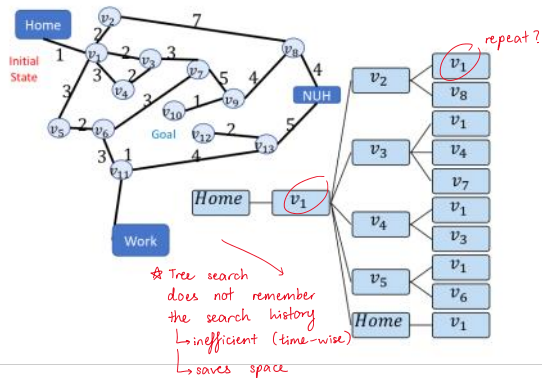


Tree Search



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BFS

Algorithm 2 Breadth First Search: FindPathToGoal(u)

```

1:  $F(\text{Frontier}) \leftarrow \text{Queue}(u)$ 
2:  $E(\text{Explored}) \leftarrow \{u\}$ 
3: while  $F$  is not empty do
4:    $u \leftarrow F.\text{pop}()$ 
5:   for all children  $v$  of  $u$  do
6:     if GoalTest( $v$ ) then return path( $v$ )
7:     else
8:       if  $v$  not in  $E$  then
9:          $E.\text{add}(v)$ 
10:         $F.\text{push}(v)$ 
11: return Failure
    
```

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Properties of BFS

Property	
Complete?	Yes
Time	$O(b) + O(b^2) + \dots + O(b^d) = O(b^d)$
Space	$O(b^d)$
Optimal	

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Depth-First Search

Algorithm 5 Depth First Search(DFS): FindPathToGoal(u)

```

1:  $F(\text{Frontier}) \leftarrow \text{Stack}(u)$ 
2:  $E(\text{Explored}) \leftarrow \{\}$ 
3: while  $F$  is not empty do
4:    $u \leftarrow F.\text{peek}()$ 
5:   if GoalTest( $u$ ) then
6:     return path( $u$ )
7:   if HasUnvisitedChildren( $u$ ) then
8:     for all children  $v$  of  $u$  do
9:       if  $v$  not in  $E$  then
10:         $F.\text{push}(v)$ 
11:         $E.\text{add}(v)$ 
12:   else
13:      $F.\text{pop}()$ 
14:      $E.\text{add}(u)$ 
15: return Failure

```

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Depth-First Search

Property	
Complete?	No on infinite depth graphs
Optimal	No
Time	$\mathcal{O}(b^m)$
Space	$\mathcal{O}(bm)$

When checking a node v , we push at most b descendants to stack.

We do so at most m times $\Rightarrow \mathcal{O}(bm)$ space.

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Uniform Cost Search

Algorithm 4 Uniform Cost Search(UCS): FindPathToGoal(u)

```

1:  $F(\text{Frontier}) \leftarrow \text{PriorityQueue}(u)$ 
2:  $E(\text{Explored}) \leftarrow \{u\}$ 
3:  $\hat{g}[u] \leftarrow 0$ 
4: while  $F$  is not empty do
5:    $u \leftarrow F.\text{pop}()$ 
6:   if GoalTest( $u$ ) then
7:     return path( $u$ )
8:    $E.\text{add}(u)$ 
9:   for all children  $v$  of  $u$  do
10:    if  $v$  not in  $E$  then
11:      if  $v$  in  $F$  then
12:         $\hat{g}[v] = \min(\hat{g}[v], \hat{g}[u] + c(u, v))$ 
13:      else
14:         $F.\text{push}(v)$ 
15:         $\hat{g}[v] = \hat{g}[u] + c(u, v)$ 
16: return Failure

```

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UCS vs Dijkstra's

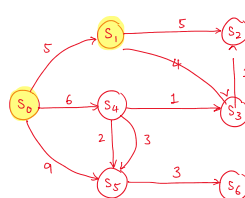
↓
 1 source,
 1 destination,
 1 shortest path

1 source,
 multiple destinations,
 shortest path to all.

