

Principles of Urbanism and Planning - Revisions

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Planning the ancient city

What is a city? population size, division of labour, agriculture surplus, monumental buildings, existence of ruling class, existence of writing and numbers, predictive sciences, existence of artisan class, long distance trade, 'organic solidarity' based on interdependency Childe 10 traits

Mesopotamia some of the earliest urban settlements; 'cities' have an orientation (towards mecca), walls, a palaca on the river, court houses. The layout of the court houses become more regular over time;

Greek urban planning old-style until 480BC, a growing together of earlier settlements, creating irregular layouts but paying attention to views. The new Hippodamian style until 335BC is a grid-pattern layout, with main streets North-South, strict zoning, plots completely built over, court houses, obsession with correct proportions Athens, Olympia

Athens' culture first large Polis but golden age is short-lived. Cosmopolitan cultural was crucial (meltingpot of connections with trade and colonies), introduced democracy, individualism but also collective civic goals, written history, philosophy, rationalism and utilitarianism

Athens' urban structure public buildings (Akropolis, Parthenon) were important, some water pipelines, sewers, public baths. Life was very public, homes tiny and primitive, with no gardens but many with court-yards. Trading happened around the Agora, used money, and primitve ways of financing (no budgets)

The rise and fall of Ancient Greece a unique ethnic and cultural melting pot, but the Golden Age depended on exploitation. There were huge sums of tribute payments, it was an aristocratic society with abundant time for leisure, and metics or resident 'aliens' are running the economy (half inside, half outside mainstream society) P. Hall

Rome urban planning an unplanned, on a flood-prone hill, gradually improving with aqueducts. The city walls and important public buildings (Forum, Colloseum) were built and expanded by different emperors. Tenement housing was socially mixed, building heights regulated (3-5 stories), but unstable due to additional stories. Ground floor of residential buildings was shops and storage rooms, with people living above

Dealing with sewage first priority in order to avoid epidemics. The first sewage system, Cloaca Maxima, built to remove city waste into river Tiber

Bringing water second priority was to bring water; aqueducts brought 1 billion litres/day. There was no water storage or taps, and it ran continuously, cleaning the streets between raised pavements

Feeding people third priority was to bring food; daily food doles were distributed including meat and bread

Rome's Golden Age lasted 50BCE-150CE - why? Limited innovations/planning besides aqueducts and sewers, couldn't uphold population; a highly unequal society, between the poor and the emperor/court couldn't withstand

Pompeii vs. Novaesium Pompeii had an unplanned old town, with a planned new town; Novaesium was a legioner's camp, built extremely regularly and always for soldiers to recognise

Planning the medieval city

From roman empire to middle ages the Dark Ages (500-1000AD) was a period during which many former powers disappeared - the Roman empire disintegrated which cut off trade routes and information flows (except for oriental merchants), technologies were lost, cities shrank and disappeared. Then started the Middle Ages

Early middle ages the Ancient world was fragmented into small-scale, manorial feudalist places, protected by fortifications and (medieval) castles . The manor was an economic, judicial, military unit

Tower of
London,
Ljubljana castle

High middle ages cities in this period have 6 origins: 1) former Roman cities blossoming anew, as residences of Emperors, Archbishops, Dukes (Aachen, Cologne, Vienna) 2) monastery settlements 3) castles of the Principality (“bourg”) combined with settlements for craftsmen and merchants (“faubourg”, Graz, Ljubljana) 4) commercial settlements of free merchants and craftsmen 5) free manors and market-towns 6) newly-founded mining towns

Characteristics of medieval cities city walls to protect against barbaric attacks, and castle on a hill; ditches around the city (‘graben’); narrow streets with burger houses; church (christian endeavour); central market square to feed a growing population. Almost all European cities date from the high High Middle Ages

High medieval city 1200AD, new towns founded, ancient towns renewed; strict separation of urban and rural functions, with two types of urban places - natural settlements as local-market based central places, and systemic settlements as long-distance-trade based, with varying degrees of freedom from feudal powers. Flanders and North Italy are two hearths of Medieval urbanism. They are ‘trade-originating’ Europe and systematic settlements, compared to the rest as ‘trade-supporting’ Europe with natural settlements that depend on their role as central places. Black death pandemics (1348) more than halve populations of cities

Urbanism in High Medieval Cities unlike Ancient cities, housing combines work and home because space is scarce; built with local building materials and with vernacular architecture; ancient infrastructure like amphitheatres are recycled into new uses or their material reused. Two basic types of cities are the Mediterranean (an uninterrupted urban tradition) and the North of the Alps (new foundations and many new settlers)

