistent with the additional constraints specified in this section.

CATS Support: Supported

5.1.1. Requests

5.1.1.1. Binding

Kantara Requirement: [SDP-SP02]

The HTTP-Redirect binding [SAML2Bind] MUST be used for the transmission of <samlp:AuthnRequest> messages.

CATS Support: Supported

Kantara Requirement: [SDP-SP03]

Requests MUST NOT be issued inside an HTML frame or via any mechanism that would require the use of third-party cookies by the IdP to establish or recover a session with the User Agent. This will typically imply that requests must involve a full-frame redirect, in order that the top level window origin be associated with the IdP.

CATS Support: Supported

5.1.1.2. Request Content

Kantara Requirement: [SDP-SP04]

The <samlp: NameIDPolicy> element (RECOMMENDED), or the element MUST contain an AllowCreate attribute of "true" and MUST NOT contain a Format attribute.

CATS Support: Constrained

<samlp:NameIDPolicy> MAY contain a Format attribute, in which case its value MUST be
urn:oasis:names:tc:SAML:2.0:nameid-format:persistent.

An SPNameQualifier attribute MAY also be present to indicate:

- a. the SP's membership in an affiliation of SPs, or
- b. the SP's desire to request a persistent <saml:NameID> value assigned to an entityID previously used by the same SP, or
- c. a Proxying Identity Provider's desire to request a persistent <saml:NameID> value on behalf of one of its client SPs.

SPNameQualifier provides critical functionality that supports changes in the topology of a federation. This is why this profile has not adopted [SAML2SubjAttr] as it does not currently provide equivalent functionality.

Kantara Requirement: [SDP-SP05]

The <samlp:AuthnRequest> message MUST NOT contain a <saml:Subject> element.

This is a relatively unused feature that is supported by few IdPs.

CATS Support: Supported

Kantara Requirement: [SDP-SP06]

The message SHOULD contain an AssertionConsumerServiceURL attribute and MUST NOT contain an 'AssertionConsumerServiceIndex' attribute (i.e., the desired endpoint MUST be the default, or identified via the AssertionConsumerServiceURL attribute).

CATS Support: Supported

Kantara Requirement: [SDP-SP07]

The AssertionConsumerServiceURL value, if present, MUST match an endpoint location expressed in the SP's metadata exactly, without requiring URL canonicalization/normalization.

As an example, the SP MUST NOT use a hostname with port number (such as https://sp.example.com:443/acs) in its request and without (such as https://sp.example.com/acs) in its metadata.

CATS Support: Supported

5.1.1.3. Authentication Contexts

Kantara Requirement: [SDP-SP08]

An SP that does not require a specific <saml:AuthnContextClassRef> value MUST NOT include a <samlp:RequestedAuthnContext> element in its requests.

An SP that requires specific <saml:AuthnContextClassRef> values MUST specify the allowable values in a <samlp:RequestedAuthnContext> element in its requests, with the Comparison attribute set to exact.

An SP SHOULD NOT request a <saml: AuthnContextClassRef> value in the absence of a shared understanding between itself and the IdP regarding its definition.

CATS Support: Constrained

SP deployments MUST include <samlp:RequestedAuthnContext> with the Comparison attribute set to exact.

The <samlp:RequestedAuthnContext> MUST include a Level of Assurance as specified in [SAML2Assur].

The SP MAY request more than one level of assurance in descending priority order.

This is useful when a certain minimum level of assurance is required, but the SP is willing to accept a higher level of assurance.

The AuthnContext Schema for the Sign in Canada levels of assurance are published at https://github.com/canada-ca/CATS-STAE/tree/master/SAML/src/schemas.

5.1.2. Responses

5.1.2.1. **Binding**

Kantara Requirement: [SDP-SP09]

SPs MUST support the HTTP-POST binding for the receipt of <samlp:Response> messages. Support for other bindings is OPTIONAL.

CATS Support: Supported

Kantara Requirement: [SDP-SP10]

The endpoint(s) at which an SP supports receipt of <samlp:Response> messages MUST be protected by TLS/SSL.

CATS Support: Constrained

TLS MUST be configured according to [ITSP.40.062].

5.1.2.2. XML Encryption

Kantara Requirement: [SDP-SP11]

SPs MUST support decryption of <saml:EncryptedAssertion> elements. Support for other encrypted constructs is OPTIONAL.

CATS Support: Constrained

SPs MUST NOT implement other encrypted constructs.

5.1.2.3. Error Handling

Kantara Requirement: [SDP-SP12]

SPs MUST gracefully handle error responses containing <samlp:StatusCode> other than urn:oasis:names:tc:SAML:2.0:status:Success.

CATS Support: Supported

Kantara Requirement: [SDP-SP13]

The response to such errors MUST direct users to appropriate support resources offered by the SP or alternatively to the errorURL attribute in an IdP's metadata.

CATS Support: Supported

5.1.2.4. Forced Re-Authentication

Kantara Requirement: [SDP-SP14]

SPs that include a ForceAuthn attribute of true in their requests SHOULD test the currency of the AuthnInstant element in the received assertions to verify the currency of the authentication event.

This is necessary because clients can freely generate requests that do not specify this attribute, potentially bypassing the SP's intent.

