# Gang CAO

## PERSONAL SUMMARY

- PhD in Electrical Engineering, expertise in:
  - Machine Learning: deep learning, Bayesian learning, artificial neural network, reinforcement learning
  - Advance and Intelligent Control: model predictive control, adaptive control, optimal and robust control, system modelling, parameter identification, sensor fusion
  - Numerical Optimization: non-/convex optimization, stochastic optimization, evolutionary algorithm, dynamic programming
  - Computer Vision: object recognition and detection, tracking, image processing and analysis
  - Data Mining/Pattern Recognition: data-driven modelling and prediction, un-/supervised learning, feature engineering, data engineering
- Academic publications, technical reports, open-source algorithm projects
- Strong mathematical skills in algorithm and optimization, linear and matrix algebra, multivariate calculus, probability and statistics, signal and system

## QUALIFICATION AND PROFESSIONAL DEVELOPMENT

• Doctor of Philosophy (PhD) in Electrical Engineering SCHOOL OF ENGINEERING AND ADVANCED TECHNOLOGY MASSEY UNIVERSITY, AUCKLAND, NEW ZEALAND January 2013 - March 2017

#### Thesis Title: Gaussian Process based Model Predictive Control

Summary: With good learning and prediction abilities of machine learning, my PhD research developed a data-driven probabilistic model predictive control (MPC) approach to address the autonomous control issue of unknown dynamical systems. The proposed MPC algorithm is (1) **safe** by considering model uncertainties, (2) **stability-guaranteed** by using terminal constraints and sets, and (3) **fast** by proposing a computationally efficient optimization algorithm. The proposed machine learning based MPC is used in a hierarchical control strategy to solve the trajectory tracking problem of an unmanned quadrotor whose dynamical functions are not known. Seven international academic papers are published based on my PhD research.

• Master of Engineering in Control Theory & Engineering Faculty of Automation and Information Engineering Xi'an University of Technology, Xi'an, China

September 2008 – April 2011

# Thesis Title: Research and Development of Ladle Refining Furnace Process Control System and Steel Mechanical Performance Prediction Model

Summary: This project developed a process control and data management system for the refining process in the steel-making industry. Through using AI and mathematical modelling algorithms, intelligent data-driven models are developed to predict molten steel temperature in the refining process, and final steel mechanical performance. The project won 2012 Shaanxi Province Science and Technology Award and 2012 Science and Technology Award of Higher Education of Shaanxi. Three academic papers are published based on this project.

• Bachelor of Engineering in Electrical Engineering & Automation School of Mechanical and Electrical Engineering Xi'an University of Architecture & Technology, Xi'an, China September 2004 - June 2008

Curriculum Vitae – Gang Cao 1/5

BBC Technologies Ltd December 2019 - Now Applied Research Scientist Hamilton, New Zealand - AI Team Lead Project and team management - Research and development of AI algorithms and solutions for optical sorting machines - Research and development of data-driven solution for packing house Research resource and documentation management - Technical reports and presentations - Attending academic conference and presentation PlantTech Research Institute September 2019 – December 2019 Research Scientist Tauranga, New Zealand Agritech technology research BBC Technologies Ltd August 2017 – September 2019 Artificial Intelligence Software Developer Hamilton, New Zealand AI Research Lead - Research and development of AI algorithms and solutions for optical sorting machines - Research resource and documentation management Technical reports and presentations - Attending academic conference and presentation School of Engineering and Advanced Technology March 2013 – November 2015 Teaching Assistant Massey University, New Zealand - Teaching second-year Signals & Systems and third-year Control Engineering - Assistant in control theory lab course (PID control of speed motor and pendulum) School of Automation and Information Engineering, January 2009 – June 2012 Research Assistant Xi'an University of Technology, China Research and development of process control and industrial automation system

## RESEARCH & DEVELOPMENT EXPERIENCE

## • AI algorithm and solution for optical sorting machine

August 2017 - Now

- LUCAi AI Engine development, finalist of 2020 NZ Most Innovative Hi-Tech Agritech Solution
- deep learning computer vision algorithms for object recognition, detection, and segmentation

- Funding proposal, project documentation, academic publications and technical reports

- machine learning indirect measurement algorithms in optical sorting
- autonomous learning and optimization algorithms for online model learning and parameter tuning
- image process and camera calibration algorithms
- AI and machine learning based tool development

