

# Optional Type Classes for Haskell

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**Abstract** This paper explores an approach for allowing type classes to be optionally declared by programmers, i.e. programmers can overload symbols without declaring their types in type classes.

The type of an overloaded symbol is, if not explicitly defined in a type class, automatically determined from the anti-unification of instance types defined for the symbol in the relevant module.

This depends on a modularization of instance visibility, as well as on a redefinition of Haskell’s ambiguity rule. The paper presents the modifications to Haskell’s module system that are necessary for allowing instances to have a modular scope, based on previous work by the authors. The definition of the type of overloaded symbols as the anti-unification of available instance types and the redefined ambiguity rule are also based on previous works by the authors.

The added flexibility to Haskell-style of overloading is illustrated by defining a type system and by showing how overloaded record fields can be easily allowed with such a type system.

## 1 Introduction

This paper proposes an approach for allowing symbols to be overloaded in Haskell without explicitly declaring their types in type classes. For this, modifications to Haskell’s module system are required so that instances have a modular scope, as well as a redefinition of Haskell’s ambiguity rule.

The proposed approach is based on the following ideas:

1. As usual, the type of an overloaded symbol is a constrained type of the form  $\forall \bar{a}. C \Rightarrow \tau$ , where  $C$  is a set of constraints and  $\tau$  is a simple type; a constraint is a class name followed by a sequence of type variables.
2. An overloaded symbol  $x$  can be defined by instance declarations of the form `instance  $x = e$` , without explicitly declaring its type in a type class.

3. The type of  $x$  is automatically determined from the anti-unification of the instance types for  $x$  that are visible in the relevant module, by creating a type class with a single member ( $x$ ). The algorithm used for computing the type of  $x$  is presented in Section 3.
4. Simple modifications to Haskell’s module system are required so that instances have a modular scope. This is based on previous work by the authors which is summarized in Section 5.
5. Also, a redefinition of Haskell’s ambiguity rule is required, as discussed in Section 4.

The proposed approach is formalized in Section 6, where a type system for a core-Haskell language where type classes can be optionally declared is presented. Modularized instance scopes with a revised ambiguity rule and optional type classes may also avoid the use of qualified imports (as used e.g. in the *classy-prelude*, used in e.g. Yesod [15]).

The added flexibility to Haskell-style of overloading is illustrated by presenting a simple implementation for overloaded record fields based on the proposed approach (cf. Section 7).

Related work is discussed in Section 8 and Section 9 concludes.

A prototype implementation of a type inference algorithm for Haskell supporting overloading without the need of defining a type class is available [18].

## 2 Preliminaries

This section introduces basic definitions and notations. Meta-variable usage and the syntax of types are given in Figure 1.

Class Name	$A$
Type variable	$a, b$
Type constructor	$T$
Simple Constraint	$\pi ::= A \bar{\tau}$
Set of Simple Constraints	$C$
Constraint	$\theta ::= \forall \bar{a}. C \Rightarrow \pi$
Simple Type	$\tau, \rho ::= a \mid T \mid \tau \tau'$
Constrained Type	$\delta ::= C \Rightarrow \tau$
Type	$\sigma ::= \forall \bar{a}. \delta$
Substitution	$\phi$

**Figure 1.** Syntax of Types

For simplicity and following common practice, kinds are not considered in type expressions and type expressions which are not simple types are not explicitly distinguished from simple types.

As usual, we assume the existence of type constructor  $\rightarrow$ , that is written as an infix operator  $(\tau \rightarrow \tau')$ . A type  $\forall \bar{a}. C \Rightarrow \tau$  is equivalent to  $C \Rightarrow \tau$  if  $\bar{a}$  is empty and, similarly,  $C \Rightarrow \tau$  is equivalent to  $\tau$  if  $C$  is empty.

The set of type variables occurring in  $X$  is denoted by  $tv(X)$ , where  $X$  can be a type, a constraint, sets of types or constraints, or a typing context.

Notation  $\bar{x}^n$ , or simply  $\bar{x}$ , is used throughout this paper to denote the sequence  $x_1 \cdots x_n$ , or  $x_1, \dots, x_n$ , or  $x_1; \dots; x_n$ , depending on the context where it is used, where  $n \geq 0$ , and  $x$ 's can be either type variables, or mappings, or bindings etc. When used in a context of a set, it denotes  $\{x_1, \dots, x_n\}$ .

A substitution  $\phi$  is a function from type variables to simple type expressions. The identity substitution is denoted by  $id$ .  $\phi(\sigma)$  (or simply  $\phi\sigma$ ) represents the capture-free operation of substituting  $\phi(a)$  for each free occurrence of  $a$  in  $\sigma$ .

We overload the substitution application on constraints, constraint sets and sets of types. Definition of application on these elements is straightforward. The symbol  $\circ$  denotes function composition and  $dom(\phi) = \{\alpha \mid \phi(\alpha) \neq \alpha\}$ .

