

Verified tail-recursive folds through dissection

Thesis defense

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1. Introduction



Universiteit Utrecht

[Faculty of Science
Information and Computing Sciences]

1.1 Motivation

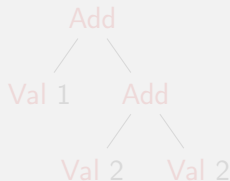


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data Expr : Set where
 Val : \mathbb{N} \rightarrow Expr
 Add : Expr \rightarrow Expr \rightarrow Expr

$expr_1$: Expr
 $expr_1 = \text{Add (Val 1)}$
 (Add (Val 2)
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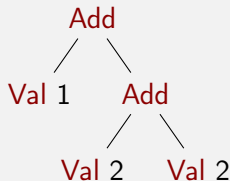
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$\text{eval} : \text{Expr} \rightarrow \mathbb{N}$

$\text{eval} (\text{Val } n) = n$

$\text{eval} (\text{Add } e_1 e_2) = \text{eval } e_1 + \text{eval } e_2$

$\text{prop}_1 : \text{eval } \text{expr}_1 \equiv 5$

$\text{prop}_1 = \text{refl}$

Is there a **problem** with eval ?



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Evaluating Expressions (2)

§1.1

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Add (Val 1) (Add (Val 2) (Add (Val 3) (Add (Val 4) ...

$1 + (2 + (3 + (4 + \dots$

- ▶ The execution *stack* grows linearly with the size of the Expr
- ▶ Stack Overflow!

A **well-typed** program *went wrong*¹



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- ▶ Make the *stack* explicit
- ▶ Write a *tail-recursive* function that recurses over it

```
data Stack : Set where  
  Top    : Stack  
  Left   : Expr → Stack → Stack  
  Right  : N   → Stack → Stack
```



- ▶ Make the *stack* explicit
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data **Stack** : **Set** where

Top : **Stack**

Left : **Expr** → **Stack** → **Stack**

Right : **N** → **Stack** → **Stack**



mutual

$\text{load} : \text{Expr} \rightarrow \text{Stack} \rightarrow \mathbb{N}$

$\text{load} (\text{Val } n) \quad stk = \text{unload } n \quad stk$

$\text{load} (\text{Add } e_1 \ e_2) \quad stk = \text{load } e_1 \ (\text{Left } e_2 \ stk)$

$\text{unload} : \mathbb{N} \rightarrow \text{Stack} \rightarrow \mathbb{N}$

$\text{unload } v \ \text{Top} = v$

$\text{unload } v \ (\text{Right } v' \ stk) = \text{unload } (v' + v) \ stk$

$\text{unload } v \ (\text{Left } e \ stk) = \text{load } e \ (\text{Right } v \ stk)$

$\text{tail-rec-eval} : \text{Expr} \rightarrow \mathbb{N}$

$\text{tail-rec-eval } e = \text{load } e \ \text{Top}$



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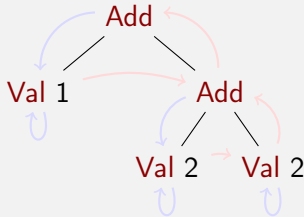
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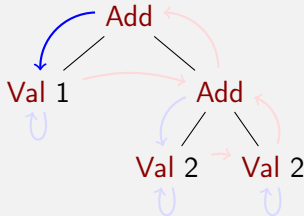
$\text{tail-rec-eval } e = \text{load } e \text{ Top}$



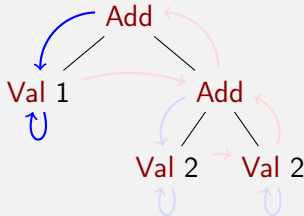
load (Add (Val 1) (Add (Val 2) (Val 2))) Top



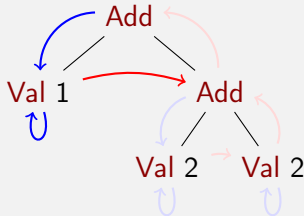
load (Val 1) (Left (Add (Val 2) (Val 2)) Top)



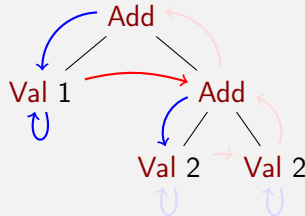
unload 1 (Left (Add (Val 2) (Val 2)) Top)



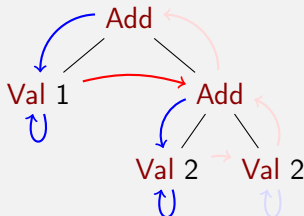
load (Add (Val 2) (Val 2)) (Right 1 Top)



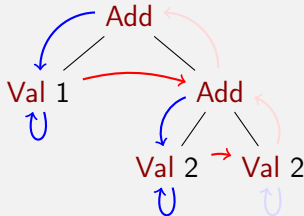
load (Val 2) (Left (Val 2) (Right 1 Top)))



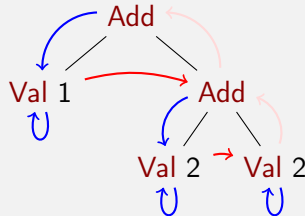
unload 2 (Left (Val 2) (Right 1 Top))



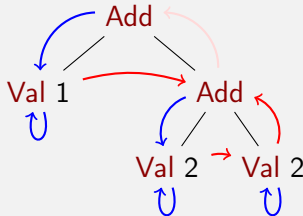
load (Val 2) (Right 2 (Right 1 Top))



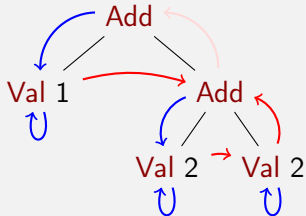
unload 2 (Right 2 (Right 1 Top))



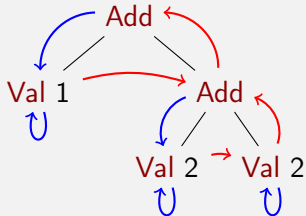
unload (2 + 2) (Right 1 Top)



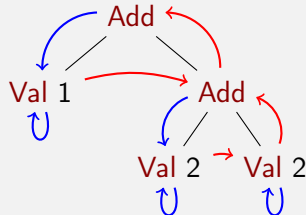
unload 4 (Right 1 Top)



unload (1 + 4) Top



unload 5 Top



Have we **actually** solved the problem?