Mediterranean city a city of factions, building upon and with Roman remains; house-towers, mix of Renaissance palaces, earliest tenement houses, and individual houses, many arcades

North of the Alps a city of guilds, there are no city-states bordering each other, but Free Cities surrounded by feudal countryside, stark contrast between urban-rural society. Two settlement systems are “central-place” and “mercantile”, there’s a functional segregation based on guilds. Merchants are clustering at ports, nobility remaining in countryside castles. There are tall house-shops, narrow plots, tight city walls, apprenticeships and journeymen, and “quarters of tolerance” for the outsider merchants or students

Timeline of Medieval Cities from geomorphic and unplanned evolving into a geometric planned city: unplanned cities (1100) → rebirth of the planned city → cross-shaped market towns → towns with long market-streets → towns with ladder-type streets → rebirth of the grid city → the grid-shaped town (13th century)

Renaissance: Planning the absolutist city

Renaissance Renaissance marks the transition between middle ages to modernity in 15th-16th century. A period of demographic and economic recovery after Black Death pandemic. Royal powers consolidated and absolutist regimes emerge. Medieval localism is replaced by Baroque centralism, focusing on capital/residential cities of unifying states. Beginning of colonial empires.

Renaissance/Baroque city there are no Renaissance cities, only ideal designs, absolutist cities grow to sizes far beyond Medieval cities. Origins of office buildings and tenement housing. Military innovations involve engineers in fortified city planning; walled cities cannot expand horizontally, only in height and density

Ideal cities never built, only architectural proposals (eg. utopias), with innovative ideas like underground goods transported by boat, and street-level pedestrian traffic (Leonardo Da Vinci)

Fortification towns huge military installments (barracks, parade grounds, avenues, arsenals) and military population. A growing expertise in administration and accounting, creating demand for mass literacy and basic maths. Public space is supersized and used by wheeled vehicles of the rich requiring stables and mews, poor continue to walk. Giant palaces and gardens, including latest gadgets (steam pump fountains till then only used in industry). Public attractions like zoos, pleasure gardens, carousels, museums, with outward aesthetic dominating and focus on geometric figures

New avenues and squares

New (countryside) castles and parks Versailles, Paris; Schönbrunn, Vienna; Edinburgh New Town; Karlsruhe centered on Schloss (a Baroque new town). All very geometric, star-shaped designs, oriented ideally to face South

Early capitalism: industrial city miseries, early reforms

Up to this point, cities are not built for industry but for handicrafts. The industrial era is one with little urban planning, which is partly why there were so many consequences. This chapter is focused mainly on England and Scotland.

Industrial cities Circa 1835, the first and greatest industrial cities appear, manufacturing raw materials (water, coal, iron, salt, etc.). Innovations in textile industry include flying shuttle, jenny, steam-powered mules. Polytechnics are substitutes for Universities.

Manchester, England is the centre of synergy, with an egalitarian class structure; psychological freedom; first innovative incentives to innovate; modest formal education and limited capital required; intelligence network for trading milieu and engineering. BUT it is unprepared for the new industry

Conditions of the working class in England there are no urban problems as such, only social problems Friedrich Engels that require revolutionary changes in society to be made. There are deplorable living conditions: starvation, bad sanitation creating cholera epidemics, homelessness and slums out of sight of middle class, dense and centralised population. No revolution even though the working class outnumber the bourgeoisie more than 2:1.

Planning and urbanism small and irregular courts, lanes, back alleys. Back-to-back housing as a efficient housing is the first urbanistic answer to the industrial city, but has no aeration.

British Health Act 1848 excludes London, Scotland, Ireland. It is the first attempt at regulating the industrial city. Includes: sewage and drainage; refuse removal; sanitary conditions; slaughterhouse regulations; ventilation and hygiene of tenement housing; street pavement and maintenance; public gardens and parks; water supply; funeral services; specific taxes and levies.

