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# §1 January 20th, 2021

## §1.1 Intro to Riemann Mapping Theorem

Our first goal is to proof a fundamental theorem of Riemann on conformal mappings. We start with several preparations, including some detours. The theorem essentially says that lots of open sets in  $\mathbb{C}$  are holomorphically isomorphic, given that they satisfy some simple topological conditions.

## §1.2 Cauchy's Integral Formula

Recall Cauchy's formula:

$$f(z_0) = \frac{1}{2\pi i} \int_{\Gamma} \frac{f(z)}{z - z_0} dz$$

where  $\Gamma$  is a simple closed curve, piecewise differentiable,  $z_0 \in \operatorname{Int}(\Gamma)$ , and  $f : \Omega \to \mathbb{C}$  is a holomorphic function, with  $\Omega$  is open,  $\Omega \supset \Gamma \cup \operatorname{Int}(\Gamma)$ .

If  $\Gamma$  is the circle  $|z - z_0| = R$ , we parameterize with  $z = Re^{i\theta} + z_0$  with  $\theta \in [0, 2\pi)$ . This gives

$$f(z_0) = \frac{1}{2\pi} \int_0^{2\pi} f(z_0 + Re^{i\theta}) d\theta,$$

which represents the average of f on the circle.

It follows that

$$|f(z_0)| \le \max_{\partial B_R(z_0)} |f(z)|,$$

with equality if and only if f is constant.

If  $f: \Omega \to \mathbb{C}$  is holomorphic for  $\Omega$  connected, open and  $z_0 \in \Omega$ , then

$$|f(z_0)| \le \sup_{z \in \Omega} |f(z)|$$

with equality if and only if f is constant.

## §1.3 Schwarz Lemma

**Theorem 1** (Schwarz Lemma)

For  $f: B_1(0) \to \mathbb{C}$  holomorphic with  $|f(z)| \leq 1$  for all z and f(0) = 0. Then

$$|f(z)| \le |z|, |f'(0)| \le 1.$$

If for some  $z_0 \neq 0$ ,  $|f(z_0)| = |z_0|$  or if |f'(0)| = 1 then f(z) = cz for some |c| = 1.

*Proof.* Define a function

$$g(z) = \begin{cases} f(z)/z, & \text{if } 0 \le |z| \le 1\\ f'(0), & \text{if } z = 0 \end{cases}.$$

Note that g(z) is continuous since at zero,

$$\lim_{z \to 0} \frac{f(z)}{z} = \lim_{z \to 0} \frac{f(z) - f(0)}{z - 0} = f'(0).$$

Hence,  $|g(z)| \le C < \infty$  using the Weierstrass Extreme Value theorem. If  $0 < \epsilon < |w| < r < 1$ , note that taking a Keyhole Contour, we have

$$g(w) = \frac{1}{2\pi i} \left( \int_{|z|=r} - \int_{|z|=\epsilon} \right) \frac{g(z)}{z - w} dz.$$

Note that

$$\left| \int_{|z|=\epsilon} \frac{g(z)}{z-w} \, dz \right| \leq (2\pi\epsilon) \cdot C \frac{1}{|w|-\epsilon} \xrightarrow{\epsilon \to 0} 0.$$

It follows that

$$g(w) = \frac{1}{2\pi i} \int_{|z|=r} \frac{g(z)}{z - w} dz$$

for 0 < |w| < r. The right side is holomorphic in w if |w| < r, so it follows that

$$g(w) = \frac{1}{2\pi i} \int_{|z|=r} \frac{g(z)}{z - w} dz$$

is holomorphic in |z| < 1.

This can also be proved by taking a Taylor series about the origin. Since there is no constant term, we can divide by z to still have a convergent Taylor series.

If r < 1,

$$\sup_{|z| \le r} |g(z)| = \sup_{|z| = r} |g(z)| \le \sup_{|z| = r} \frac{|f(z)|}{|z|} \le \frac{1}{r}.$$

If we let  $r \uparrow 1$ , then we get  $\sup_{|z| < 1} |g(z)| \le 1$ . It follows that  $|f(z)| \le |z|$ ,  $|f'(0)| \le 1$ . If  $|f(z_0)| = z_0$  for some  $0 < |z_0| < 1$  then  $|g(z_0)| = 1$  and g is constant by the maximum principle so g(z) = c, f(z) = cz. If |f'(0)| = 1, then |g(0)| = 1 so g is constant and f = cz.

# §1.4 Maximum Principles

In the above proof, we used the maximum principle. Some other versions we will use are the following:

If  $K \subset \mathbb{C}$  compact and  $f: K \to \mathbb{C}$  continuous, and the restriction of f to the interior of K is holomorphic, then

$$\sup_{z \in K} |f(z)| = \sup_{z \in \partial K} |f(z)|.$$

If  $\Omega$  is open and connected,  $f:\Omega\to\mathbb{C},\ z_0\in\Omega,\ \text{and}\ |f(z_0)|=\sup_{z\in\Omega}|f(z)|,\ \text{then }f$  is constant. Applying this to  $e^f$  and using that  $|e^f|=e^{\operatorname{Re} f},\ \text{we find that}$ 

Re 
$$f(z_0) = \sup_{z \in \Omega} \operatorname{Re} f(z),$$

implies that f is constant. We have the same result for Im f by replacing f with -if.

# §2 January 25th, 2021

## §2.1 Uniform Convergence

Remark 2.1. They sometimes call open connected sets "regions".

**Definition 2.2** (Uniform Convergence). Let  $\Omega \subset \mathbb{C}$  be open. Let  $f_n : \Omega \to \mathbb{C}$  be holomorphic and  $f : \Omega \to \mathbb{C}$  a function so that  $\lim_{n\to\infty} \sup_{z\in K} |f(z) - f_n(z)| = 0$  for all  $K \subset \Omega$  compact(also denoted  $K \subset \Omega$ ).

**Remark 2.3.** Recall from real analysis that f is a continuous function.

Some further remarks:

- It suffices to check the result for a sequence of compact subsets  $K_m$  so that  $\bigcup_m K_m^{\circ} = \Omega$ , the it suffices to check those. If  $K \subset\subset \Omega$ , then K is compact and covered by the union of the subsets so there exists a finite subcovering, and uniform convergence on the subcovering implies uniform convergence on K.
- It is often convenient to introduce  $||g||_K = \sup_{z \in K} |g(z)|$ . Uniform convergence can be restated as  $||f_n f||_K \to 0$  for all  $K \subset\subset \Omega$ .
- If  $||f_n f||_K \to 0$  for all  $K \subset\subset \Omega$ , then f is also holomorphic. It follows by passing to the limit in the Cauchy Integral formula. Namely, take  $\{z : |z z_0| \leq R\} \subset \Omega$  and consider the points in  $|z_0 \zeta| < R$ .

$$\left| f_n(\zeta) - \frac{1}{2\pi i} \int_{|z-z_0|=R} \frac{f(z)}{z-\zeta} dz \right| = \left| \frac{1}{2\pi i} \int_{|z-z_0|=R} \frac{f_n(z)}{z-\zeta} dz - \frac{1}{2\pi i} \int_{|z-z_0|=R} \frac{f(z)}{z-\zeta} dz \right|$$

$$\leq \frac{1}{2\pi} \frac{1}{R - |z_0-\zeta|} \cdot (2\pi R) ||f_n - f||_{|z-z_0|=R} \to 0.$$

So it follows that

$$f(\zeta) = \lim_{n \to \infty} f_n(\zeta) = \frac{1}{2\pi i} \int_{|z-z_0|} \frac{f(z)}{z-\zeta} dz.$$

It follows that f continuous on  $|z - z_0| = R$  is holomorphic in  $\zeta \in \{|z - z_0| < R\}$ , so it follows that f is holomorphic.

• We can similarly show that

$$f_n^(j)(\zeta) = \frac{n!}{2\pi i} \int_{|z-z_0|=R} \frac{f_n(z)}{(z-\zeta)^{n+1}} dz$$

and 
$$||f_n^{(j)} - f(j)||_K \to 0$$
.

