



ĐẠI HỌC ĐÀ NẴNG
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Automata and Formal Languages



Automata and Formal Languages

- What is a Finite Automaton?
- Why study Automata?
- What the course is about?



What is a Finite Automaton?

- Remembers only a finite amount of information.
- Information represented by its *state*.
- State changes in response to *inputs*.
- Rules that tell how the state changes in response to inputs are called *transitions*.



What is a Finite Automaton?

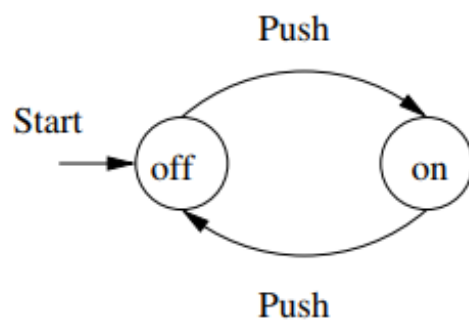


Figure 1.1: A finite automaton modeling an on/off switch



What is a Finite Automaton?

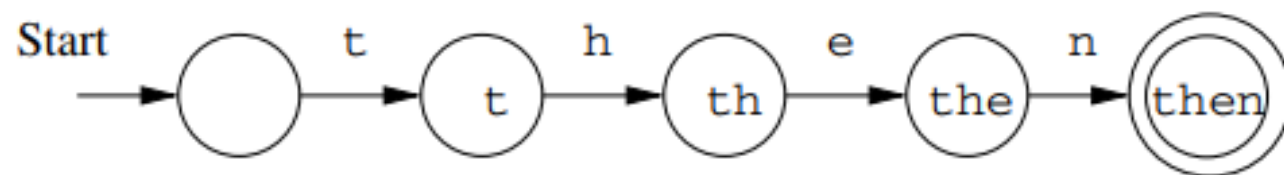


Figure 1.2: A finite automaton modeling recognition of then



Why study Automata?





Why study Automata?

- Regular expressions are used in many systems.
 - E.g., UNIX `[A-Z][a-z]*[][A-Z][A-Z]`.
 - This expression represents patterns in text that could be a city and state, e.g. **Ithaca NY**
 - E.g., DTD's describe XML tags with a RE format like `person (name, addr, child*)`.



Why study Automata?

- Finite automata model protocols, electronic circuits.



Why study Automata?

- Context-free grammars are used to describe the syntax of essentially every programming language.
 - Not to forget their important role in describing natural languages.



Why study Automata?

- When developing solutions to real problems, we often confront the limitations of what software can do.
 - *Undecidable* things – no program whatever can do it.
 - *Intractable* things – there are programs, but no fast programs.



Course Outline

- Finite Automata
- Regular Expressions
- Context-Free Grammars
- Pushdown Automata
- Turing Machines



The Central Concepts of Automata Theory

- **Alphabets**

- An alphabet is a finite nonempty set of symbols.
- Conventionally we use the symbol Σ for an alphabet
- Common alphabets include:
 - $\Sigma \equiv \{0,1\}$, the binary alphabet
 - $\Sigma \equiv \{a, b, \dots, z\}$, the set of all lower-case letters
 - The set of all ASCII characters, or the set of all printable ASCII characters



The Central Concepts of Automata Theory

- **Strings**

- A **string** (or sometimes word) is a finite sequence of symbols chosen from some alphabet.
 - **01101** is a string from the binary alphabet $\Sigma \equiv \{0,1\}$
 - **111** is another string chosen from this alphabet
- The Empty String: the string with zero occurrences of symbols.
 - denoted **ϵ**
 - is a string that may be chosen from any alphabet whatsoever
- Length of a String: the number of positions for symbols in the string
 - string **01101** has length 5
 - the standard notation for the length of a string ω is **$|\omega|$**
 - **$|011| = 3$ và $|\epsilon| = 0$**



The Central Concepts of Automata Theory

- **Strings**

- Powers of an Alphabet

- If Σ is an alphabet, we can express the set of all strings of a certain length from that alphabet by using an exponential notation.
 - Σ^k to be the set of strings of length k , each of whose symbols is in Σ
 - $\Sigma^0 = \{\epsilon\}$, regardless of what alphabet Σ is
 - that is ϵ is the only string whose length is 0
 - $\Sigma \equiv \{0,1\}$: $\Sigma^1 = \{0, 1\}$, $\Sigma^2 = \{00, 01, 10, 11\}$, $\Sigma^3 = \{000, 001, 010, 011, 100, 101, 110, 111\}$,
 - Σ^* : the set of all strings over an alphabet Σ
 - $\{0,1\}^* = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, \dots\}$
 - $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$
 - Σ^+ : set of nonempty strings from alphabet Σ
 - two appropriate equivalences
 - $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots$
 - $\Sigma^* = \Sigma^+ \cup \{\epsilon\}$



The Central Concepts of Automata Theory

- **Strings**

- Concatenation of Strings

- Let x and y be strings.
 - Then xy denotes the concatenation of x and y
 - the string formed by making a copy of x and following it by a copy of y
 - let $x = 01101$ and $y = 110$, then $xy = 01101110$ and $yx = 11001101$



The Central Concepts of Automata Theory

• Languages

- A set of strings all of which are chosen from some Σ^* , where Σ is a particular alphabet is called a language.
 - If Σ is an alphabet and $L \subseteq \Sigma^*$ then L is a language over Σ
 - Notice that a language over Σ need not include strings with all the symbols of Σ
- There are also many other languages that appear when we study automata
 - The language of all strings consisting of n 0's followed by n 1's for some $n \geq 0$: $\{\epsilon, 01, 0011, 000111, \dots\}$
 - The set of strings of 0's and 1's with an equal number of each: $\{\epsilon, 01, 10, 0011, 0101, 1001, \dots\}$
 - The set of binary numbers whose value is a prime: $\{10, 11, 101, 111, 1011, \dots\}$
 - Σ^* is a language for any alphabet Σ
 - \emptyset , the empty language, is a language over any alphabet
 - $\{\epsilon\}$, the language consisting of only the empty string, is also a language over any alphabet.
 - Notice that $\emptyset \neq \{\epsilon\}$; the former has no strings and the latter has one string.



Problems

- If Σ is an alphabet, and L is a language over Σ then the problem L is:
 - Given a string w in Σ^* , decide whether or not w is in L .



Set-Formers as a Way to Define Languages

- It is common to describe a language using a “set-former”

$\{w \mid \text{something about } w\}$

This expression is read “the set of words w such that (whatever is said about w to the right of the vertical bar)”

- $\{w \mid w \text{ consists of an equal number of 0's and 1's } \}$
- $\{w \mid w \text{ is a binary integer that is prime } \}$
- $\{w \mid w \text{ is a syntactically correct C program } \}$
- It is also common to replace w by some expression with parameters and describe the strings in the language by stating conditions on the parameters
 - $\{0^n 1^n \mid n \geq 1\}$
 - $\{0^i 1^j \mid 0 \leq i \leq j\}$