The notation  $\phi[\bar{a} \mapsto \bar{\tau}^n]$  denotes the substitution  $\phi'$  such that  $\phi'(b) = \tau_i$  if  $b = a_i$ , for  $i = 1, \dots, n$ , otherwise  $\phi(b)$ . Also,  $[\bar{a} \mapsto \bar{\tau}] = id[\bar{a} \mapsto \bar{\tau}^n]$ .

### 3 Anti-unification of instance types

A simple type  $\tau$  is a generalization of a set of simple types  $\bar{\tau}^n$  if there exist substitutions  $\bar{\phi}^n$  such that  $\phi_i(\tau) = \tau_i$ , for  $i = 1, \dots, n$ . For example,  $a_0 \rightarrow a_0$ ,  $a_1 \rightarrow a_2$ , and  $a_3$  are generalizations of  $\{Int \rightarrow Int, Float \rightarrow Float\}$ .<sup>5</sup>

We say that  $\tau$  is less general than  $\tau'$ , written  $\tau \leq \tau'$ , if there exist a substitution  $\phi$  such that  $\phi(\tau') = \tau$ . For example,  $a_0 \rightarrow a_0 \leq a_1 \rightarrow a_2 \leq a_3$ .

The *least common generalization* (lcg) of a set of types  $S$  and a type  $\tau$  holds, written as  $lcg_r(S, \tau)$ , if, for all generalizations  $\tau'$  of  $S$  we have  $\tau \leq \tau'$ .

The concept of least common generalization was studied by Gordon Plotkin [16,17], that defined a function for constructing a generalization of two symbolic expressions. In Figure 2, we define function  $lcg$ , which returns a lcg of a finite set of simple types  $S$ , by recursion on the structure of  $S$ , using function  $lcg'$  to compute the generalization of two simple types. For two types  $\tau_1$  and  $\tau_2$  the idea is to recursively traverse the structure of both types using a finite map to store previously generalized types. Whenever we find two different type constructors, we search on the finite map if they have been previously generalized. If this is the case, the previous generalization is returned. If these two type constructors are not in the finite map, we insert them using a fresh type variable as their generalization and return this new variable.

As an example of the use of  $lcg$ , consider the following types (of functions  $map$  on lists and trees, respectively):

$$\begin{aligned} (a \rightarrow b) &\rightarrow [a] \rightarrow [b] \\ (a \rightarrow b) &\rightarrow Tree\ a \rightarrow Tree\ b \end{aligned}$$

<sup>5</sup> A generalization is also called a (first-order) *anti-unification* [2].

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 $lcg(S) = \tau \quad \text{where } (\tau, \phi) = lcg'(S, id), \text{ for some } \phi$ 

 $lcg'(\{\tau\}, \phi) = (\tau, \phi)$ 

 $lcg'(\{\tau_1\} \cup S, \phi) = lcg''(\tau_1, \tau', \phi') \quad \text{where } (\tau', \phi') = lcg'(S, \phi)$ 

 $lcg''(T \bar{\tau}^n, T' \bar{\rho}^m, \phi) =$ 
  if  $\phi(a) = (T \bar{\tau}^n, T' \bar{\rho}^m)$  for some  $a$  then  $(a, \phi)$ 
  else
    if  $n \neq m$  then  $(b, \phi[b \mapsto (T \bar{\tau}^n, T' \bar{\rho}^m)])$ 
      where  $b$  is a fresh type variable
    else  $(\psi \bar{\tau'}^n, \phi_n)$ 
      where  $(\psi, \phi_0) = \begin{cases} (T, \phi) & \text{if } T = T' \\ (a, \phi[a \mapsto (T, T')]) & \text{otherwise, } a \text{ is fresh} \end{cases}$ 
       $(\tau'_i, \phi_i) = lcg''(\tau_i, \rho_i, \phi_{i-1}), \text{ for } i = 1, \dots, n$ 

```

**Figure 2.** Least Common Generalization

A call of  $lcg$  for a set with these types yields type  $(a \rightarrow b) \rightarrow c \ a \rightarrow c \ b$ , where  $c$  is a generalization of type constructors  $[]$  and  $Tree$  (for  $c$  to be used in  $c \ b$ , mapping  $c \mapsto ([], Tree)$  is saved in parameter  $\phi$  of  $lcg''$ , to be reused).

The following theorems guarantee correctness of function  $lcg$ :

**Theorem 1 (Soundness of  $lcg$ )** *For all (sets of simple types)  $S$ , we have that  $lcg(S)$  yields a generalization of  $S$ .*

**Theorem 2 (Completeness of  $lcg$ )** *For all (sets of simple types)  $S$ , we have that  $lcg_r(S, lcg(S))$  holds (i.e.  $lcg(S)$  is a generalization of  $S$ ) and, for any  $\tau$  that is a generalization of  $S$ , we have that  $lcg(S) \leq \tau$ .*

**Theorem 3 (Compositionality of  $lcg$ )** *For all non-empty (sets of simple types)  $S, S'$ , we have that  $lcg(lcg(S), lcg(S')) = lcg(S \cup S')$ .*

**Theorem 4 (Uniqueness of  $lcg$ )** *For all (sets of simple types)  $S$ , we have that  $lcg(S)$  is unique, up to variable renaming.*

The proofs use straightforward induction on the number and structural complexity of elements of  $S$ .

## 4 Ambiguity Rule

The versions of Haskell supported by GHC [8] — the prevailing Haskell compiler — are becoming complex, to the point of affecting the view of Haskell as

the best choice for general-purpose software development. A basic issue in this regard is the need of extending the language to allow multiple parameter type classes (MPTCs). This extension is thought to require additional mechanisms, such as functional dependencies [10] or type families [3]. In another paper [1], we have shown that the introduction of MPTCs in the language can be done without the need of additional mechanisms: a simplifying change is sufficient, to Haskell’s ambiguity rule. Interested readers are referred to [1]. The main ideas are summarized below.

In (GHC) Haskell, ambiguity is a property of a type: a type  $\forall \bar{a}. C \Rightarrow \tau$  is ambiguous if there exists a type variable that occurs in the set of constraints ( $C$ ) that is not uniquely determined from the set of type variables that occur in the simple type ( $\tau$ ). This unique determination is such that, for each type variable  $a$  that occurs in  $C$  but not in  $\tau$  there must exist a functional dependency  $b \mapsto a$  for some  $b$  in  $\tau$  (or a similar unique determination specified via type families). Notation  $b \mapsto a$  is used, instead of  $b \rightarrow a$ , to avoid confusion with the notation used to denote functional types.

We adopt a slightly modified definition for ambiguity, referred here as *expression ambiguity*<sup>6</sup>, that is based on the following similar property of variable reachability, which is independent of functional dependencies and type families:

**Definition 1 (Reachable Variable)** *A variable  $a \in tv(C)$  is reachable from a set of type variables  $V$  if  $a \in V$  or if  $a \in \pi$  for some  $\pi \in C$  such that there exists  $b \in tv(\pi)$  such that  $b$  is reachable.  $a \in tv(C)$  is unreachable if it is not reachable. The set of reachable type variables of constraint set  $C$  from  $V$  is denoted by  $reachableVars(C, V)$ .*

For example, in  $(A_1 a b, A_2 a) \Rightarrow b$ , type variable  $a$  is reachable from the set of type variables in  $b$ , because  $a$  occurs in constraint  $A_1 a b$ , and  $b$  is reachable. Similarly, if  $C = (A_1 a b, A_2 b c, A_3 c)$ , then  $c$  is reachable from  $\{a\}$ .

The presence of unreachable variables in a constraint  $\pi \in C$ , on a type  $\sigma = C \Rightarrow \tau$ , characterizes *overloading resolution*; in other words, it means that overloading for  $\pi$  is resolved — there is no context in which an expression with such a type ( $\sigma$ ) could be placed that could instantiate any of the unreachable variables (occurring in  $\pi$ ). However, the presence of unreachable variables does not necessarily imply ambiguity. Ambiguity is a property of an expression, not of a type. It depends on the context in which the expression occurs, and on *entailment* of the constraints on the expression’s type. Also, because of Haskell’s *open-world* style of overloading, ambiguity can be checked only when there exist unreachable variables; when there are no unreachable variables, overloading is yet unresolved.

