# Deep learning-based super-resolution of climate (forecast) data

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PyconES 2021







## whoami && hostnamectl



Postdoctoral fellow at the Earth Sciences department of the Barcelona Supercomputing Center (BSC) on Artificial Intelligence (AI) and Machine Learning (ML) projects



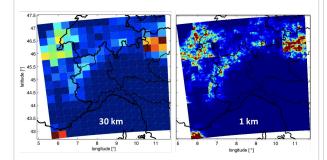
### About the BSC:

- MareNostrum is the most powerful supercomputer in Spain
- BSC hosts other clusters, such as the Power-CTE, composed of 52 compute nodes each with 4 NVIDIA V100 (Volta) GPUs
- ES department hosts 100+ researchers with expertise in climate science, atmospheric composition among other topics

# **Cross-disciplinarity and data science**

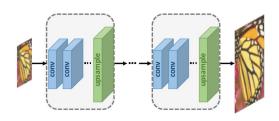


# **Climate Science**



- Problem definition (need)
- Background and baseline approaches
- Data sources identification
- Validation metrics

# **Computer vision**



- (Re)framing problems
- Cutting edge DL approaches for Earth science applications

# Al engineering

```
import os
import datetime
import tensorflow as tf
from tensorflow keras utils import Progbar
import horovod tensorflow as hvd
import numpy as np

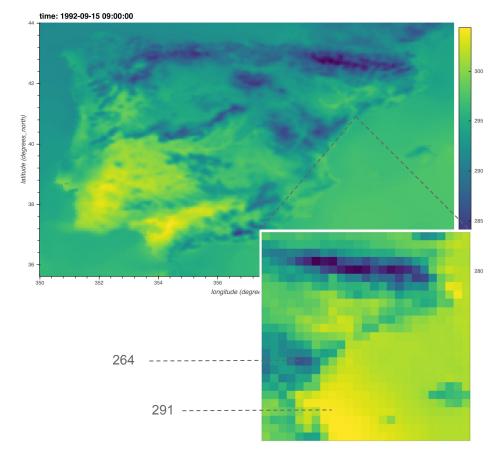
from dl4ds.resnet_int import resnet_int
from dl4ds.resnet_rec import resnet_spc
from dl4ds.resnet_spc import resnet_spc
from dl4ds.discriminator import residual_discriminator
from dl4ds.discriminator import create_pair_hr_lr
from dl4ds.utils import (Timing, list_devices,
set_gpu_memory_growth,
set_visible_gpus)
```

- Smart testing and model design/tuning
- Development of robust and efficient code
- Reusability/reproducibility



# **Computer Vision for Earth Science**

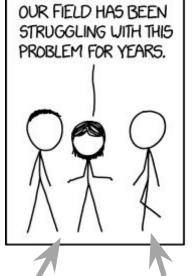


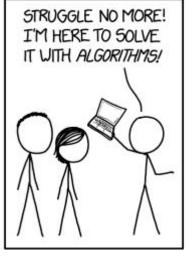


- Spatio-temporal processes
- Common structural prior. Gridded data can be treated as arrays of pixels (images or videos)
- Tasks in CV that relate to a problem in ES:
  - Next frame video prediction → regression
  - Super-resolution → downscaling
  - Object recognition
  - Inpainting → missing data filling
  - Image to image translation → transfer functions, regression

