#### Memory Management

**CMSC 125** 

#### Memory Manager

- Problem of determining which ready processes should be allocated some amount of memory
- CPU and memory manager must "complement" each other

- Crucial to know that code of a process can be moved around in memory during execution
- Process must be loaded in memory during execution
- Executable code may be loaded in different parts of the memory
- Compiler binds instructions and data to memory addresses

- Binding at compile time
  - If it is known where the process will reside in memory before it is executed, compiler may generate absolute code (code will be loaded at a fixed memory address)

#### Binding at load time

- If it is not known at compile time where the code will be loaded, compiler may produce relocatable code
- Binding of instructions and data to memory locations is delayed until load time
- If starting address changes, just re-load the code

- Binding at execution time
  - A requirement of memory management schemes that allows code to move from one location to another during the execution of a process

- Most modern OS requires binding occur at execution time, to allow the code to be moved around in memory
- Memory managers will tend to move code from memory to secondary storage and back to memory again
- When code is moved back in memory it may be loaded in a different location

#### Logical address

- address generated by the CPU
- virtual address

#### Physical address

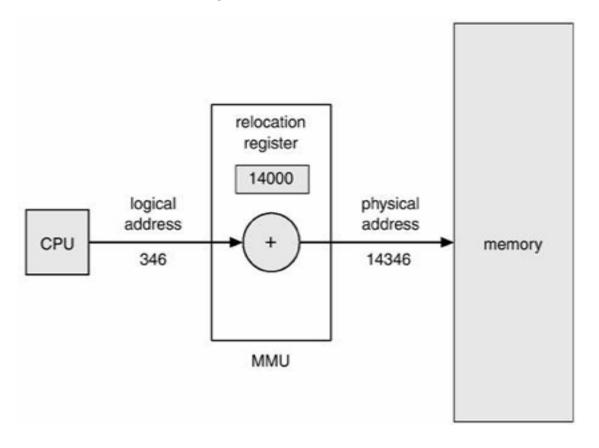
 address seen by the memory unit (the one loaded into the memory address register of the memory)

Compile-time and load-time addressbinding schemes results in an environment where logical and physical addresses are the same.

Execution-time address-binding schemes, logical and physical addresses differ.

#### Memory Management unit (MMU)

- run-time mapping from virtual to physical address
- this is a hardware device; relocation register
- value in the relocation register is added to every address generated by a user process at the time it is sent to memory



Dynamic relocation using a relocation register

# Memory Management in Early Systems

- Memory management was simple
  - Most single-user memory managers tries to allocate all the memory required by a user process
  - Allocates a contiguous piece of memory to the single-user process

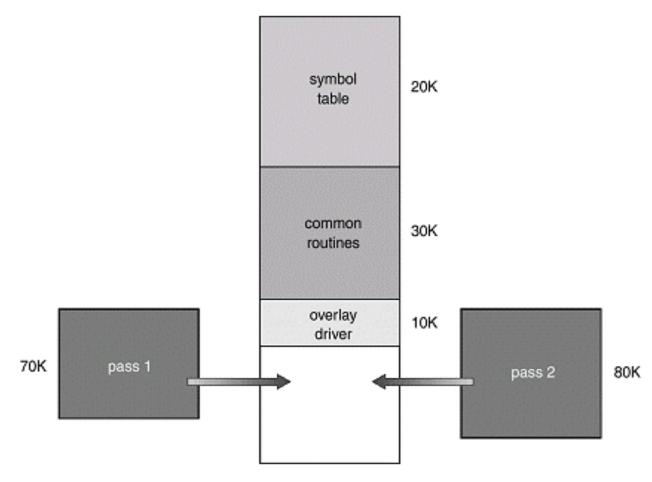
#### Problems:

- Single processors does not fully occupy the allotted memory for user process
- -Creates an unused portion of the memory
- Some user process try to access (worse modify) accidentally or intentionally, area occupied by the OS

- Sizes of the program are limited to the amount of main storage reserved for the user processes
- If memory requirement of a user process is greater than the available memory, then it cannot be executed anymore

#### Solved by Overlays

- –When a section of a program is not needed anymore, another section may be brought in from secondary storage to occupy the storage used to be occupied by the section not needed for execution anymore
- Allows programmers to extend the limited main storage for user processes
- Controlled by programmers; requires careful planning



Overlays for a two-pass assembler

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There is a need to enhance memory manager to one where it allows several processes to be occupying memory at the same time, to allow continued usage of the CPU when a switch from one job to another is being made

Memory manager needs to provide a scheme that minimizes the number of processes being blocked because their codes are not loaded yet

- For batched systems
- Load fixed number of tasks in memory
- Divide memory into small-size, mediumsize and large-size partitions
- Example:
  - -640k user memory area may be partitioned into ten 20k partitions (small-size), four 50k partitions (medium-size) and one 240k partition (large-size)

Small-size partition

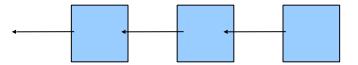
Small-size partition

Small-size partition

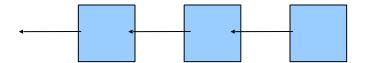
Medium-size partition

Medium-size partition

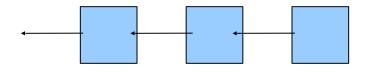
Large-size partition



Queue for small-size partition



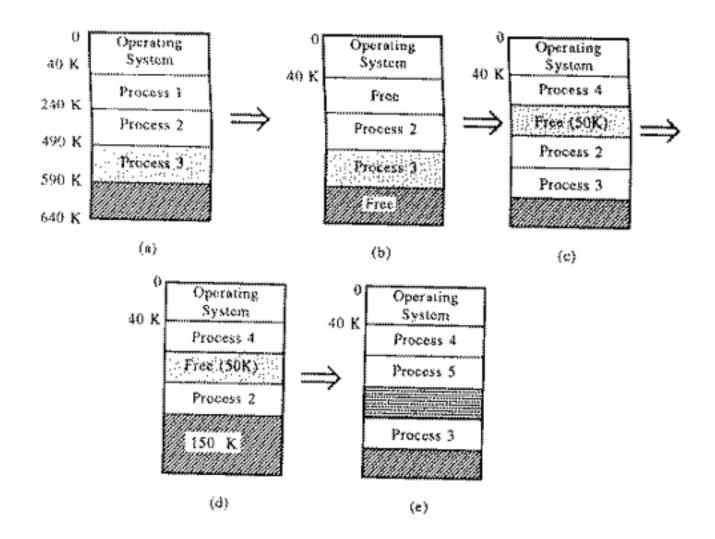
Queue for medium-size partition



Queue for large-size partition

Multiprogramming with fixed number of tasks

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- Each partition is associated with a queue
- Each partition could hold one job and CPU is switched rapidly between jobs in the partition to create the illusion of parallelism

