

University of Copenhagen PhD Short Course  
ArcGIS 10 for  
Social Science Empirical Research

Lecture 5

# Elevation

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## Elevation in economics

A great source of exogenous variation!

## Example 1: Dinkelman (2011)

$$\Delta y_{jdt} = \alpha_1 + \alpha_2 \Delta T_{jdt} + \mathbf{X}_{jd0} + \lambda_d + \Delta \varepsilon_{jdt}$$

- Estimate impact of electrification ( $\Delta T_{jdt}$ ) on female labor supply  $\Delta y_{jdt}$  w/ 2-period panel from S. Africa
- Community-level mean land gradient as IV for electrification

## Example 2: Nunn & Puga (2012)

- Estimate the impact of terrain ruggedness on per capita income
- Ruggedness is measured by the sum of squared differences in elevation between neighboring cells
  - Raster cell (30x30 arc-sec) level data is downloadable from Diego Puga's website ([diegopuga.org/data/rugged/#grid](http://diegopuga.org/data/rugged/#grid))

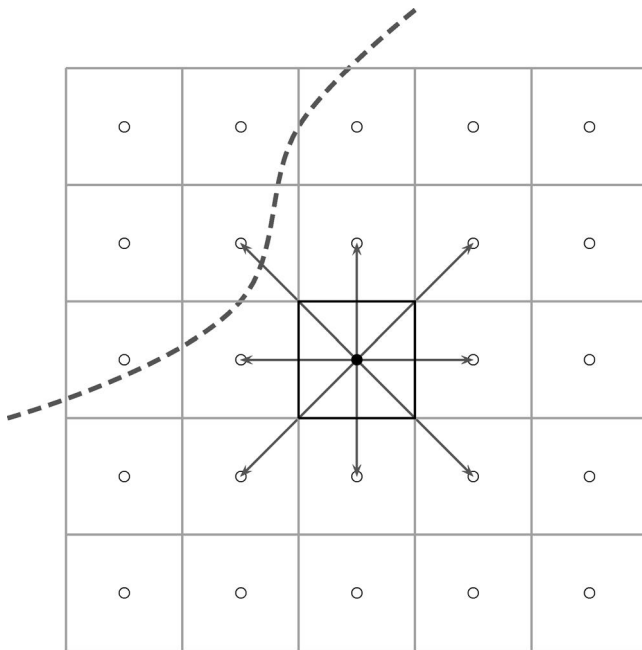


Figure 1 of Nunn & Puga (2012)

## Example 2: Nunn & Puga (2012)

$$y_i = \beta_0 + \beta_1 r_i + \beta_2 r_i \cdot \text{Africa}_i + \beta_3 \text{Africa}_i + \varepsilon_i$$

- Show impact of ruggedness ( $r_i$ ) on per capita income ( $y_i$ ) to be
  - Positive in Africa ( $\beta_1 + \beta_2 > 0$ )
  - Negative outside Africa ( $\beta_1 < 0$ )
- Once slave export controlled for,  
 $\beta_2 = 0$

## Example 3: Qian (2008)

$$sex_{ic} = (tea_i \times post_c)\beta + \mathbf{x}'_{ic}\gamma + \psi_i + \gamma_c + \varepsilon_{ic}$$

- Estimate impact of tea production after 1979 ( $tea_i \times post_c$ ) on sex ratio of cohorts born in year  $c$  in county  $i$  in China
- Mean slope of county  $i$  interacted w/  $post_c$ : IV for ( $tea_i \times post_c$ )

## Example 4: Olken (2009)

$$S_{vsd} = \alpha_d + \beta TV_{sd} + \mathbf{X}_{sd}\gamma + \varepsilon_{vsd}$$

- Estimate impact of # of TV channels available ( $TV_{sd}$ ) on social capital in village  $v$  ( $S_{vsd}$ )
- Signal strength in subdistrict  $s$ : IV for  $TV_{sd}$