- ▶ It seems so, however ...
- ▶ How do we know that

$$\forall (e : \text{Expr}) \rightarrow \text{tail-rec-eval } e \equiv \text{eval } e ?$$

- ▶ We don't know, we don't have a *mathematical proof*
- ▶ Let's *produce* it!



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- Is **not** that easy; *tail-recursion* has come at a price

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`load` : Expr \rightarrow Stack \rightarrow N

`load` (Val n) stk = `unload` n stk

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`unload` : N \rightarrow Stack \rightarrow N

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1.2 Contributions of this Master Thesis



- ▶ We construct a provably terminating tail-recursive function *similar* to tail-rec-eval
- ▶ We prove it correct with respect to eval
- ▶ We generalize our results to any fold over any (simple) algebraic datatype using McBride's notion of *dissection*

We have formalized everything in **Agda**



- ▶ We construct a provably **terminating** tail-recursive function *similar* to **tail-rec-eval**
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Outline



Universiteit Utrecht

[Faculty of Science
Information and Computing Sciences]

Introduction

A tail-recursive evaluator for Expr

A generic tail-recursive evaluator

Discussion

Conclusions



3. A tail-recursive evaluator for Expr



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- We rewrite **load** and **unload** so that they are **obviously** terminating

$\text{Config} = \mathbb{N} \times \text{Stack}$

$\text{unload} : \mathbb{N} \rightarrow \text{Stack} \rightarrow \text{Config} \uplus \mathbb{N}$
 $\text{unload } v \text{ Top} = \text{inj}_2 v$
 $\text{unload } v (\text{Right } v' \text{ stk}) = \text{unload } (v' + v) \text{ stk}$
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$\text{load} : \text{Expr} \rightarrow \text{Stack} \rightarrow \text{Config} \uplus \mathbb{N}$
 $\text{load } (\text{Val } n) \text{ stk} = \text{inj}_1 (n, \text{stk})$
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$$\begin{aligned}\text{load} &: \text{Expr} \rightarrow \text{Stack} \rightarrow \text{Config} \uplus \mathbb{N} \\ \text{load } (\text{Val } n) \text{ stk} &= \text{inj}_1 (n, \text{stk}) \\ \text{load } (\text{Add } e_1 e_2) \text{ stk} &= \text{load } e_1 (\text{Left } e_2 \text{ stk})\end{aligned}$$



- Iterate **unload** until a value is returned

tail-rec-eval : Expr \rightarrow \mathbb{N}

tail-rec-eval *e* with load *e* **Top**

... | **inj**₁ (*n*, *stk*) = **rec** (*n*, *stk*)

where

rec : Config \rightarrow \mathbb{N}

rec (*n*, *stk*) with **unload** *n* *stk*

... | **inj**₁ (*n'*, *stk'*) = **rec** (*n'*, *stk'*)

... | **inj**₂ *r* = *r*

- (*n'*, *stk'*) is not structurally smaller than (*n*, *stk*)



- Iterate **unload** until a value is returned

tail-rec-eval : Expr \rightarrow \mathbb{N}

tail-rec-eval e with load e **Top**

... | **inj**₁ (n , stk) = **rec** (n , stk)

where

rec : Config \rightarrow \mathbb{N}

rec (n , stk) with **unload** n stk

... | **inj**₁ (n' , stk') = **rec** (n' , stk')

... | **inj**₂ r = r

- (n' , stk') is **not** structurally smaller than (n , stk)



- Using **well-founded** recursion

tail-rec-eval : Expr \rightarrow \mathbb{N}

tail-rec-eval e with load e Top

... | inj₁ (n, stk) = rec (n, stk) \Box_1

where

rec : (c : Config) \rightarrow Acc $_<_$ c \rightarrow \mathbb{N}

rec (n, stk) (acc rs) with unload n stk

... | inj₁ (n', stk') = rec (n', stk') (rs \Box_2)

... | inj₂ r = r

$_<_$: Config \rightarrow Config \rightarrow Set

\Box_1 : Acc $_<_$ (n, stk)

\Box_2 : (n', stk') < (n, stk)



- Using **well-founded** recursion

tail-rec-eval : Expr \rightarrow \mathbb{N}

tail-rec-eval e with load e Top

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rec (n, stk) (acc rs) with unload n stk

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... | inj₂ r = r

$_<_$: Config \rightarrow Config \rightarrow Set

\Box_1 : Acc $_<_$ (n, stk)

\Box_2 : (n', stk') < (n, stk)



- ▶ The `Config` type is **too** liberal
- ▶ $x : \text{Config}$ and $y : \text{Config}$ might denote states of the evaluation over different `Expr`
- ▶ We can use dependent types to *statically* enforce the invariant

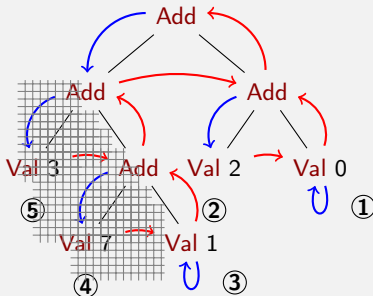


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$$1, \left[\text{Right } 7, \text{ Right } 3, \text{ Left } \begin{array}{c} \text{Add} \\ \swarrow \quad \searrow \\ \text{Val } 2 \quad \text{Val } 0 \end{array} \right]$$



- Modify the **stack** to remember subexpressions

data Stack^+ : Set where

$\text{Left} : \text{Expr} \rightarrow \text{Stack}^+ \rightarrow \text{Stack}^+$

$\text{Right} : (n : \mathbb{N}) \rightarrow (e : \text{Expr}) \rightarrow \text{eval } e \equiv n$
 $\rightarrow \text{Stack}^+ \rightarrow \text{Stack}^+$

$\text{Top} : \text{Stack}^+$

- Recover the **input** expression

$\text{plug}_{\uparrow} : \text{Expr} \rightarrow \text{Stack}^+ \rightarrow \text{Expr}$

$\text{plug}_{\uparrow} e \text{Top} = e$

$\text{plug}_{\uparrow} e (\text{Left } t \text{ stk}) = \text{plug}_{\uparrow} (\text{Add } e t) \text{ stk}$