CATS Support: Supported

5.1.3. Subject Identification

5.1.3.1. NameID Formats

Kantara Requirement: [SDP-SP15]

SPs MUST NOT require the presence of a <saml:NameID> element and MUST NOT rely on the content of this element for long term identification of subjects; <saml:Attribute> elements MUST be used for this purpose in the manner detailed below.

CATS Support: Constrained

SP deployments MUST support <saml:NameID> and the urn:oasis:names:tc:SAML:2.0:nameid-format:persistent name identifier format as described in [SAML2Core]. <saml:Attribute> elements MUST NOT be used for this purpose.

The NameQualifier and SPNameQualifier attributes of the <saml:NameID> element allow for the qualification of the element value, which provides critical functionality to support changes in the topology of a federation. This profile has not adopted [SAML2SubjAttr] as it does not currently provide equivalent functionality.

5.1.3.2. Subject Identifiers

Kantara Requirement: [SDP-SP16]

If an SP requires persistent tracking/identification of its users (as most do), then it MUST support one or both of the SAML Attributes defined by [SAML2SubjId] for this purpose.

If an SP requires coordination and/or correlation of user activity between itself and other SPs, then the SAML Attribute named urn:oasis:names:tc:SAML:attribute:subject-id is appropriate. Otherwise the SAML Attribute named urn:oasis:names:tc:SAML:attribute:pairwise-id can be used.

CATS Support: Constrained

SP deployments MUST NOT implement [SAML2SubjId].

Kantara Requirement: [SDP-SP17]

SPs MAY support legacy or historical <saml:NameID> and <saml:Attribute> content for compatibility reasons but MUST NOT require their use.

CATS Support: Constrained

SP deployments MUST support <saml:NameID> and the urn:oasis:names:tc:SAML:2.0:nameid-format:persistent name identifier format as described in [SAML2Core].

5.1.3.3. Subject Identifier Requirements Signaling

Kantara Requirement: [SDP-SP18]

An SP MUST represent its identifier requirements in its SAML metadata, consistent with the Requirements Signaling mechanism defined in [SAML2SubjId].

CATS Support: Not Applicable

5.1.3.4. Identifier Scoping

Kantara Requirement: [SDP-SP19]

SPs MUST prevent unintended identifier collisions in the values asserted by different IdPs, and the required identifier types, per [SAML2SubjId], are "scoped" via a DNS-like syntax to help fulfill this requirement.

CATS Support: Not Applicable

Kantara Requirement: [SDP-SP20]

SPs MUST associate identifier scopes with IdPs such that only authorized IdPs may assert identifiers with particular scopes for particular purposes.

For example, if the example.com scope is bound to the IdP named http://idp.example.com/saml, it should be generally disallowed for any other IdP to assert an identifier in that scope. Note that this is not a 1:1 relationship; it may frequently happen that multiple IdPs may assert a given scope, or an IdP may assert identifiers in multiple scopes, but the rules for this should be explicit and enforced.

CATS Support: Not Applicable

5.1.3.5. Displayable Identifiers

The required identifier types above are opaque, unknown to users in most cases, and unsuitable for display.

Kantara Requirement: [SDP-SP21]

SPs requiring the display of identifiers to users, the identification of other users via searching, selection, etc., and similar use cases SHOULD rely on additional suitable SAML Attributes such as ([X500SAMLattr]):

- urn:oid:0.9.2342.19200300.100.1.3 (mail)
- urn:oid:2.16.840.1.113730.3.1.241 (displayName)
- urn:oid:2.5.4.42 (givenName)
- urn:oid:2.5.4.4 (sn)

Note that most standardized Attributes of this sort tend to be defined as multi-valued.

CATS Support: Not Applicable

5.1.4. Attribute Value Constraints

Kantara Requirement: [SDP-SP22]

When consuming SAML Attributes with standardized definitions in external specifications, SPs MUST NOT impose constraints beyond the definitions of those attributes.

For example, the definition of the mail attribute (in SAML, urn:oid:0.9.2342.19200300.100.1.3) explicitly allows for multiple values, so an SP that consumes it for some purpose must necessarily allow for that possibility.

CATS Support: Not Applicable

5.1.5. Usability

Silo-oriented, multi-tenant approaches to federated application deployment create an inherent friction with the intended design of the web, user behavior and experience, and the needs of collaboration inherent in many applications. SSO, when integrated poorly, can negatively impact usability, and the following sections, while not strictly matters of SAML interoperability, have a significant effect on the perception of the system as a whole and on the successful adoption of SSO, regardless of the protocol.

The web inherently operates on the basis of *addressability* of resources; that is, users expect to be able to access a piece of information or an application function directly, without regard for their identity, current level of access, or what is convenient for an application developer to support. This leads naturally to the ability to create bookmarks to what matters to them, and users will consistently route around attempts to force them through proxies, portals, and other artificial access paths.

At a high level, these issues fall under the term deep linking.

For a wide range of applications in the collaborative space, this notion is not merely convenient, but utterly essential, because such applications presume the sharing of resources with peers between organizations.

For the purposes of the following requirements, we will refer to applications that rely on the exposure of resource URLs that may be shared between users from multiple organizations as "collaborative" applications, even if their purpose may not specifically align with that term.