Entailment of constraints and its algorithmic (functional) counterpart are well-known in the Haskell world (see e.g. [14,19,1]).

Informally, a set of constraints  $C$  is entailed (or satisfied) in a program  $P$  if there exists a substitution  $\phi$  such that  $\phi(C)$  is contained in the set of instance

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<sup>6</sup> In [1] it is called *delayed closure ambiguity*.

declarations of  $P$ , or is transitively implied by the set of class and instance declarations occurring in  $P$ . For a formal definition, see e.g. [14,1]. In this case we say that  $C$  is entailed by  $\phi$ .

For example,  $Eq \text{ } [[Integer]]$  is entailed if we have instances  $Eq \text{ } Integer$  and  $Eq \text{ } a \Rightarrow Eq \text{ } [a]$ , visible in the context where an expression whose type has a constraint  $Eq \text{ } [[Integer]]$  occurs.

If overloading is resolved for a constraint  $\pi$  occurring in a type  $\sigma = \pi, C \Rightarrow \tau$  then exactly one of the following holds:

- $\pi$  is entailed by a single instance; in this case a type simplification (also called “improvement”) occurs:  $\sigma$  can be simplified to  $C \Rightarrow \tau$ ;
- $\pi$  is entailed by two or more instances; in this case we have a type error: ambiguity;
- $\pi$  is not entailed (by any instance); in this case we have also a type error: unsatisfiability.

Note that variables in a single constraint are either all reachable or all unreachable. If they are unreachable, either the constraint can be removed, in the case of single entailment, or there is a type error (either ambiguity, in the case of two or more entailments, or unsatisfiability, in the case of no entailment).

Instead of being dependent on the specification of functional dependencies or type families, ambiguity depends on the existence of (two or more) instances in a program context when overloading is resolved for a constraint on the type of an expression.

The possibility of a modular control of the visibility of instance definitions conforms to this simplifying change. This is the subject of Section 5.

## 5 Modularization of Instances

This section presents the simple modifications to Haskell’s module system that are necessary to allow instances to have a modular scope (we do not attempt to discuss any major revision to Haskell’s module system). This is based on previous work presented in [13], that allows a modular control of the visibility of instance definitions.

Essentially, import and export clauses can specify, instead of just names, also **instance**  $A \bar{\tau}$ , where  $\bar{\tau}$  is a (non-empty) sequence of types and  $A$  is a class name:

**module**  $M$  (**instance**  $A \bar{\tau}, \dots$ ) **where** ...

specifies that the instance of  $\bar{\tau}$  for class  $A$  is exported in module  $M$ .

**import**  $M$  (**instance**  $A \bar{\tau}, \dots$ )

specifies that the instance of  $\bar{\tau}$  for class  $A$  is imported from  $M$ , in the module where the import clause occurs.

The single additional rule to the work presented in [13] that enables type classes to be optionally declared by programmers is the following:

**Definition 2 (Type of overloaded variable)** *If the type of an overloaded variable — i.e. a variable that is introduced in an instance definition — is not explicitly annotated in a type class declaration, then the variable’s type is the anti-unification of instance types defined for the variable in the current module; otherwise, it is the annotated type.*

## 5.1 Pros and Cons of Instance Modularization

Among the advantages of this simple change, we cite (following [13]):

- Programmers have better control of which entities are necessary and should be in the scope of each module in a program.
- It is possible to define and use more than one instance for the same type in a program.
- Problems with orphan instances do not occur (orphan instances are instances defined in a module where neither the definition of the data type nor the definition of the type class occur). For example, distinct instances of *Either* for class *Monad*, say one from package *mtl* and another from *transformers*, can be used in a program.
- The introduction of newtypes, as well as the use of functions that include additional (-by) parameters, such as e.g. the (first) parameter of function *sortBy* in module *Data.List* can be avoided.

With instance modularization, programmers need to be aware of which entities are exported and imported — i.e. which entities are visible in the scope of a module — *and their types*, in particular whether they are or not overloaded. A simple change like a type annotation for a variable exported from a module, can lead to a change in the semantics of using this variable in another module.

Instance modularization and the rule of expression ambiguity, that considers the context where an expression occurs to detect whether an expression is ambiguous or not, has profound consequences. Consider, for example:

```

module M where
  class Show t ...
  class Read t ...
  instance Show Int ...
  instance Read Int ...
  f = show . read

module N where
  import M
  instance Read Bool ...
  instance Show Bool ...
  g = f "123"

```

The definition of *f* in module *M* is not well-typed in Haskell, since type  $(\text{Show } a, \text{Read } a) \Rightarrow \text{String}$  is ambiguous. In our approach (i.e. considering

ambiguity as a property of an expression, not of a type), the definition of  $f$  in module  $M$  is well-typed, because constraints ( $Show\ a$ ,  $Read\ a$ ) can be removed; these can be removed because there exists a single instance, in module  $M$ , for each constraint, that entails it. As a result,  $f$  has type  $String \rightarrow String$ . Its use in module  $N$  is (then) also well-typed. That means:  $f$ 's semantics is a function that receives a value of type  $String$  and returns a value of type  $String$ , according to the definition of  $f$  given in module  $M$ . The semantics of an expression involves passing a (dictionary) value that is given in the context of usage *only if* the expression has a constrained type.

## 6 Mini-Haskell with Optional Type Classes

In this section we present a type system for mini-Haskell, where type class declaration is optional. Programmers can overload symbols without declaring their types in type classes. The type of an overloaded symbol is, if not explicitly defined in a type class, based on the anti-unification of instance types defined for the symbol in the relevant module.

Figure 3 shows the context-free syntax of mini-Haskell: expressions, modules and programs. An instance can be specified without specifying a type class, cf. second option (after  $|$ ) in Instance Declaration in Figure 3.

For simplicity, imported and exported variables and instances must be explicitly indicated, e.g. we do not include notations for exporting and importing all variables of a module.

Multi-parameter type classes are supported. In this paper we do not consider recursivity, neither in let-bindings nor in instance declarations.

Module Name	$M, N$	
Program Theory	$P, Q$	
Variable	$x, y$	
Expression	$e$	$::= x \mid \lambda x. e \mid e e' \mid \text{let } x = e \text{ in } e'$
Program	$p$	$::= \overline{m}$
Module	$m$	$::= \text{module } M(X) \text{ where } \overline{I}; \overline{D}$
Export clause	$X$	$::= \overline{i}$
Import clause	$I$	$::= \text{import } M(X)$
Item	$\iota$	$::= x \mid \text{instance } A \overline{\tau}$
Declaration	$D$	$::= \text{classDecl} \mid \text{instDecl} \mid \overline{B}$
Class Declaration	$\text{classDecl}$	$::= \text{class } C \Rightarrow A \overline{a} \text{ where } x : \overline{\delta}$
Instance Declaration	$\text{instDecl}$	$::= \text{instance } C \Rightarrow A \overline{\tau} \text{ where } \overline{B} \mid \text{instance } B$
Binding	$B$	$::= x = e$

**Figure 3.** Context-free syntax of mini-Haskell



A program theory  $P$  is a set of axioms of first-order logic, generated from class and instance declarations occurring in the program, of the form  $C \Rightarrow \pi$ , where  $C$  is a set of simple constraints and  $\pi$  is a simple constraint (cf. Figure 3).

Entailment of a set of constraints  $C$  by a program theory  $P$  is written as  $P \vdash_e C$  (see e.g. [1]).

Typing contexts are indexed by module names.  $\Gamma(M)$  gives a function on variable names to types:  $\Gamma(M)(x)$  gives the type of  $x$  in module  $M$  and typing context  $\Gamma$ . The notation  $(\Gamma(M), x \mapsto \sigma)$  is used to denote the typing context  $\Gamma'$  that differs from  $\Gamma$  only by mapping  $x$  to  $\sigma$  in module  $M$ , i.e.  $\Gamma'(M')(x') = \sigma$  if  $M' = M$  and  $x' = x$ , otherwise  $\Gamma'(M')(x') = \Gamma(M')(x')$ .

A special, empty module name, denoted by  $[\ ]$ , is used for names exported by modules, to control the scope of names that use import and export clauses. Also, a reserved name (**self**) is used to refer to the current module, being defined and used in the type system and relations to control import and export clauses.

It is not necessary to store multiple instance types for the same variable in a typing context, neither it is necessary to use instance types in typing contexts (they are needed only in the program theory); only the lcg of instance types is used, because of lcg compositionality (theorem 3). When a new instance is declared, if it is an instance of a declared class the type system guarantees that each member is an instance of the type declared in the type class; otherwise (i.e. it is the single member of an undeclared class), its (new) type is given by the lcg of the existing type (an existing lcg of previous instance types) and the instance type.

We consider that a constraint set  $C'$  can be removed from a constrained type  $C, C' \Rightarrow \tau$  if and only if overloading for  $C'$  has been resolved and there exists a single satisfying substitution for  $C'[1]$ .

A declarative type system for core-Haskell is presented in Figure 4, using rules of the form  $P; \Gamma \vdash_0 e : \delta$ , which means that  $e$  has type  $\delta$  in typing context  $\Gamma$  and program theory  $P$ .

$$\begin{array}{c}
\frac{\Gamma(\mathbf{self})(x) = (\forall \bar{a}. C \Rightarrow \tau) \quad P \vdash_e \phi C \quad \text{dom}(\phi) \subseteq \bar{a}}{P; \Gamma \vdash_0 x : \phi(C \Rightarrow \tau)} \text{ (VAR)} \\
\\
\frac{(\Gamma(\mathbf{self}), x \mapsto \tau) \vdash_0 e : C \Rightarrow \tau'}{P; \Gamma \vdash_0 \lambda x. e : C \Rightarrow \tau \rightarrow \tau'} \text{ (ABS)} \\
\\
\frac{P; \Gamma \vdash_0 e : C \Rightarrow \tau' \rightarrow \tau \quad P; \Gamma \vdash_0 e' : C' \Rightarrow \tau' \quad V = \text{tv}(\tau) \cup \text{tv}(C) \quad (C \oplus_V C') \gg_P C''}{P; \Gamma \vdash_0 e e' : C'' \Rightarrow \tau} \text{ (APP)} \\
\\
