

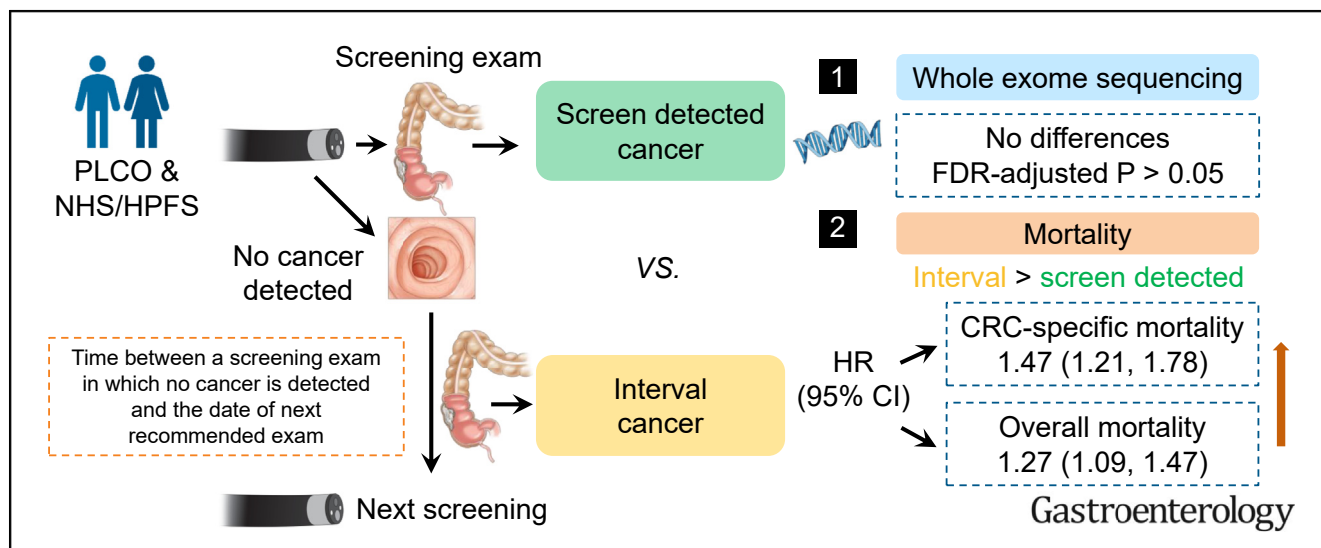
## GI CANCER

## Clinical and Genomic Characterization of Interval Colorectal Cancer in 3 Prospective Cohorts



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**BACKGROUND & AIMS:** Interval colorectal cancers (CRCs), cancers diagnosed after a screening/surveillance examination in which no cancer is detected, and before the date of next recommended examination, reflect an unprecedented challenge in CRC detection and prevention. To better understand this poorly characterized CRC variant, we examined the clinical and mutational characteristics of interval CRCs in comparison with screen detected CRCs. **METHODS:** We included 1175 CRCs documented in the Prostate, Lung, Colorectal, and

Ovarian (PLCO) cancer screening trial and 3661 CRCs in the Nurses' Health Study (NHS) and Health Professionals Follow-up Study (HPFS). Multivariable Cox models were performed to estimate hazard ratios (HRs) and 95% confidence intervals (CIs) of death risk. Whole exome sequencing was conducted in 147 PLCO cases and 796 NHS/HPFS cases. **RESULTS:** A total of 619 deaths (312 CRC-specific) and 2404 deaths (1904 CRC-specific) were confirmed during follow-up of PLCO and NHS/HPFS, respectively. Compared with screen

detected CRCs, interval CRCs had a multivariate-adjusted HR (95% CI) of 1.47 (1.21–1.78) for CRC-specific mortality and 1.27 (1.09–1.47) for overall mortality (meta-analysis combining all 3 cohorts). However, we did not observe significant differences in mutational features between interval and screen detected CRCs (false discovery rate adjusted  $P > .05$ ). **CONCLUSION:** Interval CRCs had a significantly increased risk of death compared with screen detected CRCs that were not explained by established clinical prognostic factors, including stage at diagnosis. The survival disadvantage of interval CRCs did not appear to be explained by differences in the genomic landscape of tumors characterized by whole exome sequencing.

**Keywords:** Interval Colorectal Cancer; Colonoscopy; Screening; Whole Exome Sequencing.

Colorectal cancer (CRC) incidence and mortality rates have been declining among adults older than 50 in the United States,<sup>1</sup> largely attributable to standard endoscopic screening.<sup>2</sup> However, the incidence of interval CRCs, which are defined as “CRCs diagnosed after a screening or surveillance exam in which no cancer is detected, and before the date of next recommended exam” by the World Endoscopy Organization,<sup>3</sup> has not decreased<sup>4</sup> or has been rising.<sup>5</sup> Interval CRCs are estimated to account for 5% to 6% of overall CRC incidence,<sup>6,7</sup> and reflect an unprecedented challenge in clinical detection and management of CRC because these cancers are seemingly less preventable by endoscopic screening. Therefore, understanding and preventing this poorly characterized variant of CRC is of great scientific and clinical significance.

Some interval CRCs may arise from lesions overlooked on endoscopy due to poor procedural quality.<sup>8,9</sup> Some interval CRCs may also differ biologically compared with typical CRCs, which could lead to differences in their clinical behavior. However, evidence on this topic has been limited and inconsistent.<sup>10</sup> Compared with “detected CRCs” in general, interval CRCs have been shown to have better,<sup>7</sup> worse,<sup>11,12</sup> or similar<sup>10,13–17</sup> survival. Thus far, most efforts to genomically characterize CRC, such as The Cancer Genome Atlas, have not taken into account the timing of tumor development relative to endoscopic screening nor do they distinguish tumors identified through routine endoscopic screening from those that become clinically apparent through symptoms.<sup>18</sup> A few small-scale investigations on molecular features of interval CRC only included a few molecular markers such as microsatellite instability,<sup>13</sup> DNA mismatch repair deficiency,<sup>19</sup> and CpG island methylator phenotype.<sup>20</sup> A more comprehensive annotation of the features that characterize interval CRCs remains lacking.

Therefore, we used whole exome sequencing (WES) and clinical data to compare the clinical characteristics and tumor mutational landscape of interval CRCs with screen detected CRCs within the Prostate, Lung, Colorectal and Ovarian (PLCO) cancer randomized controlled trial (RCT) of flexible sigmoidoscopy (FS) screening. We corroborated these findings in 2 large prospective cohort studies: the

## WHAT YOU NEED TO KNOW

### BACKGROUND AND CONTEXT

Interval colorectal cancers diagnosed after colonoscopy screening/surveillance are seemingly less preventable by endoscopic screening and reflect an unprecedented challenge in colorectal cancer detection and prevention. The prognosis and genomic landscape of these cancers in comparison with screen detected cancers remain unclear.

### NEW FINDINGS

Individuals with interval cancers had a significantly increased risk of death compared with those with screen detected cancers. The genomic landscape of interval cancers was not significantly different from that of screen detected cancers.

### LIMITATIONS

Although our study is the largest to date to examine molecular differences between interval and screen detected cancers using whole exome sequencing, our sample size may have been unable to detect less frequent molecular differences between these subtypes.

### IMPACT

The survival disadvantage associated with interval cancers supports the development of better tailored colonoscopic screening/surveillance strategies and more studies to characterize the mechanisms by which interval cancers may exhibit a more aggressive biological profile.

Nurses' Health Study (NHS) and the Health Professionals Follow-up Study (HPFS).

## Methods

### Study Population

**PLCO.** We used data from the multicenter, randomized PLCO Cancer Screening Trial of FS, which enrolled 154,900 men and women aged 55 to 74 years between 1993 and 2001.<sup>21,22</sup> Participants were randomized to receive FS at baseline and again at year 3 (for those who were randomized before April 1995) or at year 5 vs usual care. Abnormal findings (eg, polyp) at FS were followed up with a complete colonoscopy to the cecum. At baseline, demographic information, medical histories, and lifestyle habits were collected. The follow-up rate exceeded 95% in all participants. Each participating center's institutional

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**Abbreviations used in this paper:** CI, confidence interval; CRC, colorectal cancer; FDR, false discovery rate; FFPE, formalin-fixed paraffin-embedded; FS, flexible sigmoidoscopy; HPFS, Health Professionals Follow-up Study; HR, hazard ratio; NHS, Nurses' Health Study; PLCO, Prostate, Lung, Colorectal and Ovarian; RCT, randomized controlled trial; WES, whole exome sequencing.

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review board approved the protocol and all study participants provided written informed consent.

**NHS/HPFS.** We used data from 2 ongoing prospective US cohorts: the NHS and the HPFS. The NHS enrolled 121,700 female nurses aged 30 to 55 years in 1976 and HPFS enrolled 51,529 male health professionals aged 40 to 75 years at baseline in 1986.<sup>23,24</sup> Participants were mailed questionnaires every 2 to 4 years since baseline to collect updated data for demographics, lifestyle factors, medical histories, and disease outcomes. Endoscopy information was collected from biennial questionnaires and detailed information of the assessment of lower endoscopy has been described previously.<sup>25</sup> Briefly, in NHS, in 1990, the year of first ever and most recent lower endoscopy was queried, including endoscopy status between 1984 and 1988. In both NHS and HPFS, beginning in 1988 and continuing through 2014, participants were asked whether they had undergone either sigmoidoscopy or colonoscopy in the past 2 years and, if so, the reason for the procedure. In 2004, we additionally inquired whether participants' previously reported endoscopies were sigmoidoscopies or colonoscopies. In every cycle thereafter, responses were recorded separately for sigmoidoscopy and colonoscopy. The response rates in each cohort have been more than 90% in most follow-up questionnaire cycles. The study protocol was approved by the institutional review boards of the Brigham and Women's Hospital and Harvard T.H. Chan School of Public Health, and those of participating registries as required.

### Ascertainment of Colorectal Cancer Cases

In the PLCO trial, reports of CRCs were collected between 1993 and 2014 through various means including, but not limited to, self-reports through annually mailed study questionnaires and death certificates.<sup>21,22</sup> All incident cancers are confirmed based on medical records, and pathology reports. Details on tumor characteristics, including anatomic site, stage, and tumor histology were abstracted.

In NHS/HPFS, CRC cases were reported on biennial follow-up questionnaires between 1984 and 2014. Additional cases were identified through reporting from family members, postal authorities, or through cross-referencing of the National Death Index. All cases were further confirmed by physicians blinded to demographic and other characteristics of the patients through reviewing medical records, pathology reports, and/or cancer registry data. Information on anatomic site, histology, and stage at presentation were also ascertained during the physicians' review.<sup>25</sup>

In all cohorts, proximal cancers were defined as cancers located in the cecum through transverse colon and distal cancers were defined as cancers located in the splenic flexure through rectum.

### Identification of Screen Detected and Interval CRCs

In PLCO, we conducted a detailed analysis of the circumstances that contributed to CRCs diagnosed within the screening arm. A screening exam was considered positive if a polyp or mass was detected during the FS examination. Screen detected CRCs were defined as CRCs diagnosed on follow-up (within 12 months) of a positive FS screening. Interval CRCs were cancers appearing within 48 months in a segment of the

colon that had been previously endoscopically screened.<sup>26</sup> To ensure that our proximal interval CRCs would be similar to proximal interval CRCs that would have evaded endoscopic detection through a colonoscopy-only screening approach, we restricted our definition of proximal interval CRCs in PLCO to tumors that arose among individuals within 13 to 48 months of an endoscopy that covered the territory in which the tumor arose (eg, a proximal CRC detected after colonoscopy performed for follow-up of abnormal distal findings on FS). Therefore, the definition of interval CRCs encompassed distal CRCs (within the reach of a FS) diagnosed either between 0 and 48 months after a negative FS or between 13 and 48 months after a positive FS, as well as proximal CRCs diagnosed between 13 and 48 months after colonoscopy following a positive FS screening. CRCs diagnosed in the PLCO "usual care" (control) arm as well as CRCs diagnosed >48 months after the initial screening were classified as "Other CRCs."