5.1.5.1. Support for Multiple IdPs

Kantara Requirement: [SDP-SP23]

SPs MUST allow for the possibility that any given request requiring authentication may be potentially satisfied by more than one IdP. That is, any scenario in which a piece of content, policy, configuration, or decision on the part of an application is bound to an IdP MUST be constructed in a fashion such that more than one IdP may be so bound.

This requirement flows from both the inherent requirements of collaborative applications described above, and from the simple reality that enterprises vary in their structure. Some organizations rely on more than one IdP due to administrative boundaries, but frequently contract for or access services as a single body. Thus, any presumed mapping between a contract or set of access policies and a single SAML IdP is too constraining. This constraint imposes a need for complex proxying of SSO by many organizations and must be avoided.

CATS Support: Supported

5.1.5.2. Deep Linking

Kantara Requirement: [SDP-SP24]

Applications SHOULD, and collaborative applications MUST, support deep linking. Deep linking implies maintaining support for such links across the boundary of a Web Browser SSO profile interaction involving any IdP necessary to complete the login process. That is, it SHOULD be possible to request a resource and (authorization permitting) have it supplied as the result of a successful Web Browser SSO profile exchange.

CATS Support: Supported

Kantara Requirement: [SDP-SP25]

It is RECOMMENDED that SPs support the preservation of POST bodies across a successful SSO profile exchange, subject to size limitations dictated by policy or implementation constraints.

Deep linking implies support for SP-initiated SSO, i.e., the direct generation of authentication request messages in response to unauthenticated or insufficiently-authenticated access attempts to an application as a whole, or to specific protected content. Deep linking may co-exist with support for unsolicited responses (so-called IdP-initiated SSO), but precludes its requirement.

CATS Support: Supported

5.1.5.3. Discovery

Deep linking also implies support for some form of IdP "discovery", the process by which an SP establishes which IdP to use on behalf of a subject. Use of IdP-initiated SSO is a common workaround for supporting discovery, but cannot be required if deep linking is supported, in addition to having other drawbacks.

A common means of discovery is the mapping of resource/application URL (typically virtual host, sometimes path) to a specific IdP. This is strongly discouraged, and is disallowed for collaborative applications, since it makes the sharing of URLs between users from multiple organizations impossible (or at best highly inconvenient).

Kantara Requirement: [SDP-SP26]

SPs SHOULD consider support for the Identity Provider Discovery Service Protocol and Profile defined in [IdPDisco] as it provides a general, composable building block. SPs MAY support other mechanisms and caching solutions (e.g., cookies) as desired, to reduce the frequency of discovery.

CATS Support: Constrained

SP deployments participating in the Sign in Canada federation MUST NOT support [IDPDisco].

Discovery services are provided by the Sign in Canada Acceptance Platform as part of authentication request processing.

5.2. Single Logout

Kantara Requirement: [SDP-SP27]

SPs MAY support the Single Logout Profile [SAML2Prof], as updated by the Approved Errata [SAML2Err]. The following requirements apply in the case of such support.

CATS Support: Constrained

SPs MUST support the Single Logout Profile for the sending of <samlp:LogoutRequest> messages and SHOULD support the receipt of <samlp:LogoutRequest> messages.

5.2.1. Requests

5.2.1.1. **Binding**

Kantara Requirement: [SDP-SP28]

The HTTP-Redirect binding [SAML2Bind] MUST be used for the transmission of <samlp:LogoutRequest> messages.

CATS Support: Supported

Kantara Requirement: [SDP-SP29]

SPs MUST support the HTTP-Redirect [SAML2Bind] binding for the receipt of <samlp:LogoutRequest> messages, in the event that inbound <samlp:LogoutRequest> messages are supported.

CATS Support: Constrained

SPs SHOULD support the SOAP [SAML2Bind] binding for the the receipt of <samlp:LogoutRequest> messages.

An SP MAY support the HTTP-Redirect binding in the event that their implementation does not support the SOAP binding, in which case the SP MUST support cross-origin resource sharing [CORS] so that the IdP can send <samlp:LogoutRequest> messages without giving up control of the user agent.

Kantara Requirement: [SDP-SP30]

Requests MUST NOT be issued inside an HTML frame or via any mechanism that would require the use of third-party cookies by the IdP to establish or recover a session with the User Agent. This will typically imply that requests must involve a full-frame redirect, in order that the top level window origin be associated with the IdP.

The full-frame requirement is also necessary to ensure that full control of the user interface is released to the IdP.

CATS Support: Supported

5.2.1.2. Request Content

Kantara Requirement: [SDP-SP31]

Requests MUST be signed.

CATS Support: Supported

Kantara Requirement: [SDP-SP32]

The <saml:NameID> element included in <samlp:LogoutRequest> messages MUST exactly match the corresponding element received from the IdP, including its element content and all XML attributes included therein.

CATS Support: Supported

Kantara Requirement: [SDP-SP33]

The <saml:NameID> element in <samlp:LogoutRequest> messages MUST NOT be encrypted.

The normative requirement for the use of transient identifiers is intended to obviate the need for XML Encryption.

CATS Support: Constrained

The <saml:NameID> element SHOULD be encrypted via the <saml:EncryptedID> element.

This profile uses persistent identifiers which should be protected.

