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# **Formal Languages**

(Part 1)

Lecture 04 Day 04/31

CS 154
Formal Languages and Computability
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## **Agenda of Day 04**

- Waiting List Enrollment ...
- Summary of Lecture 03
- Lecture 04: Teaching ...
  - Formal Languages (Part 1)

# **Summary of Lecture 03: We learned ...**

#### **Cartesian Products**

- In many cases, we need ordered collections.
- We use Cartesian product to produce ordered collections.
- The Cartesian product of two sets
   A and B is ...
  - ... the set of all ordered pairs (a , b),
     where a ∈ A and b ∈ B.

$$A \times B = \{(a, b) : a \in A, b \in B\}$$

- Does Cartesian product have commutative property?
  - In general, no, but in the following special cases, yes:

$$- (A = B) \lor (A = \phi) \lor (B = \phi)$$

 We extend the Cartesian product to n sets to produce n-tuple.

$$S_1 \times S_2 \times ... \times S_n = \{(x_1, x_2, ..., x_n) : x_1 \in S_1, ..., x_n \in S_n\}$$

**Any question?** 

## **Summary of Lecture 03: We learned ...**

#### **Functions**

- A function f from D to R is ...
  - a rule that assigns to some elements of D (domain) a unique element of R (range).
  - Denoted by:  $f: D \rightarrow R$
- A total function is ...
  - a function that all of its domain elements are defined.
- A partial function is ...
  - a function that at least one member of its domain is "undefined".

**Any question?** 

# **Summary of Lecture 03: We learned ...**

#### **Graphs**

- A graph is a mathematical construct consisting of two sets:
  - A non-empty and finite set of verticesV = {v<sub>1</sub>, v<sub>2</sub>, ..., v<sub>n</sub>}
  - A finite set of edges  $E = \{e_1, e_2, ..., e_m\}$
- A walk is ...
  - ... a sequence of edges from  $v_i$  to  $v_n$ .  $(v_i, v_j), (v_j, v_k), ..., (v_m, v_n)$
- The length of a walk is ...
  - ... the number of edges traversed.
- A path is ...
  - ... a walk that no edge is repeated.

- A simple path is ...
  - a path that no vertex is repeated.
- A loop is ...
  - ... an edge from a vertex to itself.
- A cycle is ...
  - a path from a vertex (called base) to itself.
- A simple cycle is ...
  - a cycle that no vertex other than base is repeated.

**Any question?** 

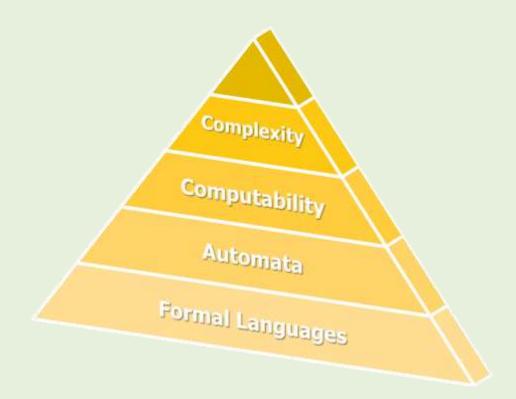
## **Objective of This and Next Lecture**

- Reviewing alphabets
- Reviewing strings
- Introducing formal languages
- Examining some surprising languages

# **1** The Big Picture of the Course

The foundation of the computer science is called:
 "Theory of Computation".

This theory is divided into four branches:



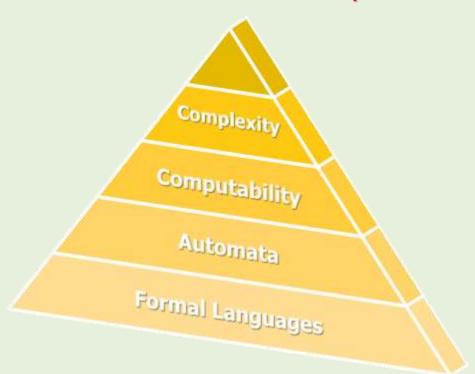
# **①** The Big Picture of the Course

The first three from the bottom show:

"What can be done with computers?"