In NHS/HPFS, screen detected CRCs were defined as CRCs diagnosed within the same questionnaire that the participant reported a screening endoscopy. Interval CRCs were defined as distal and proximal CRCs diagnosed 1 to 5 years after a colonoscopy and distal CRCs diagnosed after a negative FS. Patients confirmed after 5 years since last screening and patients who never underwent an endoscopy were grouped as "Other CRCs" in NHS/HPFS.<sup>25</sup>

### Ascertainment of Death

In PLCO, deaths were ascertained via annual follow-ups and periodic linkage to the National Death Index. Death certificates were the primary source of identifying date of death and the underlying causes of death. To confirm the cause of the death, an independent Death Review Committee further reviewed deaths among those diagnosed with a confirmed cancer, those whose death certificate stated they died of a cancer, and those whose death certificate was ambiguous as to whether the cause of death was a cancer.<sup>21,22</sup> If the primary cause of a death is due to CRC, it was considered as a CRC-specific death.

In NHS/HPFS, we performed systematic searches of the vital records of states and of the National Death Index. This search was supplemented by reports from family members and/or by notifications from the postal authorities when a questionnaire or newsletter mailed to a cohort member was returned. Overall, these methods were able to capture more than 98% of the deaths in NHS/HPFS.<sup>27</sup> A physician blinded to cohort questionnaire data reviewed death certificates, medical records, tumor registries data, and all other available health information to classify the cause of death according to the International Classification of Diseases.

### Statistical Analyses

Descriptive analyses of patient and tumor characteristics were performed according to cancer type in PLCO and NHS/HPFS (screen detected, interval, others). Continuous variables were shown in means (standard deviations), and categorical variables were presented as numbers (percentages). Logistic regressions and Fisher's exact tests were further conducted to compare clinical and mutational characteristics between interval and screen detected cancers, respectively. Person-year accrued from the date of CRC diagnosis until the date of death from any cause or the end of follow-up, whichever came

first. Hazard ratios (HRs) and 95% confidence intervals (CIs) of CRC-specific and all-cause mortality of interval CRC were estimated in comparison with screen detected CRC through Cox proportional hazards regression models. Both crude and multivariable adjusted HR (95% CI) were computed. Covariates in the multivariable model included age at and year of CRC diagnosis, sex, family history of CRC, tumor location, grade, and stage; missing values were adjusted as an individual level. Analyses were performed separately in PLCO (R v4.1.1, R Studio v1.0.153) and NHS/HPFS (SAS, Unix 9.4; SAS Institute, Inc, Cary, NC) and combined through random-effects meta-analysis (STATA, v15; StataCorp, College Station, TX).<sup>28</sup> Between-study heterogeneity was assessed by Cochran's Q statistic.<sup>29</sup> All *P* values were 2-sided and *P* < .05 was considered as statistically significant.

### Methods for WES and Copy Number Alteration Analyses

We conducted WES on 147 cases from PLCO and 796 cases from NHS/HPFS with formalin-fixed paraffin-embedded (FFPE) tumor and matched adjacent normal tissue pairs.<sup>30</sup> In brief, in PLCO, during follow-up, tumor and adjacent normal tissues resected from patients with CRC were subsequently fixed in formalin and embedded in paraffin. To obtain tumor-enriched DNA from tissue sections of the FFPE blocks, tumor areas were selected by guide hematoxylin and eosin-stained slides. Genomic DNA was extracted using the QIAGEN (Hilden, Germany) QIAamp DNA FFPE Tissue Kit. Normal DNA was extracted from resection margins or other nontumor areas.

Quality of DNA was assessed by the Quant-iT Pico Green dsDNA Assay Kit (Invitrogen, Carlsbad, CA). DNA specimens then underwent solution-phase hybrid capture with SureSelect v.2 Exome bait (Agilent Technologies, Santa Clara, CA), followed by multiplexing of the samples and sequencing on Illumina (San Diego, CA) HiSeq 2000 instruments at the Broad Institute of MIT and Harvard. In NHS/HPFS, WES of tumor DNA extracted from FFPE blocks was carried out as previously described.<sup>30,31</sup> The average coverage in tumors and adjacent normal tissue was 85X. For the 3 cohorts, variant calling/filtering/annotation was carried out using the Cancer Genome Analysis WES characterization pipeline as previously described.<sup>30</sup> Significant chromosomal aberrations were detected using GISTIC (Genomic Identification of Significant Targets in Cancer).<sup>32</sup> Data were analyzed with maftools<sup>33</sup> to test for a mutation enrichment (including point mutations, indels, and copy number alterations) among interval cancers using a 2-sided pairwise and groupwise Fisher exact test for mutations present in at least 10 patients.

## Results

### Baseline Characteristics of Interval CRCs

Our study included a total of 1175 CRCs (246 screen detected, 182 interval, and 747 others) documented in PLCO (1993–2014) and 3661 CRCs (494 screen detected, 514 interval, and 2653 others) documented in NHS/HPFS (1984–2014). Baseline characteristics are presented in Table 1 and are relatively consistent in PLCO and NHS/HPFS. Compared

