

**Ahmad Yazdankhah**

[ahmad.yazdankhah@sjsu.edu](mailto:ahmad.yazdankhah@sjsu.edu)

[www.cs.sjsu.edu/~yazdankhah](http://www.cs.sjsu.edu/~yazdankhah)

# **Mathematical Preliminaries**

## **(Part 1)**

**Lecture 02**  
**Day 02/31**

**CS 154**  
**Formal Languages and Computability**  
**Spring 2019**

# Agenda of Day 02

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- Waiting List Enrollment ...
- Announcement
- Summary of Lecture 01
- Lecture 02: Teaching ...
  - Mathematical Preliminaries (Part 1)

# Announcement

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- If you were **absent last session**, please talk to me right after the class.
- Otherwise, **I might cancel your enrollment.**

# Summary of Lecture 01: We learned ...

## Office Hours

- TR 7:15-9:15 pm
- By Appointment
- Tell me orally in this class.

OR

- Set an appointment via email.
- 24 hours before your requested time.
- I'll be in this class for my office hours.
- In some cases, I can set online office hours.

## Examinations

- By default, every Thursday we'll have a short quiz!
  - So, it is not the case that I'd announce it again.
- All examinations are closed book (concepts).
- All examinations will cover everything we've covered from the beginning of the semester.
- I'll curve your final grade if it is not normal.

# Summary of Lecture 01: We learned ...

## Course Objective

- Dealing with the mathematical theory of computation.
- The theory of computation is divided into:
  - Formal languages
  - Automata theory
  - Computability
  - Complexity
- We'd discover the "atoms" and "molecules" of computing.

## Classroom Protocol



- This is me if you use cell phone or laptop!
- For more info, please refer to the greensheet!

**Any question?**

# Objective of This and Next Lecture

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- **Recap** from Math 42 (discrete mathematics)
- We'll review:
  - Sets
  - Cartesian Products
  - Functions
  - Graphs
- Based on the prerequisite (Math42), we assume that:  
You are already familiar with them.
- So, we **just review** the most important concepts that we'd need in this course.
- There **will be some questions** from these topics in all **tests**.

# Mathematical Preliminaries

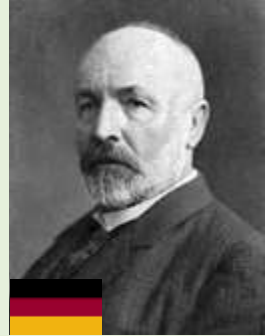
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Recap from Math 42

## The Basic Concepts of Set Theory

# Set Theory

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- "Set theory" has a great role in mathematics and consequently in other sciences.
    - In this course, we use sets tremendously.
  - Created by great German mathematician, George Cantor (1845-1918).
- 
- A black and white portrait of George Cantor, a German mathematician. He is an older man with a high forehead, a mustache, and a goatee. He is wearing a dark suit jacket over a white shirt and a dark tie. The portrait is set against a dark background. A small German flag is visible in the bottom left corner of the image.
- He is famous for the set theory and his work on the infinities.
  - Specially, his method to prove that the set of real numbers is bigger than the set of natural numbers.



# Sets Definition

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## Definition

- ♥ ▪ A set is a collection of objects (aka elements, members).
  - The definition implicitly stating that the "order" of the elements does not matter.
  - All objects in this universe are "distinct". That's why all elements of a set must be "distinct".
  - Therefore, in a set, you might repeat an element but only one of them counts as the member.
- We can also define a "list" as:

A list is a collection of "ordered" objects.
- 💡 ▪ Do you think the "distinction" matters in lists?

# Sets Representation 1: Roster Method

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- One way to represent a set is **enumerating** its members.
- We put the elements in **a pair of curly-braces**, like this:

$\{1, 2, 3, 4\}$

## Example 1

- The set of lower-case English alphabet.

$\{a, b, c, \dots, z\}$

- Sometimes we use **ellipses** (...) to bypass mentioning some elements if the **general pattern of elements is obvious** from the context.
- There are **other set representations** that will be covered shortly!

# Sets Naming Convention

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- To name a set, we usually use English capital letters such as A , B, C, etc., OR ...
- Greek capital letters such as  $\Sigma$  (sigma) ,  $\Gamma$  (gamma), etc..