From the last item, we have the following theorem.

#### Theorem 2

If  $f_n \to f$  on compact subsets of  $\Omega$ , the if  $f_n$  is holomorphic we find that f is holomorphic and  $f_n^(j) \to f^{(j)}$  uniformly on compact subsets of  $\Omega$ .

#### Theorem 3 (Hurwitz)

Let  $\Omega$  be a region,  $f: \Omega \to \mathbb{C}$  and  $f_n: \Omega \to \mathbb{C}$  holomorphic with  $f_n(\Omega) \subset \mathbb{C} \setminus \{0\}$ ,  $n \in \mathbb{N}$  and  $||f_n - f||_K \to 0$  for all compact subsets. Then either  $f \equiv 0$  or  $f(\Omega) \subset \mathbb{C} \setminus \{0\}$ .

*Proof.* If f is not identically zero on  $\omega$ , then since f is holomorphic, its zeros are isolated. If  $z_0 \in \Omega$ ,  $f(z_0) = 0$ , then there is  $\epsilon > 0$  so that when  $0 < |z - z_0| < \epsilon$ ,  $f(z) \neq 0$ .

Since  $f(z) \neq 0$  for  $|z - z_0| = \epsilon/2$ , by the Weierstrass theorem applied to |f| on  $|z - z_0| = \epsilon$ , we have  $|f(z)| \geq m > 0$  on  $\{|z - z_0| = \epsilon/2\} = \Gamma$ . If  $||f_n - f||_{\Gamma} \leq m/2$  for n > N, then

$$|f_n(z)| \ge |f(z)| - m/2 \ge m - m/2 = m/2$$

for  $z \in \Gamma$ . Hence, it follows that  $||1/f_n - 1/f||_{\Gamma} \to 0$  (we leave this as an exercise). Since  $||f'_n - f'||_{\Gamma} \to 0$ , we find that  $||f'_n/f_n - f'/f|| \to 0$  (another exercise) and hence

$$\frac{1}{2\pi i} \int_{\Gamma} \frac{f_n'}{f_n} dz \to \frac{1}{2\pi i} \int_{\Gamma} \frac{f'}{f} dz.$$

The integrand of the left hand side is  $(\log f_n)'$ , whose integral is 0, and the right side is the order of the zero of f at  $z_0$  by the argument principle. It follows that the order of  $z_0$  as a possible zero is 0, so  $f(z_0) \neq 0$ .

#### Theorem 4

For  $\Omega \subset \mathbb{C}$  open,  $\mathcal{F}$  a set of holomorphic functions, the following are equivalent:

- for every  $K \subset\subset \Omega \sup_{f\in\mathcal{F}} \|f\|_K < \infty$
- for every sequence  $(f_n)_{n\in\mathbb{N}}\subset\mathcal{F}$ , there is a subsequence  $(f_{n_j})_{j\in\mathbb{N}}$  with  $n_1< n_2<\ldots$  so that  $(f_{n_j})_{j\in\mathbb{N}}$  is uniformly convergent on compact subsets of  $\Omega$ .

*Proof.* We first show 2 implies 1. If  $\sup_{f \in \mathcal{F}} \|f\|_K = \infty$ , then we can find for each  $n \in \mathbb{N}$   $f_n \in \mathcal{F}$  so that  $\|f_n\|_K \geq n$ . If we abstract a convergence subsequence, then  $\|f_{n_j} - f\|_K \leq C < \infty$  and  $\|f_{n_j}\|_K \leq \|f\|_K + C$ , while  $\|f_{n_j}\|_K \to \infty$ , a contradiction.  $\square$ 

# §3 January 27th, 2021

## §3.1 Uniform Convergence, continued

#### Theorem 5

For  $\Omega \subset \mathbb{C}$  open,  $\mathcal{F}$  a set of holomorphic functions, the following are equivalent:

- for every  $K \subset\subset \Omega \sup_{f\in\mathcal{F}} \|f\|_K < \infty$
- for every sequence  $(f_n)_{n\in\mathbb{N}}\subset\mathcal{F}$ , there is a subsequence  $(f_{n_j})_{j\in\mathbb{N}}$  with  $n_1< n_2<\ldots$  so that  $(f_{n_j})_{j\in\mathbb{N}}$  is uniformly convergent on compact subsets of  $\Omega$ .

I missed the beginning of the class, but I will add the proof of the theorem once notes are posted.

## §3.2 Metric Convergence

One can put a metric on holomorphic functions so that convergence in the metric is uniform convergence on compact sets. For  $f:\Omega\to\mathbb{C}$ , but  $K_n\in\Omega$  so that  $\bigcup_n K_n^\circ=\Omega$  and take

$$d(f,g) = \sum_{n=1}^{\infty} \frac{\|f - g\|_{K_n}}{1 + \|f - g\|_{K_n}} 2^{-n}.$$

## §3.3 Riemann Sphere

On the set  $\mathbb{C} \cup \{\infty\}$ , we consider the topology which makes it the Alexandroff(one-point) compactification of  $\mathbb{C}$ . If  $z \in \mathbb{C}$ , a neighborhood is one that contains a neighborhood in  $\mathbb{C}$  and a neighborhood of  $\infty$  is of the form  $\{\infty\} \cup (\mathbb{C} \setminus K)$  for  $K \in \mathbb{C}$ .

Let  $U_+ = \mathbb{C} \subset \mathbb{C} \cup \{\infty\}$  and  $U_- = (C \setminus \{0\}) \cup \{\infty\}$ . Note that the union of the two sets covers the Riemann Sphere. Define  $\psi_+ : U_+ \to \mathbb{C}$  by  $\psi_+(z) = z$  and  $\psi_i : U_- \to \mathbb{C}$  is given by  $\psi_-(w) = 1/w$  if  $w \in \mathbb{C} \setminus \{\infty\}$  and 0 if  $w = \infty$ . Notice that these two functions are bijections.

If  $V \subset \mathbb{C} \cup \{\infty\}$  is open, a function  $f: V \to \mathbb{C}$  is holomorphic if

$$f|_{V \cup U_{+}} \circ (\psi_{\pm}|_{V \cup U_{+}})^{-1} : \psi_{\pm}(V \cup U_{\pm}) \to \mathbb{C}$$

is holomorphic. In this way, we know what holomorphic functions are on open sets of  $\mathbb{C} \cup \{\infty\}$ .

More generally, we can describe a Riemann surface in the following way - Let X be a topological space. Take  $\{(U_{\alpha}, z_{\alpha})\}_{\alpha \in I}$  where  $U_{\alpha} \subset X$  is open, and  $\bigcup_{\alpha \in I} U_{\alpha} = X$  and  $z_{\alpha} : U_{\alpha} \to \mathbb{C}$  is continuous,  $z_{\alpha}(U_{\alpha})$  is open and  $z_{\alpha}$  is a homeomorphism. The key requirement is that the maps  $z_{\alpha} \circ z_{\beta}^{-1} : z_{\beta}(U_{\alpha} \cup U_{\beta}) \to z_{\alpha}(U_{\alpha} \cup U_{\beta})$  are holomorphic.

Then, if  $U \subset X$  is open,  $f: U \to \mathbb{C}$  is holomorphic if for all  $\alpha \in I$ ,

$$f|_{U\cup U_{\alpha}}\circ (z_{\alpha}|_{u\cup U_{\alpha}})^{-1}$$

is holomorphic. Two such atlases give the same Riemann surface if put together, we get an atlas.

# §4 February 1st, 2021

## §4.1 Connectivity

**Definition 4.1.**  $\Omega \subset \mathbb{C}$  open is connected if  $\Omega = \Omega_1 \cup \Omega_2$  open with  $\Omega_1 \cap \Omega_2 = \emptyset$  implies that one of the two is empty. For open sets, this is equivalent to arcwise connected.

**Definition 4.2.** An set is arcwise connected if for every  $z_1, z_2 \in \Omega$ , there is a path  $\varphi : [0,1] \to \Omega$  which is continuous and  $\varphi(0) = z_1, \varphi(1) = z_2$ .

**Definition 4.3.**  $\Omega$  is simply connected if for  $z_0 \in \Omega$ ,  $\Gamma : [0,1] \to \Omega$  continuous and  $\Gamma(0) = \Gamma(1) = z_0$ , then there is  $G : [0,1] \times [0,1] \to \Omega$  continuous with  $G(t,0) = \Gamma(t)$  for  $t \in [0,1]$  and  $G(t,1) = z_0$ , for  $t \in [0,1]$ .

Simply connected corresponds to the idea of being able to continuously deform the set to a point for each point.

In  $\mathbb{R}^2 \cong \mathbb{C}$ ,  $\Omega$ -open simply connected is equivalent to  $(C \cup \{\infty\}) \setminus \Omega$  is connected in  $\mathbb{C} \cup \{\infty\}$ . That is, if  $F = \mathbb{C} \cup \{\infty\} \setminus \Omega$ , which is closed in  $\mathbb{C} \cup \{\infty\}$ , with  $F \cap V_1 \cap V_2 = \emptyset$ , then at least one of the  $F \cap V_k = \emptyset$ . If  $0 \in \Omega$ , then  $\Omega$  is simply connected if and only if  $\{0\} \cup \{1/z : z \in \mathbb{C} \setminus \Omega\}$  is connected (this is a local representation).

- Take  $\Omega = \mathbb{C} \setminus \bigcup_{j=1}^m \{tz_j : t \in [1, \infty)\}$  for  $z_1, \ldots, z_n \in \mathbb{C} \setminus \{0\}$ .
- $\mathbb{C} \setminus \text{spirals}$ .

#### Theorem 6 (Riemann Mapping Theorem)

If  $\Omega \subset \mathbb{C}$  open, connected, simply connected,  $\emptyset \neq \Omega \neq \mathbb{C}$ , then  $\Omega$  and  $\mathbb{D} = \{|z| < 1\}$  are holomorphic isomorphisms.

## §4.2 Fractional Linear Transformations

Recall that if  $f \in \operatorname{Aut}(\mathbb{D})$  then  $f(z) = \frac{az+b}{xz+d}$ , which was proved using the Schwarz lemma. We view the fractional linear maps from a different context.

We define a map  $p: \mathbb{C}^2 \setminus \{\binom{0}{0}\} \to \mathbb{C} \cup \{\infty\}$  given by

$$p\left(\begin{pmatrix} z_1 \\ z_2 \end{pmatrix}\right) = \begin{cases} z_1/z_2 & \text{if } z_2 \neq 0 \\ \infty & \text{if } z_2 = 0 \end{cases}$$

Then  $p(\xi) = p(\eta)$  if and only if  $\xi = \lambda \eta$  for  $\lambda \in C^{\times} = C \setminus \{0\}$ .

There is a larger group acting on  $C^2 \setminus \{\binom{0}{0}\}$  given by  $GL(2,\mathbb{C})$  the invertible  $2 \times 2$  matrices in the natural way so that

$$A \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} \mapsto \frac{A_{11}p(\xi) + A_{12}}{A_{21}p(\xi) + A_{22}}.$$

Define  $T_g: \mathbb{C} \cup \{\infty\} \to \mathbb{C} \cup \{\infty\}$  given by

$$T_g z = \frac{az+b}{cz+d},$$

with  $T_g(\infty) = \frac{a}{c}$ . We have the action  $T_g p(\xi) = p(g\xi)$  for  $g \in GL(2,\mathbb{C})$ .

This gives

$$T_{g_1} \circ T_{g_2} = T_{g_1 g_2},$$
  
 $(T_g)^{-1} = T_{g^{-1}}.$ 

We can also ask about the fixed point:

$$T_q p(\xi) = p(\xi) \leftrightarrow p(\xi) = p(g\xi) \Leftrightarrow g\xi = \lambda \xi , \lambda \in C^{\times}$$

It follows that the fixed points of  $T_q$  correspond to the eigenvectors of  $GL(2,\mathbb{C})$ .

#### §4.3 Fractional Linear Transformations, Unit Disk

If we have  $\xi = {z_1 \choose z_2}$ , then  $p(\xi) \in \mathbb{D}$  if and only if  $|z_1| < |z_2|$  if and only if  $z_1\overline{z_1} - z_2\overline{z_2} < 0$ . If we let

$$J = \begin{pmatrix} 1, 0 \\ 0, -1 \end{pmatrix},$$

we consider the sesquilinear form  $\langle J(\xi_1), (\eta_1) \rangle$ , where it is linear in the first coordinate and conjugate linear in the second coordinate. Note that

$$\langle J\begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix}, \begin{pmatrix} \eta_1 \\ \eta_2 \end{pmatrix} \rangle = \xi_1 \overline{\eta_1} - \xi_2 \overline{\eta_2}.$$

When does  $g \in GL(2,\mathbb{C})$  preserve  $\langle J\xi, \xi \rangle$ ?

This means that

$$\langle Jg\xi, g\xi \rangle = \langle J\xi, \xi \rangle$$

for all  $\xi \in C^2 \setminus \{0\}$ . Then,

$$\langle g^* J g \xi, \xi \rangle = \langle J \xi, \xi \rangle$$

so it follows that  $g^*Jg = J$ . (We prove this by transforming  $\xi$  in polar coordinates,  $\xi = x + i^k y$ , and considering k = 0, 1, 2, 3. These four equations allow us to determine the equality). Note that  $U(1,1) = \{g : g^*Jg = J\}$  forms a group structure where J has eigenvalues  $\pm 1$  for this reason, we denote  $U(1,1) \subset GL_2(\mathbb{C})$ .

We claim the following:  $T_g \in \operatorname{Aut}(\mathbb{D}) \Leftrightarrow g \in C^{\times} \cdot U(1,1)$ .

# §5 February 3rd, 2021

#### §5.1 Remark on the Zeta Function

**Theorem 5.1** (S.M. Voronin 1975)

For  $D = \{\frac{1}{2} < \text{Re}(z) < 1\}$ ,  $f : D \to \mathbb{C} \setminus \{0\}$ . If  $K \subset\subset D$  and  $\epsilon > 0$ , then there exists  $t \in \mathbb{R}$  such that

$$||f(\cdot) - \zeta(\cdot + it)||_K < \epsilon.$$

This theorem essentially says that if I slide around the zeta function in the strip D, I can uniformly approximate pretty much any function I want.

## §5.2 Fractional Linear Transformations, continued

Note that  $\operatorname{Ker}(g \mapsto T_g) = C^{\times}I_2$ . We define  $SL(2; C) = \{g \in GL(2; \mathbb{C}) : \det g = 1\}$ , the special linear group.

#### Theorem 5.2

For  $g \in SL(2; C)$ ,  $T_g \in Aut(\mathbb{D})$  if and only if  $g \in U(1, 1)$ .

*Proof.* We start with the forward direction. From the first homework, we showed that  $f \in \operatorname{Aut}(\mathbb{D})$  implies that  $f(z) = T_g z$  where g is the composition of a rotation  $g_1$  and  $g_2 = \begin{pmatrix} 1 & z_0 \\ \overline{z_0} & 1 \end{pmatrix}$  for  $z_0 \in \mathbb{D}$ . It suffices to check that  $g_1, g_2 \in U(1, 1) \times \mathbb{C}^{\times} I_2$ . This is easy to check.