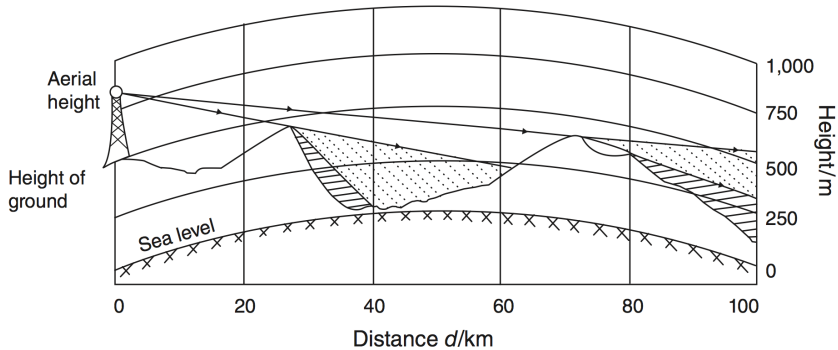


Figure 2 of Olken (2009)

## Example 5: Yanagizawa (2009)

$$\ln(h_{ic}) = \beta r_{ic} + \mathbf{X}_{ic}\pi + \gamma_c + \varepsilon_{ic}$$

- Estimate impact of anti-Hutu radio station on # of genocide prosecutions per capita ( $h_{ic}$ )
- $r_{ic}$ : fraction of village  $i$ 's areas w/ radio signal reception, obtained from elevation data & GIS

## Example 6: Duflo and Pande (2007)

# Outline

1. Duflo & Pande (2007)
2. Replicate the GIS data for Duflo & Pande (2007)

## 1.1 Research questions

- What's the impact of irrigation dams on agricultural production and rural poverty in India?
- What's the distributional consequence of building irrigation dams in India?

# 1.1 Research questions (cont.)

- Interesting?
  - 45,000+ dams worldwide
  - Built on nearly 1/2 of rivers worldwide
  - India: world's 3rd most prolific dam builder
  - Believed to reduce poverty, but no evidence
  - A public policy involving winners & losers
- Original?
  - Using geography to obtain credible estimates
- Feasible?

## 1.2 “Theory”

- Dams in upstream: beneficial
  - Irrigation
- Dams in neighborhood/downstream: costly
  - Displacement
  - Lower land productivity (due to salinity & waterlogging)
  - Water use restricted

⇒ Motivate 2nd-stage regression specification

## 1.2 “Theory” (cont.)

- Dams: easier to build if river gradient is
  - moderate (for irrigation)
  - very steep (for hydroelectricity)

⇒ Motivate 1st-stage regression specification



## 1.3 Data

- Unit of analysis: districts
- Annual agricultural production, 1971-1999, for 271 districts
- Poverty data in 1973, 83, 87, 93, 99 for 374 districts
- Dams: location (nearest city) and date of completion from World Registry of Large Dams

## 1.3 Data (cont.)

Fraction of river area with gradient more than 6%, 3-6%, 1.5-3%

- Data source: GTOPO30 (elevation at 30-arc second grid space) & Digital Chart of World (river drainage network)
- Identify GTOPO30 cells where rivers flow
- Calculate gradient in such cells from elevation data

## 1.4a Empirical strategy

- We have panel data
- But elevation is fixed over time
- How to predict dam construction over time based on elevation?

## 1.4a Empirical strategy

1st stage

$$D_{ist} = \sum_{k=2}^4 \alpha_k (RGr_{ki} * \bar{D}_{st}) + \beta (\mathbf{M}_i * \bar{D}_{st}) \\ + \sum_{k=2}^4 \gamma_k (RGr_{ki} * I_t) + \nu_i + \mu_{st} + \omega_{ist}$$

$D_{ist}$ : # of dams in district  $i$  of state  $s$  in year  $t$

## 1.4a Empirical strategy

1st stage

$$D_{ist} = \sum_{k=2}^4 \alpha_k (\textcolor{red}{RGr}_{ki} * \bar{D}_{st}) + \beta (\mathbf{M}_i * \bar{D}_{st}) \\ + \sum_{k=2}^4 \gamma_k (\textcolor{red}{RGr}_{ki} * I_t) + \nu_i + \mu_{st} + \omega_{ist}$$

$RGr_{ki}$ : fraction of river areas with gradient falling in category  $k$

## 1.4a Empirical strategy

1st stage

$$D_{ist} = \sum_{k=2}^4 \alpha_k (RGr_{ki} * \bar{D}_{st}) + \beta (\mathbf{M}_i * \bar{D}_{st}) \\ + \sum_{k=2}^4 \gamma_k (RGr_{ki} * I_t) + \nu_i + \mu_{st} + \omega_{ist}$$

$k$ : 2 for 1.5 to 3%; 3 for 3-6%; 4 for above 6%

- We will learn how to construct  $RGr_{ki}$  from elevation data, river polylines, and district polygons in ArcGIS

## 1.4a Empirical strategy

1st stage

$$D_{ist} = \sum_{k=2}^4 \alpha_k (RGr_{ki} * \bar{D}_{st}) + \beta (\mathbf{M}_i * \bar{D}_{st}) \\ + \sum_{k=2}^4 \gamma_k (RGr_{ki} * I_t) + \nu_i + \mu_{st} + \omega_{ist}$$

$\bar{D}_{st}$ : # of dams in India in year  $t$  (Figure III) multiplied by fraction of dams in state  $s$  in 1970



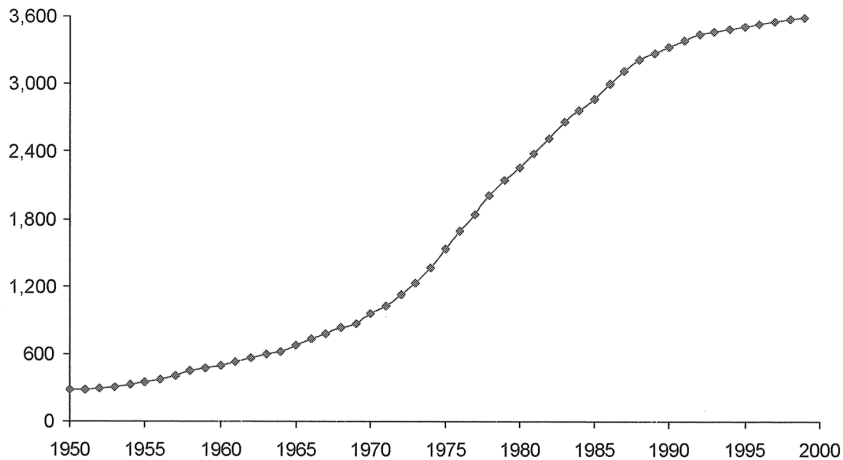


FIGURE III  
Total Dams Constructed in India, ICOLD Dam Register for India

- Why not the actual # of dams in state  $s$  in year  $t$  instead of  $\bar{D}_{st}$ ?

## 1.4a Empirical strategy

1st stage

$$D_{ist} = \sum_{k=2}^4 \alpha_k (RGr_{ki} * \bar{D}_{st}) + \beta (\mathbf{M}_i * \bar{D}_{st}) \\ + \sum_{k=2}^4 \gamma_k (RGr_{ki} * I_t) + \nu_i + \mu_{st} + \omega_{ist}$$

$\nu_i$ : district FE

$\mu_{st}$ : state-year FE

- Why not year FE instead of state-year FE?

## 1.4a Empirical strategy

1st stage

$$D_{ist} = \sum_{k=2}^4 \alpha_k (RGr_{ki} * \bar{D}_{st}) + \beta (\mathbf{M}_i * \bar{D}_{st}) \\ + \sum_{k=2}^4 \gamma_k (RGr_{ki} * l_t) + \nu_i + \mu_{st} + \omega_{ist}$$

$\mathbf{M}_i$ : area, elevation, overall gradient,  
river length

Why control for  $(\mathbf{M}_i * \bar{D}_{st})$ ?

- We will learn how to construct  $\mathbf{M}_i$ , in particular river length, in ArcGIS.

## 1.4a Empirical strategy

1st stage

$$D_{ist} = \sum_{k=2}^4 \alpha_k (RGr_{ki} * \bar{D}_{st}) + \beta (\mathbf{M}_i * \bar{D}_{st}) \\ + \sum_{k=2}^4 \gamma_k (RGr_{ki} * l_t) + \nu_i + \mu_{st} + \omega_{ist}$$

$l_t$ : year dummies

Why control for  $(RGr_{ki} * l_t)$ ?

# 1st stage results

TABLE II  
GEOGRAPHY AND DAM CONSTRUCTION

	Number of dams		
	Cross-section (1999)	Poverty sample	Production sample
	Not interacted	Interacted with predicted number of dams in the state	
	(1)	(2)	(3)
Fraction river gradient	0.278	0.153	0.176
1.5–3%	(0.122)	(0.040)	(0.094)
Fraction river gradient	−0.210	−0.191	−0.219
3–6%	(0.127)	(0.065)	(0.128)
Fraction river gradient	0.014	0.075	0.097
above 6%	(0.033)	(0.031)	(0.043)
<i>F</i> -test for river gradient	1.764	6.372	7.68
[ <i>p</i> -value]	[0.15]	[0.000]	[0.053]
Geography controls	Yes	Yes	Yes
State*year and river gradient*year interactions	No	Yes	Yes
Fixed effects	State	District	District
N	374	1855	7743



## 1.4b Empirical strategy

2nd stage

$$y_{ist} = \gamma_i + \eta_{st} + \delta D_{ist} + \delta^U D_{ist}^U + \mathbf{Z}_{ist} \delta_{\mathbf{Z}} + \mathbf{Z}_{ist}^U \delta_{\mathbf{Z}}^U + \varepsilon_{ist}$$

w/  $\hat{D}_{ist}$ ,  $\hat{D}_{ist}^U$  as excluded IVs

- $y_{ist}$ : outcome variable
- $\gamma_i$ : district FE
- $\eta_{st}$ : state-year FE
- $D_{ist}^U$ : # of dams in all upstream districts for district  $i$

## 1.4b Empirical strategy

### 2nd stage

$$y_{ist} = \gamma_i + \eta_{st} + \delta D_{ist} + \delta^U D_{ist}^U + \mathbf{Z}_{ist} \delta_{\mathbf{Z}} + \mathbf{Z}_{ist}^U \delta_{\mathbf{Z}}^U + \varepsilon_{ist}$$

w/  $\hat{D}_{ist}$ ,  $\hat{D}_{ist}^U$  as excluded IVs

- $\hat{D}_{ist}$ : fitted value for  $D_{ist}$  from 1st stage
- $\hat{D}_{ist}^U$ : the sum of fitted values for  $D_{ist}$  over all upstream districts

- Why not using  $RGr_{ki} * \bar{D}_{st}$  as instruments?

## 1.4b Empirical strategy

### 2nd stage

$$y_{ist} = \gamma_i + \eta_{st} + \delta D_{ist} + \delta^U D_{ist}^U \\ + \mathbf{Z}_{ist} \delta_{\mathbf{Z}} + \mathbf{Z}_{ist}^U \delta_{\mathbf{Z}}^U + \varepsilon_{ist}$$

w/  $\hat{D}_{ist}$ ,  $\hat{D}_{ist}^U$  as excluded IVs

- $\mathbf{Z}_{ist}$ : vector of  $\mathbf{M}_i * \bar{D}_{st}$ ,  $RGr_{ki} * l_t$
- $\mathbf{Z}_{ist}^U$ : vector of  $\mathbf{M}_i * \bar{D}_{st}$ ,  $RGr_{ki} * l_t$  for upstream districts (summed for river length & district areas, averaged for elevation & overall gradient)

## 1.4c Empirical strategy

### Estimation method

- For agricultural outcomes, Feasible optimal IV with S.E. robust to arbitrary covariance of the residual w/i state (see ft. 15 for how to implement this)
- Why?
  - Autocorrelation at state level
  - Feasible GLS: more efficient than OLS with S.E. clustered
  - ⇒ Small effect more likely to be detected (Power of test ↗)

# 1.5a Impact on agriculture

	Area			
	Gross irrigated area		Gross cultivated area	
	Level	Log	Level	Log
	(1)	(2)	(3)	(4)
<i>Part A. FGL</i>				
<i>Dams</i>				
Own district	14.528	0.131	114.493	0.094
	(13.300)	(0.156)	(47.838)	(0.059)
Upstream	17.830	0.198	77.641	0.028
	(12.639)	(0.162)	(48.233)	(0.054)
<i>Part B. Feasible Op</i>				
<i>Dams</i>				
Own district	232.092	0.728	325.358	0.875
	(235.847)	(1.002)	(263.509)	(0.590)
Upstream	49.754	0.328	58.602	0.088
	(22.339)	(0.154)	(35.674)	(0.062)
<i>N</i>	4,536	4,536	4,522	4,522
First stage	8.48	8.48	8.51	8.51
<i>F</i> -statistic (own district)				

# 1.5a Impact on agriculture

	Agricultural production			
	Production	Yield	Production	
	All crops		Water-intensive crops	Non-water-intensive crops
	(6)	(7)	(8)	(9)
<i>Dams</i>				
Own district	0.184 (0.334)	0.152 (0.196)	0.063 (0.334)	0.640 (0.585)
Upstream	0.530 (0.155)	0.227 (0.141)	0.569 (0.243)	0.801 (0.307)
<i>Dams</i>				
Own district	0.085 (0.699)	-0.033 (0.451)	0.366 (0.782)	-0.105 (1.349)
Upstream	0.341 (0.118)	0.193 (0.097)	0.470 (0.154)	0.181 (0.307)
<i>N</i>	7,078	7,077	7,143	6,786
First stage	9.22	9.22	9.03	9.14
<i>F</i> -statistic (own district)				

- In average district, # of dams in upstream increased from 3.6 to 13.9 (Table I)



# 1.5b Impact on poverty

	Per-capita expenditure	Headcount ratio		
		Original	Assume poor in-migrants	Assume rich in-migrants
	(1)	(2)	(3)	(4)
<i>Part A. OLS/FGLS</i>				
<i>Dams</i>				
Own district	-0.289 (0.115)	0.273 (0.084)	0.407 (0.083)	0.174 (0.081)
Upstream	0.093 (0.057)	-0.083 (0.039)	-0.079 (0.038)	-0.082 (0.038)
<i>Part B. 2SLS/Feasible Optimal IV</i>				
<i>Dams</i>				
Own district	-0.457 (0.467)	0.772 (0.324)	0.879 (0.314)	0.651 (0.315)
Upstream	0.142 (0.084)	-0.154 (0.068)	-0.149 (0.066)	-0.150 (0.066)
<i>N</i>	1,799	1,799	1,799	1,799
First stage <i>F</i> -statistic (own district)	7.71	7.71	7.71	7.71

## LATE

- The estimated impact DOES NOT capture the impact of dams constructed for, say, political reasons

## Example 7: Lipscomb, Mobarak, and Barham 2012

- Estimate the impact of electricity availability on poverty in Brazil
- The instrument is constructed by
  - Regress (probit) having hydropower plants on topography measures (river, gradient, etc.) in Brazil (or USA)
  - Use estimated coefficients to rank locations by its geographic suitability for hydropower plants
  - Obtain total # of hydropower plants constructed nationwide in each period

- If  $n$  plants were constructed in period 1, turn on the instrument for the  $n$  most suitable locations
- If  $m$  plants were additionally constructed in period 2, turn on the instrument for the next  $m$  most suitable locations
- And so forth...

⇒ 1st-stage F-stats:  $> 20$

- DOWNLOAD

T:/Economics/Lecture6

- Unzip

- g2009\_1990\_2.zip (subnational district polygons)
- 10m-rivers-lake-centerlines.zip (river polylines)

- Browse them and

SRTM30/e060n40c (elevation raster)

## 2. Replicating geography variables for Duflo & Pande (2007)

- Exercise 1: Each district's fraction of river areas w/ gradient 1.5-3%, 3-6%, >6%
- Exercise 2: Each district's total length of rivers

## 2.1 Overview of Exercise 1

- Use Zonal Statistics as Table (cf. Lec 5) with two inputs:
  - a. Multi-part river polylines by district
    - All the rivers within a district are dissolved as one feature
  - b. Raster of each gradient category
    - Raster value is 1 if gradient is, for example, 1.5-3% and 0 otherwise

⇒ Mean within each zone is the fraction of river areas in each gradient category

## 2.1 Overview of Exercise 1 (cont.)

Therefore we need:

- a. Indian district polygons with unique positive integer ID
- b. Multi-part river polylines for each district
- c. Raster for each gradient category



## 2.1a India district polygons

- Start w/ GAUL (v2009) second level admin boundaries for 1990
- Copy Features
- Define Projection (as WGS 1984 ⇐ see meta data)
- Select (India)
- Dissolve (by district)
- Add Field
- Calculate Field (by !FID! + 1)

# Select

(cf. Lec 5 exercises 1-2)

- Before adding the Select tool to the Model Builder, it's always a good practice to run the model to create the input file.
- ⇒ Easier to write the expression for selection criteria

## Select (cont.)

- Expression:  
`"ADMO_NAME" = 'India'`
- Field name must be enclosed by double quotation marks
- Just double-click it from the list of fields at the top (if the input file is already created)

## Select (cont.)

- Expression:  
"ADM0\_NAME" = 'India'
- If field value is a string, must be enclosed by single quotation marks
- Click "Get Unique Values" and double click the field value (if the input file is already created)
  - cf. If numeric, no need to enclose (In Lec. 5, it was "FID\_GREG" = -1)

- Now run the model. Browse the output's attribute table. (right-click ADM2\_NAME (the field for district names) & click "Sort Ascending")
- Notice each district consists of more than one polygon.
- But we need one polygon for each district, to calculate fraction of rivers in each gradient category by district.
- The tool to do this is...

# Dissolve

(cf. Lec 5 exercise 1)

- Dissolve Field: ADM1\_NAME (state name), ADM2\_NAME (district name)
  - ⇐ Some districts may have the same name but in different states (at least one such case, indeed)
- Check "Create multipart features"

- We are going to use Zonal Statistics as Table later to calculate each district's fraction of rivers in different gradient categories
- ⇒ Need to assign the unique positive integer ID to each district (cf. Lec 5 exercise 1)
- The tools to do this are...

## Add Field

- Field Name: dist\_id (or whatever)
- Field Type: SHORT
  - ⇐ # of districts less than 32767

## Calculate Field

- Field Name: dist\_id (or the name you chose for Add Field)
- Expression: !FID!+1
- Expression Type: PYTHON 9.3



## 2.1 Overview of Exercise 1

We need to create:

- a. Indian district polygons with unique positive integer ID
- b. Multi-part river polylines for each district
- c. Raster for each gradient category

## 2.1b Multi-part river line feature for each district

- Duflo & Pande (2007) use dnnet from the Digital Charts of the World (DCW)
- DCW appears to be no longer available due to its inaccuracy
- [www.maproom.psu.edu/dcw](http://www.maproom.psu.edu/dcw) recommends Natural Earth

## 2.1b Multi-part river line feature for each district (cont.)

- So we start w/ Natural Earth 1:10m river + lake centerlines (v1.4)
- Intersect (w/ district polygons) (cf. Lec 4)
- Dissolve (by district)
  - ⇐ Some districts have more than one river.
  - We need to keep the "dist\_id" field so that Zonal Statistics as Table will create a dBASE table with "dist\_id"

# Dissolve

- Dissolve Field(s): dist\_id

If you prefer keeping state/district names...

- Statistics Field(s)
  - Choose ADM1\_NAME & ADM2\_NAME
  - Then choose FIRST (or LAST) as Statistics Type
    - ⇐ This is how to keep string variables after Dissolve.

## 2.1 Overview of Exercise 1

We need to create:

- a. Indian district polygons with unique positive integer ID
- b. Multi-part river polylines for each district
- c. Raster for each gradient category

## 2.1c Raster for each gradient category

- Duflo & Pande (2007) use GTOPO30 for elevation
- SRTM30 (v2.1) now supersedes GTOPO30
- Global (excl. Antarctica) raster data w. spatial resolution 30 x 30 arc seconds (roughly 1km x 1km)
- We use E060N40 tile (which covers whole India)

# Assignment 6z

- Clean the SRTM30 data
  - Elevation ranges from -46 to 8685 in the data
  - To geoprocess raster data, you need to convert it into ESRI Grid format
  - When using Copy Raster to convert, by default, negative values are not allowed and 65536 will be added
  - We need to correct this by Raster Calculator
- See the Assignment6z folder for detail.

## 2.1c Raster for each gradient category

- Start w/ the properly converted SRTM30
- Slope tool to convert elevation into gradient in percentage
- Reclassify tool to convert gradient into categories

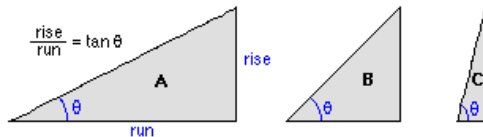


# Slope

Returns  $\theta$  if degree;  $\tan \theta \times 100$  if percent\_rise

Degree of slope =  $\theta$

Percent of slope =  $\frac{\text{rise}}{\text{run}} * 100$



Degree of slope =

Percent of slope =

30

58

45

100

76

373

## Slope (cont.)

$$\tan \theta = \sqrt{(dz/dx)^2 + (dz/dy)^2}$$

$$dz/dx = \left[ \frac{c + 2f + i}{4} - \frac{a + 2d + g}{4} \right] / 2$$

$$dz/dy = \left[ \frac{a + 2b + c}{4} - \frac{g + 2h + i}{4} \right] / 2$$

a	b	c
d	e	f
g	h	i

## Slope (cont.)

Choose z-factor (ie. # of (x,y) units in one z unit)

- By default, it's 1, ie. units are the same between (x,y) and z
- If (x,y) are in degrees & z is in meters (our case), choose 0.000009
  - $1^\circ \approx 111,120$  meters
- This may not be accurate.
  - For middle- to high- latitude areas,  $1^\circ$  in longitude  $<$   $1^\circ$  in latitude

# Project Raster?

- If we look at high-latitude countries, we need to project the raster into UTM first before using the slope tool
- Project Raster tool does this
- It requires two arguments
- Resampling algorithm
  - Nearest / Majority for integer raster
  - Bilinear / Cubic for continuous raster
- Cell size
  - Pick the number in meters close to the original raster data spatial resolution

## Project Raster? (cont.)

- Whatever choice of resampling algorithm & cell size causes some distortions
- For low-latitude areas, projection adds little for Slope
- We proceed without projecting raster below

cf. In Lec. 7, we will project raster.

## Slope (cont.)

- Output measurement: PERCENT RISE
- Z factor: 0.000009

Now run the model. Next tool requires the input to be already created

## Reclassify

- Transforms input raster into categorical raster
- Can be used for creating a dummy variable from original raster data
- Here we want to create a dummy which equals 1 if gradient is 1.5-3%, 3-6%, or >6%

## Dummy for >6%

- Delete Entries (only if you see default categorization of raster values into 9 groups)
- Click Add Entry twice
- Then type as follows:

	Old values	New values
	0 - 6	0
	6 - 193.229706	1
	NoData	NoData



## Dummy for 3-6%

Old values	New values
0 - 3	0
3 - 6	1
6 - 193.229706	0
NoData	NoData

## Reclassify (cont.)

Similarly, reclassify the slop raster into 1.5-3%.

## Assignment 6a

Create elevation category raster files  
(250-500m, 500-1000m, >1000m)

## 2.1d Fraction of river by gradient

- Now we're ready to obtain each district's fraction of river areas w/ gradient 1.5-3%, 3-6%, and >6%
- We can use Zonal Statistics as Table for this purpose (cf. Lec 5)
- The mean statistics gives the fraction
  - Raster cells of 30 by 30 arc seconds: roughly same size w/i each district

- India is located between  $8^{\circ}$  &  $37^{\circ}$  North
  - $1^{\circ}$  in longitude at  $15^{\circ} = 107.551\text{km}$
  - $1^{\circ}$  in longitude at  $30^{\circ} = 96.486\text{km}$
  - Districts in India are at most  $3^{\circ}$  wide in latitude
  - 30 seconds in longitude can differ up to 18m if  $3^{\circ}$  apart
- ⇒ Treating 30-second cells as the same size within district does not seem too bad

## Zonal Statistics as Table

- Zone field: dist\_id
- Statistics Type: MEAN

## Assignment 6b

Produce dBASE tables for

- Fraction of district area w/ gradient 1.5-3%, 3-6%, >6%
- Fraction of district area w/ elevation 250-500m, 500-1000m, >1000m

# Assignment 6c

Replicate Figure IV (average river gradient by district)

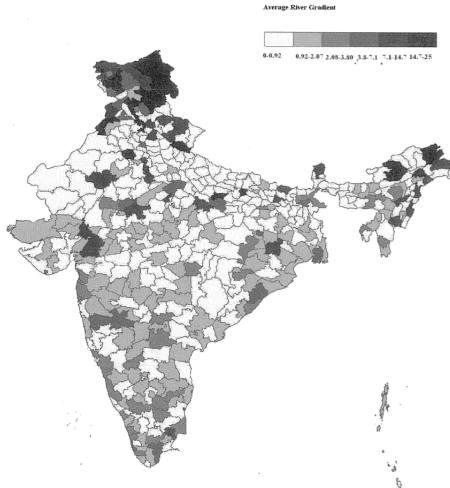


FIGURE IV

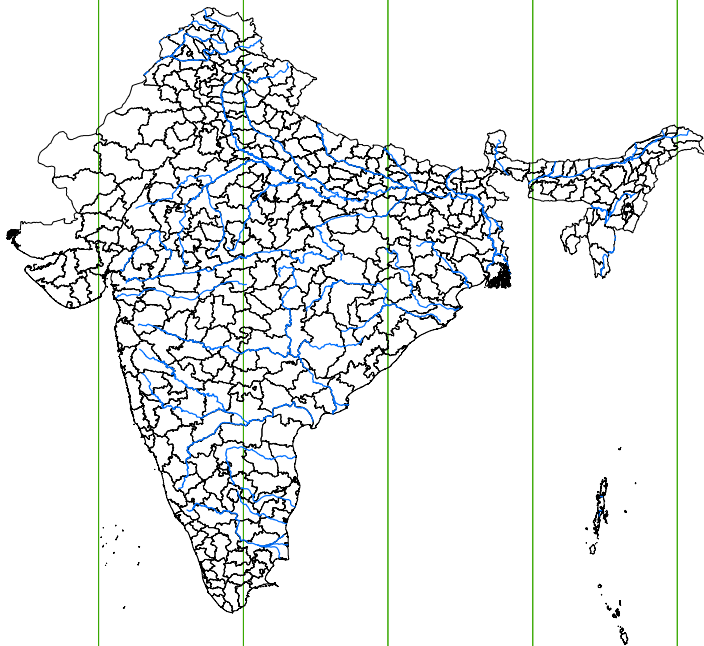


## Assignment 6c (cont.)

- You need to attach the zonal statistics table to the district polygon shapefile
- First, Copy Features to create a copy of the district polygon shapefile
- Then, Join Field (we will learn this tool later in this lecture)

## 2.2 Exercise 2: River length

- No single projection for large areas such as India preserves distance in every dimension...
  - Stata's globdist can only be used for distance between two points, not for polyline length
- ⇒ Need to project each district into the appropriate UTM projection (cf. Lec 3)
- ⇒ Need to loop over districts



# Steps to obtain river length

- a. For each rivers-by-district, assign UTM zone number
- b1. Extract a subset of rivers-by-district whose centroid is within the UTM zone
- b2. Project it to the UTM
- b3. Calculate the length
- c. Make steps b1-b3 as a loop over UTM zone numbers in Python

## Before starting...

- Create a new Model.
- We will use the river-district intersections (created in Exercise 1) as one of the inputs of the Model.

## 2.2a Assign UTM zone number

- Create centroid points for each rivers-by-district by **Feature To Point**
- **Spatial Join** them w/ UTM zone polygons available at  
“C:/Program Files  
(x86)/ArcGIS/Desktop10.1/Reference  
Systems/utm.shp”
- Use **Join Field** to attach UTM zone number to the original river polyline features

# Spatial Join

- Join Operation: JOIN ONE TO ONE
- Check Keep All Target Features
- Field Map of Join Features:
  - keep everything if the tool is run by the Model Builder
  - delete everything if the tool is run by Python
- Match Option: INTERSECT or WITHIN

## Join Field

- This tool essentially does what Stata's merge command does.
  - Except that the variable name used for merging can be different between two datasets
  - Overwrites the master dataset
- ⇒ Use Copy Features before using Join Field



## Join Field (cont.)

- Input Table: the river polyline features created in Exercise 1
- Input Join Field: dist\_id
- Join Table: the shape file created by Spatial Join
- