	artment of 1 & Human Services Background Checks		Insp	This inspection checklist is the tool OL licensors use to ensure consistency for every inspection. (Revised 05/2025)		
Provider Name:	Turn-About Ranch, Roundy	Facility ID:	(F22-94206)	Phone Number:	(435) 826-4240	Notes
Site Name or Address:		Pine Creek Rd te, UT, 84726		Email Address:	jwebster@turnaboutranch.com; twoolsey@turnaboutranch.com; lbeebe@turnaboutranch.com	
Approved Capacity:		# of Present Residents\Clients:	0			
	lease review the following items ork with a check mark if completed and			Pleas (Mark w	se review the following items d with a check mark if completed and n	uring the inspection: nake any necessary notes)
	Current backgrounds in DACS			\blacksquare	Any active rule variances	
\blacksquare	Current staff roster collected				Introduce yourself and any DHHS staff	
\blacksquare	Any license restrictions or conditions	NA		~	Staff Interviews	1 staff/2 admin
\blacksquare	Any needed rule variances	NA		~	Clients Interviews	0

Inspection Information:

- The licensor will email you this inspection checklist after the inspection is completed. This checklist is not an official compliance statement. The licensor will send you an official Inspection Report once this inspection has been approved by management. Only items checked here as noncompliant can be part of your Inspection Report, and the Inspection Report is to be considered the results of this inspection.

- If the only non compliance items are documentation and/or records, please submit them by the correction required date listed. A licensor may conduct a follow-up inspection to verify compliance and maintenance of any noncompliance.

	Signature Information												
Inspection Type:	Announced Annual	Date:	7/9/2025	Time Started On-site:	10:00 AM	Time Ended On-site:	1:00 PM						
	Number of Non Compliant Items:	0	Name of Individual Info	rmed of this Inspection:	Tara Woolsey and Shane Young								
L	icensor(s) Conducting this Inspection:	OL Staff Observing Inspection:	NA										
	The Licensor explained noncompliance items (if any).												

General Provisions - Inspection Checklist (Revised 05/2025)										
C = Compliant NC = Not Compliant NA = Not Assessed during this inspection										
New and Renewal Licensing Procedures	С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes				
R380-600-3(1) Until a license or certificate is approved by OL, an applicant or provider may not: (a) accept any fee; (b) enter into any agreement to provide a client service; or (c) provide any client service.	V									
R380-600-3(3) An applicant or a provider shall permit the office to have immediate, unrestricted access to: (a) any unaltered on and off-site program or facility and client records; (b) each client who independently consents to speak to OL staff; (c) each site subject to licensing or certification; and (d) each staff member.		0								
R380-600-3(4) A provider may not permit a staff or client to threaten, verbally or physically abuse, or use violence of any kind while interacting with a representative of the department.	V									
R380-600-3(15) The license or certificate holder shall adhere to any individualized parameter on a program or facility license or certificate to promote the health, safety, and welfare of any client. Parameters may include: (a) adequate square footage to determine capacity; (b) an admission or placement restriction; or (c) an age restriction.	V									
R380-600-3(18) Unless previously approved by OL to provide services before receiving a license or certificate for special circumstances, a provider must submit an application, any required fee, and obtain a new or a renewed license or certificate before providing any service that requires a license or certificate.	V	0								
R380-600-3(25) The provider shall post their current license or certificate, except in a foster home, on the premises in a place readily visible and accessible to the public.	abla									
RS01-14-5(2)(a) The screening agent shall submit an application for an initial background screening no later than two weeks from the applicant becoming associated with the license. (b) The provider shall ensure an applicant is directly supervised until the office issues a conditional or eligible clearance determination, and the provider shall document how the individual remains supervised for the entirety of their supervised employment term before receiving a clearance determination.	V									
Variances	С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes				
R380-600-6(5) The provider shall sign the approved variance and comply with the terms of the written variance, including any conditions or modifications contained within the approved written variance.			$\overline{\mathbf{v}}$			NA				
Inspection and Investigation Process	С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes				