- Partitions are set up by the operator in the morning until the next day, or until the operator decides to change the sizes during the day
- Requires reconfiguration of the system, usually done in the morning when the load is not that much yet

- This scheme was extensively used by OS/360 on large IBM mainframe for many years
- MFT (Multiprogramming with Fixed number of Tasks or OS/MFT)
- When a job arrives, in can be placed into a queue for the smallest partition large enough to hold it

- Best-fit algorithm
  - Place a job in partition that will minimize the amount of memory unused
- Since partitions are fixed, any unoccupied space is lost
- Internal fragmentation
  - When a user job does not completely fill their designated partition
  - Lost memory caused by memory deemed allocation but is unused

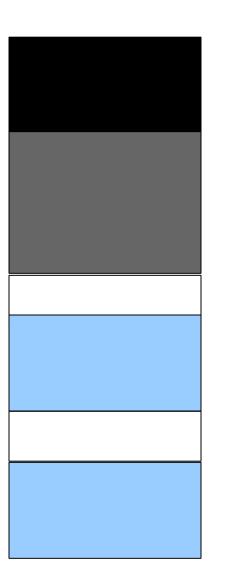
#### External fragmentation

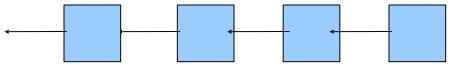
- Lost memory caused by memory that can be allocated but no taker is available to occupy it
- Empty partitions because no job is queued on them
- -There is enough total memory space to allocate a request, but not contiguous, storage is fragmented into different holes

- Scheme that allows a variable number of tasks
- MVT (Multiprogramming with Variable number of Tasks or OS/MVT) arised to solve the problems of MFT

- Number of task is variable because its number is dependent on the number that is allocated memory
- Only one queue of job
- Allocate memory whose size is equal to the size of the code for the process
- Problems will occur once the jobs starts to terminate and will leave holes in memory

- External fragmentation
- One may attempt to compact the memory in order to make a hole big enough to accommodate an incoming job
- Compaction is expensive





Queue of processes waiting to be allocated memory

Multiprogramming with variable number of tasks

External fragmentation may become serious, i.e., there are so many free memories (not contiguous) to accommodate an incoming job

#### **?**Solutions:

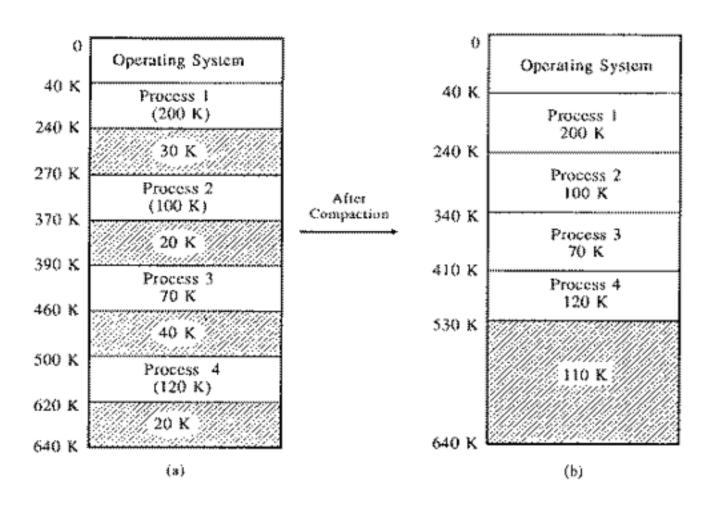
- Compaction
- Relocation

#### Compaction

- Moving all programs in memory to one side of memory so that they are side by side to each other, leaving a large space at the other side of the memory
- Usually used as a last resort, expensive
- Consumes CPU time that could otherwise be used by user processes
- Must stop everything while it is being done
- Requires relocating codes of user process

#### Compaction

- Possible only if the program in memory can be relocated
- Programs that can be relocated are programs that can be located/loaded in different parts of the memory at different times during its execution



#### Relocation

- Normally, a program can grow in size especially if it involves operation on the heap part of the data
- A growing program will then have no place to grow/expand (heap)
- Relocate it to another part of the memory

- Early operating systems like MFT and MVT allocates memory contiguously
- If no memory is big enough to accommodate a program, then it stays in secondary storage
- If program is larger than available memory, it is not possible to execute the program

- Advantage
  - simple to implement
- Disadvantage
  - low level of multiprogramming
  - rampant fragmentation
  - Expensive if compaction/ relocation is allowed

- Modern OS use non-contiguous memory allocation
- Program is divided into several blocks or segments, blocks may be placed anywhere in memory in pieces (not necessarily contiguously and not necessarily all the blocks)

#### Advantage

- blocks are smaller in size and could easily fit in holes found in the memory
- fragmentation is minimized
- All that is needed to be loaded are only the blocks that are involved in the current execution
- High level of multiprogramming since many programs can be loaded in memory at the same time

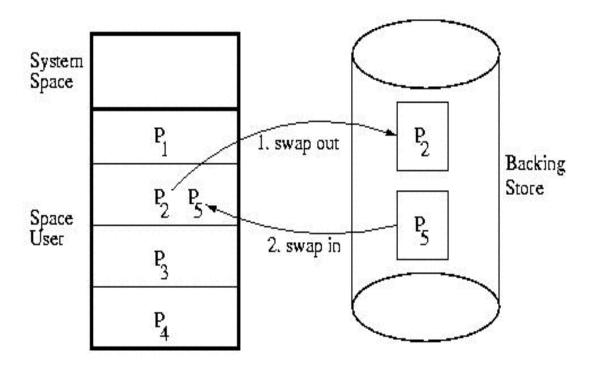
- Disadvantage
  - Very complicated to implement