## Example 2

- The set of lower-case English alphabet.

$$\Sigma = \{a , b , c , \dots , z\}$$

- The set of natural numbers less than 100 and greater than 2.

$$\Gamma = \{3 , 4 , 5 , \dots , 99\}$$

# Sets Examples



## Example 3

- $N = \{1, 0, -5, 12, 5\}$
- $V = \{\text{train}, \text{bike}, \text{airplane}, \text{bus}\}$
- $\Gamma = \{x, y, z\}$
- $A = \{00, 01, 10, 11\}$

- 
- Is  $\Sigma$  a set?

$\Sigma = \{ab, aabb, aaabbb\}$

- The elements are **meaningless!**

- Is B a set?

$B = \{5, \text{train}, \text{apple}, \text{California}\}$

- The elements are **irrelevant!**

- Is C a set?

$C = \{1, 2, 3, 4\}$

- The elements are **ordered!**

- Is D a set?

$D = \{1, 2, 2, 3\}$

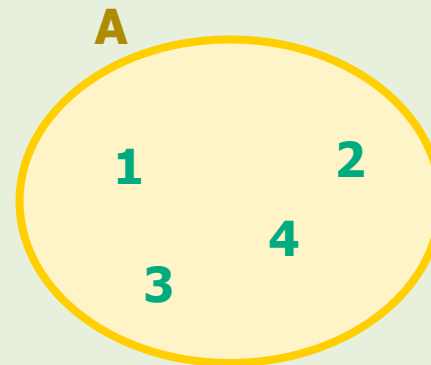
- The elements are **repeated!**

# Sets Representation 2: Venn Diagrams

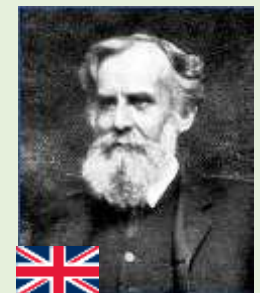
- Another way to represent a set is putting all its elements in a geometrical figure such as circle, ellipse, etc.
- These diagrams are called "Venn diagram".

## Example 4

- $A = \{1, 2, 3, 4\}$



- This method is named after British mathematician, John Venn (1834 – 1923).



# Sets Size

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- Size of a set (aka **cardinality**) is the **number of its elements**.
- The size of the set  $A$  is **denoted by  $|A|$** .

## Example 5

- Let  $A = \{1, 0, -5, 12, 5\}$  ;  **$|A| = ?$**
- **$|A| = 5$**

## Example 6

- Let  $B = \{1, 11, 7, -15, 2, 1, 7, 11\}$  ;  **$|B| = ?$**
- **$|B| = 5$  (careful! Duplicate members should be eliminated.)**

# Sets Membership and Not Membership

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- The membership of the set's elements is represented by " $\in$ ".
- Its negation (not membership) is represented by " $\notin$ ".

## Example 7

- Let  $C = \{5, \text{train}, \text{apple}\}$
- $\text{train} \in C$   
(read: train belongs to  $C$ , or train is a member of  $C$ )
- $\text{bus} \notin C$   
(read: bus does not belong to  $C$ , or bus is not a member of  $C$ )

# Membership and Not Membership **Note**

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- A set is known when its **boundary** is clearly defined.
- We should be able to recognize clearly **what belongs to a set** and **what does not**.
- Thus, "**not membership**" is as important as "**membership**".



# Empty Set

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## Definition

- Empty set is a set that has **no member**.
- Empty set is **denoted** by  $\{ \}$  or  $\phi$ .
  - " $\phi$ " is pronounced "**phi**".
- What is the **size** of  $\phi$ ?
- $|\phi| = 0$

## Example 8: An Empty Set

- The set of "**F-Students of this class**"!!!
- The 8<sup>th</sup> day of week!

# Universal Set

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- We usually need to specify the "universe of our discourse".
- This universe is all possible members that affect the problem under consideration.
- We call it universal set.

## Definition

- ♥ ▪ Universal set of a set is the set containing all possible elements under consideration.
- Universal set is denoted by "U".

# Universal Set Examples

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## Example 9

- Let  $A = \{2, 3, 4\}$ .
- The universal set of  $A$  could be:
  - $U = \{0, 1, 2, 3, 4, 5, 6, 8\}$ , or
  - $U = \{1, 2, 3, 4\}$ , or
  - $U = \{2, 3, 4\}$ , or ...
- But the universal set of  $A$  cannot be  $U = \{2, 3\}$ !