Note that encrypting the NameID increases the size of the SAML message significantly, which has historically caused problems with very old browsers that do not support long URLs. SP software should be configured to not include unnecessary elements such as <ds:X509Data> in <saml:EncryptedID>.

5.2.2. Responses

5.2.2.1. Binding

Kantara Requirement: [SDP-SP34]

The HTTP-Redirect binding [SAML2Bind] MUST be used for the transmission of <samlp:LogoutResponse> messages.

CATS Support: Supported

Kantara Requirement: [SDP-SP35]

SPs MUST support the HTTP-Redirect [SAML2Bind] binding for the receipt of <samlp:LogoutResponse> messages, in the event that they do not include the <aslo:Asynchronous> extension [SAML2ASLO] in all of their requests.

CATS Support: Supported

5.2.2.2. Response Content

Kantara Requirement: [SDP-SP36]

Responses MUST be signed.

CATS Support: Supported

5.2.3. Behavioral Requirements

Kantara Requirement: [SDP-SP37]

SPs MUST terminate a subject's local session before issuing a <samlp:LogoutRequest> message to the IdP.

This ensures the safest possible result for subjects in the event that logout fails for some reason, as it often will.

CATS Support: Supported

Kantara Requirement: [SDP-SP38]

SPs MUST NOT issue a <samlp:LogoutRequest> message as the result of an idle activity timeout.

Timeout of a single application/service must not trigger logout of an SSO session because this imposes a single service's requirements on an entire IdP deployment. Applications with sensitive requirements should consider other mechanisms, such as the ForceAuthn attribute, to achieve their goals.

CATS Support: Supported

5.2.4. Logout and Virtual Hosting

Kantara Requirement: [SDP-SP39]

An SP that maintains distinct sessions across multiple virtual hosts SHOULD identify itself by means of a distinct entityID (with associated metadata) for each virtual host.

A single entity can have only one well-defined <SingleLogoutService> endpoint per binding. Cookies are typically host-based and logout cannot typically be implemented easily across virtual hosts. Unlike during SSO, a <samlp:LogoutRequest> message cannot specify a particular response endpoint, so this scenario is generally not viable.

CATS Support: Supported

5.3. Metadata and Trust Management

5.3.1. Support for Multiple Keys

The ability to perform seamless key migration depends upon proper support for consuming and/or leveraging multiple keys at the same time.

Kantara Requirement: [SDP-SP40]

SP deployments MUST support multiple signing certificates in IdP metadata and MUST support validation of XML signatures using a key from any of them.

CATS Support: Constrained

SP deployments SHOULD support multiple signing certificates in IdP metadata.

Kantara Requirement: [SDP-SP41]

SP deployments MUST be able to support multiple decryption keys and MUST be able to decrypt <saml:EncryptedAssertion> elements encrypted with any configured key.

CATS Support: Constrained

SP deployments SHOULD support multiple decryption keys.

5.3.2. Metadata Content

Kantara Requirement: [SDP-SP42]

By virtue of this profile's requirements, an SP's metadata MUST contain:

- an <md:SPSSODescriptor> role element
 - at least one <md:AssertionConsumerService> endpoint element
 - at least one <md:KeyDescriptor> element whose use attribute is omitted or set to signing
 - at least one <md:KeyDescriptor> element whose use attribute is omitted or set to encryption
- an <md:Extensions> element
 - an <mdui:UIInfo> extension element with previously prescribed content
 - an <mdattr:EntityAttributes> extension element for signaling Subject Identifier requirements with previously prescribed content

In addition, an SP's metadata MUST contain:

an <md:ContactPerson> element with a contactType of technical and an <md:EmailAddress> element

An <md:SingleLogoutService> element MAY be omitted in the event that an SP either does not support the Single Logout Profile, or solely issues <samlp:LogoutRequest> messages containing the <aslo:Asynchronous> extension [SAML2ASLO].

CATS Support: Constrained

The metadata of SPs MUST contain at least one signing certificate with the use attribute set to signing and at least one encryption certificate with the use attribute set to encryption.

An <md:Extensions> element MAY contain an <mdui:UIInfo> but MUST NOT include an <mdattr:EntityAttributes> attribute.

The <md:SPSSODescriptor> element of an SP's metadata MUST also include an AuthnRequestsSigned attribute set to true or 1 and a WantAssertionsSigned attribute set to true or 1.

An SP's metadata SHOULD include two <md:SingleLogoutService> elements, one with the Binding attribute value of urn:oasis:names:tc:SAML:2.0:bindings:HTTP-Redirect, the other with the Binding attribute value of urn:oasis:names:tc:SAML:2.0:bindings:SOAP.

5.4. CATS-Specific Requirements

5.4.1. Request Signing

[CDP-SP01]

<samlp:AuthnRequest> messages MUST be signed using the SHA-256 algorithm.

6. IdP Requirements

6.1. Web Browser SSO

Kantara Requirement: [SDP-IDP01]

IdPs MUST support the Browser SSO Profile [SAML2Prof], as updated by the Approved Errata [SAML2Err], with behavior, capabilities, and options consistent with the additional constraints specified in this section.

CATS Support: Supported

6.1.1. Requests

6.1.1.1. Binding

Kantara Requirement: [SDP-IDP02]

IdPs MUST support the HTTP-Redirect binding [SAML2Bind] for the receipt of <samlp:AuthnRequest> messages.