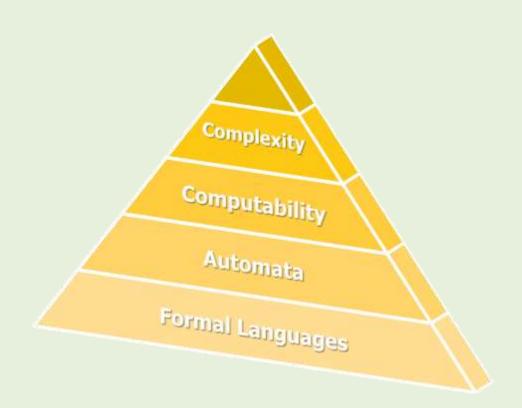
The forth one, complexity, shows:

"What can be done in practice?"



# **①** The Big Picture of the Course

- Let's start with "Formal Languages"!
- But first, we need to introduce "alphabets" and "strings".



# **Alphabets & Strings**

## **Alphabets**

#### **Definition**



- An alphabet is a nonempty and finite set of "symbols".
- It is denoted by Σ.
- Symbols are assumed to be indivisible.
- In this course, we use lowercase letters a, b, c, ... for alphabets' symbols.
- In some cases, we might use digits like 0, and 1 or other symbols as well.

# **Alphabets Example**

## **Example 1**



•  $\Sigma = \{a, b\}$ 

This is our celebrity alphabet!

- $\Sigma = \{0, 1\}$
- $\Sigma = \{\varepsilon, \alpha, \beta\}$

- Can the following set be an alphabet?  $\Sigma = \{ \mathcal{E}, \mathcal{K}, \psi, \Gamma \}$

## **Strings**

#### **Definition**

- A string is a finite sequence of symbols from the alphabet.
- So, we do NOT have a string of infinite sequence of symbols.

## **Example 2**

```
Let \Sigma = \{a, c, d, e, g, h, l, o, p, r, s, t, u\}. Are the following strings valid strings over \Sigma? cat , dog , horse , house , apple
```

## **Strings Examples**

#### **Example 3**



 $\stackrel{\bullet}{\mathbf{r}} \bullet \text{Let } \Sigma = \{a, b\}.$ 



- Are the following strings valid strings over Σ?
- baba , aabb , bbbbbbbbbbbbbb , ...
- Not all of them!
  - "..." is not a valid string because "." is not in the alphabet!
  - Note that in formal languages arena,
     we don't care whether the strings are meaningful or not!
- We use lowercase letters w, u, v, ... for "string variables".
- w = baba
- u = bbbbbbbbbbbb

## **Strings Size (aka Length)**

#### **Definition**

- The size of a string is the number of its symbols.
- The size of string w is denoted by |w|.

## **Example 4**

```
|aaa| = 3
|babba| = 5
|aaba| = 4
```

In general:

$$|a_1 a_2 ... a_n| = n$$

## **Empty String**

#### **Definition**

- An empty string is a string with no symbol.
  - In other words: A sequence of zero symbols
- Empty string is denoted by λ (pronounced "lambda").
- What is the length of  $\lambda$ ?  $|\lambda| = ?$  $|\lambda| = 0$

#### **Notes**

- 1. In some books, empty string might be shown as:  $\varepsilon$  (epsilon)
- 2. λ cannot be used as a symbol in alphabet.

# **Operations on Strings**

## **Concatenation of Strings**

#### **Definition**

Concatenation of two strings u and v is the string uv.

#### **Example 5**

```
Let u = aaba and v = bb ; uv = ?

uv = aababb
```

The length of concatenation:

$$|uv| = |u| + |v|$$

λ is the neutral element for concatenation:

$$\lambda w = w\lambda = w$$

## **Example 6**

 $\lambda aabb = aab\lambda b = a\lambda abb = a\lambda abb\lambda = aabb$ 

## **Reverse of Strings**

#### **Definition**

- Reverse of a string w is obtained by writing the symbols in reverse order.
- Reverse of w is denoted by w<sup>R</sup>. (pronounced "w reverse")
- If  $w = a_1 a_2 ... a_{n-1} a_n$ , then  $w^R = a_n a_{n-1} ... a_2 a_1$

## **Example 7**

```
Let w = aaba; w^R = ?
w^R = abaa
```

#### **A Side Note: Palindrome**

 The string w is called palindrome if w reads the same from left to right as from right to left.