# **Computer Vision for Earth Science (reality)**











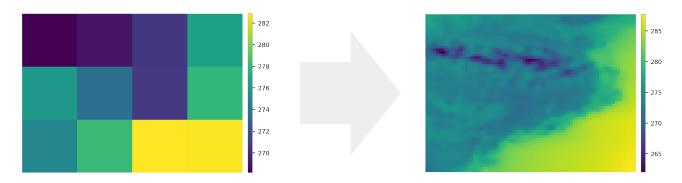
**Climate scientists** 

AI/CV/ML specialist

# Why super-resolution or downscaling



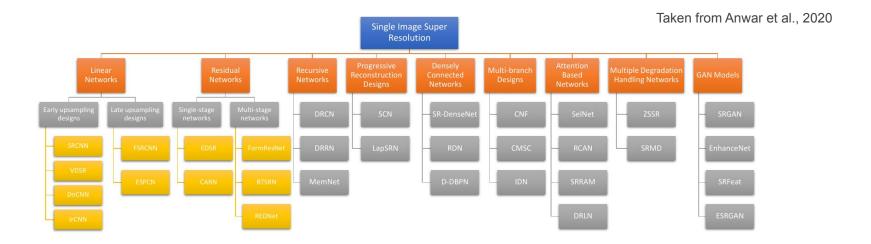
- Super-resolution aims to convert a given low-resolution image with coarse details to a corresponding high-resolution image with better visual quality and refined details
- Similar goal to that of Statistical Downscaling (SD) techniques in Climate science. SD
  is much cheaper that running the physical model at higher resolution
- Having more resolution (giving local insights) is important in many applications
- Careful with the terminology:
  - downscaling in climate science == upscaling in CV (from lower to a higher resolution)



# **DL-based super-resolution**



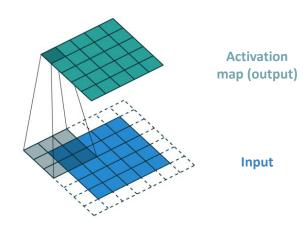
• A large number of super-resolution models have been proposed in the field of computer vision



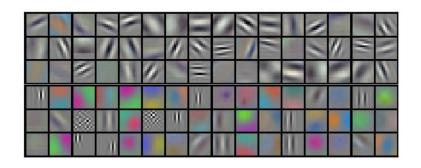
• These ideas have inspired DL-based downscaling methods in climate science, e.g., Vandal et al. 2017, Leinonen et al. 2020, Stengel et al. 2020, Wang et al. 2021, etc

# **CNNs** in a nutshell





2D convolution using a kernel size of 3 (sliding shadow) with stride of 1 and padding



96 convolutional kernels of size 11×11×3 learned by the first convolutional layer of an image classification CNN. From Krizhevsky et al. 2012

- The convolutional layer is the core building block of a CNN that does most of the computational heavy lifting
- Its parameters consist of a set of learnable filters (image on the top right)
- During the forward pass, we slide each filter across the width and height of the input and compute dot products between the entries of the filter and the input at any position



# **Scientific Software Development matters**



- Ugly and tedious job (the most common approach is to publish proofs of concepts)
- (We) scientists suck at programming !!!
  - DRY (Don't Repeat Yourself) \*\*
  - Modularization, abstraction, testing is beneficial in scientific sw development
- Reproducibility crisis is even more relevant in ML/AI
- Python is a vital tool in modern science, data science and ML/AI
- Examples of scientific packages: numpy, scikit-learn, xarray, many other niche packages

<sup>\*\*</sup> https://www.earthdatascience.org/courses/earth-analytics/automate-science-workflows/write-efficient-code-for-science-r/

# **DL4DS** package





DL4DS (Deep Learning for DownScaling)

The different design choices can be combined into 48 different network architectures:

Training	Sample type	Backbone block	Upsampling strategy
Supervised (non-adversarial)	Spatial	Plain Conv	Pre-upsampling: interpolation
Adversarial (conditional)	Spatio- temporal	Residual	Post-upsampling: sub-pixel convolution (SPC)
		Dense	Post-upsampling: resize convolution (RC)
			Post-upsampling: deconvolution (DC)