$\text{plug}_{\uparrow} e (\text{Right } _ t _ \text{stk}) = \text{plug}_{\uparrow} (\text{Add } t e) \text{ stk}$

data $\text{Config}_{\uparrow} (e : \text{Expr})$: Set where

$_,_ : (c : \text{Config}) \rightarrow \text{plugC}_{\uparrow} c \equiv e \rightarrow \text{Config}_{\uparrow} e$



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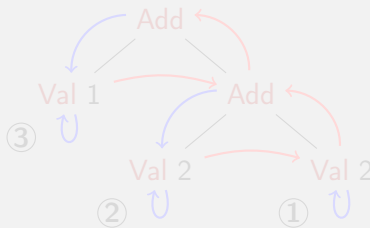
$\text{plug}_{\uparrow} e (\text{Right } _ t _ \text{stk}) = \text{plug}_{\uparrow} (\text{Add } t e) \text{ stk}$

data $\text{Config}_{\uparrow} (e : \text{Expr})$: Set where

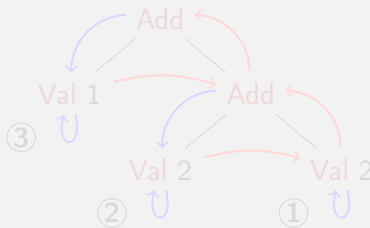
$_ , _ : (c : \text{Config}) \rightarrow \text{plugC}_{\uparrow} c \equiv e \rightarrow \text{Config}_{\uparrow} e$



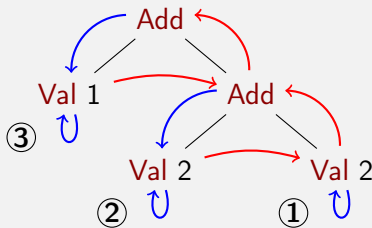
- ▶ **load** and **unload** traverse the **Expr** left to right
- ▶ Each **Config** $expr_1$ denotes a leaf of the input expression

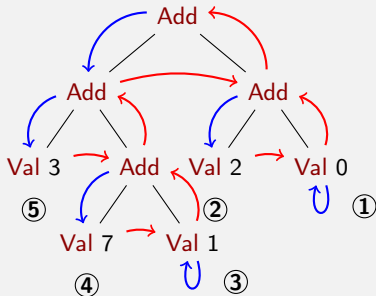


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$$1, \left[\text{Right } 7, \text{ Right } 3, \text{ Left } \begin{array}{c} \text{Add} \\ / \quad \backslash \\ \text{Val } 2 \quad \text{Val } 0 \end{array} \right]$$

$$7, \left[\text{Left Val } 1, \text{ Right } 3, \text{ Left } \begin{array}{c} \text{Add} \\ / \quad \backslash \\ \text{Val } 2 \quad \text{Val } 0 \end{array} \right]$$



- ▶ A reversed view of the stack

$\text{plug}_{\Downarrow} : \text{Expr} \rightarrow \text{Stack}^+ \rightarrow \text{Expr}$

$\text{plug}_{\Downarrow} e \text{ Top} = e$

$\text{plug}_{\Downarrow} e (\text{Left } t \text{ stk}) = \text{Add } (\text{plug}_{\Downarrow} e \text{ stk}) t$

$\text{plug}_{\Downarrow} e (\text{Right } t \text{ stk}) = \text{Add } t (\text{plug}_{\Downarrow} e \text{ stk})$

- ▶ Top-down type-indexed configurations

$\text{data Config}_{\Downarrow} (e : \text{Expr}) : \text{Set where}$

$_ , _ : (c : \text{Config}) \rightarrow \text{plugC}_{\Downarrow} c \equiv e \rightarrow \text{Config}_{\Downarrow} e$



- ▶ A reversed view of the stack

$$\begin{aligned}\text{plug}_{\Downarrow} &: \text{Expr} \rightarrow \text{Stack}^+ \rightarrow \text{Expr} \\ \text{plug}_{\Downarrow} e \text{ Top} &= e \\ \text{plug}_{\Downarrow} e (\text{Left } t \quad \text{stk}) &= \text{Add } (\text{plug}_{\Downarrow} e \text{ stk}) t \\ \text{plug}_{\Downarrow} e (\text{Right } _ t _ \text{stk}) &= \text{Add } t (\text{plug}_{\Downarrow} e \text{ stk})\end{aligned}$$

- ▶ Top-down type-indexed configurations

$$\begin{aligned}\text{data } \text{Config}_{\Downarrow} (e : \text{Expr}) &: \text{Set} \text{ where} \\ _,_ &: (c : \text{Config}) \rightarrow \text{plugC}_{\Downarrow} c \equiv e \rightarrow \text{Config}_{\Downarrow} e\end{aligned}$$


- Convert between views of the stack

$\text{convert} : \text{Config} \rightarrow \text{Config}$

$\text{convert} (n, s) = (n, \text{reverse } s)$

$\text{plug}_{\downarrow}\text{-to-plug}_{\uparrow} : \forall (c : \text{Config})$
 $\rightarrow \text{plugC}_{\downarrow} c \equiv \text{plugC}_{\uparrow} (\text{convert } c)$

- Invariant preserving conversion

$\text{Config}_{\downarrow}\text{-to-Config}_{\uparrow} : (e : \text{Expr}) \rightarrow \text{Config}_{\downarrow} e \rightarrow \text{Config}_{\uparrow} e$

$\text{Config}_{\uparrow}\text{-to-Config}_{\downarrow} : (e : \text{Expr}) \rightarrow \text{Config}_{\uparrow} e \rightarrow \text{Config}_{\downarrow} e$



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- ▶ We use Config_{\uparrow} to **compute**
- ▶ We use $\text{Config}_{\downarrow}$ to prove **termination**

data $\sqsubseteq_{\downarrow} : (e : \text{Expr}) \rightarrow \text{Config}_{\downarrow} e \rightarrow \text{Config}_{\downarrow} e \rightarrow \text{Set}$ where

$\text{<-StepR} : \sqsubseteq_{\downarrow} r \sqsubseteq_{\downarrow} ((t_1, s_1), \dots) < ((t_2, s_2), \dots)$
 $\rightarrow \sqsubseteq_{\downarrow} \text{Add } l r \sqsubseteq_{\downarrow} ((t_1, \text{Right } l \text{ eq } s_1), eq_1) < ((t_2, \text{Right } l \text{ eq } s_2), eq_2)$

