

# Low-energy parameterizations of $X_{\max}$ statistics

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Based on GAP-2020-058

## Motivations

- ▷ Our goal is to broaden the scope of the combined fit in order to cover lower energies down to  $E \gtrsim 10^{15}$  eV → Galactic-ExtraGalactic transition
- ▷ Existing parametrizations for calculating cosmic ray composition using  $X_{\max}$  statistics are constrained to energies above  $E \gtrsim 10^{17}$  eV (see GAP2020\_058)
- ▷ It's crucial to assess whether these current models remain accurate for energies down to  $10^{15}$  eV. Should discrepancies arise, we must consider refining our parametrization models to ensure reliable composition in the knee energy spectrum.

## Conex simulations

From GAP2020\_058:

- ▷ Conex version: version 4.37 for EPOS-LHC and QGSJet II-04, version 7.30 for Sibyll 2.3d
- ▷ Hadronic models used Sibyll 2.3d, EPOS-LHC and QGSJet II-04
- ▷ The log energy range  $17 \rightarrow 20$  in 13 fixed lg E bins with  $\Delta \log E = 0.25$
- ▷ Number of showers 5.4k - 7.7k / bin
- ▷ The Xmax used to build the distributions is taken from the **XmxdEdX** branch of the CONEX file
- ▷ Primary nuclei: H, He, N, Si, Ca and Fe

New simulations at CNAF:

- ▷ Conex version: **version 7.60**
- ▷ Hadronic models used Sibyll 2.3d, EPOS-LHC, QGSJet II-04, and **DPM-JET III**
- ▷ The log energy range  **$15 \rightarrow 20.5$**  in 23 fixed lg E bins with  $\Delta \log E = 0.25$
- ▷ Number of showers  **$10k / \text{bin} \xrightarrow{\text{goal}} 100k / \text{bin}$**
- ▷ The Xmax used to build the distributions is taken from the **XmxdEdX** branch of the CONEX file
- ▷ Primary nuclei: H, He, N, Si, and Fe

## Definitions

► Mean:

$$\langle x \rangle = \frac{1}{N} \sum_{i=0}^N x_i \quad (1)$$

► Variance:

$$\sigma_x^2 = \frac{1}{N} \sum_{i=0}^N |x_i - \langle x \rangle|^2 \quad (2)$$

► Standard Deviation:

$$\sigma_x = \sqrt{\sigma_x^2} \quad (3)$$

► Error of the Mean:

$$\epsilon = \frac{\sigma_x}{\sqrt{N}} \quad (4)$$

► Error of the Standard Deviation:

$$\rho = \frac{\sigma_x}{\sqrt{2N}} \quad (5)$$

## $X_{\max}$ parametrizations

- ▷ We model  $X_{\max}$  as a function of  $x \equiv \log(E/E_0)$  and  $y \equiv \ln A$
- ▷ GAP parametrization (4 free parameters):

$$\begin{aligned} p'_0(y) &= p_0 + \alpha y \\ p'_1(y) &= p_1 + \beta y \\ f(x, y) &= p'_0(y) + p'_1(y)x \end{aligned}$$

which can be re-written as

$$f(x, y) = (p_0 + p_1 x) + (\alpha + \beta x)y \equiv f_p(x) + f_E(x)y$$

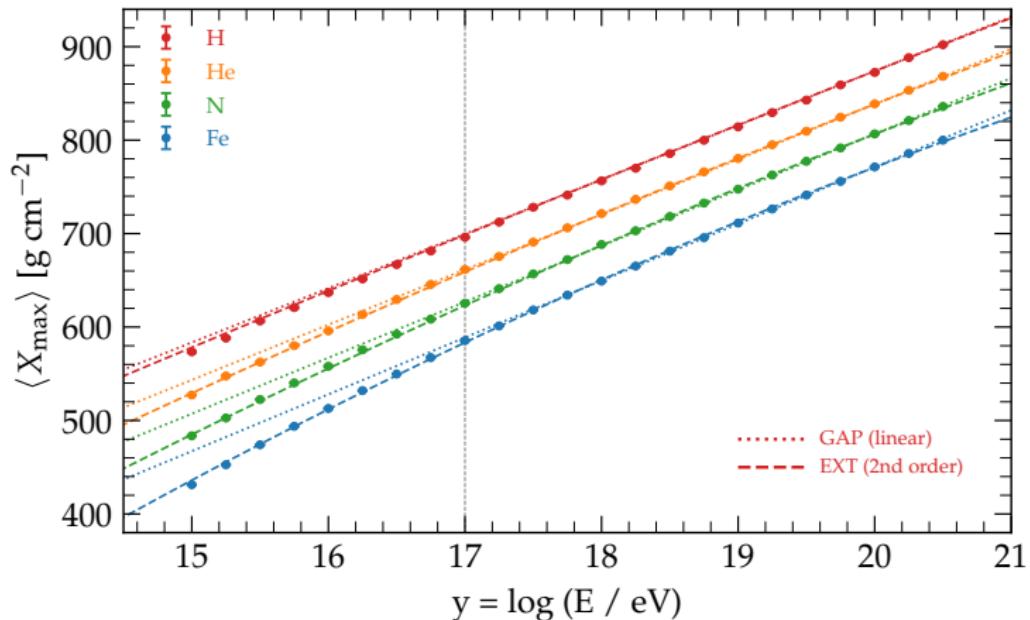
- ▷ EXT parametrization (6 free parameters):

$$\begin{aligned} p'_0(y) &= p_0 + \alpha y \\ p'_1(y) &= p_1 + \beta y \\ p'_2(y) &= p_2 + \gamma y \\ f(x, y) &= p'_0(y) + p'_1(y)x + p'_2(y)x^2 \end{aligned}$$

which can be re-written as

$$f(x, y) = (p_0 + p_1 x + p_2 x^2) + (\alpha + \beta x + \gamma x^2)y \equiv f_p(x) + f_E(x)y$$

## $X_{\max}$ parametrizations



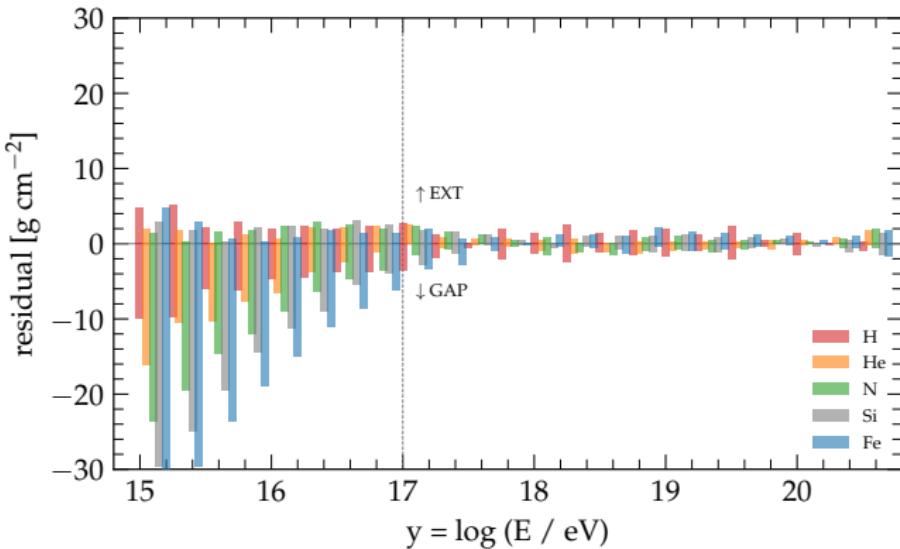
- ▷ Dots: CONEX simulations using Sibyll-23d. Error bars show the **std error of the mean** over N simulations
- ▷ Dotted lines: best fit of GAP (linear in y) parametrization assuming  $E_{\min} = 10^{17}\ eV$
- ▷ Dashed lines: best fit of EXT (2nd order in y) parametrization assuming  $E_{\min} = 10^{15}\ eV$

## X<sub>max</sub> parametrizations

Fit parameters based on Sibyll-2.3D.