# Memory Management in Time-Sharing Systems

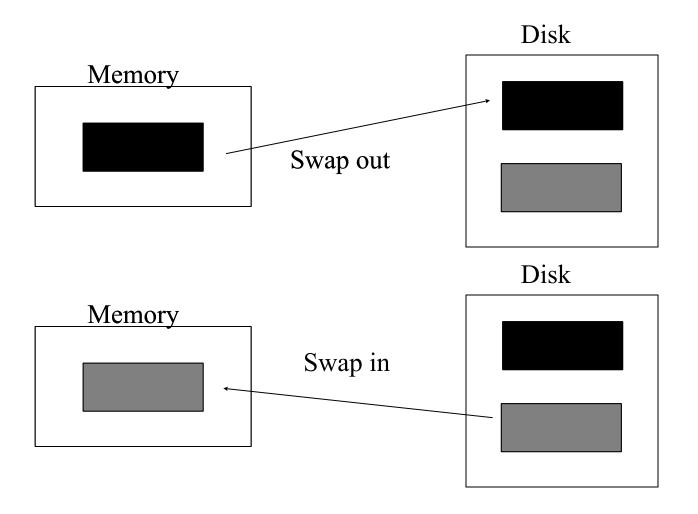
- Allocation of memory to ready processes in a time-sharing system (considered as one of the major problems for the designers of the OS)
- Several user processes are running and not all of them will fit in memory
- Problem: how to manage memory to handle several processes without too much degradation in response time

- Sometimes, a ready process (in a time-shared system) will have to be allocated the CPU even when its code is still in the backing store
- One of those currently occupying the memory will have to give up its space to make way for the higher priority process

- Process that is uselessly occupying memory (waiting for an I/O operation to complete or has consumed its time-share) is selected to give up its space in memory
- Write the core image of this process to the backing store and the core image of the higher priority process is read in



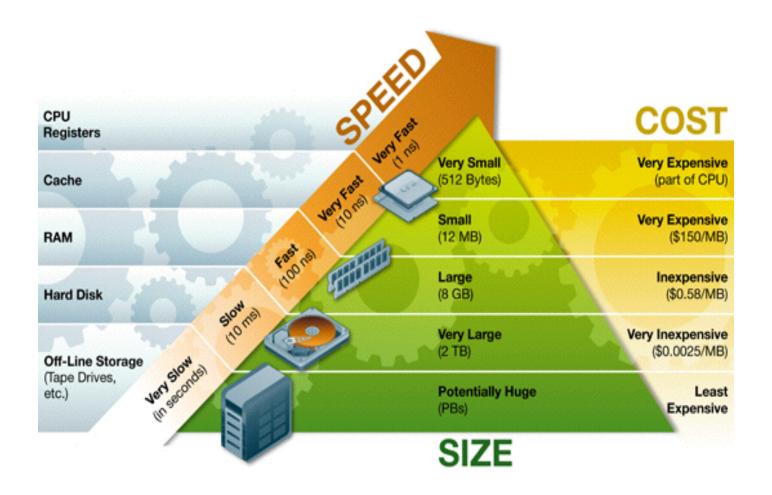
Swapping of two processes using a disk as a backing store

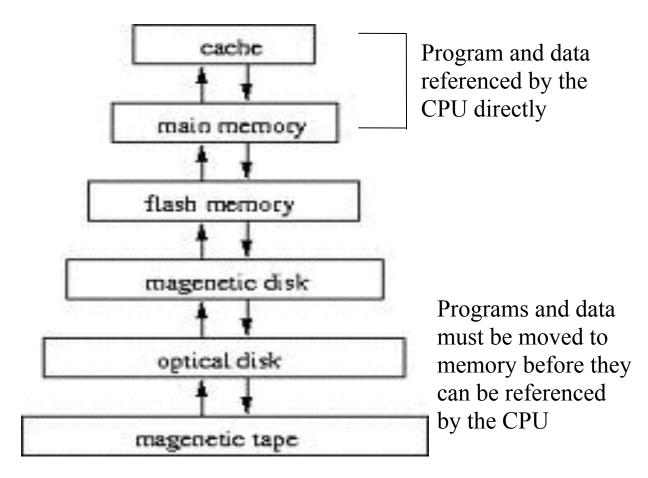


- Swapping device (storage device used to store the core images of swapped out process) may consist of a hierarchy of storage devices, varying in capacity and speed from comparatively small, fast fixed head disk, to slow, but larger exchangeable disks
- Aside from secondary storage, may employ a fast memory, but expensive, to help speedup swapping

- Cache memory
  - Creating hierarchical access techniques that takes advantage of smaller but faster memories

Memory manager is responsible for organizing the swapping of programs between the devices in the hierarchy, although management of the cache is in general a function of the hardware.

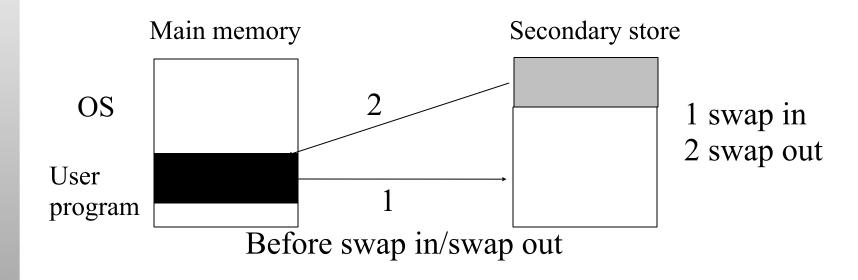


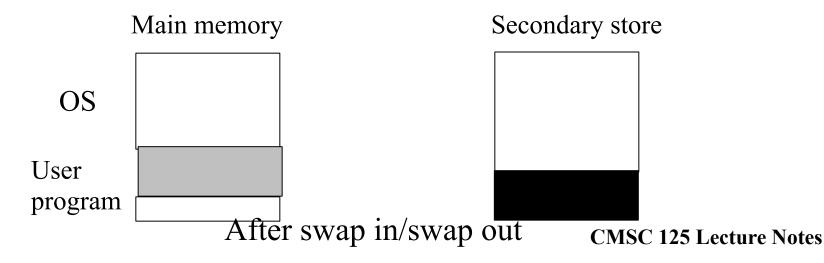


Hierarchy of storage devices

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- Several variations in the technique of swapping programs
  - Total amount of store required;
  - Time waster as a result of swapping
- Swapping strategies
- 1. Simple swapping system
- 2. A more complex swapping system





Let sin swap-in time sout swap-out time slice time slice

We can arrange the system so that memory is large enough for just the OS and one user process, and all processes have to be swapped into the single space when they are allocated their time slice

Store size = 1 process + OS (in memory) time for each interaction = sin + sout + CPU time

\*\* CPU time will vary accdg. to what the process is doing, up to a maximum value given by the time slice period.