# • AI ImageData Library development

August 2017 - Now

- 500,000 single production images (RGB, IR, RGB-IR)
- 100,000 hand-labeled samples
- AI model based annotation tool development LabelImg+, ClassifyImg, SmarterSkin
- ImageData library pre-processing and management pipeline and tools
- multi-spectral image fusion algorithms

## • Machine learning intelligent control

January 2013 – January 2017

- Probabilistic data-driven modelling algorithm of unknown dynamical using machine learning
- Fast and stability-guaranteed probabilistic intelligent control algorithm of unknown dynamical systems using machine learning and model predictive control

Curriculum Vitae – Gang Cao 2/5

- Autonomous control of quadrotors using machine learning and probabilistic MPC
- Fast linear and nonlinear optimization algorithms
- Stochastic optimization algorithms using swarm intelligence
- Trajectory tracking control of quadrotors using machine learning and probabilistic MPC
- 7 international peer-reviewed publications
- Matlab toolbox "gpmpc":Gaussian Process Model Predictive Control
- Matlab toolbox "cgps": Convolved Gaussian Processes
- Ladle furnace (LF) process control system

January 2010 - September 2012

- 2012 Shaanxi Provincial Science and Technology Award
- 2012 Science and Technology Award of Higher Education of Shaanxi
- LF process control and data management system
- Data acquisition tool using Siemens OPC server
- Mechanical performance prediction model using artificial intelligence algorithms
- Molten steel temperature prediction model using artificial intelligence algorithms
- Alloy addition prediction model using mathematical modelling algorithm
- 3 peer-reviewed publications
- Monocrystalline refining process control system

August 2009 - December 2009

• Tunnel boring machine (TBM) PLC control system upgrade

July 2008 - October 2008

#### Computer Skill

- PyTorch, TensorFlow, Darknet, OpenCV, Keras, TFLearn, Scikit-learn, SciPy, CUDA
- Python, Matlab, C, C++, Shell, SQL; Linux; Git, SVN; VS Code, Qt, Vim; LATEX

## SELECTED AWARDS

- Finalist of 2020 NZ Hi-Tech Award in Most Innovative Hi-Tech Agritech Solution (LUCAi project)
- 2018 Tomra Value In Practice (VIP) Award
- 2012 Shaanxi Provincial Science and Technology Award
- 2012 Science and Technology Award of Higher Education of Shaanxi

## PEER REVIEW ACTIVITY

- Information Sciences (Since 2019)
- Electronics Letters (Since 2019)
- IET Image Processing (Since 2019)
- IET Computer Vision (Since 2019)
- IET Control Theory and Application (Since 2018)
- Journal of Intelligent and Robotic Systems (Since 2017)
- International Journal of Intelligent Systems Technologies and Applications (Since 2016)

