

Mathematics for Informatics

Carlos Areces and Patrick Blackburn

`areces@loria.fr`

`blackbur@loria.fr`

`http://www.loria.fr/~areces`

`http://www.loria.fr/~blackbur`

INRIA Lorraine
Nancy, France

2007/2008

The halting problem

$\text{HALT}(x, y)$ is true iff the program with number y is not undefined when run with the number x , i.e.

$$\text{HALT}(x, y) = \begin{cases} 1 & \text{if } \Psi_P^{(1)}(x) \downarrow \\ 0 & \text{otherwise} \end{cases}$$

where P is the unique program such that $\#(P) = y$.

HALT is not computable

Theorem

HALT *is not computable*.

Proof.

Suppose that it is. We can build the following program P :

[A] IF $\text{HALT}(X, X) = 1$ GOTO A

HALT is not computable

Theorem

HALT *is not computable*.

Proof.

Suppose that it is. We can build the following program P :

[A] IF HALT(X, X) = 1 GOTO A

It is clear that

$$\psi_P^{(1)}(x) = \begin{cases} \uparrow & \text{if HALT}(x, x) \\ 0 & \text{otherwise} \end{cases}$$

HALT is not computable

Theorem

HALT *is not computable*.

Proof.

Suppose that it is. We can build the following program P :

[A] IF HALT(X, X) = 1 GOTO A

It is clear that

$$\psi_P^{(1)}(x) = \begin{cases} \uparrow & \text{if HALT}(x, x) \\ 0 & \text{otherwise} \end{cases}$$

Suppose that $\#(P) = e$.

HALT is not computable

Theorem

HALT *is not computable*.

Proof.

Suppose that it is. We can build the following program P :

[A] IF HALT(X, X) = 1 GOTO A

It is clear that

$$\psi_P^{(1)}(x) = \begin{cases} \uparrow & \text{if HALT}(x, x) \\ 0 & \text{otherwise} \end{cases}$$

Suppose that $\#(P) = e$. By definition of HALT,

HALT(x, e) iff $P(x)$ halts

HALT is not computable

Theorem

HALT *is not computable*.

Proof.

Suppose that it is. We can build the following program P :

[A] IF HALT(X, X) = 1 GOTO A

It is clear that

$$\psi_P^{(1)}(x) = \begin{cases} \uparrow & \text{if HALT}(x, x) \\ 0 & \text{otherwise} \end{cases}$$

Suppose that $\#(P) = e$. By definition of HALT,

$$\text{HALT}(x, e) \quad \text{iff} \quad P(x) \text{ halts} \quad \text{iff} \quad \neg \text{HALT}(x, x)$$

HALT is not computable

Theorem

HALT *is not computable*.

Proof.

Suppose that it is. We can build the following program P :

[A] IF HALT(X, X) = 1 GOTO A

It is clear that

$$\psi_P^{(1)}(x) = \begin{cases} \uparrow & \text{if HALT}(x, x) \\ 0 & \text{otherwise} \end{cases}$$

Suppose that $\#(P) = e$. By definition of HALT,

$$\text{HALT}(\textcolor{red}{x}, e) \quad \text{iff} \quad P(\textcolor{red}{x}) \text{ halts} \quad \text{iff} \quad \neg \text{HALT}(\textcolor{red}{x}, \textcolor{red}{x})$$

e is fixed; $\textcolor{red}{x}$ is variable.

HALT is not computable

Theorem

HALT *is not computable*.

Proof.