R380-600-7(16) When a critical incident occurs under the direct responsibility and supervision of the program or facility, the provider shall: (a) submit a report of the critical incident to OL in a format required by OL within one business day of the critical incident occurrence; (b) additionally ensure any allegation of an incident of abuse, neglect, or exploitation of a client is reported to DCFS for a minor client or APS for an adult client and law enforcement within 24 hours; (c) notify the parent or legal guardian of each involved client within a 24-hour period from the time of the incident; (d) if the critical incident involves any client in the custody of the department or under contract with the department, notify the involved department division immediately; and (e) collect, maintain, and submit or riginal witness statements and supporting documentation, including video footage if available, regarding each critical incident to OL upon request.	✓	0								
Program Policies, Procedures, and Safe Practices	С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes				
R501-1-4(2) The licensee shall develop, implement, and comply with safe practices that: (a) ensure client health and safety; (b) ensure the needs of the client population served are met; (c) ensure that none of the program practices conflict with any administrative rule or statute before implementation; and (d) inform staff of how to manage any unique circumstances regarding the specific site's physical facility, supervision, community safety, and mixing populations.	V	_								
R501-1-4(3) The licensee shall submit any change to an office approved policy or curriculum to the office for approval before implementing the proposed change.	~									
Residential Programs Additional Safe Practices	с	NC	NA	Date to be corrected by	Corrected During Inspection	Notes				
R501-1-5(1) A licensee that manages, stores, or administers client medications shall develop and ensure compliance with the following medication management safe practices: (a) Inform staff and clients of program and client seponsibility for medication including storage and administration of medications on-site and, as applicable, when staff and clients are offsite in program related activities; (b) if applicable, inform staff and clients of the medication self-administration process; (c) if storing and administering medications, train staff to administer medication and the process to be followed; (d) how staff record medication edications edications and side effects of medications; and (f) how staff log doses and record and report medication errors.	V	0								
R501-1-5(2) The licensee shall ensure the care, vaccination, licensure, and maintenance of any animals on-site to include: (a) assessment of pet allergies for any clients interacting with animals in the program; (b) maintenance of required examinations, registrations, and vaccinations; and (c) supervision of clients in the presence of animals.	V	_								
R501-1-5(4) The licensee shall ensure that a ratio of one staff to one client during transports is only utilized when the program has conducted a safety assessment that indicates that client and staff safety is reasonably assured.	V									
Program Administrative and Direct Service Requirements	с	NC	NA	Date to be corrected by	Corrected During Inspection	Notes				

R501-1-6(1) The licensee shall clearly identify services to the office, public, potential client, parent, or guardian regarding: (a) current and accurate contact information; (b) the complaint reporting and resolution process; (c) a description of each service provided; (d) each program requirement and expectation; (e) eligibility criteria outlining behavior, diagnosis, situation, population, and age that can be safely served, including; (d) an outline of the behaviors and presenting issues that would be reason for discharge or exclusion from the program; and (ii) a statement that the program may not take placement of a child whose needs exceed the scope or ability of the program to reasonably manage; (f) each cost, fee, and expense for a service and refund policy; and (g) identification of each non-clinical, extracurricular, or supplemental service offered or referred.	✓					
R501-1-6(2) The licensee shall post the following in conspicuous places where each visitor, staff, and client may view: (a) abuse reporting laws as described in Sections 80-2-609 and 268-6-205; (b) civil rights notice; (c) Americans with Disabilities Act notice; (e) any office notice of agency action; (f) a client rights poster in a residential setting except in a foster home or where prohibited by Settings Final Rule; and (g) department code of conduct poster.	✓					
R501-1-6(3) The licensee shall maintain compliance with or documentation of an exemption from any of the following requirements: (a) a food handler permit for any person preparing meals for any other person; (b) capacity determinations that include each staff and client on premises and may not exceed the capacity limits placed by local authorities; (d) licensure and registration of any vehicles used to transport clients.	V	0				
R501-1-6(5) The licensee shall maintain and make the following available to the department upon request: (d) vehicle insurance	✓					
R\$01-1-6(6) The licensee shall ensure: (b) current staff and client lists are available at each licensed site; (f) the licensee maintains an opioid overdose reversal kit on-site with on duty staff trained in its use if the licensee is serving, or is likely to serve, a client with a substance use disorder.	Y	0				
R501-1-6(7) A licensee serving education entitled children shall comply with Section 26B-2-116 regarding coordination of educational services to include completion of youth education forms at initial and renewal licensure.	V					
R501-1-6(8) A licensee offering school on-site shall: (a) maintain the established staff to client ratio with behavioral intervention trained staff in the school setting; (c) ensure each client is taught at their appropriate grade level.	V					
R501-1-6(9) The licensee shall ensure clinical and medical staff are licensed or certified in good standing and any unlicensed staff are appropriately supervised						
Residential Program Additional Administration and Direct Services Requirements	с	NC	NA	Date to be corrected by	Corrected During Inspection	Notes
R501-1-7(1) A residential program licensee shall additionally: (a) ensure each staff shift list remains current and available to the office upon request; (b) ensure that each shift documents any illness, injury or critical incident and passes it on to the next shift and administration; (c) ensure at least two on-duty staff are present at all times; (d) ensure at least two on-duty staff are medical professional familiar with the program and population served; and (e) provide a separate space for clients who are showing symptoms of an infectious disease.	N					
R501-1-7(3) A congregate care program licensee may allow an individual turning 18 to remain in the program if: (a) the individual remains in the custody of a state entity or the individual was admitted and continuously resided in the program for at least 30 days before the individual's 18th birnday; (b) the licensee has a documented need for the individual or remain in the program; (c) the licensee has a toucherned need for the individual or remain in the program; (c) the licensee maintains responsibility for discharge to an appropriate setting when clinically appropriate and no later than the day an individual reaches 19 years of age; (d) the licensee outlines a plan for the protection of younger clients by supervising and separating 18-year-old individuals from youth who are more than two years younger; and (e) the individual is consenting to remain in the program voluntarily and understands the individual is not required to remain against their will; (ii) that any criminal offenses committed may result in being charged as an adult; and (iii) that if the individual is involved in any critical incidents posing a risk to the health and safety of other program residents they may be discharged from the program.	>					
R501-1-7(4) A congregate care program licensee shall ensure weekly confidential communication with family and shall ensure that: (a) the frequency or form of the confidential communication requirement is only modified if the program submits a modification requirement is only modified if the program submits a modification requirement is only modified if the program submits a modification register in an area of limited or unreliable phone accessibility or coverage; (ii) the licensee offers an alternative that satisfies the requirement of weekly confidential two-way communication; or (vi) extenuating circumstances exist outside the individual treatment plans that are prohibitive to offering voice to voice communication; (b) a parent or guardian authorizes in writing an alternate means of confidential communication when voice to voice is unavailable; and (c) the licensee offers voice to voice confidential communication as soon as it can be safely offered.	>					
R501-1-7(6)(a) A residential program licensee, excluding a residential treatment program, may allow for client independence and responsibility for their own supplies, food, laundry, or transportation by outlining in writing resources and responsibility for the provision of these items. (b) Each residential program licensee shall assist clients on a limited basis if they are temporarily unable to provide the items or services listed in Subsection R501-1-7(6)(a) for themselves.	V	0				
Program Physical Facilities and Safety	С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes

R501-1-8(1) The licensee shall ensure: (a) the appearance & cleanliness of the building/grounds are maintained & free from health/fire hazards; (b) any appliances, plumbing, electrical, HVAC, and furnishings are maintained in operating order and in a clean and safe condition; (c) fire drills in non-outpatient programs are conducted at least quarterly and documented, including feedback regarding response time and process; (d) a phone that can be used to call 911 is always available on-site when clients are present; (e) bathroom facilities for staff and clients allow for individual privacy and afford reasonable accommodation based on gender identity; (f) each bathroom is properly equipped with toilet paper, paper towels or a dryer, and soap; (g) each bathroom is ventilated by mechanical means or equipped with a window that opens; (f) non-prescription medication, if stored on-site, is stored in original manufacturer's packaging together with the manufacturer's directions and warnings; and (f) research in the pharmacy label, directions, and warnings.		<u>\</u>				The client area where the clients bathe did not have a window or mechanical ventilation.
R501-1-8(2) The licensee shall accommodate a client with physical disabilities as needed or appropriately refer to comparable services.			V			Licensee does not specialize in serving clients with physical disabilities.
R501-1-8(3) The licensee shall maintain medication and potentially hazardous items on-site lawfully, responsibly, and with consideration of the safety and risk level of the population served to include locked storage for each medication and hazardous chemical that is not in active user.	K					
R501-1-8(4) The licensee shall maintain a first aid kit	V					
Residential Program Additional Facilities and Safety Requirements	С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes
R501.1-9(1) A residential licensee shall ensure: (a) designated space is available for records, administrative work, & confidential phone calls for clients; (c) live-in staff have dedicated bedrooms & bathrooms separate from client use; (d) each bedroom designated for a client is comparable to other similarly utilized bedrooms with similar access, location, space, finishings, and furnishings. (f) a mirror or safety mirror is secured to each bathroom wall at a convenient height; (g) each bathrooms is placed to allow access to each client without disturbing any other client during sleeping hours; (f) each bath or shower allows for individual privacy; (o) each client is supplied with hygiene suppliers; (d) each client is supplied with hygiene suppliers; (d) each client is subjected with privacy; (d) each client is a similar solid type of bed or sleeping equipment to any other client in the program; (d) each client is allowed to decorate & personalize their bedroom, while maintaining respect for other residents and property; (m) there are separate containers for soiled & clean laundry, if the program provides common laundry for towels, bedding or clienting. (o) equipment and supplies for washing & drying laundry are provided, if the program permits clients to do their own laundry; (p) there is at least 60 sq ft per person in a multiple occupancy bedroom and 80 sq ft in a single occupant bedroom.						Licensee does not have live in staff.
R501-1-9(2) A residential program licensee serving individuals with disabilities shall house no more than two clients in each bedroom.			V			Licensee does not specialize in serving clients with disabilities.
RS01-1-9(3) The licensee utilizing seclusion rooms shall ensure the following: (a) seclusion rooms measure a minimum of 75 sq ft and have a minimum ceiling height of 7 ft with no equipment, hardware or furnishings that obstruct staff's view of the client or present a hazard; (b) a seclusion room shall have either natural or mechanical ventilation with break resistant windows and either a break resistant two-way mirror or camera that allows for observation of the entire room; (c) a seclusion room may not have locking capability and may not be located in closets, bathrooms, unfurnished areas or other areas not designated as part of residential living space; and (d) a bedroom may not be utilized as a seclusion room and a seclusion room may not be utilized as a bedroom.			>			Licensee does not utilize a seclusion room.
R501-1-9(5) The licensee shall train staff and ensure that the use of any alternate sleeping arrangements other than the client's assigned bedroom complies with Subsection R501-1-4(7) and: (a) preserves client dignity and confidentiality: (b) shall be done on an individualized, time delimited basis; (c) may not be utilized due to saffing shortages or for staff convenience; and (d) may not be used as behavior management or consequence.	\triangleright					
Food Service Requirements	С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes
R501-1-10(2) A licensee that provides meals shall: (a) ensure that meals are not used as incentive or punishment; (b) provide nutritional conselling to staff and clients; (c) designate staff responsible for food service who: (d) designate staff responsible for food service who: (i) maintain a current list of each client with special nutritional needs; and (ii) ensure that each client with special nutritional needs has food storage and a preparation area that is not exposed to any identified allergen or contaminant; (d) except in a day treatment program serving clients for less than ten hours a day, or outpatient programs serving clients for less than ten hours a day, or outpatient programs serving clients for less than ten hours a day, or outpatient programs serving clients for less than ten hours a day, or outpatient programs serving clients for programs serving individuals experiencing homelessness, serve meals as required by USDA standard homeless settings; (ii) provide adequate dining space for clients that is maintained in a clean and safe condition.	\					
R501-1-10(3) A licensee that allows self-serve meals shall ensure that self-serve kitchen users are supervised, directed, and trained by a staff that has a food handler's permit or is trained by Serv-Safe, USDA, or a comparable program.			V			Licensee does not allow self-serve meals by clients.
R501-1-10(4) A licensee that serves parents and their children may allow a consenting adult client to maintain full responsibility for their, and their child's, special dietary needs, if consent is maintained in writing in the client record.			V			Licensee does not admit/serve parents and their children.
RS0-1-1-10(5) A licensee that offers meals for clients shall ensure there is documented training confirming staff are trained to and adhere to the following safe practices: (a) how to identify and accommodate clients with special dietary needs; and (b) allowances for nutritious snacks to be available during restricted hours if the program restricts access to food and kitchen equipment.	\triangleright					

R501-1-10(6) If meals are prepared by clients, the licensee shall inform staff and clients in writing of the following: (a) rules and privileges of kitchen use; (b) menu planning and procedures; (c) sharing self-prepared food; (d) nutrition and sanitation requirements; (e) schedule of responsibilities; and (f) shopping and storage responsibilities.						Clients that help prepare meals have their food handlers permits.
Program Client Record Requirements	с	NC	NA	Date to be corrected by	Corrected During Inspection	Notes
RS01-1-11(1) The licensee shall maintain client information to include the following: (a) client name, address, email address, phone number, date of birth and identified gender; (b) emergency contact names, including legal guardian where applicable, and at minimum, the emergency contact's physical address, current phone numbers; (c) a program serving substance use disorder clients shall maintain compliance with an initial and annual client tuberculosis Screening results in each client record; (d) any information that could affect the health safety or well-being of the client including each medication, allergy, (d) discharge documentation; (g) treatment or service plan; (f) presented or service plan; (i) progress notes and services provided with date and signature of staff completing each entry; (i) individualized assessment for restriction of access to on-site items that could be used as weapons, for self-directed violence, or as an intoxicant; (i) any referral arrangements made by the program; (k) client or guardian signed consent or court order of commitment to services in lieu of signed consent for each treatment and non-clinical service; (ii) summary of attendance and absences in treatment services; (iii) any referral made or against the client and actions taken by the program; (iv) each crisis intervention or critical incident report involving the client; and	V					
Program Intake and Discharge Requirements	С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes
RS01.1-12(1) The licensee shall complete an intake screening before accepting a client into the program that includes at least: (a) wrification that the client meets the eligibility requirements of the program; (b) wrification that the client does not meet any of the exclusionary criteria that the program identified in policy as unable beginning presenting needs; and (c) descriptions of presenting needs; and (d) saided risk screening.	V					
R501-1-12(2) A licensee serving substance use disorder clients may not admit anyone who is unresponsive or unable to consent to care because the individual is experiencing convulsions, in shock, delirium tremens, in a coma, or unconscious.		_				Licensee does not specialize in serving clients with substance use disorders.
RS01-1-12(3) A licensee serving incarcerated or court-mandated justice involved clients shall: (a) conduct a criminogenic risk assessment; (c) separate high and low criminogenic risk populations.		0	V			Licensee does not specialize in serving court-mandated justice involved clients.
R501-1-12(4) The licensee shall ensure that , the client, parent, or guardian signs and receives copies of the following agreements to be maintained as client records: (a) determination of eligibility: (b) fee agreement outlining costs of services including program, client, parent, or guardian responsibility for payment; and (c) signed consent for treatment that outlines: (i) rules of the program; (ii) expectations of clients, parents, and guardians; (iii) services to be provided; (iv) Medicaid number, insurance information, and identification of any other entities that are billed for the client's services; (v) client rights; and (vi) licensing contact information.	>					
RS01-1-12(5) The licensee shall ensure that a discharge plan identifies resources available to a client and includes: (a) reason for discharge or transfer; (b) aftercare plan; (c) summary of services provided; and (d) progress evaluation.	Y					
Residential Additional Program Intake and Discharge Requirements	С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes
RS01-1-13(1) A residential program licensee shall ensure an intake assessment is completed following an approved intake screening, no later than seven days from the admission date, and that the assessment considers and contains: (a) gender identity and individualized assessment for bedroom and bathroom assignments; (b) cultural background; (c) dominant language and mode of communication; (d) family history and dynamics; (e) current and past health and medical history; (f) social, psychological, developmental, vocational, and, as appropriate, educational factors; (h) authorization to serve and obtain emergency care.	>					
R501-1-13(2) A residential program licensee may not serve youth from out of state without a disruption plan, and as applicable, Interstate Compact Placement of Children (ICPC).	>					
R\$0.1-1.3(3) A congregate care program licensee shall ensure that each congregate care disruption plan complies with the following: (a) the program retains jurisdiction and responsibility for the youth while the youth remains in Utah; and (b) the program completes an individualized disruption plan at the time of intake for each out of state client to include: (i) who is responsible for the child's return if placement at the facility disrupts; (ii) current emergency contact information to include the name, address, phone and email address of the parent or responsible person; (iii) a signed statement from parent or responsible person outlining the plan for the youth in the event of an unplanned disruption in care; and (iv) a plan for safe transportation either to the state of origin, the responsible person as identified in Subsection R\$0.1-1.3(3)(b)(i) or to another licensed congregate care program or higher level of care, as needed.	V					
RS01-1-13(5) A congregate care program licensee shall report private placements to the office by completing the congregate care out of state placement survey on the office website no later than the fifth business day of each month.	V	0				
Program Clinical Services	с	NC	NA	Date to be corrected by	Corrected During Inspection	Notes

V	0				
~	0				
С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes
V					
					Licensee does not specialize in serving clients for substance use disorder.
		V			Licensee does not specialize in serving clients for substance use disorder.
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С	NC	NA	Date to be corrected by	Corrected During Inspection	Notes
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R501-1-16(2) The licensee shall ensure each staff completes the following training topics each year, based on the program's license date: (a) program policles, pand applicable categorical licensing rule: (b) client eligibility, as outlined in subsection R501-1-6(1)(e), emphasizing the behaviors and circumstances the program can safely manage; (d) staff involvement and responsibility in the intake, discharge, and unplanned discharge processes; (e) provider code or conduct as outlined in Rule R383-80; (f) program plan for the prevention or control of infectious and communicable disease to include coordination with and following any guidance of the state or local health authorities, Center for Disease Control, and the department; (g) emergency procedures to instruct staff how to address incident reporting, continuity of care, transport, relocation, and client health and safety during natural disasters, extreme weather events, fire, utility or structural failures, or other unexpected disruptions to the program service; (h) program rules regarding firems that does not conflict with constitutional or statutory rights regarding concealed weather sease of the stage of th	8					The licensee stated that they were going to note (b) general provisions and applicable categorical licensing rule separate from code of conduct training.
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Congregate Care Resid				t Programs - Inspection (Checklist					
(Revised 05/2025) C = Compliant										
NA = No	NC	= No	t Cor	npliant ng this inspection						
Administration	с	NC	NA	Date to be corrected by	Corrected During Inspection	Notes				
R501-19-3(2) Each residential treatment provider shall ensure its policies include client privacy accommodation in each bedroom space while assuring client health and safety	$\overline{\mathbf{v}}$									
RSD1 19-3(3) Each residential treatment provider serving a child shall:	✓	0								
RS01-19-3(4) Each residential treatment provider serving a child may provide step-down privileges to include unsupervised time and authorized departures from the program if the provider: (a) maintains a staff-to-client ratio of one direct care staff to every four clients; (b) documents in the client record and communicates to each of the client's direct care staff, the individualized justification for the step-down privileges and which privileges are authorized by a clinical professional; (c) obtains written parental or guardian consents before allowing step-down privileges, and (i) obtains written parental or guardian consents before allowing step-down privileges, and (ii) a description of what constitutes authorized departure and unsupervised time; (ii) a description of how each step-down privilege, including authorized departure or unsupervised time, is achieved and rescinded; (iii) a statement that the [program]provider will immediately communicate to each client parent or guardian and direct care staff when the step-down privileges have been rescinded; and (iv) a statement that no step-down client is allowed to perform any direct care staff duties.	✓	0								
R501-19-3(5) Each residential treatment provider shall make any necessary accommodation to allow a child to continue the child's education with a curriculum approved by the State Board of Education.	~									
R501-19-3(6) Each residential treatment provider that offers education shall utilize a curriculum that is recognized by an educational accreditation organization, including the State Board of Education or the National School Accreditation Board.	V									
R501-19-3(7) (a) in addition to the behavior management policy and training requirements listed in Rule R501-1, each residential treatment provider serving youth shall ensure each direct care staff member is trained through a nationally or regionally recognized curriculum and can recognize the difference between a restraint and an emergency safety intervention. (b) An emergency safety intervention is subject to each requirement of a restraint for reporting, debriefing, clinical reviews, and training. (c) An emergency safety intervention may exceed the limitations of any restraint listed in Rule R501-1 with documented justification explaining why a regular restraint or other less intrusive intervention was not used	~	0								
RS01-19-3(9) Each residential treatment provider providing services to a substance use disorder client shall: (a) only admit a substance use disorder client with a level of care that falls within American Society of Addiction Medicine levels 3.1 through 3.5; and (b) obtain any required liceness before providing any service to a substance use disorder client outside of the residential milieu with a level of care described in Subsection (8)(a), unless otherwise outlined in categorical rule.		0	Y			Licensee does not specialize in serving clients for substance use disorders.				
R501-19-3(10) Each residential treatment provider that allows a client to participate in food preparation shall ensure the client is trained in safe food handling practices and the provider justifies the client's participation in writing.	V					Clients that help prepare meals have a food handlers permit.				
R501-19-3(11) Each residential treatment provider shall provide individual, group, and family counseling or other treatment, including skills development, at least weekly or as outlined in the individual's treatment plan.	V									
R501-19-3(12) A clinical professional shall oversee any therapeutic services conducted in the therapeutic environment including: (i) life shall development; (ii) psychoeducation; and (iii) social coaching.	V	0								
R501-19-3(13) Each residential treatment provider shall document the time and date of each service provided to each client and include the signature of the individual providing the service.										
R501-19-3(14) Each residential treatment provider shall provide indoor space for free and informal client activities.										
Requirements for Intermediate Secure Treatment	с	NC	NA	Date to be corrected by	Corrected During Inspection	Notes				
R501-19-4(1)(a) Each intermediate secure treatment provider shall clearly define in policy the responsibilities of the manager described in Section 8501-1-15. (b) The licensee shall ensure the manager described in Subsection R501-1-15(2): (i) s at least 25 years of age; (ii) has a bachelor's degree or equivalent training in a human service-related field; and (iii) has at least three years management experience in a residential or secure treatment setting.		0	V			Licensee is not an intermediate secure treatment provider.				
R501-19-4(2)(a) Subsection R501-19-3(3)(c) does not apply to an intermediate secure treatment provider serving youth. (b) An intermediate secure treatment provider serving youth shall maintain a staff-to-client ratio of one staff to every five clients.			V			Licensee is not an intermediate secure treatment provider.				
RS01-19-4(3) Each intermediate secure treatment provider shall ensure that each direct care staff working in an intermediate secure treatment program is trained to work with a child with behavioral or mental health needs and works under the supervision of a licensed clinical professional.			>			Licensee is not an intermediate secure treatment provider.				
RS0119-4(4) Each intermediate secure treatment provider shall ensure each direct care staff completes 30 hours of additional training annually regarding: (a) client record and incident documentation; (b) client rule; (c) human relations and communication skills; (d) maintaining staff, client, and visitor safety in a secure setting; (e) problem-solving and guidance; (f) the special needs of children and families; and (g) universal precautions for blood-borne pathogens.		0	V			Licensee is not an intermediate secure treatment provider.				

R501-19-4(5) Each intermediate secure treatment provider shall incorporate the use of fixtures and furnishings that help limit self-harm and suicide including: (a) non-exposed fire sprinkler heads; (b) non-exposed fire sprinkler heads; (c) pressure release rose tooks; (c) pressure release rose tooks; (d) recessed lighting: and (e) sealed light fixtures.		0	V			Licensee is not an intermediate secure treatment provider.
Specialized Services Required to Serve Clients Under the Division of Services for People with Disabilities	с	NC	NA	Date to be corrected by	Corrected During Inspection	Notes
\$501-19-5(1) Each residential treatment provider serving a Division of Services for People with Disabilities (DSPD) client shall: (a) apply for any uneamed income benefits for which the client is eligible, in conjunction with the support coordinator for DSPD and each client's parent or guardian: (b) develop and adhere to policies and procedures governing the daily operation and activity available and applicable to each client and visito (c) ensure the facility is located within a reasonable distance from a (ii) etroic (iii) escretarion and other community facilities and (iii) escretarion and other community facilities and (iii) escretarion and other community facilities and (iii) escretarion and activate record of income and client service fees: (i) maintain an excord of income and client service fees: (i) maintain an accurate record of each fund deposited with the residential facility for client use; (i) maintain a list of each deposit and withdrawal; (g) maintain a record of each fund expressional staff for any purchase over \$20; (h) maintain a record of each fund expressional staff for any purchase over \$20; (h) maintain a record of each fund expressional staff for any purchase over \$20; (h) maintain a record of each fund entity and professional staff for any purchase over \$20; (h) maintain a record of each client petty cash fund; (i) present each client with an individual paln art addresses appropriate day treatment; (ii) share a monthly activity schedule with each client, and (k) specify, in policy, the amount of time any non-client individual anay stay as an overnight guest.			2			Licensee does not specialize in serving DSPD clients.
R501-19-5(2) If there is a conflict between a licensing rule and the settings rule as defined in Rule R501-1, the settings rule shall prevail.			V			