#### **Examples**

radar, reviver, rotator

## **Some Funny Palindromes** (Ignore spaces, apostrophes, commas)

- MADAM I'M ADAM
- STEP NOT ON PETS
- NO LEMONS, NO MELON
- DENNIS AND EDNA SINNED
- A MAN, A PLAN, A CANAL, PANAMA

## **Homework**



• Prove that  $(uv)^R = v^R u^R$ 

## **Substring**

#### **Definition**

Substring of a string w is any string of consecutive symbols of w.

## **Example 8**

String	Substring
<u>aa</u> babb	aa
a <u>ab</u> abb	ab
aa <u>bab</u> b	bab
aaba <u>b</u> b	b
aababb	λ
aababb	aababb

## **Prefix and Suffix**

v is called "suffix".

#### **Definition**

Let w be a string. If w = uv, then ...u is called "prefix".

## **Example 9**

Let w = aababb

If we consider u = aa as a prefix of w, then the rest would be the suffix.

v = babb.



• Are these the only prefix and suffix?

#### **Prefix and Suffix**

## Example 9 (cont'd)

The complete list of all possible prefixes and suffixes of w are:

Prefix = u	$\underline{Suffix} = v$
λ	aababb
a	ababb
aa	babb
aab	abb
aaba	bb
aabab	b
aababb	λ

• So,  $\lambda$  is prefix and suffix of every string (NOT at the same time). because:  $w = \lambda w = w \lambda$ 

## **Exponential Operator**

#### **Definition**

- Let w be a string and n be a natural number.
- w<sup>n</sup> is defined as the concatenation of n copies of w.

$$w^n = w w w ... w$$
n times

#### **Example 10**

Let 
$$w = a$$
;  $w^2 = ?$ ;  $w^3 = ?$   
 $w^2 = w w = aa = a^2$   
 $w^3 = w w w = aaa = a^3$ 

 Concatenation in formal languages looks like multiplication in elementary algebra.

## **Exponential Operator**

#### Example 11

```
Let w = aaba; w^2 = ?; w^3 = ?

w^2 = w w = aabaaaba = a^2ba^3ba

w^3 = w w w = aabaaabaaaba = a^2ba^3ba^3ba
```

In general: w w<sup>n</sup> = w<sup>n</sup> w = w<sup>n+1</sup>
 where n ∈ N (natural numbers)

## **Example 12**

```
Let w = a^m b^m where m is a constant.

|w| = ?

|w| = |a^m b^m| = 2m
```

## **Exponential Operator**



## **Special case**

- $W^0 = ?$
- - How can you prove this?
  - Hint: use w w<sup>n</sup> = w<sup>n</sup> w = w<sup>n+1</sup>

## **Example 13**

$$(aaba)^0 = \lambda$$

O Note that aaba<sup>0</sup> = aab

# **Formal Languages**

## **Introduction of Two New Operations on Sets**

- Before introducing formal languages,
   we need to introduce two new operations on sets.
- We did not mention them because we needed the concept of concatenation.



## **Star Operator on Alphabets**

#### **Definition**

- Let Σ be an alphabet.
- Σ\* is the set of "all possible strings" obtained by concatenating "ZERO or more" symbols from Σ.

#### **Example 14**

```
Let \Sigma = \{a\}; \Sigma^* = ?

\Sigma^* = \{a\}^* = \{\lambda, a, aa, aaa, aaaa, ...\}
```



## **Star Operator on Alphabets**

#### **Example 15**



Let 
$$\Sigma = \{a, b\}$$
;  $\Sigma^* = ?$   
 $\Sigma^* = \{a, b\}^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, ...\}$ 



Note what strategy we used to enumerate all combinations.

• Let  $\Sigma = \{a, b, c\}$ ;  $\Sigma^* = ?$ 



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## **Plus Operator on Alphabets**

#### **Definition**

- Let Σ be an alphabet.
- $\Sigma^+$  is the set of "all possible strings" obtained by concatenating "ONE or more" symbols from  $\Sigma$ .

