# Math 214: Differentiable Manifolds

Professor: Richard Bamler, Spring 2021 Scribe: Vishal Raman

## **Contents**

1	January 19th, 2021	
	1.1 Topology Review	
	1.2 Smooth Manifolds	
2	January 21st, 2021	
	2.1 Coordinate Charts	
	2.2 Connectivity	
	2.3 Local Compactness and Paracompactness	

## §1 January 19th, 2021

### §1.1 Topology Review

**Definition 1.1** (Topological Space).  $(X, O_X \subset \mathcal{P}(X))$ , where  $A \in O_x$  are the open sets which satisfy the following:

- 1.  $\emptyset, X \in O_X$ .
- 2.  $A, B \in O_X$  implies  $A \cap B \in O_X$
- 3.  $A_i \in O_X$ ,  $i \in I$ , then  $\bigcup_{i \in I} A_i \in O_X$ .

We say that  $A \subset X$  is closed if  $X \setminus A$  is open.  $U \subset X$  is a neighborhood of  $p \in X$  if  $\exists A$  such that  $p \in A \subset U$ .

#### Example 1.2

Take a metric space (X, d). The topology is generated as follows:  $A \subset X$  is open if  $\forall p \in A, \exists r > 0$  such that  $B_r(p) \subset A$ .

**Definition 1.3.**  $\mathcal{B} \subset \mathcal{P}(X)$  is called a **basis** for the topology on X if for every subset  $A \subset X$ , A is open if and only if A is a union of elements of  $\mathcal{B}$ .

#### Example 1.4

For a Euclidean space,  $\mathcal{B} = \{B_r(x) \subset \mathbb{R}^n : r \in \mathbb{Q}, r > 0, x \in \mathbb{Q}^n\}$  is a basis for the topology. Note that this basis is countable, so  $\mathbb{R}^n$  is 2nd countable.

Let  $(X, O_X)$ ,  $(Y, O_Y)$  be topological spaces.

**Definition 1.5.** A function  $\varphi: X \to Y$  is continuous if for any open subset  $B \subset Y$ ,  $\varphi^{-1}(B) \subset X$  is open.

**Definition 1.6.**  $\varphi: X \to Y$  is a homeomorphism if it is a continuous bijection whose inverse is continuous.

**Definition 1.7.** Let  $Y \subset X$  a topological space. We set  $O_Y = \{A \cap Y : A \in O_X\}$ .

#### Example 1.8

The subspace topology is the coarsest topology so that the inclusion map  $Y \to X$  is continuous (also called the initial topology).

#### Example 1.9

 $\mathbb{R} \times \{0\} \subset \mathbb{R}^2$  has the same topology as  $\mathbb{R}$ . In other words, it is clear that  $\mathbb{R} \approx \mathbb{R} \times \{0\}$ , where the approximate sign indicates a homeomorphism.

#### Theorem 1

(Topological Invariance of Dimension) If we take  $\mathbb{R}^m$ ,  $\mathbb{R}^n$  with open subsets  $U \subset \mathbb{R}^m$  and  $V \subset \mathbb{R}^n$ . If we have  $\varphi : U \to V$  a homeomorphism, then we must have m = n.

The proof is beyond the scope of the class, but uses homology groups.

**Definition 1.10.** Given a topological space X, X is called locally Euclidean (of dimension n) at  $p \in X$  if there is an open neighborhood about  $p \in U \subset X$  that is homeomorphic to an open subset of  $\mathbb{R}^n$ .

#### **Lemma 1.11**

The n is uniquely determined by p.

*Proof.* Assume that X was locally Euclidean at p of dimensions  $n_1, n_2$ . There are neighborhoods  $p \in U_i \subset X$  and homeomorphisms  $\varphi_i : U_i \to \widehat{U}_i \subset \mathbb{R}^{n_i}$ . Consider the image of  $U_1 \cap U_2$  under both homeomorphisms. If we take  $\varphi_2 \circ \varphi_1^{-1} : \varphi(U_1 \cap U_2) \to \varphi_2(U_1 \cap U_2)$ , a homeomorphism, so it follows that  $n_1 = n_2$  by Topological Invariance of Dimension.  $\square$ 

**Definition 1.12.** A space X is **Hausdorff** if for any  $p, q \in X$ ,  $p \neq q$  there exists open subsets U, V with  $p \in U$ ,  $q \in V$  so that  $U \cap V = \emptyset$ .

**Exercise 1.13.** For any  $p, q \in X$ , if there is a separating continuous function  $f: X \to \mathbb{R}$  such that  $f(p) \neq f(q)$ , then X is Hausdorff.