CATS Support: Supported

Kantara Requirement: [SDP-IDP03]

The endpoint(s) at which an IdP supports receipt of <samlp:AuthnRequest> messages MUST be protected by TLS/SSL.

CATS Support: Constrained

TLS MUST be configured according to [ITSP.40.062].

6.1.1.2. Endpoint Verification

Kantara Requirement: [SDP-IDP04]

When verifying the AssertionConsumerServiceURL, it is RECOMMENDED that the IdP perform a case-sensitive string comparison between the requested value and the values found in the SP's metadata. It is OPTIONAL to apply any form of URL canonicalization.

CATS Support: Supported

6.1.1.3. Signing

Kantara Requirement: [SDP-IDP05]

If a request is signed, IdPs MUST verify the signature or fail the request. An IdP MAY handle a signature verification failure locally rather than via an error response to the SP.

CATS Support: Supported

Kantara Requirement: [SDP-IDP06]

IdPs MUST reject unsigned requests in the event that an SP's metadata includes an AuthnRequestsSigned attribute set to true or 1.

CATS Support: Supported

6.1.1.4. Forced Re-Authentication

Kantara Requirement: [SDP-IDP07]

IdPs MUST ensure that any response to a <samlp:AuthnRequest> that contains the attribute ForceAuthn set to true or 1 results in an authentication challenge that requires proof that the subject is present. If this condition is met, the IdP MUST also reflect this by setting the value of the AuthnInstant value in the assertion it returns to a fresh value.

If an IdP cannot prove subject presence, then it MUST fail the request and SHOULD respond to the SP with a SAML error status.

CATS Support: Supported

6.1.2. Responses

6.1.2.1. **Binding**

Kantara Requirement: [SDP-IDP08]

IdPs MUST support the HTTP-POST binding [SAML2Bind] for the transmission of <samlp:Response> messages.

CATS Support: Supported

6.1.2.2. Response Content

Kantara Requirement: [SDP-IDP09]

Successful responses MUST be directly signed using a <ds:Signature> element within the <samlp:Response> element. Error responses MAY be signed.

CATS Support: Constrained

Responses MUST NOT be signed.

Kantara Requirement: [SDP-IDP10]

Successful responses MUST contain one and only one SAML assertion, and the assertion MUST contain exactly one <saml:AuthnStatement> element and MAY contain zero or one <saml:AttributeStatement> elements. The assertion within the response MAY be directly signed.

CATS Support: Constrained

The assertion within the response MUST be directly signed.

The <saml:AuthnStatement> MUST include exactly one <saml:AuthnContext> element that specifies the level of assurance [SAML2Assur] to which the subject was authenticated.

Kantara Requirement: [SDP-IDP11]

In the event the HTTP-POST binding [SAML2Bind] is used, assertions MUST be encrypted and transmitted via a <saml:EncryptedAssertion> element. Information intended for the consumption of the SP MUST NOT be further encrypted via <saml:EncryptedID> or <saml:EncryptedAttribute> constructs.

CATS Support: Supported

6.1.3. Subject Identifiers

Kantara Requirement: [SDP-IDP12]

Assertions MUST contain a <saml:NameID> element with the urn:oasis:names:tc:SAML:2.0:nameid-format:transient Format, as defined in [SAML2Core], for the purposes of logout.

CATS Support: Constrained

The <saml:NameID> Format MUST be urn:oasis:names:tc:SAML:2.0:nameid-format:persistent.

Kantara Requirement: [SDP-IDP13]

IdPs MUST support one or both of the SAML Attributes defined by [SAML2SubjId] for non-transient identification of subjects. Support for both is RECOMMENDED.

CATS Support: Constrained

IdP deployments MUST NOT implement [SAML2SubjId].

6.1.3.1. Subject Identifier Requirements Signaling

Kantara Requirement: [SDP-IDP14]

IdPs MUST support the metadata-based identifier requirement signaling mechanism defined in [SAML2SubjId].

CATS Support: Constrained

IdP deployments MUST NOT implement [SAML2SubjId].

Kantara Requirement: [SDP-IDP15]

If an IdP cannot or will not satisfy the requirements of an SP in this respect, then it MUST fail the authentication request and SHOULD respond to the SP with a SAML error status.

CATS Support: Constrained

IdP deployments MUST NOT implement [SAML2SubjId].

Kantara Requirement: [SDP-IDP16]

In the absence of any signaling by an SP, an IdP MAY supply either, both, or neither SAML Attribute, or return an error as it sees fit.

CATS Support: Constrained

IdP deployments MUST NOT implement [SAML2SubjId].

6.1.4. Attributes

Kantara Requirement: [SDP-IDP17]

<saml:Attribute> elements MUST contain a NameFormat of urn:oasis:names:tc:SAML:2.0:attrnameformat:uri.

This requirement ensures unique, non-conflicting naming of Attributes even in cases involving custom requirements for which no standard Attributes may exist.

CATS Support: Supported

Kantara Requirement: [SDP-IDP18]

It is RECOMMENDED that the content of <saml:AttributeValue> elements be limited to a single child text node (i.e., a simple string value).