**Table 1.** Clinical Characteristics of Patients With CRC According to Cancer Type in PLCO and NHS/HPFS

Characteristics	PLCO			NHS/HPFS		
	Screen detected (n = 246)	Interval (n = 182)	Others (n = 747)	Screen detected (n = 494)	Interval (n = 514)	Others (n = 2653)
Age at diagnosis	65.4 ± 5.6	71.2 ± 6.7	72.5 ± 6.5	70.4 ± 8.4	73.0 ± 8.3	69.6 ± 9.5
Female	76 (30.9)	83 (45.6)	361 (48.3)	283 (57.3)	286 (55.6)	1770 (66.7)
Family history of CRC	34 (14.8)	26 (15.1)	80 (11.5)	130 (26.3)	118 (23.0)	432 (16.3)
Tumor location						
Cecum	16 (6.5)	5 (2.7)	230 (31.0)	88 (19.0)	85 (18.4)	394 (16.1)
Ascending to transverse colon	25 (10.2)	13 (7.1)	344 (46.4)	158 (34.2)	150 (32.4)	707 (28.9)
Splenic flexure to sigmoid	120 (48.8)	92 (50.5)	82 (11.1)	133 (28.8)	128 (27.6)	755 (30.8)
Rectosigmoid and rectum	85 (34.6)	72 (39.6)	85 (11.5)	83 (18.0)	100 (21.6)	593 (24.2)
Stage						
Stage I	148 (65.8)	53 (30.1)	188 (25.6)	157 (39.3)	114 (28.6)	454 (21.6)
Stage II	39 (17.3)	47 (26.7)	212 (28.9)	124 (31.1)	115 (28.9)	562 (26.7)
Stage III	30 (13.3)	47 (26.7)	213 (29.1)	89 (22.3)	118 (29.6)	583 (27.7)
Stage IV	8 (3.6)	29 (16.5)	120 (16.4)	29 (7.3)	51 (12.8)	507 (24.1)
Tumor differentiation						
Well or moderately differentiated	187 (89.0)	133 (79.2)	537 (75.6)	224 (92.6)	178 (89.9)	948 (89.9)
Poorly differentiated or undifferentiated	23 (11.0)	35 (21.8)	173 (24.4)	18 (7.4)	20 (10.1)	107 (10.1)
Year of diagnosis						
≤ 2000	190 (77.2)	37 (20.3)	126 (16.9)	249 (50.4)	177 (34.4)	1537 (57.9)
> 2000	56 (22.8)	145 (79.7)	621 (83.1)	245 (49.6)	337 (65.6)	1116 (42.1)

NOTE. Continuous variables are presented as mean (standard deviation) and categorical variables are presented as number (percentage). Percentages were calculated among non-missing data.



**Table 2.** CRC-specific and Overall Mortality of Interval Cancer in PLCO and NHS/HPFS

	No. of cases	CRC-specific mortality			Overall mortality		
		No. of events	Crude HR (95% CI)	Multivariable adjusted HR (95% CI)	No. of events	Crude HR (95% CI)	Multivariable adjusted HR (95% CI)
PLCO							
Screen detected	246	39	reference	reference	127	reference	reference
Interval	182	45	2.03 (1.32–3.12)	1.57 (0.98–2.51)	93	1.72 (1.31–2.26)	1.38 (1.02–1.86)
Others	747	228	2.74 (1.94–3.86)	1.95 (1.27–3.00)	399	2.13 (1.73–2.62)	1.51 (1.14–2.01)
NHS/HPFS							
Screen detected	494	156	reference	reference	251	reference	reference
Interval	514	204	1.56 (1.27–1.92)	1.45 (1.18–1.79)	274	1.34 (1.13–1.59)	1.24 (1.04–1.47)
Others	2653	1580	2.41 (2.05–2.84)	2.00 (1.70–2.37)	1879	1.80 (1.58–2.06)	1.68 (1.47–1.92)
PLCO + NHS/HPFS							
Screen detected	740	195	reference	reference	378	reference	reference
Interval	696	249	1.66 (1.33–2.07)	1.47 (1.21–1.78)	367	1.48 (1.16–1.89)	1.27 (1.09–1.47)
Others	3400	1808	2.47 (2.13–2.86)	2.00 (1.71–2.33)	2278	1.92 (1.64–2.25)	1.65 (1.46–1.86)

NOTE. Multivariable adjusted HRs (95% CIs) were adjusted for age at diagnosis, year of diagnosis, sex, family history of CRC, tumor location, grade, and stage. Results of NHS/HPFS and PLCO were pooled using random-effects meta-analysis (all *P* for heterogeneity > .05).

with screen detected CRCs, patients with interval CRCs were older and more likely to present at a more advanced stage (Table 1 and Supplementary Table 1). Of note, in our PLCO sample, we restricted our definition of proximal interval CRCs to cancers detected after colonoscopy performed for follow-up of abnormal FS (ie, after an endoscopy that covered the territory in which the cancer arose). Therefore, the percentage of proximal CRCs defined as interval CRC was comparatively low, reflecting the design of PLCO as an RCT of screening FS rather than colonoscopy.

### Survival of Interval CRCs

A total of 619 overall deaths and 312 CRC-specific deaths were documented among 1175 PLCO cases during a median 6.6 years of follow-up. In 3661 NHS/HPFS cases, 2404 overall deaths and 1940 CRC-specific deaths were documented during a median follow-up of 6.7 years.

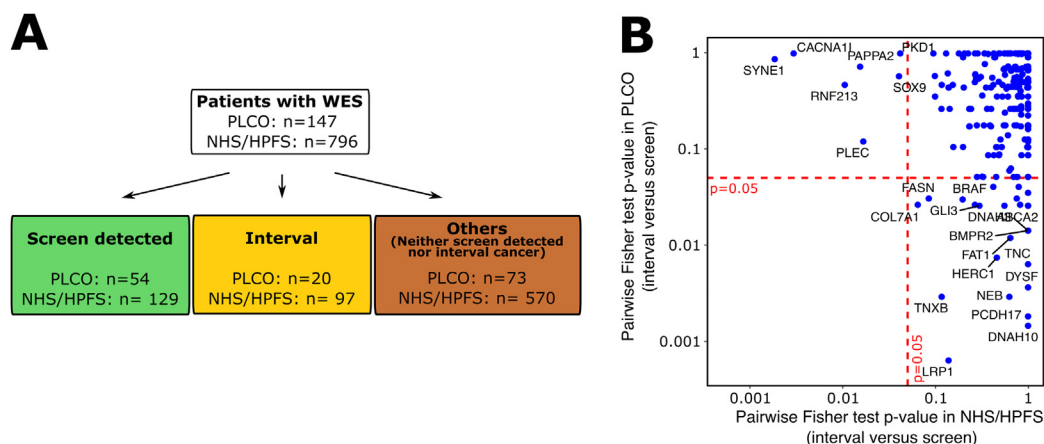
In a multivariable model adjusted for age at and year of diagnosis, sex, family history of CRC, tumor location, grade, and stage (Table 2), compared with screen detected CRCs, participants with interval CRCs had an increased risk of CRC-specific mortality (HR [95% CI] = 1.57 [0.98–2.51] in PLCO, 1.45 [1.18–1.79] in NHS/HPFS, and 1.47 [1.21–1.78] when combined through meta-analysis). Overall mortality was also increased with interval cancers (HR [95% CI] = 1.38 [1.02–1.86] in PLCO, 1.24 [1.04–1.47] in NHS/HPFS, 1.27 [1.09–1.47] when combined). Through meta-analysis of PLCO and NHS/HPFS, we further explored subgroup associations according to the stage of cancer and we observed poorer survival of stage IV interval cancers than stage IV screen detected cancers after adjusting for all other covariates (HR [95% CI] = 2.06 [1.33–3.18] for CRC-specific mortality, 2.08 [1.36–3.19] for overall mortality) (Supplementary Table 2). Those categorized as “Other CRCs,” which included never-screened

