# Biomedical Data Translator Funding Opportunity Announcement

# **Table of Contents**

Glossary of terms	4
Other Transactions	4
Eligibility	4
Background: Data assessment and infrastructure design phase	6
Two-stage application process	7
Reasoning tool proof-of-concept	9
Further information on Biomedical Data Translator	10
Goals for 10-month translator reasoning tool prototypes	11
Collaboration, sharing, and intellectual property expectations	11
Concept letter content (Due September 22, 2017)	12
Evaluation of concept letters	13
Full proposal content (Due November 20, 2017)	13
Proof-of-concept project: Questions to be addressed	14

Participating Organization(s)	National Institutes of Health (NIH)		
Components of Participating Organizations	National Center for Advancing Translational Sciences (NCATS)		
Funding Opportunity Title	Biomedical Data Translator: Technical Feasibility Assessment of Reasoning Tool (OT2)		
Activity Code	OT2: A single-component research award that is not a grant, cooperative agreement or contract using Other Transaction Authorities		
Announcement Type	New		
Catalog of Federal Domestic Assistance (CFDA) Number	93.350		
Number of Applications	Only one proposal per team		
Funding Opportunity Purpose	As part of the Biomedical Data Translator Program, NCATS is utilizing its Other Transactions authority to invite interested applicants to submit innovative proposals for research and development of reasoning software that autonomously integrates biomedical facts, models and inferences to answer important classes of translational research problems. Successful applicants will not only contribute their expertise and resources but also must be willing to collaborate to revolutionize translational science and propel new discoveries and best practices for practitioners across the translational spectrum, from biologists to chemists to computer scientists, from scientists doing target validation to clinicians seeing patients.		
Program Background	www.ncats.nih.gov/translator		
Objective Review	Objective review will be conducted in two phases. In the first phase, concept letters will be reviewed and successful candidates will receive written notification with instructions for submitting a full proposal and giving a virtual presentation to the review panel. Unsuccessful applicants will not receive written feedback from the reviews.		

Concept Letter Due Date	September 22, 2017		
Earliest Start Date	January 2018		
Funding Instrument	Other: An assistance mechanism that is not a grant or cooperative agreement. Other Transactions awards are subject to the requirements of the NCATS Other Transaction Award Policy Guide for this initiative. Applicants may review this policy guide available at <a href="https://ncats.nih.gov/files/NCATS-Translator-OT-Policy-Guide.pdf">https://ncats.nih.gov/files/NCATS-Translator-OT-Policy-Guide.pdf</a>		
Eligibility	All U.S. and foreign organizations and U.S. citizens are eligible to apply. This funding opportunity is open to U.S. and foreign organizations, including academic institutions and commercial entities; subcontracts are allowed. U.S. citizens may also apply as individuals without an organizational affiliation and may be direct recipients of an award. Non-citizen individuals residing in the U.S. or foreign country who are not affiliated with either a U.S. or foreign organization are not eligible to be direct recipients of an award.  See Eligibility section of this announcement.		
Funds Available and Anticipated Number of Awards	NCATS intends to commit \$3,000,000 in FY 2018 to fund up to 3 awards. Future year support is not applicable.		
Award Budget	Awards will be approximately \$1,000,000 total costs. Milestones should be proposed accordingly.  Performance will be evaluated monthly and continued support will be based on the outcome of those evaluations.		
Award Project Period	10 months		
Funding Opportunity Expiration Date	September 23, 2017		
	Frequently Asked Questions		

# **Glossary of terms**

FOA: This document you are reading is a funding opportunity announcement; it provides readers with specific instructions on what funding NIH is offering and how to apply for the funding.

Challenge: In order to access the complete FOA before a required concept letter is due, prospective applicants must successfully complete a series of computational tasks. Successful completion of the tasks will demonstrate the appropriate skill set for developing a reasoning tool. The Challenge is Step I of the three-step application process.

Concept letter: Step II of the application process. The concept letter is a required letter that must be submitted by the deadline in the Key Events table. This FOA document contains instructions for the content of that letter. Concept letters are submitted to the email address specified in the instructions.