	D <sub>0</sub>	D <sub>1</sub>	D <sub>2</sub>	$\alpha$	$\beta$	$\gamma$
GAP	815.83 $\pm$ 0.11	58.09 $\pm$ 0.11	-	-26.19 $\pm$ 0.03	0.67 $\pm$ 0.03	-
EXT	816.21 $\pm$ 0.12	58.01 $\pm$ 0.11	-0.37 $\pm$ 0.04	-25.67 $\pm$ 0.04	0.56 $\pm$ 0.03	-0.46 $\pm$ 0.01

## $X_{\max}$ residuals



- Positive plane: residuals of the EXT parametrization assuming  $E_{\min} = 10^{15}$  eV
- Negative plane: residuals of the GAP parametrization assuming  $E_{\min} = 10^{17}$  eV
- Mean residuals in g/cm<sup>2</sup>. Si was not included in the fit:

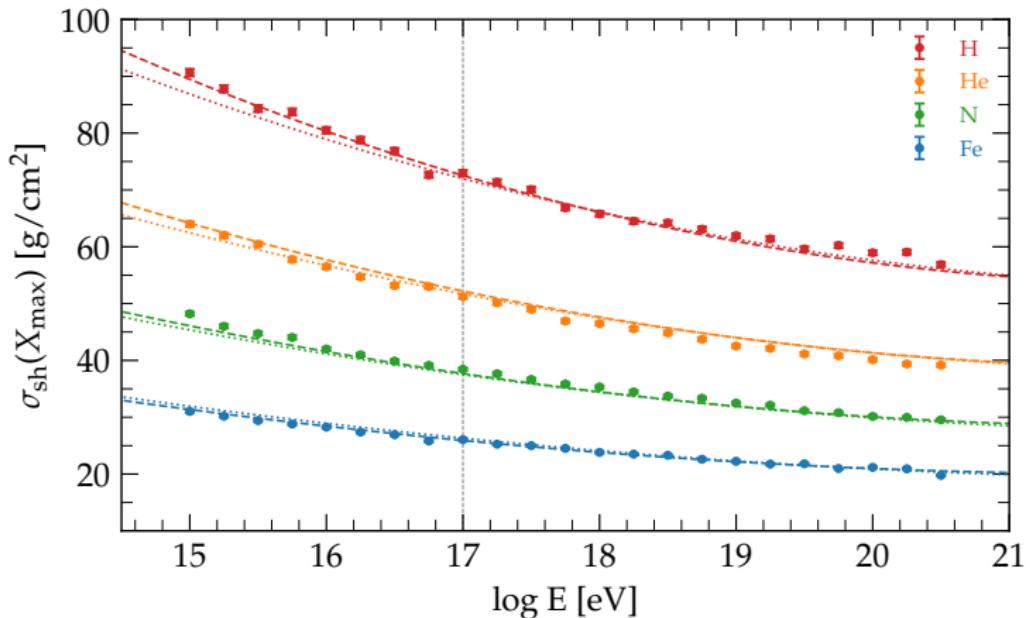
	H	He	N	Si	Fe
GAP	3.07	2.95	4.56	5.71	7.11
EXT	1.96	0.98	1.21	1.35	1.37

## $\sigma(X_{\max})$ parametrizations

- ▷ We model  $\sigma(X_{\max})$  as a function of  $x \equiv \log(E/E_0)$  and  $y \equiv \ln A$
- ▷ GAP parametrization (6 free parameters):

$$\begin{aligned} a'_0(x) &= a_0 + a_1 x \\ p(x) &= p_0 + p_1 x + p_2 x^2 \\ f(x, y) &= p(x) [1 + a'_0(x)y + b_0 y^2] \end{aligned}$$

## $\sigma(X_{\max})$ parametrizations



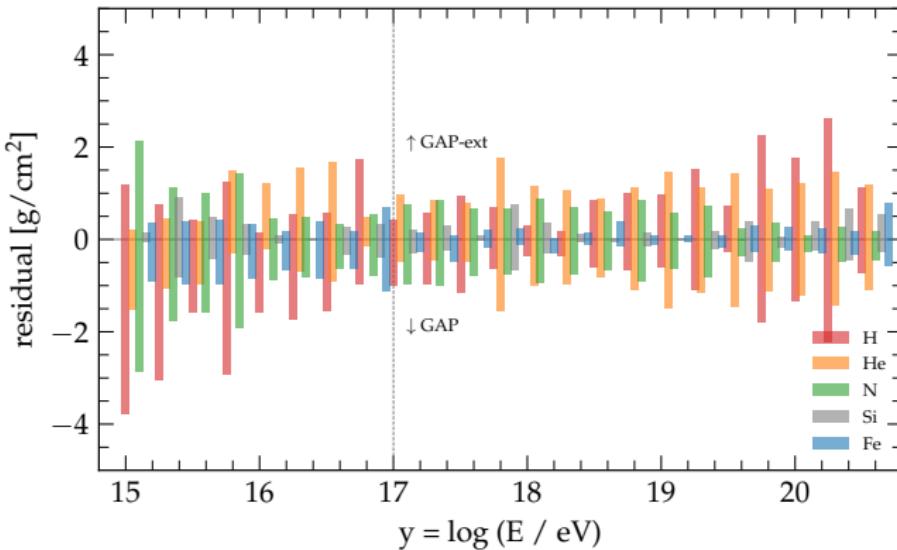
- ▷ Dots: CONEX simulations using Sibyll-23d. Error bars show the **error of the std deviation** over N simulations
- ▷ Dotted lines: best fit of GAP parametrization assuming  $E_{\min} = 10^{17}$  eV
- ▷ Dashed lines: best fit of GAP parametrization extended to  $E_{\min} = 10^{15}$  eV

## $\sigma(X_{\max})$ parametrizations

	p <sub>0</sub>	p <sub>1</sub>	p <sub>2</sub>	a <sub>0</sub>	a <sub>1</sub>	b
GAP	$61.3 \pm 0.1$	$-4.3 \pm 0.1$	$0.53 \pm 0.07$	$-0.228 \pm 0.001$	$-0.0002 \pm 0.0002$	$0.0173 \pm 0.0003$
GAP-ext	$61.0 \pm 0.1$	$-4.5 \pm 0.1$	$0.67 \pm 0.02$	$-0.223 \pm 0.001$	$0.0008 \pm 0.0001$	$0.0161 \pm 0.0002$

Fit parameters based on Sibyll-2.3D.

## $\sigma(X_{\max})$ residuals



- Positive plane: residuals of the GAP parametrization assuming  $E_{\min} = 10^{15}$  eV
- Negative plane: residuals of the GAP parametrization assuming  $E_{\min} = 10^{17}$  eV
- Mean residuals in g/cm<sup>2</sup>. Si was not included in the fit:

	H	He	N	Si	Fe
GAP	1.34	0.93	0.92	0.25	0.45
GAP-ext	0.97	1.08	0.68	0.29	0.26

## Understanding Anomalous Shower Profiles

- ▷ Most air showers caused by high-energy cosmic rays follow a predictable pattern, showing a single, clearly defined maximum in their longitudinal development.
- ▷ A small subset exhibits deviations from this norm, with some showing significantly different profiles, including cases with two distinct maxima.
- ▷ We use simulations to analyze the frequency of these anomalous profiles as a function of the primary energy.
- ▷ We limit our investigation to primary protons (where anomalies are most pronounced) and apply a single interaction model for the moment.

## Modeling the Longitudinal Shower Profile

- Gaisser–Hillas is a 3-parameter model to describe the normalized ( $N_{\max} = 1$ ) longitudinal profile of a shower. The function is given by:

$$y(x) = \left(1 + R \frac{x'}{L}\right)^{R^{-2}} \exp\left(-\frac{x'}{RL}\right) \quad (6)$$

where

$$x' = x - x_{\max} \quad (7)$$

- We evaluate the accuracy of our model using the  $\chi^2$ :

$$\chi^2 = \sum_{Y_i > Y_{\min}} \frac{[Y(x_i) - Y_i]^2}{V_i} \quad (8)$$

where  $Y_i$  represents the normalized profile simulated by CONEX.