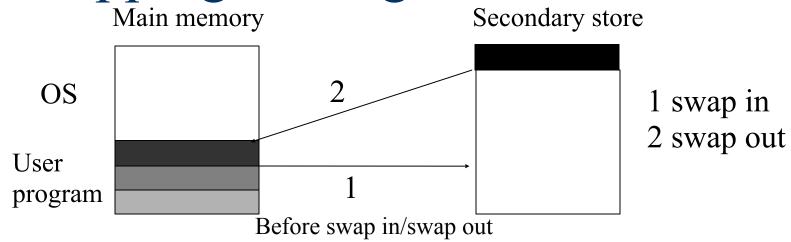
```
CPU utilization = (useful time) / (total time) x 100%
```

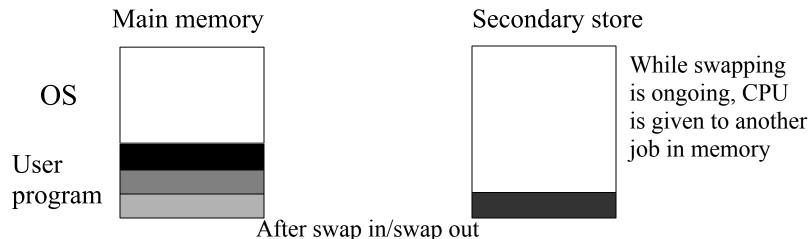
```
= slice / (sin+sout+slice) x 100%
```

$$= 1 / (1+1+1) \times 100\%$$

$$= 1 / 3 \times 100\%$$

- Pisadvantage: CPU is idle while the process is swapped in and out of the memory.
- We can arrange for another process to take control of the CPU while process swapping is going on.
- So...we obviously need more than one process in memory.





Swapping in a system with n processes in memory

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With this scheme, possible that when process i-1 is swapped out, process i+1 is swapped in and while swapping is going on, process i is executing.

```
Store size = n processes (n >= 1) + OS
time for each interaction =
 max(slice,sin+sout)
CPU utilization = (useful time) / (total time) x
  100%
     = slice / max(slice, sin+sout) x 100%
     = 1 / max(1,1+1) \times 100\%
```

 $= 1 / 2 \times 100\%$ 

- Time spent for swapping is an important factor for determining time slice value.
- If time slice is very small, system might be spending most of its time swapping (overhead, remember?) than doing some useful work.
- Example: increasing time slice to 2 secs increases CPU utilization from 50% to 100%

- ? Memory belonging to a process (kernel or user) must be protected from unauthorized access.
- ? Usual approach: separate OS from user process, allowing only a user process to access its own address space while OS process is allowed to access any memory location.

- Methods of protection:
  - Automatic Address Translation
  - Page 0 Page 1 addressing
  - Use of base and limit registers

- ? Automatic Address Translation
  - OS is placed in either the low or high end of the memory
  - User references are modified to prevent them from accessing the address space of the OS
  - PExample: if OS is loaded at the lower end of the memory (i.e., loaded at address 0 to S), then a user reference to address u is automatically modified by accessing (u+S)

- ? Automatic Address Translation
  - PExample: if OS is loaded at higher end of memory (i.e., upper S location of memory), then a user reference to address u is modified by accessing (u mod (M-S)), where M=total size of memory
  - ? So it wraps around the user area when a user reference is made.

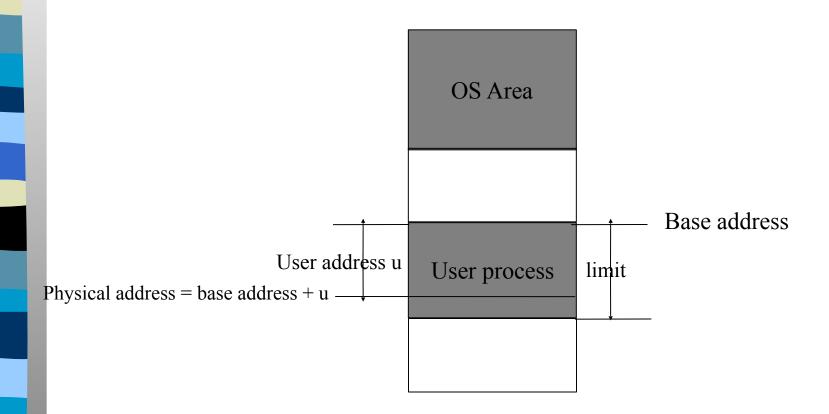
- Page 0 Page 1 addressing
  - Used only when the address space is equally divided between the OS and the user process (i.e., half the address space is for OS and other half for user)
  - Suppose OS is loaded at the lower end of the memory. Every time a user reference to address *u* is made, this address is concatinated with a 1 at the beginning of its binary representation (in effect, adds *M*/2 to *u*, where *M*=size of memory)

- Using base and limit registers
  - ? A user process can be prevented from accessing the OS area by providing two CPU registers; base and limit registers.
  - PLet base and limit be the values stored in the base and limit registers, respectively. Value of base is the starting address where the process is loaded. Value of limit is the size of the code for the said process.

- Using base and limit registers
  - User reference to address u, will have to be modified as follows:

```
if (u > limit)
    "address error"
else
    physical add = u + base;
```

- ? Among the 3 methods of protection, the use of base limits and registers is the one that can be easily extended to protect a multi-user OS.
- Idea is to add 2 entries in the Process Control Block (PCB) of a process; base and limit.



Base and limit registers protection

#### Memory Protection: Example

- Windows NT
  - OS runs in kernel mode
  - Applications in user mode
  - OS area can be accessed only when the code running is in kernel mode
  - User applications that are running in user mode will never be able to access the area allocated to the OS

#### Memory Protection: Example

#### Windows NT

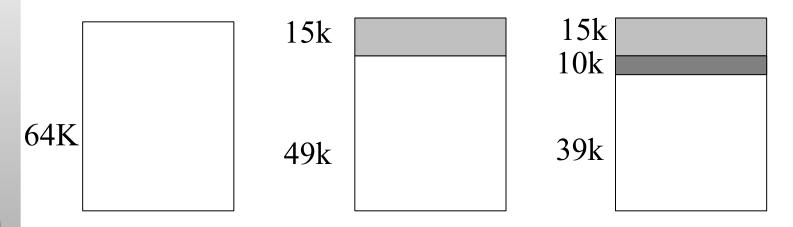
- User app may request access to the OS area by requesting an appropriate OS code to do it
- Windows app is protected from another application by making each app run its own private address space.
- When an app tries to access outside this private address space, it is prevented from doing so. Accidental access of one app to another app is not possible.