## Hobbies and Interests

- Cycling and Running
- Drone and DonkeyCar

Curriculum Vitae – Gang Cao 3/5

### SELECTED PUBLICATIONS

- Gang Cao, "Gaussian Process based Model Predictive Control," Ph.D. dissertation, Massey University, New Zealand, 2017
- Gang Cao, "LF Refining Process Control System and Forecasting Model Research of Steel Mechanical Property," Master dissertation, Xi'an University of Technology, China, 2011 [in Chinese]
- Gang Cao, Edmund M-K Lai, and Fakhrul Alam, "Enhanced particle swarm optimization algorithms for multiple-input multiple-output system modelling using convolved Gaussian process models," *International Journal of Intelligent Systems Technologies and Applications*, Vol. 17, No. 3, 2018 [published online]
- Gang Cao, Edmund M-K Lai, and Fakhrul Alam, "Gaussian process model predictive control of unmanned quadrotor helicopter," *Journal of Intelligent and Robotic Systems* Vol. 88, No. 1, 2017, pp. 147-162
- Gang Cao, Edmund M-K Lai, and Fakhrul Alam, "Gaussian process model predictive control of unknown nonlinear systems," *IET Control Theory & Applications* Vol. 11, No. 5, 2017, pp. 703-713
- Qiang Li, Gang Cao, Jiang Li, and Ning Wang, "Process estimated temperature model of molten steel in LF based on BP neural network combined with expert system," Applied Mechanics and Materials, vol.48, 2011, pp. 853–857.
- Qiang Li, Gang Cao, and Zhi-Feng Gou, "Research and implementation of Level 2 process control system for LF,"
   Gongye Jiare, vol.40, 2011, pp.34–37 [in Chinese]
- Qiang Li and **Gang Cao**, "Forecasting model for the molten steel temperature in refining furnace based on artificial neural network and expert system," *Heavy Machinery*, vol.6, 2010,pp.22–25 [in Chinese]
- Gang Cao, Edmund M-K Lai, and Fakhrul Alam, "Gaussian process model predictive control of unmanned quadrotors," in *International Conference on Control, Automation and Robotics (ICCAR)*, IEEE, 28-30 April 2016, pp. 200-206
- Gang Cao, Edmund M-K Lai, and Fakhrul Alam, "Gaussian process based model predictive control for linear time varying systems," in *International Workshop on Advanced Motion Control (AMC Workshop)*, IEEE, 22-24 April 2016, pp. 251-256
- Gang Cao, Edmund M-K Lai, and Fakhrul Alam, "Particle swarm optimization for convolved Gaussian process models," in *International Joint Conference on Neural Networks (IJCNN)*, IEEE, 6-11 July 2014, pp.1573–1578
- Gang Cao and Edmund M-K Lai, "Dependent Gaussian process models for MIMO nonlinear dynamical systems using PSO," in *Proceedings of 20th Electronics New Zealand Conference*, 5-6 September 2013, pp.3–7
- Gang Cao, "Technical report: PatchDehydration: artificial intelligence dehydrated blueberry detection model using deep learning and an edge-detection-guided maximal-rectangle boundingbox proposal algorithm," BBC Technologies Ltd, Hamilton, New Zealand, Tech. Rep., 2020
- Gang Cao, "Technical report: Artificial Intelligence Blueberry's Calyx Recognition and Detection Model using YOLO," BBC Technologies Ltd, Hamilton, New Zealand, *Tech. Rep.*, 2018
- Gang Cao, "Technical report: How to use the YOLO based calyx recognition and detection network," BBC Technologies Ltd, Hamilton, New Zealand, *Tech. Rep.*, 2018
- Gang Cao, "Technical report: Blueberry's calyx recognition and detection using Faster R-CNN deep learning neural networks," BBC Technologies Ltd, Hamilton, New Zealand, Tech. Rep., 2017
- Gang Cao, "Technical report: Deep convolutional neural networks based blueberry calyx recognition and detection,"
  BBC Technologies Ltd, Hamilton, New Zealand, Tech. Rep., 2017
- Gang Cao, "Technical report: Modelling and Control of Unmanned Quadrotor Helicopters," Massey University, Auckland, New Zealand, Tech. Rep., 2015

Curriculum Vitae – Gang Cao 4/5

- TOMRA Fresh Food AI Research, Tomra AI meeting, Tomra Fresh Food, Hamilton, 2021
- BBC Technologies AI Leadership Introduction, Tomra AI meeting, BBC Technologies, Hamilton, 2020
- Dehydrated Blueberry Recognition using Deep Learning Computer Vision, Neural Network Compac Meeting, BBC Technologies Ltd, Hamilton, 2018
- Artificial Intelligence for Blueberry Sorting An Update of AI Research at BBC Technologies Ltd, RnD Meeting Presentation, BBC Technologies Ltd, Hamilton, 2018
- Artificial Intelligence for Fruit Sorting A Demonstration of AI Projects at BBC Technologies Ltd,
  BBC AI Demonstration Presentation, BBC Technologies Ltd, Hamilton, 2018
- Artificial Intelligence for Sorting and Grading, Sales Meeting Presentation, BBC Technologies Ltd, Hamilton, 2018
- Blueberry's Calyx Recognition and Detection Using Artificial Intelligence, RnD Meeting Presentation, BBC Technologies Ltd, Hamilton, 2017
- Gaussian Process Model Predictive Control of Unmanned Quadrotors, The 2nd International Conference on Control, Automation and Robotics, Hong Kong, 2016
- Gaussian Process based Model Predictive Control for Linear Time Varying Systems, IEEE International Workshop on Advanced Motion Control, Auckland, 2016
- Enhanced PSO Algorithms for CGP Model Learning, Massey University Post Graduate Seminar, Palmerston North, 2015
- Particle Swarm Optimization for Convolved Gaussian Process Models, IEEE World Congress on Computational Intelligence, Beijing, 2014
- Dependent Gaussian Process Models for MIMO Nonlinear Dynamical Systems using PSO, NZ Electronics Conference (ENZCon), Auckland, 2013
- LF Process Control System, XAUT Research Seminar, Xi'an University of Technology, Xi'an, 2012

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