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# Creating and Optimizing a Sky Tessellation Algorithm for Direction-Dependent Effects: Literature Review

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# 1 Introduction

## 2 Radio Astronomy

### 2.1 Radio Telescopes

#### 2.1.1 Radio Telescope Design

The most common design for radio telescopes is that of the parabolic reflector antenna. The design is a large parabolic dish with a sub-reflector at the parabola's focal point channeling the input into the feed horn at the center of the dish, a diagram of this can be seen in Figure 1. While it is possible to have a single antenna as a telescope for radio astronomy, in order for them to produce meaningful results, the antenna need to be extremely large (diameter of +70m) which in most cases can be structurally infeasible especially if the antenna is made to be steerable. Instead, a series of smaller ( $8 \sim 30\text{m}$ ) antenna are used collectively in an array to produce a more accurate signal detection. These arrays do so through radio interferometry (Cheng, 2009).

Figure 1: Parabolic Reflector Antenna Design (Britannica Online for Kids, n.d.)

#### 2.1.2 Radio Interferometry

Radio Interferometry uses an array of antennas to detect and measure objects emitting radiation in the radio-wave frequencies. Radio waves are defined as electromagnetic radiation with wavelengths of the order of  $10^{-3}$  to  $10^5$  meters (Cheng, 2009). The interferometers finds the source of these waves by detecting correlations in the parallel ray signal transmitted by the radiating source (source) and collected by multiple antennas in order to determine the delay as well as the amplitude and frequency of the source to calculate the position, size and intensity of the source (Thompson *et al.*, 2008).

#### 2.1.3 Radio Telescope Mounts

The choice of mount used for a radio telescope plays a large roll in how well the telescope is able to track an object. The two main models used are the Altazimuth and Equatorial mounts. Altazimuth mounts rotate on two independent axis, giving it a large range of motion. The Equatorial mount has one axis which is fixed to be parallel to the equator, this allows the antenna to simply move across the sky in one direction to track an object. The equatorial mount also follows the natural rotation of the sky as it passes to obtain less distortion (discussed in Section 2.2.3) than an Altazimuth mount. Altazimuth mounts are still more common as they are relatively cheaper and easier to build than an Equatorial mount (Thompson *et al.*, 2008).

### 2.2 Image Capturing and Processing

#### 2.2.1 Aperture Synthesis

The electromagnetic radiation collected by the antenna is correlated into voltage differences. The data is collected and stored over some hours and the resulting correlations in the data taken in by each antenna in the telescope is Fourier transformed from the frequency domain to that of the spatial domain, to give a two dimensional image (Sault & Wieringa, 1994).

#### 2.2.2 The Primary Beam

The primary beam is a mathematical function that describes the sensitivity pattern of an antenna. Naturally the beam is most sensitive in the center of the direction in which the antenna is facing, with fringes of sensitivity radiating out as can be seen in Figure 2a. The circular sensitivity present in Figure 2a can be seen affecting the uncorrected image present in Figure 2b (Thompson *et al.*, 2008).

(a) Primary Beam Focus Pattern

(b) Uncorrected Image produced through Radio Interferometry

### 2.2.3 Errors and Error Correcting

As with any real-world data input, the image capturing process of radio interferometry is subject to errors. These errors can be classified as arising from two main groups, namely Direction-independent (DI) and direction-dependent (DD) effects (Smirnov, 2011). DI-effects are due to differences in the top layer of the atmosphere distorting the signal. This is also known as the complex gain and can be easily corrected for. The DD-effects in particular arise from distortions due to interference from the ionosphere and deviations of the primary beam from the sky rotation model (due to Altazimuth mounts discussed in Section 2.1.3). This distortion,  $D$ , can be corrected, but only relative to a chosen point,  $\xi$ . The correction at  $\xi$  is almost perfect, but as the correction drifts further from  $\xi$ , it introduces an error which propagates away from  $\xi$ , this error,  $E_i$  at  $\mathbf{x}_i$  is dependent on the intensity at the point,  $I_i$ , and the distortion at the point relative to  $\xi$ ,  $D(\mathbf{x}_i, \xi)$ . It can therefore be seen that to minimize this error, every point can be made a correction seed and the image can be broken up by these points and reassembled to form an image with little to no error. However, this is computationally ineffective as there are hundreds of sources per image and also due to the fact that the image is sparsely populated. We therefore seek a method which optimally compromises computational feasibility and error reduction (Smirnov & Tasse, 2015).