Suppose that it is. We can build the following program P :

[A] IF $\text{HALT}(X, X) = 1$ GOTO A

It is clear that

$$\psi_P^{(1)}(x) = \begin{cases} \uparrow & \text{if } \text{HALT}(x, x) \\ 0 & \text{otherwise} \end{cases}$$

Suppose that $\#(P) = e$. By definition of HALT,

$$\text{HALT}(\underline{x}, e) \quad \text{iff} \quad P(\underline{x}) \text{ halts} \quad \text{iff} \quad \neg \text{HALT}(\underline{x}, \underline{x})$$

e is fixed; \underline{x} is variable. In particular, for $\underline{x} = e$:

$$\text{HALT}(\underline{e}, \underline{e}) \quad \text{iff} \quad P(\underline{e}) \text{ halts} \quad \text{iff} \quad \neg \text{HALT}(\underline{e}, \underline{e})$$

Church's Thesis

There are many different computation models.

It has been proved that they have the same power than \mathcal{S}

- ▶ C
- ▶ Java
- ▶ Haskell
- ▶ Turing machines
- ▶ ...

Church's Thesis

There are many different computation models.

It has been proved that they have the same power than \mathcal{S}

- ▶ C
- ▶ Java
- ▶ Haskell
- ▶ Turing machines
- ▶ ...

Church's Thesis. All algorithms to compute functions in the natural numbers can be programmed in \mathcal{S} .

Church's Thesis

There are many different computation models.

It has been proved that they have the same power than \mathcal{S}

- ▶ C
- ▶ Java
- ▶ Haskell
- ▶ Turing machines
- ▶ ...

Church's Thesis. All **algorithms** to compute functions in the natural numbers can be programmed in \mathcal{S} .

Hence, the halting problem says

there is no algorithm to decide the truth or falsity of
HALT(x, y)

Universality

For each $n > 0$ we define

$\Phi^{(n)}(x_1, \dots, x_n, e)$ = the output of the program e with input x_1, \dots, x_n

Universality

For each $n > 0$ we define

$$\begin{aligned}\Phi^{(n)}(x_1, \dots, x_n, e) &= \text{the output of the program } e \text{ with input } x_1, \dots, x_n \\ &= \Psi_P^{(n)}(x_1, \dots, x_n) \quad \text{where } \#(P) = e\end{aligned}$$

Universality

For each $n > 0$ we define

$$\begin{aligned}\Phi^{(n)}(x_1, \dots, x_n, e) &= \text{the output of the program } e \text{ with input } x_1, \dots, x_n \\ &= \Psi_P^{(n)}(x_1, \dots, x_n) \quad \text{where } \#(P) = e\end{aligned}$$

Theorem

For each $n > 0$ the function $\Phi^{(n)}$ is partially computable.

Observe that the program for $\Phi^{(n)}$ is a program **interpreter**.
I.e., it interprets the numerical encoding of programs.

To show the theorem we will build the program U_n that computes $\Phi^{(n)}$.

U_n : an idea

U_n is the program that computes

$$\begin{aligned}\Phi^{(n)}(x_1, \dots, x_n, e) &= \text{output of the program } e \text{ with input } x_1, \dots, x_n \\ &= \Psi_P^{(n)}(x_1, \dots, x_n) \quad \text{where } \#(P) = e\end{aligned}$$

U_n needs

- ▶ know who is P (decodifying e)
- ▶ keep trac of the **states** of P at each step
 - ▶ it starts from the initial state of P when the input is x_1, \dots, x_n
 - ▶ it codifyins the states as lists
 - ▶ For example $Y = 0, X_1 = 2, X_2 = 1$ is codified as $[0, 2, 0, 1] = 63$

In the code of U_n

- ▶ K indicates the number of the instruction that we are about to execute (in the simulation of P)
- ▶ S describe the state of P in each instant

Initialization

```
// input =  $x_1, \dots, x_n, e$   
//  $\#(P) = e = [i_1, \dots, i_m] - 1$   
   $Z \leftarrow X_{n+1} + 1$   
//  $Z = [i_1, \dots, i_m]$   
   $S \leftarrow \prod_{j=1}^n (p_{2j})^{x_j}$   
//  $S = [0, X_1, 0, X_2, \dots, 0, X_n]$  is the initial state  
   $K \leftarrow 1$   
// the first instruction of  $P$  that we should analyze is 1
```

Main Cycle

```
// S codifies the state, K is the instruction number
//  $Z = [i_1, \dots, i_m]$ 
[C] IF  $K = |Z| + 1 \vee K = 0$  GOTO F
// if I'm at the end, then finish (we will see  $K = 0$  later)
// otherwise, let  $Z[K] = i_K = \langle a, \langle b, c \rangle \rangle$ 
     $U \leftarrow r(Z[k])$ 
//  $U = \langle b, c \rangle$ 
     $P \leftarrow p_{r(U)+1}$ 
// the variable that appears in  $i_K$  is the  $c + 1$ -th
//  $P$  is the prime for the variable that appears in  $i_K$ 
```

Main Cycle (cont.)

```
// S codifies the state, K is the instruction number
//  $Z = [i_1, \dots, i_m]$ ,  $i_K = \langle a, \langle b, c \rangle \rangle$ ,  $U = \langle b, c \rangle$ 
// P is the prime for the variable V that appears in  $i_K$ 
// IF  $I(U) = 0$  GOTO N
// if it is the instruction  $V \leftarrow V$  we go to N
// IF  $I(U) = 1$  GOTO S
// if it is the instruction  $V \leftarrow V + 1$  we go to S
// otherwise, it is of the form  $V \leftarrow V - 1$  or IF  $V \neq 0$  GOTO L
// IF  $\neg(P|S)$  GOTO N
// if P divides S (i.e.  $V=0$ ), jump to N
// IF  $I(U) = 2$  GOTO R
//  $V \neq 0$  and it is the instruction  $V \leftarrow V - 1$  jump to R
```

Case IF $V \neq 0$ GOTO L y $V \neq 0$

// S codifies the state, K is the instruction number
// $Z = [i_1, \dots, i_m]$, $i_K = \langle a, \langle b, c \rangle \rangle$, $U = \langle b, c \rangle$
// P is the prime for the variable V that appears in i_K
// $V \neq 0$ and it is the instruction IF $V \neq 0$ GOTO L
// $b \geq 2$, and hence L is the $b - 2$ -th label
 $K \leftarrow \min_{j \leq |Z|} (I(Z[j]) + 2 = I(U))$
// K is the first instruction with label L
// if there is no such instruction then, $K = 0$ (go out of the cycle)
 GOTO C
// goes to the first instruction in the main cycle

Case R (Substraccion)

```
//       $S$  codifies the state,  $K$  is the instruction number
//       $Z = [i_1, \dots, i_m], i_K = \langle a, \langle b, c \rangle \rangle, U = \langle b, c \rangle$ 
//       $P$  is the prime for the variable  $V$  that appears in  $i_K$ 
//      we are considering  $V \leftarrow V - 1$  with  $V \neq 0$ 
[R]       $S \leftarrow S \text{ div } P$ 
          GOTO  $N$ 
//       $S$ =new state of  $P$  (subtract 1 to  $V$ ) and jumps to  $N$ 
```

Caso S (Addition)

```
//       $S$  codifies the state,  $K$  is the instruction number
//       $Z = [i_1, \dots, i_m]$ ,  $i_K = \langle a, \langle b, c \rangle \rangle$ ,  $U = \langle b, c \rangle$ 
//       $P$  is the prime for the variable  $V$  that appears in  $i_K$ 
//      we are considering  $V \leftarrow V + 1$ 
[S]       $S \leftarrow S \cdot P$ 
          GOTO  $N$ 
//       $S$ =new state of  $P$  (adds 1 a  $V$ ) and jumps to  $N$ 
```

Case N (Nil)

```
//       $S$  codifies the state,  $K$  is the instruction number
//       $Z = [i_1, \dots, i_m], i_K = \langle a, \langle b, c \rangle \rangle, U = \langle b, c \rangle$ 
//       $P$  is the prime for the variable  $V$  that appears in  $i_K$ 
//      the instruction does not change the state
[N]     $K \leftarrow K + 1$ 
        GOTO  $C$ 
//       $S$  is unchanged
//       $K$  goes to the next instruction
//      back to the main cycle
```

Returning the result

```
//       $S$  codifies the final state of  $P$   
//      we are living teh main cycle  
[ $F$ ]     $Y \leftarrow S[1]$   
//       $Y$ =the value of the variable  $Y$  when  $P$  halts
```


Everything together

$$Z \leftarrow X_{n+1} + 1$$

$$S \leftarrow \prod_{i=1}^n (p_{2i})^{x_i}$$

$$K \leftarrow 1$$

[C] IF $K = |Z| + 1 \vee K = 0$ GOTO F

$$U \leftarrow r(Z[k])$$

$$P \leftarrow p_{r(U)+1}$$

IF $l(U) = 0$ GOTO N

IF $l(U) = 1$ GOTO S

IF $\neg(P|S)$ GOTO N

IF $l(U) = 2$ GOTO R

$$K \leftarrow \min_{i \leq |Z|} (l(Z[i]) + 2 = l(U))$$

GOTO C

[R] $S \leftarrow S \operatorname{div} P$
GOTO N

[S] $S \leftarrow S \cdot P$
GOTO N

[N] $K \leftarrow K + 1$
GOTO C

[F] $Y \leftarrow S[1]$

Notation

Sometimes we write

$$\Phi_e^{(n)}(x_1, \dots, x_n) = \Phi^{(n)}(x_1, \dots, x_n, e)$$

Sometimes we drop the superindex when $n = 1$

$$\Phi_e(x) = \Phi(x, e) = \Phi^{(1)}(x, e)$$

Step Counter

Let's define

$$\begin{aligned} \text{STP}^{(n)}(x_1, \dots, x_n, e, t) & \quad \text{iff} \quad \text{program } e \text{ halts in} \\ & \quad t \text{ or less steps with input } x_1, \dots, x_n \\ & \quad \text{iff} \quad \text{there is a computation of program } e \\ & \quad \text{of length } \leq t + 1, \text{ when started} \\ & \quad \text{with input } x_1, \dots, x_n \end{aligned}$$

Theorem

For each $n > 0$, the predicate $\text{STP}^{(n)}(x_1, \dots, x_n, e, t)$ is p.r.

Snapshot

Let's define

$\text{SNAP}^{(n)}(x_1, \dots, x_n, e, t)$ = representation of the instant configuration
of the program e
with input x_1, \dots, x_n in step t

The instant configuration can be represented by

$\langle \text{instruction number, list representing the state} \rangle$

Theorem

For each $n > 0$, the predicate $\text{SNAP}^{(n)}(x_1, \dots, x_n, e, t)$ is p.r.

A computable function which is not primitive recursive

- ▶ we can codify programs of \mathcal{S} with constructors and projectors which are p.r.
- ▶ we can codify the definitions of p.r. functions with constructors and projectors p.r.

A computable function which is not primitive recursive

- ▶ we can codify programs of \mathcal{S} with constructors and projectors which are p.r.
- ▶ we can codify the definitions of p.r. functions with constructors and projectors p.r.
- ▶ There is $\Phi_e^{(n)}(x_1, \dots, x_n)$ partially computable that simulates the e -th program with input x_1, \dots, x_n
- ▶ There is $\tilde{\Phi}_e^{(n)}(x_1, \dots, x_n)$ computable that simulates the e -th p.r. function with input x_1, \dots, x_n .

A computable function which is not primitive recursive

- ▶ we can codify programs of \mathcal{S} with constructors and projectors which are p.r.
- ▶ we can codify the definitions of p.r. functions with constructors and projectors p.r.
- ▶ There is $\Phi_e^{(n)}(x_1, \dots, x_n)$ partially computable that simulates the e -th program with input x_1, \dots, x_n
- ▶ There is $\tilde{\Phi}_e^{(n)}(x_1, \dots, x_n)$ computable that simulates the e -th p.r. function with input x_1, \dots, x_n .

Let's define $f : \mathbb{N} \rightarrow \mathbb{N}$, $f(x) = \tilde{\Phi}_x(x) + 1$

- ▶ f is computable because $\tilde{\Phi}$ is computable

A computable function which is not primitive recursive

- ▶ we can codify programs of \mathcal{S} with constructors and projectors which are p.r.
- ▶ we can codify the definitions of p.r. functions with constructors and projectors p.r.
- ▶ There is $\Phi_e^{(n)}(x_1, \dots, x_n)$ partially computable that simulates the e -th program with input x_1, \dots, x_n
- ▶ There is $\tilde{\Phi}_e^{(n)}(x_1, \dots, x_n)$ computable that simulates the e -th p.r. function with input x_1, \dots, x_n .

Let's define $f : \mathbb{N} \rightarrow \mathbb{N}$, $f(x) = \tilde{\Phi}_x(x) + 1$

- ▶ f is computable because $\tilde{\Phi}$ is computable
- ▶ f is not p.r. because suppose it is p.r.
 - ▶ there would be e such that $\tilde{\Phi}_e = f$

A computable function which is not primitive recursive

- ▶ we can codify programs of \mathcal{S} with constructors and projectors which are p.r.
- ▶ we can codify the definitions of p.r. functions with constructors and projectors p.r.
- ▶ There is $\Phi_e^{(n)}(x_1, \dots, x_n)$ partially computable that simulates the e -th program with input x_1, \dots, x_n
- ▶ There is $\tilde{\Phi}_e^{(n)}(x_1, \dots, x_n)$ computable that simulates the e -th p.r. function with input x_1, \dots, x_n .

Let's define $f : \mathbb{N} \rightarrow \mathbb{N}$, $f(x) = \tilde{\Phi}_x(x) + 1$

- ▶ f is computable because $\tilde{\Phi}$ is computable
- ▶ f is not p.r. because suppose it is p.r.
 - ▶ there would be e such that $\tilde{\Phi}_e = f$
 - ▶ and then $\tilde{\Phi}_e(x) = f(x) = \tilde{\Phi}_x(x) + 1$

A computable function which is not primitive recursive

- ▶ we can codify programs of \mathcal{S} with constructors and projectors which are p.r.
- ▶ we can codify the definitions of p.r. functions with constructors and projectors p.r.
- ▶ There is $\Phi_e^{(n)}(x_1, \dots, x_n)$ partially computable that simulates the e -th program with input x_1, \dots, x_n
- ▶ There is $\tilde{\Phi}_e^{(n)}(x_1, \dots, x_n)$ computable that simulates the e -th p.r. function with input x_1, \dots, x_n .

Let's define $f : \mathbb{N} \rightarrow \mathbb{N}$, $f(x) = \tilde{\Phi}_x(x) + 1$

- ▶ f is computable because $\tilde{\Phi}$ is computable
- ▶ f is not p.r. because suppose it is p.r.
 - ▶ there would be e such that $\tilde{\Phi}_e = f$
 - ▶ and then $\tilde{\Phi}_e(x) = f(x) = \tilde{\Phi}_x(x) + 1$
 - ▶ e is fixed but x is variable

A computable function which is not primitive recursive

- ▶ we can codify programs of \mathcal{S} with constructors and projectors which are p.r.
- ▶ we can codify the definitions of p.r. functions with constructors and projectors p.r.
- ▶ There is $\Phi_e^{(n)}(x_1, \dots, x_n)$ partially computable that simulates the e -th program with input x_1, \dots, x_n
- ▶ There is $\tilde{\Phi}_e^{(n)}(x_1, \dots, x_n)$ computable that simulates the e -th p.r. function with input x_1, \dots, x_n .

Let's define $f : \mathbb{N} \rightarrow \mathbb{N}$, $f(x) = \tilde{\Phi}_x(x) + 1$

- ▶ f is computable because $\tilde{\Phi}$ is computable
- ▶ f is not p.r. because suppose it is p.r.
 - ▶ there would be e such that $\tilde{\Phi}_e = f$
 - ▶ and then $\tilde{\Phi}_e(x) = f(x) = \tilde{\Phi}_x(x) + 1$
 - ▶ e is fixed but x is variable
 - ▶ instantiating $x = e$, $\tilde{\Phi}_e(e) = f(e) = \tilde{\Phi}_e(e) + 1$

A computable function which is not primitive recursive

- ▶ we can codify programs of \mathcal{S} with constructors and projectors which are p.r.
- ▶ we can codify the definitions of p.r. functions with constructors and projectors p.r.
- ▶ There is $\Phi_e^{(n)}(x_1, \dots, x_n)$ partially computable that simulates the e -th program with input x_1, \dots, x_n
- ▶ There is $\tilde{\Phi}_e^{(n)}(x_1, \dots, x_n)$ computable that simulates the e -th p.r. function with input x_1, \dots, x_n .

Let's define $f : \mathbb{N} \rightarrow \mathbb{N}$, $f(x) = \tilde{\Phi}_x(x) + 1$

- ▶ f is computable because $\tilde{\Phi}$ is computable
- ▶ f is not p.r. because suppose it is p.r.
 - ▶ there would be e such that $\tilde{\Phi}_e = f$
 - ▶ and then $\tilde{\Phi}_e(x) = f(x) = \tilde{\Phi}_x(x) + 1$
 - ▶ e is fixed but x is variable
 - ▶ instantiating $x = e$, $\tilde{\Phi}_e(e) = f(e) = \tilde{\Phi}_e(e) + 1$
- ▶ this same prove shows that $\tilde{\Phi}$ is not p.r.

The Ackermann function (1928)

$$A(x, y, z) = \begin{cases} y + z & \text{if } x = 0 \\ 0 & \text{if } x = 1 \text{ and } z = 0 \\ 1 & \text{if } x = 2 \text{ y } z = 0 \\ A(x - 1, y, A(x, y, z - 1)) & \text{if } x, z > 0 \end{cases}$$

- ▶ $A_0(y, z) = A(0, y, z) = y + z$
- ▶ $A_1(y, z) = A(1, y, z) = y \cdot z$
- ▶ $A_2(y, z) = A(2, y, z) = y \uparrow z$
- ▶ $A_3(y, z) = A(3, y, z) = y \uparrow \uparrow z$
- ▶ ...

$A : \mathbb{N}^3 \rightarrow \mathbb{N}$ is not p.r. but for each i , $A_i : \mathbb{N}^2 \rightarrow \mathbb{N}$ is p.r.

Version of Robinson & Peter (1948)

$$B(m, n) = \begin{cases} n + 1 & \text{if } m = 0 \\ B(m - 1, 1) & \text{if } m > 0 \text{ y } n = 0 \\ B(m - 1, B(m, n - 1)) & \text{if } m > 0 \text{ y } n > 0 \end{cases}$$

- ▶ $B_0(n) = B(0, n) = n + 1$
- ▶ $B_1(n) = A(1, n) = 2 + (n + 3) - 3$
- ▶ $B_2(n) = A(2, n) = 2 \cdot (n + 3) - 3$
- ▶ $B_3(n) = A(3, n) = 2 \uparrow (n + 3) - 3$
- ▶ $B_4(n) = A(4, n) = 2 \uparrow \uparrow (n + 3) - 3$
- ▶ ...

$B : \mathbb{N}^2 \rightarrow \mathbb{N}$ is not p.r. but each $B_i : \mathbb{N} \rightarrow \mathbb{N}$ is p.r.

A and B grow faster than any p.r. function.