Full proposal: Step III of the three-step application process. This is the document submitted by the applicant in November that requests funding for the development of a reasoning tool prototype. Based on the objective review of the concept letters, select applicants are invited to submit a full proposal. Instructions for submitting a full proposal will be provided separately.

Proof-of-concept project: This is a project that applicants are expected to present as part of their full proposal in November and will serve as preliminary data for the reasoning tool prototype research, development and testing. This project will be presented for evaluation by reviewers in a virtual meeting. Financial support is not provided for proof-of-concept development.

Prototype project: Reasoning tool prototypes will be developed & tested over a 10-month period with financial support from NCATS.

#### **Other Transactions**

The use of other transactions (which are not grants, contracts or cooperative agreements) enables NCATS to manage projects in which developments and integration of ideas and expertise from various disciplines are essential to achieve a programmatic goal. This means proposed projects and/or components of the projects submitted may be expanded, modified, partnered, not supported, or later discontinued based on program needs, emerging methods, technologies, or approaches, and availability of funds. All awardees will be expected to collaborate and cooperate with NCATS staff, one another and potentially other contributors to the overall program to maximize the exploration of the potential capabilities of Translator and to understand technical feasibility and challenges of having multiple groups build a single resource.

#### **Eligibility:**

This Other Transaction initiative requires successful completion of Step I, the challenge at: <a href="https://ncats.io/challenge/start">https://ncats.io/challenge/start</a>. The challenge is designed to ensure that the applicants have the requisite skills to develop a reasoning tool prototype in a relatively short period of time. The

challenge is a multi-level computational exercise. Upon successful completion of each level, additional sections of the funding opportunity will be revealed. Prospective applicants must successfully pass through all levels of the challenge to gain access to the complete funding opportunity announcement. Inability to pass through all levels will result in an inability to access all of the instructions necessary to submit the requisite concept letter. All U.S. and foreign organizations and U.S. citizens are eligible to apply. This funding opportunity is open to U.S. and foreign organizations, including academic institutions and commercial entities; subcontracts are allowed. U.S. citizens may also apply as individuals without an organizational affiliation and may be direct recipients of an award. Non-citizen individuals residing in the U.S. or foreign country who are not affiliated with either a U.S. or foreign organization are not eligible to be direct recipients of an award.

# Background: Data assessment and infrastructure design phase

In September 2016, NCATS issued the first five awards supporting <u>investigators from 11 institutions</u> for the Biomedical Data Translator (Translator). During the initial project period, Translator teams formulated a series of "translational" questions that should be answerable computationally, provided the right combination of data sources would be available. There are many types of questions possible, and we can place them on a spectrum of "engineering feasibility", schematically shown below.

What

Which Why What if

Can be reduced to table lookup (possibly via complex SQL)

May require inference, prediction and more sophistication

Bottlenecks are socio-legal (e.g., IRB) and in some cases technical (e.g., formats, standards)

Bottleneck is that this is possibly an open research question

Questions on the left side correspond to (possibly complex) database queries which can be achieved if the appropriate data sources are merged, or connected. Fundamentally, these queries seek to pull out appropriate subsets of data from a set of data sources.

Questions on the right hand side are somewhat open ended and seek to identify an answer that may not be explicitly listed in any data source. These types of questions may involve inference or prediction. The reasoning tool is envisioned to ultimately be able to address questions on the right hand side of this spectrum.

This exercise is helping to not only identify high value data sources that would be needed for a comprehensive Translator, but also the infrastructure needed to support the interoperability of these data sources and methods for deriving knowledge from the integrated data.

It is envisioned that the output of the Translator would not simply be a statement addressing the question posed ("What is the answer to life, the universe and everything? Answer: 42"), but rather it would deliver a dossier of information that would allow the user to easily identify sources of information/knowledge and how the sources relate to one another. Thus, it enables the user to examine the information more deeply and draw their own conclusion or concur with the Translator's conclusion when an analysis is provided.

This FOA is soliciting delivery of software, a reasoning tool, which can build dossiers by integrating biomedical facts, models and inferences that the existing Translator teams have assembled. Working with these existing components, the reasoning tool will optimize the application of the facts, models and inferences to a variety of important classes of translational research problems.

