# Adposition and Case Supersenses v2.4: Guidelines for English

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## Abstract

This document offers a detailed linguistic description of SNACS (Semantic Network of Adposition and Case Supersenses; Schneider et al., 2018), an inventory of 50 semantic labels ("supersenses") that characterize the use of adpositions and case markers at a somewhat coarse level of granularity, as demonstrated in the STREUSLE corpus (https://github.com/nert-gu/streusle/; version 4.2 tracks guidelines version 2.4). Though the SNACS inventory aspires to be universal, this document is specific to English; documentation for other languages will be published separately.

Version 2 is a revision of the supersense inventory proposed for English by Schneider et al. (2015, 2016) (henceforth "v1"), which in turn was based on previous schemes. The present inventory was developed after extensive review of the v1 corpus annotations for English, plus previously unanalyzed genitive case possessives (Blodgett and Schneider, 2018), as well as consideration of adposition and case phenomena in Hebrew, Hindi, Korean, and German. Hwang et al. (2017) present the theoretical underpinnings of the v2 scheme. Schneider et al. (2018) summarize the scheme, its application to English corpus data, and an automatic disambiguation task.

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## 1 Overview

This document details version 2 of a scheme for annotating English prepositions and related grammatical markers with semantic class categories called *supersenses*. The motivation and general principles for this scheme are laid out in publications cited in the abstract. This document focuses on the technical details, giving definitions, descriptions, and examples for each supersense and a variety of prepositions and constructions that occasion its use.

## 1.1 What counts as an adposition?

"Adposition" is the cover term for prepositions and postpositions. Briefly, we consider an affix, word, or multiword expression to be adpositional if it:

- mediates a semantically asymmetric figure–ground relation between two concepts, and
- is a grammatical item that can mark an NP. We annotate *tokens* of these items even where they mark clauses (as a subordinator) or are intransitive. We also include always-intransitive grammatical items whose core meaning is spatial and highly schematic, like **together**, **apart**, and **away**.

Inspired by Huddleston and Pullum (2002), the above criteria are broad enough to include a use of a word like **before** whether it takes an NP complement, takes a clausal complement (traditionally considered a subordinating conjunction), or is intransitive (traditionally considered an adverb):

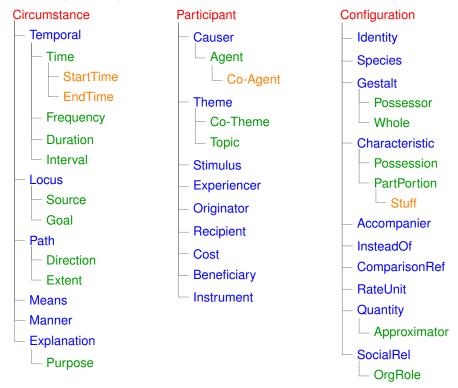
- (1) a. It rained **before** the party. [NP complement]
  - b. It rained **before** the party started. [clausal complement]
  - c. It rained **before**. [intransitive]

<sup>&</sup>lt;sup>1</sup>Usually a coordinating conjunction, **but** only receives a supersense when it is prepositional, as described under PARTPORTION.

Even though they are not technically adpositions, we also apply adposition supersenses to possessive case marking (the clitic 's and possessive pronouns), and some uses of the infinitive marker to, as detailed in §6.

## 1.2 Inventory

The v2 hierarchy is a tree with 50 labels. They are organized into three major subhierarchies: CIRCUMSTANCE (18 labels), PARTICIPANT (14 labels), and CONFIGURATION (18 labels).



- Items in the CIRCUMSTANCE subhierarchy are prototypically expressed as adjuncts of time, place, manner, purpose, etc. elaborating an event or entity.
- Items in the Participant subhierarchy are prototypically entities functioning as arguments to an event.
- Items in the Configuration subhierarchy are prototypically entities or properties in a static relationship to some entity.

## 1.3 Limitations

This inventory is only designed to capture semantic relations with a figure–ground asymmetry. This excludes:

- The semantics of coordination, where the two sides of the relation are on equal footing (see §7.2).
- Aspects of meaning that pertain to information structure, discourse, or pragmatics (see §7.1).

Moreover, this inventory only captures semantic distinctions that tend to correlate with major differences in syntactic distribution. Thus, while there are labels for locative (Locus), ablative (Source), allative (Goal), and Path semantics—and analogous temporal categories—finer-grained details of spatiotemporal meaning are for the most part lexical (viz.: the difference between *in the box* and *on the box*, or temporal **at, before, during**, and **after**) and are not represented here.<sup>2</sup>

## 1.4 Major changes from v1

Changes that affect only a single label are explained below the relevant v2 labels.

- **Removed multiple inheritance.** The v1 network was quite tangled. The structure is greatly simplified by analyzing some tokens as *construals* (Hwang et al., 2017).
- Revised and expanded the Configuration subhierarchy.
- Removed the locative concreteness distinction. In v1, labels LOCATION, INITIALLOCATION, and DESTINATION were reserved for concrete locations, and the respective supertypes LOCUS, SOURCE, and GOAL used to cover abstract locations. This distinction was found to be difficult and without apparent relevance to preposition system of English or the other languages considered. The concrete labels were thus removed.
- Removed the location/state/value distinction. The v1 scheme attempted to make an elaborate distinction between values, states, and other kinds of abstract locations. However, the English preposition system does not seem particularly sensitive to these distinctions. (We are not aware of any prepositions that mark primarily values or primarily states; rather, productive metaphors allow locative prepositions to be extended to cover these, and there are cases where teasing apart abstract location vs. state vs. value is difficult.) Therefore, STATE, STARTSTATE, ENDSTATE, VALUE,

<sup>&</sup>lt;sup>2</sup>This is not to claim that all members of a category can be grammatical in all the same contexts: **on** *Saturday* and **at** 5:00 are both labeled TIME, though the prepositions are by no means interchangeable in American English. We are simply asserting that the different constructions specific to days of the week versus times of the day are minor aspects of the grammar of English.

- and VALUECOMPARISON were removed.
- Revised the treatment of comparison and related notions. Removed Comparison/Contrast, Scalar/Rank, ValueComparison; moved Approximator under Quantity.
- Greatly simplified the PATH subhierarchy. See §2.3.
- Simplified the TEMPORAL subhierarchy. See §2.1.
- **Removed** ACTIVITY (mostly replaced with CIRCUMSTANCE and TOPIC), RE-CIPROCATION (mostly merged with EXPLANATION), and MATERIAL (merged with SOURCE).
- Removed abstract labels Affector, Undergoer, and Place.

## 1.5 Changes from earlier versions of this document

- Version 2.4 (January 2, 2020):
  - Overhauled definition and criteria for Purpose versus other infinitivals. Updated ComparisonRef, §6.4: Infinitive Clauses, §7.3: OTHER INFINITIVE (`i) accordingly.
  - New section: §6.7: Fixed expressions considered non-adpositional
  - Several more possessive clarifications under GESTALT, POSSESSOR, §6.1: Genitives/Possessives, §7.4: OPAQUE POSSESSIVE SLOT IN ID-IOM (\$) (GitHub issues #32, #33, #34, #35, #37, #38)
  - Several more verbs that select for prepositions under THEME (#4, #15, #22, #24, #28, #42) and SPECIES (#39)
  - Added an example of an informational Locus (#14).
- Version 2.3 (August 18, 2019):
  - Added §6.5.1: PP Idioms vs. Multiword Prepositions.
  - Possessor, Possession: Substantially revised to clarify their scope.
  - Added some difficult possessive examples in Gestalt, and elsewhere for Agent → Gestalt, Theme → Gestalt, Social Rel → Gestalt, and Experiencer → Gestalt construals
  - Possession, Theme: Added discussion of transfer, goods, and services. Goods and other transferred items are now Possession, while services remain as Theme.
  - Cost: Clarified the explanation of when money should be treated like any other possession.
  - Reclassified adverbial and predicative entity-descriptions (depictives, shapes, states) from MANNER to CHARACTERISTIC, and revised their definitions accordingly.
  - Specified that TEMPORAL should be used directly for aspectual prepositions (previously it was an abstract category: §5.1).

- Moved journey-type PPs from Manner to CIRCUMSTANCE.
- MEANS: Revised the definition and clarified relationship to MANNER.
- SOCIALREL: Reworded the definition and added a kinship example for SOCIALREL→CHARACTERISTIC.
- Clarified that EXPERIENCER applies to bodily *sensations* (not physical bodily changes), and added cognition examples.
- Changed the treatment of replacees from INSTEADOF to CO-THEME.
- List PP idioms in the index.
- PATH: Clarified that motion events can be located as points (LOCUS), and relocated (138) from MANNER.
- Rectified inconsistent treatment of a family member in relation to the family (now always ORGROLE).
- Organizational collective members: now OrgRole→PartPortion, formerly OrgRole→Characteristic.
- Added dotted version number to title and adjusted author list.
- *Version 2.2 (July 2, 2018):* 
  - Policy changes reflected in STREUSLE 4.0:
    - \* Rewrote §6.1: Genitives/Possessives and updated corresponding examples to reflect a clarified policy on possessive construals. Moved wearer from GESTALT to POSSESSOR and attire from Characteristic to Possession.
    - \* Added §6.2: Passives and updated corresponding examples.
  - Policy changes that are reflected in STREUSLE 4.1:
    - \* In \$6.3.1, changed the function of the first **as** in the **as-as** construction to EXTENT (was IDENTITY).
    - \* Changed the function of ORIGINATOR possessives to GESTALT (was POSSESSOR).
    - \* Expanded documentation and removed inconsistencies around containers and collective nouns (see Stuff, Quantity, Characteristic, OrgRole).
    - \* Specified MANNER→COMPARISONREF for certain adverbial uses of like.
    - \* Revised the definition of RECIPIENT to relax the requirement of animacy.
    - \* Mentioned conditions as a subclass of CIRCUMSTANCE.
    - \* Renamed Part/Portion to PartPortion to avoid technical complications of the slash.
  - Added §5: Constraints on Role and Function Combinations.
  - Added §6.6: Ages.
  - A few additional examples and fixes.

- Added an index of construals by function.
- Changes from v1 had neglected to mention the removal of AFFECTOR, UNDERGOER, PLACE, ELEMENTS, and SUPERSET (thanks to Ken Litkowski for pointing this out).
- Version 2.1 (January 16, 2018):
  - Broadened and clarified DEICTICTIME, moved it up a level in the hierarchy, and renamed it to Interval. Clarified the distinction between Interval and Duration.
  - Clarified LOCUS, SOURCE, GOAL, PATH, and DIRECTION, especially with regard to (i) intransitive prepositions, (ii) distance measurements, and (iii) inherent parts.
  - Significantly expanded the scope of MANNER to cover states of entities and depictives.
  - Clarified like as COMPARISONREF with regard to categories and sets, and PARTPORTION with regard to elements and exceptions.
  - Clarified with in regard to TOPIC and STIMULUS.
  - Added discussion of the ambiguity of temporal over: Duration versus Time → Duration.
  - Extensively clarified Purpose and Beneficiary, and their relationship to ComparisonRef, Recipient, Experiencer, and Stimulus.
  - Clarified that goods and services are THEME; expanded on CO-THEME examples.
  - Frequency used for an iteration.
  - Various selectional verbs and miscellaneous constructions.
  - Added examples of 's possessive/genitive marking.
  - Added section for special syntactic constructions (§6).
  - Added special labels (§7).
  - Added an index of adpositions and supersenses, and an index of construals.
  - Revised the title, abstract, and introductory material.
- Version 2.0 (April 7, 2017): See §1.4

## 2 CIRCUMSTANCE

Macrolabel for labels pertaining to space and time, and other relations that are usually semantically non-core properties of events.

CIRCUMSTANCE is used directly for:

#### Contextualization

- (2) **In** arguing for tax reform, the president claimed that loopholes allow big corporations to profit from moving their headquarters overseas.
- (3) You crossed the line **in** sharing confidential information. [but see (231c) under TOPIC, which is syntactically parallel]
- (4) I found out **in** our conversation that she speaks 5 languages.
- (5) CIRCUMSTANCE → LOCUS:
  - a. I haven't seen them in that setting.
  - b. In that case, I wouldn't worry about it.
- (6) We have to keep going **through** all these challenges. [metaphoric motion] (CIRCUMSTANCE→PATH)
- (7) Bipartisan compromise is unlikely **with** the election just around the corner.
- (8) **As** we watched, she transformed into a cat. ['while', 'unfolding at the same time as'; not simply providing a 'when'—contrast (25) under TIME]

For these cases, the preposition helps situate the background context in which the main event takes place. The background context is often realized as a subordinate clause preceding the main clause. It may also be realized as an adjective complement:

- (9) a. My tutor was helpful **in** giving concrete examples and exercises.
  - b. You were correct in answering the question.

your answer

Relatedly, we use CIRCUMSTANCE to analyze *involved* in:

- (10) a. I was involved in a car accident. (CIRCUMSTANCE)
  - b. Many steps are involved **in** the process of buying a home. (WHOLE→CIRCUMSTANCE)

## • Setting events

(11) We are having fun **at** the party. (CIRCUMSTANCE→LOCUS) **on** vacation

The object of the preposition is a noun denoting a containing event; it thus may help establish the place, time, and/or reason for the governing scene, but is not specifically providing any one of these, despite the locative preposition. These can be questioned (at least in some contexts) with *Where?* or *When?*. (11) entails (12):

(12) We are **at** the party. (CIRCUMSTANCE → LOCUS) **on** vacation

which may be responsive to the questions *Where are you?* and *What are you doing?*.<sup>3</sup> Journey-type PPs are treated similarly:

(13) They are on a journey (CIRCUMSTANCE → LOCUS)
on\_ the \_way
on\_ their`s \_way

#### Occasions

- (14) I bought her a bike **for** Christmas.
- (15) I had peanut butter **for** lunch.

These simultaneously express a TIME and some element of causality similar to PURPOSE. But the PP is not exactly answering a *Why?* or *When?* question. Instead, the sentence most naturally answers a question like *On what occasion was X done?* or *Under what circumstances did X happen?*.

- Any other descriptions of event/state properties that are insufficiently specified to fall under spatial, temporal, causal, or other subtypes like MANNER. E.g.:
  - (16) Let's discuss the matter **over** lunch. [compare (23)]

## Conditions

(17) You can leave **as\_long\_as** your work is done. provided

(18) Whether you can leave depends **on** whether your work is done. is subject **to** 

<sup>&</sup>lt;sup>3</sup>When the object of the preposition is not a (dynamic) event, as with *We are at odds/on medication*, Characteristic → Locus usually applies: see discussion of state PPs at Characteristic.

## 2.1 TEMPORAL

Supercategory for temporal descriptions: **when**, **for how long**, **how often**, **how many times**, etc. something happened or will happen.

Applies directly only to event descriptors with an aspectual quality that do not fit any of the subcategories:

- (19) The party is **over**. (= complete) (TEMPORAL)
- (20) The plans are in progress. (Temporal  $\sim$  Locus)

on hold

- (21) a. The party tomorrow is **on**. (= still scheduled to happen in the future) (TEMPORAL \( \subseteq LOCUS \) [see discussion at CHARACTERISTIC]
  - b. The party tomorrow is **off**. (= canceled) (TEMPORAL→LOCUS)

History. The v1 category AGE (e.g., *a child of five*) was a mutual subtype of TEMPORAL and ATTRIBUTE. Being quite specific and rare, for v2 it was removed; see §6.6. Combined with the changes to TIME subcategories (see below), this reduced by 3 the number of labels in the TEMPORAL subtree, bringing it to 7.

## 2.1.1 **TIME**

**When** something happened or will happen, in relation to an explicit or implicit reference time or event.

(22) We ate  $\,$  in the afternoon  $\,$  during the afternoon  $\,$  at 2:00  $\,$  on Friday

(23) Let's talk **at** lunch. [compare (16)] **during** 

For a containing time period or event, **during** can be used and is unambiguously TIME—unlike **in**, **at**, and **on**, which can also be locative.

(24) a. They will greet us **on** our arrival.

upon

b. I succeeded **on** the fourth attempt. [contrast *on occasion*, (48)] several occasions

<sup>&</sup>lt;sup>4</sup>See §5.4 regarding the use of locational metaphors for temporal relations.

- (25) **As** meaning 'when' (contrast (8) under CIRCUMSTANCE):
  - a. The lights went out as I opened the door.
  - b. A bee stung me **as** I was eating lunch.
- (26) I will finish after tomorrow.

lunch

you (do)

(27) I will finish **by** tomorrow.

lunch

(28) I will contact you as\_soon\_as it's ready.

once

The preposition **since** is ambiguous:

- (29) ['after'] I bought a new car—that was **since** the breakup. (TIME)
- (30) ['ever since'] I have loved you since the party where we met. (Start Time)
- (31) ['because'] I'll try not to whistle **since** I know that gets on your nerves. (EXPLANATION)

Simple TIME is also used if the reference time is implicit and determined from the discourse:

(32) We broke up last year, and I haven't seen her **since**. [since we broke up]

However, TIME→INTERVAL is used for adpositions whose complement (object) is the amount of time between two reference points:

- (33) We left the party **after** an hour. [an hour after it started] (TIME→INTERVAL)
- (34) We left the party an hour **ago**. [an hour before now] (TIME→INTERVAL)

The preposition **over** is also ambiguous:

- (35) The deal was negotiated **over** (the course of) a year. (DURATION)
- (36) He arrived in town **over** the weekend. (TIME→DURATION)

See discussion under DURATION.

If the scene role is TIME, the PP can usually be questioned with *When?*. TIME is also used for special constructions for expressing clock times, e.g. identifying a time via an offset:

(37) a. The alarm rang at a quarter **after** 8. (TIME)

half past

b. The alarm rang at a quarter to 8. (TIME→GOAL)

- c. The alarm rang at a quarter of 8.5 (TIME $\sim$ SOURCE)
- (38) The alarm rang 15 minutes **before** 8. (TIME) ["15 minutes" modifies the PP]

*History.* In v1, point-like temporal prepositions (**at**, **on**, **in**, **as**) were distinguished from displaced temporal prepositions (**before**, **after**, etc.) which present the two times in the relation as unequal. RelativeTime inherited from Time and was reserved for the displaced temporal prepositions, as well as subclasses StartTime, EndTime, DeicticTime, and ClockTimeCxn.

For v2, RelativeTime was merged into Time: the distinction was found to be entirely lexical and lacked parallelism with the spatial hierarchy. ClockTimeCxn was also merged with Time, the usages covered by the former (expressions of clock time like *ten to seven*) being exceedingly rare and not very different semantically from prepositions like **before**. DeicticTime became Interval.

## **STARTTIME**

When the event denoted by the governor begins.

Prototypical prepositions are **from** and **since** (but see note under TIME about the ambiguity of **since**):

- (39) a. The show will run **from** 10 a.m. to 2 p.m.
  - b. a document dating **from** the thirteenth century

Note that simple TIME is used with verbs like *start* and *begin*: the event directly described by the PP is the starting, not the thing that started.

(40) The show will start at 10 a.m. (TIME)

#### **ENDTIME**

When the event denoted by the governor finishes.

Prototypical prepositions are to, until, till, up\_to, and through:

- (41) The show will run from 10 a.m. to 2 p.m.
- (42) Add the cider and boil **until** the liquid has reduced by half.
- (43) If we have survived **up\_to** now what is stopping us from surviving in the future?

 $<sup>^5</sup>$ In some dialects, this is an alternate way to express the same meaning as (37b). It seems that **to** and **of** construe the same time interval from opposite directions.

(44) They will be in London from March 24 **through** May 7.

Note that simple Time is used with verbs like *end* and *finish*: the event directly described by the PP is the ending, not the thing that ended.

(45) The show will end at 2 p.m. (TIME)

## 2.1.2 FREQUENCY

**At what rate** something happens or continues, or the instance of repetition that the event represents.

- (46) Guests were arriving at a steady clip.
- (47) The risk becomes worse **by** the day.
- (48) I see them  $on_{occasion}$  . [contrast  $on_{occasion}$ , (24b)]  $from_{time_{occasion}}$
- (49) I see them on\_a\_ daily \_basis. (Frequency→Manner) [cf. (174a)]
- (50) I keep getting the same message **over** and **over** again.

FREQUENCY is also used when an iteration is specified with an obligatory ordinal number modifier. If the ordinal number is optional, the preposition presumably receives another label:

- (51) a. The camcorder failed **for** the third time. (FREQUENCY)
  - b. I skipped lunch for three days in Characteristic  $\sim$  Locus a row. (Frethe third day QUENCY) [see (360c)]
  - c. We arrived for our (third) visit. (PURPOSE)

Contrast: RATEUNIT

## 2.1.3 **DURATION**

Indication of **how long** an event or state lasts (with reference to an amount of time or time period/larger event that it spans).

(52) I walked for 20 minutes.

#ir

(53) I walked to<sub>GOAL</sub> the store in/within 20 minutes. [see (65a)]

#for

(54) I walked a mile in/within 20 minutes.

#for

## (55) I mowed the lawn **for** an hour. **in/within**

Note that the presence of a goal (53) or extent of an event (*a mile* in (54)) can affect the choice Duration preposition, blocking **for**. (55) shows a direct object which can be interpreted either as something against which partial progress is made—licensing **for** and the inference that some of the lawn was not reached—or as defining the complete scope of progress, licensing **in/within** and the inference that the lawn was covered in its entirety.

The object of a DURATION preposition can also be a reference event or time period used as a yardstick for the extent of the main event:

- (56) I walked **for** the entire race. [the entire time of the race]
- (57) I walked throughout the night.

through

well into

(58) The deal was negotiated **over** (the course of) a year.

But **over** can also mark a time period that *contains* the main event and is larger than it. While the path preposition **over** highlights that the object of the preposition extends over a period of time, it does not require that the main event extend over a period of time:

(59) He arrived in town **over** the weekend. (TIME→DURATION)

Note that **during** can be substituted for **over** in (59) but not (58).

Some **for**-Durations measure the length of the specified event's *result*:

- (60) a. John went to the store **for** an hour. [he spent an hour at the store, not an hour going there]<sup>6</sup>
  - b. John left the party **for** an hour. [he spent an hour away from the party before returning]

A DURATION may be a stretch of time in which a simple event is repeated iteratively or habitually:

- (61) a. I lifted weights **for** an hour. [many individual lifting acts collectively lasting an hour]
  - b. I walked to the store **for** a year. [over the course of a year, habitually went to the store by walking]

See further discussion at INTERVAL.

<sup>&</sup>lt;sup>6</sup>This stands in contrast with *John walked to the store for an hour*, where the most natural reading is that it took an hour to get to the store (Chang et al., 1998, p. 230).

#### 2.1.4 INTERVAL

A marker that points retrospectively or prospectively in time, and if transitive, marks the time elapsed between two points in time.

The clearest example is **ago**, which only serves to locate the TIME of some past event in terms of its distance from the present:

(62) I arrived a year **ago**. (TIME→INTERVAL) [points backwards from the present: before now]

The most common use of Interval is in the construal Time Interval: the time of an event is described via a temporal offset from some other time.

Another retrospective marker, **back**, can be transitive (63), or can be an intransitive modifier of a Time PP (64). Plain INTERVAL is used in the latter case:

- (63) I arrived a year back. (TIME→INTERVAL)
- (64) I arrived back in TIME June. (INTERVAL)

(This category is unusual in primarily marking a construal for a different scene role. But this seems justified given the restrictive set of English temporal prepositions that can appear with a temporal offset, and the distinct ambiguity of in. Interval is designed as the temporal counterpart of Direction, which can construe static distance measures; in fact, TimeDirection was considered as a possible name, but Interval seemed more straightforward for the most frequent class of usages.)

Other adpositions can also take an amount of intervening time as their *complement* (object):

- (65) I will eat in 10 minutes.
  - a. ['for no more than 10 minutes' reading]: DURATION<sup>8</sup>
  - b. ['10 minutes from now' reading]: TIME→INTERVAL<sup>9</sup>

<sup>&</sup>lt;sup>7</sup>While *a while back* and *a few generations back* are generally accepted, the use of back rather than **ago** for nearer and more precise temporal references, e.g. *10 minutes back*, appears to be especially associated with Indian English (Yadurajan, 2001, p. 7).

<sup>&</sup>lt;sup>8</sup>This usage of **in** has been classified under the terms *frame adverbial* (Pustejovsky, 1991) and *span adverbial* (Chang et al., 1998).

<sup>&</sup>lt;sup>9</sup>This usage of **in**, as well as **ago** (62) and **back** (63, 64), are *deictic*, i.e., they are inherently relative to the speech time or deictic center. (See also Klein (1994, pp. 154–157).) This was taken to be a criterion for the v1 category DEICTICTIME, but that was never well-defined in v1 and was broadened for this version.

(66) The game started at 7:00, but I arrived **after** 20 minutes. (TIME→INTERVAL) within

Some adpositions license a temporal difference measure in *modifier* position, which does not qualify:

- (67) To beat the crowds, I will arrive  $\underline{a}$  while **before** (it starts). (TIME)
  - beforehand
- (68) The game started at 7:00, but I arrived  $\underline{20 \text{ minutes}}$  **after** (it started). (TIME) **afterward**

The preposition after can be used either way—contrast (68) with (66).

Note that having INTERVAL as a separate category allows us to distinguish the sense of **in** in (65b) from both the DURATION sense (65a) and the TIME sense (**in** the morning).

**Versus Duration.** The prepositions **in** and **within** are ambiguous between Interval and Duration. <sup>10</sup> The distinction can be subtle and context-dependent. The key test is whether the phrase answers a *When?* question. If so, its scene role is Time; otherwise, it is a Duration.

- (69) TIME→INTERVAL:
  - a. I reached the summit in 3 days. [= 3 days later, I reached the summit.]
  - b. I was at the summit within 3 days. [= 3 days later, I was at the summit.]
  - c. I finished climbing in 3 days. [= 3 days later, I finished climbing.]
  - d. They had the engine fixed **in** 3 days. [= 3 days later, they had the engine fixed.]
- (70) DURATION:
  - a. I reached the summit in 3 days. [it took not more than 3 days]
  - b. I had climbed 1000 feet in [a total of] 3 days.
  - c. I fixed the engine in 3 days. [it took not more than 3 days]

With a negated event, we use DURATION:

(71) I haven't eaten **in** hours. [hours have passed since the last time I ate] **for** (#When haven't you eaten?)

 $<sup>^{10}</sup>$ By contrast, **after** seems to strongly favor TIME $\sim$ INTERVAL. **After** a week, I had climbed all the way to the summit is possible, but the conclusion that the climbing took a week may be an inference rather than something that is directly expressed.

History. Version 1 featured a label called DEICTICTIME, under RELATIVETIME, which was meant to cover **ago** and temporal usages of other adpositions (such as **in**) whose reference point is the utterance time or deictic center. This concept proved difficult to apply and was (without good justification) used as a catch-all for intransitive usages of temporal prepositions. For v2, the new concept of Interval is broader in that it drops the deictic requirement (also covering **within**), while TIME has been clarified to include intransitive usages of prepositions like **before** where the reference time can be recovered from discourse context.

## 2.2 Locus

## Location, condition, or value. May be abstract.

(72) I like to sing at the gym.

on Main St.

in the shower

(73) The cat is **on\_top\_of** the dog.

off

beside

near

(74) There are flowers **between** the trees.

## among

- (75) When you drive north, the river is **on** the right.
- (76) I read it in a book.

**on** a website

- (77) the data in the study
- (78) The charge is **on** my credit card.
- (79) We met **on** a trip to Paris.
- (80) The Dow is at a new high.

20,000

(81) That's in my price range.

The Locus may be a part of another scene argument: part of a figure whose static orientation is described, or a focal part of a ground where contact with the figure occurs:<sup>11</sup>

(82) She was lying **on** her back.

<sup>&</sup>lt;sup>11</sup>PartPortion was considered but rejected for these cases. Instead we assume the verb semantics would stipulate that it licenses a Theme as well as a (core) Locus which must be a part of that Theme.

- (83) a. She kissed me **on** the cheek.
  - b. I want to punch you in the face.

Words that incorporate a kind of reference point are LOCUS even without an overt object:

- (84) a. The cat is **inside** the house.
  - b. The cat is **inside**.
- (85) a. All passengers are **aboard** the ship.
  - b. All passengers are aboard.

Locus also applies to in, out, off, away, back, etc. when used to describe a location without an overt object:

(86) a. The doctor is in the office.

out of

away from

b. The doctor is in .

out

away

c. They are out to eat.

And to around meaning 'nearby' or 'in the area':

- (87) Will you be **around** in the afternoon?
- (88) She's the best doctor around!

In a phenomenon called **fictive motion** (Talmy, 1996), dynamic language may be used to describe static scenes. We use construal for these:

- (89) A road runs **through** my property. (LOCUS→PATH)
- (90) John saw Mary **through** the window. 12 (LOCUS → PATH)

over the fence

- (91) The road extends **to** the river. (LOCUS→GOAL)
- (92) I saw him **from** the roof. (LOCUS→SOURCE)
- (93) Protesters were kept **from** the area. (Locus → Source) missing
- (94) a. We live across from you. (Locus→Source)

<sup>&</sup>lt;sup>12</sup>The scene establishes a static spatial arrangement of John, Mary, and the window/fence, with only metaphorical motion. Yet this is a non-prototypical LOCUS: it cannot be questioned with *Where?*, for example. Moreover, we understand from the scene that the object of the preposition is something with respect to which the viewer is navigating in order to see without obstruction.

b. We're just **across** the street from<sub>LOCUS</sub>→SOURCE</sub> you. (LOCUS→PATH)

Construal is also used for prepositions licensed by scalar adjectives of distance, (95), and prepositions used with a cardinal direction, (96):

- (95) a. We are quite close to the river. (LOCUS $\sim$ GOAL)
  - b. We are quite far **from** the river. (LOCUS→SOURCE)
- (96) a. The river is **to** the north. (LOCUS $\sim$ GOAL) [cf. (75)]
  - b. The river is north **of** Paris. (LOCUS→SOURCE)

See also LOCUS→DIRECTION for static distance measurements, described under DIRECTION.

Qualitative states of entities are analyzed as Characteristic >> Locus, as described under Characteristic.

## **2.2.1 SOURCE**

## Initial location, condition, or value. May be abstract.

For motion events, the initial location is where the thing in motion (the figure) starts out. Source also applies to abstract or metaphoric initial locations, including initial states in a dynamic event.

In English, a prototypical SOURCE preposition is from:

(97) The cat jumped **from** the box.

out of

(98) The cat jumped **from** the ledge.

off\_of

off

(99) I got it **from** the internet.

off

- (100) people from France
- (101) The temperature is rising **from** a low of 30 degrees.
- (102) I have arrived from work.
- (103) We discovered he was French from his attire. [indication]
- (104) I made it out of clay. [material]
- (105) She awoke **from** a coma.

came out of

(106) We are moving **off\_of** that strategy.

The Source use of from can combine with a specific locative PP:

(107) I took the cat **from** behind<sub>LOCUS</sub> the couch.

Note that **away\_from** is ambiguous between marking a starting point (Source) and a separate orientational reference point (DIRECTION):

- (108) At the sound of the gun, the sprinters ran **away\_from** the starting line.

  (SOURCE)

  from
- (109) The bikers ride parallel to the river for several miles, then head east, **away\_from**the river. (DIRECTION: bikers are never at the river)

  #from

Note, too, that **off(\_of)** and **out(\_of)** can also mark simple states:

- (110) I am off medications. (Characteristic  $\sim$  Locus) work
- (111) The lights are off. (CHARACTERISTIC → LOCUS)
- (112) Stay **out\_of** trouble. (CHARACTERISTIC → LOCUS)

States are discussed at length under Characteristic. There is also a (negated) possession sense of **out/out\_of**:

(113) We are **out\_of** toilet paper. (Possession)

Sometimes a specific Source is implicit, and the preposition is intransitive. But if no specific referent is implied, another label may be more appropriate:

- (114) The cat was sitting on the ledge, then jumped **off**. (SOURCE: implicit '(of) it')
- (115) He was offered the deal, but walked **away**. (Source: implicit 'from it')
- (116) The bird flew **away**. (DIRECTION: vaguely away from the viewpoint) **off**

Source is prototypically inanimate, though it can be used to construe animate Participants (especially Originator and Causer). Contrasts with Goal.

Agency as giving. When an AGENT's action to help somebody is conceptualized as giving, and the nominalized action as the thing given, then **from** can mark the AGENT (metaphorical giver). If the **from**-PP is adnominal, AGENT~SOURCE is used (117). However, if the **from**-PP is adverbial, and the verb relates to the metaphoric transfer rather than the event described by the action nominal, then the argument linking becomes too complicated for this scheme to express; simple SOURCE is used by default (118):

- (117) The attention **from** the staff made us feel welcome. (AGENT→SOURCE)
- (118) SOURCE:
  - a. I received great care from this doctor.
  - b. I got a second chance from her.
  - c. I need a favor from you.

## 2.2.2 **GOAL**

Final location (destination), condition, or value. May be abstract.

Prototypical prepositions include to, into, and onto:

- (119) I ran **to** the store.
- (120) The cat jumped **onto** the ledge.
- (121) I touched my ear to the floor.
- (122) She sank to her knees.
- (123) Add vanilla extract to the mix.
- (124) Everyone contributed to the meeting.
- (125) The temperature is rising to a high of 40 degrees.
- (126) We have access to the library's extensive collections.
- (127) She slipped **into** a coma.
- (128) The drugs put her in a coma. (GOAL→LOCUS)
- (129) **Result** (Huddleston and Pullum, 2002, p. 1224):
  - a. We arrived at the airport only **to** discover that our flight had been canceled.
  - b. May you live to be 100!

For motion events, a GOAL must have been reached if the event has progressed to completion (was not interrupted). DIRECTION is used instead for **toward(s)** and **for**, which mark an intended destination that is not necessarily reached:

- (130) a. I headed to work. (GOAL)
  - b. I headed towards work but never made it there. (DIRECTION)

for

#to

*go to.* A conventional way to express one's status as a student at some school is with the expression *go to* (*name or kind of school*). Construal is used when *go to* indicates student status, rather than (or in addition to) physical attendance:

- (131) I went to (school at<sub>LOCUS</sub>) UC Berkeley. (ORGROLE→GOAL)
- (131') I went **to** UC Berkeley for the football game. (GOAL)

Going to a business as a customer, going to an attorney as a client, going to a doctor as a patient, etc. can also convey long-term status, but there is considerable gray area between habitual going and being in a professional relationship, so we simply use GOAL:

(132) I go to Dr. Smith for my allergies. (GOAL)

**Locative as destination.** English regularly allows canonically static locative prepositions to mark goals with motion verbs like *put*. We use the GOAL COUS construal to capture both the static and dynamic aspects of meaning:

- (133) Goal → Locus:
  - a. I put the lamp **next to** the chair.
  - b. I'll just hop in the shower.
  - c. I put my CV **on** the internet.
  - d. The cat jumped **on** my face.
  - e. The box fell on its side.
  - f. We arrived at the airport.

## Application of a substance.

- (134) a. the paint that was applied to the wall (GOAL)
  - b. the paint that was sprayed **onto** the wall (GOAL)
  - c. the paint that was sprayed **on** the wall (GOAL > LOCUS)

The wall is the endpoint of the paint, hence GOAL is the scene role. (Though the wall can be said to be affected by the action, we prioritize the motion aspect of the scene in choosing GOAL rather than THEME.)

GOAL is prototypically inanimate, though it can be used to construe animate Participants (especially Recipient). Contrasts with Source.

## 2.3 **PATH**

The ground that must be covered in order for the motion to be complete.

The ground covered is often a linear extent with or without specific starting and ending points:

- (135) The bird flew **over** the building.
- (136) The sun traveled across the sky.
- (137) Hot water is running **through** the pipes.
- (138) They dance in a circle. (PATH→LOCUS)

It can also be a waypoint/something that must be passed or encircled.

- (139) We flew to Rome via Paris.
- (140) I go by that coffee shop every morning.
- (141) The earth has completed another orbit **around** the sun.

If this is a portal in the boundary of a container, it is often construed as SOURCE, GOAL, or LOCUS:

- (142) The bird flew in the window. (PATH $\sim$ LOCUS)
- (143) The bird flew **out** the window. (PATH→SOURCE)
- (144) A cool breeze blew into the window. (PATH→GOAL)

However, if the entirety of the motion event is simply located, Locus applies:

- (145) The bird was flying **in** the house. [The flying took place in the house.] (Locus)
- (146) They were running **on** the street. [The running took place on the street.] (LOCUS)

The prepositions **around** and **throughout** can mark a region in which motion that follows an aimless or complex trajectory is contained. Construal is used for these, whether or not the region is explicit:

- (147) LOCUS → PATH:
  - a. The kids ran around.
  - b. The kids ran **around** the kitchen. **throughout**
  - c. The kids ran around in the kitchen.

See also: Instrument, Manner

*History.* The v1 hierarchy distinguished many different subcategories of path descriptions. The labels Traversed, 1DTrajectory, 2DArea, 3DMedium, Contour, Via, Transit, and Course have all been merged with Path for v2.

#### 2.3.1 DIRECTION

How motion or an object is aimed/oriented.

A DIRECTION expresses the orientation of a stationary figure or of a figure's motion. Prototypical markers<sup>13</sup> are **away** and **back**; **up** and **down**; **off**; and **out**, provided that no specific SOURCE or GOAL is salient:

(148) The bird flew up.

out

away

off

- (149) I walked **over** to where they were sitting.
- (150) The price shot **up**.

In addition, transitive **toward(s)**, **for**, and **at** can indicate where something is aimed or directed (but see discussion at GOAL):

- (151) The camera is aimed at the subject.
- (152) The toddler kicked at the wall.

See discussion of away from at Source.

**Distance.** Locus → Direction is used for expressions of static distance between two points:

- (153) a. The mountains are 3 km away. (LOCUS→DIRECTION) apart
  - b. The mountains are 3 km away\_from our house. (Locus→Direction)

This also applies to distances measured by *travel time* (the amount of time is taken to be metonymic for the physical distance):

(154) The mountains are an hour away. (LOCUS→DIRECTION) apart

Compare EXTENT, which is the length of a path of motion or the amount of change.

 $<sup>^{13}</sup>$ Known variously as *adverbs*, *particles*, and *intransitive prepositions*.

## Informal direction modifier in location description.

(155) They live (way) **out** past<sub>LOCUS</sub>→PATH</sub> the highway. (LOCUS→DIRECTION) **over** by<sub>LOCUS</sub> the school

Cf. (64) at INTERVAL.

## **2.3.2 EXTENT**

The size of a path, amount of change, or degree.

This can be the physical distance traversed or the amount of change on a scale:

- (156) We ran for miles.
- (157) The price shot up **by** 10%.
- (158) an increase of 10% (EXTENT→IDENTITY)

For static distance measurements, see DIRECTION.

For scalar **as** (see §6.3.1), EXTENT serves as the function (and sometimes also the role):

- (159) a. I helped **as** much as I could. (EXTENT)
  - b. Your face is **as** red as a rose. (CHARACTERISTIC → EXTENT)
  - c. I stayed as long as I could. (DURATION → EXTENT)

EXTENT also covers degree expressions, such as the following PP idioms:

- (160) a. I'm not tired at all.
  - b. The food is mediocre at\_best.
  - c. You should at\_least try.
  - d. It is the worst by\_far.
  - e. We've finished for\_the\_most\_part.
  - f. It was a success in\_every\_respect.

on\_all\_levels

g. I hate it when they repeat a song to\_death.

Typically these are licensed by a verb or adjective.

## 2.4 MEANS

Secondary action or event presented as playing an intermediate causal role in facilitating (but not instigating) the main event.

Prototypically a volitional action, though not necessarily (164). A volitional MEANS will often modify an intended result, though the outcome can be unintended as well (163).

- (161) Open the door **by** turning the knob.
- (162) They retaliated by shooting . with shootings
- (163) The owners destroyed the company **by** growing it too fast.
- (164) Chlorophyll absorbs the light **by** transfer of electrons.

MEANS is similar to Instrument, which is used for causally supporting entities and is a kind of Participant.

Contrast with EXPLANATION, which characterizes **why** something happens. I.e., an EXPLANATION portrays the secondary event as the causal *instigator* of the main event, whereas MEANS portrays it merely as a *facilitator*.

Contrast also with Manner. Both Means and Manner elaborate on the **how** of an event; however, a Manner describes a *quality* of the main event, rather than specifying a facilitating event.

 $\it History. \ In \ v1$ , Means was a subtype of Instrument, but with the removal of multiple inheritance for v2, the former was moved directly under CIRCUMSTANCE and the latter directly under Participant.

#### 2.5 MANNER

Qualitative description of a situation, adding color to the main scene.

MANNER is used as the scene role for several kinds of descriptors which typically license some sort of *How?* question:

• The style in which an action is performed or an event unfolds, expressed adverbially (canonical use of the term "manner"):

(165) He reacted **with** anger. <sup>14</sup> **in** anger
angrily

 $<sup>^{14}</sup>$  He reacted **out\_of** anger is Explanation $\sim$ Source.

- (166) He reacted with nervous laughter. [contrast: MEANS]
- (167) I wrote the book **in** French. [contrast (360d)] They chatted
- (168) I made the decision  $\mbox{by myself}$  . [see §6.5.2]  $\mbox{without anyone else}$   $\mbox{on}_{-}$  my  $\mbox{own}$
- (169) We talked in person.
- (170) Manner→ComparisonRef:
  - a. You eat like a pig (eats).
  - b. You smell like a pig.
- (171) Your father smells **of** elderberries. (Manner→Stuff) [also (425)] The soup tastes
- (172) She loves teaching, and it shows in her smile. (MANNER LOCUS)

By contrast, depictives characterizing a participant of an event have a scene role of Characteristic:

- (173) She entered the room in a stupor. (= she was in a stupor when she drunk entered) (CHARACTERISTIC→LOCUS) [repeated: CHARACTERISTIC]
- *What* + **like** (*what he looks like*, etc.): see (424) under COMPARISONREF.
- *On a(n)...basis*: There seems to be an event-modifying construction *on* a(n) *MODIFIER basis* where the modifier phrase reflects the scene role being filled. We use MANNER as the function:
  - (174) a. The legislation was passed  $on_a$  bipartisan \_basis. (Manner)
    - b. I see them **on\_**a\_ daily \_basis. (FREQUENCY→MANNER) [also (49)]

See also: Means, Characteristic, ComparisonRef.

*History.* In v1, Manner was positioned as an ancestor of all categories that license a *How?* question, including Instrument, Means, and Contour, as in (138). This criterion was deemed too broad, so Manner has no subtypes in v2.

## 2.6 EXPLANATION

Assertion of **why** something happens or is the case.

This marks a secondary event that is asserted as the reason for the main event or state.

- (175) I went outside **because\_of** the smell.
- (176) The rain is **due\_to** a cold front.
- (177) He reacted **out of** anger. (EXPLANATION → SOURCE)
- (178) a. He thanked her **for** the cookies.
  - b. Thank you for being so helpful.

When a preposition like **after** is used and the relation is temporal as well as causal, construal captures the overlap. While **since** and **as** can also be temporal, there are tokens where they cannot be paraphrased respectively with **after** and *when*:

- (179) I joined a protest **after** the shameful vote in Congress. (EXPLANATION → TIME)
- (180) Her popularity has grown **since** she announced a bid for president. (EX-PLANATION → TIME)
- (181) I will appoint him **since** he is most qualified for the job. (EXPLANATION)

as #after #when

Question test: EXPLANATION and its subtype PURPOSE license *Why?* questions.

## 2.6.1 Purpose

A desired outcome presented as contingent on some event, situation, entity, or resource. The Purpose may be specific (e.g., an outcome that somebody tries to achieve by performing an action) or generic (e.g., an entity that was designed for or incidentally provides some affordance).

Central usages of Purpose explain the motivation behind (hence subtype of Explanation) an action; the action serves as a means for achieving or facilitating the Purpose. Yet it is possible to complete the action without realizing the purpose.

Prototypical markers include for and infinitive marker to:

- (182) a. He rose **to** make a grand speech.
  - b. He rose for a grand speech.
  - c. surgery to treat a leg injury

Something directly manipulated/affected can stand in metonymically for the desired event:

- (183) a. I went to the store for eggs. [understood: 'to acquire/buy eggs']
  - b. surgery **for** a leg injury [understood: 'to treat a leg injury']

Less central usages present a potentially desirable outcome that could be brought about thanks to the availability of an entity, such as a tool, facility, or expendable resource: 15

- (184) a. There is some wood **to** start a fire (with).
  - b. Do you have a couch **to** sleep on ? **for** sleeping on
  - c. This place is great for ping-pong.

However, this category *excludes* infinitival complements of modal and aspectual predicates *that lack a direct object*:

- (185) a. He wants/needs to leave. (`i)
  - b. He is ready to leave. (`i)
  - c. He started/managed to leave. (`i)

The following tests help to clarify the boundaries of PURPOSE:

- 1. If a relation can be phrased as IN ORDER *to* VP or IN ORDER *for* NP *to* VP, it is a Purpose.
- (186) a. I arrived (IN ORDER) to see the movie.
  - b. I need \$10 (IN ORDER) to see the movie.
  - c. It takes \$10 (IN ORDER) to see the movie.
  - d. Bring the product to the store (IN ORDER) for i us **to** service it.
  - e. Bring the product to the store (IN ORDER) for i the part to be replaced.
- 2. If a relation can be phrased as *for* THE PURPOSE OF NP, or *for* THE PURPOSE OF <inferred verb> NP (provided that the meaning is not better captured by another label, e.g. BENEFICIARY), or *that* <someone> INTENDS *to* VP, it is a PURPOSE.

 $<sup>^{15}</sup> In$  FrameNet as of v1.7, these sorts of purposes are labeled as <code>Inherent\_purpose</code>. See, e.g., the example "MONEY [to support yourself and your family]" in the <code>Money</code> frame (https://framenet2.icsi.berkeley.edu/fnReports/data/lu/lu13361.xml?mode=annotation).

- (187) a. I arrived for (THE PURPOSE OF) the movie.
  - b. I need \$10 for (THE PURPOSE OF seeing) the movie.
  - c. I went to the store **for** (THE PURPOSE OF buying) eggs.
  - d. a couch for (THE PURPOSE OF) sleeping on
  - e. a couch to sleep on  $\Rightarrow$  a couch for the purpose of sleeping on
  - f. I found a party (that I INTEND) to attend

Be careful, however, with inserting an inferred verb, as sometimes it is better captured by another label:

- (188) a. I babysat **for** (THE PURPOSE OF helping) my aunt and uncle (= as a favor) (BENEFICIARY)
  - b. I made a cake **for** (THE PURPOSE OF celebrating) your birthday (= on the occasion of your birthday) (CIRCUMSTANCE)
- 3. If a relation can be phrased as **NP** is good/bad for **V**-ing, it is a Purpose. <sup>16</sup>
- (189) a. This is a good gym to lift weights at.
  - ⇒ This is a good gym **for** (lifting) weights.
  - $\Rightarrow$  This gym is good **for** (lifting) weights.
  - b. This cleaner is good for (cleaning) hardwood floors.
- 4. An infinitival modifier of an indefinite pronoun (*anything*, *someone*) or vague noun (*thing*, *stuff*) is Purpose if the pronoun or vague noun has an entity referent that is involved in the infinitival event.
- (190) a. I can't think of anybody/a single person to ask.
  - b. I found something to eat.
- (191) I found something **to** do. (*something/stuff* does not refer to an entity) (`i) stuff
- 5. An infinitive clause not meeting the above criteria may express the **result** of an event, in which case the appropriate label is GOAL: see (129).
- 6. Many uses of infinitives are not purposes, including complements of modal/aspectual predicates that lack a direct object (185), and syntactic constructions like clausal subjects and certain clefts:
- (192) a. **To** see the movie is a joy. (`i)
  - b. It is fun **to** see the movie. (`i)

<sup>&</sup>lt;sup>16</sup>The positive or negative evaluation is being delimited to a particular purpose: (189a) is not claiming the gym is good *in general*, just with respect to lifting weights.

**Commercial services.** A special qualification to the above tests applies to commercial scenes (*buying*, *paying*, *hiring*, *costing*, etc.): for explicitly commercial scenes, <sup>17</sup> if the Purpose tests pass, the appropriate label is Theme Purpose. This expresses that the Purpose is not merely a desired outcome, but is actually promised and paid for in a transaction:

- (193) a. It costs \$10 to see the movie. (THEME → PURPOSE)
  - b. I hired John **to** fix the problem. (THEME→PURPOSE)

See additional examples at (220) under THEME.

**Sufficiency and excess.** Expressions of sufficiency/excess with an infinitival that passes the above tests for Purpose are labeled ComparisonRef Purpose.

- (194) a bag large enough for the groceries (COMPARISONREF → PURPOSE)
  - ⇒ a bag large enough **for** (THE PURPOSE OF holding) the groceries

Sufficiency/excess usages failing the tests are ComparisonRef~Goal, as this is similar to how an infinitival can express a result—cf. (129) under Goal:

- (195) a forest canopy too dense **to** admit sunlight (COMPARISONREF→GOAL)
  - a. \*a forest canopy too dense in order to admit sunlight
  - b. \*a forest canopy too dense for the purpose of admitting sunlight [would imply that somebody was trying to admit sunlight]

There is additional discussion under ComparisonRef.

**Versus CIRCUMSTANCE for ritualized occasions.** PURPOSE applies to **for** when it marks a ritualized activity such as a meal or holiday/commemoration for which the main event describes a **preparation** stage:

- (196) PURPOSE:
  - a. I walked to this restaurant **for** dinner. [walking is not a part of dinner]
  - b. I bought food **for** dinner.
  - c. We saved money for our annual vacation.

However, if the activity marked by **for** is interpreted as **containing** the main event, then we use CIRCUMSTANCE:

## (197) CIRCUMSTANCE:

<sup>&</sup>lt;sup>17</sup>A more general predicate such as *give*, *need*, or *request* is not considered to evoke a commercial scene, even if it involves money exchanged for a service.

- a. We ate there for dinner.
- b. I received a new bicycle for Christmas.
- c. I always drink eggnog **for** Christmas. [at and in celebration of Christmastime]
- d. We were wearing costumes for Halloween.

If in doubt, CIRCUMSTANCE is broader: e.g., *We went there for dinner* if *went* is ambiguous between journeying and attending.

History. In v1, the usages illustrated in (184) were assigned a separate label, FUNCTION, which inherited from both ATTRIBUTE and PURPOSE. With the introduction of construal, v2.0–v2.3 labeled these Characteristic Purpose. As of v2.4 this distinction has been abandoned, as it was inconsistent with the policies for spatial and temporal labels: now purposes of entities are simply Purpose.

## 3 PARTICIPANT

Thing, usually an entity, that plays a causal role in an event.

Not used directly—see subtypes.

## 3.1 CAUSER

Instigator of, and a core participant in, an event.

CAUSER is applied directly to inanimate things or forces conceptualized as entities, such as in a passive **by**-phrase (§6.2):

- (198) the devastation of  $T_{HEME}$  the town wreaked by the fire
- (199) CAUSER → GESTALT:
  - a. the devastation of the fire on  $_{\mbox{\scriptsize THEME}}$  the town
  - b. the fire's devastation of  $T_{HEME}$  the town

The CAUSER is sometimes construed as a SOURCE:

- (200) CAUSER→SOURCE:
  - a. the devastation from the fire
  - b. fatalities from cancer
  - c. FDR suffered **from** polio.

See also: Instrument

## 3.1.1 **AGENT**

Animate instigator of an action (typically volitional).

This is most directly associated with the passive  ${\bf by}$ -phrase (§6.2), but also permits other construals:

- (201) the decisive vote by the City Council
- (202) AGENT $\sim$ GESTALT:
  - a. the decisive vote **of** the City Council
  - b. the City Council's decisive vote
  - c. the president's achievements
  - d. they needed Joan's help

When two symmetric AGENTS are collected in a single NP functioning as a set, it is marked as a WHOLE construal:

- (203) There was a war **between** France and Spain. (AGENT→WHOLE)
- (204) a discussion **among** the board members (AGENT→WHOLE)

Compare: Co-Agent; see also: OrgRole, Originator, Source, Stimulus

#### **CO-AGENT**

Second semantically core participant that would otherwise be labeled AGENT, but which is adpositionally marked in contrast with an AGENT occupying a non-oblique syntactic position (subject or object). Typically, the AGENT and CO-AGENT engage in the event in a reciprocal fashion.

- (205) I fought in a war **against** the Germans.
- (206) I talked **with** my roommate about cleaning duties. argued

See also: ACCOMPANIER, SOCIALREL

## **3.2 THEME**

Undergoer that is a semantically core participant in an event or state, and that does not meet the criteria for any other label.

Prototypical Themes undergo (nonagentive<sup>18</sup>) motion, are transferred, or undergo an internal change of state (sometimes called *patients*). Adpositional Themes are usually, but not always, construed as something else:

- (207) a. Quit with the whining!
  - b. She helped me with my taxes.
  - c. Don't bother with an extra trip.

waste time

- d. I managed to cope **with** the heavy load . my fear of heights
- (208) There's nothing wrong with the engine.
- (209) Fill the bowl with water. (THEME → INSTRUMENT)
- (210) a. The food was covered with grease. (THEME→INSTRUMENT)
  - b. The food was covered in grease. (THEME→LOCUS)
- (211) My hovercraft is full of eels.
- (212) a copy of the key
- (213) a. Sheldukher looked for his laser pistol.

searched

fumbled

[contrast with transitive verb plus Characteristic in (356)]

b. Sheldukher asked **for** his laser pistol.

made a request

- c. There is a significant demand **for** new housing.
- d. Let's wait **for** Steve

more information

the end of the party

- (214) a. What happened to you?
  - b. This species is specific/native to North America.
  - c. Balancing of risk and reward is inherent to the game.
  - d. The mechanic made a repair **to** the engine. (THEME→GOAL)

 $<sup>^{18}</sup>$ We distinguish agentivity at the token level, unlike VerbNet, where the subject of motion verbs like *arrive* is THEME because it need not be agentive.

e. Due to my injury, I am limited **to** working from home. (THEME→GOAL) constrained

#### restricted

- f. It is important to adhere/keep/stick **to** your convictions. (THEME→GOAL)
- (215) Theme Theme Gestalt
  - a. the approach  ${f of}$  the waves the waves' approach
  - b. the death **of** a salesman the salesman'**s** death murder murder
- (216) Someone in relation to a time period of their life:
  - a. **my** time in grad school (THEME → GESTALT)
  - b. I've never seen that in **my** life (THEME→GESTALT)
- (217) a. The mechanic worked **on** the engine.
  - b. We noshed **on** snacks.
  - c. They spent \$500 **on** the bicycle. (Possession $\sim$ Theme) [see Possession]
- (218) a. There was an increase in oil prices.
  - b. Bad weather may result in a delay.
  - c. I'm covered in bees! (THEME→LOCUS)
  - d. I put a hole in the box. (= punctured the box) (THEME→LOCUS)
- (219) a. The training saved us **from** almost certain death. (THEME→SOURCE)
  - b. They prevented us **from** boarding the plane. (THEME→SOURCE)

**Transfer, goods, and services.** In a commercial scene, goods, services, and money are distinguished. Possession is used as the scene role for goods for sale. Possession also applies to a piece of property transferred between parties, lost, acquired, or carried, even if no money changes hands. Theme is the scene role for commercial services. Cost applies to the money asked, paid, or owed.

The construal Theme $\rightsquigarrow$ Purpose is used for services marked by **to**, **for**, or similar:

- (220) Services:
  - a. They spent \$500 on the repairs. (THEME)
  - b. They charged/asked/paid/owed \$500 **for** the repairs. (THEME→PURPOSE) **to** make
  - c. \$500 for the repairs was excessive. (THEME→PURPOSE) to make

See Purpose for additional discussion. Contrast (382) under Possession.

**Between and among.** When two symmetric undergoers are collected in a single NP functioning as a set, it is marked as a WHOLE construal:

- (221) There was a collision in mid-air **between** two light aircraft. (THEME→WHOLE)
- (222) Links **between** science and industry are important. (Locus→Whole)

*History.* In v1, following many thematic role inventories, PATIENT was a distinct label for undergoers that were affected (undergoing an internal change of state). It was merged into THEME for v2 because the affectedness criterion can be subtle and difficult to apply.

Compare: CO-THEME See also: BENEFICIARY

#### 3.2.1 **CO-THEME**

Second semantically core undergoer that would otherwise be labeled THEME, but which is adpositionally marked in contrast with a THEME occupying a non-oblique syntactic position (subject or object).

Often, the Theme and the Co-Theme are similarly situated entities—rather than one being more figure-like and the other more ground-like—but the Co-Theme is an oblique (adpositionally marked) argument. This includes concrete scenes of combination, attachment, separation, and substitution of two similar entities.

- (223) a. His bicycle collided with hers.
  - b. Combine butter with vanilla.
- (224) a. They replaced my old tires **with** new ones. [replacement]
  - b. They substituted new tires **for** my old ones. [replacee]
- (225) a. The boys were separated from the girls. (CO-THEME→SOURCE)
  - b. Keep the dogs **from** the cats. (CO-THEME→SOURCE)
  - c. The shin bone is connected **to** the knee bone. (CO-THEME→GOAL)

By contrast, for similar scenes where the oblique argument is a ground-like entity (larger, less dynamic, more locational, etc. than the Theme), that entity is typically a LOCUS, SOURCE, or GOAL:

(226) Dynamic:

- a. Add vanilla to the mixture. (GOAL)
- b. Stir vanilla into the mixture. (GOAL)
- c. Detach the cable **from** the wall. (Source)

## (227) Static:

- a. The cable is attached **to** the wall. (LOCUS→GOAL) connects
- b. Protesters were kept from the area. (LOCUS $\sim$ SOURCE) [repeated: missing (93)]

For creation or transformation of a whole entity (or a group of entities, such as ingredients) into another entity, Source applies to the initial entity and Goal to the result.

With abstract scenes, CO-THEME is sometimes needed because another argument would be THEME—e.g. 2-argument adjectives:

- (228) a. You shouldn't confuse/associate Mozart with Rossini. (CO-THEME)
  - b. We are ready/eligible/due **for** an upgrade. (CO-THEME→PURPOSE)
  - c. They prevented us **from** entering. (CO-THEME→SOURCE)

*History.* In v1, CO-PATIENT was a distinct label, and the two shared a common supertype, CO-PARTICIPANT. See note at THEME.

See also: INSTEADOF, CO-AGENT

#### 3.2.2 **TOPIC**

Information content or subject matter in communication or cognition, or the matter something pertains to.

A variety of prepositions—including the vast majority of occurrences of **about**—can mark a TOPIC. The following subclasses warrant TOPIC as the scene role:

- **Communication** scenes: the content or subject matter of speech, writing, art, performance, etc.
  - (229) a. I gave a presentation **about/on** politics. spoke
    - b. They wouldn't stop arguing **over** the plan.
    - c. I was accused of treason.
    - d. a picture of Whistler's mother

e. three copies of the test

versions

- f. TOPIC→IDENTITY—see discussion at IDENTITY:
  - i. the topic/issue/question of semantics
  - ii. the idea of raising money
- g. The ratings **for** this film are atrocious.

reviews

- h. I did not hazard a guess as\_to the cause.
- **Cognition** scenes: the content or subject matter of thought and knowledge—belief, opinion, decision, learning, study, interest, expertise, skill, etc.
  - (230) a. Try not to think about it.
    - b. We took a minute to think **over** the situation.

ponder

- c. I plan on going again.
- d. I am focused on the task at hand.
- e. There is not enough research **on** the effects of global warming.
- f. She was dumbfounded **as\_to** why the police had done that.
- g. Think of all the possibilities!
- h. I have no memory of the incident.
- i. I am aware of the problem.
- j. You can have your choice of chicken or fish.
- k. I disagree with that statement.
- l. I am familiar with this topic.
- m. Are you interested in politics?
- n. I'm confident in your abilities.
- (231) a. My daughter excels in sports.

at

b. I'm an expert at baking cookies.

talented good

c. I wouldn't hestitate in seeing a doctor.

[but see (3) under CIRCUMSTANCE, which is syntactically parallel]

• Relations of **regard**: the entity, issue, or aspect that the governing predicate pertains to. The relation to the governor may be somewhat loose, skirting the boundary between semantics and information structure.

- (232) a. Be reasonable with your expectations!
  - b. They are transparent with their fee.
  - c. The discount should apply **with** other restaurants too.
  - d. I approached the manager **about** the poor service. [implied communication]
  - e. I am a big baby **about** needles. [implied cognition]
  - f. The owner wouldn't budge **on** the price.
  - g. They came through **on** all of their promises.
  - h. She did not do the right thing **for** an item that was marked incorrectly.
  - i. I'm fast at baking cookies. [cf. (231b)]
  - j. They have almost anything you could want when\_it\_comes\_to in\_terms\_of spy and surveillance equipment.

A few specific governors merit further discussion:

# agree.

- (233) a. Let us agree **on** the deal. (TOPIC)
  - b. Let us agree to the deal. (TOPIC→GOAL)

# answer, respond, etc.

- (234) TOPIC → GOAL:
  - a. the answer to the question
  - b. my response to your question

For *respond with* and similar, it depends whether the object is an action, a device facilitating communication, or some aspect of transferred information:

- (235) a. He responded to my kick with a punch. (MEANS)
  - b. He responded to my accusation with a lawsuit. (MEANS)
  - c. He responded to my accusation with dishonest emails. (INSTRUMENT)
  - d. He responded to my accusation with falsehoods. (TOPIC)

# *problem with, experience with,* etc. These are simply TOPIC:

- (236) a. There was a problem **with** mice in the basement.
  - We had
  - b. I have limited experience **with** numerical methods.
  - c. I had a bad experience **with** a vampire. my bad experience

See also: STIMULUS

*History.* Previously, ACTIVITY covered usages such as in (231), but such usages were found to be infrequent and ACTIVITY was deemed too narrow.

# 3.3 STIMULUS

That which is perceived or experienced (bodily, perceptually, or emotionally).

STIMULUS does not seem to have any prototypical adposition in the languages we have looked at. In English, it can be construed in several ways:

- (237) My affection for you (STIMULUS→BENEFICIARY)
- (238) Scared by the bear (STIMULUS → CAUSER)
- (239) You should listen **to** the music. (STIMULUS→GOAL) pay attention
- (240) STIMULUS→DIRECTION:
  - a. We were looking **at** the photo.
  - b. I was angry **at** him. [cf. (241e)]
  - c. I startled at the noise.
- (241) STIMULUS TOPIC is assigned to cases where the PP describes the topic or content of one's emotion:
  - a. I care about you.
  - b. That's what I love **about** the show.
  - c. I took\_pride in the results.
  - d. I was proud **of** the results. happy **with**
  - e. I was angry with him. [cf. (240b)]
  - f. I was  $in_{CHARACTERISTIC \sim LOCUS}$  love with him. [cf. (371a)]
  - g. They bored me with their incessant talk about cats.

- (242) STIMULUS→BENEFICIARY:
  - a. Her disdain for customers was apparent.
  - b. He has/feels compassion towards animals.

for

(243) I am thankful **for** your help. (STIMULUS→EXPLANATION) grateful

See also: TOPIC, BENEFICIARY
Counterpart: EXPERIENCER

## 3.4 EXPERIENCER

Animate who is aware of a bodily sensation, perception, emotion, or mental state.

EXPERIENCER does not seem to have any prototypical adposition in the languages we have looked at. In English, it can be construed in several ways:

- (244) a. The anger of the students (EXPERIENCER → GESTALT)
  - b. The students' anger (EXPERIENCER → GESTALT)
- (245) a. Running is enjoyable **for** me (EXPERIENCER→BENEFICIARY)
  - b. The pizza was (too) salty **for** me (EXPERIENCER → BENEFICIARY)
- (246) a. It feels hot **to** me (EXPERIENCER→GOAL)
  - b. That was astounding **to** me (EXPERIENCER → GOAL)
  - c. This is **my** favorite movie (EXPERIENCER→GESTALT)
- (247) a. The answer is known by me (EXPERIENCER→AGENT)
  - b. The answer is known to me (EXPERIENCER → GOAL)
  - c. That is **my** opinion (EXPERIENCER → GESTALT)
  - d. That was **my** experience (EXPERIENCER → GESTALT)

Bodily events with an EXPERIENCER are limited to **perceptions** like seeing and hearing, and **sensations** such as pain and hunger. The undergoer of an involuntary bodily event like sneezing, bleeding, falling asleep, breaking a limb, or dying would instead be a THEME, as these events are primarily physical in nature.

One whose **mental state** (including events of knowledge, memory, belief, desire, intention) or **emotion** is described is an EXPERIENCER. However, the individual is an AGENT if exhibiting or acting on their thoughts/emotions, even internally (e.g. making a decision).

Less canonically, EXPERIENCER applies to semi-pragmatic usages meaning 'from the perspective of':<sup>19</sup>

- (248) a. **For** John, the party was not fun at all. (EXPERIENCER→BENEFICIARY)
  - b. **For** John, there was no reason to attend. (EXPERIENCER→BENEFICIARY)

Elsewhere, the term *cognizer* is sometimes used for one whose mental state is described.

Counterpart: STIMULUS

## 3.5 ORIGINATOR

Animate who is the initial possessor or creator/producer of something, including the speaker/communicator of information. Excludes events where transfer/communication is not framed as unidirectional.

A "source" in the broadest sense of a starting point/condition. Contrasts with RECIPIENT if there is transfer/communication.

English construals:<sup>20</sup>

- (249) ORIGINATOR → AGENT (passive-by or adnominal by):
  - a. works **by** Shakespeare [cf. (250a, 251a)]
  - b. The telephone was invented by Alexander Graham Bell.
  - c. The story was given to  $_{RECIPIENT \sim GOAL}$  her by her editor. told
- (250) Originator → Source:
  - a. works of Shakespeare [cf. (249a, 251a)]
  - b. The story was obtained **from** an anonymous White House employee.
  - c. I bought it **from** this company.
  - d. I heard the news from Larry.

 $<sup>^{19}</sup>$ Interestingly, many uses of **for** carry an information structural association of delimiting the scope of an assertion. **For** John, the party was not fun at all makes no commitment regarding how fun the party was to others. This food is good **for**<sub>PURPOSE</sub> dinner/**for**<sub>BENEFICIARY</sub> folks with dietary constraints and He is short **for**<sub>COMPARISONREF</sub> a basketball player also have this property. As the present scheme targets semantic relations, it is not equipped to formalize pragmatic aspects of the meaning.

<sup>&</sup>lt;sup>20</sup>If we consider subject position as an AGENT construal and direct object position as a THEME construal, then we can add examples like <u>She</u> talked to her editor (ORIGINATOR AGENT) and They robbed <u>her</u> of her life savings (ORIGINATOR THEME). ORIGINATOR does not apply to the subject of events like exchange or talk/chat (with), which involve a back-and-forth between AGENT and Co-AGENT (or a plural AGENT).

#### (251) Originator → Gestalt:

- a. Shakespeare's works [cf. (250a, 249a)]
- b. Rodin's sculptures
- c. the restaurant's food
- d. John'**s** question speech

*learn from.* If the source of learning is an individual (or group of individuals, organization, etc.) who provides information, ORIGINATOR→SOURCE applies. Otherwise, it is simply Source:

- (252) We learned a lot from Miss Zarves. (ORIGINATOR→SOURCE)
- (253) We learned a lot **from** that book . (SOURCE) experience

History. ORIGINATOR merges v1 labels DONOR/SPEAKER and CREATOR, which were difficult to distinguish in the case of authorship. DONOR/SPEAKER was a subtype of INITIALLOCATION, which inherited from LOCATION and SOURCE. CREATOR was a subtype of AGENT. Moving ORIGINATOR directly under Participant puts it in a neutral position with respect to its possible construals.

## 3.6 RECIPIENT

The party (usually animate) that is the endpoint of (actual or intended) transfer of a thing or message, becoming the final POSSESSOR or GESTALT. Excludes events where transfer/communication is not framed as unidirectional.

A "goal" in the broadest sense of an ending point/condition. Contrasts with ORIGINATOR.

English construals:<sup>21</sup>

- (254) She gave the story **to** her editor. (RECIPIENT $\sim$ GOAL) spoke
- (255) What title did you give **to** your essay? [inanimate] (RECIPIENT→GOAL)
- (256) news **for** our readers (RECIPIENT→DIRECTION)

<sup>&</sup>lt;sup>21</sup>If subject position is viewed as an AGENT construal, then active subject with a transfer verbs like *get* or *receive* is RECIPIENT→AGENT. If direct object position is viewed as a THEME construal, then *She informed* <u>her editor</u> are RECIPIENT→THEME.

- (257) He is yelling at me to get ready! (RECIPIENT $\sim$ DIRECTION<sup>22</sup>)
- (258) The news was not well received by the White House. (RECIPIENT → AGENT)
- (259) Timmy's piano lesson (RECIPIENT→GESTALT)
- (260) I'll have to check with my supervisor. (RECIPIENT→CO-AGENT)

RECIPIENT does not apply to events like *exchange/talk/chat* (*with*), which involve a back-and-forth between AGENT and CO-AGENT (or a plural AGENT subject):

(261) She swapped stories **with** her friends. (CO-AGENT) chatted

See also: BENEFICIARY

*History.* In v1, RECIPIENT was the counterpart to DONOR/SPEAKER: RECIPIENT was a subtype of DESTINATION, which inherited from LOCATION and GOAL. Moving RECIPIENT directly under Participant puts it in a neutral position with respect to its possible construals.

#### 3.7 **COST**

An amount (typically of money) that is linked to an item or service that it pays for/could pay for, or given as the amount earned or owed.

The governor may be an explicit commercial scenario:

(262) I bought the book for \$10.

sold

- (263) I got a refund **of** \$10.
- (264) Cost→Locus:
  - a. The book is priced at \$10.

valued

b. I bought it at a great price/rate.

Or the COST may be specified as an adjunct with a non-commerical governor:

(265) You can ride the bus for free.

\$1

<sup>&</sup>lt;sup>22</sup>While *yell at* often has a connotation of shouting criticism towards somebody, and criticism would suggest Beneficiary, the Recipient aspect of the meaning is more explicit and essential: yelling from a distance at someone does not imply criticism, and criticism about someone who is absent is not yelling at them.

COST is specifically about payment requested in exchange for goods or services (including income and revenue). If an amount of money is simply treated as property given, acquired, or possessed, then POSSESSION is appropriate:

(266) I bestowed the winner with a bicycle. (Possession) [repeated at Posses-\$100 SION]

See discussion of transfer, goods, and services at Possession and Theme.

*History.* This category was not present in v1, which had the broader category VALUE. VerbNet (Kipper et al., 2008; Palmer et al., 2017) has a similar category called ASSET; we chose the name COST to emphasize that it describes a relation rather than an entity type (it does not apply to money with a verb like *possess* or *transfer*, for instance).

## 3.8 BENEFICIARY

Animate or personified undergoer that is (potentially) advantaged or disadvantaged by the event or state.

This label does not distinguish the polarity of the relation (helping or hurting, which is sometimes termed *maleficiary*).

- (267) Vote **for** Pedro! **against**
- (268) Junk food is bad for your health.
- (269) My parrot died on me.
- (270) a. These are clothes for children.
  - b. These are children's clothes. (BENEFICIARY → POSSESSOR)
- (271) Fortunately **for** the turkey('s future), he received a presidential pardon.

Specific subclasses include:

- Animate who will potentially experience a benefit or harm as a result of something but is not an experiencer or recipient of the main predicate itself. (May be an experiencer or recipient of the result.)
- Animate target of emotion or behavior, discussed below.
- Animate who someone supports or opposes (e.g., vote for, cheer for, Hooray for).
- Intended user/usee:
  - (272) (We sell) clothes for children

- (273) a gallows for criminals
- (274) This is the car **for** you! [advertising idiom]
- Something characterized as good/appropriate (or not) for some kind of **animate user or usee**, delimiting the applicability of a descriptor to that kind of individual:
  - (275) a. This place is great **for** young children.
    - b. This is a great place **for** young children.

The first and last items above have analogues with Purpose. The key difference is that Beneficiary applies to an animate participant, whereas Purpose applies to an intended consequence or one of its inanimate participants.

**Targets of behavior versus emotion.** A preposition can mark an individual in the context of evaluating how someone else is treating them, with a noun or adjective governor. If behavior is more salient than emotion, then BENEFICIARY is the scene role. If emotion is highly salient, then STIMULUS is the scene role.

- (276) Behavior-focused:
  - a. She exhibits rudeness towards customers. (BENEFICIARY→DIRECTION)
  - b. He is rude to women. (Beneficiary $\sim$ Goal) condescending
  - c. He is gentle and compassionate with animals. (Beneficiary $\rightarrow$ Theme)
- (277) Emotion-focused, repeated from (242):
  - a. Her disdain **for** customers was apparent. (STIMULUS→BENEFICIARY)
  - b. He has/feels compassion towards animals. (STIMULUS $\sim$ BENEFICIARY) for

Note that the emotion-focused examples can describe private emotional states directly, while the behavior-focused examples are behavior-based judgments or inferences about emotional states.

An obligation directed at somebody is analyzed like targeted behavior:

(278) We have a solemn responsibility **to** our armed forces. (BENEFICIARY→GOAL)

Similar to the behavior-focused examples, inanimate causes can have the potential to positively or negatively affect somebody. Ability and permission modalities are included here:

(279) a. The strategy is beneficial **for** investors. (BENEFICIARY) risky an option

b. The strategy is helpful to investors. (Beneficiary $\sim$ Goal) poses a risk is available

**Versus Recipient.** Beneficiary applies to the classic English benefactive construction where it is ambiguous between assistance and intended-transfer:

(280) John baked a cake **for** Mary. [to help Mary out, and/or with the intention of giving her the cake]

However, if transfer (or communication) is the main semantics of the scene and benefit or harm is no more than an inference, then the scene role is RECIPIENT:

- (281) a message **for** my mother (RECIPIENT → DIRECTION) gift
- (282) a package for the front office (RECIPIENT → DIRECTION)

See also: EXPERIENCER, ORGROLE

## 3.9 Instrument

An entity that facilitates an action by applying intermediate causal force.

Prototypically, an AGENT intentionally applies the INSTRUMENT with the purpose of achieving a result:

- (283) a. I broke the window with a hammer.
  - b. I destroyed the argument with my words.

Less prototypically, the action could be unintentional:

(284) I accidentally poked myself in the eye with a stick.

The key is that the Instrument is not sufficiently "independently causal" to instigate the event.

However, to downplay the agency of the individual operating the instrument, the instrument can be placed in a passive **by**-phrase, which construes it as the instigator:

- (285) a. The window was broken **by** the hammer. (INSTRUMENT→CAUSER)
  - b. My headache was alleviated **by** aspirin. (INSTRUMENT→CAUSER)

Note that the examples in (285) can be rephrased in active voice with the INSTRUMENT as the subject.

A device serving as a mode of transportation or medium of communication counts as an INSTRUMENT, but is often construed as a LOCUS or PATH:

(286) Communicate by phone. (INSTRUMENT)

email

- (287) Talk **on** the phone. (INSTRUMENT→LOCUS)
- (288) Send it **over** email. (INSTRUMENT → PATH)

via

- (289) Travel by train. (INSTRUMENT)
- (290) Escape with a getaway car. (INSTRUMENT)
- (291) Escape in the getaway car. (INSTRUMENT→LOCUS)

This includes some expressions which incorporate the Instrument in a noun:

- (292) ride **on** horseback (INSTRUMENT → LOCUS)
- (293) hold at knifepoint (INSTRUMENT → LOCUS)

Other non-prototypical instruments that can be construed as paths include way-points from Source to Goal, and people/organizations serving as intermediaries:

- (294) We flew to London via Paris. (INSTRUMENT → PATH)
- (295) I found out the news via Sharon. (INSTRUMENT→PATH)
- (296) Joan bought her house through a real estate agent. (SOCIALREL→INSTRUMENT)
- (297) For my Honda I always got replacement parts **through** the dealership. (ORGROLE~INSTRUMENT)

Conversely, roadways count as PATHs but can be construed as INSTRUMENTS:

- (298) Escape through the tunnel. (PATH)
- (299) Escape **by** tunnel. (PATH→INSTRUMENT)

Compare MEANS, which is used for facilitative events rather than entities. See also Topic.

# **4 CONFIGURATION**

Thing, usually an entity or property, that is involved in a static relationship to some other entity.

Not used directly—see subtypes.

#### 4.1 **IDENTITY**

A category being ascribed to something, or something belonging to the category denoted by the governor.

Prototypical prepositions are **of** (where the governor is the category) and **as** (where the object is the category):

- (300) the state **of** Washington [as opposed to the city]
- (301) The liberal state **of** Washington has not been receptive to Trump's message.
- (302) **As** a liberal state, Washington has not been receptive to Trump's message.
- (303) I like Bob **as** a colleague. [but not as a friend]
- (304) What a gem **of** a restaurant! [exclamative idiom: both NPs are indefinite]
- (305) the problem/task/hassle **of** raising money
- (306) the age of eight
- (307) They did a great job of cleaning my windows.
- (308) TOPIC→IDENTITY, with a governing noun in the domain of communication or cognition:
  - a. the topic/issue/question of semantics
  - b. the idea of raising money

Something may be specified with a category in order to disambiguate it (300), or to provide an interpretation or frame of reference with which that entity is to be considered. In some cases, like (308), the category is a *shell noun* (Schmid, 2000) requiring further specification.

Categorizations may be situational rather than permanent/definitional:

- (309) a. She appears **as** Ophelia in *Hamlet*.
  - b. He is usually a bartender, but today he is working **as** a waiter.

Paraphrase test: "(thing) IS (category) [in the context of the event]": "Washington is a liberal state", "opening a new business is a hassle", "She is Ophelia", etc. Note that **as**+category may attach syntactically to a verb, as in (303) and (309), rather than being governed by the item it describes.

If the object of the preposition is a property (as opposed to a category), the scene role is Characteristic:

- (310) Adnominal: CHARACTERISTIC → IDENTITY
  - a. a car of high quality
  - b. a man **of** honor
  - c. a business of that sort [contrast with Species, §4.2]
- (311) Secondary predicate adjective: CHARACTERISTIC→IDENTITY
  - a. She described him as sad.
  - b. He strikes me as sad.

See also: COMPARISONREF

*History.* Generalized from v1, where it was called Instance and restricted to the "(category) **of** (thing)" formulation. The relevant usages of **as** were labeled ATTRIBUTE.

## 4.2 **SPECIES**

A category qualified by *sort, type, kind, species, breed,* etc. Includes *variety, selection, range, assortment,* etc. meaning 'many different kinds'.

- (312) a. that sort of business
  - b. A good type of ant to keep is the red ant.
  - c. certain strains of Escherichia coli
  - d. Modern breeds **of** these homing pigeons return reliably
  - e. Some poor sap applied the wrong brand of paint
  - f. This store offers a wide selection of footstools
- (313) an example **of** Italian Renaissance architecture the epitome

SPECIES is *not* used if the sort/variety noun is the object rather than the governor:

(314) a business of that sort (CHARACTERISTIC)

## 4.3 GESTALT

Generalized notion of "whole" understood with reference to a component part, possession, set member, or characteristic. See Characteristic.

Gestalt—the supercategory of Whole and Possessor—applies directly for entities and eventualities which can loosely be conceptualized as containing or possessing something else, but for which neither Whole nor Possessor is a good fit.

**Properties.** The holder of a property if the property is the governor:

(315)	a.	the blueness <b>of</b> the sky	the sky' <b>s</b> blueness
	b.	the size of the crowd	the crowd's size
	c.	the price <b>of</b> the tea	the tea's price

d. the start time of the party
e. the power of the president
the party's start time
the president's power

- (316) It was the chairman's fault/responsibility/right.
- (317) the restaurant's extensive menu<sup>23</sup> [cf. (352a)]
- (318) a new way **of** thinking
- (319) the amount **of** time allowed [but see (437)]
- (320) the food/service at this restaurant (GESTALT→LOCUS)

By extension, a possessor of an item in relation to an attribute of that item is GESTALT:

(321) **my** odometer number (= my car's odometer's number) [contrast (328)]

The property may be a fact or detail conventionally associated with someone in life for which there is no more specific role:

(322) **my** address; **my** price range; **my** home team; **my** career; **my** record of accomplishments

<sup>&</sup>lt;sup>23</sup>The word *menu* (literally an information artifact) can be read as standing metonymically for the dishes available for order, to be created and served by the restaurant. Semantically, the restaurant frame defines various specific actions/relationships involving the menu and items on it. Gestalt is highly general and therefore an appropriate selection when none of these particular actions or relationships are in focus.

**Containers.** The construal Locus→Gestalt is used for a container denoted by the governor:

(323) the room's 2 beds (LOCUS→GESTALT)

**Discourse-associated item.** A referent temporarily associated with another referent in the discourse and used to help identify it:

(324) Sam's dog (= the dog that Sam mentioned seeing earlier in the conversation)

**Other possessive constructions.** Gestalt is the construal for many uses of possessive syntax where the semantic criteria for Possessor are not met. For instance, s-genitive marking of participant roles (Agent, Experiencer, etc.) are analyzed with Gestalt as the function. Moreover, the s-genitive construction, unlike **of**, is never analyzed with Whole as the function, so Whole Gestalt is used. See §6.1 for discussion of possessive constructions.

#### 4.3.1 Possessor

Animate party that has a piece of **property** (something potentially with monetary value: the Possession) on a permanent or temporary basis. The Possession must be *alienable*, i.e. not a part or attribute of the Possessor.

Prototypically expressed with the *s-genitive* (§6.1: '**s** and possessive pronouns), and **of** (the *of-genitive*):

(325) a. the house **of** the Smith family the Smith family'**s** house

b. the corgis **of** Queen Elizabeth Queen Elizabeth'**s** corgis

Possessor is not limited to cases of *ownership*, but also includes temporary forms of possession, such when something is on loan to or under the control of the possessor. The Possessor may be *borrowing*, *renting*, *wearing*, or *holding* the property:

- (326) John's hotel room [the room John is staying in as a guest]
- (327) Mary's delivery truck [the company truck that Mary drives as an employee]

There may be an implicit piece of property of which the stated item is a part:

(328) **My** windshield (= the windshield of my vehicle) is foggy. [contrast (321)] A wearer of attire may be construed in multiple ways:

- (329) the cloak **of** He-Who-Must-Not- He-Who-Must-Not-Be-Named'**s** Be-Named cloak
- (330) the cloak **on** He-Who-Must-Not-Be-Named (Possessor → Locus)

**Pets**, by default, are treated as property rather than family members (325b).

**Abstract possession.** For Possessor/Possession to apply to an abstract piece of property, the property must be a commodity in the financial/commercial domain, or information stored externally to the Possessor in physical or electronic media.

- (331) Commodity:
  - a. **my** shares of stock
  - b. **my** insurance (= insurance that I "own")
- (332) Stored information:
  - a. **my** computer file
  - b. **my** website
  - c. the campaign's email list
  - d. **my** contract

This excludes other abstract notions that can be metaphorically possessed or transferred:

- (333) a. the president's power (GESTALT)
  - b. **my** memories of childhood (EXPERIENCER→GESTALT)

**Creation or transfer of an item.** ORIGINATOR should be preferred as the scene role wherever it is clear that the party in question created the item:

- (334) Rodin's sculptures (ORIGINATOR→GESTALT) [(251b)]
- (335) the restaurant's food (ORIGINATOR→GESTALT) [(251c)]

In cases of *explicit* transfer, the initial possessor of something is labeled ORIGINATOR, and the final possessor of something transferred is the RECIPIENT. However, when there is a possessed item whose transfer is merely assumed from context or world knowledge (and the party in question is not the creator), default to POSSESSOR:

- (336) The shopkeeper's merchandise is fantastic. [item to be sold] store's
- (337) Waiter, is **my** food ready? [item identified or ordered for purchase]

(338) Use **my** money (the money that I gave you) wisely! [item that was transferred]

Possessed nouns like *gift* and *contribution* that refer to an entity but lexically imply a previous transfer event should be Possessor unless another argument of the noun disambiguates Originator vs. Recipient by process of elimination:

- (339) a. That was my gift. (Possessor)
  - b. That was **my** gift to<sub>RECIPIENT</sub> $\sim$ GOAL John. (ORIGINATOR $\sim$ GESTALT)
  - c. That was my gift from  $_{\text{ORIGINATOR} \leadsto \text{SOURCE}}$  Mary. (RECIPIENT  $\leadsto$  GESTALT)

**Communication.** Communicative acts are treated as transfer of information, and thus Originator and Recipient apply to the communicator and addressee, respectively.

See also Accompanier, Beneficiary, OrgRole, and §6.1: Genitives/Possessives.

# 4.3.2 WHOLE

Something described with respect to its part, portion, subevent, subset, or set element. See PartPortion.

sut	oset	t, or set element. See PARTPO	RTION.
(340)		Whole	Whole→Gestalt
	a.	the new engine <b>of</b> the car	the car's new engine
	b.	the flaxen hair <b>of</b> the girl	the girl' <b>s</b> flaxen hair
	c.	the body <b>of</b> Lord Voldemort	Lord Voldemort's body
	d.	the 3 layers <b>of</b> the cake	the cake' <b>s</b> 3 layers
	e.	the 3 prongs <b>of</b> the strategy	the strategy's 3 prongs
	f.	the tastiest bit of the cake	the cake's tastiest bit
	g.	the southern tip <b>of</b> the island	the island's southern tip
	h.	the interior of the shopping bag	the shopping bag's interior
	i.	the end <b>of</b> the journey	the journey' <b>s</b> end
	j.	the 14 episodes <b>of</b> a TV series	a TV series's 14 episodes
(341)	the south <b>of</b> France		
(342)	The remainder <b>of</b> the cake rest		
(343)	W	HOLE→LOCUS:	
	a.	the 14 episodes <b>in</b> a TV series	

- b. the new engine in the car
- c. the escape key on the keyboard
- d. the flaxen hair on the girl
- (344) the clothes in that pile are dirty (WHOLE→LOCUS)
- (345) There are several options to choose **from**. (WHOLE→SOURCE)
- (346) Sets and ratios:
  - a. This is one **of** the worst retaurants in town. (WHOLE) better
  - b. 2 in 10 American children are redheads. (WHOLE→LOCUS)
  - c. 2 out\_of 10 American children are redheads. (WHOLE→SOURCE)
  - d. **Out of** the 10 children in the class, only Mary is a redhead. (WHOLE~SOURCE)
  - e. Among the 10 children in the class, only Mary is a redhead. (WHOLE)

If the governor narrows the reference to a certain amount of the WHOLE, the construal QUANTITY WHOLE is used—see (439). Note that this only applies if the governor is a measure term; it does not apply to distinctive parts like "layers" (340d) and "prongs" (340e), even if a count is specified.

Used to construe geographic and temporal "containers":

- (347) Famous castles of the valley (Locus→Whole)
- (348) a. the 15th of March (TIME $\rightsquigarrow$ WHOLE)
  - b. March of 44 BC (TIME → WHOLE)

The prepositions **between** and **among** can impose Whole construals by combining two or more items in the object NP (contrast with (346e)):

- (349) The negotiations **between** the parties went well. (AGENT→WHOLE) **among**
- (349') The negotiations by the parties went well. (AGENT)

*History.* In v1, Superset was distinguished as a subtype of Whole for examples such as (346), but the distinction was dropped for v2 (as was Elements: see Part-Portion).

## 4.4 CHARACTERISTIC

Generalized notion of a part, feature/property/attribute name or value, qualitative state/condition, possession, or the contents or composition of something, understood with respect to that thing (the GESTALT).

Labels Possession, PartPortion, and its subtype Stuff are defined for some important subclasses.

CHARACTERISTIC applies directly to:

- A property value:
  - (350) Adnominal: CHARACTERISTIC → IDENTITY
    - a. a car of high quality
    - b. a man of honor
    - c. a business of that sort [contrast with Species, §4.2]
  - (351) Secondary predicate adjective: CHARACTERISTIC → IDENTITY
    - a. She described him as sad.
    - b. He strikes me as sad.
- Role of a complex framal GESTALT that has no obvious decomposition into parts:
  - (352) a. the restaurant **with** a convenient location [cf. (317)] an extensive menu
    - b. a party with great music
- That which is located in a container denoted by the governor:
  - (353) a room with 2 beds [beds are among the things in the room]
  - (354) CHARACTERISTIC→STUFF where the object of the preposition is construed as describing the contents in their entirety:
    - a. a shelf of rare books
    - b. a cardboard box of snacks
- With a transitive verb like *search*, *examine*, or *test*, the attribute of the THEME that is being examined:
  - (355) He examined the vase for damage.
  - (356) He searched the room **for** his laser pistol. [contrast intransitive THEME, (213a)]

- (357) He was tested **for** low blood sugar.
- The scale or dimension by which items are compared:
  - (358) The children are sorted **by** height screened
  - (359) a. She exceeds him in height
    - b. There is no difference in height
- The **form or shape** that an entity takes, or in which elements are arranged. This includes language of communication within an information source:
  - (360) Characteristic → Locus:
    - a. The ribbon is (tied) in a bow.
    - b. The sand is **in** a pyramid shape.
    - c. I skipped lunch for  $F_{REQUENCY}$  three days in a row. [see (51b)] the third day
    - d. The book is **in** French. [contrast (167)]
    - e. music in C major
- An adverbial **depictive** characterizing a participant of an event:
  - (361) She entered the room in a stupor. (= she was in a stupor when  $\frac{drunk}{drunk}$  she entered) (Characteristic Locus) [repeated at Manner for contrast]
- Anything that is borderline between the Possession and PartPortion subcategories.
- The **state or condition** that an entity is in. The PP or intransitive preposition is used (especially predicatively) to describe a qualitative state or condition of an entity that is not simply a relation of location, time, possession, quantity, causation, etc. between governor and object. For example:
  - With the noun *state*, *condition*, etc.:
    - (362) Characteristic → Locus:
      - a. The chairs are in excellent shape.
      - b. I'm in no condition to go outside.
  - Bodily/medical conditions presented as applying to the governor:

- (363) John is on his back . (CHARACTERISTIC → LOCUS) on antibiotics on the ventilator in pain in a coma
- Miscellaneous qualitative senses of specific prepositions used statively:
  - (364) John is for the war. [opinion] (CHARACTERISTIC → BENEFICIARY) against
  - (365) John is **into** sports. [hobbies/interests] (CHARACTERISTIC → GOAL)
- Idiomatic PPs expressing states, for example:<sup>24</sup>
  - (366) on fire (contrast in the fire), on time (contrast at the time), in trouble, in love, in tune, in a hurry, at odds, out\_of business, out\_of control (Characteristic Locus)
- Intransitive prepositions expressing a qualitative state (not location, time, etc.):
  - (367) Characteristic → Locus:
    - a. The lights are **on**. [also at SOURCE for contrast]

off

out

b. Political TV shows are in. [in fashion]

Contrast intransitive predicative prepositions describing an *event*:

(368) The party tomorrow is **on**. (TEMPORAL $\sim$ LOCUS) [see: TEMPORAL]

A few observations about these state PPs are in order.

1. In a reversal of the usual asymmetry between governor and adpositional object, semantically, the PP defines the kind of scene that the governor participates in. To an extent, this may be true of all predicative PPs, but the state PPs are often such that the object of the preposition is neither an event nor a referential entity. I.e., *John is in a hurry* does not exactly express a relation between the entities *John* and *a hurry*; rather, it expresses something qualitative about the entity *John's* condition.

 $<sup>^{24}</sup>$ Often the object of the preposition is determinerless (*in business*) (Baldwin et al., 2006) or has a fixed determiner (*in a hurry*).

- 2. The most idiomatic of the state PPs seem to resist questions of the form *What?*+NP-supercategory with a stranded preposition:
- (369) More productive prepositional usages:
  - a. The party is in January.  $\rightarrow$  What month is the party in? [Or: When is the party?] (TIME)
  - b. John is **on** aspirin.  $\rightarrow$  What medication is John **on**?<sup>25</sup> (Characteristic  $\sim$  Locus)
- (370) Less productive/more idiomatic preposition + NP combinations:
  - a. John is in a hurry. → What\_is John in?<sup>26</sup> (CHARACTERISTIC~LOCUS) a coma
  - b. John is **on** fire. → What \_ is John **on**? (CHARACTERISTIC~LOCUS)
- 3. Typically these states are binary: something is either *on fire/ on time*, or not. For some, the negation may be expressed by substituting a contrasting preposition: an orchestra that is not *in tune* is *out\_of tune*.

**State PPs with complements.** The Characteristic Locus construal is also used when there is effectively a preposition+NP+preposition combination that links two arguments:

- (371) CHARACTERISTIC → LOCUS:
  - a. John is in love (with  $STIMULUS \sim TOPIC$  Mary). [cf. (241f)]
  - b. That is **at** odds with<sub>COMPARISONREF→TOPIC</sub> our agreement.

**Change-of-state PPs.** Note that CHARACTERISTIC does not apply to an initial or result state, where SOURCE and GOAL are the respective scene roles (collapsing the usual state/location distinction):

- (372) John came out\_of a coma. (Source)
- (373) John slipped into a coma. (GOAL)
- (374) The drugs put John in a coma. (GOAL→LOCUS)
- (375) They chopped the wood in pieces. (GOAL→LOCUS)

For some usages but not all, one of "GESTALT {HAS, CONTAINS} CHARACTERISTIC" is entailed. This does not help to distinguish subtypes.

 $<sup>^{25}\</sup>mathrm{Or},$  colloquially, with a suspected mind-altering substance: What is John on?!

<sup>&</sup>lt;sup>26</sup> What condition/state is John in? does work, but is quite vague.

**Versus CIRCUMSTANCE.** State PPs like **at** odds and **on** medication, which receive the construal Characteristic Locus, are similar to situating events like **at** the party and **on** vacation, which are analyzed as CIRCUMSTANCE Locus. What matters for the scene role is whether the object of the preposition is an event or not.

**Versus Manner.** If a property pertains to an entity—whether that entity is the syntactic governor or not—then Characteristic. Manner is limited to descriptors of events.

*History.* The v1 label Attribute was intended to apply to features of something, but was vaguely defined. With the overhaul of the Configuration subhierarchy, Attribute has primarily been replaced by Characteristic and its subtypes and Identity.

#### 4.4.1 Possession

Piece of **property** (something potentially with monetary value) that an animate party (the Possessor) has on a permanent or temporary basis, or that is transferred between parties. The Possession must be *alienable*, i.e. not a part or attribute of the Possessor.

The Possessor may *own* or may be *borrowing*, *renting*, *wearing*, or *holding* the property.

Prototypical prepositions are with and without:

(376) People with money without

There is also a (negated) possession sense of **out/out\_of**:

- (377) a. We are **out\_of** toilet paper.
  - b. Toilet paper? We are out.

Attire may be construed in multiple ways:

- (378) the kid **with** a vest (on) makeup
- (379) the kid in a vest (Possession → Locus)

Immediate concrete possession uses an ACCOMPANIER construal:

(380) Hagrid exited the shop with (= carrying) a snowy owl. (POSSESSION→ACCOMPANIER)

**Transfer, goods, and services.** In a commercial scene, goods, services, and money are distinguished. Possession is used as the scene role for goods for sale. Possession also applies to a piece of property transferred between parties, lost, acquired, or carried, even if no money changes hands. Theme is the scene role for commercial services. Cost applies to the money asked, paid, or owed.

The construal Possession Theme is used for goods marked by **on**, **for**, etc., whereas **with** can be simple Possession:

- (381) Simple change of possession and transfer:
  - a. I bestowed the winner with a bicycle. (Possession) [repeated at Cost] \$100
  - b. They robbed her **of** her life savings. (Possession → Theme)
- (382) Goods:
  - a. They spent \$500 on the bicycle. (Possession → Theme) [also at Theme]
  - b. They charged/asked/paid/owed \$500 for the bicycle. (Possession → Theme)
  - c. \$500 **for** the bicycle was excessive. (Possession → Theme)

Contrast (220) under THEME.

Paraphrase test: "Possessor POSSESSES Possession", "Possessor is IN POSSESSION OF Possession", or "Possessor HAS ON Possession" for stative possession; "Recipient ACQUIRES Possession" or "Originator LOSES POSSESSION OF Possession" for change of possession. "IN POSSESSION OF" is especially appropriate for immediate concrete stative possession.

See further discussion at Possessor.

#### 4.4.2 PARTPORTION

A part, portion, subevent, subset, or set element (e.g., an example or exception) of some WHOLE.

Anything directly labeled with PARTPORTION is understood to be **incomplete** relative to the WHOLE. This includes body parts and partial food ingredients.

Prototypical prepositions include with, without; such\_as, like for exemplification; and but, except, except\_for for exceptions:

- (383) a. a car with a new engine
  - b. a strategy with 3 prongs
  - c. the girl with flaxen hair
  - d. a man with a wooden leg named Smith

- e. a valley with a castle
- f. a quintet with 2 cellos
- g. a performance with a guitar solo
- h. a cake with 3 layers
- i. a sandwich with wheat bread
- j. soup with carrots (in it)
- k. a chicken sandwich with ketchup (on it)
- (384) Bread without gluten

Some can be paraphrased with INCLUDES, but this is not determinative.

**Elements and Exceptions.** PartPortion is used for adpositions marking a member or non-member of a set:

- (385) strategies such as divide-and-conquer
- (386) Everyone except/but Bob plays trombone.

Set-membership can be construed as comparison:

(387) strategies **like** divide-and-conquer [same reading as (385)] (PARTPORTION → COMPARISON REF)

The set may be an organizational collective:

(388) A piano quintet is a chamber group with a piano (in it) (ORGROLE→PARTPORTION) [repeated at ORGROLE]

**Diverse Examples.** In describing a set or whole, a sort of scanning with **from**...**to** can be used indicate diversity or coverage of the items/parts:

(389) Everyone from  $PARTPORTION \sim SOURCE$  the peasants to  $PARTPORTION \sim GOAL$  the lord and lady gathered for the feast.

**Start with, end with, etc.** Along similar lines as (389), **with** can be used with an aspectual verb to indicate an item in a sequence: *start with, continue with, end with,* and similar. Here the scene role PartPortion applies (though note that it is a part with respect to another argument of the verb, not the verb itself):

- (390) PARTPORTION → MEANS:
  - a. My teacher started the lesson with a quiz.
  - b. The lesson started with a quiz.
- (391) The meal started with an appetizer. (PARTPORTION → INSTRUMENT)

*History.* In v1, instead of this category, there were separate categories ELEMENTS for set members, COMPARISON/CONTRAST for exemplification, and ATTRIBUTE for other parts (grouped with properties, which are now GESTALT). (SUPERSET was removed along with ELEMENTS: see WHOLE.)

## **STUFF**

The members comprising a group/ensemble, or the material comprising some unit of substance. STUFF is distinguished from other instances of PARTPORTION in fully covering (or "summarizing") the aggregate whole.

Paraphrase test: "WHOLE CONSISTS OF STUFF"

- (392) a. A clump of sand
  - b. A piece of wood
  - c. An evening of Brahms
  - d. A meal of salmon
- (393) A salad **of** mixed greens
- (394) This bottle is **of** beer (and that one is of wine). (CHARACTERISTIC→STUFF) [but see (429)]
- (395) A group/throng **of** vacationers (QUANTITY STUFF) [governor is collective noun not denoting an organization; more at QUANTITY]
- (396) OrgRole → Stuff:
  - a. An order of nuns [repeated at ORGROLE]
  - b. A chamber group **of** 5 players [repeated at ORGROLE] **with**

STUFF has no specific counterpart under WHOLE.

## 4.5 ACCOMPANIER

Entity that another entity is together with.

Sometimes called comitative.

Prototypical prepositions are with, without, along\_with, together, together\_with, and in\_addition\_to:

(397) I'll have soup with salad.

(398) She'll be with us in spirit.

'Togetherness' is a subjective concept that goes beyond proximity; contrast (399a) with (399b), which provide slightly different interpretations of the same spatial scene:

- (399) a. The girl is standing with her mother. (ACCOMPANIER)
  - b. The girl is standing **next to** her mother. (LOCUS)

For an "extra participant" in an activity, where two parties perform the activity together (but the nature of the activity would not fundamentally change if they each performed it independently), a CO-AGENT construal is used:

(400) Do you want to walk with me? (ACCOMPANIER→CO-AGENT)

By contrast, if the nature of the scene fundamentally requires multiple participants, simple CO-AGENT is used. Often there is ambiguity:<sup>27</sup>

- (401) Do you want to talk with me?
  - a. [The reading: Should we have a conversation?] (CO-AGENT)
  - b. [*The reading*: Do you want to join me in talking to a third party?] (AC-COMPANIER→CO-AGENT)
- (402) I fought with them to reform the regulation.
  - a. [The reading: I fought against them.] (CO-AGENT)
  - b. [*The reading*: I was on the same side as them.] (ACCOMPANIER→CO-AGENT)

If the object denotes an item that the governor has on hand in their possession, then the construal Possession Accompanier is used:

(403) I walked in with an umbrella. (Possession → Accompanier)

**X**<sub>i</sub> bring/take/... **Y** with **PRON**<sub>i</sub>. This construction repeats the subject argument in a with-PP, which is analyzed as POSSESSOR ACCOMPANIER or ACCOMPANIER depending on whether the scene involves possession (of something non-volitional) or not:

- (404) a. I brought my friend with me. (ACCOMPANIER) [emphasizes that the (volitional) friend is accompanying the subject]
  - b. I brought my friend.

<sup>&</sup>lt;sup>27</sup>Adding **together** seems to favor the (b) readings: *I fought together\_with them, We fought together can only mean we were on the same side. Contrastive stress can also force one reading: <i>I fought WITH them (not AGAINST them)*.

- (405) a. I brought my backpack with me. (Possessor → Accompanier) [emphasizes that the (nonvolitional) backpack is in the subject's immediate control]
  - b. I brought my backpack.

See also: Instrument, Manner

#### 4.6 INSTEADOF

A default or already established thing for which something else stands in or is chosen as an alternative.

- (406) I ordered soup instead\_of salad. rather than
- (407) **Instead\_of** ordering salad, I ordered soup.
- (408) The new shirts were gray instead\_of black.

May be construed spatially:

(409) I chose soup **over** salad. (INSTEADOF → LOCUS)

But when **over** is used for a scene of liking or preference, see ComparisonRef. See also: Accompanier, ComparisonRef, Co-Theme

## 4.7 COMPARISONREF

The reference point in an explicit comparison (or contrast), i.e., an expression indicating that something is **similar/analogous to**, **different from**, or **the same as** something else.

The marker of the "something else" (the ground in the figure–ground relationship) is given the label ComparisonRef:

- (410) a. She is taller than me.
  - b. She is taller than I am.
  - c. She is taller **than** she is wide.
  - d. She is better at math than at drawing.
  - e. The shirt is more gray than black.
- (411) a. She is as tall **as** I am.
  - b. Your face is (as<sub>CHARACTERISTIC</sub>→EXTENT) red **as** a rose. (more on **as-as** comparatives: §6.3.1)

- c. Your surname is the\_same as mine.
- (412) Harry had never met anyone quite like Luna.
- (413) It was **as\_if** he had insulted my mother.

like

The comparison is often made with respect to some dimension or attribute, the Characteristic, which may or may not be scalar. The comparison may be figurative, employing simile, hyperbole, or spatial metaphor (*close to* in the sense of 'similar to'). The ComparisonRef may even be a desirable or hypothetical/irrealis event or state (*It was as it should have been*).

Prototypical prepositions include **than**, **as** (including the second item in the **as—as** construction), **like**, **unlike**. Prominent construals are **to** (GOAL for similar-thing) and **from** (SOURCE for dissimilar-thing).

**Locus construal.** If something is preferred or appreciated **over** something else, ComparisonRef Locus is used:

(414) I prefer this restaurant **over** that one. (ComparisonRef→Locus) [paraphrase: I like this restaurant better **than** that one.]

But for scenes of choice and substitution, see INSTEADOF.

**Source and Goal construals.** Resemblance and equivalence may be expressed with **to**, while difference may be expressed with **from**:

- (415) ComparisonRef → Goal:
  - a. Shall I compare thee to a summer's day?
  - b. Her height is equal to mine.

close

- (416) ComparisonRef→Source:
  - a. We need to distinguish what is achievable **from** what is desirable.
  - b. Her height is different **from** mine.<sup>28</sup>

<sup>&</sup>lt;sup>28</sup>American English. Interestingly, *different to* occurs in British English.

#### **ACCOMPANIER construal.**

(417) Don't compare me with my sister! (ComparisonRef→Accompanier)

**Category as standard.** An indirect comparison can be made by relating something to a category to which it may or may not belong. The category stands for its members or prototypes. For example, in:

(418) He is short **for** a basketball player. (COMPARISONREF)

the category *basketball player* serves as the standard against which *he* is deemed short.

**Sufficiency and excess.** Sufficiency and excess can be expressed with adverbs (*too, enough, insufficiently,* etc.) and adjectives (*insufficient*) that license a PP or infinitival expressing the consequence.<sup>29</sup> For example:

- (419) ComparisonRef → Purpose:
  - a. He is too short **for** basketball. not tall enough **to** play
  - b. His height is insufficient for basketball.

Playing basketball is the desired outcome, but it is conditional on some scalar property relative to an implicit point on the scale—in (419), a minimum height associated with playing basketball. As a consequence, the desired outcome may or may not be blocked. Thus, the consequence phrase helps to establish a reference point of comparison.

As discussed under Purpose, if the consequence phrase in such a construction meets the criteria for purposes, it is labeled ComparisonRef $\rightsquigarrow$ Purpose. Otherwise, the non-purpose consequence is labeled ComparisonRef $\rightsquigarrow$ Goal.

MANNER → COMPARISON REF construal. This applies to an analogy that describes the *how* of an event (be it agentive or perceptual):

- (420) Manner → ComparisonRef:
  - a. You eat like a pig (eats).
  - b. You smell like a pig.

However, where an analogy is an external comment on an event rather than filling in a role of the event, it is simply Comparison Ref. Contrast:

<sup>&</sup>lt;sup>29</sup>See the Degree-Consequence construction (Bonial et al., 2018).

- (421) You ate a whole pie like my cousin did.
  - a. *Role reading:* The way in which you ate a pie was similar. (MANNER ~> COMPARISON REF)
  - b. *External comment reading*: You ate a whole pie, and so did my cousin. (ComparisonRef)

**Analogy and non-analogy readings of like.** In descriptions, adverbial **like**, **as\_if**, etc. can be ambiguous, especially in a scene of perception. For example:

- (422) This looks like a Van Gogh painting.
  - a. *Analogy reading*: This looks similar to a Van Gogh painting. (MANNER→COMPARISONREF)
  - b. *Conclusion reading*: This looks to be a Van Gogh painting (it probably is one). (THEME→COMPARISONREF)
- (423) It sounded like/as if
  - a. ...he had drunk a gallon of helium. (MANNER→COMPARISONREF: analogy reading more likely)
  - b. ...they weren't taking me seriously. (THEME→COMPARISONREF: conclusion reading more likely)

Similarly for seem like, feel like, etc.

Another ambiguity can arise when **like** occurs with *what* as its extracted object. In the following sentences, the most likely interpretation is not one of analogy between two things, but rather an open-ended description. (*Who does it look like*?, by contrast, implicates an analogy to an individual.) We therefore treat *like what* as a PP idiom, and label it MANNER COMPARISON REF:

- (424) Manner → Comparison Ref:
  - a. I know what Steve looks like. (I know how Steve looks.)
  - b. What\_ does her hair look \_like? (How does her hair look?)
  - c. What\_ is the party \_like? (How is the party?)

A *how*-paraphrase is generally possible, though *how* may suggest a positive or negative evaluation is available, whereas *what* is more neutral.

Constrast unaccusative perception verb + of combinations:

(425) Your father smells **of** elderberries. (MANNER→STUFF) [also (171)] The soup tastes

**Category exemplars and set members.** When governed by an NP naming a category or set, **like** is ambiguous between exemplifying a member, as in (426b) and (427b), and merely indicating similarity, as in (426a) and (427a):

- (426) Colbert frequently promotes comedians like himself.
  - a. [Exclusive/restrictive reading: similar to himself (but not including himself)] (COMPARISONREF)
  - b. [*Inclusive/nonrestrictive reading: such as/including* himself (he promotes himself, among others)] (PARTPORTION→COMPARISONREF)
- (427) a. I don't know anyone else **like** her. [anyone else *similar to* her] (COMPARISONREF)
  - b. It must be great to have a wonderful doctor **like** her .

    she is

    [It must be great to have her because she is a wonderful doctor]

    (IDENTITY COMPARISONREF)

## 4.8 RATEUNIT

Unit of measure in a rate expression.

This is for constructions using **per** or **by** to specify a unit:

- (428) a. The cost is \$10 **per** item.
  - b. A fuel efficiency of 40 miles **per** gallon (of gas)
  - c. Pizza is sold by the slice.
  - d. They charge by the hour.

Paraphrase: The adposition can be paraphrased as "for each/every".

History. In v1, this fell under VALUE.

# 4.9 QUANTITY

Something measured by a quantity denoted by the governor.

The governor may be a precise or vague count/measurement. This includes nouns like "lack", "dearth", "shortage", "excess", or "surplus" (meaning a toosmall or too-large amount).

Question test: the governor answers "How much/many of (object)?" The main preposition is **of**.

- Simple QUANTITY:
  - (429) Pour me a bottle('s worth) of beer. [but see (394)]
  - (430) I have 2 years of training.
  - (431) a. I ate 6 ounces **of** cake.

a piece

- b. An ounce of compassion
- (432) There's a dearth of cake in the house.
- (433) This cake has thousands of sprinkles.
- (434) They number in the tens **of** thousands.
- (435) a. I have a number **of** students.

handful

- b. I have a lot of students.
- c. We did a lot of traveling.
- d. There is a lot of wet sand on the beach.
- (436) A pair of shoes
- If the measure includes a word like "amount", "quantity", or "number", 30 the construal QUANTITY GESTALT is used (because the amount of something can be viewed as an attribute):
  - (437) QUANTITY→GESTALT:
    - a. A generous amount of time
    - b. A large number of students

But if "amount", "quantity", etc. is used without a measure as its modifier, it is simply GESTALT: see (319).

- If the governor is a **collective noun** not denoting an organization, the construal QUANTITY STUFF is used (note that a "consisting of" paraphrase is possible):
  - (438) QUANTITY→STUFF:
    - a. Can you outrun a herd of wildebeest?
    - b. Put 3 bales of hay on the truck.
    - c. A group of vacationers just arrived.

2 groups

A throng

 $<sup>^{30}\</sup>mbox{Excluding the expression "a number" meaning 'several', as in (435a).$ 

For organizational collectives, see OrgRole.

• Otherwise, if the object refers to **a specific item or set**, and the quantity measures a portion of that item (whether a quantifier, absolute measure, or fractional measure), the construal QUANTITY WHOLE is used:

#### (439) QUANTITY→WHOLE:

- a. I ate 6 ounces of the cake in the refrigerator.
- b. I ate half **of** the cake.

50%

- c. All/many/lots/a lot/ **of** the town's residents are students. some/few/both/none
- d. I have seen all **of** the city. (= the whole city)
- e. A lot of the sand on the beach is wet.
- f. 2 of the children are redheads.
- g. 2 of the 10 children in the class are redheads.

However, simple WHOLE is used if the portion is specified as "the rest", "the remainder", etc., as in (342).

#### 4.9.1 APPROXIMATOR

An "operator" that semantically takes a measurement, quantity, or range as an argument and "transforms" it in some way into a new measurement, quantity, or range.

#### For instance:

- (440) We have **about** 3 eggs left.
- (441) We have in the vicinity of 3 eggs left.
- (442) We have **over** 3 eggs left.
- (443) We have **between** 3 and 6 eggs left.

Similarly for around, under, more\_than, less\_than, greater\_than, fewer\_than, at\_least, and at most.

 $<sup>^{31}</sup>$ These constructions are markedly different from most PPs; it is even questionable whether these usages should count as prepositions. Without getting into the details here, even if their syntactic status is in doubt, we deem it practical to assign them with a semantic label in our inventory because they overlap lexically with "true" prepositions.

#### 4.10 SOCIALREL

Party (individual, group of persons, or institution) with which another party has a stable affiliation.

Typically, SocialRel applies directly to interpersonal relations (versus the subtype OrgRole for relations involving an organization). It does not have any prototypical adpositions. Construals include:

- (444) a. I work with Michael. (SOCIALREL→CO-AGENT)
  - b. Joan has a class with Miss Zarves. (SOCIALREL→CO-AGENT)
- (445) people with children (SOCIALREL→CHARACTERISTIC)
- (446) SOCIALREL → GESTALT

a. Joan is the sister **of** John. Joan is John**'s** sister. wife

b. Joan is a student **of** Miss Zarves. Joan is Miss Zarves'**s** student.

c. the rivalry **of** the teams the team**s**' rivalry

- (447) the rivalry **between** the teams (SOCIALREL~WHOLE) [see (349)]
- (448) Joan is studying under Prof. Smith. (SOCIALREL~LOCUS)
- (449) Joan is married to John. (SOCIALREL→CO-THEME)
- (450) Joan is divorced from John. (SOCIALREL→CO-THEME)
- (451) Joan bought her house **through** a real estate agent. [intermediary] (SO-CIALREL→INSTRUMENT)

Note, however, that *work with* is ambiguous between being in an established professional relationship (444a), and engaging temporarily in a joint productive activity:

(452) I was working with Michael after lunch. (CO-AGENT)

It is up to annotators to decide from context which interpretation better fits the context.

*History.* Renamed from v1 label PROFESSIONALASPECT, which was borrowed from Srikumar and Roth (2013a,b). The name SocialRel reflects a broader set of stative relations involving an individual in a social context, including kinship and friendship. See also note under OrgRole.

#### 4.10.1 **OrgRole**

Either party in a relation between an organization/institution and an individual who has a stable affiliation with that organization, such as membership or a business relationship.

Like its supertype SocialRel, OrgRole lacks any prototypical adposition, but participates in numerous construals:

- (453) OrgRole → Gestalt with the institution as possessor:
  - a. the chairman **of** the board the board'**s** chairman
  - b. the president **of** France France's president
  - c. employees **of** Grunnings Grunnings'**s** employees customers customers
- (454) ORGROLE→GESTALT with possessive marking on the individual:
  - a. **my** school/gym [that I attend]
  - b. **my** work [the place where I work]
  - c. my landscaping company [that I hired]
  - d. the family of Miss Zarves
  - e. Miss Zarves's family
- (455) ORGROLE → POSSESSOR if the individual is understood to possess authority within or as a representative of the institution:
  - a. my small business [that I own or operate]
  - b. the president's administration
- (456) a. Mr. Dursley works for Grunnings. (ORGROLE→BENEFICIARY)
  - b. Mr. Dursley works at Grunnings. (ORGROLE→LOCUS)
  - c. Mr. Dursley is from Grunnings. (ORGROLE→SOURCE)
  - d. Mr. Dursley is with Grunnings. (ORGROLE→ACCOMPANIER)
  - e. Mr. Dursley is employed **by** Grunnings. (ORGROLE→AGENT)
- (457) I always do business with this company. (ORGROLE→CO-AGENT)
- (458) ORGROLE → ACCOMPANIER:
  - a. I bank with TSB.
  - b. my phone service with Verizon
- (459) For my Honda I always got replacement parts **through** the dealership. [intermediary business] (ORGROLE~INSTRUMENT)
- (460) I serve **on** the committee. (ORGROLE→LOCUS)

- (461) ORGROLE→STUFF if the governor is an organizational collective noun and the object of the preposition describes the full membership:
  - a. An order of nuns [repeated at STUFF]
  - b. A chamber group **of** 5 players [repeated at STUFF] **with**
- (462) ORGROLE PARTPORTION if the governor is an organizational collective noun and the object of the preposition denotes a subset of members:
  - a. A piano quintet is a chamber group **with** a piano (in it) [repeated at PARTPORTION]

A family counts as an institution construed as a WHOLE (set of its members) or as a LOCUS:

- (463) I am the baby of the family. (ORGROLE → WHOLE)
- (464) people in my family (ORGROLE → LOCUS)

For a relation between a unit and a larger institution, use WHOLE:

(465) the Principals Committee of the National Security Council (WHOLE)

See also: STUFF

*History.* OrgRole is now distinguished within the broader SocialRel category following the precedent of the Abstract Meaning Representation (AMR; Banarescu et al., 2013, 2015). In AMR, have-org-role-91 captures relations between an individual and an institution (such as an organization or family), whereas have-rel-role-91 is used for relations between two individuals.

#### **5 Constraints on Role and Function Combinations**

The present scheme emerged out of extensive descriptive work with corpus data. Given the abundance of rare preposition usages, this document does not claim to cover every possible role/function combination for English, let alone other languages. Below are the few categorical restrictions that seem warranted for English.

#### 5.1 Supersenses that are purely abstract

PARTICIPANT and CONFIGURATION are intended only to organize subtrees of the hierarchy, and not to be used directly.

#### 5.2 Supersenses that cannot serve as functions

For English prepositions and possessives, EXPERIENCER, STIMULUS, ORIGINATOR, RECIPIENT, SOCIALREL, and ORGROLE can only serve as scene roles, not functions. Though scenes of perception, transfer, and interpersonal/organizational relationships are fundamental in language, they always seem to exploit construals from other domains (motion, causation, possession, and so forth). (They may be marked more canonically by other English constructions, or by adpositional and case constructions in other languages.)

For example, (466a) is clearly RECIPIENT at the scene level—Sam acquires possession of the box—but also fits the criteria for GOAL because Sam is an endpoint of motion (and **to** frequently marks GOALs that are not RECIPIENTS). (466b) and (466c) reflect RECIPIENT AGENT and RECIPIENT GESTALT construals, respectively.

- (466) a. Give the box to Sam. (RECIPIENT → GOAL)
  - b. the box received **by** Sam (RECIPIENT → AGENT)
  - c. Sam's receipt of the box (RECIPIENT → GESTALT)

Though the GOAL construal is arguably the most canonical expression of Recipient, there is no preposition with a primary meaning of Recipient independent of one of these other domains.

Additional constraints on functions arise in the context of specific constructions (§6). For instance,

- the s-genitive requires either Possessor or Gestalt as its function (§6.1)
- passive by requires AGENT or CAUSER as its function (§6.2)

#### 5.3 Supersenses that cannot serve as roles

In the present scheme, there are no supersenses that are restricted to serving as functions.

#### 5.4 No temporal-locational construals

Temporal prepositions never occur with a function of LOCUS, PATH, or EXTENT.

Languages routinely borrow from spatial language to describe time, and spatial cognition may underlie temporal cognition (e.g., Lakoff and Johnson, 1980; Núñez and Sweetser, 2006; Casasanto and Boroditsky, 2008). A liberal use of construal would treat *arriving in the afternoon* as TIME~LOCUS, *sleeping through the night* as DURATION~PATH, *running for 20 minutes* as DURATION~EXTENT, and so forth. However, for simplicity and practicality, we elect not to annotate LOCUS, PATH, or EXTENT construals on ordinary temporal adpositions. Thus:

- (467) a. arriving **in** the afternoon (TIME)
  - b. sleeping **through** the night (DURATION)
  - c. running for 20 minutes (DURATION)

TIME DIRECTION is possible, however, as are other atemporal functions:

- (468) a. Schedule the appointment **for** Monday. (TIME→DIRECTION)
  - b. January **of** last year (TIME → WHOLE)
  - c. Will you attend Saturday's class? (TIME→GESTALT)
  - d. It took a year's work to finish the book. (DURATION → GESTALT)

Note that the above is qualified to 'ordinary temporal adpositions'. When the first argument of a comparative construction is marked with as, the function is always EXTENT, even if the scene role is temporal. See \$6.3.1.

# 5.5 Construals where the function supersense is an ancestor or descendant of the role supersense

Ordinarily, if a construal holds between two (distinct) supersenses, these are from different branches of the hierarchy. In a few cases, however, one is the ancestor of the other.

#### Role is ancestor of function.

- Setting events or situations with a salient spatial metaphor are CIRCUM-STANCE~LOCUS or CIRCUMSTANCE~PATH.
- Fictive motion (the extension of a normally dynamic preposition to a static spatial scene) can warrant LOCUS GOAL or LOCUS SOURCE, as discussed under LOCUS.
- Complete contents of containers are CHARACTERISTIC → STUFF.

#### Function is ancestor of role.

- Some s-genitives are annotated as WHOLE → GESTALT: see §6.1.
- When a locative PP is coerced to a goal, as with *put*, GOAL >> LOCUS is used.

# **6 Special Constructions**

This section discusses notable constructions that are not limited to a single supersense.

#### 6.1 Genitives/Possessives

Blodgett and Schneider (2018) detail the application of this scheme to English possessive constructions: the so-called **s-genitive**, as in (469), and **of-genitive**, as in (470):

(469) a. the Smith family's house (POSSESSOR)

#### their

b. the tea's price (GESTALT)

its

(470) a. the house of the Smith family (Possessor)

b. the price of the tea (GESTALT)

Note that the s-genitive is realized with case marking (clitic 's or possessive pronoun<sup>32</sup>) rather than a preposition, and the case-marked NP in the s-genitive alternates with the object of the preposition in the of-genitive. (This may feel unintuitive: annotators looking at the s-genitive construction are often tempted to focus on the role occupied by the head noun rather than the case-marked noun.)

The s-genitive and of-genitive are particularly associated with Possessor (which applies to a canonical form of possession) and the more general category Gestalt; both supersenses are illustrated above (469, 470). In addition, both genitive constructions can mark participant roles and other kinds of relations, including Whole and SocialRel relations. When the s-genitive is used, the *function* is always either Gestalt (most cases) or Possessor (when the possession is sufficiently canonical). While overlapping in scene roles with the s-genitive, **of** is considered compatible with some additional functions, including Whole, Source, and Theme; thus of-genitives with such roles do not need to be construed as Gestalt or Possessor:

#### (471) SOCIALREL → GESTALT:

 $<sup>^{32}</sup>$ For ease of indexing, '**s** or **s**' is preferred over possessive pronouns for s-genitive examples in this document.

- a. the grandfather of Lord Voldemort
- b. Lord Voldemort's grandfather

hie

- (472) a. the hood of the car (WHOLE)
  - b. the nose of He-Who-Must-Not-Be-Named (WHOLE)
  - c. the car's hood (WHOLE → GESTALT)

its

d. He-Who-Must-Not-Be-Named's nose (WHOLE→GESTALT)

his

- (473) a. the arrival **of** the queen (THEME)
  - b. the queen's arrival (THEME → GESTALT)

her

(474) Shakespeare's works (ORIGINATOR → GESTALT)

his

(475) These are children's clothes.<sup>33</sup> [clothes intended for use and possession by children] (BENEFICIARY→POSSESSOR)

The literature on the genitive alternation examines the factors that condition the choice of construction; important factors include the length and animacy of the possessed NP. In addition, **of** participates in certain constructions that are not really possessives—e.g. *this sort of sweater* (SPECIES).

Some difficult cases are clarified below.

**Person in relation to a place or travel.** In relation to an act of travel, the person is treated as a (possibly non-volitional) participant in a motion event. Otherwise, a person in relation to an associated place is GESTALT.

(476) **my** destination (Theme $\rightsquigarrow$ Gestalt)

journey

travels

(477) **my** hometown (GESTALT)

birthplace

<sup>&</sup>lt;sup>33</sup>Cannot readily be paraphrased with **their** because *children* is not referential, but rather refers to a kind. This construction has been termed the *descriptive genitive* (Quirk et al., 1985, pp. 322, 327–328).

**Idioms.** Certain idioms require an s-genitive argument that does not participate in any transparent semantic relationship; for these, `\$ is used (\$7.4).

#### 6.2 Passives

The construction for passive voice (in verbs and nominalizations thereof) involves an optional **by**-PP; the object of **by** alternates with the subject in active voice. While a variety of scene roles can be expressed with this phrase, the *functions* associated with passive **by** are limited to AGENT and CAUSER:

- (478) a. the decisive vote by the City Council (AGENT)
  - b. the devastation wreaked **by** the fire (CAUSER)
  - c. This story was told **by** my grandmother. (ORIGINATOR→AGENT)
  - d. The news was not well received **by** the White House. (RECIPIENT > AGENT)
  - e. Mr. Dursley is employed **by** Grunnings. (ORGROLE→AGENT)
  - f. The window was broken **by** the hammer. (INSTRUMENT→CAUSER)
  - g. scared **by** the bear (STIMULUS→CAUSER)

#### **6.3 Comparatives and Superlatives**

Various constructions express a comparison between two arguments.

**COMPARISONREF for second argument.** When the second argument (the point of reference) is adpositionally marked, COMPARISONREF is used, regardless of its complement's syntactic type:

- (479) a. Your face is as red  $\mbox{as}\ \mbox{a rose}\ \mbox{. (ComparisonRef)}$ 
  - mine is
  - b. Your face is redder than a rose . (ComparisonRef) mine is

See further examples at ComparisonRef.

#### 6.3.1 As-as comparative construction

**EXTENT argument.** In an **as-as** comparison, the scene role of the first argument (the object of the first **as**) is the role that would be operative if the construction were removed and only the first argument remained: e.g., *I stayed as long as I could*  $\rightarrow$  *I stayed long*. The function of the first **as** is always EXTENT to reflect that it marks the degree on a scale:

(480) a. I helped **as** much as I could. (EXTENT)

- b. Your face is **as** red as a rose. (CHARACTERISTIC → EXTENT)
- c. I helped as carefully as I could. (MANNER → EXTENT)
- d. I stayed as long as I could. (DURATION → EXTENT)
- e. I helped as often as I could. (FREQUENCY→EXTENT)
- f. I've eaten (twice) **as** much (food) as you. [amount of something] (APPROXIMATOR >> EXTENT)

**Second argument: ComparisonRef.** See (479) above.

#### 6.3.2 Superlatives

WHOLE is used for the superset or gestalt licensed by a superlative:

(481) the youngest of the children (WHOLE)

See more at WHOLE.

#### 6.4 Infinitive Clauses

In its function as infinitive marker, **to** is not generally considered to be a preposition. Nevertheless, we consider all uses of **to** for adposition supersense annotation because infinitive clauses (infinitivals) can express similar semantic relations as prepositional phrases.

#### 6.4.1 Infinitival varieties of PURPOSE

Most notably, infinitival purpose adjuncts alternate with **for**-PP purpose adjuncts:

#### (482) PURPOSE:

- a. i. Open the door to let in some air.
  - ii. Open the door **for** some air.
- b. i. I flew to headquarters **to** meet with the principals.
  - ii. I flew to headquarters **for** a meeting with the principals.

Thus, from a practical point of view, we might as well treat infinitival **to** as capable of marking a Purpose.

The following list summarizes semantic analyses that we consider for infinitivals, which are detailed under Purpose:

• **Purpose adjuncts**, whether are adverbial or adnominal. These are labeled Purpose. Some can be paraphrased with **in\_order\_to**.

- In a **commercial scene**, a service to performed in exchange for payment; labeled Theme Purpose. Repeated from the discussion under Theme:
  - (483) a. They asked \$500 to make the repairs. (THEME→PURPOSE)
    - b. \$500 to make the repairs was excessive. (THEME→PURPOSE)
- **Result** infinitives, such as those in (129), are labeled GOAL.
- Constructions of **sufficiency and excess**—too short **to** ride, not tall enough **to** ride, etc., where the assertion of sufficiency or excess licenses an infinitival—are labeled ComparisonRef → Purpose or ComparisonRef → Goal. See discussions at ComparisonRef and Purpose.

The non-semantic label `i applies to all other uses of the infinitive.

#### 6.4.2 Infinitivals with for-subject

In (482), the infinitive clause has no local subject—rather, an argument of the matrix clause doubles as the subject of the infinitive clause (control). However, a separate subject can be introduced with **for**, in which case **for**+NP is treated as a dependent of the infinitive verb and labeled `i:

- (484) a. I opened the door [for i Steve to Purpose take out the trash].
  - b. It cost \$500 [for  $_i$  the mechanic to  $_{THEME \leadsto PURPOSE}$  make the repairs].

#### 6.4.3 For\_to infinitives

These occur in some English dialects: for to infinitives

#### 6.4.4 Other infinitivals

Examples of infinitival tokens that do not receive a semantic label appear in §7.3: OTHER INFINITIVE (`i).

#### 6.5 **PP Idioms**

Many PPs exhibit some amount of lexicalization or idiomaticity. This is especially true of PPs that tend to be used predicatively. In general it is extremely difficult to establish tests to distinguish idiomatic PPs from fully productive combinations. However, the usual criteria apply for the supersense analysis.

For example, if the PP answers a *Where?* question, it qualifies as LOCUS; whereas qualitative states usually have CHARACTERISTIC as the scene role:

- (485) He is **out\_of** town. (LOCUS)
- (486) The company is **out\_of** business. (CHARACTERISTIC→LOCUS)

See further discussion at CHARACTERISTIC.

#### 6.5.1 **PP Idioms vs. Multiword Prepositions**

A PP idiom is a fixed or semi-fixed expression consisting of an adposition plus its complement (usually an NP, AdjP, or AdvP), which must be a complete phrase. In some of these expressions the complement may take variable modifiers (e.g., *on\_ONE's\_own*: see §7.4). The PP idiom as a whole does not take a complement (is intransitive). A fixed expression ending in a transitive preposition like **of** or **as** (in\_search\_of, as\_long\_as) requires a complement, and thus is not a PP idiom.<sup>34</sup>

#### 6.5.2 Reflexive PP Idioms

Certain idiomatic constructions involve a preposition that requires a reflexive direct object.

## PERFORM-ACTIVITY for oneself.

- When something is done for one's own benefit rather than someone else's:
  - (487) I took a vacation for myself (BENEFICIARY)
- When something is done in a way that affords direct rather than secondhand information:
  - (488) You should try out the restaurant for yourself! (AGENT→BENEFICIARY)

#### PERFORM-ACTIVITY by oneself.

- When something is done without accompaniment (the negation would be *with others*):
  - (489) I had lunch (all) **by** myself ['alone'] (ACCOMPANIER<sup>35</sup>)
- When something is accomplished without assistance:
  - (490) I made the decision (all) by myself. (MANNER)
  - (491) The computer rebooted all **by** itself. (MANNER)

 $<sup>^{34}</sup>$ Infinitive marker **to** counts as a transitive preposition for purposes of this definition.

 $<sup>^{35}</sup>$ Though *myself* is not literally accompanying *I*, the PP as a whole describes the nature of accompaniment (or lack thereof).

### **BE** *by* **oneself.** Alone; unaccompanied:

(492) I am **by** myself right now. (ACCOMPANIER)

#### **6.6 Ages**

An individual's age is a temporal property, licensing both TIME and CHARACTERISTIC prepositions:

- (493) a. a child **of** (age) 5 (years) (CHARACTERISTIC)
  - b. Martha was already reading at/by/before (the age of  $_{\rm IDENTITY})$  5 (years). (TIME)

#### 6.7 Fixed expressions considered non-adpositional

- Named entities, including multiword names (e.g., *Out of Africa*, *The Taming of the Shrew*), are treated as single lexemes and should receive a nominal/entity type, not a SNACS supersense.
- **Grammaticalized multiword expressions** like the hedges in (494) and the semi-auxiliaries in \$7.3 should not receive a SNACS supersense.
  - (494) I'm *kind\_of* hungry. (non-SNACS) sort\_of
- Verb-particle combinations where the particle is not adding a compositional spatial meaning are treated as verbal multiword expressions and do not receive a SNACS supersense.
  - (495) Compositional spatial meanings:
    - a. The leaves blew up. (= blew into the air) (DIRECTION)
    - b. The fan blew the leaves **up**. (DIRECTION)
  - (496) Idiomatic/non-spatial meanings:
    - a. i. I *blew\_up* the balloon. (= inflated) (non-SNACS)
      - ii. I *blew*\_ the balloon \_*up*. (= inflated) (non-SNACS)
    - b. The bomb *blew\_up*. (= literally exploded) (non-SNACS)
    - c. My friend  $blew\_up$  at<sub>BENEFICIARY $\sim$ DIRECTION</sub> me. (= exploded in anger) (non-SNACS)

- Where a verb or other content word absolutely requires a transitive preposition to receive the correct meaning, as in (498),<sup>36</sup> it is treated as a content multiword expression and does not receive a SNACS supersense.
  - (497) I decided to wait for someone. (How long did you wait?) (THEME)
  - (498) At the library I *came\_across* an interesting book. (#When did you come?) (non-SNACS)

# 7 Special Labels

For annotating data, there needs to be a way to indicate that *none* of the adposition supersenses apply to a particular token.

#### 7.1 DISCOURSE (`d)

Discourse connectives and other markers that transition between ideas or convey speaker attitude/hedging/emphasis/attribution but do not belong to propositional content. Examples include:

(499) **according\_to**; **after**\_all, **of**\_course, **by**\_the\_way; **for**\_chrissake (interjection); **above**\_all, **to**\_boot; **in**\_other\_words, **on**\_the\_other\_hand; **in** my experience, **in**\_my\_opinion

This label also covers "additive focusing markers" (Huddleston and Pullum, 2002, p. 592) with a meaning similar to 'also' or 'too', where an item is added to something already established in the discourse:

- (500) a. I shot the sheriff **as** well.
  - b. They serve coffee, and tea as\_well.

It also covers topicalization markers:

(501) **As for** the sheriff, well, I shot 'im.

Finally, `d applies to adpositions relating a metalinguistic mention of a speech act to the speech content itself—whether the adposition introduces this speech act mention, as in (502a), or links the discourse expression to a subordinate statement, as in (502b).

- (502) a. **To** sum it up: It was a terrible experience.
  - b. I will sum it up with: It was a terrible experience.

 $<sup>^{36}</sup>$ These can be called **integral prepositions**. Verbs with integral prepositions consitute a subtype of **prepositional verbs**, i.e. verbs that select for a particular preposition. Both (497) and (498) can be considered prepositional verbs.

#### 7.2 COORDINATOR (`c)

Coordinating conjunctions and similar expressions where the two elements in the relation are semantically on an equal footing, rather than in a figure/ground relationship:

(503) They serve coffee **as well as** tea. ['They serve coffee and also tea']

## 7.3 OTHER INFINITIVE (`i)

As described in §6.4, infinitive clauses are analyzed with a supersense if and only if they serve as a purpose adjunct, or in certain purpose-related constructions (result; complement of entity-referring indefinite pronoun; commercial service; that which something is good or bad for, or sufficient or excessive for). The special label `i is reserved for all other uses of infinitival **to**, as well as **for** whenever it introduces the subject of an infinitive clause.<sup>37</sup>

Infinitivals warranting `i include:

- (504) a. I want **to** meet you. [complement of control verb]
  - b. I would\_like **to** try the fish. [*would\_like* is a polite alternative to *want*]
  - c. It seems **to** be broken. [complement of raising verb]
  - d. You have an opportunity to succeed. [complement of noun]
  - e. I'm ready to leave. [complement of adjective]
  - f. I'm glad **to** hear you're engaged! [complement of emotion adjective]
  - g. These new keys are expensive **to** copy. [tough-movement]
  - h. My plan is **to** eat at noon. [infinitival as NP]
  - i. It's impossible **to** get an appointment. [infinitival as NP, with cleft]
  - j. I know how **to** lead. [complement of wh-word]
  - k. I have something **to** do. [complement of indefinite pronoun that doesn't refer to an entity]

Multiword auxiliaries—such as quasi-modals *have\_to* 'must', *ought\_to* 'should', etc., as well as *have\_yet\_to*—subsume the infinitival **to**, so no label on **to** is required:

(505) You have to choose a date.

Whenever **for** introduces a subject of an infinitival clause, the **for** token is labeled `i (regardless of whether **to** receives a semantic label; see §6.4):

 $<sup>^{37}</sup>$ Essentially, our position is that these uses of infinitivals are more like syntactically core elements (subject, object) than obliques, and thus should be excluded from semantic annotation under the present scheme.

- (506) a. I need [for you to help me].
  - b. I opened the door [for Steve to Purpose take out the trash].

#### 7.4 OPAQUE POSSESSIVE SLOT IN IDIOM (`\$)

Semantic supersenses are used where possible for genitive/possessive constructions, as discussed in §6.1. However, there are a few idioms which require a possessive pronoun that does not participate transparently in any semantic relation; these are designated with the special label `\$:

- (507) a. I am eating on\_ my \_own today.
  - b. She tried her best.
  - c. He's not your average baseball player.
  - d. Billy knows his ABCs!

It is also used for the possessive in the way construction: contrast

- (508) I like **her** way of eating—it is very polite. (GESTALT)
- (509) She will arrive soon: she is on\_ her \_way. (`\$) [see (13)]
- (510) I don't want to drive there because it is out\_of\_ my \_way. (`\$)

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