- We assume  $V_i = (k/E)Y_i$  where  $k$  is a constant chosen **for each shower** such that  $\sqrt{\sum V_i} / \sum Y_i = 0.01$  following

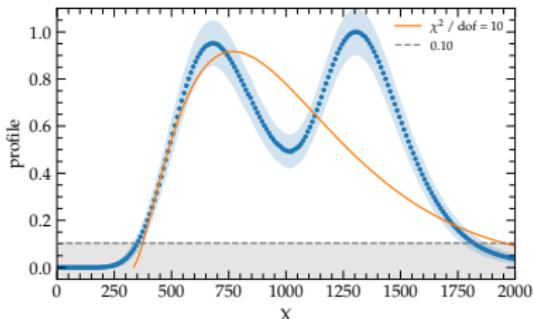
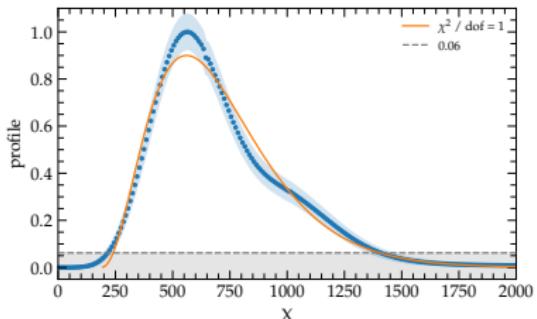
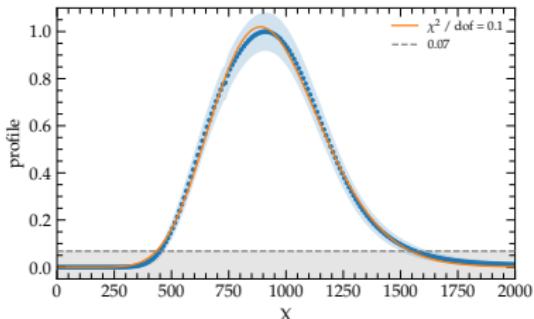
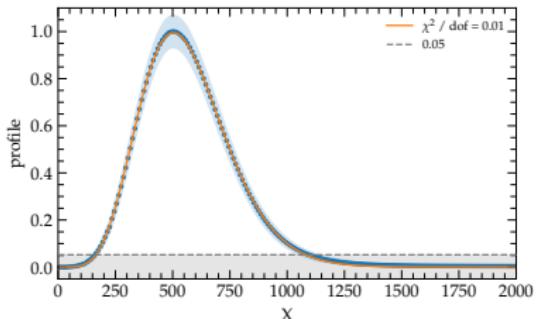
$$\frac{k}{E} = (0.01)^2 \frac{(\sum Y_i)^{\frac{1}{2}}}{\sum Y_i} = (0.01)^2 \sum Y_i \quad (9)$$

- Furthermore profile points where  $\sqrt{V_i}/Y_i > 0.3$  are excluded from the fit which leads to a minimum value:

$$Y_{\min} = \frac{(k/E)}{(0.3)^2} \quad (10)$$

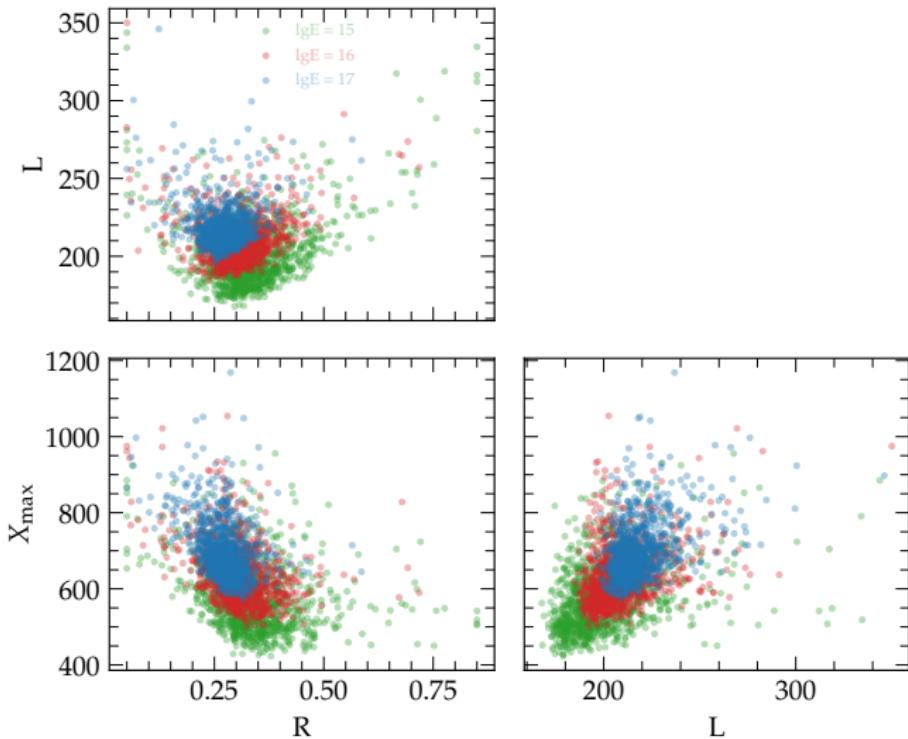
- To find the best fit parameters,  $(X_{\max}, R, L)$ , we perform a minimization of  $\chi^2$  starting from approximately 100 randomly selected initial points in the parameter space.

## Shower examples

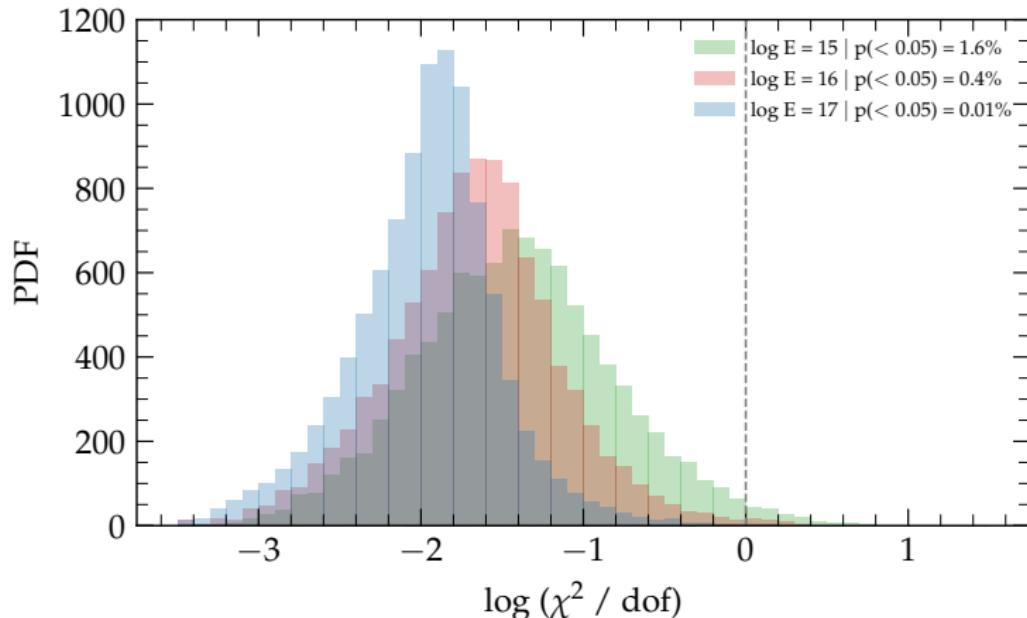


- We present four shower profiles with different best-fit values of  $\chi^2 = 10^{-2}, 0.1, 1, 10$

# Exploring the Parameter Space of Shower Profiles

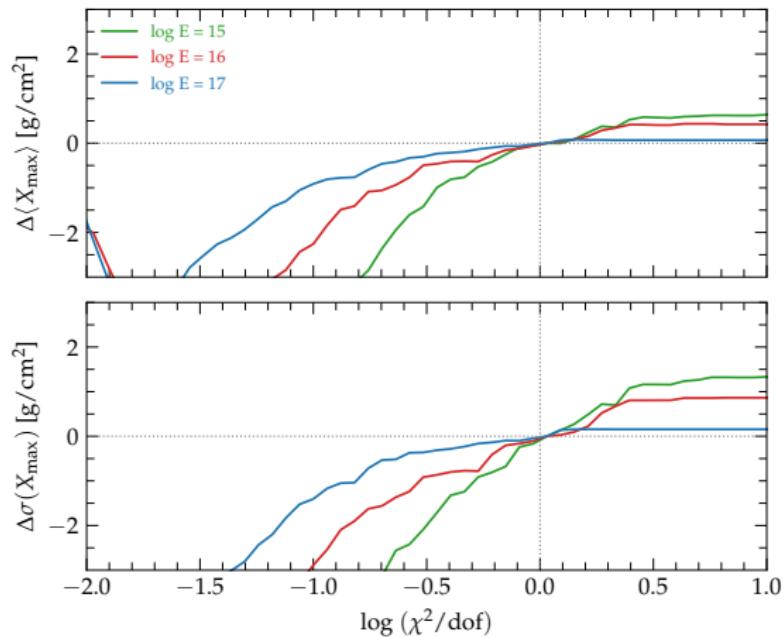


## The Fraction of Anomalous Profiles



- For proton showers at  $10^{15}$  eV the fraction of profiles with p-value  $< 0.05$  is  $\lesssim 2\%$