\frac{P; \Gamma \vdash_0 e : C \Rightarrow \tau \quad C \gg_P C'' \quad \text{gen}(C'' \Rightarrow \tau, \sigma, \text{tv}(\Gamma)) \quad P; (\Gamma(\mathbf{self}), x \mapsto \sigma) \vdash_0 e' : C' \Rightarrow \tau'}{P; \Gamma \vdash_0 \mathbf{let } x = e \mathbf{ in } e' : C' \Rightarrow \tau'} \text{ (LET)}
\end{array}$$

**Figure 4.** Core-Haskell Type System

Rule (LET) performs constraint set simplification before type generalization. Constraint set simplification  $\gg_P$  is a relation on constraints, defined as a composition of improvement and context reduction [1].  $gen(\delta, \sigma, V)$  holds if  $\sigma = \forall \bar{a}. \delta$ , where  $\bar{a} = tv(\delta) - V$ ; similarly, for constraints,  $gen(C \Rightarrow \pi, \theta, V)$  holds if  $\theta = \forall \bar{a}. C \Rightarrow \pi$ , where  $\bar{a} = tv(C \Rightarrow \pi) - V$ .

$C \oplus_V C'$  denotes the constraint set obtained by adding to  $C$  constraints from  $D$  that have type variables reachable from  $V$ :

$$C \oplus_V C' = C \cup \{\pi \in C' \mid tv(\pi) \cap \text{reachableVars}(C', V) \neq \emptyset\}$$

In rule (APP), the constraints on the type of the result are those that occur in the function type plus not all constraints that occur in the type of the argument but only those that have variables reachable from the set of variables that occur in the simple type of the result or in the constraint set on the function type (cf. Definition 1). This allows, for example, to eliminate constraints on the type of the following expressions, where  $o$  is any expression, with a possibly non-empty set of constraints on its type:  $flip \text{ } const \text{ } o$  (where  $const$  has type  $\forall a, b. a \rightarrow b \rightarrow a$  and  $flip$  has type  $\forall a, b, c. (a \rightarrow b \rightarrow c) \rightarrow b \rightarrow a \rightarrow c$ ), which should denote an identity function, and  $fst \text{ } (e, o)$ , which should have the same denotation as  $e$ .

The extension of core-Haskell to mini-Haskell, which allows (optional) type classes, modules and modularized instance declarations, is presented in Figures 5 through 7. Rule (MOD), in Figure 5, uses relations  $(\vdash_\Psi)$  and  $(\vdash_\uparrow^X)$ , which are defined separately, for clarity, in Figures 6 and 7.

The import relation  $\Gamma \vdash_\Psi \bar{I} : \Gamma'$  yields a typing context ( $\Gamma'$ ) from a typing context ( $\Gamma$ ) and a sequence of import clauses ( $\bar{I}$ ).

Relation  $P; \Gamma \vdash_\uparrow^X \bar{D} : (E, P', \Gamma')$  is used for specifying the types of a sequence of bindings, from a typing context ( $\Gamma$ ), a program theory ( $P$ ) and a set of exported items ( $X$ ); it yields the set ( $E$ ) of exported variables with their types, together with both i) a new typing context ( $\Gamma'$ ), modified to contain elements of  $E$ , so that  $\Gamma'(\square)$  contains the types of each  $x \in E$ , and ii) a new program theory ( $P'$ ), updated from class and instance declarations. Relation  $(\vdash_0)$  is used to check that expressions of core-Haskell that occur in declarations are well-typed.

There must exist a sequence of derivations for typing a sequence of modules that composes a program that starts from an empty typing context, or from a typing context that corresponds to predefined library modules. Recursive modules are not treated in this paper.

$\frac{\Gamma_0 \vdash_\Psi \bar{I} : \Gamma \quad P; \Gamma \vdash_\uparrow^X \bar{D} : (E, P', \Gamma')}{P; \Gamma_0 \vdash \text{module } M(X) \text{ where } \bar{I}; \bar{D} : (E, P', \Gamma')} \text{ (MOD)}$
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**Figure 5.** Mini-Haskell module rule

The first and second rules in Figure 7 specify the bindings generated by standard Haskell type classes and instance declarations, respectively.

$$\begin{array}{c}
\Gamma'(M)(x) = \begin{cases} \Gamma(\Box)(x) & \text{if } M = \mathbf{self} \text{ and, for some } 1 \leq k \leq n, \\ & x = \iota_k \text{ or } (\iota_k = \mathbf{instance } A \bar{\tau}, x \text{ is a member of class } A) \\ \Gamma(M)(x) & \text{otherwise} \end{cases} \\
\hline
\Gamma \vdash_{\Downarrow} \mathbf{import } M(\bar{\iota}^n) : \Gamma' \\
\hline
\frac{\Gamma_0 \vdash_{\Downarrow} \mathbf{import } M(\bar{\iota}) : \Gamma \quad \Gamma \vdash_{\Downarrow} \bar{I} : \Gamma'}{\Gamma_0 \vdash_{\Downarrow} \mathbf{import } M(\bar{\iota}); \bar{I} : \Gamma'}
\end{array}$$

**Figure 6.** Import relation

The third one is the rule which accounts for instance declarations of an overloaded symbol  $x$  whose type is not explicitly specified in a type class. As stated previously, the type  $\tau'$  of  $x$  is the least common generalization of the set of types  $\{\tau\} \cup \{\Gamma_0(\mathbf{self})(x)\}$ , where  $\tau$  is the type of the expression in the current instance declaration for  $x$  and  $\Gamma_0(\mathbf{self})(x)$  is the type of  $x$  in the current type environment (previously computed from other instance declarations for  $x$  that are visible in  $\Gamma_0$ ). This rule is based on Theorem 3.

## 7 Records with overloaded fields

In this section we describe how the possibility of overloading symbols without the need of declaring type classes allows record fields to be overloaded, in an easy way. The idea is simply to transform any access to an overloaded record field into an automatically created instance of an undeclared type class, and similarly for any use of a record update of an overloaded record field.

There are certainly design decisions to be made, but below we illustrate the proposal by creating instance of *get\_fieldname* and *update\_fieldname* whenever there exists, respectively, an access of and an update to an overloaded record field, where *fieldname* is the name of the overloaded record field.

Consider a simple example of overloaded record fields:

```

data Person = Person { id :: Int, name  :: String }
data Address = Address { id :: Int, address :: String }

```

The overloaded *id* fields of types *Person* and *Address* have the following types:

```

id :: Person  → Int
id :: Address → Int

```

In our approach, we can automatically create following instance declarations without declared type classes, that are part of a record field name space that is distinct from the variable name space:

$$\begin{array}{c}
\frac{
\begin{array}{l}
Q; \Gamma \vdash_{\uparrow}^X \bar{D} : (E, Q', \Gamma') \quad Q = P \cup \begin{cases} \{C \Rightarrow A \bar{a}\} & \text{if } C \neq \emptyset \\ \emptyset & \text{otherwise} \end{cases} \\
\Gamma(M)(x) = \begin{cases} \delta_k & \text{if } x = x_k, 1 \leq k \leq n, \text{ and } M \in \{\mathbf{self}, \square\} \\ \Gamma_0(M)(x) & \text{otherwise} \end{cases}
\end{array}
}{
P; \Gamma_0 \vdash_{\uparrow}^X \mathbf{class } C \Rightarrow A \bar{a} \mathbf{ where } \bar{x} : \bar{\delta}^n; \bar{D} : (E, Q', \Gamma')
} \\
\\
\begin{array}{l}
P \vdash_e \phi(C \Rightarrow \pi) \quad \text{gen}(\phi(C \Rightarrow \pi), \theta, \text{tv}(\Gamma)) \quad Q = P \cup \{\theta\} \\
Q; \Gamma \vdash_0 e_i : \delta_i \quad \delta_i = \phi(\Gamma(\square)(x_i)), \text{ for } i = 1, \dots, n \\
Q; \Gamma \vdash_{\uparrow}^{X'} \bar{D} : (E, Q', \Gamma')
\end{array} \\
\\
\frac{
\begin{array}{l}
(X', E') = \begin{cases} (X - \{\iota\}, E \cup \{\bar{x} : \bar{\delta}^n\}) & \text{if } \iota \in X, \iota = \mathbf{instance } A \tau \\ (X, E) & \text{otherwise} \end{cases}
\end{array}
}{
P; \Gamma \vdash_{\uparrow}^X \mathbf{instance } \phi(C \Rightarrow \pi) \mathbf{ where } \bar{x} \equiv \bar{e}^n; \bar{D} : (E', Q', \Gamma')
} \\
\\
\begin{array}{l}
A \text{ is the class name generated for } x \\
P; \Gamma_0 \vdash_0 e : C \Rightarrow \tau \quad \text{gen}(C \Rightarrow A \tau, \theta, \text{tv}(\Gamma_0)) \quad Q = P \cup \{\theta\} \\
Q; \Gamma \vdash_{\uparrow}^{X'} \bar{D} : (E, Q', \Gamma') \quad \text{lgr}(\{\{\tau\} \cup \{\Gamma_0(\mathbf{self})(x)\}, \tau'\}) \\
P; \Gamma \vdash_{\uparrow}^{X'} \bar{D} : (E, Q', \Gamma')
\end{array} \\
\\
\frac{
\begin{array}{l}
\Gamma(M)(y) = \begin{cases} \tau' & \text{if } y = x, (M = \mathbf{self} \text{ or } (M = \square, x \in X)) \\ \Gamma_0(M)(y) & \text{otherwise} \end{cases} \\
(X', E') = \begin{cases} (X - \{x : C \Rightarrow \tau\}, E \cup \{x : C \Rightarrow \tau\}) & \text{if } (x : C \Rightarrow \tau) \in X \\ (X, E) & \text{otherwise} \end{cases}
\end{array}
}{
P; \Gamma_0 \vdash_{\uparrow}^X \mathbf{instance } x = e; \bar{D} : (E', Q', \Gamma')
} \\
\\
\begin{array}{l}
P; \Gamma_0 \vdash_0 e : C \Rightarrow \tau \quad \text{gen}(C \Rightarrow \tau, \sigma, \text{tv}(\Gamma_0)) \\
P; \Gamma \vdash_{\uparrow}^X \bar{D} : (E, P', \Gamma') \\
\Gamma(M)(y) = \begin{cases} \sigma & \text{if } y = x, (M = \mathbf{self} \text{ or } (M = \square, x \in X)) \\ \Gamma_0(M)(y) & \text{otherwise} \end{cases} \\
(X', E') = \begin{cases} (X - \{x\}, E \cup \{x : C \Rightarrow \tau\}) & \text{if } x \in X \\ (X, E) & \text{otherwise} \end{cases}
\end{array} \\
\\
\frac{
\begin{array}{l}
\Gamma(M)(y) = \begin{cases} \sigma & \text{if } y = x, (M = \mathbf{self} \text{ or } (M = \square, x \in X)) \\ \Gamma_0(M)(y) & \text{otherwise} \end{cases} \\
(X', E') = \begin{cases} (X - \{x\}, E \cup \{x : C \Rightarrow \tau\}) & \text{if } x \in X \\ (X, E) & \text{otherwise} \end{cases}
\end{array}
}{
P; \Gamma_0 \vdash_{\uparrow}^X x = e; \bar{D} : (E', P', \Gamma')
}
\end{array}$$

**Figure 7.** Mini-Haskell rules for declarations

```

get_id :: Person → Int
instance get_id (Person id _) = id

get_id :: Address → Int
instance get_id (Address id _) = id

```

If record field updating is used, automatically created functions are created, as illustrated below. Consider for example that record field updating is used as follows:

```

update_id :: Person → Int → Person
instance update_id (Person id name) new_id = Person new_id name

update_id :: Address → Int → Address
instance update_id (Address id address) new_id = Address new_id address

```

Given any expression  $p$  of type  $Person$ , any use of  $(p \{ id = new\_id \})$  could then be translated to  $(update\_id \ p \ new\_id)$ . Similarly, given any expression  $a$  of type  $Address$ , any use of  $a \{ id = new\_id \}$  could then be translated to  $update\_id \ a \ new\_id$ .

## 8 Related Work

Haskell type system has been extended with several advanced typing features such as functional dependencies [10], type families [3] and GADTs [4], just to name a few. To the best of our knowledge, there’s no previous work on optional declaration of type classes. In this section, we summarize some recent Haskell type system extensions.

Functional dependencies (FDs) were introduced by Mark Jones as a way to specify type class parameter dependencies in order to avoid ambiguity and to improve inferred types in the context of MPTCs. FDs were also used to support some form of type level programming [9] and to define heterogeneous lists and extensible records [11].

Type families [3] (TFs) were introduced as a “more functional” alternative to FDs (which is relational in nature). However, there are some issues with type family injectivity [5] that motivated so-called closed type families and type family dependencies [6]. Closed type families define all possible instances of a type family a priori and type family dependencies allows the specification of parameter dependencies, in a similar way of FDs. All type family related extensions cater to better type improvement.

Datatype promotion [20,5] lifts user defined algebraic datatypes to kinds and data constructors to types. It allows the definition of some dependently typed programs. Singleton types and promoted functions [7] have been used to automate (through Template Haskell) some constructions commonly needed

in Haskell-style dependent types. Lindley and McBride [12] describe some dependently typed programs in Haskell and how to use GHC’s constraint solver as a theorem prover to discharge proof obligations in an implementation of a merge-sort algorithm.

Type level literals is an extension that complements datatype promotion to numeric and string types. The Haskell prime proposal for overloaded record fields relies on this extension to overload field access and update functions. Our approach, based on optional declaration of type classes, does not demand type promotion features and does not need to create an instance for each record field (overloaded or not).

## 9 Conclusion

This paper has presented an approach for allowing type classes to be optionally declared by programmers, so that programmers can overload symbols without declaring their types in type classes.

An overloaded symbol is defined by means of an instance declaration that is a normal declaration with keyword `instance`. The type of an overloaded symbol is automatically determined from the anti-unification of instance types defined for the symbol in the relevant module.

The approach depends on a modularization of instance visibility, as well as on a redefinition of Haskell’s ambiguity rule. The paper presents the simple modifications to Haskell’s module system that are necessary for allowing instances to have a modular scope.

We have provided an illustration of the added flexibility by showing how overloaded record fields can be allowed in the presence of a presented type system that supports instance modularization and instance definitions of undeclared type classes that have a single member.

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