London reforms in the 1820s, the Metropolitan Police is established; Prison Reforms; Poor Law reforms taking up Benthamian ideas of workhouses for the poor, uniforms, severe discipline, separation by gender and age; Asylums Act; Public Health Act; Water Supply; Sewers

Private philanthropist reforms private investments into housing and social conditions, 'utopias' Familistère, Bournville

Glasgow, Scotland a city on the European periphery benefitting from the Trans-Atlantic economy; nearby coal and iron deposits grows industry and economy; steamboats first used on inland waters then able to reach coastal waters and open sea, and iron ships; international exhibitions in Glasgow (1888, 1901); firms have welfare programmes including housing, but no tenement housing in Scotland compared to terraced/row housing in England

Tenement housing housing shared by multiple dwellings (ie. apartments); pure grid pattern without facilities like toilets or running water; vertical segregation of classes living in the same building (Paris); thin and tall structures (NYC);

Summary Urban Planning and Urbanism Practices 19th-21st century

Urban Planning and Urbanism	Urban Social Policy	Urban Economic Policy
Type IV: New Urban Management, Collaborative Planning	Downsizing and rescaling the welfare state	Neoliberalism; city competition and marketing
Type III: Urban Development Planning	Golden age of comprehensive national welfare states	Regional and urban location policies and agencies
Type IIb: Post-WWII Modernist Urbanism	Emerging variants/types of national welfare states	Nationalisation of heavy/basic industry
Type IIa: Inter-War Reformist Urbanism	Pioneer welfare services and social housing in cities	Communalisation of city services
Type I: pre-WW1 Civil Engineering and Beautification	Sanitary legislation; asylums	Liberalism

Chapters	Urban Engineering	Reformist	Modernist	Urban Development Planning	Urban Governance
Timeline	1860-1900s	1900s-1940s	1950s-1960s	1960-1980s	1980s-today
Themes		Reformist urbanism of the 1920s; early years of local welfare state in Europe; garden city and settlers' movement; public and social housing programs; new standards in zoning legislation and building codes; beginnings of regional planning	Modernity on both sides of the Iron Curtain; comparing welfare capitalist and socialist European city of the 1950s-60s; reconstruction and urban development post-WWII, under different welfare and housing regimes; urban lifecycles and suburbanisation; socialist cities	Golden age of welfare in 1970s European cities; comprehensive planning, public coordination of all kinds of urban developments; hey-days of mass social housing; state as a pioneer of urban renewal	Restructuring and resistance; commodification of urban development since the 1980s; EU integration and enlargement; European cities in competition; urban entrepreneurialism and project planning; challenge of social cohesion and sustainability; urban marketing and branding
Planning Types (Suitner)	Pre-WWI Civil Engineering and Urban Design	Inter-war Reformist Urbanism, Social Planning	Post-WWII Modernist Expert Planning	Urban Development Plans or Comprehensive Planning	Strategic Management, Collaborative Planning
Planning Philosophies (Selle)	Urban Engineering	Catchment Planning	Catchment Planning	Urban Development Plans	Perspective Planning
Tools (Albers)	Alignment plans	Zoning	Zoning	Development plans	Projects
Goals	Safety as main concern, planning straighten streets and regulate heights and widths; rest is left to the market	Not only ordering but also regulating uses behind the walls	Ambitions to plan everything related to the urban, a 'super-zoning' approach using project management at all levels of planning		

Urban engineering and fin-de-siècle urbanism

The beginning of ‘serious’ planning. Technical and engineering challenges are emerging in growing cities (mid to late 19th century), and this period is focusing on massive infrastructure to make cities safe and enable their expansion. The goal is to avoid health crises (eg. cholera) or natural disasters (eg. flooding). It is about averting risk, adaptation planning, and laying the technical foundations which enabled later social and political phases (eg. Red Vienna).

Planning culture tool is alignment plans that provide safety, by ordering streets, heights and widths, and the rest is left to the market

Building codes new building codes to regulate height of buildings and minimum street width; this improves airflow and hygiene.

Grid pattern originating from NYC and became a planning norm

Urban engineering elements technical infrastructure elements height zoning, outer suburbs incorporated, trees planted along boulevards, gas lights in streets, electricity and electric street cars, bridges for metropolitan railroad, granite pavements, new sewers

Urban design elements beautification accompanies urban engineering through parks, green belts, cultural and educational institutions, tenement palaces, world exhibition (serve to spread ideas), urban furniture. Some works like public hospitals can fall in both engineering and beautification categories

Tenement housing luxury tenement palaces open, built in different styles (neo-gothic, neo-baroque, neo-renaissance)

Transporting ideas, patents, technologies world exhibitions are mega-events which drive innovation by spreading ideas across countries/continents. Architects recycle ancient architectural styles to beautify cities

Ringstrasse

corrugated iron

Athens in

Vienna

Reformist urbanism pre-WWII

Cities are growing and need some organisation and order, and a reconfiguration of places and borders, to respond to the ills of the industrial city and urbanisation. This period is the origin of social welfare and democracy, when there are qualitative improvements in education (schools), health system (hospitals), social work (orphanages, social housing, public pools, libraries). Nonetheless previous elements of planning, like engineering (pipelines, electricity, sewers) are still around.