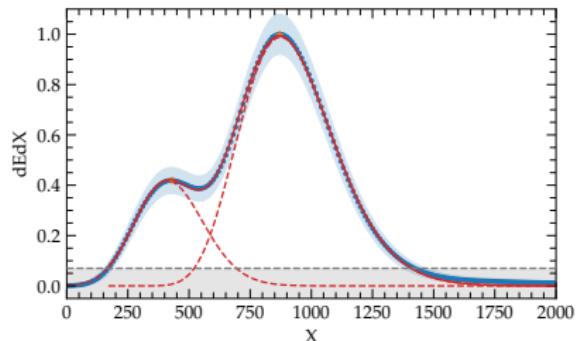
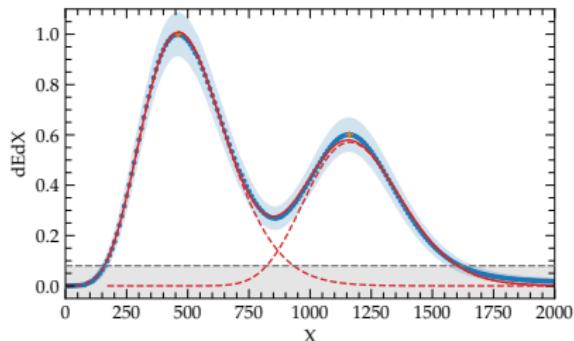
## The impact on $X_{\max}$ statistics



- Upper plot: the mean  $X_{\max}$  as a function of the maximum  $\chi^2/\text{dof}$  allowed
- Lower plot: the  $X_{\max}$  variance as a function of the maximum  $\chi^2/\text{dof}$  allowed
- We conclude that the **maximum** impact of profiles with p-value  $< 0.05$  for proton showers at  $E = 10^{15}$  is  $\lesssim 2 \text{ gr/cm}^2$

# Anomalous Profiles identification

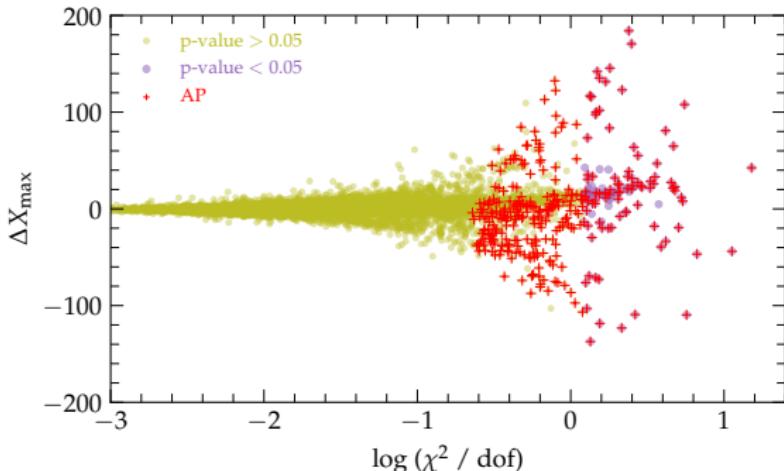
Following Baus+, 2011



**Anomalous Profiles (APs)** are identified when the following three conditions are satisfied:

- ▷ Two G-H functions significantly improve the goodness of the fit ( $\Delta\chi^2 > 25$ )
- ▷ The shower maxima are clearly separated ( $|X_{\max,2} - X_{\max,1}| > 300 \text{ g/cm}^2$ )
- ▷ Both fitted sub-showers have more than 20% of the primary energy

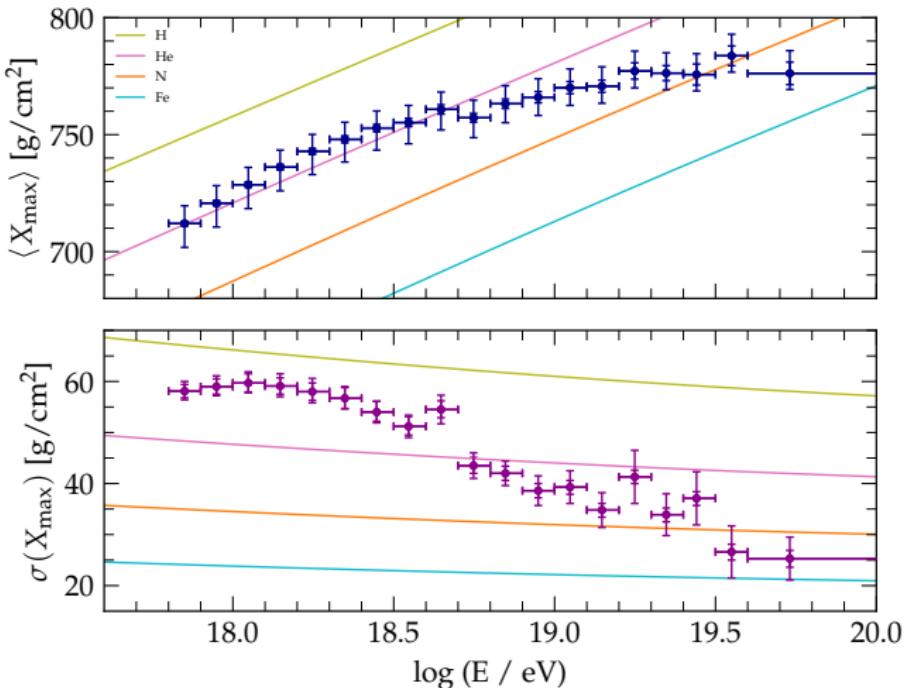
## Anomalous Profiles identification



- ▷  $\Delta X_{\max}$  is the difference of the best-fit  $X_{\max}$  using the G-H fit and the  $X_{\max}$  identified as the maximum of the shower
- ▷  $\Delta X_{\max}$  increases on average for larger  $\chi^2$ 's
- ▷ Identified APs are about 3% for showers started by protons of  $10^{15}$  eV, their impact is reported in the following table:

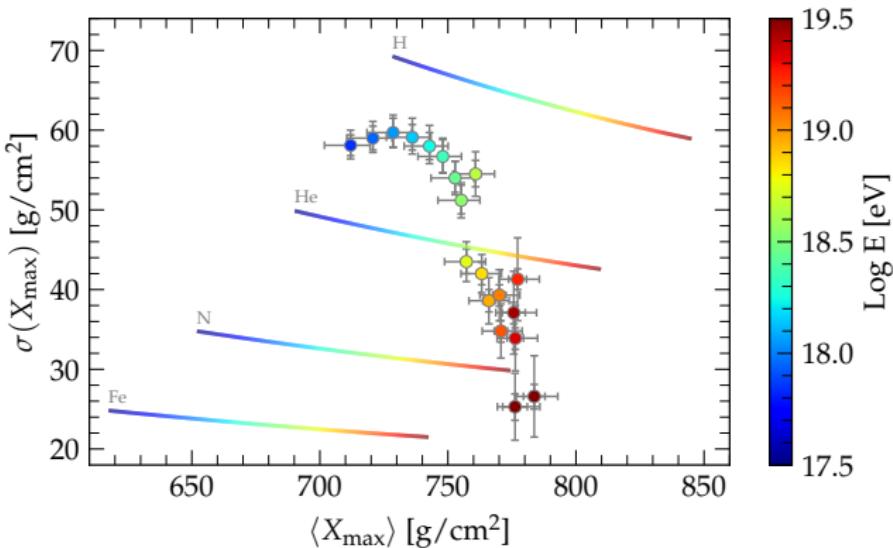
Energy	fraction of AP's	$X_{\max}$	$X_{\max}$ without AP's	$\sigma(X_{\max})$	$\sigma(X_{\max})$ without AP's
15	3.25%	572.2	570.8	88.5	86.8
16	1.34%	635.8	634.8	79.1	78.0
17	0.26%	695.2	694.9	72.5	72.3