CATS Support: Supported

6.2. Single Logout

Kantara Requirement: [SDP-IDP19]

IdPs MUST support the Single Logout Profile [SAML2Prof], as updated by the Approved Errata [SAML2Err], with behavior, capabilities, and options consistent with the additional constraints specified in this section.

The term "IdP session" is used to refer to the ongoing state between the IdP and its clients allowing for SSO. Support for logout implies supporting termination of a subject's IdP session in response to receiving a <samlp:LogoutRequest> or upon some administrative signal.

CATS Support: Supported

Kantara Requirement: [SDP-IDP20]

IdPs MAY allow a subject the option to maintain their IdP session rather than unilaterally terminating it.

CATS Support: Constrained

IdP deployments participating as a session authority MUST always terminate the subject's IdP session.

At all times, a <samlp:LogoutRequest> will generate a global logout for the subject's session.

Kantara Requirement: [SDP-IDP21]

IdPs MAY support the propagation of logout signaling to SPs.

CATS Support: Constrained

IdP deployments participating as a session authority MUST support the propagation of logout.

6.2.1. Requests

6.2.1.1. Binding

Kantara Requirement: [SDP-IDP22]

The HTTP-Redirect binding [SAML2Bind] MUST be used for the transmission of <samlp:LogoutRequest> messages, in the event that propagation is supported.

CATS Support: Constrained

The SOAP binding [SAML2Bind] MUST be used for the transmission of <samlp:LogoutRequest> messages to SPs that have included a <md:SingleLogoutService> SOAP endpoint in their metadata.

The HTTP-Redirect binding [SAML2Bind] MUST be used for the transmission of <samlp:LogoutRequest> messages to those SPs that have not included a <md:SingleLogoutService> SOAP endpoint in their metadata, but have included an HTTP-Redirect endpoint.

In cases where multiple SPs are participating in a session, identity providers MUST first use the SOAP binding to send <samlp:LogoutRequest> messages to all SPs that support SOAP before using the HTTP-Redirect binding to send <samlp:LogoutRequest> messages to any SPs that do not support SOAP.

Notwithstanding the above, in cases where multiple session participants support the same binding, an IdP MAY send <samlp:LogoutRequest> messages to multiple SPs concurrently using the same binding.

Doing so can improve the response time perceived by the user.

When using the HTTP-Redirect binding to transmit <samlp:LogoutRequest> messages, an IdP SHOULD NOT employ mechanisms that could lead to loss of control of the user agent in situations where an SP fails to respond to the <samlp:LogoutRequest>.

For example, if the IdP employs a full-frame browser redirect to an SP that fails to respond, control of the browser will not return to the IdP and it will not be able to respond to the SP that initiated the logout.

Kantara Requirement: [SDP-IDP23]

IdPs MUST support the HTTP-Redirect [SAML2Bind] binding for the receipt of <samlp:LogoutRequest> messages.

CATS Support: Supported

6.2.2. Request Content

Kantara Requirement: [SDP-IDP24]

Requests MUST be signed.

CATS Support: Supported

Kantara Requirement: [SDP-IDP25]

The <saml:NameID> element in <samlp:LogoutRequest> messages MUST NOT be encrypted.

The normative requirement for the use of transient identifiers is intended to obviate the need for XML Encryption.

CATS Support: Constrained

The <saml:NameID> element of <samlp:LogoutRequest> messages transmitted via the HTTP-Redirect binding [SAML2Bind] MUST be encrypted via the <saml:EncryptedID> element.

This profile uses persistent identifiers which should be protected.

<saml:EncryptedID> MUST NOT include any optional elements that unnecessarily increase the size of
the <samlp:LogoutRequest> message.

This is to avoid issues with older browsers that do not support long URLs.

6.2.3. Responses

6.2.3.1. **Binding**

Kantara Requirement: [SDP-IDP26]

The HTTP-Redirect binding [SAML2Bind] MUST be used for the transmission of <samlp:LogoutResponse> messages.

CATS Support: Supported

Kantara Requirement: [SDP-IDP27]

IdPs MUST support the HTTP-Redirect [SAML2Bind] binding for the receipt of <samlp:LogoutResponse> messages, in the event that <samlp:LogoutRequest> propagation is supported.

CATS Support: Supported

6.2.3.2. Response Content

Kantara Requirement: [SDP-IDP28]

Responses MUST be signed.

CATS Support: Supported

Kantara Requirement: [SDP-IDP29]

The <samlp:StatusCode> in the response issued by the IdP MUST reflect whether the IdP session was successfully terminated.

CATS Support: Supported

6.3. Metadata and Trust Management

6.3.1. Support for Multiple Keys

The ability to perform seamless key migration depends upon proper support for consuming and/or leveraging multiple keys at the same time.

Kantara Requirement: [SDP-IDP30]

IdP deployments MUST support multiple signing certificates in SP metadata and MUST support validation of signatures using a key from any of them.

CATS Support: Supported

6.3.2. Metadata Content

Kantara Requirement: [SDP-IDP31]

By virtue of this profile's requirements, an IdP's metadata MUST contain:

- an <md:IDPSSODescriptor> role element containing an errorURL attribute and an appropriate URL value
 - at least one <md:SingleSignOnService> endpoint element
 - at least one <md:SingleLogoutService> endpoint element
 - at least one <md:KeyDescriptor> element whose use attribute is omitted or set to signing
- an <md:Extensions> element
 - an <mdui:UIInfo> extension element with previously prescribed content

In addition, an IdP's metadata MUST contain:

an <md:ContactPerson> element with a contactType of technical and an <md:EmailAddress> element

CATS Support: Constrained

The metadata of IdPs MUST contain at least one signing certificate with the use attribute set to signing and at least one encryption certificate with the use attribute set to encryption.