# **DL4DS** package



```
dl4ds > dl4ds > models > ♣ blocks.py > ...
      import tensorflow as tf
      from tensorflow.keras.layers import (Add, Conv2D, ConvLSTM2D,
                                            SeparableConv2D, BatchNormalization,
                                            LayerNormalization, Activation,
                                            SpatialDropout2D, Conv2DTranspose,
                                            SpatialDropout3D, Concatenate)
      from .attention import ChannelAttention2D
      class ConvBlock(tf.keras.layers.Layer):
          """Convolutional block.
          [1] Effective and Efficient Dropout for Deep Convolutional Neural Networks:
          [2] Rethinking the Usage of Batch Normalization and Dropout:
          https://arxiv.org/abs/1905.05928
          def __init__(self, filters, strides=1, ks_cl1=(3,3), ks_cl2=(3,3),
                       activation='relu', normalization=None, attention=False,
                       dropout_rate=0, dropout_variant=None, depthwise_separable=False,
                       **conv kwargs):
              super(). init ()
              self.normalization = normalization
              self.attention = attention
              self.dropout variant = dropout variant
              self.dropout_rate = dropout_rate
              self.depthwise_separable = depthwise_separable
```

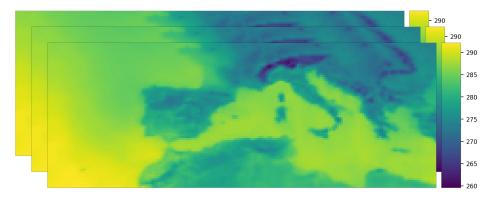
- Written on top of Tensorflow and Keras
- Series of custom layers and model architectures
- Allows easier
   model design and
   hyper-parameter
   optimization
- Distributed training (Horovod)

# **Climate gridded data**

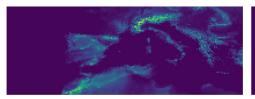


Daily surface air temperature grids from 1981 to 2020 (~15k time samples) for the Mediterranean region

### **Observational reference** (ERA5 tas 0.25°)

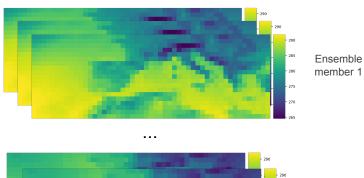


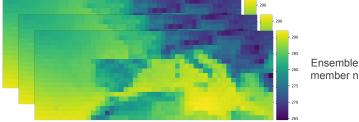
### Static fields (elevation and land-ocean mask)





### **Seasonal forecast** (SEAS5 tas 1°, 4x coarser)





# **DataGenerator for training samples**



```
class DataGenerator(tf.keras.utils.Sequence):
         A sequence structure guarantees that the network will only train once on
         each sample per epoch which is not the case with generators.
448
         you want to modify your dataset between epochs you may implement
         on_epoch_end. The method __getitem__ should return a complete batch.
454
             array,
455
             scale=4.
             batch size=32,
456
             patch size=None,
             time window=None,
              topography=None,
             landocean=None.
460
             predictors=None,
             model='resnet spc',
462
              interpolation='bicubic',
464
              repeat=None,
             Parameters
```

```
def __getitem__(self, index):
    """

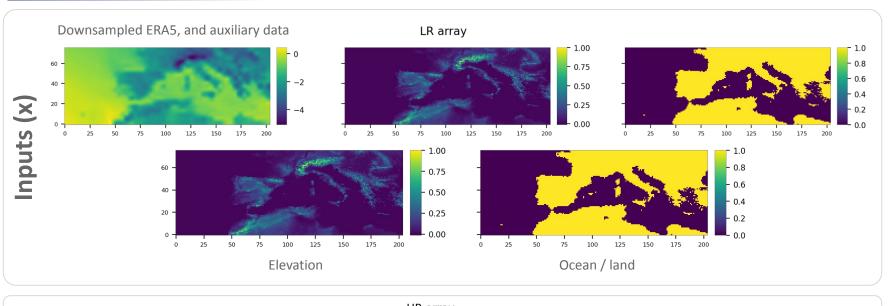
Generate one batch of data as (X, y) value pairs where X represents the input and y represents the output.

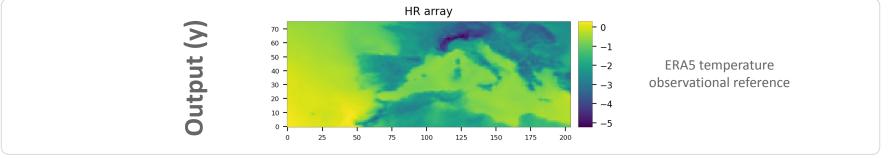
"""
```

- We subclass keras Sequence
- \_\_getitem\_\_ returns a batch of samples (X, y) for supervised training
- All the preprocessing is done here (cropping, resizing, slicing, etc)

# **Training samples (pre-upsampling)**

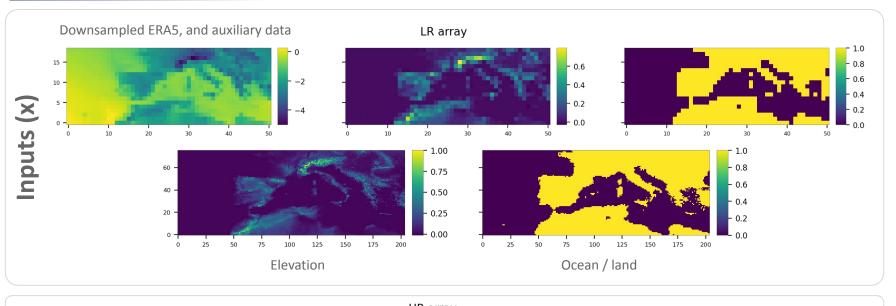


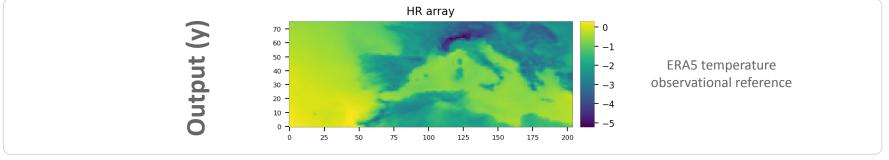




# **Training samples (post-upsampling)**

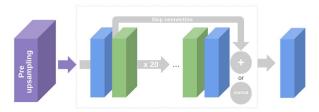




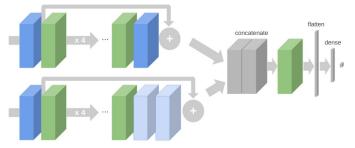


# **Network architectures (pre-upsampling)**

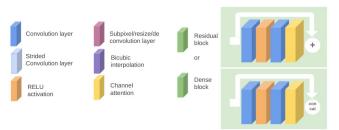




Supervised network or Generator



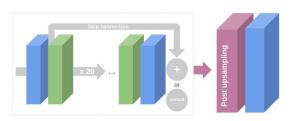
Residual Discriminator



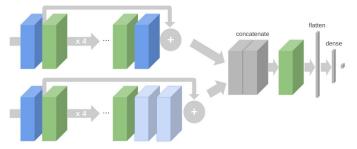
- The network can be trained alone in a fully supervised manner
- Both networks, generator and discriminator can be trained in a conditional adversarial fashion
- For a pre-upsampling architecture the images are upsampled via bicubic interpolation before entering the network
- The network feature learning is done in HR and is more computationally expensive

# **Network architectures (post-upsampling)**

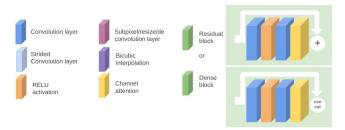




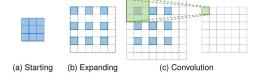
Supervised network or Generator



Residual Discriminator

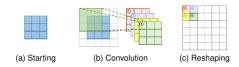


- For a post-upsampling architecture the images are not before entering the network
- The upsampling is performed as a layer of the network itself
- The network feature learning is done in LR and is more computationally efficient
- Deconvolution:



Subpixel convolution:

Taken from Wang et al., 2020



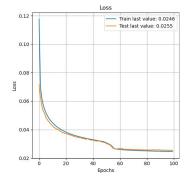
# **DL4DS training API**



```
GEN PARAMS = dict(n filters=32, n blocks=4, dropout rate=0.2, dropout variant='spatial')
DISC PARAMS = dict(n filters=32, n res blocks=4)
model = dds.CGANTrainer(
    'resnet spc',
    data train,
    data test,
    scale=SCALE.
    topography=T0P0,
    landocean=LAOC.
    predictors train=None,
    predictors test=None,
    interpolation='bicubic',
    patch size=None,
    batch size=16,
    epochs=100,
    loss='mae',
    learning rates=(2e-4, 2e-4),
    save=True,
    savecheckpoint path=None,
    verbose=1,
    device='GPU',
    save loss history=False,
    generator params=GEN PARAMS,
    discriminator params=DISC PARAMS)
model.run()
```

- RELU activations
- Adam optimizer
- Spatial dropout (0.2)
- Several losses are implemented
- Example of a learning curve for a supervised CNN with a

MAE loss:



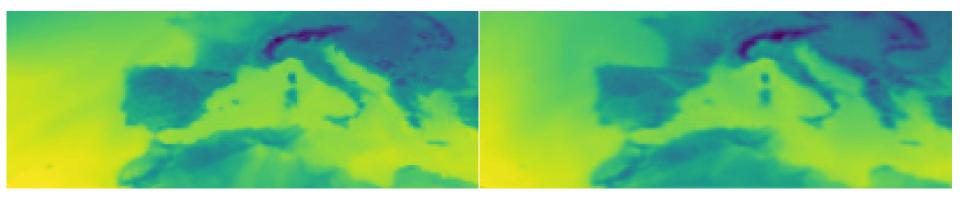
# **Super resolved temperature**



Example from the holdout dataset (samples not used during training)

Groundtruth temperature (20 time steps)

CNN output (from downsampled GT temperature)





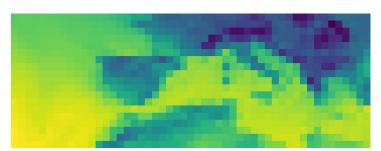
# Inference on seasonal forecast data

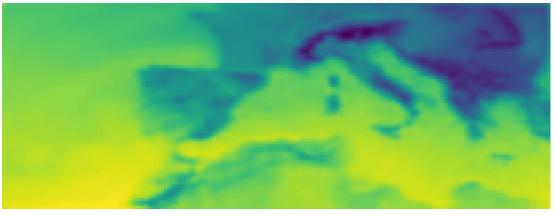


Example from one SEAS5 member at the original low resolution using a given DL4DS network (resnet spc) trained on observational ERA5 temperature data

One SEAS5 (seasonal forecast)

CNN output from the SEAS5 grid on the left





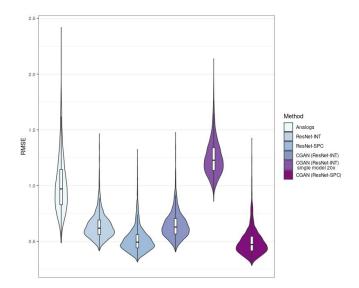
# Inference on seasonal forecast data

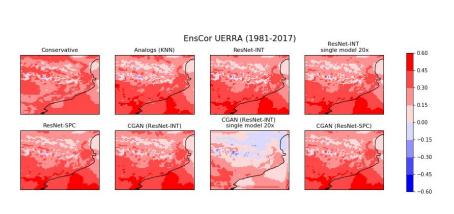


Of course, visual inspection is not enough

Climate science metrics for forecast verification are important for evaluating if the super-resolved seasonal forecast has degraded/improved skill

This is outside of the scope of this talk





# **Takeaway messages**



- AI/ML has great potential in Earth sciences
- Python rocks!
- Scientific software is key in modern research
- Super-resolution techniques might help improve low resolution seasonal climate forecasts
- DL4DS is part of a publication in preparation and has not yet been open sourced







# Thanks!

### Acknowledgements:

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