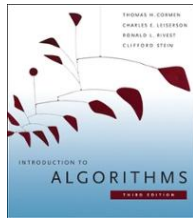


CS146 Data Structures and Algorithms



Chapter 6: Heapsort

Sorting algorithm

- **Insertion sort** :
 - In place: only a constant number of elements of the input array are even sorted outside the array.
- **Merge sort** :
 - not in place.
- **Heap sort** : (chapter 6)
 - Sorts n numbers in place in $O(n \lg n)$

L6.1

L6.2

Sorting algorithm

- **Quick sort** : (chapter 7)
 - worst time complexity $O(n^2)$
 - Average time complexity $O(n \lg n)$
- **Decision tree model** : (chapter 8)
 - Lower bound $O(n \lg n)$
 - Counting sort
 - Radix sort
- Order statistics

L6.3

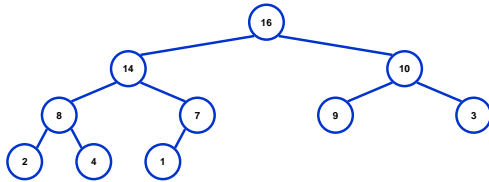
Sorting Revisited

- So far we've talked about two algorithms to sort an array of numbers
 - What is the advantage of merge sort?
 - Answer: $O(n \lg n)$ worst-case running time
 - What is the advantage of insertion sort?
 - Answer: sorts in place
 - Also: When array "nearly sorted", runs fast in practice
- Next on the agenda: **Heapsort**
 - Combines advantages of both previous algorithms

L6.4

6.1 Heaps

- A *heap* can be seen as a complete binary tree:

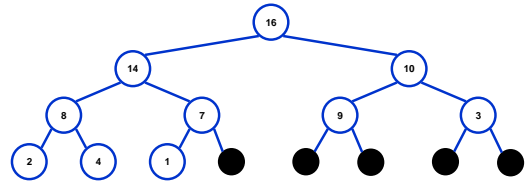


- What makes a binary tree complete?
- Is the example above complete?

L6.5

Heaps

- A *heap* can be seen as a complete binary tree:

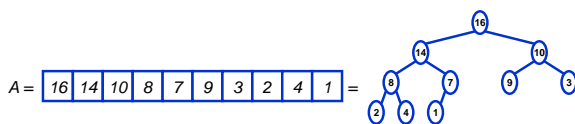


- The book calls them “nearly complete” binary trees; can think of unfilled slots as null pointers

L6.6

Heaps

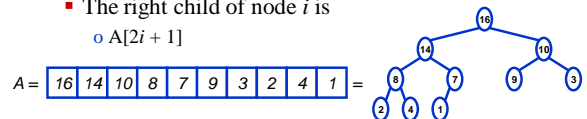
- In practice, heaps are usually implemented as arrays:



L6.7

Heaps

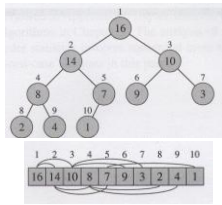
- To represent a complete binary tree as an array:
 - The root node is $A[1]$
 - Node i is $A[i]$
 - The parent of node i is
 - $A[i/2]$ (note: integer divide)
 - The left child of node i is
 - $A[2i]$
 - The right child of node i is
 - $A[2i + 1]$



L6.8

Heaps (Binary heap)

- The *binary heap* data structure is an array object that can be viewed as a complete tree.



```

Parent(i)
    return  $\lfloor i/2 \rfloor$ 
Left(i)
    return 2i
Right(i)
    return 2i+1

```

L6.9

Referencing Heap Elements

- So...


```

Parent(i) { return  $\lfloor i/2 \rfloor$ ; }
Left(i) { return 2*i; }
right(i) { return 2*i + 1; }

```
- An aside: *How would you implement this most efficiently?*
 - Trick question, I was looking for “ $i \ll 1$ ”, etc.
 - But, any modern compiler is smart enough to do this for you (and it makes the code hard to follow)

L6.10