→ Based on path cost

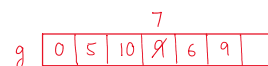
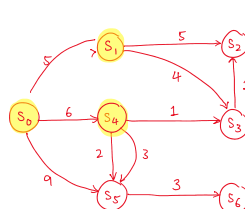
$g(u)$ = path cost to
 get to u from
 source

1)



$F: 1 \quad 4 \quad 5 \quad 3 \quad 2$
 ← pop

2)



$F: 4 \quad 5 \quad 3 \quad 4$
 ← pop
 ← move up priority

→ NOT the same as Dijkstra

Proof of Optimality

Theorem: When we pop u from F , we have found optimal path to u from the start node (say, S_0)

Notations:

- $g(u)$: Minimum distance from S_0 to u
- $\hat{g}_{pop}(u)$: The value of \hat{g} when u is popped

Formally, we want to prove $\hat{g}_{pop}(u) = g(u)$

★ NOT the same as Dijkstra
 ↳ Dijkstra is too ALL nodes from root
 ↳ Uniform cost is only start to goal

Proof by induction:

- ① Assume optimal path to u :
 S_0, S_1, \dots, S_k, u
- ② Base case:
 $g_{pop}(S_0) = g(S_0) = 0$
- ③ Assume for S_0 to S_k
 $g_{pop}(S_k) = g(S_k)$
- ④ $g(S_1) \leq g(S_2) \leq \dots \leq g(u)$ → ★ $\epsilon \geq 0$
- ⑤ $g_{pop}(u) \geq g(u)$
 ↳ cannot be less

After popping S_k ,

$$\begin{aligned} g(u) &= \min(\hat{g}(u), g_{pop}(S_k) + c(S_k, u)) \\ &= g(S_k) + c(S_k, u) \end{aligned}$$

no other path will produce a better $g(u)$
 ↳ u eventually popped

Uniform Cost Search

Property	
Complete?	Yes (if all step costs are $\geq \epsilon$)
Optimal	Yes (shortest path nodes expanded first)
Time	$O(b^{1+\lceil \frac{C^*}{\epsilon} \rceil})$ where C^* is the optimal cost.
Space	$O(b^{1+\lceil \frac{C^*}{\epsilon} \rceil})$

Summary

Property	BFS	UCS	DFS
Complete	Yes ¹	Yes ²	No
Optimal	No ³	Yes	No
Time	$O(b^d)$	$O(b^{1+\lceil \frac{C^*}{\epsilon} \rceil})$	$O(b^m)$
Space	$O(b^d)$	$O(b^{1+\lceil \frac{C^*}{\epsilon} \rceil})$	$O(bm)$

1. if b is finite.
2. if b is finite and step cost $\geq \epsilon$

A* Search

Algorithm 6 A* Algorithm: FindPathToGoal(u)

```

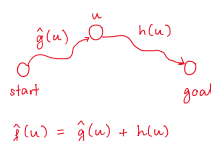
1:  $F(\text{Frontier}) \leftarrow \text{PriorityQueue}(u)$ 
2:  $E(\text{Explored}) \leftarrow \{u\}$ 
3:  $\hat{g}[u] \leftarrow 0$ 
4: while  $F$  is not empty do
5:    $u \leftarrow F.\text{pop}()$ 
6:   if GoalTest( $u$ ) then
7:     return path( $u$ ) → ★ optimal
8:    $E.\text{add}(u)$ 
9:   for all children  $v$  of  $u$  do
10:    if  $v$  not in  $E$  then
11:      if  $v$  in  $F$  then
12:         $\hat{g}[v] = \min(\hat{g}[v], \hat{g}[u] + c(u, v))$ 
13:         $\hat{f}[v] = \hat{h}[v] + \hat{g}[v]$ 

```

↳ it should be implemented with \hat{f} minimum

using $\hat{f}(u)$

$g(u) \rightarrow$ min path cost
 $\hat{g}(u) \rightarrow$ path cost so far
 $h(u) \rightarrow$ estimated cost from u to goal (heuristic function)
 $\hat{f}(u) \rightarrow$ evaluation function = $\hat{g}(u) + h(u)$
 $f(u) \rightarrow$ optimal cost = $g(u) + h(u)$



```

8: E.add(u)
9: for all children v of u do
10:   if v not in E then
11:     if v in F then
12:        $\hat{g}[v] = \min(\hat{g}[v], \hat{g}[u] + c(u, v))$ 
13:        $f[v] = h[v] + \hat{g}[v]$ 
14:     else
15:       F.push(v)
16:        $\hat{g}[v] = \hat{g}[u] + c(u, v)$ 
17:        $f[v] = h[v] + \hat{g}[v]$ 
18: return Failure

```

Handwritten notes:
 - $f(u)$ must be updated when $\hat{g}(u)$ is updated.
 - $f[v] = h[v] + \hat{g}[v]$

A* Search

What property of h would ensure that A* is optimal?