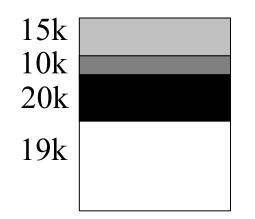
# Design Considerations of Memory Management

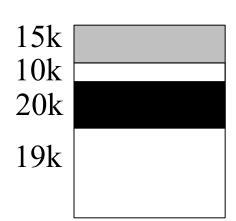
To implement an efficient memory manager, need to consider the ff:

- 1. Fragmentation
- 2. Locality of programs
- 3. Sharing of code

- Pholes in memory might be created in the process of swapping in and out of programs in memory
- It is not possible to allocate these holes to existing jobs that need memory since the size of the holes are too small





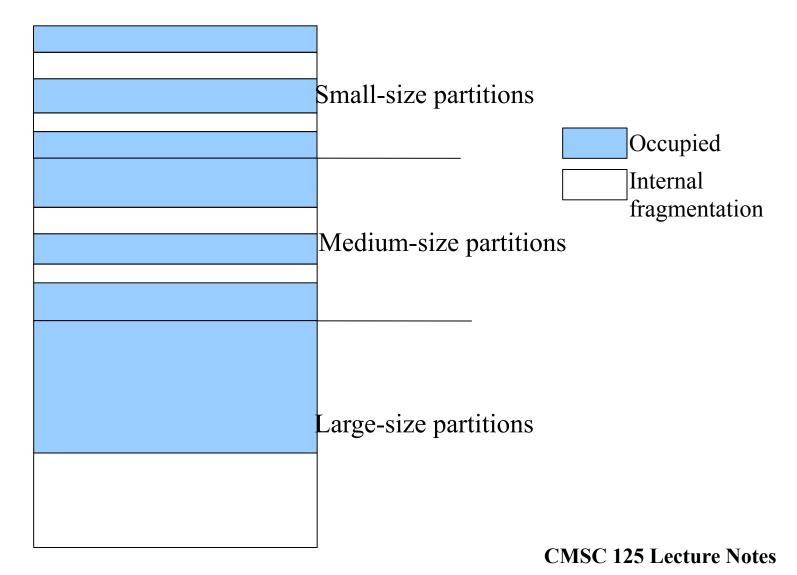


Initially, memory is free.

Let jobs whose sizes are 15k, 10k and 20k are loaded in that order.

After a while, 10k job terminates, which creates two chunks of memory that are free (10k and 19k chunks).

Suppose next job needs 25k for memory. Although total space available is 29k, it's not possible to allocate the free memory, since it's not contiguous.



When a job needs M words of memory and is allocated N words, where N>M, N-M is the amount of internal fragmentation in the system.

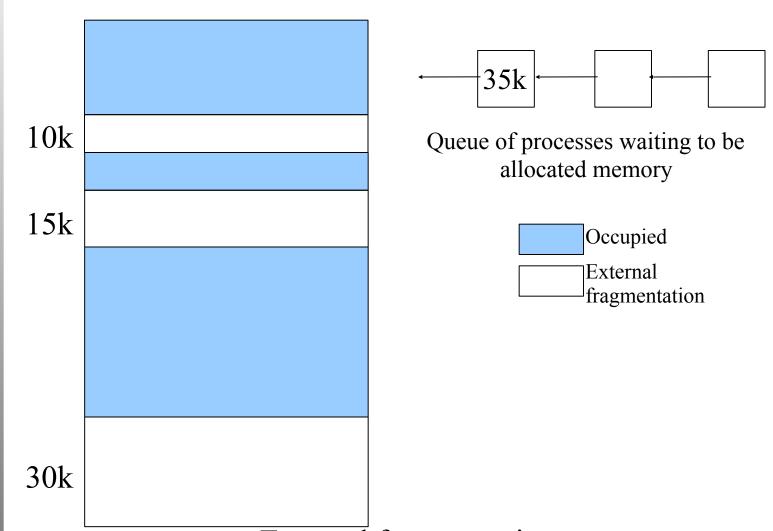
As long as the OS allows the allocation of memory whose size is greater that what is required by a process, internal fragmentation can never be eliminated.

To solve external fragmentation, move up all jobs to "squeeze" out the holes and leave all unused memory as one contiguous block in one end of memory.

Compaction – very high overhead, consider the binding of user variables to memory location

Worse case, needs recompilation of user programs in memory.

Okay, since code in most systems is relocatable. No need to load whole program to memory, only the necessary parts.



External fragmentation

### Locality of Program Execution

Concerned with the way programs use their virtual address space

In paging systems, it is observed that processes tend to access certain subsets of pages and that these pages are often adjacent to one another

### Locality of Program Execution

Can be observed in accesses to the memory by computer systems

Temporal locality can be observed when the computer system execute code that contains loops in them

Spatial locality is observed when the computer system execute code that is sequential.

# Sharing of Code

In time-sharing systems, several users might need the same utilities (compiler, assembler, text editor etc)

No need to provide each process a copy of the code

No need to swap in a copy of the compiler with each request from a process

Memory manager just checks if the said compiler is loaded

### Sharing of Code

In order to be able to share codes in the system, the processes should:

- 1. not be self-modifying
- 2. store their data separate from the programs

## Virtual Memory Management

One problem of earlier systems: Impossible to run a program if its size is greater than the available memory allocated to users.

Overlays were introduced to solve this problem. Overlays are stored in secondary memory and are swapped in and out of the memory.

User has to make user the size of one overlay does not exceed the size of available memory

## Virtual Memory Management

A process maintains a virtual memory that is part main memory and part secondary storage.

## Virtual Memory Management

### Techniques:

- 1. Segmentation
- 2. Paging
- 3. Paged Segmentation

- ? One segment may contain set of instructions (program part) and another segment for data (data part)
- Program part may compose of several procedures (some user-defined, some library)
- Virtual address space is divided into segments (procedures, modules, data, etc.)