Now, we show the converse. If  $g \in U(1,1)$ , then  $g^{-1} \in U(1,1)$ . If  $z \in \mathbb{D}$ , then  $z = p(\xi), \langle J\xi, \xi \rangle < 0$ . We have  $T_g z = p(g \ xi)$  and  $\langle J\xi, \xi \rangle < 0$  implies that  $\langle g^* jg\xi, \xi \rangle < 0$ , which implies that  $\langle Jg\xi, g\xi \rangle < 0$ , which shows that  $T_g z = p(g\xi) \in \mathbb{D}$ . Hence  $T_g \mathbb{D} \subset \mathbb{D}$ . The same argument holds for  $T_g^{-1} \mathbb{D} \subset \mathbb{D}$  so we have  $T_g \mathbb{D} = \mathbb{D}$  exactly, so  $T_g = \operatorname{Aut}(\mathbb{D})$ .  $\square$ 

# §5.3 Automorphisms of the Half Plane

There is a conformal map from  $\mathbb{H}_+ \to \mathbb{D}$  given by  $f: z \mapsto \frac{z-i}{z+i}$ . This corresponds to

$$f = \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix}.$$

Note that

$$f^{-1} = \frac{1}{2} \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix}.$$

Now,  $\operatorname{Aut}(\mathbb{H}_+) = \{(T_f)^{-1}T_gT_f|T_g \in \operatorname{Aut}(\mathbb{D})\} = \{T_{f^{-1}gf}|g \in SU(1,1)\}.$  it follows that  $\operatorname{Aut}(\mathbb{H}_+) = \{T_h|fhf^{-1} \in SU(1,1)\}$  (assuming  $h \in SL(2,\mathbb{C}), fhf^{-1} \in SL(2,\mathbb{C})$ ). It follows that  $(fhf^{-1})^*J(fhf^{-1}) = J$ , so  $h^*(f^*Jf)h = f^*Jf$ . We can compute

$$f^*Jf = \begin{pmatrix} 0 & -2i \\ 2i & 0 \end{pmatrix}.$$

It follows that

$$h^* \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} h = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}.$$

If we let  $h = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , then

$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} h^* \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} h = I_2.$$

If we check the computation, we find that  $a, b, c, d \in \mathbb{R}$ , so it follows that  $h \in SL(2, \mathbb{R})$ .

#### §5.4 The Cross Ratio

Note that  $T_g$  is completely determined by  $T_g0, T_g1, T_g\infty$ . Suppose  $T_g0 = T_h0, T_g1 = T_h1, T_g\infty = T_h\infty$ . If we let  $r = g^{-1}h$ , we have  $T_r0 = 0, T_r1 = 1, T_r\infty = \infty$ , so it follows that  $r \in C^{\times}I_2$  (carry out the matrix multiplication for an arbitrary matrix).

if we look at  $g^{-1}$  instead of g, we find that  $T_g$  is completely determined by  $a, b, c \in C \cup \infty$  so that  $Ta = 1, Tb = 0, Tc = \infty$ . Given, a, b, c, such a  $T_g$  is the map

$$z \mapsto \frac{z-b}{z-c} : \frac{a-b}{a-c}.$$

We denote the RHS by (z, a, b, c), which is a fractional linear map taking a, b, c to  $1, 0, \infty$ . This is called the cross ratio of z, a, b, c.

#### Theorem 5.3

If  $T_g$  is a fractional linear transformation and  $z_1, z_2, z_3, z_4$  are distinct points in  $\mathbb{C} \cup \infty$ , then

$$(z_1, z_2, z_3, z_4) = (T_g z_1, T_g z_2, T_g z_3, T_g z_4).$$

**Remark 5.4.** The above theorem shows that cross ratios are invariant under fractional linear transformations.

# §6 February 8th, 2021

#### §6.1 Mappings of Circles and Lines

#### Lemma 6.1

For  $g \in GL_2(\mathbb{C})$ ,  $\{w \in \mathbb{C} \cup \{\infty\} : T_gw \in \mathbb{R} \cup \{\infty\}\}\$  is a circle or a straight line with a point at infinity.

Proof.

$$\frac{aw+b}{cw+d} = \frac{\overline{aw+b}}{\overline{cw+d}},$$

Then  $(a\overline{c} - c\overline{a})|w|^2 + (a\overline{d} - c\overline{b})w + (b\overline{c} - d\overline{a})\overline{w} + b\overline{d} - d\overline{b} = 0$ . If  $a\overline{c} - c\overline{a} = 0$ , then we have a straight line. If  $a\overline{c} - c\overline{a} \neq 0$ , we have

$$\left| w + \frac{\overline{a}d - \overline{c}b}{\overline{a}c - \overline{c}a} \right| = \left| \frac{ad - bc}{\overline{a}c - \overline{c}a} \right|,$$

a circle.

## §6.2 Revisiting the Schwarz Lemma

Recall we have  $f \in \text{Aut}(\mathbb{D})$ , with f(0) = 0. We will use the fractional linear transformations so that  $0 \in \mathbb{D}$  no longer has a special role.

Given  $f: \mathbb{D} \to \mathbb{D}$  holomorphic with  $z_0 \in \mathbb{D}$ . Take an automorphism mapping  $0 \to z_0$  given by  $\frac{\cdot + z_0}{1 + \overline{z_0}(\cdot)}$ . Then, applying f and applying  $(\frac{\cdot + f(z_0)}{1 + \overline{f}(z_0)(\cdot)})^{-1}$ , which sends  $f(z_0) \to 0$ . These are all holomorphic, so it follows that the composition is a holomorphism from  $\mathbb{D} \to \mathbb{D}$  mapping  $0 \to 0$ . Now, we can apply the Schwarz Lemma as usual: For the derivatives, we use the chain rule:

$$\left(\frac{\cdot + z_0}{1 + \overline{z}_0(\cdot)}\right)'|_{z=0} = 1 - |a|^2.$$

Composing the derivatives along the composition, we find the derivative evaluated at 0 which we require to be  $\leq 1$ .

It follows that

$$\frac{|f'(z_0)|}{1 - |f(z_0)|^2} \le \frac{1}{1 - |z_0|^2}.$$

Moreover, by the Schwarz Lemma, we have equality if and only if  $f \in \text{Aut}(\mathbb{D})$ . if we put w = f(z), then dw = f'dz and the inequality is

$$\frac{|dw|}{1 - |w|^2} \le \frac{dz}{1 - |z|^2}.$$

This can be interpreted as having on  $\mathbb{D}$  the Riemannian metric

$$\frac{dx^2 + dy^2}{(1 - (x^2 + y^2))^2}$$

and  $f: \mathbb{D} \to \mathbb{D}$ , contracting the metric.

## §6.3 Functions on Simply Connected Regions

Recall the following properties of holomorphic functions in simply connected regions:

- For  $f: \Omega \to \mathbb{C}$  holomorphic, then there is  $F: \Omega \to \mathbb{C}$  holomorphic so that F' = f.
- $f: \Omega \to \mathbb{C} \setminus \{0\}$ , then there exists  $g: \Omega \to \mathbb{C}$  holomorphic so that  $e^g = f$ .
- $f: \Omega \to \mathbb{C} \setminus \{0\}$  holomorphic, then there exists  $g: \Omega \to \mathbb{C}$  so that  $h^n = f$ .
- $f: \Omega \to \mathbb{C}$  holomorphic and non-constant,  $\Omega$  a region, then f(V) is open if  $V \subset \Omega$ , V is open.

## §6.4 Injective Functions

Let  $f: \Omega \to G$  be a holomorphic function with  $\Omega$  open and connected. If f is injective, then  $f'(z) \neq 0$ . If so, then  $f(z) - f(z_0) = u(z)^n$  if  $0 = f'(z_0) = \dots, f^{n-1}(z_0)$  and  $f^{(n)}(z_0) \neq 0$ , with  $u(z_0) = 0$ . Then  $u(\{|z - z_0| < \epsilon\})$  is open for some  $\epsilon > 0$  so it contains  $\{|\zeta| < \delta\}$  for some  $\delta > 0$ . It follows that  $U(z_k) = \frac{\delta}{z} e^{2\pi i k/n}$  for  $1 \leq k \leq n$  and  $f(z_1) = \dots = f(z_n)$ . We could also use the argument principle to show that  $f'(z) \neq 0$ .

Then,  $f(\Omega)$  is open and f has local inverses: for each  $z \in \Omega$ , there is a neighborhood  $V_z$ , where f is a holomorphic isomorphism in the region. It follows that  $f: \Omega \to G$  is holomorphic, injective, then  $f|f(\Omega): \Omega \to f(\Omega)$  is a holomorphic isomorphism.

If  $\Omega$  is an open region so that  $f:\Omega\to\mathbb{D}$  is a holomorphic isomorphism, then if fix  $z_0\in\Omega$ , we have  $g\in\mathrm{Iso}(\Omega,\mathbb{D})\to(g(z_0),\frac{g'(z_0)}{|g'(z_0)|})\in\mathbb{D}\times\{|z|=1\}$  is a bijection.

# §7 February 10th, 2021

#### Lemma 7.1

If  $\Omega$  is an open region so that  $f:\Omega\to\mathbb{D}$  is a holomorphic isomorphism, then if fix  $z_0\in\Omega$ , we have  $g\in\mathrm{Iso}(\Omega,\mathbb{D})\to(g(z_0),\frac{g'(z_0)}{|g'(z_0)|})\in\mathbb{D}\times\{|z|=1\}$  is a bijection.

*Proof.* We provide a sketch of the proof. Replace f with

$$\left(\frac{\cdot - f(z_0)}{1 - \overline{f(z_0)}}\right) \circ f$$

so that  $f(z_0) = 0$ . Then,  $Iso(\Omega, \mathbb{D}) \ni g \to g \circ f^{-1} \in Aut(\mathbb{D})$  is a bijection and

$$\left(g(z_0), \frac{g'(z_0)}{|g'(z_0)|}\right) = \left((g \circ f^{-1})(0), \frac{(g \circ f^{-1})'(0)}{|(g \circ f^{-1})'(0)|} \frac{f'(z_0)}{|f'(z_0)|}\right)$$

so the proof reduces to the case where  $\Omega = \mathbb{D}$  and  $z_0 = 0$ . It is easy to show that the map is onto and 1-1.

## §7.1 Riemann Mapping Theorem

#### **Theorem 7** (Riemann Mapping Theorem)

Suppose  $\Omega$  is simply connected and  $\Omega \neq \mathbb{C}$ . Then, there exists  $f: \Omega \to \mathbb{D}$  a holomorphic isomorphism.

**Remark 7.2.** There is no holomorphic isomorphism from  $\mathbb{D} \to \mathbb{C}$  because of Liouville's Theorem.

*Proof.* (Kobe) Let  $z_0 \in \Omega$  and  $\mathcal{F} = \{f : \Omega \to \mathbb{D} : f \text{ injective}, f(z_0) = 0, f'(z_0) > 0\}$ . The steps are as follows:

•  $\mathcal{F} \neq \emptyset$ .

Proof. If  $\Omega \neq \mathbb{C}$ , there is a point  $a \in \mathbb{C} \setminus \Omega$ . If  $\Omega$  is simply connected, there exists  $h: \Omega \to \mathbb{C}$  holomorphic with  $h^2(z) = z - a$ . Then  $h(\Omega)$  is open and there exists r such that  $B_r(h(z_0)) \subset h(\Omega)$ . Then  $h^2(\cdot) = \cdot - a$  is injective, so h is injective. Then  $-B(h(z_0), r) \cap h(\omega) = \emptyset$ . Otherwise, there are  $z_1, z_2$  with  $h(z_1) = -h(z_2) \neq 0$ . Then, we have  $z_1 \neq z_2$  and  $h(z_1) = -h(z_2)$  which implies that  $h^2(z_1) = h^2(z_2)$ .

Hence,  $|h(z) - h(z_0)| \ge r$  for all  $z \in \Omega$ . It we take p = r/2 > 0, then we have  $|h(z) + h(z_0)| \ge p$ . Then, we find  $c \in \mathbb{C}^{\times}$  so that

$$c\frac{h(z) - h(z_0)}{h(z) + h(z_0)} \in \mathbb{D}.$$

Rotating by a sufficient  $\theta \in \mathbb{R}$ , we have

$$z \mapsto ce^{i\theta} \frac{h(z) - h(z_0)}{h(z) + h(z_0)} \in \mathcal{F}$$

• Show there is f which maximizes  $f'(z_0)$  in  $\mathcal{F}$ .

Proof. Let  $g_n \in \mathcal{F}$  so that  $\lim_{n\to\infty} g'_n(z_0) = \sup_{f\in\mathcal{F}} f'(z_0)$ . Since  $||g_n||_{\Omega} \leq 1$ ,  $n \in \mathbb{N}$ , we can pass to a subsequence so that  $g_n \to g$  uniformly on compact subsets of  $\Omega$  for some holomorphic  $g: \Omega \to \mathbb{C}$  and  $g'_n \to g'$  uniformly on compact sets in  $\Omega$ . Hence  $\lim_{n\to\infty} g'_n(z_0) = g'(z_0)$  and  $\sup_{f\in\mathcal{F}} f'(z_0) = g'(z_0) < \infty$  and  $g'(z_0) > 0$ .

We still need to show g is injective. Let  $z_1 \neq z_2$ ,  $z_1, z_2 \in \Omega$ ,  $g(z_1) = g(z_2)$ . Then in  $\Omega \setminus \{z_1\}$ ,  $g_n(\cdot) - g_n(z_1) \neq 0$  for all points in  $\Omega \setminus \{z_1\}$ . By the Hurwitz theorem,  $g(\cdot) - g(z_1)$  is either 0 or never vanishes. But  $g(\cdot)$  is not a constant function since  $g'(z_0) > 0$ , so we have  $g(\cdot) - g(z_1)$  never vanishes on  $\Omega \setminus \{z_1\}$ , so  $g(z_2) \neq g(z_1)$ , a contradiction.

Moreoever,  $||g||_{\Omega} \leq 1$  gives that  $g(\Omega) \subset \overline{\mathbb{D}}$ , but by the maximum principle, we have  $g(\Omega) \subset \mathbb{D}$ .

• If  $f'(z_0)$  maximal, then f is an isomorphism.

*Proof.* It suffices to show that  $g(\Omega) = \mathbb{D}$ . Suppose there is  $w_0 \in \mathbb{D} \setminus g(\Omega)$ . We perform several modifications of g.

First, let  $F(z) = \sqrt{\frac{g(z)-w_0}{1-\overline{w_0}g(z)}}$ . This is well-defined since  $\Omega$  is simply connected. Note that  $F(\Omega) \subset \mathbb{D}$  and F is injective with  $0 \notin F(\Omega)$ .

Second, we make  $z_0$  go to 0. Define  $G(z) = \frac{F(z) - F(z_0)}{1 - \overline{F}(z_0)F(z)}$ . Then, G is injective from  $\Omega \to \mathbb{D}$  and  $G(z_0) = 0$ .

We now show that  $G'(z_0) > g'(z_0)$ , a contradiction. We will show that  $g = k \circ G$ , where  $k : \mathbb{D} \to \mathbb{D}$ , holomorphic. The inverse of G is a fractional linear transformation given by  $\begin{pmatrix} 1 & F(z_0) \\ \overline{F}(z_0) & 1 \end{pmatrix}$ .

From F to g, we take the  $T_w \circ (z \mapsto z^2)$ , where w is the corresponding matrix from the initial FLT. So we have  $k = T_w \circ (z \mapsto z^2) \circ T_h$ . Note that  $k(\mathbb{D}) \subset \mathbb{D}$  and  $k(0) = \frac{F(z_0)^2 + w_0}{1 + \overline{w_0} F(z_0)^2}$ , so since we have  $F(z_0)^2 = -w_0$ , we get k(0) = 0.

Since  $k \notin \operatorname{Aut}(\mathbb{D})$ , so we must have |k'(0)| < 1 by the Schwarz Lemma. It follows that

$$|G'(z_0)| > |k'(0)||G'(z_0)| = |(k \circ G)'(z_0)| = |g'(z_0)|,$$

a contradiction.  $\Box$ 

# §8 February 17th, 2021

## §8.1 Caratheodory Extension Theorem

**Definition 8.1.** A Jordan curve is given by a map  $[0,1] \ni t \to C(t) \in \mathbb{C}$  which is continuous, 1-1 on [0,1] and C(0) = C(1).

#### Theorem 8 (Jordan Curve Theorem)

If  $C:[0,1]\to\mathbb{C}$  is a Jordan curve, then  $\mathbb{C}\setminus C([0,1])$  has 2 connected components, one if which is bounded and the other is unbounded.