**Definition 1.14.**  $K \subset X$  is compact if every open cover of K has a finite subcover.

Some useful facts, a subspace of a Hausdorff space is Hausdorff, Hausdorff + Compact implies Closed,  $\varphi: X \to Y$  continuous, K is compact, then  $\varphi(K)$  is compact. We can use these to show that for  $\varphi: X \to Y$  with X compact, Y Hausdorff with  $\varphi$  continuous, bijective, then  $\varphi$  is a homeomorphism.

## §1.2 Smooth Manifolds

**Definition 1.15.** A topological space M is called an n-dimensional **topological manifold** if M satisfies the following:

- M is locally Euclidean at any point,
- M is Hausdorff,
- *M* is second countable.

#### Example 1.16 (Manifold - Hausdorff)

Suppose we drop the Hausdorff condition. Take  $X = (\mathbb{R} \times \{0,1\}) \setminus \sim$ , where  $(x,0) \sim (x,1)$  if x < 0. Consider the quotient map  $\pi : \mathbb{R} \times \{0,1\} \to X$ . Call  $A \subset X$  open iff  $\pi^{-1}(A)$  is open. Each branch of the line are open subsets, each homeomorphic to  $\mathbb{R}$ .

#### Example 1.17 (Manifold - Second Countable)

Take an uncountable subset S equipped with the discrete topology. Set  $X = S \times \mathbb{R}$ . A more interesting example called the "long line" is as follows:

#### **Lemma 1.18**

There is an uncountable, well-ordered set S such that S has a maximal element  $\Omega \in S$  and for all  $\alpha \in S$ ,  $\alpha \neq \Omega$ , the set  $\{x \in S | x < \alpha\}$  is countable.

Now, set  $X = (-\infty, 0) \cup S \times [0, 1)$  under the lexicographic ordering. This turns out to be Hausdorff and locally Euclidean but not second countable.

**Exercise 1.19.** If M is 0-dimensional topological manifold, then M is a finite or countable set equipped with the discrete topology.

**Exercise 1.20.** If  $M^n$  is a top. manifold and  $M' \subset M^n$  is open, then M' is an n-dimensional top. manifold.

#### Example 1.21

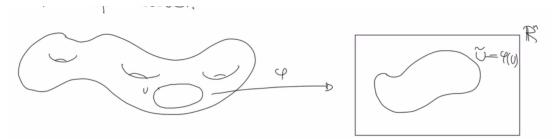
Take  $S^1 \subset \mathbb{R}^2$ , a circle. This is a 1-dimensional topological manifold.

- It is easy to show that  $S^1$  is Hausdorff and second countable.
- Define  $U_i^+ = \{(x_1, x_2) \in S^1 | x_i > 0\}$ . We similarly define  $U_i^-$ . Then  $S^1$  is the union of all the intervals. We can construct the map  $\varphi_i^+ : U_i^+ \to (-1, 1)$  by projecting onto the corresponding axis. This is a homeomorphism.

## §2 January 21st, 2021

## §2.1 Coordinate Charts

**Definition 2.1.** A coordinate chart on M is a pair  $(U, \varphi)$  where  $U \subset M$  is open and  $\varphi: U \to \widehat{U}$  is a homeomorphism to an open subset  $\widehat{U} \subset \mathbb{R}^n$ .



**Remark 2.2.** We can actually drop the condition that  $\widehat{U}$  is open, but the proof of this requires the notion of homology.

We will often write  $\varphi(p) = (\varphi^1(p), \varphi^2(p), \dots, \varphi^n(p))$ , which are local coordinates. A way to think about a coordinate chart is just a set of scalar functions, which are the coordinate functions.

#### Theorem 2

Take  $V \subset \mathbb{R}^n$  open,  $F: V \to \mathbb{R}^k$  continuous. We claim the graph

$$\Gamma(F) = \{(x, F(x)) : x \in \mathbb{R}^n\} \subset \mathbb{R}^{n+k}$$

is a manifold.

*Proof.* Take  $(\Gamma(F), \varphi)$ , where  $\varphi$  is the projection of the graph onto  $\mathbb{R}^n$ . It is clear that  $\Gamma(F) \cong V$ .

#### Example 2.3

Take  $S^n = \{x \in \mathbb{R}^{n+1:|x|=1} \subset \mathbb{R}^{n+1}\}$ . We claim this is a manifold.

Define  $U_i^+ = \{(x^1, \dots, x^{n+1}) : x_i > 0\}$ . Similarly define  $U_i^-$ . It is clear that M is the union of all the  $U_i^+$ 's and  $U_i^-$ 's. Note that  $U_i^\pm$  is the graph of the map from  $B^n(0,1) \to \mathbb{R}$  given by  $y \mapsto \pm \sqrt{1-|y|^2}$ . It follows that  $S^n$  is a topological manifold.

#### Example 2.4 (Projective Space)

We define  $\mathbb{R}P^n = (\mathbb{R}^{n+1} \setminus \{0\})/\sim$ , where the equivalence relation is defined by  $x \sim y$  if  $x = \lambda y$  for some  $\lambda \neq 0$ . We can also view this as a set of lines through the origin. The quotient space is equipped with a projection map  $\pi : \mathbb{R}^{n+1} \setminus \{0\} \to \mathbb{R}P^n$ . We can then use the Quotient topology:  $A \subset \mathbb{R}P^n$  is open if  $\pi^{-1}(A)$  is open.

We write  $[(x_1, \ldots, x^{n+1})] = [x^1 : \cdots : x^{n+1}]$ . One should check that  $\mathbb{R}P^n$  is Hausdorff and second countable. We show that  $\mathbb{R}P^n$  is locally Euclidean.

Define  $U_i^* = \{x \in \mathbb{R}^{n+1} \setminus \{0\} : x_i \neq 0\}$  and let  $U_i = \pi(U_i^*)$ . Note that

$$U_i = \{ [x^1 : \dots : x^{n+1}] : x^i \neq 0 \} = \{ [\frac{x^1}{x^i} : \dots : 1 : \frac{x^{n+1}}{x^i}] : x^i \neq 0 \}$$

and furthermore

$$U_i = \{ [x^1 : \dots : 1 : \dots : x^{n+1}] \}.$$

If we define 
$$\varphi_i^*: U_i^* \to \mathbb{R}^n$$
 given by  $(x^1, \dots, x^{n+1}) \mapsto \left(\frac{x^1}{x^i}, \dots, \frac{x^{n+1}}{x^i}\right)$ .

We claim that there exists a continuous map  $\varphi_i: U_i \to \mathbb{R}^n$  so that the corresponding commutative diagram commutes: this is just the natural map associated to the quotient.

Furthermore,  $\varphi_i$  is a homeomorphism with inverse  $(x^1, \dots, \widehat{x^i}, \dots, x^{n+1}) \mapsto [x^1 : \dots : 1 : \dots : x^{n+1}].$ 

### §2.2 Connectivity

Given a topological space X, we have the following definitions:

**Definition 2.5.** X is connected if the only subsets that are open and closed are  $\emptyset$ , X.

**Definition 2.6.** A space is path-connected if for any  $p, q \in X$  there is a continuous path between them.

#### Theorem 3

If  $M^n$  is a topological manifold, M is connected if and only if M is path connected.

*Proof.* It suffices to show the forward direction. The proof is the same in the case of open subsets of  $\mathbb{R}^n$ .

## §2.3 Local Compactness and Paracompactness

#### **Proposition 2.7**

Given  $M^n$ , for all  $p \in M$ , there exists a compact neighborhood i.e. M is locally compact.

Let X be a topological space.

**Definition 2.8.** An exhaustion by compact subsets is an increasing sequence of subsets  $K_1 \subset K_2 \subset \cdots \subset X$  such that  $K_i$  is compact and  $K_i \subset \operatorname{Int}(K_{i+1})$  and  $\bigcup_i K_i = X$ .

**Remark 2.9.** This also implies that  $X = \bigcup_i \operatorname{Int}(K_i)$ . If  $K^i \subset X$  is some other compact subset, there is some j such that  $K^i \subset \operatorname{Int}(K_j)$ .

#### **Proposition 2.10**

If X is second countable, and locally compact, Hausdorff, then X has an exhaustion by compact subsets.

*Proof.* First, take  $\mathcal{B}$  a countable basis for the topology of X. Take  $\mathcal{B}' = \{B \in \mathcal{B} : \overline{B} \text{ compact}\}$ , which is still a basis for the topology. Call these sets  $\{U_1, U_2, \ldots\}$ . Choose  $K_1 = \overline{U_1}$ . For  $K_2$ , cover  $K_1$  with possibly several  $U_i$  such that  $K_1 \subset U_1 \cup \cdots \cup U_{m_2}$  so that  $K_2 = \overline{U_1} \cup \cdots \cup \overline{U_{m_2}}$ , which is compact. We continue this process to form an exhaustion.

**Definition 2.11.** Take  $\mathcal{U} \subset \mathcal{P}(X)$ . This is a cover of X if  $X = \bigcup_{U \in \mathcal{U}} U$ . A collection is called locally finite if every  $p \in X$  has a neighborhood  $p \in W \subset X$  such that W only intersects finitely many  $U \in \mathcal{U}$ .

**Definition 2.12.** A collection of subsets  $\mathcal{V}$  is called a refinement of some other collection  $\mathcal{U}$  if for every  $V \in \mathcal{V}$  there is  $U \in \mathcal{U}$  such that  $V \subset U$ .

**Definition 2.13.** X is called paracompact if every open cover has a locally finite refinement.

#### Theorem 4

Every topological manifold is paracompact.