patients, patients from the PLCO control arm (usual care group), and those diagnosed >48 months (PLCO)/or >60 months (NHS/HPFS) after last endoscopic screening, also had higher mortality compared with screen detected CRCs. No significant heterogeneity was detected between PLCO and NHS/HPFS (all *P* for heterogeneity > .05) (Table 2).

Because PLCO was an RCT of FS, there was relative enrichment of interval CRCs in the distal colorectum within the reach of the FS compared with proximal CRCs. We conducted a secondary analysis restricted to individuals with distal CRCs and our results did not substantively change. Compared with distal screen detected CRCs, the multivariable adjusted HRs (95% CI) for PLCO and NHS/HPFS combined for distal interval CRC was 1.65 (1.25–2.16) for CRC-specific mortality, and 1.26 (1.02–1.56) for overall mortality. In another sensitivity analysis among participants older than 50 including all PLCO cases and 98% of NHS/HPFS cases, we did not observe material changes of estimates (interval CRC vs screen detected CRC, multivariable adjusted HRs [95% CI] = 1.46 [1.20–1.77] for CRC-specific mortality, 1.26 [1.09–1.47] for overall mortality) compared with main results.

### Mutational Landscape of Interval CRCs

We investigated whether specific genetic alterations within interval cancer might be associated with its increased mortality by performing WES on 147 PLCO matched tumor normal pairs and leveraging WES data from a previously published set of 796 matched tumor normal pairs in NHS/HPFS. An overview of our WES dataset in PLCO and NHS/HPFS is shown in Figure 1A and clinical characteristics of these cases were computed and shown in Supplementary Table 3. The characteristic pattern among WES cases was relatively consistent with that of all cases.



**Figure 1.** Genomic landscape of interval cancers in PLCO and NHS/HPFS. (A) Overview of the NHS/HPFS and PLCO cases with WES data. (B) Mutation enrichment analysis for interval cancers. For each gene (blue dots), we calculated the Fisher's test *P* value for interval vs screen detected cancers. This analysis was performed for both NHS-HPFS (x axis) and PLCO (y axis). No gene consistently reached a statistically significant level (ie, FDR adjusted *P* < .05) in both cohorts (ie, no genes in the lower left quadrant).

In the analysis of WES data, we first identified genomic segments significantly targeted by somatic copy number variations in all cohorts using GISTIC (Supplementary Figure 1A). Ten most frequently mutated genes in PLCO and NHS/HPFS were also identified (Supplementary Figure 1B). We did not observe significant differences of these variants (ie, copy number alteration, insertion-deletion mutation, and single based mutations) between interval and screen detected cancers (all false discovery rate [FDR] adjusted *P* > .05) (Supplementary Table 4).

We then performed a mutation enrichment analysis by conducting pairwise Fisher test comparisons for each gene mutated (including point mutations, indels, and significant copy number variations) in at least 10 patients in both PLCO and NHS/HPFS (Figure 1B). We did not observe any mutation significantly and consistently enriched among interval cancers when compared with screen detected cancers (FDR adjusted *P* > .05) in both PLCO and NHS/HPFS (ie, no genes in the lower left quadrant of Figure 1B). Subgroup analyses by cancer stage were further performed and we did not observe significant mutational difference between screen detected and interval cancers within the same cancer stage group (Supplementary Table 5). Similar results were observed when comparing mutational features of interval cancers with all other cancers (ie, screen detected cancers and those that were neither screen detected nor interval cancers) (Supplementary Figure 2).

## Discussion

We found significantly increased CRC and overall mortality in interval compared with screen detected cancers. However, we did not observe significant differences in mutational characteristics between interval and screen detected CRCs. Our results were consistent across 3 prospective cohorts, including an RCT of FS screening.

The increased mortality risk in interval CRCs we observed is consistent with results from a prior population-

based retrospective cohort in Canada in which patients with post-colonoscopy CRCs had worse overall survival than those with CRCs detected by any colonoscopy (ie, colonoscopy of any indication) (HR, 1.25; 95% CI, 1.17–1.32).<sup>12</sup> A similar pattern was observed in a cohort in Asia that found a higher CRC-specific mortality in post-colonoscopy CRCs compared with cancers detected by any colonoscopy (HR, 1.32; 95% CI, 1.18–1.49).<sup>11</sup> However, several other studies showed no significant differences in survival between post-colonoscopy CRCs and symptomatic CRCs or CRCs detected by any colonoscopy.<sup>10,13–16</sup> On the contrary, a retrospective cohort analysis among Utah residents reported that post-colonoscopy CRCs had better survival compared with CRCs detected by any colonoscopy (age/sex adjusted HR, 0.63; 95% CI, 0.49–0.81).<sup>7</sup> The differences in these results may be due to variation in the definition of interval CRCs as opposed to post-colonoscopy CRCs as well as in the choice of comparison group. In our study, we identified interval CRCs by time since screening and used screen detected CRCs as the comparison group. In contrast, most previous studies compared the survival risk of “CRCs diagnosed post colonoscopy of any indication” with “CRCs detected by colonoscopy of any indication” without differentiating between CRCs detected during a screening colonoscopy (ie, screen detected) vs a colonoscopy following the onset of symptoms. Some previous studies reported that post-colonoscopy CRCs were more likely to be diagnosed at an earlier stage,<sup>5,7,13,15</sup> but included symptomatic CRCs in the comparison. We confined our analysis to comparing interval cancers with screen detected cancers. This may explain the survival benefit of post-colonoscopy CRCs observed in one prior study.<sup>7</sup>