6.4. CATS-Specific Requirements

6.4.1. Metadata Content

[CDP-IDP01]

In addition to the requirements of **[SDP-IDP31]**, an IdP's metadata MUST also contain the levels of assurance to which it conforms, as specified by the Identity Assurance Certification Attribute Profile **[SAML2Assur]**.

6.4.2. Responses

[CDP-IDP02]

IdP deployments MUST support the issuance of <saml2p:Response> messages (with appropriate status codes) in the event that a user indicates they wish to cancel/exit or if an error condition occurs, provided that the user agent remains available.

6.4.3. Session Management and Timeouts

[CDP-IDP03]

<saml:AuthnStatement> elements MUST NOT include a SessionNotOnOrAfter attribute.

[CDP-IDP04]

IdPs MUST NOT issue a <saml:Assertion> with an IssueInstant attribute value that exceeds the value of the AuthnInstant attribute of the included <saml:AuthnStatement> by more than 20 minutes.

This effectively prohibits the passive fulfilment of authentication requests (single sign-on) for a subject after 20 minutes have passed since their most recent authentication event.

Once 20 minutes have passed since the most recent authentication event, IDPs MUST issue a <saml:Repsonse> with a second-level <samlp:StatusCode> of urn:oasis:names:tc:SAML:2.0:status:NoPassive in response to any <samlp:AuthnRequest> with an IsPassive attribute value of true or 1.

[CDP-IDP05]

IdPs participating as a session authority MUST include the SessionIndex attribute of <saml:AuthnStatement>.

[CDP-IDP06]

Once an IdP participating as a session authority has issued the first <saml:AuthnStatement> containing the SessionIndex for a new session, it MUST retain sufficient session state to successfully process <samlp:LogoutRequest> messages that specify a matching <samlp:SessionIndex> value for no less than 8 hours.

This ensures that the IdP will be able to propagate single-logout of a subject's session for up to 8 hours after issuing the first assertion for that session.

The IdP MAY retain this session state for longer than 8 hours.

The IdP MAY discard all state associated with a SessionIndex after processing a <samlp:LogoutRequest> for the session.

[CDP-IDP07]

IdPs participating as a session authority MUST administratively perform a global logout of any current subject's session whenever an authentication event within that session results in the authentication of a different subject.

For example, say the IdP has issued the first <saml:AuthnStatement> for subject A within the last 8 hours, and subsequently receives a <samlp:AuthnRequest> from the same user agent triggering a new authentication event. If the end-user authenticates with a different credential (subject B) than the one originally used by subject A, then the IdP must peform a global logout of subject A's session, before starting a new session for subject B.

6.4.4. Security

[CDP-IDP08]

The private keys of an IdPs signing certificates MUST be protected within the boundary of a FIPS 140-2 Level 2 or higher validated hardware cryptographic module.

7. CATS-Specific Proxy Requirements

[CDP-PIP01]

Proxying Identity Provider deployments MUST support the mapping of incoming to outgoing <saml:NameID> elements, to pass through values or map between different vocabularies as required.

[CDP-PIP02]

Proxying Identity Provider deployments MUST support the suppression/eliding of <saml:AttributeStatement> elements from the <saml:Assertion> of outgoing <saml2p:Response> messages to allow for hiding the identity of the subject from SPs.

[CDP-PIP03]

Proxying Identity Provider deployments MUST support the mapping of incoming to outgoing <saml2p:RequestedAuthnContext> and <saml2p:NameIDPolicy> elements, to pass through values or map between different vocabularies as required.

[CDP-PIP04]

Proxying Identity Provider deployments MUST support the suppression/eliding of <saml2p:RequesterID> elements from outgoing <saml2p:AuthnRequest> messages to allow for hiding the identity of the Service Provider from proxied Identity Providers.

[CDP-PIP05]

Proxying Identity Provider deployments MUST support the mapping of incoming to outgoing <saml2:AuthnContext> elements, to pass through values or map between different vocabularies as required.

[CDP-PIP06]

Proxying Identity Provider deployments MUST support the suppression of <saml2:AuthenticatingAuthority> elements from outgoing <saml2:AuthnContext> elements to allow for hiding the identity of the proxied Identity Provider from Service Providers.

[CDP-PIP07]

Proxying Identity Provider deployments MUST support the use of a <samlp: IDPList> containing one or more <samlp: IDPEntry> elements in incoming and outgoing <saml2p: AuthnRequest> messages.

This allows one proxy in a chain of proxies to provide IdP discovery services on behalf of other proxies in the chain.

[CDP-PIP08]

<samlp: AuthnRequest> messages produced by Proxying Identity Provider deployments MUST include the

ForceAuthn attribute with a value of true or 1.	