Planning culture tool is zoning

New towns and garden cities starting in the early 1900s as a solution to mass housing; follow the principles of separating uses and homesteading, where there is healthy living and working; emerge as ‘new towns’ in the UK; the idea internationalises through conferences, spreading through Europe, North Am., Russia, etc.

Lechtsworth, 1903; Le Corbusier Villes Radieuses
Otto Wagner hospital vs. allotment gardens vs. garden city

Settlers’ movement people are given land to grow food during the war as a subsistence wartime economy; after WWI, these allotment gardens are turned into cooperative, grassroots movement creating spontaneous unplanned suburbs, contrast to garden cities

Social housing during WWI investments in housing stopped, hyperinflation destroyed loans, rapid rent increase because of unregulated rental market, war industry affect industrial and rural areas; social housing emerged from socialist states as way to regulate housing market. Introduced rent freeze and social housing, an upgrade from tenement housing - has more green space, inner courtyard, kindergarten

Zoning previously only focusing on height regulation of buildings (19th century) but allowed almost all land use types. Early 1900s introduced green belts, industrial zones, in a concentric model, disallowed mixed uses. In 1930s, first high-rises arrive in Europe

Regional planning resistance to incorporation of more and more land by cities into ‘greater’ cities (19th century) creates a need for regional planning because urban regions need coordination for transport systems, recreation areas. Happening at a similar time than suburbanisation, and creates urban cores (where people work) and commuter zones (where people live). Settlement looks continuous, and no visible rural area per se

conurbation

Modernist urbanism post-WWII

The emergence of welfare states after 1945 means that the concepts from the inter-war reformist period has superseded. Planning is reconstructed in parallel to the new democratic times, and infused by economic and social policies

Garden cities The idea expanded after 1945 into New Towns. Cities had to be reconstructed, and debate emerged on housing density - should housing be high-rise (requiring significantly less land, more dense) or low-rise (housing spills into outer rural areas, less dense). The city should be build and ordered by its function

Social housing Golden age of social housing, with a mass production of social housing improving in quality (eg. size, bathroom, heating). Public housing was the biggest share of the housing supplies in some countries. State offered subsidies for building housing and housing mortgages. Rent liberalisation phased out affordable housing

Zoning Continued, improved, and extended to the whole urban area. Includes land-use regulations, careful planning of what uses should be allowed next to each other, and mixed-zoning is over

Regional planning Continued resistance to incorporation especially in new democratic times, as such regional planning remains rare and difficult. But it is needed, so regions find other ways such as coordinating cycling paths, public transport systems with uniform tariff system, planning recreational and protected areas, etc

Urban social policy In 19th century, there were limited social policies, only insurances for the elite. In 20th century, in the inter-war period, pioneering systems start providing social health, education, housing. Social policies popularise post-1945 with a new type of welfare system under capitalism, impinging on planning

Urban economic policy In the 19th century, urban economic policies are non-existent and investors are free to do what they want. In 1920s, private supply of elementary infrastructure is questioned as inefficient, unreliable, expensive. Post-1945, State focuses on building heavy industry (public gas, metal), until 1970s when States start attracting investors and international political institutions through localised policies

UN City

Vienna

Welfare and housing regimes Nation States become ambitious and create many welfare policies, including housing, education, health. Different regimes organise their welfare systems differently. Liberal regime centered on the market; social democratic regime centered around state supply; conservative regime centered around family supply and informal connections. In housing sectors, unitary vs. dualist regimes where public and private markets influence each other to various degrees

Welfare triangle

Stages of urban development Dynamics of urban/suburban/desuburban/deurbanisation change population of core and ring zones, and are dynamics to be tamed and managed with planning

Socialist and post-socialist urbanism Political systems such as communism impact urban development, with socialist cities having different characteristics and urban dynamics.