## 2.3 Related Work: Naive Method

The most basic compromise is dividing the image evenly into a grid of smaller images and correcting for these from either the center of the sub-image, the point with the strongest source or the “center of mass” (average location of points) of all the points in the sub-image, either weighted by intensity or not. The problem with this method lies in the fact that either the sub-image is void and has no definite points, if  $\xi$  is set at the center, it could be far from every other point and has no substantial effect on reducing the overall error or if  $\xi$  is set at the strongest source or the center of mass, it could lie too close to the boundary of the sub-image and, again, have no overall impact on error reduction (Tasse, 2016). An example can be seen in Figure 3.

Figure 3: Figure 2b corrected on a  $23 \times 23$  grid.

## 3 Models and Algorithms

### 3.1 Voronoi Tessellations

A Voronoi Diagram is a partitioning of a space  $S$  by a set of points. Given  $n$  points (seed points) the the space,  $P = \{p_0, p_2, \dots, p_{n-1}\}$ ,  $P \subset S$ , is partitioned into  $n$  regions, known as Voronoi Regions or Voronoi Cell, where every point,  $s \in S_i$ ,  $0 \leq i \leq n-1$  in a region,  $S_i \subset S$ , is closest to a single seed point,  $p_i \in P$ , in terms of the space's distance measurement operation,  $d$  (Okabe & Chiu (2009)). An example of a Voronoi Diagram can be seen in Figure 4.

#### 3.1.1 Weighted Voronoi Tessellations

The basic form of the Voronoi tessellation has the seed points as being indistinguishable from one another, other than their different positions in the space. An extension of the tessellation is to break this rule and to have the seeds have some bias or weighting associated with them. These weightings can represent a property of the data, for example, in terms of radio interferometry, they can represent the intensity of each source detected. This weighting can affect  $d$  in different ways, depending on how the weighting is accounted for, this is know as the “weighted distance”. Some of these methods, as stated in Okabe & Chiu (2009) include multiplicative, additive, compound and power Voronoi diagrams. These diagrams have distance operators described as  $d_M$ ,  $d_A$ ,  $d_C$ ,  $d_P$  respectively.

$$\begin{aligned} d_M(s, p_i) &= \frac{1}{w_i}d \\ d_A(s, p_i) &= d - w_i \\ d_C(s, p_i) &= \frac{1}{w_{i1}}d - w_{i2} \\ d_P(s, p_i) &= d^2 - w_i \end{aligned} \tag{1}$$

Problems arise in attempting to compute the tessellations for the multiplicative, additive and compound Voronoi diagrams as the edges of these diagrams, could potentially be curved by circular arc ( $d_M, d_C$ ), a hyperbolic arc ( $d_A, d_C$ ) or a fourth order polynomial arc ( $d_C$ ) (Okabe & Chiu, 2009). This leaves the power diagram as the only Voronoi diagram which enforces that the edges be straight lines and the resulting tessellation be a convex polygon, similar to the standard Euclidean Voronoi diagram. For the power diagram, if the weighting is equal for all points, the resulting diagram is the same as that of a standard Euclidean Voronoi diagram. It is possible in the power diagram, that a seed point will not be contained within its own associated Voronoi polygon. This occurs when two seed points ( $p_i, p_j \in P$ ,  $w_i < w_j$ ,  $i \neq j$ ) are close enough together such that the weighted bisector, defined by

$$b(p_i, p_j) = \frac{1}{2}(\|\mathbf{x}_i\|^2 - \|\mathbf{x}_j\|^2 + w_i - w_j) \quad \mathbf{x}_i = (x_i, y_i) \tag{2}$$

does not lie on the line segment  $p_i p_j$ . When this occurs,  $p_i$  lies in the region of  $V_j$ . If the difference in weighting between  $p_i$  and  $p_j$  is great enough and the distance between them small enough, then the points in  $V_i$  may be an empty set. It is worth noting that Power Diagrams are also referred to as General Voronoi Diagrams (Aurenhammer, 1987).