$\text{<-StepL} : \sqsubseteq_{\downarrow} l \sqsubseteq_{\downarrow} ((t_1, s_1), \dots) < ((t_2, s_2), \dots)$
 $\rightarrow \sqsubseteq_{\downarrow} \text{Add } l r \sqsubseteq_{\downarrow} ((t_1, \text{Left } r s_1), eq_1) < ((t_2, \text{Left } r s_2), eq_2)$

$\text{<-Base} : (eq_1 : \text{Add } e_1 e_2 \equiv \text{Add } e_1 (\text{plugC}_{\downarrow} t_1 s_1))$
 $\rightarrow (eq_2 : \text{Add } e_1 e_2 \equiv \text{Add } (\text{plugC}_{\downarrow} t_2 s_2) e_2)$
 $\rightarrow \sqsubseteq_{\downarrow} \text{Add } e_1 e_2 \sqsubseteq_{\downarrow} ((t_1, \text{Right } n e_1 \text{ eq } s_1), eq_1) < ((t_2, \text{Left } e_2 s_2), eq_2)$



- ▶ We use Config_{\uparrow} to **compute**
- ▶ We use $\text{Config}_{\downarrow}$ to prove **termination**

data $\text{L_}_ \text{J_} < _ : (e : \text{Expr}) \rightarrow \text{Config}_{\downarrow} e \rightarrow \text{Config}_{\downarrow} e \rightarrow \text{Set}$ where

$< \text{-StepR} : \text{L } r \text{ J } ((t_1, s_1), \dots) < ((t_2, s_2), \dots)$
 $\rightarrow \text{L Add } l r \text{ J } ((t_1, \text{Right } l n \text{ eq } s_1), eq_1) < ((t_2, \text{Right } l n \text{ eq } s_2), \dots)$

$< \text{-StepL} : \text{L } l \text{ J } ((t_1, s_1), \dots) < ((t_2, s_2), \dots)$
 $\rightarrow \text{L Add } l r \text{ J } ((t_1, \text{Left } r s_1), eq_1) < ((t_2, \text{Left } r s_2), eq_2)$

$< \text{-Base} : (eq_1 : \text{Add } e_1 e_2 \equiv \text{Add } e_1 (\text{plugC}_{\downarrow} t_1 s_1))$
 $\rightarrow (eq_2 : \text{Add } e_1 e_2 \equiv \text{Add } (\text{plugC}_{\downarrow} t_2 s_2) e_2)$
 $\rightarrow \text{L Add } e_1 e_2 \text{ J } ((t_1, \text{Right } n e_1 \text{ eq } s_1), eq_1) < ((t_2, \text{Left } e_2 s_2), eq_2)$



- ▶ The relation is **well-founded**

$\text{<-WF} : \forall (e : \text{Expr}) \rightarrow \text{Well-founded } (\text{L } e \sqcup _ < _)$
 $\text{<-WF } e \ x = \text{acc } (\text{aux } e \ x)$

where

$\text{aux} : \forall (e : \text{Expr}) (x \ y : \text{Config}_{\downarrow} e)$
 $\rightarrow \text{L } e \sqcup y < x \rightarrow \text{Acc } (\text{L } e \sqcup _ < _) \ y$
 $\text{aux} = \dots$

- ▶ Indexing the relation by e is necessary for the proof!



- The relation is **well-founded**

$\text{<-WF} : \forall (e : \text{Expr}) \rightarrow \text{Well-founded } (\text{L } e \sqcup _ < _)$

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where

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$\text{aux} = \dots$

- Indexing the relation by e is **necessary** for the proof!



► Invariant preserving **step**

$\text{step} : (e : \text{Expr}) \rightarrow \text{Config}_{\uparrow} e \rightarrow \text{Config}_{\uparrow} e \uplus \mathbb{N}$
 $\text{step } e ((n, \text{stk}), eq)$
with $\text{unload}^+ n (\text{Val } n) \text{ refl } \text{stk}$
 $\dots \mid \text{inj}_1 (n', \text{stk}') = \text{inj}_1 ((n', \text{stk}'), \dots)$
 $\dots \mid \text{inj}_2 v = \text{inj}_2 v$

► **step** delivers a smaller configuration

$\text{step-} < : \forall (e : \text{Expr}) \rightarrow (c \ c' : \text{Config}_{\uparrow} e)$
 $\rightarrow \text{step } e \ c \equiv \text{inj}_1 \ c'$
 $\rightarrow \lfloor e \rfloor \text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} \ c' < \text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} \ c$



► Invariant preserving **step**

$$\begin{aligned} \text{step} &: (e : \text{Expr}) \rightarrow \text{Config}_{\uparrow} e \rightarrow \text{Config}_{\uparrow} e \uplus \mathbb{N} \\ \text{step } e &((n, \text{stk}), eq) \\ &\quad \text{with } \text{unload}^+ n (\text{Val } n) \text{ refl } \text{stk} \\ \dots \mid \text{inj}_1 (n', \text{stk}') &= \text{inj}_1 ((n', \text{stk}'), \dots) \\ \dots \mid \text{inj}_2 v &= \text{inj}_2 v \end{aligned}$$

► **step** delivers a **smaller** configuration

$$\begin{aligned} \text{step-} < &: \forall (e : \text{Expr}) \rightarrow (c \ c' : \text{Config}_{\uparrow} e) \\ &\rightarrow \text{step } e \ c \equiv \text{inj}_1 \ c' \\ &\rightarrow \lfloor e \rfloor \text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} c' < \text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} c \end{aligned}$$


► Auxiliary recursor

$$\begin{aligned} \text{rec} &: (e : \text{Expr}) \rightarrow (c : \text{Config}_{\uparrow} e) \\ &\rightarrow \text{Acc} (_ \sqcup _ < _) (\text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} c) \\ &\rightarrow \text{Config}_{\uparrow} e \uplus \mathbb{N} \\ \text{rec } e \ c (\text{acc } rs) &= \text{with step } e \ c \mid \text{inspect } (\text{step } e) \ c \\ \dots \mid \text{inj}_2 \ n \mid _ &= \text{inj}_2 \ n \\ \dots \mid \text{inj}_1 \ c' \mid [ls] &= \text{rec } e \ c' (rs (\text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} c') (\text{step} < e \ c \ c' \ ls)) \end{aligned}$$

► Tail-recursive evaluator

$$\begin{aligned} \text{tail-rec-eval} &: \text{Expr} \rightarrow \mathbb{N} \\ \text{tail-rec-eval } e &\text{ with load } e \text{ Top} \\ \dots \mid \text{inj}_1 \ c &= \text{rec } e \ (c, \dots) (<\text{-WF } e \ c) \end{aligned}$$


► Auxiliary recursor

$$\begin{aligned} \text{rec} &: (e : \text{Expr}) \rightarrow (c : \text{Config}_{\uparrow} e) \\ &\rightarrow \text{Acc} (_ \sqsubseteq _ < _) (\text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} c) \\ &\rightarrow \text{Config}_{\uparrow} e \uplus \mathbb{N} \\ \text{rec } e \ c (\text{acc } rs) &= \text{with step } e \ c \mid \text{inspect } (\text{step } e) \ c \\ \dots \mid \text{inj}_2 \ n \mid _ &= \text{inj}_2 \ n \\ \dots \mid \text{inj}_1 \ c' \mid [ls] &= \text{rec } e \ c' (rs (\text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} c') (\text{step} < e \ c \ c' \ ls)) \end{aligned}$$

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- rec is correct by induction over Acc

$$\begin{aligned}
 &\text{rec-correct} : \forall (e : \text{Expr}) \rightarrow (c : \text{Config}_{\uparrow} e) \\
 &\quad \rightarrow (ac : \text{Acc} (_ \sqsubseteq _ < _)) (\text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} c)) \\
 &\quad \rightarrow \text{eval } e \equiv \text{rec } e \ c \ ac \\
 &\text{rec-correct } e \ c \ (\text{acc } rs) \\
 &\quad \text{with step } e \ c \mid \text{inspect } (\text{step } e) \ c \\
 &\dots \mid \text{inj}_1 \ c' \mid [ls] \\
 &\quad = \text{rec-correct } e \ c' \ (rs \ (\text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} c') \ (\text{step-} < \ e \ c \ c' \ ls)) \\
 &\dots \mid \text{inj}_2 \ n \mid [ls] = \text{step-correct } e \ c \ n \ ls
 \end{aligned}$$

- tail-rec-eval is correct

$$\text{correctness} : \forall (e : \text{Expr}) \rightarrow \text{eval } e \equiv \text{tail-rec-eval } e$$


- rec is correct by induction over Acc

$$\begin{aligned}
 &\text{rec-correct} : \forall (e : \text{Expr}) \rightarrow (c : \text{Config}_{\uparrow} e) \\
 &\quad \rightarrow (ac : \text{Acc} (_ \sqsubseteq _ < _)) (\text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} c)) \\
 &\quad \rightarrow \text{eval } e \equiv \text{rec } e \ c \ ac \\
 &\text{rec-correct } e \ c \ (\text{acc } rs) \\
 &\quad \text{with step } e \ c \mid \text{inspect } (\text{step } e) \ c \\
 &\dots \mid \text{inj}_1 \ c' \mid [ls] \\
 &\quad = \text{rec-correct } e \ c' \ (rs \ (\text{Config}_{\uparrow\text{-to-Config}_{\downarrow}} c') \ (\text{step-} < \ e \ c \ c' \ ls)) \\
 &\dots \mid \text{inj}_2 \ n \mid [ls] = \text{step-correct } e \ c \ n \ ls
 \end{aligned}$$

- tail-rec-eval is correct

$$\text{correctness} : \forall (e : \text{Expr}) \rightarrow \text{eval } e \equiv \text{tail-rec-eval } e$$


4. A generic tail-recursive evaluator



data **Reg** : **Set**₁ where

0 : **Reg**

1 : **Reg**

I : **Reg**

K : (**A** : **Set**) → **Reg**

_ ⊕ **_** : (**R** **Q** : **Reg**) → **Reg**

_ ⊗ **_** : (**R** **Q** : **Reg**) → **Reg**

$\llbracket _ \rrbracket : \mathbf{Reg} \rightarrow \mathbf{Set} \rightarrow \mathbf{Set}$

$\llbracket 0 \rrbracket X = \perp$

$\llbracket 1 \rrbracket X = \top$

$\llbracket I \rrbracket X = X$

$\llbracket (K A) \rrbracket X = A$

$\llbracket (R \oplus Q) \rrbracket X = \llbracket R \rrbracket X \uplus \llbracket Q \rrbracket X$

$\llbracket (R \otimes Q) \rrbracket X = \llbracket R \rrbracket X \times \llbracket Q \rrbracket X$

► Values of type $\llbracket R \rrbracket X$ are functors over X

$\mathbf{fmap} : (R : \mathbf{Reg}) \rightarrow (X \rightarrow Y) \rightarrow \llbracket R \rrbracket X \rightarrow \llbracket R \rrbracket Y$



data **Reg** : **Set**₁ where

0 : **Reg**

1 : **Reg**

I : **Reg**

K : (**A** : **Set**) → **Reg**

_ ⊕ **_** : (**R** **Q** : **Reg**) → **Reg**

_ ⊗ **_** : (**R** **Q** : **Reg**) → **Reg**

$\llbracket _ \rrbracket$: **Reg** → **Set** → **Set**

$\llbracket 0 \rrbracket X = \perp$

$\llbracket 1 \rrbracket X = \top$

$\llbracket I \rrbracket X = X$

$\llbracket (K A) \rrbracket X = A$

$\llbracket (R \oplus Q) \rrbracket X = \llbracket R \rrbracket X \uplus \llbracket Q \rrbracket X$

$\llbracket (R \otimes Q) \rrbracket X = \llbracket R \rrbracket X \times \llbracket Q \rrbracket X$

► Values of type $\llbracket R \rrbracket X$ are functors over X

$fmap : (R : \mathbf{Reg}) \rightarrow (X \rightarrow Y) \rightarrow \llbracket R \rrbracket X \rightarrow \llbracket R \rrbracket Y$



data $\text{Reg} : \text{Set}_1$ where

$0 : \text{Reg}$

$1 : \text{Reg}$

$I : \text{Reg}$

$K : (A : \text{Set}) \rightarrow \text{Reg}$

$_ \oplus _ : (R Q : \text{Reg}) \rightarrow \text{Reg}$

$_ \otimes _ : (R Q : \text{Reg}) \rightarrow \text{Reg}$

$\llbracket _ \rrbracket : \text{Reg} \rightarrow \text{Set} \rightarrow \text{Set}$

$\llbracket 0 \rrbracket X = \perp$

$\llbracket 1 \rrbracket X = \top$

$\llbracket I \rrbracket X = X$

$\llbracket (K A) \rrbracket X = A$

$\llbracket (R \oplus Q) \rrbracket X = \llbracket R \rrbracket X \uplus \llbracket Q \rrbracket X$

$\llbracket (R \otimes Q) \rrbracket X = \llbracket R \rrbracket X \times \llbracket Q \rrbracket X$

► Values of type $\llbracket R \rrbracket X$ are functors over X

$\text{fmap} : (R : \text{Reg}) \rightarrow (X \rightarrow Y) \rightarrow \llbracket R \rrbracket X \rightarrow \llbracket R \rrbracket Y$



► Fixed point

data $\mu (R : \text{Reg}) : \text{Set}$ where

$\text{In} : \llbracket R \rrbracket (\mu R) \rightarrow \mu R$

► Fold (catamorphism)

$\text{cata} : (R : \text{Reg}) \rightarrow (\llbracket R \rrbracket X \rightarrow X) \rightarrow \mu R \rightarrow X$

$\text{cata } R \psi (\text{In } r) = \psi (\text{fmap } R (\text{cata } R \psi) r)$



► Fixed point

data μ ($R : \text{Reg}$) : Set where

$\text{In} : \llbracket R \rrbracket (\mu R) \rightarrow \mu R$

► Fold (catamorphism)

$\text{cata} : (R : \text{Reg}) \rightarrow (\llbracket R \rrbracket X \rightarrow X) \rightarrow \mu R \rightarrow X$

$\text{cata } R \psi (\text{In } r) = \psi (\text{fmap } R (\text{cata } R \psi) r)$



$\text{exprF} : \text{Reg}$
 $\text{exprF} = \mathbf{K} \, \mathbb{N} \oplus (\mathbf{I} \otimes \mathbf{I})$
 $\text{Expr}^G : \text{Set}$
 $\text{Expr}^G = \mu \, \text{exprF}$
 $\text{from} : \text{Expr} \rightarrow \text{Expr}^G$
 $\text{from} (\text{Val } n) = \text{inj}_1 \, n$
 $\text{from} (\text{Add } e_1 \, e_2) = \text{inj}_2 (\text{from } e_1, \text{from } e_2)$
 $\text{to} : \text{Expr}^G \rightarrow \text{Expr}$
 $\text{to} (\text{inj}_1 \, n) = \text{Val } n$
 $\text{to} (\text{inj}_2 (e_1, e_2)) = \text{Add} (\text{to } e_1) (\text{to } e_2)$
 $\text{eval} : \text{Expr}^G \rightarrow \mathbb{N}$
 $\text{eval} = \text{cata } \text{exprF} \, \phi$
 $\text{where } \phi : \llbracket \text{exprF} \rrbracket \mathbb{N} \rightarrow \mathbb{N}$
 $\phi (\text{inj}_1 \, n) = n$
 $\phi (\text{inj}_2 (n, n')) = n + n'$


$$\begin{aligned}
 \text{exprF} &: \text{Reg} \\
 \text{exprF} &= \mathbf{K} \, \mathbb{N} \oplus (\mathbf{I} \otimes \mathbf{I}) \\
 \text{Expr}^G &: \text{Set} \\
 \text{Expr}^G &= \mu \text{ exprF}
 \end{aligned}$$

$$\begin{aligned}
 \text{from} &: \text{Expr} \rightarrow \text{Expr}^G \\
 \text{from} (\text{Val } n) &= \text{inj}_1 n \\
 \text{from} (\text{Add } e_1 e_2) &= \text{inj}_2 (\text{from } e_1, \text{from } e_2) \\
 \text{to} &: \text{Expr}^G \rightarrow \text{Expr} \\
 \text{to} (\text{inj}_1 n) &= \text{Val } n \\
 \text{to} (\text{inj}_2 (e_1, e_2)) &= \text{Add} (\text{to } e_1) (\text{to } e_2)
 \end{aligned}$$

$$\begin{aligned}
 \text{eval} &: \text{Expr}^G \rightarrow \mathbb{N} \\
 \text{eval} &= \text{cata exprF } \phi \\
 \text{where } \phi &: \llbracket \text{exprF} \rrbracket \mathbb{N} \rightarrow \mathbb{N} \\
 \phi (\text{inj}_1 n) &= n \\
 \phi (\text{inj}_2 (n, n')) &= n + n'
 \end{aligned}$$


$\text{exprF} : \text{Reg}$	$\text{from} : \text{Expr} \rightarrow \text{Expr}^G$
$\text{exprF} = K \mathbb{N} \oplus (I \otimes I)$	$\text{from} (\text{Val } n) = \text{inj}_1 n$
$\text{Expr}^G : \text{Set}$	$\text{from} (\text{Add } e_1 e_2) = \text{inj}_2 (\text{from } e_1, \text{from } e_2)$
$\text{Expr}^G = \mu \text{exprF}$	$\text{to} : \text{Expr}^G \rightarrow \text{Expr}$
	$\text{to} (\text{inj}_1 n) = \text{Val } n$
	$\text{to} (\text{inj}_2 (e_1, e_2)) = \text{Add} (\text{to } e_1) (\text{to } e_2)$

$\text{eval} : \text{Expr}^G \rightarrow \mathbb{N}$
 $\text{eval} = \text{cata exprF } \phi$
 where $\phi : \llbracket \text{exprF} \rrbracket \mathbb{N} \rightarrow \mathbb{N}$
 $\phi (\text{inj}_1 n) = n$
 $\phi (\text{inj}_2 (n, n')) = n + n'$



- Another interpretation: codes \rightarrow bifunctors

$$\nabla : (R : \text{Reg}) \rightarrow (\text{Set} \rightarrow \text{Set} \rightarrow \text{Set})$$

$$\nabla 0 \quad XY = \perp$$

$$\nabla 1 \quad XY = \perp$$

$$\nabla I \quad XY = \top$$

$$\nabla (K A) \quad XY = \perp$$

$$\nabla (R \oplus Q) \quad XY = \nabla R \, XY \uplus \nabla Q \, XY$$

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Example: $\nabla (K N \oplus (I \otimes I)) \, XY$

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- Store trees, values and proofs

record **Computed** ($R : \text{Reg}$) ($X : \text{Set}$) ($\psi : \llbracket R \rrbracket X \rightarrow X$)
 : **Set** where

constructor $_'_'_$

field

Tree : μR

Value : X

Proof : **cata** $R \psi$ **Tree** \equiv **Value**

- **Computed** to the left; trees to the right

$\text{Stack}^G : (R : \text{Reg}) \rightarrow (X : \text{Set})$
 $\rightarrow (\psi : \llbracket R \rrbracket X \rightarrow X) \rightarrow \text{Set}$

$\text{Stack}^G R X \psi = \text{List } (\nabla R (\text{Computed } R X \psi)) (\mu R)$

Example: $\text{Stack}^G (K \mathbb{N} \oplus (I \otimes I)) \mathbb{N} \phi$
 $= \text{List } (\text{Computed } (K \mathbb{N} \oplus (I \otimes I)) \phi \uplus \mu (K \mathbb{N} \oplus (I \otimes I)))$
 $\simeq \text{Stack}^+$



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- Plug a *single* layer

$$\text{plug} : (R : \text{Reg}) \rightarrow (X \rightarrow Y) \rightarrow R X Y \times Y \rightarrow \llbracket R \rrbracket Y$$

- Plug through the *stack*

$$\begin{aligned} \text{plug-}\mu_{\downarrow} : (R : \text{Reg}) &\rightarrow \{\psi : \llbracket R \rrbracket X \rightarrow X\} \\ &\rightarrow \mu R \rightarrow \text{Stack}^G R X \psi \rightarrow \mu R \end{aligned}$$

$$\text{plug-}\mu_{\downarrow} R t [] = t$$

$$\begin{aligned} \text{plug-}\mu_{\downarrow} R t (h :: hs) \\ = \text{In} (\text{plug } R \text{ Computed.Tree } h (\text{plug-}\mu_{\downarrow} R t hs)) \end{aligned}$$

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There are two levels of **recursion** in a generic tree

- ▶ Functor: composition of functors $R \otimes Q$
- ▶ Fixed point: $\llbracket R \rrbracket (\mu R)$

```
data NonRec : (R : Reg) →  $\llbracket R \rrbracket X \rightarrow$  Set where
  NonRec-1      : NonRec 1 tt
  NonRec-K      : (B : Set) → (b : B) → NonRec (K B) b
  NonRec- $\oplus_1$  : (R Q : Reg) → (r :  $\llbracket R \rrbracket X$ )
    → NonRec R r → NonRec (R  $\oplus$  Q) (inj1 r)
  NonRec- $\oplus_2$  : ...
  NonRec- $\otimes$   : ...
```

Example:

```
Val-NonRec :  $\forall (n : \mathbb{N}) \rightarrow$  NonRec (K  $\mathbb{N} \oplus (I \otimes I)$ ) (inj1 n)
Val-NonRec : n = NonRec- $\oplus_1$  (K  $\mathbb{N}$ ) (I  $\otimes$  I) n (NonRec-K  $\mathbb{N}$  n)
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- Generic configuration = **leaf** + **stack**

$$\begin{aligned} \text{Config}^G &: (R : \text{Reg}) \rightarrow (X : \text{Set}) \\ &\rightarrow (\psi : \llbracket R \rrbracket X \rightarrow X) \rightarrow \text{Set} \\ \text{Config}^G R X \psi &= \Sigma (\llbracket R \rrbracket X) (\text{NonRec } R) \times \text{Stack}^G R X \psi \end{aligned}$$

- *Embed* a leaf into a generic tree

$$\text{coerce} : (R : \text{Reg}) \rightarrow (x : \llbracket R \rrbracket X) \rightarrow \text{NonRec } R x \rightarrow \llbracket R \rrbracket Y$$

- Recover the **input** tree

$$\begin{aligned} \text{plugC-}\mu_{\Downarrow} &: (R : \text{Reg}) \{ \psi : \llbracket R \rrbracket X \rightarrow X \} \\ &\rightarrow \text{Config}^G R X \psi \rightarrow \mu R \rightarrow \text{Set} \\ \text{plugC-}\mu_{\Downarrow} R ((l, isl), s) t &= \text{plug-}\mu_{\Downarrow} R (\text{In } (\text{coerce } l isl)) s t \end{aligned}$$



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Generic unload^G

$$\begin{aligned}
 \text{unload}^G &: (R : \text{Reg}) \rightarrow (\psi : \llbracket R \rrbracket X \rightarrow X) \\
 &\rightarrow (t : \mu R) \rightarrow (x : X) \rightarrow \text{cata } R \psi t \equiv x \\
 &\rightarrow \text{Stack}^G R X \psi \rightarrow \text{Config}^G R X \psi \uplus X \\
 \text{unload}^G R \psi t x \text{ eq } \square &= \text{inj}_2 x \\
 \text{unload}^G R \psi t x \text{ eq } (h :: hs) &\text{ with right } R h (t, x, \text{eq}) \\
 \text{unload}^G R \psi t x \text{ eq } (h :: hs) &| \text{inj}_1 r \text{ with compute } R r \\
 \dots | (rx, rr), \text{eq}' &= \text{unload}^G R \psi (\text{In } rp) (\psi rx) \text{eq}' hs \\
 \text{unload}^G R \psi t x \text{ eq } (h :: hs) &| \text{inj}_2 (dr, q) \\
 &= \text{load}^G R q (dr :: hs)
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The two levels of recursion induce two relations



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► Functor

data $\llbracket _ \rrbracket _ <_{\nabla} _ : (R : \text{Reg})$
 $\rightarrow \nabla R X Y \times Y \rightarrow \nabla R X Y \times Y \rightarrow \text{Set}$ where

step- $\oplus_1 : \llbracket R \rrbracket (r, t_1) <_{\nabla} (r', t_2)$
 $\rightarrow \llbracket R \oplus Q \rrbracket (\text{inj}_1 r, t_1) <_{\nabla} (\text{inj}_1 r', t_2)$

step- $\oplus_2 : \dots$

step- $\otimes_1 : \llbracket R \rrbracket (dr, t_1) <_{\nabla} (dr', t_2)$
 $\rightarrow \llbracket R \otimes Q \rrbracket (\text{inj}_1 (dr, q), t_1) <_{\nabla} (\text{inj}_1 (dr', q), t_2)$

step- $\otimes_2 : \dots$

base- $\otimes : \llbracket R \otimes Q \rrbracket (\text{inj}_2 (r, dq), t_1) <_{\nabla} (\text{inj}_1 (dr, q), t_2)$



The two levels of recursion induce two relations

► Fixed point

data $_<_{\mathbf{C}}_ : \text{Config}^G R X \psi \rightarrow \text{Config}^G R X \psi \rightarrow \text{Set}$ where

Step : $(t_1, s_1) <_{\mathbf{C}} (t_2, s_2)$
 $\rightarrow (t_1, h :: s_1) <_{\mathbf{C}} (t_2, h :: s_2)$

Base : $\text{plugC-}\mu_{\Downarrow} R(t_1, s_1) \equiv e_1$
 $\rightarrow \text{plugC-}\mu_{\Downarrow} R(t_2, s_2) \equiv e_2$
 $\rightarrow (h_1, e_1) <_{\nabla} (h_2, e_2)$
 $\rightarrow (t_1, h_1 :: s_1) <_{\mathbf{C}} (t_2, h_2 :: s_2)$



- ▶ Type-indexed relation over $\text{Config}_{\downarrow}^G$

data $\text{L_JL_J_} <_{\text{C}_s} _ \{X : \text{Set}\} (R : \text{Reg}) \{ \psi : \llbracket R \rrbracket X \rightarrow X \}$
 $: (t : \mu R)$
 $\rightarrow \text{Config}_{\downarrow}^G R X \psi t \rightarrow \text{Config}_{\downarrow}^G R X \psi t \rightarrow \text{Set}$ where

- ▶ The relation is well-founded

$<_{\text{C}}\text{-WF} : \forall (R : \text{Reg}) \rightarrow (t : \mu R)$
 $\rightarrow \text{Well-founded } (\text{L } R \text{ JL } t \text{ J_} <_{\text{C}_s} _)$



- $$\begin{aligned} & \text{<}_\mathbb{C}\text{-WF} : \forall (R : \text{Reg}) \rightarrow (t : \mu R) \\ & \rightarrow \text{Well-founded } (_ \sqsubseteq R _ \sqcup t _ \sqcup \text{<}_\mathbb{C} _) \end{aligned}$$



- One step of the catamorphism

$$\begin{aligned} \text{step}^G &: (R : \text{Reg}) \rightarrow (\psi : \llbracket R \rrbracket X \rightarrow X) \rightarrow (t : \mu R) \\ &\rightarrow \text{Config}_{\uparrow}^G R X \psi t \rightarrow \text{Config}_{\uparrow}^G R X \psi t \uplus X \end{aligned}$$

- step^G delivers a smaller configuration

$$\begin{aligned} \text{step}^{G-\leq} &: (R : \text{Reg}) (\psi : \llbracket R \rrbracket X \rightarrow X) \rightarrow (t : \mu R) \\ &\rightarrow (c_1 c_2 : \text{Config}_{\uparrow}^G R X \psi t) \\ &\rightarrow \text{step}^G R \psi t c_1 \equiv \text{inj}_1 c_2 \rightarrow \llcorner R \lrcorner \lrcorner t \lrcorner c_2 _ \leq_{\text{C}} _ c_1 \end{aligned}$$



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- step^G delivers a **smaller** configuration

$$\begin{aligned} \text{step}^{G-} &: (R : \text{Reg}) (\psi : \llbracket R \rrbracket X \rightarrow X) \rightarrow (t : \mu R) \\ &\rightarrow (c_1 c_2 : \text{Config}_{\uparrow}^G R X \psi t) \\ &\rightarrow \text{step}^G R \psi t c_1 \equiv \text{inj}_1 c_2 \rightarrow \perp R \perp_{\perp} t \perp c_2 _<_{\text{C}}_ c_1 \end{aligned}$$



► Auxiliary recursor

$$\begin{aligned}
 \text{rec} &: (R : \text{Reg}) (\psi : \llbracket R \rrbracket X \rightarrow X) (t : \mu R) \\
 &\rightarrow (c : \text{Config}_{\uparrow}^G R X \psi t) \\
 &\rightarrow \text{Acc} (_ \sqsubseteq R _ \sqsubseteq t _ \sqsubseteq _ <_{\text{C}} _) (\text{Config}_{\uparrow}^G\text{-to-Config}_{\downarrow}^G c) \rightarrow X \\
 \text{rec } R \psi t c &(\text{acc } rs) \text{ with } \text{step}^G R \psi t c \mid \text{inspect } (\text{step}^G R \psi t) c \\
 \dots \mid \text{inj}_1 x \mid [ls] &= \text{rec } R \psi t x (rs \ x (\text{step}^G\text{-} < R \psi t c \ x \ ls)) \\
 \dots \mid \text{inj}_2 y \mid [-] &= y
 \end{aligned}$$

► Tail-recursive evaluator

$$\begin{aligned}
 \text{tail-rec-cata} &: (R : \text{Reg}) \rightarrow (\psi : \llbracket R \rrbracket X \rightarrow X) \rightarrow \mu R \rightarrow X \\
 \text{tail-rec-cata } R \psi x &\text{ with } \text{load}^G R \psi x [] \\
 \dots \mid \text{inj}_1 c &= \text{rec } R \psi (c, \dots) (<_{\text{C}}\text{-WF } R c)
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- ▶ The correctness proof follows the same pattern as in **tail-rec-eval**
- ▶ Induction over **Acc** and an auxiliary lemma **step^G-correct**

$$\text{correctness}^G : \forall (R : \text{Reg}) (\psi : \llbracket R \rrbracket X \rightarrow X) (t : \mu R) \\ \rightarrow \text{cata } R \psi t \equiv \text{tail-rec-cata } R \psi t$$



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5. Discussion



- ▶ Runtime impact of storing proofs and subtrees
- ▶ Regular universe is limited
- ▶ Directly executable machine in comparison with other techniques



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6. Conclusions



- ▶ We have developed a **tail-recursive** evaluator for **Expr**
- ▶ We generalized it for any algebra over any **regular** datatype
- ▶ We proved the evaluators to be **terminating** and **correct**



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- ▶ Other theorem provers
- ▶ Long-term goal: abstract machine for λ -calculus



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Thank you very much for your attention!