## Comparison with Auger data



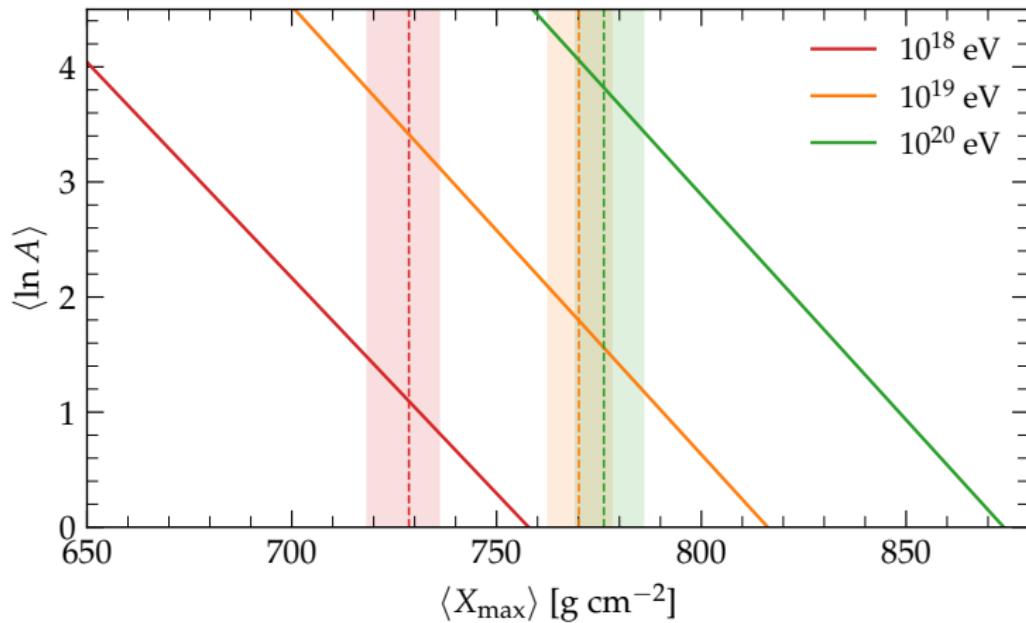
Based on Sibyll-2.3D.  
P.Auger Coll., ICRC 2019, internal use only

## Comparison with Auger data



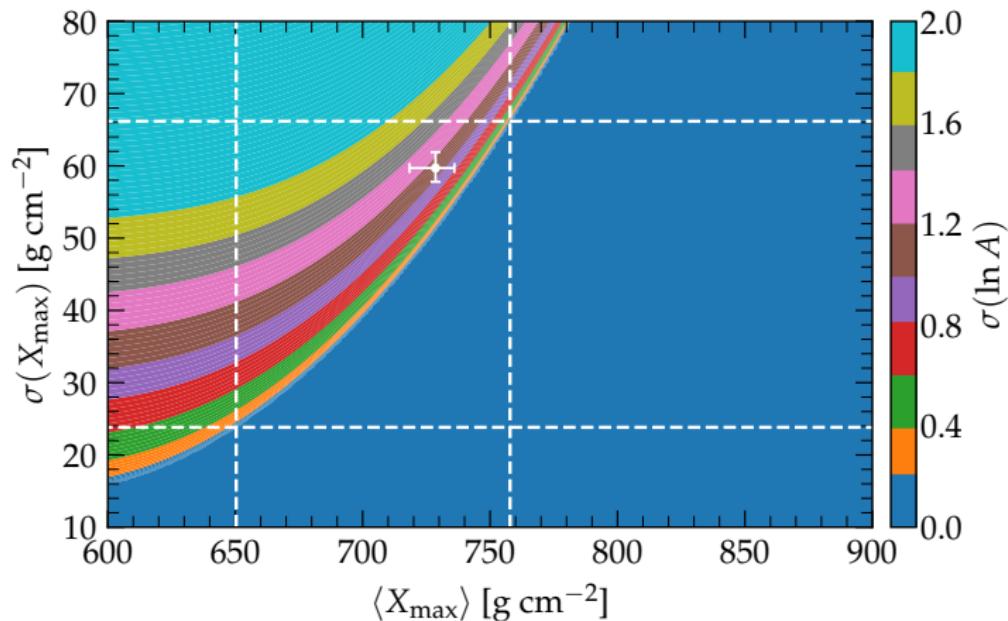
Based on Sibyll-2.3D.  
P.Auger Coll., ICRC 2019, internal use only