We refer to the bounded component as the interior region, or the Jordan region. We denote C([0,1]) as |C| when  $C:[0,1] \to \mathbb{C}$ .

#### **Theorem 9** (Caratheodory)

Let  $\Gamma$  be a Jordan curve and  $\Omega$  the bounded region determined by  $\Gamma$ (then  $\partial \Omega = |\Gamma|$ ). if  $f: \mathbb{D} \to \Omega$  is a holomorphic isomorphism, then f extends to a homeomorphism  $\overline{\mathbb{D}} \to \overline{\Omega}$  where  $\partial \mathbb{D}$  is mapped to  $\partial \Omega = |\Gamma|$ .

Some remarks:

- Note that the winding of the boundary around interior points is preserved so correspondence  $\partial \mathbb{D} \to \partial \Omega$  preserves clockwise orientation(see Ahlfors for more detail).
- It is easy to derive a more general statement for  $\Omega_1, \Omega_2$  of Jordan curves  $\Gamma_1, \Gamma_2$ . So we have homeomorphisms giving  $\Omega_1 \cup |\Gamma_1| = \overline{\Omega_1}$  and  $\Omega_2 \cup |\Gamma_2| = \overline{\Omega_2}$ .
- It also tells us things about regions with slits. For instance, take  $\mathbb{D} \to \mathbb{D} \setminus [0,1)$ . By the Riemann Mapping Theorem, we have a holomorphic isomorphism between this set and the unit disk. The boundary behaves as if [0,1) would infinitesimally be a double line, but we can still factor a map  $g : \to \mathbb{D} \cap \{Im(z) > 0\}$ . Then the map  $z \mapsto z^2$  sends this set to  $\mathbb{D} \setminus [0,1)$ . Then, the homeomorphism  $\partial \mathbb{D} \to \partial(\mathbb{D} \cap \{Im(z) > 0\})$  is given by Caratheodory.

## §8.2 Rectifiable Arcs

**Definition 8.2.** An arc  $\varphi : [a, b] \to \mathbb{C}$  is a 1-1, continuous map is rectifiable if it has "length" (bounded variation) that is finite:

$$\sup_{a=t_0 < t_1 < \dots < t_k = b} \sum_{j=0}^{k-1} |\varphi(t_{j-1}) - \varphi(t_j)| < \infty.$$

If this definition is bothersome, we can make stronger assumptions about the arc being piecewise differentiable.

First, we present an analytic continuation theorem. Here the rectificable arc will be without endpoints  $\varphi:(a,b)\to\mathbb{C}$ .

#### Theorem 10

If  $\Omega, \omega$  are disjoint regions and  $\Gamma$  a rectifiable arc, so that  $|\Gamma| = \partial\Omega \cap \partial\omega$  and  $|\Gamma| \cap \Omega \cap \omega$  is open. Assume  $f: |\Gamma| \cup \Omega \to \mathbb{C}$ ,  $g; |\Omega| \cup \omega \to \mathbb{C}$  is continuous and  $f|_{\Omega}$ ,  $g|_{\omega}$  holomorphic and  $f|_{|\Gamma|} = g|_{|\Gamma|}$ . Then  $F: \Omega \cup |\Gamma| \cup \omega \to \mathbb{C}$  defined by  $F|_{\Omega \ cup|\Gamma|} = f$ ,  $F|_{|\Gamma| \cup \omega} = g$  is holomorphic.

*Proof.* We sketch the proof. Analyticity is a local property, so we only need to show that for a point on  $|\Gamma|$ , there is a neighborhood where F is holomorphic. While F had no endpoints, we take  $\gamma$ , a small portion of the arc. Then, for an open ball containing the arc, we split into regions  $C_1, C_2$ . On this, we define

$$f^*(z) = \frac{1}{2\pi i} \oint_{C_1} \frac{f(\zeta)}{\zeta - z} d\zeta, \quad z \in \Omega_1 \cup \omega_1,$$

going counterclockwise. Similarly, we define  $g^*(z)$  over the lower part. Intersection over  $\gamma$  is a Stieltjes integral.

When we add the two, we get  $F(z) = \frac{1}{2\pi i} \oint \frac{F(\zeta)}{\zeta - z} d\zeta$ . This shows that F is holomorphic.

# §9 February 22nd, 2021

## §9.1 Schwarz Reflection and Variants

Let  $\Omega = \Omega^* = \{\overline{z}|z \in \Omega\}$  an open region. Suppose that  $\Omega \cap \mathbb{R} \subset (a,b)$ . Then,  $\Omega_{\pm} = \omega \cap \{\pm Im(z) > 0\}$ . If  $f: \Omega_+ \cup (a,b) \to \mathbb{C}$  continuous and  $f|_{(a,b)} \subset \mathbb{R}$ ,  $f|_{\Omega_+}$  holomorphic, then

$$F(z) = \begin{cases} f(z), & z \in \Omega_+ \cup (a, b) \\ \overline{f(\overline{z})}, & z \in \Omega_- \end{cases}$$

is holomorphic in  $\Omega_+ \cup (a, b) \cup \Omega_-$ .

*Proof.* Use the previous result with  $\Omega = \Omega_+$ ,  $\omega = \Omega_-$ ,  $|\Gamma| = (a, b)$  with f = f,  $\overline{f(\bar{\cdot})} = g(\cdot)$ .

Variants:

• Suppose we set  $\Omega_+ \subset \mathbb{D}$ ,  $\gamma$ , an arc in  $\{|z| = 1\} \cap \partial \Omega_+$ . We have  $|\gamma| \cup \Omega_+$  open, and  $f: |\gamma| \cup \Omega_+ \to \mathbb{C}$  continuous,  $f|_{\Omega_+}$  holomorphic and  $f|_{|\gamma|} \subset \mathbb{R}$ .

We set

$$F(z) = \begin{cases} f(z), & z \in \Omega_+ \cup |\gamma| \\ \overline{f(1/\overline{z})}, & z \in \{1/\overline{w} : w \in \Omega_+ \setminus \{0\}\} \end{cases}$$

If we work on the Riemann sphere, we don't need to remove 0, as it gets mapped to  $\infty$ . For circles, we have  $OA \cdot OB = R^2$ .

• Let  $\varphi:(a,b)\to\mathbb{C}$  be an Analytic arc - that there is  $f:\omega\to\mathbb{C}$  univalent so that  $\omega\supset(a,b), f|_{(a,b)}=\varphi$ , a holomorphic extension. (this definition avoids the discussion of real analytic functions).

Let  $\Omega$  be a region,  $\gamma$  an analytic arc,  $|\gamma| \supset \partial \Omega$  from univalent  $f : \omega \to \mathbb{C}$  and we assume  $\omega$  is chosen so that

$$f(\omega \cap \{Im(z) > 0\}) \subset \Omega, \quad f(\omega \cap \{Im(z) < 0\}) \cap \Omega = \emptyset.$$

Let  $F; \Omega \cup |\gamma| \to \mathbb{C}$  continuous.  $F|_{\Omega}$  holomorphic, where  $F(|\gamma|) \subset |\Gamma|$ , where  $\Gamma$  is another analytic arc. Then, there is  $\Omega_1$  open with  $\Omega_1 \supset \Omega \cup |\gamma|$  so that it has  $\Gamma$  has a holomorphic extension to  $\Omega_1$  with  $|\gamma|$  mapping to another analytic arc.

First, after a suitable restriction, we take  $g^{-1} \circ F \circ f$ , reducing the result where we have a segment on the real axis mapped to  $\mathbb{R}$ . We then apply Schwarz reflection to the segment.

• Let  $\Omega$  be an inner region of a polygon(not necessarily convex). Suppose  $z_1, \ldots, z_n$  appear counterclockwise and  $\alpha_k \pi$ ,  $1 \leq k \leq n$  inner angles  $0 < \alpha_k < z$  and  $\beta_k \pi$  the outer angles,  $\pi - \alpha_k \pi = \beta_k \pi$  or  $1 - \alpha_k = \beta_k$ . Then  $\sum_k \beta_2 = 2$ (the sum of exterior angles is  $2\pi$ ). A function  $f: \Omega \to \mathbb{D}$  a holomorphic isomorphism has continuous extension to  $\widetilde{f}: \overline{\Omega} \to \overline{\mathbb{D}}$  by Caratheodory with  $\widetilde{f}(\partial \Omega) = \partial \mathbb{D}$ . We let  $F: \mathbb{D} \to \Omega$  be the inverse map. We choose f so that  $f(z_j) = w_j$ , preserving the counterclockwise orientation.

By the Schwarz Reflection, since  $f((z_k, z_{k+1})) = (w_k, w_{k+1})$ , f has an analytic extension across  $(z_k, z_{k+1})$  and some neighborhood of  $(z_k, z_{k+1})$  is mapped injectively into a neighborhood of  $(w_k, w_{k+1})$ . Note that F has holomorphic extension into a neighborhood of  $(w_k, w_{k+1})$  and etc.

#### §9.2 Schwarz-Christoffel Formula

 $F: \overline{\mathbb{D}} \to \overline{\Omega}$  is a homeomorphism which extends the inverse map ad  $F(w_k) = z_k$ .  $\overline{\Omega}$  iis a polygon with angles  $\alpha_k \pi, \beta_k = 1 - \alpha_k$ . Then

$$F(w) = C \int_0^w \prod_{i=1}^k (w - w_k)^{-\beta_k} dw + C'.$$

**Remark 9.1.** This is not an explicit formula. The constants C, C' need to be found and  $w_1, \ldots, w_n$  are not known. We can fix  $w_1, w_2, w_3$ , but not more.

*Proof.* Consider a map  $\varphi(\zeta) = \zeta^{\alpha_k} e^{i\omega_k} + z_k$ , which maps a semicircle to the angle  $\alpha_k \pi$ . Note that  $\varphi$  extends to  $\{|\zeta| < \epsilon : Im(\zeta) \ge 0\}$  and maps  $(-\epsilon, \epsilon)$  to the corner at  $z_k$ . Then  $\widetilde{f} \circ \varphi$  maps  $(-\epsilon, \epsilon)$  to an arc of the circle containing  $w_k$ .

Applying the reflection principle to the segment,  $f \circ \varphi$  has an analytic extension to the open disc of radius  $\epsilon$ . Moreover, this extension has nonzero derivative at 0, so it has a local inverse at  $w_k$ .

So, take  $(\widetilde{f} \circ \varphi)^{-1}(w) = (w - w_k)K(w)$  with  $K(w_k) \neq 0$  in a neighborhood of  $w_k$ . But then, in a neighborhood of  $w_k$ , if  $w \in \overline{\mathbb{D}}$ , we have

$$F(w) = \varphi \circ (\widetilde{f} \circ \varphi)^{-1}(w) = (w - w_k)^{\alpha_k} \cdot e^{i\omega_k} K(w)^{\alpha_k} + z_k.$$

But  $K(w)^{\alpha_k}$  is holomorphic near  $w_k$  since  $K(w_k) \neq 0$  so we can define (the branch of) this power in a small disc around  $w_k$ . Thus, locally near  $w_k \in \overline{\mathbb{D}}$ , we have

$$F(w) - z_k = (w - w_k)^{alpha_k} \cdot G_k(w)$$

where  $G_k(w_k) \neq 0$  and holomorphic in a neighborhood of  $w_k$ . Computing the derivative, we have

$$F'(w) = (w - w_k)^{-\beta_k} (\alpha_k G_k(w) + (w - w_k) G'_k(w))$$

or  $(w-w_k)^{\beta_k}F'(w)$  is holomorphic and nonzero near  $w_k$  so  $F'(w)\prod_{k=1}^n(w-w_k)^{\beta_k}$  is are holomorphic near  $\overline{\mathbb{D}}$ .

# §10 February 24th, 2021

## §10.1 Schwarz-Christoffel Formula, continued

We show that  $F'(w) \prod_{k=1}^{n} (w - w_k)^{\beta_k}$  is a constant, via the maximum principle.

*Proof.* Let  $H(w) = F'(w) \prod_{k=1}^{n} (w - w_k)^{\beta_k}$  be extended to a neighborhood of  $\overline{\mathbb{D}}$ . It suffices to show that  $Im(\log H(w))$  is constant. Note that  $Im(\log H(w)) = \log e^{Im(\log H(w))} = \log |e^{-i\log H(w)}|$ .

It suffices to show that  $|e^{-i\log H(w)}|$  is constant on the arcs  $(w_k, w_{k+1})$ . So, we show that arg H(w) is constant on the open arcs  $(w_k, w_{k+1})$ .

On  $(w_k, w_{k+1})$ ,  $F(e^{i\theta})$  takes values in  $(z_k, z_{k+1})$ , so  $\arg iF'(e^{i\theta})e^{i\theta}$  is constant. So  $\arg F'(e^{i\theta}) = c - \theta$ , for  $\theta \in (\theta_k, \theta_{k+1})$ , where  $w_k = e^{i\theta_k}$ .

On the other hand,  $\arg(w-w_p) = \arg((e^{i(\theta-\theta_p)}-1)e^{i\theta_p})$ . It follows that  $\arg(w-w_p) = C + \theta/2$ .

This gives

$$\arg H(e^{i\theta}) = C - \theta + \sum \beta_p(c_p + \theta/2) = C + (1/2 \sum \beta_p - 1)\theta,$$

which is a constant.

## §10.2 Schwarz-Christoffel Formula on the Upper Half-Plane

If  $G: \{Im(u) > 0\} \to \Omega$  a conformal map mapping  $\infty$  to one of the vertices, where  $\Omega$  is the interior of a polygon with outer angles  $\beta_1 \pi, \ldots, \beta_n \pi$ , then

$$G(u) = C \int_0^u \prod_{k=1}^{n-1} (u - \xi_k)^{-\beta_k} du$$

where  $\xi_k \in \mathbb{R}$  (it is not really the first n-1 angles, but the ones that aren't coming from infinity). If the sum  $\beta_1 + \cdots + \beta_{n-1} = 2$ , then  $\beta_n = 0$ , and we have an (n-1)-gon.

It follows from inverting the line Im(z) = 0 to a disk given by  $\varphi(u) = \frac{u-i}{u+i}$  (the Cayley Map). Then  $G = F \circ \varphi$  and  $\varphi(\xi_k) = w_k$ . If  $\xi_n = \infty$ , then  $w_n = 1$ . Assume  $w_k \neq 1$ , from  $\xi_k$  in  $\mathbb{R}$ . Then if we let  $w = \varphi(u)$ ,

$$G'(u) = F'(\varphi(u))\varphi'(u) = 2iF'(\varphi(u)) \cdot (u+i)^{-2}.$$

Then  $w - w_k = \varphi(u) - \varphi(\xi_k) = C_k \frac{u - \xi_k}{u + i}$ , so it follows that

$$G'(u) = C \prod_{k=1}^{n} \left( \frac{u - \xi_k}{u + i} \right)^{-\beta_k} (u + i)^{-2} = C \prod_{k=1}^{n} (u - \xi_k)^{-\beta_k}$$

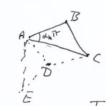
if all the  $w_k \neq 1$ . If  $w_n = 1$ , then  $w - w_n = \varphi(u) - \varphi(\infty) = C(u+i)^{-1}$ . We find that  $G'(u) = C \prod_{k=1}^{n-1} (u - \xi_k)^{-\beta_k}$ , from a similar computation. So if  $\sum \beta_k < 2$ , then  $\infty \to w_n$  and  $\beta_n > 0$ . one of the vertices of the polygon corresponds to  $\infty$  in the boundary of the upper half-plane(in the Riemann sphere).