Figure 4: Voronoi Diagram(Austin (n.d.))

### 3.2 Voronoi Tessellation Generation Algorithms

Although Voronoi Tessellations extend to multiple dimensions, for the sake of these algorithms we will only discuss those in a two dimensional plane.

### 3.2.1 Incremental Algorithm

The most simplistic of the generation algorithms, the Incremental is an iterative algorithm as described by Green & Sibson (1978) and Okabe & Chiu (2009).

1. Starting from  $i = 0$  and an empty plane
2. A seed point,  $p_i$  is placed into the plane.
3. The nearest neighboring seed point  $p_f = p_{nn}$  is found
4. A perpendicular bisector is drawn between  $p_i$  and  $p_f$  (if it exists).
5. The bisecting line is followed in both directions until it intercepts an existing edge or the plane's boundary on both ends.
6. A new edge is defined by this segment of the bisector as part of both  $p_i$  and  $p_f$ .
7. The seed point of the polygon that shares the found edge clockwise to  $p_f$  (anticlockwise to  $p_i$ ) is then set to  $p_f$ .
8. Continue from step 4 until  $p_f = p_{nn}$  again.
9. Set  $i = i + 1$  and repeat from step 2 until  $i = n$

In it's most naive form, this algorithm achieves an efficiency of  $O(n^2)$ .

### 3.2.2 Divide and Conquer Algorithm

The Divide and Conquer algorithm was first proposed by Shamos & Hoey (1975) and also described in Okabe & Chiu (2009). It is a recursive algorithm which improves on the Incremental algorithm by having a construction time of  $O(n \log n)$ .

1. If the contains only one point, return it with the entire plane as it's Voronoi region.
2. Divide the space,  $S$  containing the set of seed points,  $P$  with  $n$  points, into two subspaces,  $S_L$  and  $S_R$ , such that  $S_L$  and  $S_R$  contain  $n/2$  seed points and every seed point of  $P_L$  lies to the left of every seed point of  $P_R$  (this is made easier if  $P$  is ordered).
3. Recursively compute the Voronoi tessellations for  $P_L$  in  $S_L$  and  $P_R$  in  $S_R$ ;  $V_L$  and  $V_R$  respectively.
4. A polygonal line,  $Q$ , must now be found such that  $Q$  merges  $V_L$  and  $V_R$  into a single Voronoi tessellation,  $V$ :
  - (a) Starting with the polygon of  $V_R$  which contains the top-left corner of  $S_R$ ,  $p_R$  and the polygon of  $V_L$  which contains the top-right corner of  $S_L$ ,  $p_L$ . Since  $p_L$  must lie to the left of  $p_R$ , they must overlap when  $V_L$  and  $V_R$  are extended into  $S$ .
  - (b) A perpendicular bisector is drawn between  $p_L$  and  $p_R$  and segmented between its two closest edge intercepts from the shortest distance between  $p_L$  and  $p_R$  and add this segment to  $Q$ .
  - (c) If the lower intercepting edge of the is in  $V_R$  then  $p_R$  is set to the seed point polygon which shares this edge and similarly if the edge is in  $V_L$ .
  - (d) Continue from step 4b until the bottom of  $S$  is reached.
5. Remove all line segments of  $V_L$  to the right of  $Q$  and all those of  $V_R$  to the left of  $Q$  to form  $V$ .
6. Return  $V$  recursively until the full Voronoi tessellation is complete.

Part of achieving this efficiency is assuming  $P$  is co-lexicographically ordered, meaning for all  $p_i, p_j \in P$ ,  $0 \leq i < j < n$ ;  $x_i > x_j$  or ( $x_i = x_j$  and  $y_i > y_j$ ). This speeds up the partitioning of  $P$  into  $P_R$  and  $P_L$  at each level of recursion.