#### **Example 16**

Let 
$$\Sigma = \{a\}$$
;  $\Sigma^+ = ?$   
 $\Sigma^+ = \{a\}^+ = \{a, aa, aaa, aaaa, ...\}$ 

• Note that the only difference between  $\Sigma^+$  and  $\Sigma^*$  is that  $\Sigma^+$  does NOT contain  $\lambda$ .

## (1)

## **Plus Operator on Alphabets**

#### **Example 17**



Let 
$$\Sigma = \{a, b\}$$
;  $\Sigma^+ = ?$   
 $\Sigma^+ = \{a, b\}^+ = \{a, b, aa, ab, ba, bb, aaa, aab, ...\}$ 

• Since the only difference between  $\Sigma^+$  and  $\Sigma^*$  is that  $\Sigma^+$  does NOT contain  $\lambda$ , hence:

$$\Sigma^+ = \Sigma^* - \{\lambda\}$$
  
 $\Sigma^* = \Sigma^+ \cup \{\lambda\}$ 

Also note that Σ is finite but both Σ<sup>+</sup> and Σ<sup>\*</sup> are infinite.

# **(1)** Formal Languages Definition

#### **Definition**

- Let Σ be an alphabet.
- S Any
  - Any subset of Σ\* is called a "formal language" over Σ.

- Σ\* contains all possible strings that can be made by the symbols of Σ.
- That's why it's called the "universal formal language" over Σ.
  - Recall the definition of "universal set".



## ① Formal Languages Example

## **Example 18**



Let  $\Sigma = \{a, b\}$  be an alphabet.

Then:

$$\Sigma^* = \{a, b\}^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, ...\}$$

 The following subsets of Σ\* are examples of formal languages over  $\Sigma$ :

L<sub>1</sub> = {a, b, aa, aab}

- because  $L_1 \subseteq \Sigma^*$
- $L_2 = \{\lambda, ba, bb, bbb, aaa, aab\}$

because  $L_2 \subseteq \Sigma^*$ 



## **Formal Languages**

## Example 18 (cont'd)



How about the following sets? Are they formal languages? Why?

$$L_3 = \phi = \{ \}$$

$$L_4 = \{\lambda\}$$

Yes they are because both are subsets of  $\Sigma^*$ .

## **Two Special Formal Languages**

- Empty language : { } or •
- Language containing only empty string : {λ}

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## **Formal Languages Notes**

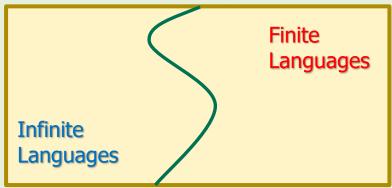
- 1. For simplicity, we use "language" to refer to the formal language.
  - To refer natural languages, we specifically will mention "natural" word.
- A language is a "set".So, it has all properties of sets.
- 3.  $\{\lambda\}$  is a language while  $\lambda$  is a string.
  - $|\lambda| = 0$ ; This is the size of the string  $\lambda$ .
  - $|\{\lambda\}| = 1$



## **Formal Languages Notes**

- 4. In some books, strings are called "sentences" to analogize the formal languages with the natural languages.
  - In this course, we mostly use strings!
- 5. Like sets, we have both "finite" and "infinite" languages.
  - This is our first categorization of formal languages.





## **Formal Languages Exercises**



## **Example 19**

Given the following languages by set-builder over  $\Sigma = \{a, b\}$ .

Represent them by using roster method (enumerate the strings):



This is our celebrity language!

2. 
$$L_2 = \{a^nb^{2n} : n \ge 0\}$$

3. 
$$L_3 = \{a^{n+2}b^n : n \ge 0\}$$



① 4. 
$$L_4 = \{a^nb^m : n \ge 0, m \ge 0\}$$

## References

- Linz, Peter, "An Introduction to Formal Languages and Automata, 5<sup>th</sup> ed.," Jones & Bartlett Learning, LLC, Canada, 2012
- Kenneth H. Rosen, "Discrete Mathematics and Its Applications, 7<sup>th</sup> ed.," McGraw Hill, New York, United States, 2012
- Michael Sipser, "Introduction to the Theory of Computation, 3<sup>rd</sup> ed.," CENGAGE Learning, United States, 2013 ISBN-13: 978-1133187790