Thm A* with graph-search and consistent h is optimal.

What property of $h(u)$, and hence, $\hat{f}(u)$ would make the algorithm optimal?

Refer to UCS \rightarrow nodes along optimal path get popped in the right order

$$g_{pop}(s_0) \leq g_{pop}(s_1) \leq \dots \leq g_{pop}(u)$$

$$\hat{g}_{pop}(s_i) = g(s_i)$$

$$g(s_0) \leq g(s_1) \leq \dots \leq g(u)$$

A* uses $\hat{f}(u) \rightarrow$ prove $\hat{f}_{pop}(s_i) = f(s_i)$ $\textcircled{Q2}$

$$\hat{f}(s_i) \leq \hat{f}(s_{i+1}) \rightarrow \text{if true, prove by Induction } \textcircled{Q3}$$

$$\Leftrightarrow g(s_i) + h(s_i) \leq g(s_{i+1}) + h(s_{i+1})$$

$$\Leftrightarrow h(s_i) \leq g(s_{i+1}) - g(s_i) + h(s_{i+1})$$

$$c(s_i, s_{i+1}) \rightarrow \text{Consistency}$$

 logically equivalent

Q4:

$$h(goal) \geq 0$$

$$h(s_0) \leq c(s_0, s_1) + h(s_1)$$

$$\leq c(s_0, s_1) + c(s_1, s_2) + \dots + c(s_k, u) + h(u)$$

$$\leq \text{path cost } s_0 \text{ to } u$$

$$\rightarrow \text{Admissibility}$$

Consistency & $h(goal) \geq 0 \Rightarrow$ Admissibility $\rightarrow A^* \Rightarrow C$

\rightarrow A* OPTIMAL UNDER THESE SPECIFIC CONDITIONS

Tree search variant
 no record of explored
 \rightarrow still optimal with ADMISSIBILITY (Tutorial 4)

Heuristic Functions

Consistent

$$\forall n, n' \quad h(n) \leq h(n') + c(n, n')$$

 where, n' is successor of n
 \rightarrow Triangle Inequality

Admissible

$$\forall n \quad h(n) \leq h^*(n)$$

 where $h^*(n) = g(goal) - g(n) :=$ True cost to reach the goal.
 \rightarrow path cost from n to goal

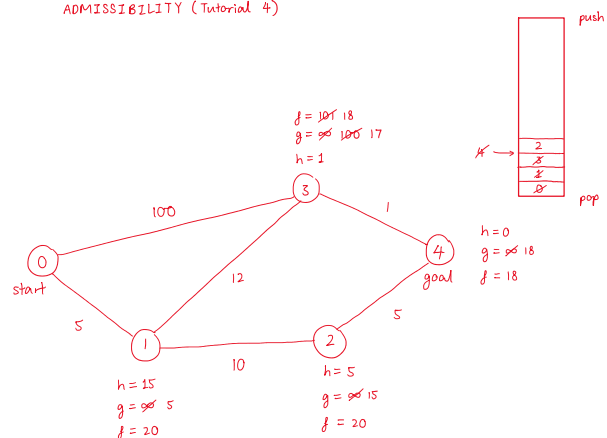
The Power of Admissibility

Observation:

if $h(goal) \geq 0$, then consistency \Rightarrow admissibility

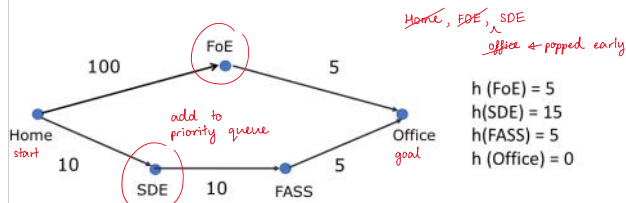
Theorem:

A* search with Tree-Search is optimal for admissible heuristics.