### 3.2.3 Fortune's Algorithm (Sweep-Line Method)

Fortune (1987) describes an algorithm where the tessellations are found by a line “sweeping” over the space and solving the problem at each step of the sweep. This can be problematic for Voronoi tessellations as the line may intercept the Voronoi Region of a seed point before it intercepts the point. Therefore the Voronoi Tessellation is not computed directly, but through a geometric transform. The transform  $\phi(x(s), y(s))$  works such that for any point,  $s \in S$  with coordinates  $(x(s), y(s))$ ,

$$\phi(x(s), y(s)) = (x(s) + r(s), y(s)) \quad (3)$$

Where  $r_i(s)$  is defined as the distance to the seed point  $p_i \in P$  and  $r(s) = \min\{r_i(s) | 1 \leq i \leq n-1\}$ , is the distance to the closest seed point to  $s$ . This transform can then easily be reversed to re-obtain  $S$  and its set of Voronoi tessellations. Now, for the transform of  $S$ ,  $\phi(S)$  denoted by  $\Phi$ , the left-most point of each Voronoi Region is its seed point (except the left-most seed point), this is essential for the algorithm. It is important to note that the perpendicular bisectors of seed points in  $S$ , through the transform, become hyperbolas in  $\Phi$  (provided they are not horizontal in  $S$ ). For  $p_i, p_j \in P$ , the hyperbola is denoted as  $h_{ij}$  which can be split into  $h_{ij}^+$  and  $h_{ij}^-$  as the upper and lower half-hyperbolas about the left-most point respectively. Set  $Q$  is denoted as the set of all event points in the algorithm.  $Q$  is initially populated with the seed points (in co-lexicographical order) but the edge interceptions will be added as they are found. The algorithm, as described by Okabe & Chiu (2009) goes as follows:

1. Add  $P$  to  $Q$ .
2. Choose and delete the leftmost seed point,  $p_i$  from  $Q$
3. Create a list,  $L$  containing the transformed Voronoi region of  $p_i$ ,  $\phi(V_i)$ .
4. While  $Q$  is not empty do the following:
  - (a) Choose and delete the leftmost element,  $w$  of  $Q$ .
  - (b) If  $w$  is a seed point:
    - i. Set  $p_i = w$ .
    - ii. Find the region,  $\phi(V_j)$ , containing  $p_i$ .
    - iii. Replace  $\phi(V_j)$  in  $L$  with  $(\phi(V_j), h_{ij}^-, \phi(V_i), h_{ij}^+, \phi(V_j))$
    - iv. The half-hyperbola intercept(s) with any other hyperbolas are found, if they exist, and are appended to the front of  $Q$ .
    - v. Repeat from 4.
  - (c) If  $w$  is a half-edge:
    - i. Set  $\phi(q_t) = w$  where  $\phi(q_t)$  is the intercept of  $h_{ij}^\pm$  and  $h_{jk}^\pm$ .
    - ii. Replace all sequences of the form  $(h_{ij}^\pm, \phi(V_j), h_{jk}^\pm)$  on  $L$  with  $h = h_{ik}^+$  or  $h = h_{ik}^-$  appropriately.
    - iii. Remove from  $Q$  any intersections of  $h_{ij}^\pm$  and  $h_{jk}^\pm$  with other half-hyperbolas.
    - iv. Move any intersections of  $h$  in  $L$  to  $Q$
    - v. Mark  $\phi(q_t)$  as a Voronoi vertex incident to  $h_{ij}^\pm$ ,  $h_{jk}^\pm$  and  $h$ .
    - vi. Repeat from 4.
5. Return the half-hyperbolas on  $L$ , the set of marked intersections from step 4(c)v and the relations among them.

## 3.3 Clustering Algorithms

It may be the case that the number of potential seed points in a space,  $N_p = ||P||$ , is much larger than the optimal number of facets,  $N_v$ . In these cases it would reduce the overall computation time drastically if the  $N_p$  points were grouped into  $N_v$  clusters. From each of these clusters, a point is then chosen as a seed point to be used to find the corresponding Voronoi Tessellation. Some key examples of such clustering algorithms are described in this subsection.