# Universal Set Examples

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## Example 10

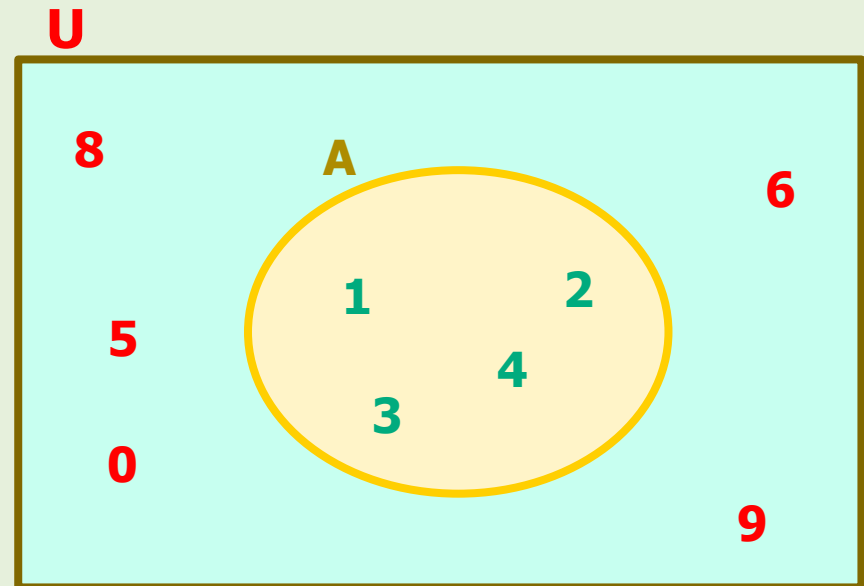
- Let  $Z = \{\text{A-Students of this class}\}$ .
- Depends on the problem we want to solve, the universal set of  $Z$  could be:
  - $U = \{\text{All students of this class}\}$ , or
  - $U = \{\text{All students of SJSU}\}$ , or
  - $U = \{\text{All students of the world}\}$ , or so forth.

# Venn Diagram of Universal Set

- We represent a universal set by a rectangle.

## Example 11

- $A = \{1, 2, 3, 4\}$
- $U = \{0, 1, 2, 3, 4, 5, 6, 8, 9\}$



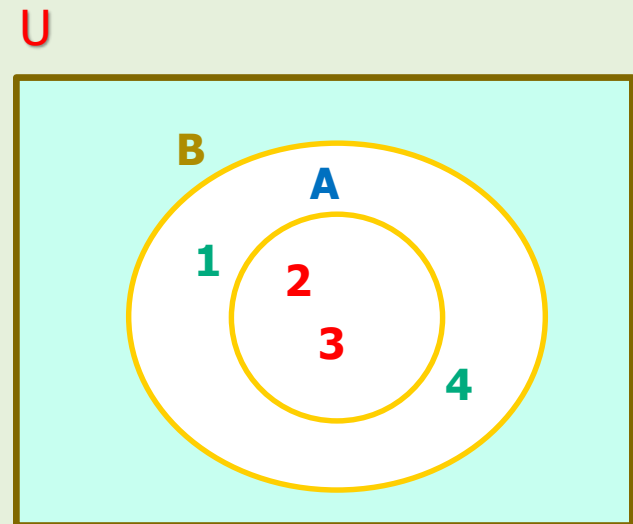
# Subsets

## Definition

- Set A is **subset** of B **iff** every elements of A is also an element of B.
- **Subset relationship** is denoted by  $A \subseteq B$ .

## Example 12

- $B = \{1, 2, 3, 4\}$
- $A = \{2, 3\}$
- $A \subseteq B$ 
  - Note that A is inside B.



- We did not mention the elements of U because **our focus** is on the **relationship** between A and B.

# Proper Subsets

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## Definition

- Set A is proper subset of B iff all elements of A belong to B, and they are not equal.
- Proper subset relationship is denoted by  $A \subset B$ .
- In this relationship, B is called superset of A.

