

## Pop Quiz<sub>2</sub> Solution – Counting Sort

	1	2	3	4	5	6
A	3	3	1	0	2	2

	0	1	2	3
C	1	1	2	2

After Line 4, 5

## Pop Quiz<sub>2</sub> Solution – Counting Sort

	1	2	3	4	5	6
A	3	3	1	0	2	2

	0	1	2	3
C	1	1	2	2

After Line 4, 5

	0	1	2	3
C	1	2	4	6

After Line 6, 7

L7.1

L7.2

## Pop Quiz<sub>2</sub> Solution – Counting Sort

	1	2	3	4	5	6
A	3	3	1	0	2	2

	0	1	2	3
C	1	1	2	2

After Line 4, 5

	0	1	2	3
C	1	2	4	6

After Line 6, 7

	1	2	3	4	5	6
B				2		

Line 8, 9, 10 Loop 1

	0	1	2	3
C	1	2	3	6

## Pop Quiz<sub>2</sub> Solution – Counting Sort

	1	2	3	4	5	6
A	3	3	1	0	2	2

	0	1	2	3
C	1	2	3	6

	1	2	3	4	5	6
B			2	2		

Line 8, 9, 10 Loop 2

	0	1	2	3
C	1	2	2	6

L7.3

L7.4

## Pop Quiz<sub>2</sub> Solution – Counting Sort

	1	2	3	4	5	6
A	3	3	1	0	2	2

	0	1	2	3
C	1	2	2	6

	1	2	3	4	5	6
B	0		2	2		

Line 8, 9, 10 Loop 3

	0	1	2	3
C	0	2	2	6

L7.5

## Pop Quiz<sub>2</sub> Solution – Counting Sort

	1	2	3	4	5	6
A	3	3	1	0	2	2

	0	1	2	3
C	0	2	2	6

	1	2	3	4	5	6
B	0	1	2	2		

Line 8, 9, 10 Loop 4

	0	1	2	3
C	0	1	2	6

L7.6

## Pop Quiz<sub>2</sub> Solution – Counting Sort

	1	2	3	4	5	6
A	3	3	1	0	2	2

	0	1	2	3
C	0	1	2	6

	1	2	3	4	5	6
B	0	1	2	2		3

Line 8, 9, 10 Loop 5

	0	1	2	3
C	0	1	2	5

L7.7

## Pop Quiz<sub>2</sub> Solution – Counting Sort

	1	2	3	4	5	6
A	3	3	1	0	2	2

	0	1	2	3
C	0	1	2	5

	1	2	3	4	5	6
B	0	1	2	2	3	3

Line 8, 9, 10 Loop 6  
Done

	0	1	2	3
C	0	1	2	4

L7.8

## Radix Sort

- *How did IBM get rich originally?*
- Answer: punched card readers for census tabulation in early 1900's.
  - In particular, a *card sorter* that could sort cards into different bins
    - Each column can be punched in 12 places
    - Decimal digits use 10 places
  - Problem: only one column can be sorted on at a time



## Radix Sort

- Used to sort on card-sorters:
- Do a stable sort on each column, one column at a time.
- The human operator is part of the algorithm!
- **Key idea:** sort on the “least significant digit” first and on the remaining digits in sequential order. **The sorting method used to sort each digit must be “stable”.**
  - If we start with the “most significant digit”, we’ll need extra storage. (**Why? Try it!**)
  - Problem: lots of intermediate piles of cards

## An Example

Input	After sorting on LSD	After sorting on middle digit	After sorting on MSD
392	631	928	356
356	392	631	392
446	532	532	446
928 ⇒	495 ⇒	446 ⇒	495
631	356	356	532
532	446	392	631
495	928	495	928
	↑	↑	↑

## Radix-Sort( $A, d$ )

```

RadixSort( $A, d$ )
1. for  $i \leftarrow 1$  to  $d$ 
2.   do use a stable sort to sort array  $A$  on digit  $i$ 
  
```

### Correctness of Radix Sort

- By induction on the number of digits sorted.
- Assume that radix sort works for  $d - 1$  digits.
- Show that it works for  $d$  digits.
- Radix sort of  $d$  digits  $\equiv$  radix sort of the low-order  $d - 1$  digits followed by a sort on digit  $d$ .

## Correctness of Radix Sort

- By induction hypothesis, the sort of the low-order  $d-1$  digits works, so just before the sort on digit  $d$ , the elements are in order according to their low-order  $d-1$  digits. The sort on digit  $d$  will order the elements by their  $d^{\text{th}}$  digit.