Greedy Best First Search

- What if we have priority queue based on h instead of f ?
- Would this be optimal? No. \rightarrow not consistent



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Hill Climbing

Algorithm 1 HillClimbStep(s)

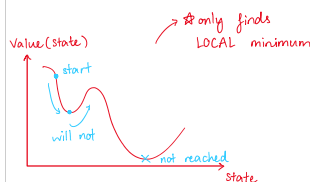
```

1:  $\text{minVal} \leftarrow \text{val}(s)$ 
2:  $\text{minState} \leftarrow \{s\}$ 
3: for each  $u$  in  $N(s)$  do
4:   if  $\text{val}(u) < \text{minVal}$  then
5:      $\text{minVal} = \text{val}(u)$ 
6:      $\text{minState} = u$ 
7: return  $\text{minState}$ 

```

Handwritten notes:

- keep moving to a state where $\text{Val}(\text{next}) < \text{Val}(\text{current})$



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Simulated Annealing

- Condensed matter physics
 - Study of materials at low temperatures.
- Spin Glass models
 - Atoms : have spin ± 1
 - μ_i : spin of atom i
 - $E(c)$: Energy of configuration c
 - $E(c) = e^{-\frac{\sum_{i,j} J_{ij} \mu_i \mu_j}{k_B T}}$
 - k_B : Boltzman constant
 - T : temperature
 - Probability of going from state c_1 to c_2
 - $\Pr[c_1 \rightarrow c_2] \propto e^{-\frac{E(c_1) - E(c_2)}{k_B T}}$

- How do we reach the lowest energy state?
 - Material scientists : have a "cooling schedule"
 - Cooling schedule : "first have the high temperature and then slowly decrease the temperature"
- Handwritten notes:
- How to ensure reaching to lowest energy state?
 - $\Pr(c_1 \rightarrow c_2) \approx \frac{1}{N}$
 - $T = 1$, probability depends on $E(c_1) \rightarrow E(c_2)$
 - When $E(c_1) > E(c_2)$, probability \uparrow .
 - When $E(c_1) < E(c_2)$, probability \downarrow but never 0
 - generally goes to a better state
 - but small chance of making mistakes

How do we implement this algorithmically?
Kirkpatrick, Gelatt and Veechi (1983)
Replace $E(c) \rightarrow \text{val}(c)$

SimulatedAnnealing(initialState)

```

1:  $C \leftarrow \text{initialState}$ 
2: for  $t = 0$  to  $\infty$  do
3:    $C' \leftarrow \text{PICKRANDOMNEIGHBOUR}(C)$ 
4:    $T \leftarrow \text{SCHEDULE}(t)$ 
5:   if  $\text{val}(C') = 0$  then
6:     return  $C'$ 
7:   if  $\text{val}(C') < \text{val}(C)$  then
8:      $C \leftarrow C'$ 
9:   else
10:     $C \leftarrow C'$  with Probability  $\propto \exp\left\{-\frac{\text{val}(C') - \text{val}(C)}{k_B T}\right\}$ 

```

Handwritten notes:

- what are the neighbours?
- valid actions
- lowest value = solution
- mistake
- can change according to the algo

- We terminate when $\text{val}(c) = 0$, but for some cases, the $\text{val}(\text{goal})$ may not be defined.
 - Optimization problems seek to minimize $\text{val}(c)$
 - Schedule can be modified according to application

Simulated Annealing

Simulated Annealing

How do we implement this algorithmically?
Kirkpatrick, Gelatt and Veechi (1983)
Replace $E(c) \rightarrow val(c)$

SimulatedAnnealing(initialState)

```

1:  $C \leftarrow initialState$ 
2: for  $t = 0$  to  $\infty$  do
3:    $C' \leftarrow PICKRANDOMNEIGHBOUR(C)$ 
4:    $T \leftarrow SCHEDULE(t)$ 
5:   if  $val(C') = 0$  then
6:     return  $C'$ 
7:   if  $val(C') < val(C)$  then
8:      $C \leftarrow C'$ 
9:   else
10:     $C \leftarrow C'$  with Probability  $\propto \exp \left\{ -\frac{val(C') - val(C)}{T} \right\}$ 

```

- We terminate when $val(c) = 0$, but for some cases, the $val(goal)$ may not be defined.
 - Optimization problems seek to minimize $val(c)$
 - Schedule can be modified according to application

Constraint Satisfaction Problem (CSP)

- A CSP comprises of three components:

- Set of Variables $X = \{x_1, x_2, \dots, x_n\}$
- Set of domains corresponding to each variable:
 $D : \{D_{x_1}, D_{x_2}, \dots, D_{x_n}\}$ where $D_{x_i} = domain(x_i)$
- Set of constraints

psn of queen in that col

	x_1	x_2	x_3	x_4
1				
2				
3				
4				

- Find the value for each of the variable in its domain that satisfies all the constraints.