Applicants are encouraged to participate in a public meeting of the Biomedical Data Translator investigators in North Carolina, October 25, 2017 to learn about the current status of research efforts and infrastructure development.

### Multi-step application process

There are three steps to the application process:

- 1. Successful completion of all **the challenge** tasks
- 2. Submission of a **concept letter** describing a short **proof-of-concept** software project that if selected, will be presented for evaluation in November
- Successful applicants will receive written notification with instructions for submitting a full
  proposal to develop a reasoning tool and giving a virtual presentation and to
  demonstrate the operation of their initial proof-of-concept software to an objective
  review panel

Key Events	Dates	Action needed by applicants
Challenge opens (Step I)	September 7, 2017	Solve challenge puzzle to complete Step I of the process
Concept letters due (Step II)	September 22, 2017	Submit concept letter following instructions provided through the challenge before 11pm EDT* to complete Step II of the process
Objective review of concept letters completed	October 2, 2017	Receive written notification with instructions for submitting a full proposal and giving a virtual presentation to the review panel if the outcome of objective review is favorable
Biomedical Data Translator public meeting	October 25, 2017	Attend in person (Optional) Webex will be available
Written, full proposal for reasoning tool development that includes milestones due (Step III)	November 20, 2017	Submit by email the 10-month plan and milestones by 5pm local time* [action taken by AOR for organizations or signing representative for individuals] to initiate Step III of the process.
SAM and DUNS number submitted	November 27, 2017	**Candidates e-mail their <u>DUNS</u> number and <u>SAM</u> account information
Virtual presentations of proof-of-concept software and 10-month project plan	November 28-29, 2017	Participate in the virtual meeting with the review panel to complete Step III of the process. ***

Milestone negotiations begin	December 4, 2017	Candidates and AOR (if applicable)
Awards announced	January 2018	

<sup>\*</sup>Letters and proposals received after these times will not be accepted.

<sup>\*\*</sup>DUNS and SAM number registration can take 6 weeks or more. Candidates should begin the registration process at least 6 weeks prior to this deadline to ensure completion in time to provide these to NCATS.

<sup>\*\*\*</sup>Applicants should save-the-date to ensure availability for the virtual interview.

# Reasoning tool proof-of-concept

In November 2017, applicants invited for a virtual interview will present:

- 1. a 10-month plan to build a reasoning tool and
- 2. their proof-of-concept software

The proof-of-concept software will serve as preliminary data to give the review panel a sense of the approach that will be taken, the quality of the software and speed with which development is able to progress.

# Proof-of-concept expectations

A key feature of any proposed reasoning tool is the ability to automate the process of answering a question. Currently, a question such as "how does this drug induce a clinical outcome?" could be answered by manually identifying the relevant data sources and data types followed by manually constructing API calls or SQL queries to retrieve the appropriate subsets of data. This would be followed by joining one or more datasets and then finally presenting the data or some computed output as the solution. Examples of such manually-constructed workflows can be found in the form of Python notebooks developed as part of the current project [link and link].

Proof-of-concept software is expected to provide reasonable and relevant answers for specific classes of research questions (see proof-of-concept questions below). Note that a specific input format for the reasoning tool is not being prescribed. While the questions, such as above, will be specified in plain English, the reasoning tool may parse it in any way that is appropriate (including manually), though automated and semi-automated approaches will be preferred. Operation of proof-of-concept software may require the assistance of the applicant to initiate its operation, but must be transparent to NIH staff. Once initiated, software is expected to complete its tasks without further operator input within a working period of twelve hours on cloud computing instances that will be provided by NCATS. The initial proof-of-concept may implement access to novel resources and algorithms, but development after November must be interoperable with existing components of the Translator (see *Further Information* below).

Prior to its demonstration in the virtual presentation, applicants are strongly encouraged to provide the objective review panel access to documentation or source code for those parts of the software responsible for substantive aspects of its operation and document sources of knowledge used. Accessibility of code will be a consideration of the evaluation (see *Collaboration, Sharing, and Intellectual Property Expectations* below).