- If  $a_d < b_d$ , the sort will place  $a$  before  $b$ , since  $a < b$  regardless of the low-order digits.
- If  $a_d > b_d$ , the sort will place  $a$  after  $b$ , since  $a > b$  regardless of the low-order digits.
- If  $a_d = b_d$ , the sort will leave  $a$  and  $b$  in the same order, since the sort is stable. But that order is already correct, since the correct order of  $a$  is determined by the low-order digits when their  $d^{\text{th}}$  digits are equal.

## An Example

- Sort  $N$  numbers, each with  $k$  bits
- E.g, input  $\{4, 1, 0, 10, 5, 6, 1, 8\}$

4	0100		0100	0100	0000	0000	0
1	0001		0000	0000	1000	0001	1
0	0000		1010	1000	0001	0001	1
10	1010		0110	0001	0001	0100	4
5	0101		1000	0101	1010	0101	5
6	0110		0001	0001	0100	0110	6
1	0001		0101	1010	0101	1000	8
8	1000		0001	0110	0110	1010	10

lsb                      msb

## Another Example

- English words sorting:

COW	SEA	TAB	BAR
DOG	TEA	BAR	BIG
SEA	MOB	EAR	BOX
RUG	TAB	TAR	COW
ROW	RUG	SEA	DIG
MOB	DOG	TEA	DOG
BOX	DIG	DIG	EAR
TAB	BIG	BIG	FOX
BAR	BAR	MOB	MOB
EAR	EAR	DOG	NOW
TAR	TAR	COW	ROW
DIG	COW	ROW	RUG
BIG	ROW	NOW	SEA
TEA	NOW	BOX	TAB
NOW	BOX	FOX	TAR
FOX	FOX	RUG	TEA

## Radix Sort

- In general, radix sort based on counting sort is
  - Fast
  - Asymptotically fast (i.e.,  $O(n)$ )
  - Simple to code
  - A good choice
- To think about: *Can radix sort be used on floating-point numbers?*

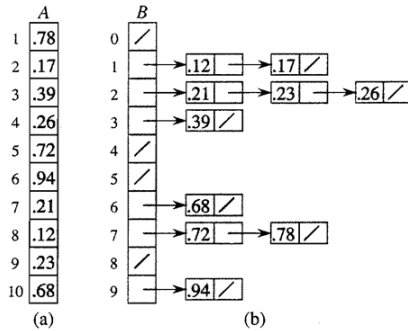
## Summary: Radix Sort

- Radix sort:
  - Assumption: input has  $d$  digits ranging from 0 to  $k$
  - Basic idea:
    - Sort elements by digit starting with *least* significant
    - Use a stable sort (like counting sort) for each stage
  - Each pass over  $n$  numbers with  $d$  digits takes time  $O(n+k)$ , so total time  $O(dn+dk)$ 
    - When  $d$  is constant and  $k=O(n)$ , takes  $O(n)$  time
  - Fast! Stable! Simple!
  - Doesn't sort in place

## Bucket Sort

- Bucket sort
  - Assumption: input is  $n$  reals from  $[0, 1)$
  - Basic idea:
    - Create  $n$  linked lists (*buckets*) to divide interval  $[0,1)$  into equal subintervals of size  $1/n$
    - Add each input element to appropriate bucket and sort buckets with insertion sort
  - Uniform input distribution  $\rightarrow O(1)$  bucket size
    - Therefore the expected total time is  $O(n)$
  - These ideas will return when we study *hash tables*

## An Example



## Bucket-Sort (A)

**Input:**  $A[1..n]$ , where  $0 \leq A[i] < 1$  for all  $i$ .

**Auxiliary array:**  $B[0..n-1]$  of linked lists, each list initially empty.

### BucketSort(A)

1.  $n \leftarrow A.length$
2. Let  $B[0..n-1]$  be a new array
3. **for**  $i \leftarrow 0$  to  $n-1$
4.     make  $B[i]$  an empty list
5. **for**  $i \leftarrow 0$  to  $n$
6.     **do** insert  $A[i]$  into list  $B[\lfloor nA[i] \rfloor]$
7. **for**  $i \leftarrow 0$  to  $n-1$
8.     **do** sort list  $B[i]$  with insertion sort
9. concatenate the lists  $B[i]$ s together in order
10. **return** the concatenated lists

## Correctness of BucketSort

- Consider  $A[i], A[j]$ . Assume w.o.l.o.g,  $A[i] \leq A[j]$ .
- Then,  $\lfloor n \times A[i] \rfloor \leq \lfloor n \times A[j] \rfloor$ .
- So,  $A[i]$  is placed into the same bucket as  $A[j]$  or into a bucket with a lower index.
  - If same bucket, insertion sort fixes up.
  - If earlier bucket, concatenation of lists fixes up.

## Analysis

- Relies on no bucket getting too many values.
- All lines except insertion sorting in line 8 take  $O(n)$  altogether in the worst case.
- Intuitively, if each bucket gets a constant number of elements, it takes  $O(1)$  time to sort each bucket  $\Rightarrow O(n)$  sort time for all buckets.
- We “expect” each bucket to have few elements, since the average is 1 element per bucket.
- But we need to do a careful analysis.

## Analysis – Contd.

- **RV**  $n_i$  = no. of elements placed in bucket  $B[i]$ .
- Insertion sort runs in quadratic time. Hence, time for bucket sort is:

$$T(n) = \Theta(n) + \sum_{i=0}^{n-1} O(n_i^2)$$

Taking expectations of both sides and using linearity of expectation, we have

$$\begin{aligned} E[T(n)] &= E\left[\Theta(n) + \sum_{i=0}^{n-1} O(n_i^2)\right] \\ &= \Theta(n) + \sum_{i=0}^{n-1} E[O(n_i^2)] \quad (\text{by linearity of expectation}) \\ &= \Theta(n) + \sum_{i=0}^{n-1} O(E[n_i^2]) \quad (E[aX] = aE[X]) \quad (8.1) \end{aligned}$$

## Analysis – Contd.

- **Claim:**  $E[n_i^2] = 2 - 1/n$ . (8.2)
- **Proof:**
- Define indicator random variables.
  - $X_{ij} = \mathbf{I}\{A[j] \text{ falls in bucket } i\}$
  - $\Pr\{A[j] \text{ falls in bucket } i\} = 1/n$ .
  - $n_i = \sum_{j=1}^n X_{ij}$

## Analysis – Contd.

$$\begin{aligned} E[n_i^2] &= E\left[\left(\sum_{j=1}^n X_{ij}\right)^2\right] \\ &= E\left[\sum_{j=1}^n \sum_{k=1}^n X_{ij} X_{ik}\right] \\ &= E\left[\sum_{j=1}^n X_{ij}^2 + \sum_{1 \leq j < k \leq n} \sum_{j \neq k} X_{ij} X_{ik}\right] \\ &= \sum_{j=1}^n E[X_{ij}^2] + \sum_{1 \leq j < k \leq n} \sum_{j \neq k} E[X_{ij} X_{ik}] \quad \text{, by linearity of expectation.} \quad (8.3) \end{aligned}$$

## Analysis – Contd.

$$E[X_{ij}^2] = 0^2 \cdot \Pr\{A[j] \text{ doesn't fall in bucket } i\} + 1^2 \cdot \Pr\{A[j] \text{ falls in bucket } i\}$$

$$\begin{aligned} &= 0 \cdot \left(1 - \frac{1}{n}\right) + 1 \cdot \frac{1}{n} \\ &= \frac{1}{n} \end{aligned}$$

$$E[X_{ij} X_{ik}] \text{ for } j \neq k:$$

Since  $j \neq k$ ,  $X_{ij}$  and  $X_{ik}$  are independent random variables.

$$\Rightarrow E[X_{ij} X_{ik}] = E[X_{ij}] E[X_{ik}]$$

$$= \frac{1}{n} \cdot \frac{1}{n} = \frac{1}{n^2}$$

## Analysis – Contd.

(8.3) is hence,

$$\begin{aligned}
 E[n_i^2] &= \sum_{j=1}^n \frac{1}{n} + \sum_{1 \leq j \leq n} \sum_{\substack{1 \leq k \leq n \\ k \neq j}} \frac{1}{n^2} \\
 &= n \cdot \frac{1}{n} + n(n-1) \cdot \frac{1}{n^2} \\
 &= 1 + \frac{n-1}{n} \\
 &= 2 - \frac{1}{n}.
 \end{aligned}$$

Substituting (8.2) in (8.1), we have,

$$\begin{aligned}
 E[T(n)] &= \Theta(n) + \sum_{i=0}^{n-1} O(2 - 1/n) \\
 &= \Theta(n) + O(n) \\
 &= \Theta(n)
 \end{aligned}$$