8. References

8.1. Normative

- [RFC2119] IETF RFC 2119, Key words for use in RFCs to Indicate Requirement Levels, March 1997. http://www.ietf.org/rfc/rfc2119.txt
- [RFC8174] IETF RFC 8174, Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words, May 2017. http://www.ietf.org/rfc/rfc8174.txt
- [RFC4051] IETF RFC 4051, Additional XML Security Uniform Resource Identifiers, April 2005. https://www.ietf.org/rfc/rfc4051.txt
- [SAML2Core] OASIS Standard, Assertions and Protocols for the OASIS Security Assertion Markup Language (SAML) V2.0, March 2005. http://docs.oasis-open.org/security/saml/v2.0/saml-core-2.0-os.pdf
- [SAML2Bind] OASIS Standard, Bindings for the OASIS Security Assertion Markup Language (SAML) V2.0, March 2005. http://docs.oasis-open.org/security/saml/v2.0/saml-bindings-2.0-os.pdf
- [SAML2Prof] OASIS Standard, Profiles for the OASIS Security Assertion Markup Language (SAML) V2.0, March 2005. http://docs.oasis-open.org/security/saml/v2.0/saml-profiles-2.0-os.pdf
- [SAML2Meta] OASIS Standard, Metadata for the OASIS Security Assertion Markup Language (SAML) V2.0, March 2005. http://docs.oasis-open.org/security/saml/v2.0/saml-metadata-2.0-os.pdf
- [SAML2Gloss] OASIS Standard, Glossary for the OASIS Security Assertion Markup Language (SAML) V2, March 2005. http://docs.oasis-open.org/security/saml/v2.0/saml-glossary-2.0-os.pdf
- [X500SAMLattr] OASIS Committee Specification, SAML V2.0 X.500/LDAP Attribute Profile, March 2008. http://docs.oasis-open.org/security/saml/Post2.0/sstc-saml-attribute-x500-cs-01.pdf
- [SAML2MDIOP] OASIS Committee Specification, SAML V2.0 Metadata Interoperability Profile Version 1.0, August 2009. http://docs.oasis-open.org/security/saml/Post2.0/sstc-metadata-iop.pdf
- [IdPDisco] OASIS Committee Specification, Identity Provider Discovery Service Protocol and Profile, March 2008. http://docs.oasis-open.org/security/saml/Post2.0/sstc-saml-idp-discovery.pdf
- [SAML2Err] OASIS Approved Errata, SAML Version 2.0 Errata 05, May 2012. http://docs.oasisopen.org/security/saml/v2.0/sstc-saml-approved-errata-2.0.pdf
- [XMLEnc] D. Eastlake et al. XML Encryption Syntax and Processing. W3C Recommendation, April 2013. https://www.w3.org/TR/xmlenc-core1/
- [XMLSig] D. Eastlake et al. XML-Signature Syntax and Processing, Version 1.1. W3C Recommendation, April 2013. https://www.w3.org/TR/xmldsig-core1/
- [SAML2Assur] OASIS Committee Specification, SAML V2.0 Identity Assurance Profiles Version 1.0, November 2010. http://docs.oasis-open.org/security/saml/Post2.0/sstc-saml-assurance-profile.pdf
- [SAML2SubjId] OASIS Working Draft, SAMLV2.0 Subject Identifier Attributes Profile Version 1.0, February 2018. https://www.oasis-open.org/committees/download.php/62438/saml-subject-id-attr-v1.0-wd04.pdf

- [SAML2ASLO] OASIS Committee Specification, SAML V2.0 Asynchronous Single Logout Profile Extension Version 1.0, November 2012. http://docs.oasis-open.org/security/saml/Post2.0/saml-async-slo/v1.0/cs01/saml-async-slo-v1.0-cs01.pdf
- [MetaUI] OASIS Committee Specification, SAML V2.0 Metadata Extensions for Login and Discovery User Interface Version 1.0, April 2012. http://docs.oasis-open.org/security/saml/Post2.0/sstc-saml-metadata-ui/v1.0/cs01/sstc-saml-metadata-ui-v1.0-cs01.pdf
- [MetaAttr] OASIS Committee Specification, SAML V2.0 Metadata Extension for Entity Attributes Version 1.0, August 2009. http://docs.oasis-open.org/security/saml/Post2.0/sstc-metadata-attr-cs-01.pdf
- [SAML2Iop] Kantara Initiative, SAML V2.0 Interoperability Deployment Profile V1.0 (Draft). https://kantarainitiative.github.io/SAMLprofiles/saml2int.html
- [CORS] W3C Recommendation, Cross-Origin Resource Sharing, January 2014. http://www.w3.org/TR/cors/
- [ITSP.40.111] Communications Security Establishment, Cryptographic Algorithms for UNCLASSIFIED, PROTECTED A, and PROTECTED B Information. https://www.cse-cst.gc.ca/en/system/files/pdf_documents/itsp.40.111-eng_0.pdf
- [ITSP.40.062] Communications Security Establishment, Guidance on Securely Configuring Network Protocols. https://www.cse-cst.gc.ca/en/system/files/pdf_documents/itsp.40.062-eng_0.pdf

8.2. Non-Normative

[XMLEncBreak] Jager and Somorovsky, How to Break XML Encryption, October 2011.
 http://www.nds.rub.de/media/nds/veroeffentlichungen/2011/10/22/HowToBreakXMLenc.pdf

9. Contributors