Backtracking Algorithm (Attempt II)

Before assigning value, checks if it is consistent with the previous assignments.

BACKTRACKINGSEARCH(prob, assign)

```

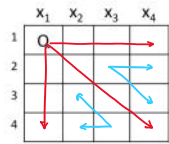
1: if ALLVARASSIGNED(prob, assign) then return assign
2: var ← PICKUNASSIGNEDVAR(prob, assign)
3: for value in ORDERDOMAINVALUE(var, prob, assign) do
4:   if VALISCONSISTENTWITHASSIGNMENT(value, assign) then
5:     assign ← assign ∪ (var = value)
6:     result ← BACKTRACKINGSEARCH(prob, assign)
7:     if result ≠ failure then return result
8:   assign ← assign \ (var = value)
9: return failure

```

set minus

Backtracking Algorithm with Inference

- Assign value to x_i and **infer** the restrictions on rest of the variables



$x_2 \neq 1, 2$
 $x_3 \neq 1, 3$
 $x_4 \neq 1, 4$

If $x_3 = 2$,
 $x_4 \neq 2, 3 \leftarrow$ no solution!
 If $x_3 = 4$,
 $x_2 \neq 3, 4 \leftarrow$ no solution!

$x_3 \neq 1, 2, 3, 4$
 $\rightarrow x_3 \neq 1$

* inference takes a long time
 \rightarrow balance assign & check w/ inferring

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Backtracking Algorithm with Inference

BacktrackingSearch_with_Inference($prob, assign$)

```

1: if ALLVARIABLESASSIGNED( $prob, assign$ ) then return  $assign$ 
2:  $var \leftarrow$  PICKUNASSIGNEDVAR( $prob, assign$ )  $x_3$ 
3: for  $value$  in ORDERDOMAINVALUE( $var, prob, assign$ ) do  $\{1, 2, 3, 4\}$ 
4:   if VALISCONSISTENTWITHASSIGNMENT( $value, assign$ ) then  $\{2, 4\}$ 
5:      $assign \leftarrow assign \cup \{var = value\}$ 
6:      $inference \leftarrow$  INFER( $prob, var, assign$ )
7:      $assign \leftarrow assign \cup inference$ 
8:     if  $inference \neq failure$  then
9:        $result \leftarrow$  BACKTRACKINGSEARCH( $prob, assign$ )
10:      if  $result \neq failure$  then return  $result$ 
11:       $assign \leftarrow assign \setminus \{var = value\} \cup inference$ 
12: return failure
    
```

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How to Implement INFER

Data structure for Inference:

(Unordered) list of tuples of the form: $\{x \in S\}$

Data structure for assign:

(Unordered) list of tuples, where every tuples is of the form $\{x=v\}$ or $\{x \in S\}$

ComputeDomain($x, assign, inference$):

$\rightarrow \{x_2 \neq \{2, 3\}\}$
 $\rightarrow \{x_1 = 1\}$
 $\rightarrow \{x_3 \neq \{1, 2, 3, 4\}\}$

Returns S such that the effective domain of x is S

\rightarrow the spaces that x can take
 given the current assignment &
 inference

$CD(x_1, \dots, \dots) = \{1\}$
 $CD(x_2, \dots, \dots) = \{1, 4\}$

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	x_1	x_2	x_3	x_4
1	Q			
2				
3				
4				

Ideas

1. Iterate over variables: Which variables?

For which we infer *new information*

2. Iterate over constraints where the variable appears

3. We can infer $x \neq v$ from constraint $C(x,y,z)$ if there is no value that y and z can take such that $C(y,y,z)$ returns True

→ from the effective domain

Returns True = Not falsified = Not violated

Returns False = falsified = Violated

x_1
 NoAttack(x_1, x_2):
 $x_2 \notin \{1, 2\}$
 NoAttack(x_1, x_3):
 $x_3 \notin \{1, 3\}$
 NoAttack(x_1, x_4):
 $x_4 \notin \{1, 4\}$
 x_2
 NoAttack(x_2, x_4):

 NoAttack(x_2, x_3):
 $x_3 \notin \{3\}$
 x_4
 NoAttack(x_3, x_4):
 $x_3 \notin \{2\}$

