

## Big O Fact

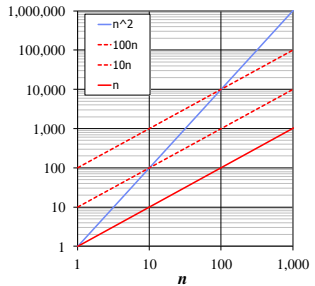
- A polynomial of degree  $k$  is  $O(n^k)$
- Proof:
  - Suppose  $f(n) = b_k n^k + b_{k-1} n^{k-1} + \dots + b_1 n + b_0$ 
    - Let  $a_i = |b_i|$
  - $f(n) \leq a_k n^k + a_{k-1} n^{k-1} + \dots + a_1 n + a_0$

$$\leq n^k \sum a_i \frac{n^i}{n^k} \leq n^k \sum a_i \leq c n^k$$

L3.1

## Big-Oh Example

- Example: the function  $n^2$  is not  $O(n)$ 
  - $n^2 \leq c n$
  - $n \leq c$
  - The above inequality cannot be satisfied since  $c$  must be a constant



L3.2

## More Big-Oh Examples

- $7n-2$  is  $O(n)$   
 need  $c > 0$  and  $n_0 \geq 1$  such that  $7n-2 \leq c n$  for  $n \geq n_0$   
 this is true for  $c = 7$  and  $n_0 = 1$
- $3n^3 + 20n^2 + 5$  is  $O(n^3)$   
 need  $c > 0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq c n^3$  for  $n \geq n_0$   
 this is true for  $c = 4$  and  $n_0 = 21$
- $3 \log n + 5$  is  $O(\log n)$   
 need  $c > 0$  and  $n_0 \geq 1$  such that  $3 \log n + 5 \leq c \log n$  for  $n \geq n_0$   
 this is true for  $c = 8$  and  $n_0 = 2$

L3.3

## Big-Oh Rules

- If  $f(n)$  is a polynomial of degree  $d$ , then  $f(n)$  is  $O(n^d)$ , i.e.,
  1. Drop lower-order terms
  2. Drop constant factors
- Use the smallest possible class of functions
  - Say " $2n$  is  $O(n)$ " instead of " $2n$  is  $O(n^2)$ "
- Use the simplest expression of the class
  - Say " $3n + 5$  is  $O(n)$ " instead of " $3n + 5$  is  $O(3n)$ "

L3.4

## Big-Oh and Growth Rate

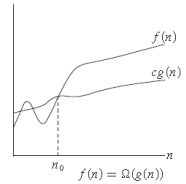
- The big-Oh notation gives an upper bound on the growth rate of a function
- The statement “ $f(n)$  is  $O(g(n))$ ” means that the growth rate of  $f(n)$  is no more than the growth rate of  $g(n)$
- We can use the big-Oh notation to rank functions according to their growth rate

	$f(n)$ is $O(g(n))$	$g(n)$ is $O(f(n))$
$g(n)$ grows more	Yes	No
$f(n)$ grows more	No	Yes
Same growth	Yes	Yes

L3.5

## Lower Bound Notation

- We say Insertion Sort's run time is  $\Omega(n)$
- In general a function
  - $f(n)$  is  $\Omega(g(n))$  if  $\exists$  positive constants  $c$  and  $n_0$  such that  $0 \leq c \cdot g(n) \leq f(n) \quad \forall n \geq n_0$
- Proof:
  - Suppose run time is  $an + b$ 
    - Assume  $a$  and  $b$  are positive (what if  $b$  is negative?)
  - $an \leq an + b$



L3.6

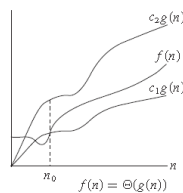
## Asymptotic Tight Bound

- A function  $f(n)$  is  $\Theta(g(n))$  if  $\exists$  positive constants  $c_1$ ,  $c_2$ , and  $n_0$  such that

$$c_1 g(n) \leq f(n) \leq c_2 g(n) \quad \forall n \geq n_0$$

- Theorem

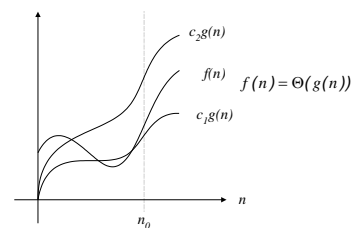
- $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  AND  $f(n) = \Omega(g(n))$



L3.7

## Asymptotic Tight Bound

- The definition of  $\Theta(g(n))$  requires that every member be asymptotically nonnegative.



L3.8

## Asymptotic Tight Bound

### EXAMPLE:

$$\frac{n^2}{2} - 3n = \Theta(n^2)$$

$$c_1 n^2 \leq \frac{n^2}{2} - 3n \leq c_2 n^2 \text{ for all } n \geq n_0$$

$$\frac{n^2}{14} \leq \frac{n^2}{2} - 3n \leq \frac{n^2}{2} \text{ if } n > 7$$

L3.9

## Asymptotic Tight Bound

$$6n^3 \neq \Theta(n^2) \quad \text{Why?}$$

$$f(n) = an^2 + bn + c, \text{ } a, b, c \text{ constants, } a > 0.$$

$$\Rightarrow f(n) = \Theta(n^2).$$

- In general,

$$p(n) = \sum_{i=0}^d a_i n^i \text{ where } a_i \text{ are constant with } a_d > 0.$$

$$\text{Then } P(n) = \Theta(n^d).$$

L3.10

## $o$ -notation (little-oh)

- An upper bound that is **not asymptotically tight**.
- $f(n) = o(g(n)) \Leftrightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$
- $o(g(n)) = \{f(n) \mid \forall c, \exists n_0 \forall n > n_0, 0 \leq f(n) \leq cg(n)\}$
- $2n^2 = O(n^2) \quad 2n^2 \neq o(n^2)$
- $2n = O(n^2) \quad 2n = o(n^2)$

L3.11

## $\omega$ -notation (little-omega)

- An lower bound that is **not asymptotically tight**.
- $\omega(g(n)) = \{f(n) \mid \forall c, \exists n_0 \forall n > n_0, 0 \leq cg(n) \leq f(n)\}$
- $f(n) = \omega(g(n)) \Leftrightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$
- $\frac{n^2}{2} = \omega(n)$
- $\frac{n^2}{2} \neq \omega(n^2)$

L3.12

## Example Uses of the Relatives of Big-Oh

- **$5n^2$  is  $\Omega(n^2)$**   
 $f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$   
 let  $c = 5$  and  $n_0 = 1$
- **$5n^2$  is  $\Omega(n)$**   
 $f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$   
 let  $c = 1$  and  $n_0 = 1$
- **$5n^2$  is  $\Theta(n^2)$**   
 $f(n)$  is  $\Theta(g(n))$  if it is  $\Omega(n^2)$  and  $O(n^2)$ . We have already seen the former, for the latter recall that  $f(n)$  is  $O(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \leq c \cdot g(n)$  for  $n \geq n_0$   
 Let  $c = 5$  and  $n_0 = 1$

L3.13

## Analysis of Algorithms

- Worst case  $O(n)$ 
  - Provides an upper bound on running time
  - An absolute guarantee
- Average case  $\Theta(n)$ 
  - Provides the expected running time
  - Very useful, but treat with care: what is “average”?
    - Random (equally likely) inputs
    - Real-life inputs
- Best case  $\Omega(n)$ 
  - Not useful
  - Cannot too optimistic

L3.14

## Relational properties

- Transitivity
  - $f(n) = \Theta(g(n)) \wedge g(n) = \Theta(h(n)) \Rightarrow f(n) = \Theta(h(n))$
  - $f(n) = O(g(n)) \wedge g(n) = O(h(n)) \Rightarrow f(n) = O(h(n))$
  - $f(n) = \Omega(g(n)) \wedge g(n) = \Omega(h(n)) \Rightarrow f(n) = \Omega(h(n))$
  - $f(n) = o(g(n)) \wedge g(n) = o(h(n)) \Rightarrow f(n) = o(h(n))$
  - $f(n) = \omega(g(n)) \wedge g(n) = \omega(h(n)) \Rightarrow f(n) = \omega(h(n))$
- Reflexivity
  - $f(n) = \Theta(f(n))$
  - $f(n) = O(f(n))$
  - $f(n) = \Omega(f(n))$
- Symmetry
  - $f(n) = \Theta(g(n)) \Leftrightarrow g(n) = \Theta(f(n))$

L3.15

- Transpose symmetry

$$\begin{aligned}
 f(n) &= O(g(n)) \Leftrightarrow g(n) = \Omega(f(n)) \\
 f(n) &= o(g(n)) \Leftrightarrow g(n) = \omega(f(n)) \\
 f(n) &= O(g(n)) \approx a \leq b \\
 f(n) &= \Omega(g(n)) \approx a \geq b \\
 f(n) &= \Theta(g(n)) \approx a = b \\
 f(n) &= o(g(n)) \approx a < b \\
 f(n) &= \omega(g(n)) \approx a > b
 \end{aligned}$$

L3.16

### 3.1.4 from Textbook (page 53)

- Is  $2^{n+1} = O(2^n)$ ?

$2^{n+1} = O(2^n)$  because  $2^{n+1} = 2 * 2^n = O(2^n)$ .

$2^{n+1} \leq c 2^n$  When  $c = 2$ ,  $n_0 = 1$ , it satisfies the inequality.

- Is  $2^{2n} = O(2^n)$ ?

Suppose  $2^{2n} = O(2^n)$  Then there exists a constant  $c$  such that for  $n$  beyond some  $n_0$ ,

$2^{2n} \leq c 2^n$ . Dividing both sides by  $2^n$ , we get  $2^n \leq c$ .

There's no values for  $c$  and  $n_0$  that can make this true, so the hypothesis is false and  $2^{2n} \neq O(2^n)$ .

L3.17