## Comparison with Auger data



Based on Sibyll-2.3D.  
P.Auger Coll., ICRC 2019, internal use only

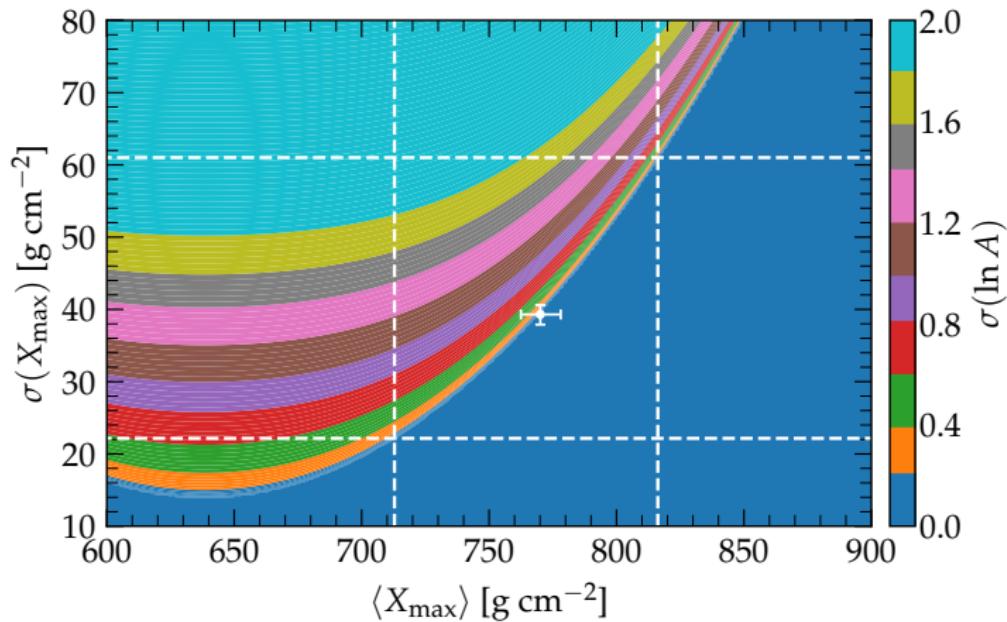
## Comparison with Auger data



$$E = 10^{18} \text{ eV}$$

P.Auger Coll., ICRC 2019, internal use only

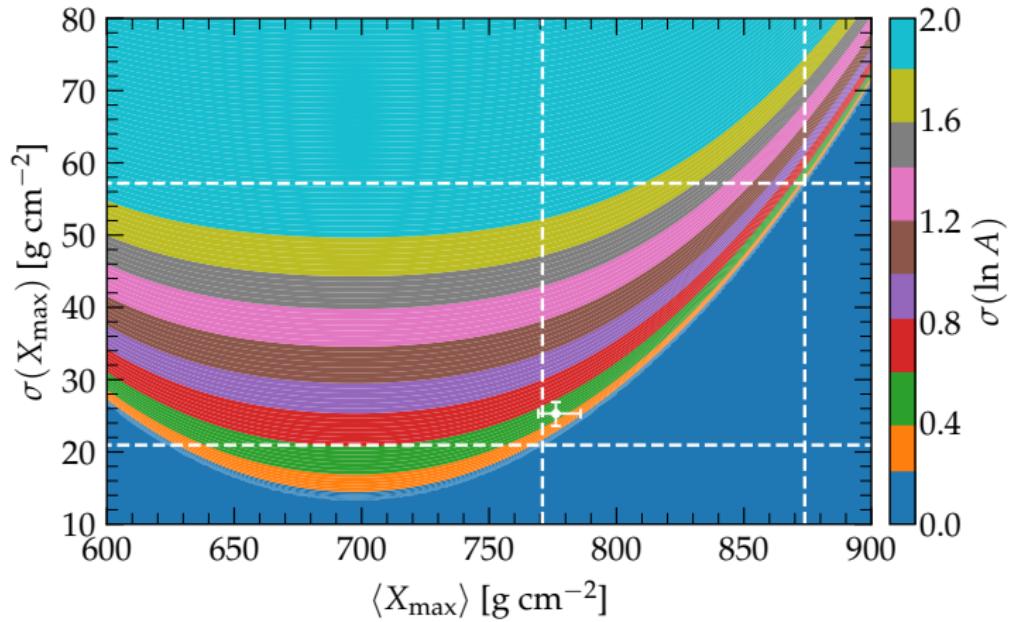
## Comparison with Auger data



$$E = 10^{19} \text{ eV}$$

P.Auger Coll., ICRC 2019, internal use only

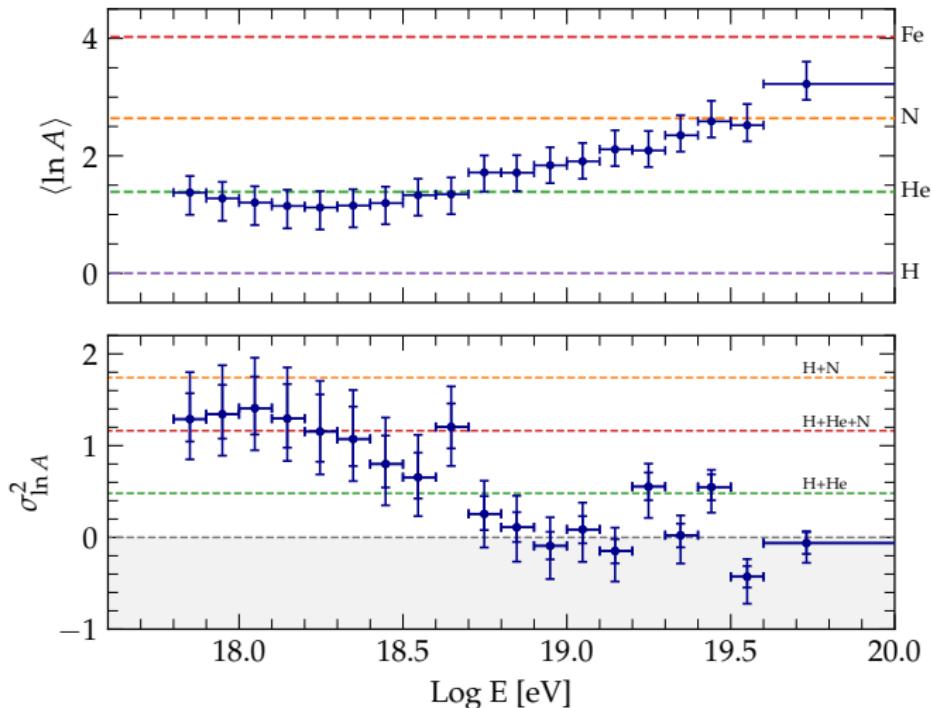
## Comparison with Auger data



$$E = 10^{20} \text{ eV}$$

P.Auger Coll., ICRC 2019, internal use only

## $\ln A$ moments in Auger data



Based on Sibyll-2.3D.

P.Auger Coll., ICRC 2019, internal use only

## Variance of lnA in Auger data

- There are two independent sources of fluctuations: the intrinsic shower-to-shower fluctuations and the lnA dispersion arising from the mass distribution:

$$\sigma^2(x_{\max}) = \langle \sigma_{\text{sh}}^2 \rangle + \left( \frac{d\langle x_{\max} \rangle}{d \ln A} \right)^2 \sigma_{\ln A}^2 = \langle \sigma_{\text{sh}}^2 \rangle + f_E^2 \sigma_{\ln A}^2 \quad (11)$$

- We assume a parameterization for  $\sigma_{\text{sh}}^2$  as follows

$$\sigma_{\text{sh}}^2(\ln A) = \sigma_p^2 [1 + a \ln A + b (\ln A)^2] \quad (12)$$

therefore

$$\langle \sigma_{\text{sh}}^2 \rangle = \sigma_p^2 [1 + a \langle \ln A \rangle + b \langle (\ln A)^2 \rangle] \quad (13)$$

- After substitution

$$\sigma^2(x_{\max}) = \sigma_p^2 [1 + a \langle \ln A \rangle + b \langle (\ln A)^2 \rangle] + f_E^2 \sigma_{\ln A}^2 \quad (14)$$

- We apply the definition

$$\langle (\ln A)^2 \rangle = \sigma_{\ln A}^2 + \langle \ln A \rangle^2 \quad (15)$$

- As a result we arrive at

$$\sigma_{\ln A}^2 = \frac{\sigma^2(x_{\max}) - \sigma_{\text{sh}}^2(\langle \ln A \rangle)}{b \sigma_p^2 + f_E^2} \quad (16)$$

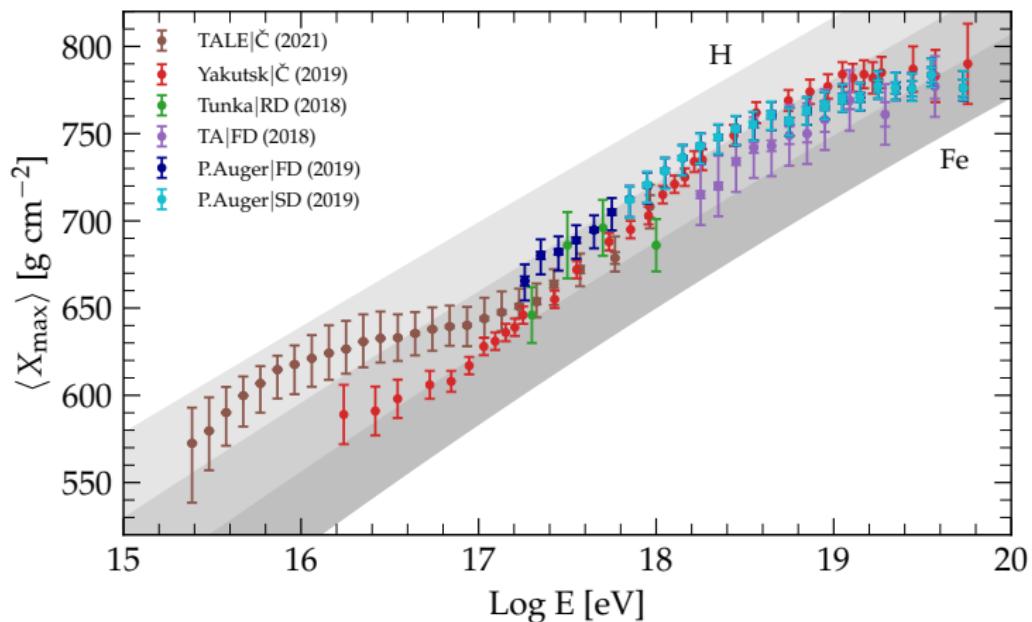
## Measurements of the mean of the Xmax distribution

id	Experiment	Mode	Ref.	Table	comment
54	PAO	FD	ICRC 2019	↙	PAO public data
180	PAO	RD	UHECR 2023	✗	
193	PAO	SD	ICRC 2019	↙	PAO internal data(?)
75	TA	FD	ApJ 2018	↙	Tab. 4 but data corrected as done in Ref. 2(?)
143	TALE	Č	ApJ 2021	↙	Tab. 5 is bias-corrected(?)
178	Tunka	Č	ICRC 2021	✗	
182	Tunka	RD	PRD 2018	↙	Tab. 3
179	Yakutsk	Č	ASR 2019	↙	Tab. 2+3
183	Yakutsk	RD	ICRC 2019	✗	
181	LOFAR	radio	PRD 2021	✗	
832	Hi-Res/Mia		ApJ 2001	✗	

Datasets cited in the Snowmass paper.

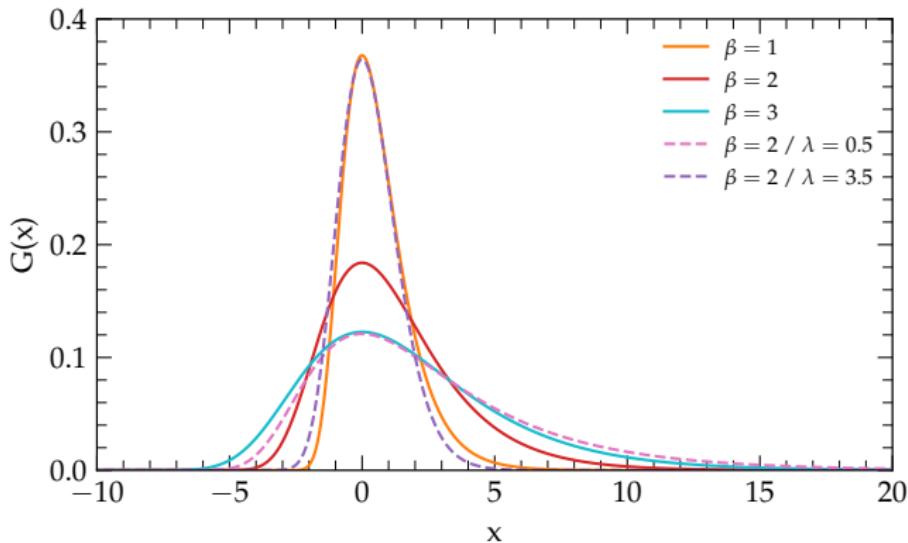
## Mean InA in other datasets

Work in progress...



Based on Sibyll-2.3D.

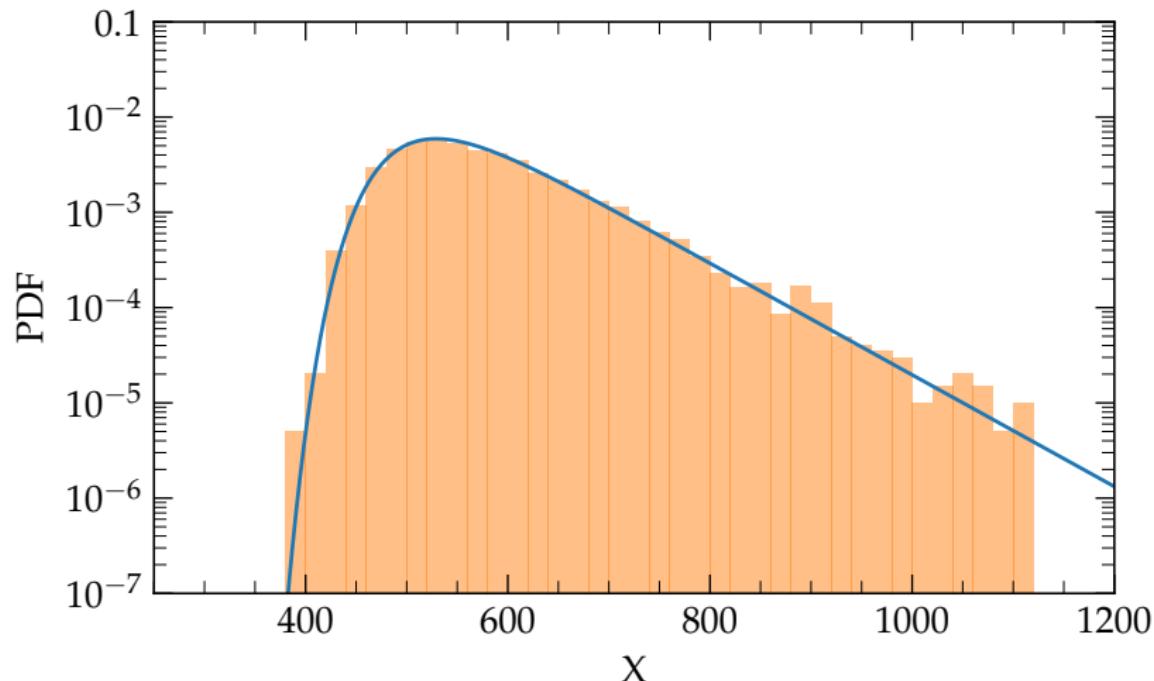
## Gumbel function



$$z = \frac{x - \mu}{\beta} \quad , \quad G(z) = \frac{1}{\beta} \frac{\lambda^\lambda}{\Gamma(\lambda)} e^{-\lambda(z+e^{-z})}$$

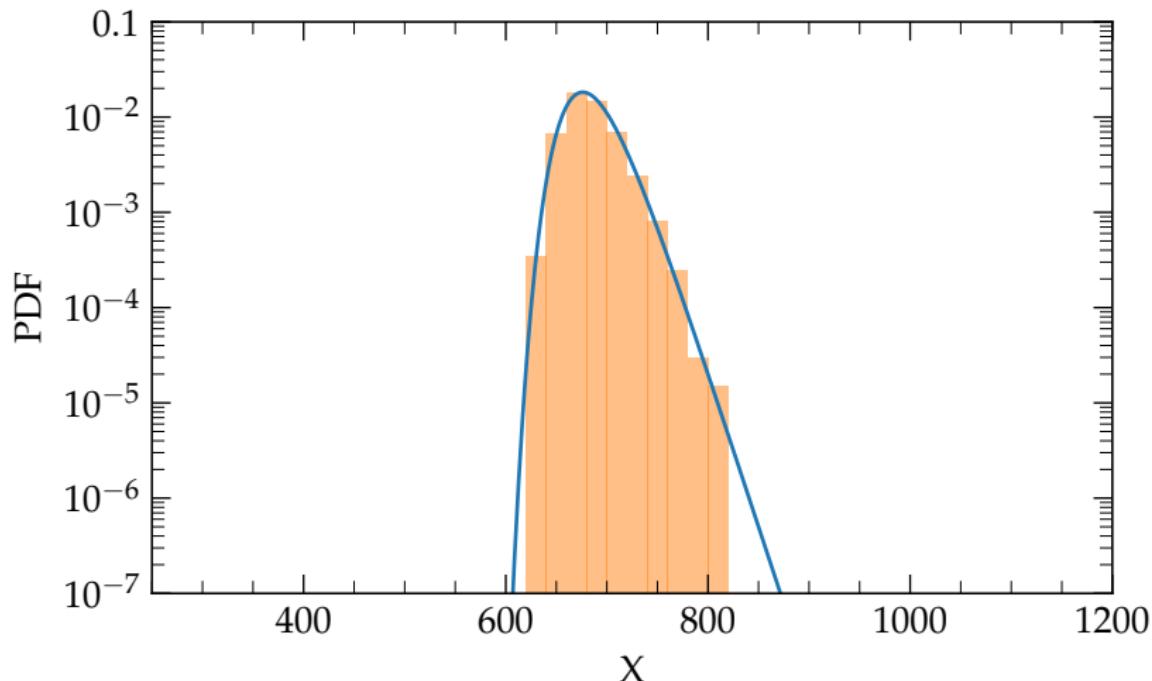
Goal: parametrize  $\mu = \mu(x, y)$ ,  $\beta = \beta(x, y)$ ,  $\lambda = \lambda(x, y)$

## Gumbel function



Mass: H, Energy:  $10^{16}$  eV

## Gumbel function



Mass: H, Energy:  $10^{20}$  eV

## Parametrizations

- ▷  $\mu$  as a function of  $x \equiv \log(E/E_0)$  and  $y \equiv \ln A$

$$p_0^\mu(y) = a_0^\mu + a_1^\mu y + a_2^\mu y^2$$

$$p_1^\mu(y) = b_0^\mu + b_1^\mu y + b_2^\mu y^2$$

$$p_2^\mu(y) = c_0^\mu + c_1^\mu y + c_2^\mu y^2$$

$$\mu(x, y) = p_0^\mu(y) + p_1^\mu(y)x + p_2^\mu(y)x^2$$

- ▷  $\beta$  as a function of  $x \equiv \log(E/E_0)$  and  $y \equiv \ln A$

$$p_0^\beta(y) = a_0^\beta + a_1^\beta y + a_2^\beta y^2$$

$$p_1^\beta(y) = b_0^\beta + b_1^\beta y + b_2^\beta y^2$$

$$\beta(x, y) = p_0^\beta(y) + p_1^\beta(y)x + p_2^\beta(y)x^2$$

- ▷  $\lambda$  as a function of  $x \equiv \log(E/E_0)$  and  $y \equiv \ln A$

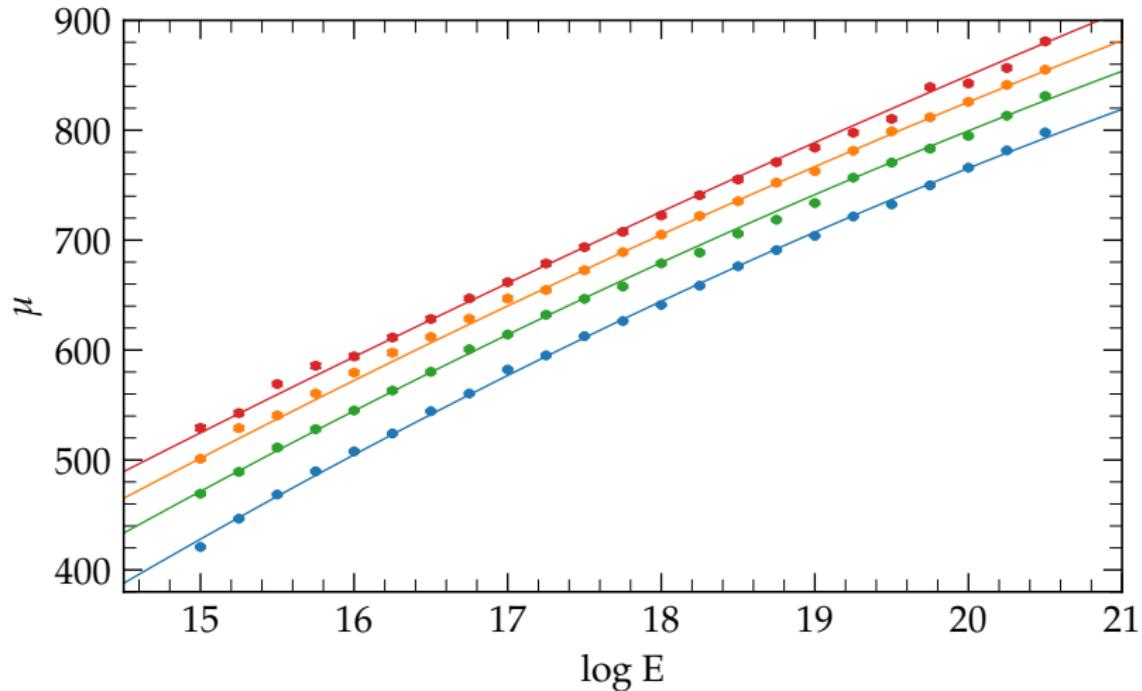
$$p_0^\lambda(y) = a_0^\lambda + a_1^\lambda y + a_2^\lambda y^2$$

$$p_1^\lambda(y) = b_0^\lambda + b_1^\lambda y + b_2^\lambda y^2$$

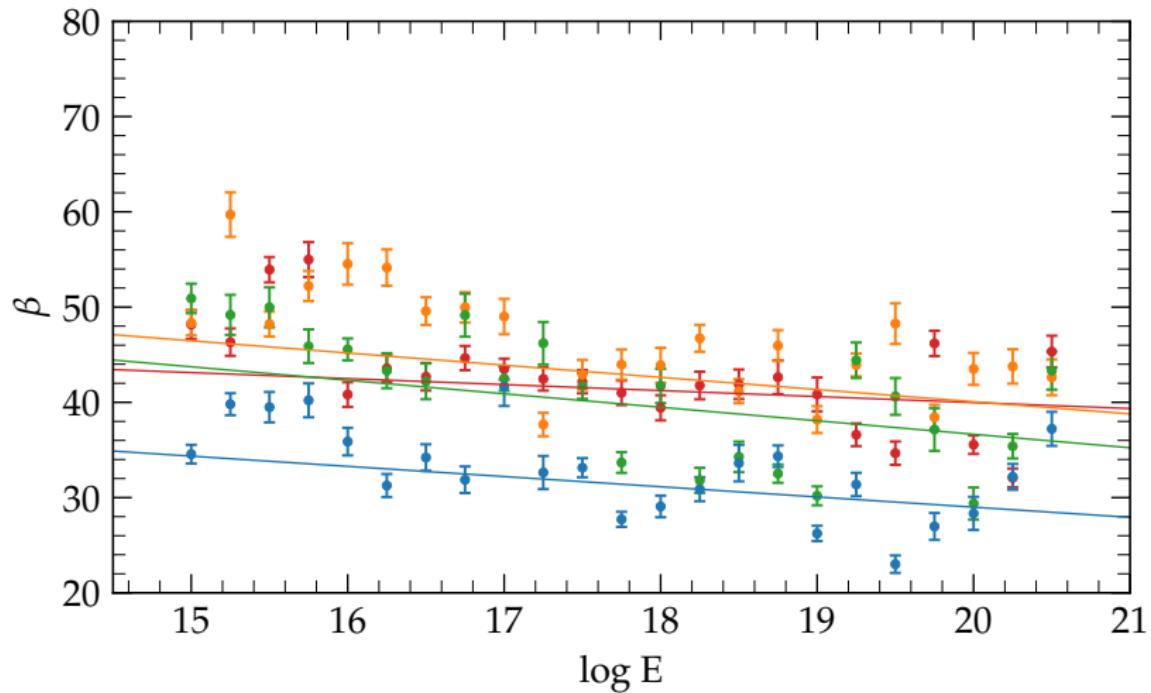
$$\lambda(x, y) = p_0^\lambda(y) + p_1^\lambda(y)x + p_2^\lambda(y)x^2$$

- ▷ 21 free parameters. Cross fingers...

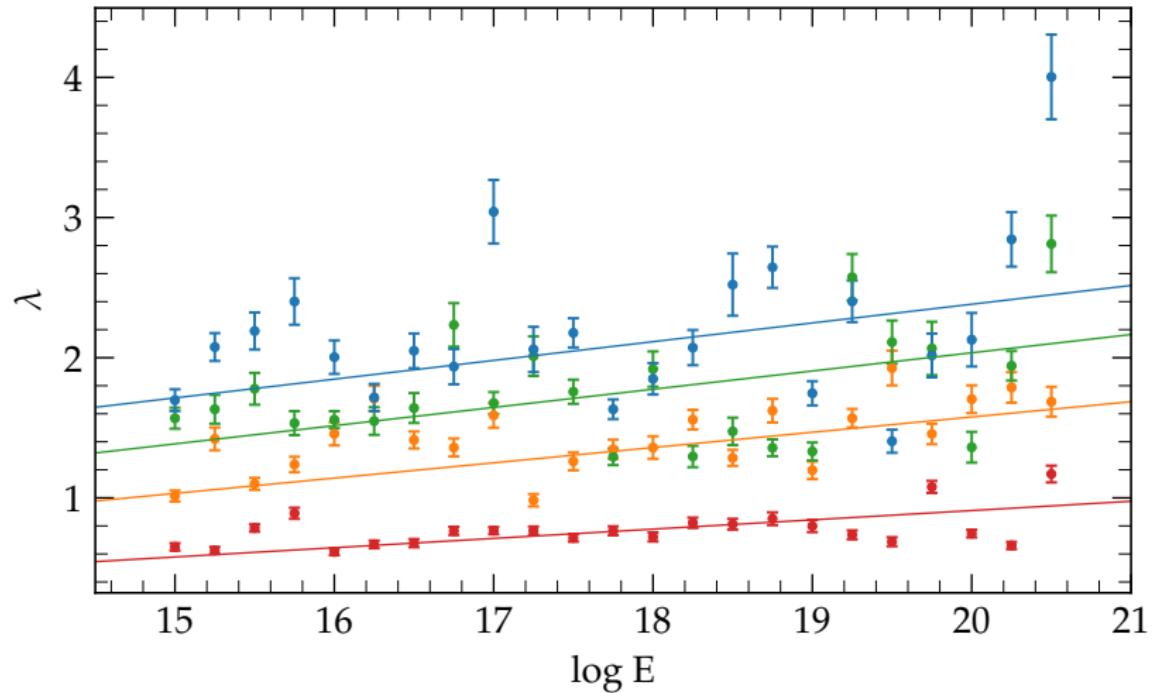
## Gumbel function



## Gumbel function



## Gumbel function



## Next Steps

- ▷ Calculating the parametrization parameters for 4 distinct HIMs → assessing the variations among these models.
- ▷ We plan to augment our dataset of CONEX simulations by 10x. This increase in data volume aims to minimize the scatter in Gumbel parametrizations.
- ▷ We are actively testing additional parametrization methods rather than Gumbel functions (see, e.g., Luan B. Arbeletche, Vitor de Souza, Astroparticle Physics 116, 2020, 102389)
- ▷ We aim at providing parametrizations and simulated  $X_{\max}$  templates public online on ZENODO