INFER

```

 $x_1$ 
INFER(prob, var, assign)
1: inference ← ∅
2: varQueue ← [var]  $x_1$ 
3: while varQueue is not empty do
4:   y ← varQueue.pop()  $x_1$ 
5:   for each constraint C in prob where y ∈ Vars(C) do
6:     for all x ∈ Vars(C) \ y do { $x_2, x_3, x_4$ }
7:       S ← COMPUTEDOMAIN(x, assign, inference) {1, 2, 3, 4}
8:       for each value v in S do
9:         if no valid value exists for all var ∈ Var(C) \ x s.t. C[x ← v] is satisfied then
10:          inference ← inference ∪ {x ≠ v}  $x_2 \notin \{1, 2\}$ 
11:          T ← COMPUTEDOMAIN(x, assign, inference)
12:          if T = ∅ then return failure
13:          if S ≠ T then
14:            varQueue.add(x)
15: return inference

```

all the constraints that y appears in
 { x_2, x_3, x_4 }
 {1, 2, 3, 4}
 $x_2 \notin \{1, 2\}$
 ↳ returns failure no matter what the other vars are
 } new information
 ↳ domain smaller
 ↳ recompute

The above algorithm is conceptually identical to AC-3 algorithm

The order of constraints in line 5 only impacts runtime efficiency but not the final answer

Forward Checking

```

INFER(prob, var, assign)
1: inference ← ∅
2: varQueue ← [var]
3: while varQueue is not empty do
4:   y ← varQueue.pop()
5:   for each constraint C in prob where y ∈ Vars(C) do
6:     for all x ∈ Vars(C) \ y do
7:       S ← COMPUTEDOMAIN(x, assign, inference)
8:       for each value v in S do
9:         if no valid value exists for all var ∈ Var(C) \ x s.t. C[x ← v] is satisfied then
10:          inference ← inference ∪ {x ≠ v}
11:          T ← COMPUTEDOMAIN(x, assign, inference)
12:          if T = ∅ then return failure
13:          if S ≠ T then
14:            varQueue.add(x)
15: return inference

```

only infer 1 var
 } remove

Forward Checking: Don't add variable to varQueue at each iteration.
 Remove lines 13 and 14.

INFER: Different Heuristics

```

INFER(prob, var, assign)
1: inference ← {}
2: varQueue ← [var]
3: while varQueue is not empty do
4:   y ← varQueue.pop()
5:   for each constraint C in prob where y ∈ Vars(C) do
6:     for all x ∈ Vars(C) \ y do
7:       S ← COMPUTEDOMAIN(x, assign, inference)
8:       for each value v in S do
9:         if no valid value exists for all var ∈ Vars(C) \ x s.t. C[x ← v] is satisfied then
10:          inference ← inference ∪ {x ≠ v}
11:       T ← COMPUTEDOMAIN(x, assign, inference)
12:       if T = {} then return failure
13:       if |T| = 1 then → and |S| ≠ |T|
14:         varQueue.add(x)
15: return inference
    
```

- Find inference for variables that have only **ONE valid value** in the domain, i.e., $|T| = 1$
- Can be set to any value, depending on the complexity of the application:
 $|T| < 2$, $|T| \leq 3$

→ more constraints for other vars

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Backtracking Algorithm with Inference

```

BacktrackingSearch.withInference(prob, assign)
    
```

```

1: if ALLVARIABLESASSIGNED(prob, assign) then return assign
2: var ← PICKUNASSIGNEDVAR(prob, assign) → what order to pick
3: for value in ORDERDOMAINVALUE(var, prob, assign) do → what order to try
4:   if VALISCONSISTENTWITHASSIGNMENT(value, assign) then
5:     assign ← assign ∪ {var = value}
6:     inference ← INFER(prob, var, assign)
7:     assign ← assign ∪ inference
8:     if inference ≠ failure then
9:       result ← BACKTRACKINGSEARCH(prob, assign)
10:      if result ≠ failure then return result
11:      assign ← assign \ {(var = value) ∪ inference}
12: return failure
    
```

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Backtracking Algorithm with Inference: Different Heuristics

- PickUnassignedVar**
 - Minimum Remaining Value Heuristic: Choose the next unassigned variable with the **smallest domain size**
 - Can allow us to backtrack quickly
 - exhaust the possible values more easily
- OrderDomainValue**
 - Least Constraining Value Heuristic: Pick a value for a variable that **rules out the least domain values for other variables**
 - Can allow us to find a solution faster
 - more choices

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