## Example 12 (repeated)

- $B = \{1, 2, 3, 4\}$
- $A = \{2, 3\}$
- $A \subset B$

# Equality of Two Sets

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## Definition

- Two sets A and B are equal iff both have the same elements.
- Equality of two sets A and B is denoted by  $A = B$ .
- There is another way to define equality of two sets:

## ⓘ Equality of Two Sets by Using Subset Notation

$$A = B \text{ iff } A \subseteq B \text{ AND } B \subseteq A$$





# Finite Sets

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## Definition

- ♥ ▪ A set is called **finite** if its size is a natural number.
  - The set of **natural numbers** is denoted by  $\mathbb{N}$  and starts from 0.  
 $\mathbb{N} = \{0, 1, 2, \dots\}$
  - In some books, you might see it starts from 1 but **we prefer** in this course to **start it from 0**.

## Example 13

- Let  $B = \{a, b, c, \dots, z\}$ . Is this a finite set?
- Yes, because  $|B| = 26$ , and 26 is a natural number.
- 💡 ▪ Is  $\emptyset$  finite? Why?



# Infinite Sets

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## Definition

- ♥ A set is **infinite**, if we **cannot express** its size by a natural number.

## Example 14: Infinite Sets

- $C = \{1, 2, 3, 4, \dots\}$
- $Z = \{\dots, -2, -1, 0, 1, 2, \dots\}$  Integers
- $N = \{0, 1, 2, \dots\}$  Natural numbers

## Sets Representation 3: Set Builder

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- So far, we've reviewed **two methods** for set representation:
  1. **Roster method**
  2. **Venn diagram method**
- There are another method that is **more important** than these two.
- It's called **set builder** method.
- We use set builder method **tremendously** in this course.

# ⚠ Sets Representation 3: Set Builder

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## Set Builder Format

{ member variable : description of the elements' properties }

- Note that some books might use vertical bar "|" instead of colon ":".

## Example 15

- Represent the following set by a set builder.

"The set of all Natural numbers between 1 and 5 (both including)"

- $A = \{x : x \in \mathbb{N}, 1 \leq x \leq 5\}$

- This can be simulated by the following Java code:

```
for (int x = 1 ; x <= 5 ; x++) { //some code here }
```

## ⚠ Sets Representation 3: Set Builder

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- For simplifying the representation, we might put the universal set description before the colon.

### Example 15 (repeated)

- The set of all integers between 1 and 5 (both including)

Regular representation:  $A = \{x : x \in \mathbb{N}, 1 \leq x \leq 5\}$

Simplified representation:  $A = \{x \in \mathbb{N} : 1 \leq x \leq 5\}$

## ⚠ Sets Representation 3: Set Builder

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- If the members of the set follow a pattern, we use the following format:

{ members pattern : description of the elements' properties }

### Example 16

- Represent the following set by a set builder.

$$B = \{0, 3, 6, 9, 12, 15, 18\}$$

- Regular representation:

$$B = \{x : x = 3k, k \in \mathbb{N}, 0 \leq k \leq 6\}$$

- Using pattern representation (preferred):

$$B = \{3k : k \in \mathbb{N}, 0 \leq k \leq 6\}$$



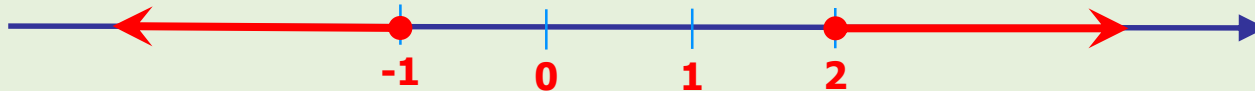
## Set Builder Note



- Comma in the set builder description means "AND".
  - So, if you need "OR", you should explicitly put "OR" or "v".

### Example 17

- Represent the following real numbers intervals by set builder.



$$B = \{ x \in \mathbb{R} : x \leq -1 \text{ OR } x \geq 2 \}$$



- What would happen if we put comma or AND in the above set?

# Sets Complement

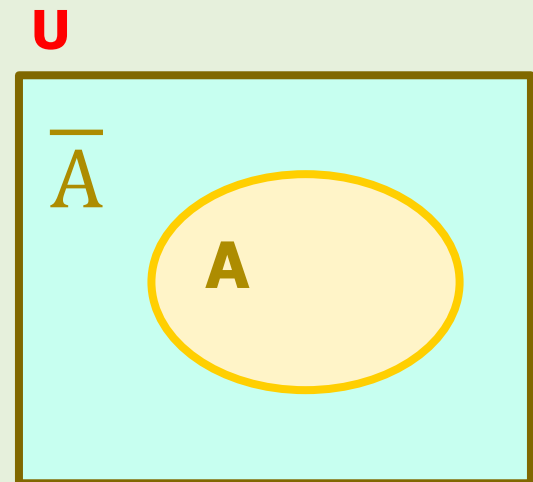
## Definition



- The **complement** of set  $A$  is called  $\bar{A}$  and is defined as:

$$\bar{A} = \{x : x \notin A\}$$

- Venn diagram of  $\bar{A}$ :
- To find  $\bar{A}$ , we'd need  $U$ .



## Example 20

- Let  $A = \{3, 6\}$ , and  $U = \{1, 2, 3, 4, 5, 6\}$ ;  $\bar{A} = ?$
- $\bar{A} = \{1, 2, \cancel{3}, 4, 5, \cancel{6}\} = \{1, 2, 4, 5\}$



# Exercise

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## Example 18

- Write all subsets of  $A = \{a, b\}$ .

## Example 19

- Write all subsets of  $B = \{1, 2, 3\}$

# Power Set

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## Definition

- ♥ ▪ The set of all subsets of set  $A$  is called the power set of  $A$ .
- The power set of  $A$  is denoted by  $2^A$ .
  - Note that  $2^A$  is just a symbol and not an algebraic power!
- How can we define the powerset of  $A$  by set builder?

$$2^A = \{x : x \subseteq A\}$$

## Example 21

- Let  $A = \{a, b\}$  ;  $2^A = ?$
- $2^A = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$

# Power Set

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## Example 22

- Let  $S = \{1, 2, 3\}$  ;  $2^S = ?$
- $2^S = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$

## Example 23

- In the previous example, what is the **cardinality** (size) of  $S$  and  $2^S$ ?
- $|S| = 3$
- $|2^S| = 8$



- Do you see any **relation** between these two cardinalities?

# Size of Power Set

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- If set  $S$  has  $n$  elements (i.e.  $|S| = n$ ), then its power set has  $2^n$  elements.
- In other words, we have the following relationship between the size of a set and the size of its power set.

$$|2^S| = 2^{|S|}$$

## Example 24

- Let  $S = \{a, b, c\}$  ;  $|2^S| = ?$
- $|2^S| = 2^{|S|} = 2^3 = 8$

# Reading Assignment

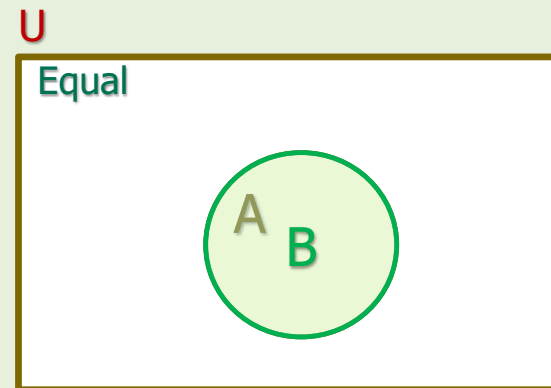
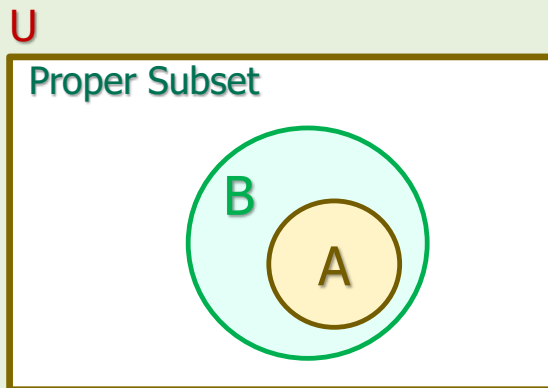
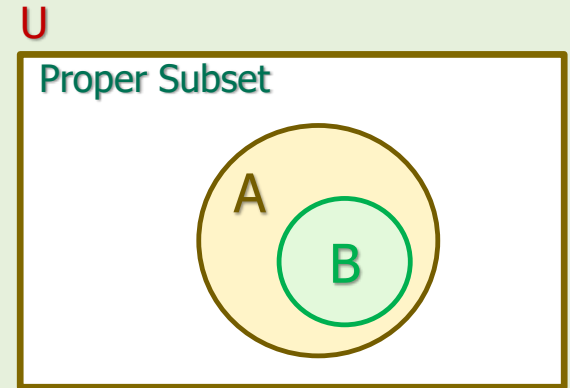
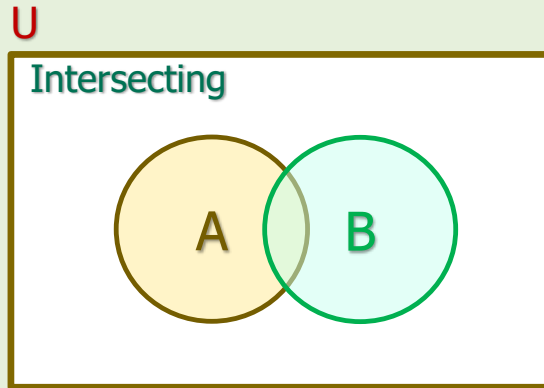
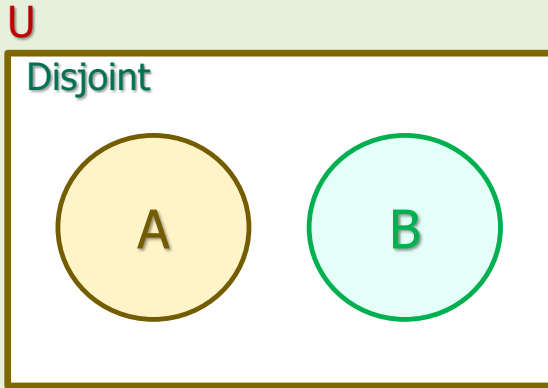
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# Exercise



Concept	Notation
Empty set	
Universal set	
8 is member of A.	
6 is not member of B.	
A is subset of $\Sigma$ .	
B is proper subset of $\Sigma$ .	
Power set of A	
Size of A (aka Cardinality of A)	
Size of the power set of A	

# ! Relationship Between Two Sets



# Set Operations

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Operator	Notation
Union	$A \cup B = \{x : x \in A \vee x \in B\}$
Intersection	$A \cap B = \{x : x \in A \wedge x \in B\}$
Minus	$A - B = \{x : x \in A \wedge x \notin B\}$
Complement	$\bar{A} = U - A = \{x : x \in U \wedge x \notin A\} = \{x : x \notin A\}$



# Set Properties

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Property	Name
$A \cup B = B \cup A$ $A \cap B = B \cap A$	Commutative
$A \cup (B \cup C) = (A \cup B) \cup C$ $A \cap (B \cap C) = (A \cap B) \cap C$	Associative
$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$	Distributive

# Set Identities



Identity	Result	Name
$A \cup \phi =$ $A \cap U =$		Identity
$A \cup U =$ $A \cap \phi =$		Domination
$A \cup A =$ $A \cap A =$		Idempotent
$A \cup \bar{A} =$ $A \cap \bar{A} =$		Complement
$\overline{(\bar{A})} =$		Complementation
$\overline{A \cap B} =$ $\overline{A \cup B} =$		De Morgan

# Empty Set Representation

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- How can we represent empty set by using set builder?
- $A - A = \{ \}$
- $A - A = \{x : x \in A \text{ AND } x \notin A\}$
- $\phi = \{x : F(\text{alse})\}$
- So, to represent empty set,  
we can put anything false in the set builder description.
- For example, the following sets represent empty sets:
- $\{x : x \text{ is the 8}^{\text{th}} \text{ day of week}\}$
- $\{x : x \notin U\}$



# Homework

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- Note that the homework in the lecture notes are not mandatory but STRONGLY recommended.
- 1. Represent the set operations by Venn diagrams.
- 2. Prove that  $A \cup B = \overline{\overline{A} \cap \overline{B}}$
- 3. What is the relationship between sets A and B in the following situations:
  - a)  $A \cup B = A$
  - b)  $A - B = A$
  - c)  $A \cap B = A$
  - d)  $A - B = B - A$
  - e)  $A \cap B = B \cap A$

# References

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1. Linz, Peter, "An Introduction to Formal Languages and Automata, 5<sup>th</sup> ed.," Jones & Bartlett Learning, LLC, Canada, 2012
2. Kenneth H. Rosen, "Discrete Mathematics and Its Applications, 7<sup>th</sup> ed.," McGraw Hill, New York, United States, 2012
3. Sipser, Michael, "Introduction to the Theory of Computation, 3<sup>rd</sup> ed.," CENGAGE Learning, United States, 2013  
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