- Each segment is loaded in memory when needed in the execution of an instruction
- Segments are of different sizes
- Quite similar to MVT, but whole program is not loaded in memory
- Programmer has the control how the program is divided into segments

- Virtual address (s,d)
  - -s segment number
  - -d address within the segment (offset from the start of the segment)
- Segment number is used as index for locating an entry in the segment table
- PEach entry in the segment table contains the base location (b) and the length of the segment (l)

- ? Offset (d) of the logical address must be between 0 and the segment limit (l).
  - -If d>I, error interrupt (address error) occurs
  - Otherwise, d is added to the segment base to produce the physical address

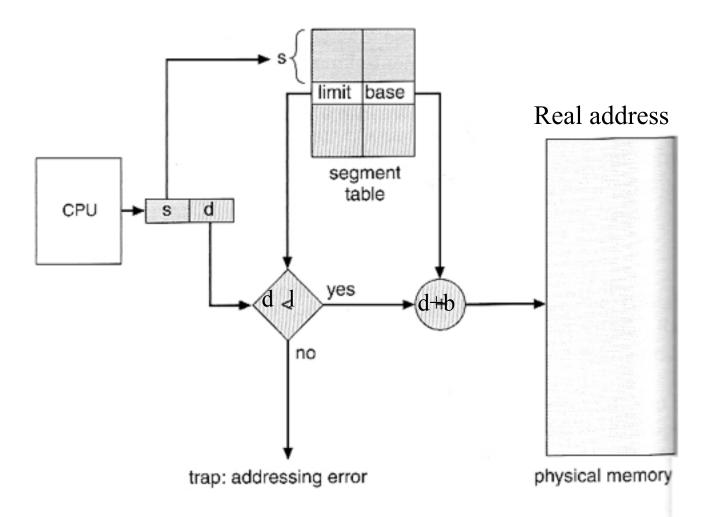
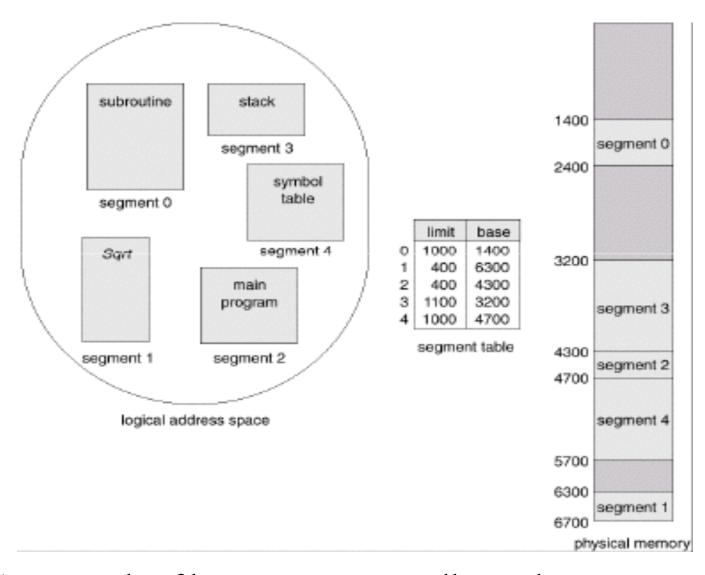


Figure 8.19 Segmentation hardware.

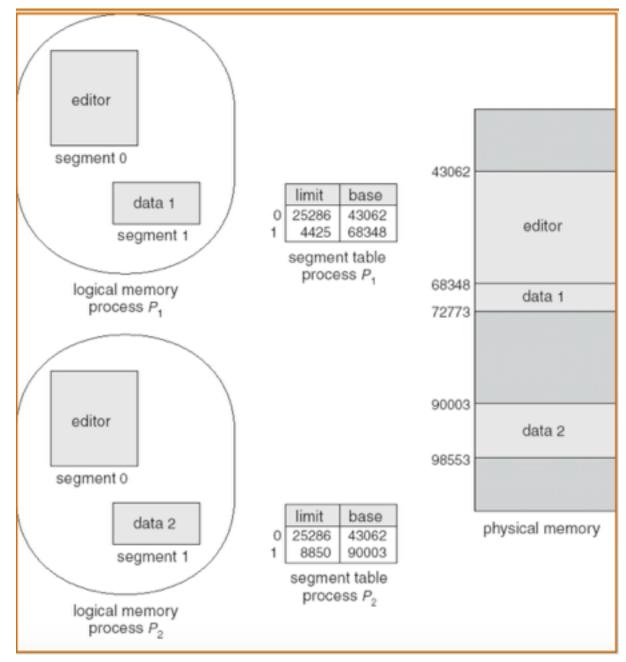
Address translation in segmentation

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An example of how segments are allocated memory

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Sharing of segments

#### Problem:

1. External fragmentation

Consequence of segments being of different sizes...

#### 2. Deadlock

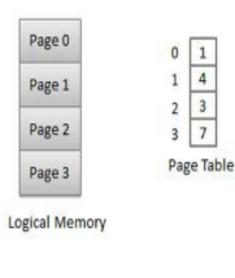
Although all processes have some of their segments in memory, the number of segments may not be enough for a process to continue

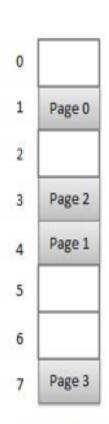
Virtual address space is divided into pages of equal sizes

Main memory (or physical address space) is divided into *page frames* of the same size as the pages.

Page frames are shared between the processes currently in the system (READY and RUNNING processes)

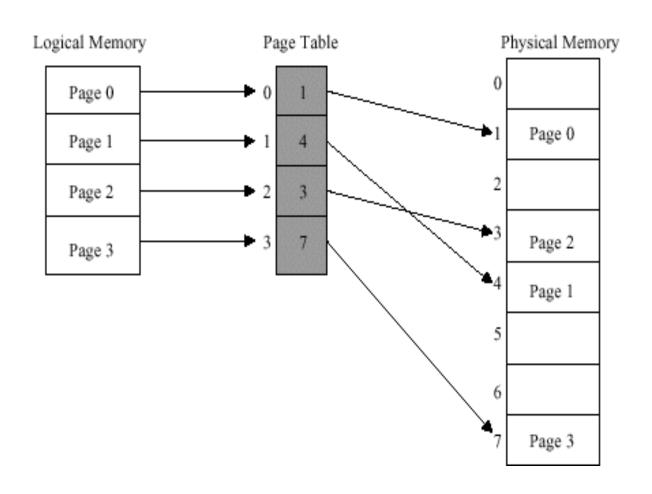
In paging, it is the OS that's responsible for dividing the programs into pages.





Physical Memory

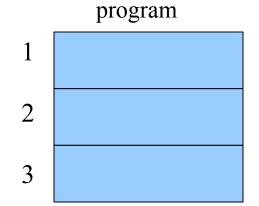
- ? Virtual address (p,d)
  - -p page number
  - -d page offset
- Page number (p) is used as an index to locate an entry in a page table that contains the base addresses of the page frames being occupied by the pages.



### Functions of paging mechanisms:

1. To perform the address mapping operation; to determine which page a program address refers to, and to find which page frame, if any, the page currently occupies

2. To transfer pages from secondary memory to main memory when required, and to transfer them back to secondary memory when they are no longer being used

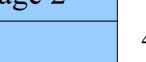


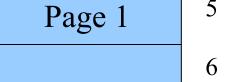
Page	
number	

1	5
2	3
3	2

Page	Frame
number	number

Mair	i memo
P	age 3
р	age 2







An example of how pages are allocated to page frames

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Address translation in paging occurs every time access to the memory is made.

Most systems opt for a hardware implementation of the page table.

Use a set of dedicated registers to store the entries of the page table, but use of register is acceptable when page table is relatively small

# Paging advantages

1.) Completely avoids the problem of external fragmentation (since memory is allocated in fixed-sized blocks & there is no need to place them in memory contiguously). But there is still some form of internal fragmentation (minimal, though)

## Paging advantages

2.) In creases potential for multiprogramming; no need to load the whole user program, just pages that are needed. Deadlock is also possible in paging, occurs when pages from a program are shuttled back and forth to and from memory

## Paging advantages

3.) A page can be loaded anywhere in memory, thus, easier to implement swapping in and out of pages in memory

### Issue: Page Size

- Too small minimize amount of internal fragmentation, program will need many pages in memory before it can execute
- prone to page fault errors or deadlock
- large page table will be needed by the system

# Paging vs Segmentation

#### Differences:

- 1. Segmentation division into segments of a program involves the programmer
  - Paging division into pages is transparent to the programmer
- 2. Separate compilation is allowed in segmentation, not allowed in paging

# Paging vs Segmentation

Evaluate the virtual addressing schemes according to:

- 1. memory utilization
- 2. memory allocation
- 3. sharing of code

# Memory Utilization

Paging achieves very good memory utilization. May have internal fragmentation but wastage not that much.

# Memory Utilization

Efficient memory utilization is more difficult in segmented systems. Although, one can share segments to avoid loading identical copies of some programs. But since segments may vary in size, it's difficult to efficiently manage memory.

# Memory Allocation

Good in paging; simple, since in paging you have a pool of identical page frames. Problem when new page frames comes much faster than the voluntary release of page frames; preemption of page frames has to be done to allocate new page frames coming in.

# Memory Allocation

More difficult in segmentation; best-fit, first-fit, worst-fit are all well as long as there as "holes" big enough to take a new segment. If external fragmentation is rampant, may resort to compaction, which is very expensive.

# Sharing of Code

Difficult in paged systems.

Perfect for segmentations. Can specify allowed usage on it, highly sharable.

Advantages of the ff. virtual memory techniques:

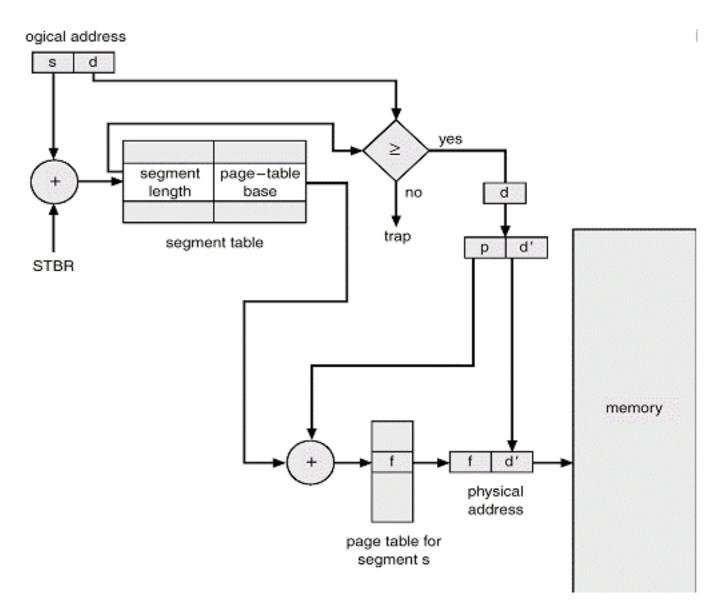
Paging – eliminated external fragmentation

Segmentation – logical division of programs and sharing of code readily available

A virtual memory technique wherein we can divide segments into pages, to combine the advantages of the paging and segmentation.

- Virtual address (s, p,d')
  - -s segment number
  - −*p* − page number
  - -d' displacement within the page
- ? 2 maps for each user process 2 stages in the mapping procedure
  - -1<sup>st</sup> map describes the segments, detailing their allowed usage, size, etc.

- ?cont...
  - –Where in the system memory their page-map tables have been placed
  - For each segment there is a page map defining the page frames on the backing store and, if allocated, in main memory that corresponds to each page



Paged Segmentation

# Memory Allocation Strategies

#### 1. Placement Policies

where in main memory should incoming processes be placed

#### 2. Replacement Policies

 which process should be taken out of memory to make room for incoming process

#### 3. Fetch Policies

 where to obtain the code of the next process for insertion to the main memory

In non-paged systems, placement policy must maintain a list of the locations and sizes of all unallocated parts of memory (free list).

Decide which free memory should be used, and update the free list after each insertion

#### Placement Algorithms:

- 1. Best-fit chunk of memory in the free list which produce the smallest left-over
- 2. Worst-fit largest left-over
- 3. First-fit first chunk of memory in the free list that is big enough to accommodate the requesting process

#### Example:

Free list contains list of free memory sizes: 10k, 25k, 17k, 20k, 50k, 13k, 5k

Suppose an incoming process requires 18k of memory. The ff. will be the free memory that will be allocated:

Best-fit – 20k

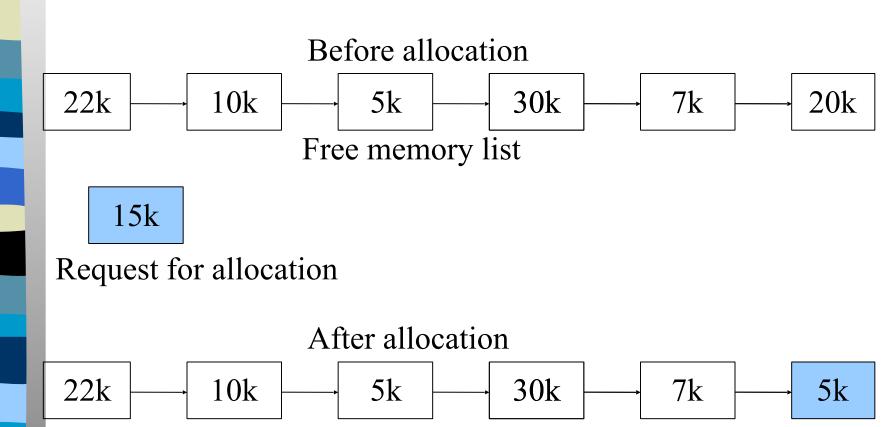
Worst-fit – 50k

First-fit - 25k

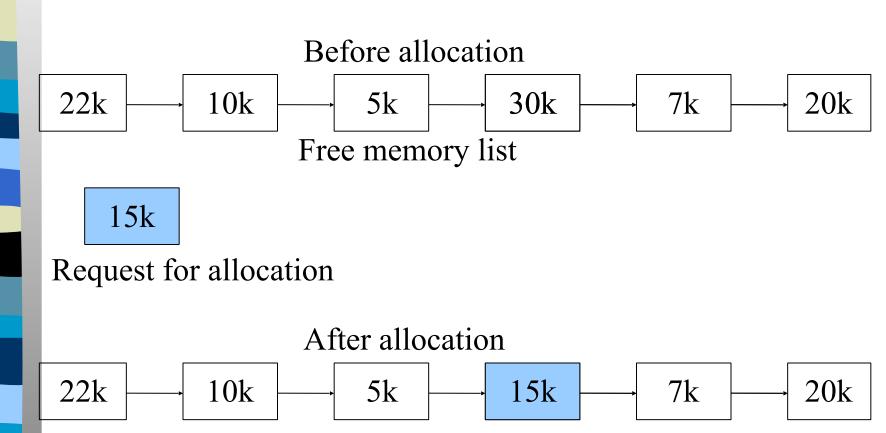
It is possible for best-fit and first-fit to be one and the same if we have a sorted free list.

If free list sorted in increasing memory size, first-fit will also be the best-fit.

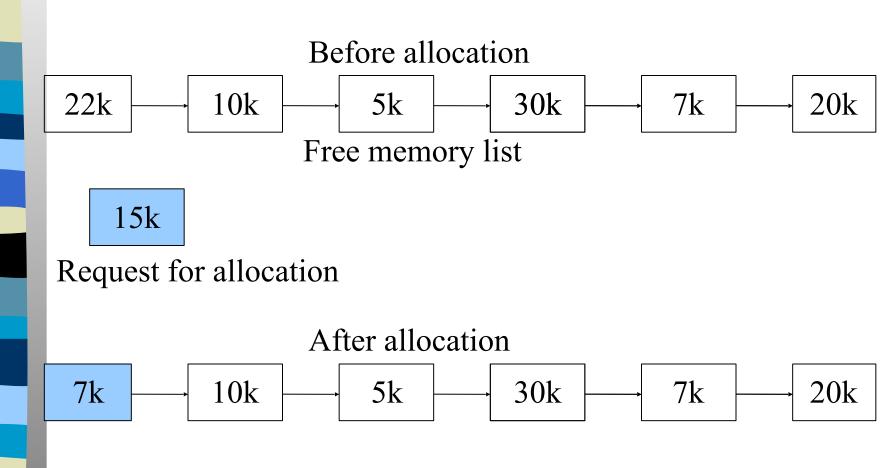
If free list sorted in decreasing memory size, first-fit will also be the worst-fit.



Best-fit algorithm



Worst-fit algorithm



First-fit algorithm

### Replacement Policies

In non-paged systems...

Replace a segment that is least likely to be referenced in the immediate future; consider that segments vary in sizes, so sizes should be considered.

### Replacement Policies

In paged systems...

Replace pages that are not going to be referenced for the longest time in the future; just infer from past behavior what future behavior is likely to be

## Least Recently Used (LRU)

Replace the page that has not been recently been used.

Every time a page is referenced, it is stamped with the time it was referenced. Selecting next page to be replaced is the page with the oldest time stamp.

# Least Recently Used (LRU)

Simple, but expensive to implement properly. Maintain a sorted linked list of all pages in memory.

- Newer time stamps are placed at the tail of the list
- Older time stamps placed at the head of the list
- Every time a page comes in memory and a victime page is to be replaces, the tip of the head of the queue is selected

# Least Frequently Used (LFU)

Replace the page that has been used less frequently during some immediate preceding time interval.

A use counter is associated with each page in memory. Use counter is incremented when a reference to it is made. Page with lowest use counter is replaced when a request for memory is made.

# Least Frequently Used (LFU)

Maintain a sorted linked list of all pages in memory.

- Higher use count are placed at the tail of the list
- Lower use count placed at the head of the list
- Every time a page comes in memory and a victim page is to be replaces, the tip of the head of the queue is selected

Each page is associated with a reference bit and modified bit (dirty bit).

- Initially the reference and modified bits of all pages are 0
- when a page is referenced, reference bit set to 1
- when a page is modified, modified bit set to 1
- when a page is about to be replaced, find a page that has not been referenced, otherwise replace a referenced page

- If a page has been referenced, check if it has been modified
- if not modified, replace it; otherwise replace a modified page

Four classes of pages:

Class 1: Not referenced; not modified

Class 2: Not referenced; modified

Class 3: Referenced; not modified

Class 1: Referenced; modified

Last priority: Class 4 pages

Why? When they are selected as victims, there is a need to write them on secondary storage because their contents were modified. Unmodified page victims need not be written back in secondary storage, so they can be handled faster.

### First-in-first-out (FIFO)

Replace the "oldest" page

To lessen overhead cost (which is apparent in previous methods discussed).

When a page is loaded, it is time stamped, then replace oldest page in memory.

Maintain a queue.

### First-in-first-out (FIFO)

Shortcomings: this policy disregards usage of a particular page

Resolution: Implement FCFS with another method (example NRU)

#### Random

Simply replace a page at random.

Good, since it has no overhead cost.

So each page in memory has an equal probability to be replaced.

# Page frame replacement policies

#### Global replacement

- Allows selection of a victim from all the set of page frames even if the frame is currently allocated to another process
- It is allowed for one process to take the page frame allocated to another process

# Page frame replacement policies

#### Local replacement

- Allows only that each process selects a victim from out of the frames allocated to that process
- # of frames allocated to a process does not change
- Lesser flexible than local replacement

### Fetch Policies

- Fetch policies determine when to move a block of information from secondary memory to main memory
- Policies for choosing a block depends on whether the blocks are pages or segments
- Anticipatory or demand

# Thrashing

A situation where the system spends more time shuttling the parts of a program (pages or segments) from main memory to backing store than in executing the program.

One cause: multiprogramming of too many jobs, leaving an insufficient number of main memory page frames being allocated to each individual job

# Thrashing

Often occurs in system where local replacement is used.

Can be minimized if the replacement policy used is global. If one process starts to thrash, it can steal frames from one process causing them to avoid thrashing.