

The Heap Property

- Heaps also satisfy the *heap property*:
 $A[\text{Parent}(i)] \geq A[i]$ for all nodes $i > 1$
 - In other words, the value of a node is at most the value of its parent
 - *Where is the largest element in a heap stored?*

L6.1

Heap Height

- Definitions:
 - The *height* of a node in the tree = the number of edges on the longest downward path to a leaf
 - The height of a tree = the height of its root
- *What is the height of an n -element heap? Why?*
- This is nice: basic heap operations take at most time proportional to the height of the heap

L6.2

The Heap Property

- **Max-heap** : $A[\text{Parent}(i)] \geq A[i]$
- **Min-heap** : $A[\text{Parent}(i)] \leq A[i]$
- The **height of a node** in a tree: the number of edges on the longest simple downward path from the node to a leaf.
- The **height of a tree**: the height of the root
- The **height of a heap**: $O(\lg n)$.

L6.3

Pop Quiz

1. What are the minimum and maximum numbers of elements in a heap of height h ?
- There is at most $2^{h+1} - 1$ vertices in a complete binary tree of height h . Since the lower level need not be filled we may only have 2^h vertices.

L6.4

Pop Quiz

2. Show that an n -element heap has height $\lg n$

- Since the height of an n -element heap must satisfy that $2^h \leq n \leq 2^{h+1} - 1 < 2^{h+1}$, we have $h \leq \lg n < h + 1$. h is an integer so $h = \lg n$.

L6.5

Pop Quiz

3. Is the array with values [23,17,14,6,13,10,1,5,7,12] a Max-Heap?

- No

L6.6

Basic procedures on heap

- **Max-Heapify**
- **Build-Max-Heap**
- **Heapsort**
- **Max-Heap-Insert**
- **Heap-Extract-Max**
- **Heap-Increase-Key**
- **Heap-Maximum**

L6.7

6.2 Maintaining the heap property

Max-Heapify(A, i)

- To maintain the max-heap property.
- Assume that the binary trees rooted at $Left(i)$ and $Right(i)$ are heaps, but that $A[i]$ may be smaller than its children, thus violating the heap property.

L6.8

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Max-Heapify(A, i)
1 l = Left(i)
2 r = Right(i)
3 if l ≤ A.heap-size and A[l] > A[i]
4     largest = l
5 else largest = i
6 if r ≤ A.heap-size and A[r] > A[largest]
7     largest = r
8 if largest ≠ i
9     exchange A[i] ↔ A[largest]
10    Max-Heapify(A, largest)

```

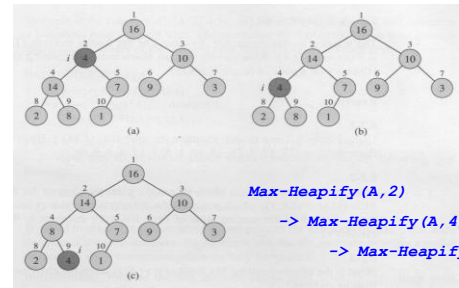
Assumption:
Left(i) and Right(i)
are max-heaps.

$$T(n) \leq T\left(\frac{2n}{3}\right) + \Theta(1) \Rightarrow T(n) = O(\lg n)$$

Alternatively $O(h)$ (h: height)

L6.9

Max-Heapify(A, 2)
heap-size[A] = 10

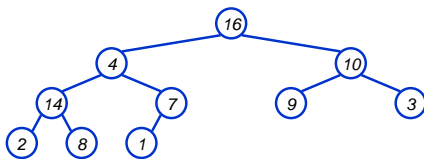


Max-Heapify(A, 2)

-> Max-Heapify(A, 4)

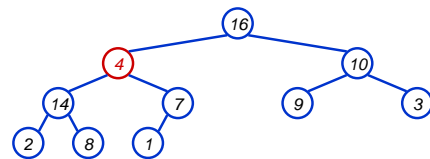
-> Max-Heapify(A, 9)

L6.10



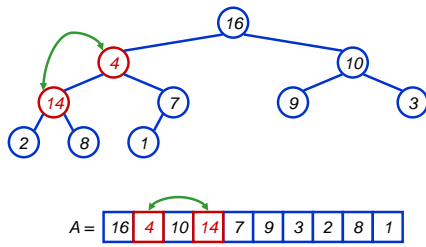
A = [16, 4, 10, 14, 7, 9, 3, 2, 8, 1]

L6.11

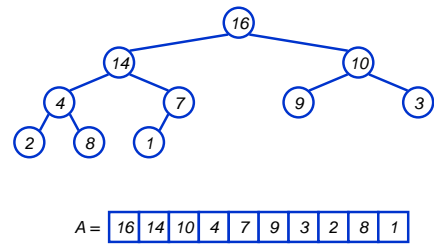


A = [16, 14, 10, 4, 7, 9, 3, 2, 8, 1]

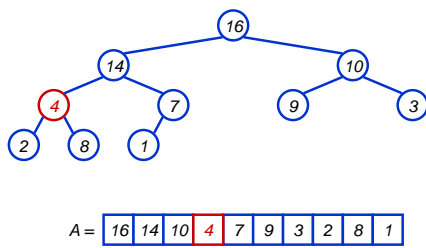
L6.12



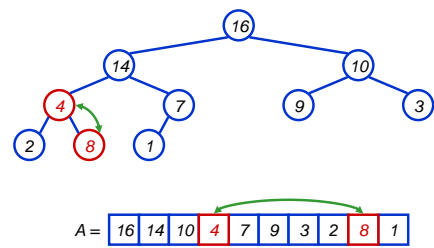
L6.13



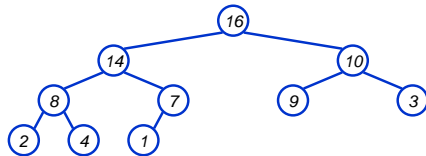
L6.14



L6.15

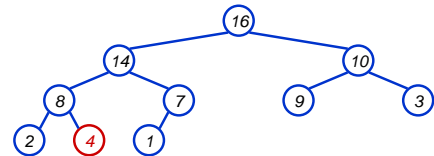


L6.16



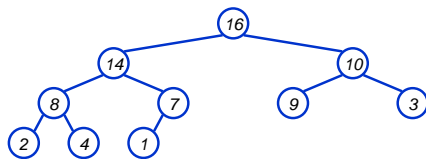
A = [16, 14, 10, 8, 7, 9, 3, 2, 4, 1]

L6.17



A = [16, 14, 10, 8, 7, 9, 3, 2, 4, 1]

L6.18



A = [16, 14, 10, 8, 7, 9, 3, 2, 4, 1]

L6.19

Running Time for Max-Heapify

```

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4     largest = l
5 else largest = i
6 if r ≤ A.heap-size and A[r] > A[largest]
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```

Time to fix node i
and its children =
 $\Theta(1)$

PLUS

Time to fix the
subtree rooted at
one of i 's children =
 $T(\text{size of subtree at largest})$

L6.20

Running Time for Max-Heapify(A, n)

- Fixing up relationships between i , l , and r takes $\Theta(1)$ time
- $T(n) = T(\text{largest}) + \Theta(1)$
- *If the heap at i has n elements, how many elements can the subtrees at l or r have?*
 - Draw it
- Answer: $2n/3$ (worst case: bottom row 1/2 full)
- $\text{largest} \leq 2n/3$ (worst case occurs when the last row of tree is exactly half full)
- So time taken by **Max-Heapify** (\cdot) is given by

$$T(n) \leq T(2n/3) + \Theta(1)$$

L6.21