## §10.3 Triangle Functions

Take  $\xi_1, \xi_2, \infty$  mapped to the vertices of a triangle with angles  $\alpha_1 \pi, \alpha_2 \pi, \alpha_3 \pi$  with  $\alpha_1 + \alpha_2 + \alpha_3 = 1$ . Then

$$G(u) = \int_0^u (u - \xi_1)^{\alpha_1 - 1} (u - \xi_2)^{\alpha_2 - 1}.$$

We get rid of the constants by applying linear transformations to the triangles. What can we say about the inverse function of G,  $g = G^{-1}$ ? We know that g is real on each open side of the triangle, so g extends by reflection in a side to an additional triangle.



If we let B reflect to D in AC, then the extension of g to ADC will also take real values on AD and DC. Also, the extended g will extend by reflection to ADE. The result of the reflection in AC and AD is a rotation by  $2\varphi + \psi$ , where  $\varphi + \psi = \alpha_k \pi$ , so a rotation by  $2\alpha_k \pi$  and when we preform 2 reflections, there is no more conjugation of the function.

## §11 March 1st, 2021

## §11.1 Schwarz Triangle Functions

We took  $G(u) = \int_0^u (u - \xi_1)^{\alpha_1 - 1} (u - \xi_2)^{\alpha_2 - 1} du$ . We can take  $\xi_1 = 0, \xi_2 = 1$  after scaling. By reflections, recall that g extends by rotations around a vertex by double the angle. We get that  $\widetilde{g}((z - z_k)e^{2i\alpha_k\pi} + z_k)$  where  $\widetilde{g}$  is the extension after one reflection in an adjacent side to  $z_k$ . After repeated reflections to an integer number of rotations by  $n_k 2\alpha_k\pi$  and this gets us back to the initial triangle. In other words, there exists  $n_k$  such that  $n_l 2\alpha_k\pi = 2\pi$ . Then, g is holomorphic extended to some  $\{0 \le |z - z_k| < \epsilon\}$  and if the corresponding point is  $\infty$  for  $z_k$ , then g is meromorphic near  $z_k$  with a pole at  $z_k$ ; otherwise it is holomorphic near  $z_k$ . This happens when  $\alpha_k = 1/n_k$ , for  $n_k \in \mathbb{N}$ . We have  $1/n_1 + 1/n_2 + 1/n_3 = 1$  with  $n_1 \le n_2 \le n_3$ . This has 3 solutions (3, 3, 3), (2, 4, 4) and (2, 3, 6). We can combine these rotations around vertices to get invariance under shifts. In the end, g is meromorphic with two periods  $g(z + L_k) = g_k$  for k = 1, 2. These are called the Schwarz Triangle Functions.

## §11.2 Conformal Mappings of Rectangles

We can arrange so that the points are mapped from -1/k, -1, 1, 1/k with 0 < k < 1 and

$$G(u) = \int_0^u \frac{du}{\sqrt{(1 - u^2)(1 - k^2 u^2)}}.$$

We consider how G maps the boundary of  $\mathbb{H}$  onto  $\mathbb{R} \cup \{\infty\}$ . We have that G(0) = 0 and if  $K = \int_{-1}^{-1} \frac{du}{\sqrt{(1-u^2)(1-k^2u^2)}}$ , then G maps [-1,1] to [-K/2,K/2]. When  $u \in (1,1/k)$ , then if  $K' = \int_{1}^{1/k} \frac{du}{\sqrt{(u^2-1)(1-k^2u^2)}}$ , then the boundary moves along [K/2,K/2+iK']. Similarly, (-1/k,-1) goes to (-K/2+iK',-K/2).

Finally, the cases where  $(-\infty, -1/k)$  and  $(1/k, \infty)$  are symmetric, and the integrand is real, so  $\infty$  goes to the middle of (-K/2 + iK', K/2 + iK') and  $(-\infty, -1/k)$  goes to (iK', -K/2 + iK').

Then, the inverse function g is real on the boundary of the rectangle and can be extended by reflection. We find that g(z+2K)=g(z) and g(z+2iK')=g(z) and g has a pole at iK' and K+iK' and zeros at 0 and K so we have poles at nK+2(m+1)K'i and zeros at nK+2mK'i.

## §12 March 3rd, 2021

## §12.1 Elliptic Integrals and Functions

Recall we had a conformal mapping of rectangles given by

$$G(w) = \int_0^w \frac{dw}{\sqrt{(1 - w^2)(1 - k^2 w^2)}} \quad 0 < k < 1.$$

This is an example of a basic elliptic integral. More generally, we have

$$\int_0^w R(w, \sqrt{P(w)}) dw$$

where R is a rational function and P is a polynomial of degree 3 or 4. Then, the inverse  $g = G^{-1}$  is an elliptic function: doubly periodic and meromorphic.

## §12.2 Tiling the Unit Disk

We tile the unit disk. We can tile the disk with a curvilinear triangle with arcs that are geodesics with respect to the hyperbolic metric  $\frac{|dz|^2}{(1-|z|^2)^2}$ . We start with the equilateral triangle with vertices at i,  $ie^{2\pi i/3}$ , and  $ie^{-2\pi i3}$ . If we reflect i with respect to the lower arc, it is mapped to -i. We do the same for the other vertices, and we repeat this to get an Escher-like picture. All the arcs are the same size with respect to the hyperbolic metric.

Now, if we consider a mapping from the Escher picture to the upper-half plane, it should be invariant under some group of fractional linear transformations, namely SU(1,1). So, we look for a way to pass from  $SU(1,1) \to SL(2,\mathbb{R})$ .

We can map our points to 0,1 and  $\infty$ . (Did you know conformal mappings preserve angles?) We wish to understand what happens when we reflect about this figure. When we reflect about the lines, we have the usual reflection. Before doing this, we precisely describe the connection between the two figures. Namely, the transformation is given by the cross ratio  $(z, ie^{-2\pi i/3}, ie^{2\pi i/3}, i)$  (recall that it makes the second point to 0, third to 1 and last to  $\infty$ ). We can understand what's happening by noting that when we map Jordan domains to Jordan domains, they preserve orientation. Note that  $0, 1, \infty$  are counterclockwise. Writing down the mapping

$$z \mapsto \frac{z - ie^{2\pi i/3}}{z - i} : \frac{ie^{-2\pi i/3} - ie^{2\pi i/3}}{ie^{-2\pi i/3} - i}.$$

Notice that the second fraction is equal to  $e^{\pi i/3} \frac{-\sin(2\pi/3)}{-\sin(\pi/3)} = e^{\pi i/3}$ . This is a counterclockwise rotation by  $60^{\circ}$ . Hence  $z \mapsto e^{-\pi i/3} \frac{z-ie^{2\pi i/3}}{z-i}$ . Note that the reflections are given by  $z \mapsto -\overline{z}$  for  $0, \infty$   $z \mapsto -\overline{z-1} + 1 = z - \overline{z}$  for  $1, \infty$  and  $z \mapsto \frac{1/4}{z-1/2} + 1/2$ . Composing the rotations, we have z + z and  $\frac{z}{2z+1}$ . These give two elements of  $SL(2,\mathbb{R})$ , so it follows that  $\Gamma$ , the free group of invariant transformations generated by these two elements. This subgroup of  $SL(2,\mathbb{R})$  will also be important later.

## §12.3 Schwarz-Christoffel Revisted

We will use the Schwarzian derivative, which is similar to the role of the logarithmic derivative in the Schwarz-Christoffel formula.

Note that the Schwarz-Christoffel formula is given by

$$F' = c \prod_{k=1}^{n} (w - w_k)^{-\beta_k}$$

and we get that

$$\frac{F''}{F'} = (\log F')' = C + \sum \frac{-\beta_k}{w - w_k}.$$

[The constant might not be necessary.]

The Schwarzian derivative is given by

$$S(f) = \frac{f'''}{f'} - \frac{3}{2} \left(\frac{f''}{f'}\right)^2 = \left(\frac{f''}{f'}\right)' - \frac{1}{2} \left(\frac{f''}{f'}\right)^2.$$

There are some interesting properties of S(f):

- $S(f \circ g) = (S(f) \circ g)(g')^2 + S(g)$ .
- For  $f(z) = \frac{az+b}{cz+d}$ , S(f) = 0.
- Combining the results, S(g) is invariant under fractional linear transformations.