### 3.3.1 K-Means Algorithm

k-means clustering is an iterative process where an initial guess at the center of a cluster,  $c$ , is guessed at and improved with each iteration. It is named as such because it seeks to separate  $n$  objects into  $k$  cluster where, for each object in a cluster ( $o^i \in C_i, i \in \mathbb{R}, 0 \leq i < k-1$ ) the mean point of that cluster,  $c_i$ , is closer to it than any other mean point and the  $c_i$  is representative of the average value of all points,  $\frac{1}{m} \sum_{j=1}^m o_j^i$ , in  $C_i$ . Way *et al.* (2012) describes the algorithm as follows

1. Randomly choose  $k$  mean points ( $c_0, \dots, c_{k-1}$ )
2. Assign each  $c_i$  an empty object set,  $C_i$ .
3. Iterate through all the objects in the space ( $o_0, \dots, o_{n-1}$ ) and assign it to the object set of the mean point closest to it.
4. Set all  $c_i$  to be the average of all points in their respective  $C_i$ .
5. If the sum of the changes in  $c_i$ ,  $\sum_0^{k-1} \Delta c_i$ , is greater than some given tolerance,  $\epsilon$ , then repeat from Step 2, else return the set of means (and their object sets if necessary).

One obvious problem with this problem is the number of iterations can be unpredictable, this is addressed by having the sum of changes only converge to  $\epsilon$ , instead of complete convergence. With large data sets and large  $k$ -values where the mean points converge in smaller steps with every iteration, this can help drastically reduce the runtime of the algorithm.

## 3.4 Related Work

### 3.4.1 Standard Voronoi Faceting

In Tasse (2014), Smirnov & Tasse (2015) and van Weeren *et al.* (2016), a series of observed or simulated extragalactic points are clustered into facets using a Voronoi tessellation algorithm, the seed points for these facets are set as the brightest points in each facet. Another example of this can be seen in Figure 5 where the facets are superimposed over the source image from which they are derived.

Figure 5: Example of Voronoi Faceting to group Extragalactic points for DD-calibration



## 4 GPU Architecture and Concepts

### 4.1 Parallelism

One of the main means of optimizing processing is through parallelism. The two main forms of parallelism are task and data parallelism. Task parallelism can be seen as running multiple processes concurrently where communication between the processes is explicitly defined to avoid race conditions. Data parallelism is the distribution of a data set over a number of identical processes each of which performs operations on a unique subset of the data. Race conditions occur when parallel processing streams access data or perform operations out of the intended order, leading to errors or incorrect output being produced. A combination of task and data parallelism can lead to an ideal speed-up, but both have their limits depending on the task and the data being operated on (Subhlok & Gross (1993)).

The increased need for parallelism came about in 2005, when CPU frequency peaked at 4 GHz due to heat dissipation issues. However, Moore's Law still holds, and is still expected to hold until 2025; that is, that the number of transistors for a computer will double every two years. This leads to a problem where the speed at which an operation is done cannot be increased (due to the frequency limit), but the number of concurrent operations can still increase. This means that the only way to speed up an operation is to change it from a sequential to a parallel process (Rajan (2013)).

### 4.2 GPU Optimization

Graphical Processing Units (GPUs) were originally designed for rendering pixels and vectors in games. They were especially designed for this since CPU's are optimized to run sequential instructions as fast as possible; whereas pixel and vector calculations are inherently parallel. With NVIDIA's release of CUDA in 2006, general purpose GPU (GPGPU) programming became common place as a way to accelerate data processing through data parallelism and task parallelism through the simultaneous execution of similar tasks (NVIDIA (n.d.a)).

The power of GPUs comes from its architecture which is optimized for a special case of SIMD (Single Instruction Multiple Data) processing known as SIMT (Single Instruction Multiple Threads). SIMD allows a central processor to distribute a set of instructions to multiple simple processors which then act on the data simultaneously. SIMT is more generalized as each warp of the GPU can perform different tasks given the same set of instructions. This is due to the way in which the GPU handles branching at the thread level. By exploiting these processes, and this instructional architecture, some instructions can be computed faster than a CPU (Vuduc & Choi (2013)).

### 4.3 The NVIDIA GeForce GTX 750 Ti

#### 4.3.1 GM107 Maxwell Architecture

The NVIDIA GeForce GTX 750 Ti GPU was released on the 18th of February 2014. It boasts 640 CUDA cores, 1020 MHz base clock speed, 1305.6 GFLOPs and a memory bandwidth of 86.4 GB/sec. It is NVIDIA's first-generation Maxwell architecture, designed for high performance at relatively low power consumption (60 W) and has the codename 'GM107'. The GPU uses PCI Express 3.0 to interface with the host machine through the GigaThread engine. The first-generation Maxwell (from now simply referred to as Maxwell) is made up of one Graphics Processing Cluster (GPC) on which the processing occurs. It also contains a large L2 cache at 2048 KB and two 64-bit memory controllers to access the 2048 MB global memory. This design can be seen in Figure 6 (NVIDIA (n.d.c), NVIDIA (n.d.d), NVIDIA (n.d.e)).

### 4.3.2 Streaming Multiprocessors

The GPC is further broken down into five streaming multiprocessors(SMs) which are further divided into four processing blocks. The processing blocks each contain an instruction buffer, a scheduler and 32 CUDA cores as seen in Figure 7 (Nathan Kirsch (n.d.)). These warps are set in a lock step, meaning each core in a warp executes the same set of commands at the same time, with different valued variable.(NVIDIA (n.d.b))

Figure 6: NVIDIA Maxwell Architecture(George Cella (n.d.))

Figure 7: Maxwell Streaming Multiprocessor(Nathan Kirsch (n.d.))

### 4.3.3 GPU Memory Hierarchy

## 4.4 CUDA

CUDA is a parallel programming language created by NVIDIA for the purpose of running on their brand of GPU's. CUDA was modeled as a C-like language with some C++ features. Its main feature is the way in which it separates CPU and GPU code. The CPU code is labeled as “host” code and the GPU's as “device” code. Device code is called by the host through a special case of a method, known as a kernel. The basic structure of a kernel is as follows:

```
kernel0<<<grid, block>>>(params);
```

In this instance `kernel0` would be the name of the kernel being called, `grid` is the three dimensional value of the number of blocks to be assigned, `block` being similar to `grid` is a three dimensional value of the number of threads needed and `params` is simply the parameters needed by the kernel to execute (similar to those of a method) (NVIDIA (n.d.b)).

### 4.4.1 Threads

The thread is the smallest processing unit of the GPU. GPU threads are designed to be cheap and lightweight compared to those of a CPU so that it can be easily created, run it's small task and be destroyed to make place for the next thread. Threads are arranged into three dimensional blocks with each thread having a unique 3 dimensional ID within that block, namely an x, y and z ID. Generally the thread ID is used as the means of determining the difference in the task process of each thread (NVIDIA (n.d.b)).

### 4.4.2 Blocks

Each block may have a maximum of 2056 threads in total and 1024 for any single dimension, hence why they are bundled into a larger, three dimensional grid structure. Similarly to threads, blocks have a unique three dimensional ID in the grid. Blocks exist such that each step of the processes execute simultaneously. This is done as, more often than not, blocks exchange data within their threads and if this precaution is not taken, race conditions could ensue to break the code. Each block, when executing, must occupy a whole number of warps (rounded up). This is done as warps are constantly in lock step. Threads within a block share a fast memory, located in the L1 cache of the streaming multiprocessor. This shared memory must be preallocated when the kernel is called as a third parameter within the kernel launch (parameters within the triple angle brackets) (NVIDIA (n.d.b)).

#### **4.4.3 CUDA Optimization**

#### **4.5 Related Works**

## 5 Summary

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## **6 Project Time-line**

### **6.1 February - March 2016**

During this time research will be done into Voronoi Diagrams and the language to be used for the sequential implementation of the algorithm. The tessellation algorithm will begin to be formulated.

### **6.2 April-May 2016**

The tessellation algorithm will be formulated to solve the problem. Research will be done on parallel processing and GPU processing. The literature review will be compiled and submitted by the end of May. Sequential implementation of the algorithm will begin.

### **6.3 June - July 2016**

Time will be dedicated to the midyear exams and coursework projects. The tessellation algorithm will be tested as a sequential algorithm. More research will be done on GPU processing and parallel implementation will begin. The last 2 weeks of July will be dedicated mainly to coursework.

### **6.4 August - September 2016**

The first 2 weeks of August will be dedicated mainly to coursework. The algorithm will be tested on a GPU for parallel processing. The algorithm will be iteratively optimised and retested both in the mathematical sense and in terms of the GPU. The final thesis write up will be compiled.

### **6.5 October 2016**

The algorithm and its implementation will be continually optimised. The thesis will be completed and submitted by the end of October.