Urban development plans

Starting in 1960s-70s. The urban development plans are ambitious plans for everything ‘urban’, but also embedded in a broader, fully-developed national welfare state (not just the embrionic welfare city of the 1920s). Development plans coordinate a multitude of actors/investors and developments, and don’t refrain from steering the market. The state is leading urban renewal, and not private investors. Today, urban development plans take many, many forms, is more or less flexible and precise, and includes private actors and isn’t only state dominated

Planning culture tool is urban development plans (massive planning documents), using project management at all levels (from individual buildings to entire city) for coordination

Golden age of welfare large investments from state into pillars of the welfare state: housing, health, education. Urban renewal (of city centre and new suburbs) are state-led, and not from private investors

Sweden’s distinct regime

Housing welfare myriad of land banking systems; direct housing subsidies where State gives money to developers and individuals to construct dwellings (‘aide à la pierre’, object subsidies), or money given directly to individuals proportional to income (‘aide à la personne’); as well as indirect subsidies with stax rebates

General plans started in 1920s, popularised post-WWII. Planning expanded, development axes growing towards new suburban districts/satellite towns along transport stops, opening of subways, pedestrian and cycling paths, redevelopment of run-down city centres; all state led

Sweden as inspiration, fingerplans

Urban development planning plans are comprehensive, area-wide, project oriented, influencing markets. The goal is to: maximise choice in welfare supply , improve efficiency of welfare administration, more mixed zoning, city planning coordinates all sectoral planning, focus on technical infrastructure, deepen academic involvement (sociologists), new planning culture involves more than experts (public meetings, debates)

both public and private transport, subway and highway

Coordination urban development plans coordinate public actors actors, such as: government departments, local/regional departments, appointed agencies, private enterprises, community interests. Also coordinate developments like public transport systems

City developments high rise buildings appear in 70s, as offices and council housing. Require strong integration with urban development planning because they require an agreement from the city: requires access to public-transport, no obstruction of protected views

Donau City

Mass social housing considered a pillar of the welfare state, social housing production reached its peak in 1970s; it is not only a social policy but also regional planning, energy policy, economic policy

State-driven urban renewal the state focused on inner city renewal, created historic preservation zones, lowered densities in certain districts, introduced parking management. The rediscovering inner city centre and the gentrification movement were (inadvertently?) started by the state, and regional and urban agencies try to attract firms and influence the market

Sweden’s distinct housing regime

Urban management, collaborative planning

From 1990s, planning is impinging on society in a different way than it used to. Planning has to coordinate many actors and is strategic (think PPP). Economic forces are leading urban development much more than before, the welfare state is restructured, the EU is making steps to integration and enlargements by funding large urban projects, cities are concerned with urban competition and branding, sustainability concerns integrated in projects.

Welfare restructuring/retrenchment the golden age of welfare is over, states become more greedy and distributes benefits only after proof of need, and these requirements are more and more restrictive

Collaborations increasing collaboration between markets, states and individuals. The number of relevant actors are increasing, as well as interactions and complexity

Planning culture based on strategic projects, planning is locally focused on one area, and thus pays less attention to the rest of the city/region (from regional to project planning). Planners grasp chances when they can, anywhere in the world and takes initiatives.

Neoliberalisation, commodification, financialisation tendency towards secrecy, less democratic and more elite-drive priorities to avoid disagreements (non-public agreements bypassing local democratic assemblies). Projects are helped by marketing, selective deregulation, there's a shift from social to economic policy, with growth coalitions and growth machines

ASID Agency, Structure, Institutions, Discourse

Entrepreneurialism an emerging type of urban governance, in which comprehensive urban development planning is taken over by planned urban 'fragments'. Inter-urban competition reduces relative autonomy of local states, fosters growth coalitions/machines and PPPs, and competition regulations make it necessary to enter in EU/global market. Urban entrepreneurs are making use of dense networks of cities for tourism and attraction of new classes, and urban spectacles are important

Urban development corporations some territories are excluded from regulations as special economic zones

Growth coalitions and growth machines include local businesses, politicians, local media, urban services, unis, cultural institutions. Cities try to attract businesses and wealthy inhabitants to feed government funds, through local boosterism that broadcasts attractivity of the city, using rankings of investment climate, attractive transport connections, instrumentalisation of cultural events, urban branding, visionary plans

Urban branding and imagineering ideas of cities are transported with the help of media and social media, and communicating optimism. Contributing to this is the festivalisation of urban developments

Festivalisation of urban development planning is done with: mega-events, a tradition starting with world exhibition, rebranded into eg. cultural capitals, sports events kicking off projects; flagship projects; starchitecture

urban
development
corporations,
PPP contracts

Harvey 1989

London
Docklands
GaWC

Seestadt
festival, Donau
City, Bilbao
effect