The etiology of interval CRCs is multifactorial. Interval cancers may be a result of cancers that were missed or incompletely excised on initial endoscopy because they are morphologically inconspicuous or due to suboptimal procedural quality. Although one recent study reported no significant relationship between endoscopist quality

measures (eg, specialty, procedure volume) and post-colonoscopy CRC risk,<sup>17</sup> multiple previous studies have shown that a lower adenoma detection rate is associated with higher risk of post-colonoscopy CRC.<sup>34–37</sup> Specific histologic and morphological polyp subtypes, such as flat adenomas, may be more endoscopically inconspicuous.<sup>8,9,35,36</sup> Indeed, emerging data have shown that some interval CRCs may arise from sessile serrated adenoma, a morphological polyp subtype that is more difficult to detect with colonoscopy.<sup>38</sup>

Interval cancers may also develop as new incident lesions with a more rapid and aggressive biological behavior that leads to invasive cancer during the interval between colonoscopies.<sup>25,39</sup> Our data showed a higher percentage of interval CRCs than screen detected CRCs (16.5% vs 3.6% in PLCO, 12.8% vs 7.3% in NHS/HPFS) were diagnosed with stage IV disease, highlighting the potential aggressive biology of these tumors. Previous studies have examined molecular differences between interval CRCs and non-interval CRCs based on limited panels of known molecular markers. For example, compared with noninterval CRCs, interval CRCs were found more likely to harbor more DNA mismatch repair deficiency and microsatellite instability,<sup>13,19,39,40</sup> as well as CpG island methylator phenotype.<sup>20,40</sup> To extend these results, we comprehensively contrasted the genomic landscape of interval cancers with screen detected through WES in PLCO and NHS/HPFS. Our study did not reveal any significant differences in terms of mutational signature or copy number alteration between interval CRCs and screen detected CRCs. These results were consistent with findings from a recent case-control study in which targeted sequencing of a panel of 48 genes that are commonly mutated in CRC failed to identify any significant mutational differences between post-colonoscopy ( $n = 93$ ) and CRCs without previous colonoscopy or with a colonoscopy more than 10 years ago ( $n = 79$ ).<sup>40</sup>

Our study had notable strengths. First, we leveraged data from the PLCO, the only US RCT of endoscopic CRC screening. The screening protocol in PLCO permits rigorous identification of both screen detected and interval CRCs.<sup>26</sup> Similarly, in NHS/HPFS, participants provided detailed, biennially updated information on CRC screening and the indications for their exam.<sup>25</sup> In contrast, most prior studies lack information on the indication for colonoscopy.<sup>7,11,12,10,13–17</sup> Second, we had detailed information on established predictors for CRC and overall mortality, including stage at diagnosis, which allowed us to better assess the specific contribution of interval vs screen detected status on survival. Third, we conducted our analysis in 3 separate prospective cohorts and observed similar results, enhancing rigor and reproducibility. Finally, to our knowledge, our study is the first of its kind to use a comprehensive, discovery-based approach through WES technology to pursue genomic characterization of interval CRCs.

We also acknowledge several limitations. First, although our study is the largest to date to examine molecular differences between interval and screen detected CRCs using WES, we still had a relatively limited sample size, which may

have missed less frequent molecular differences. Also, in our study, we observed higher BRAF mutations among interval (26%) than screen detected (14%) cancers (Supplementary Figure 1B, Supplementary Table 4); however, the difference did not reach a statistically significant level after FDR adjustment (FDR adjusted  $P = .23$ ). As PLCO is an RCT of screening FS and proximal interval CRCs may be under-represented in our data, future studies could further explore the molecular characteristics of this CRC variant in data that are enriched with more proximal cancers. We also acknowledge that WES could not capture potential epigenetic changes as well as differences in intronic regions between these CRC subtypes. Besides, we cannot fully exclude residual confounding despite having detailed information on potential confounders, including family history of CRC and tumor characteristics (location, grade, stage). The randomized design of PLCO minimized potential confounding introduced by factors associated with differential screening behavior.

In conclusion, in an RCT of FS and 2 prospective cohort studies, we observed that interval CRCs had significantly increased risk of CRC-specific and overall mortality compared with screen detected CRCs that were not explained by established clinical prognostic factors, including stage at diagnosis. Intriguingly, the survival disadvantage of interval cancer did not appear to be explained by differences in the genomic landscape of tumors characterized by WES. Future studies are needed to characterize the mechanisms by which interval cancers may exhibit a more aggressive biological profile to better tailor colonoscopic screening and surveillance.

## Supplementary Material

Note: To access the supplementary material accompanying this article, visit the online version of *Gastroenterology* at [www.gastrojournal.org](http://www.gastrojournal.org), and at <https://doi.org/10.1053/j.gastro.2022.08.020>.

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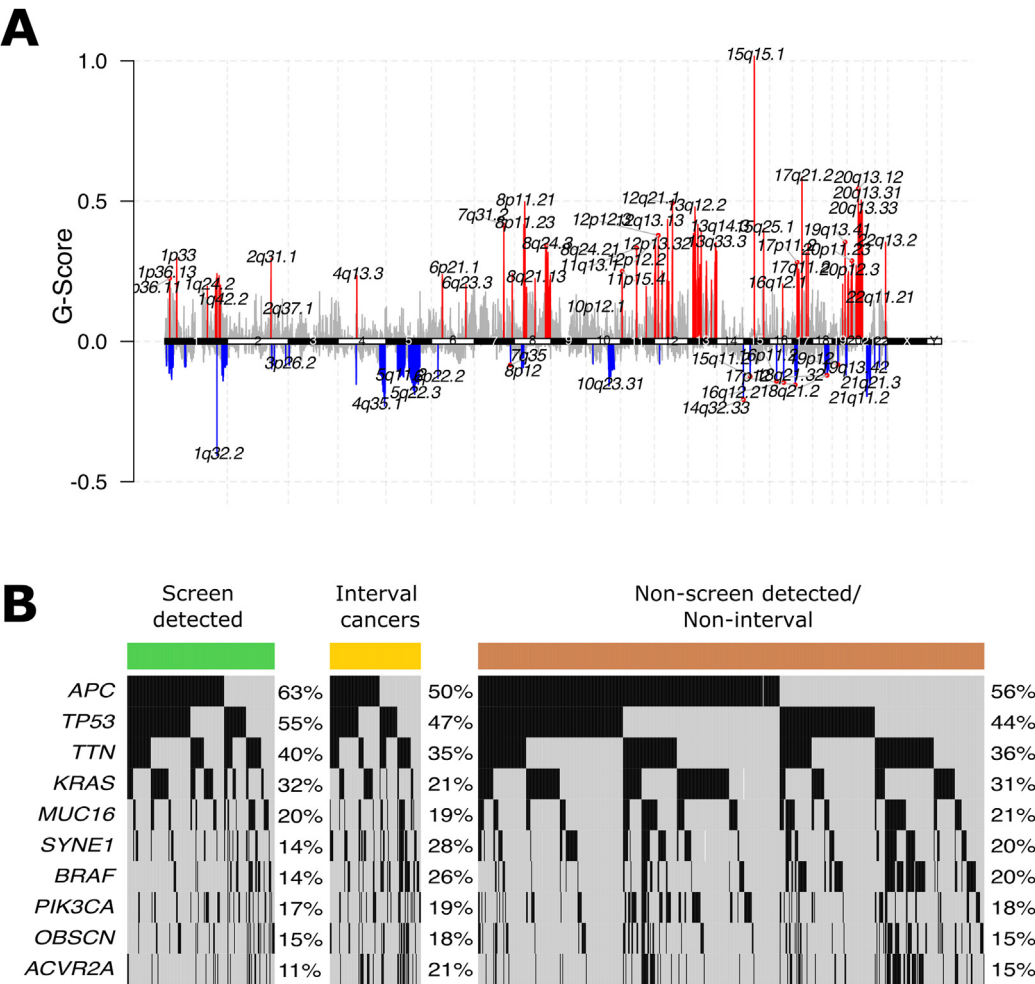
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#### Conflict of Interest

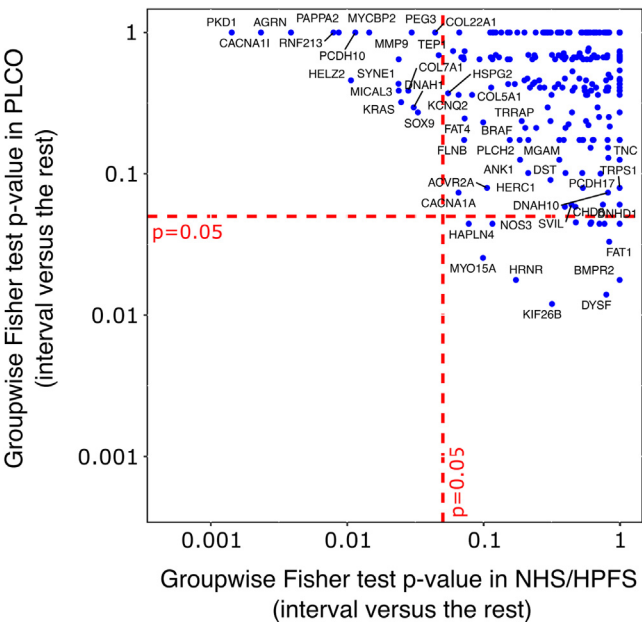
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**Supplementary Figure 1.** Mutational landscape of 943 CRC cases in combined data of PLCO and NHS/HPFS. (A) Copy number alterations in PLCO and NHS/HPFS. Plots of high-level amplifications (in red) and deletions (in blue). The GISTIC G-score ( $G = \text{Frequency} \times \text{Amplitude of the alteration}$ , on the y axis) is plotted for each genomic segment (on the x axis). (B) Co-mutation plot of the 10 most frequently mutated genes in PLCO and NHS/HPFS. Each column represents a patient. Each row represents a gene-level mutation status of each specific gene shown on the left (black for mutated and gray for non-mutated status). Cancer groups are shown on the top.



**Supplementary Figure 2.** Mutation enrichment analysis for interval cancers. For each gene (blue dots), we calculated the Fisher’s test *P* value for interval cancers vs the combination of screen detected and those that were neither screen detected nor interval cancers. This analysis was performed for both NHS-HPFS (x axis) and PLCO (y axis). No gene consistently reached statistical significance (ie, FDR adjusted *P* < .05) in both cohorts (ie, no genes in the lower left quadrant).

**Supplementary Table 1.** Comparison of Clinical Characteristics of Interval and Screen Detected CRCs

Characteristics	Screen detected	PLCO	NHS/HPFS
		OR (95% CI) Interval	OR (95% CI) Interval
Age at diagnosis			
10-year increase	ref	4.15 (3.00–5.74)	1.38 (1.20–1.58)
Year of diagnosis			
5-year increase	ref	7.12 (7.11–7.13)	1.31 (1.20–1.43)
Sex			
Female vs male	ref	1.88 (1.26–2.79)	0.94 (0.73–1.20)
Family history of CRC			
Yes vs no	ref	1.03 (0.59–1.79)	0.83 (0.63–1.11)
Tumor location			
Distal vs proximal	ref	1.82 (1.01–3.29)	1.11 (0.85–1.43)
Stage			
Stage II/III vs I	ref	3.80 (2.45–5.92)	1.51 (1.11–2.04)
Stage IV vs I	ref	10.12 (4.36–23.52)	2.42 (1.45–4.05)
Tumor differentiation			
Poor/undifferentiated vs well/moderate differentiated	ref	2.14 (1.21–3.79)	1.40 (0.72–2.72)

NOTE. Univariate OR (95% CI) was computed for the comparison between interval and screen detected cancers. OR, odds ratio.

**Supplementary Table 2.** CRC-specific and Overall Mortality of Interval CRCs According to Cancer Stage in PLCO and NHS/HPFS

	No. of cases	CRC-specific mortality			Overall mortality		
		No. of events	Crude	Multivariable adjusted	No. of events	Crude	Multivariable adjusted
			HR (95% CI)	HR (95% CI)		HR (95% CI)	HR (95% CI)
All							
Screen detected	740	195	reference	reference	378	reference	reference
Interval	696	249	1.66 (1.33–2.07)	1.47 (1.21–1.78)	367	1.48 (1.16–1.89)	1.27 (1.09–1.47)
Others	3400	1808	2.47 (2.13–2.86)	2.00 (1.71–2.33)	2278	1.92 (1.64–2.25)	1.65 (1.46–1.86)
Stage I							
Screen detected	305	44	reference	reference	136	reference	reference
Interval	167	30	1.10 (0.36–3.32)	0.87 (0.30–2.50)	61	1.13 (0.83–1.54)	0.89 (0.64–1.22)
Others	642	169	1.57 (1.11–2.22)	1.23 (0.80–1.89)	288	1.32 (0.76–2.30)	1.02 (0.80–1.31)
Stage II/III							
Screen detected	282	98	reference	reference	152	reference	reference
Interval	327	102	1.13 (0.85–1.49)	1.09 (0.82–1.44)	156	1.20 (0.96–1.50)	1.05 (0.83–1.32)
Others	1570	721	1.54 (1.25–1.90)	1.68 (1.35–2.09)	936	1.42 (1.19–1.69)	1.48 (1.23–1.78)
Stage IV							
Screen detected	37	31	reference	reference	32	reference	reference
Interval	80	72	2.01 (1.32–3.08)	2.06 (1.33–3.18)	76	2.07 (1.36–3.14)	2.08 (1.36–3.19)
Others	627	585	1.98 (1.38–2.85)	2.06 (1.41–3.02)	597	1.98 (1.39–2.83)	2.03 (1.40–2.95)

NOTE. Multivariable-adjusted HRs (95% CIs) were adjusted for age at diagnosis, year of diagnosis, sex, family history of CRC, tumor location, grade, and stage. Results of NHS/HPFS and PLCO were pooled using random-effects meta-analysis ( $P$  for heterogeneity > .05).



**Supplementary Table 3.** Characteristics of CRC Patients With WES Data in PLCO and NHS/HPFS

Characteristics	PLCO			NHS/HPFS		
	Screen detected (n = 54)	Interval (n = 20)	Others (n = 73)	Screen detected (n = 129)	Interval (n = 97)	Others (n = 570)
Age at diagnosis	64.8 ± 6.1	69.2 ± 6.8	72.6 ± 6.7	71.4 ± 7.7	73.0 ± 8.1	69.9 ± 8.8
Female	22 (40.7)	11 (55.0)	33 (45.2)	69 (53.5)	53 (54.6)	365 (64.0)
Family history of CRC	9 (16.7)	4 (20.0)	12 (16.4)	28 (21.7)	24 (24.7)	106 (18.6)
Tumor location						
Cecum	4 (7.4)	0 (0.0)	23 (31.5)	22 (17.1)	16 (16.5)	107 (18.8)
Ascending to transverse colon	5 (9.3)	4 (20.0)	39 (53.4)	45 (34.9)	34 (35.1)	177 (31.1)
Splenic flexure to sigmoid	28 (51.9)	12 (60.0)	6 (8.2)	37 (28.7)	27 (27.8)	162 (28.4)
Rectosigmoid and rectum	17 (31.5)	4 (20.0)	5 (6.8)	25 (19.4)	20 (20.6)	123 (21.6)
Stage						
Stage I	29 (53.7)	5 (25.0)	20 (27.4)	41 (31.8)	24 (26.4)	126 (24.0)
Stage II	13 (24.1)	8 (40.0)	21 (28.8)	39 (30.2)	30 (33.0)	170 (32.3)
Stage III	10 (18.5)	5 (25.0)	19 (26.0)	32 (24.8)	31 (32.0)	156 (29.7)
Stage IV	2 (3.7)	2 (10.0)	13 (17.8)	8 (6.2)	6 (6.6)	74 (14.0)
Tumor differentiation						
Well or moderately differentiated	49 (90.7)	15 (75.0)	50 (68.5)	114 (91.2)	81 (86.2)	507 (92.2)
Poorly differentiated or undifferentiated	4 (7.4)	5 (25.0)	23 (31.5)	11 (8.8)	13 (13.8)	43 (7.8)
Year of diagnosis						
≤ 2000	40 (74.1)	6 (30.0)	9 (12.3)	58 (45.0)	31 (32.0)	315 (55.3)
> 2000	14 (25.9)	14 (70.0)	64 (87.7)	71 (55.0)	66 (68.0)	255 (44.7)

NOTE. Continuous variables are presented as mean (standard deviation) and categorical variables are presented as number (percentage). Percentages were calculated among non-missing data.

**Supplementary Table 4.** Comparison of Mutational Characteristics of Interval and Screen Detected CRCs

Gene name <sup>a</sup>	Interval (n=117)	Screen detected (n=183)	OR (95% CI)	False Discovery Rate adjusted <i>P</i> values
BRAF	31	25	2.26 (1.20–4.27)	.23
APC	59	115	0.59 (0.36–0.98)	.26
ACVR2A	25	20	2.20 (1.10–4.42)	.26
TP53	55	101	0.71 (0.43–1.16)	.49
KRAS	24	59	0.54 (0.30–0.96)	.26
SYNE1	33	26	2.35 (1.27–4.39)	.18
TTN	41	72	0.82 (0.49–1.37)	.78
MUC16	22	37	0.91 (0.48–1.69)	.92
PIK3CA	22	31	1.13 (0.58–2.15)	.92
OBSCN	21	28	1.20 (0.48–1.69)	.86

<sup>a</sup>Odds ratios (ORs) (95% CIs) were calculated for 10 most frequently mutated genes (ie, genes listed in [Supplementary Figure 1B](#)) in PLCO and NHS/HPFS.

**Supplementary Table 5.** Comparison of Mutational Characteristics of Interval and Screen Detected CRC According to Stage of Cancer

	Top differentially mutated gene	Interval cancer (mutation/total case)	Screen detected cancer (mutation/total case)	FDR adjusted <i>P</i> values
Stage 1	HERC1	7/29	3/69	.052
Stages 2 and 3	AKAP9	8/74	2/94	.42
Stage 4	TP53	5/8	9/10	.37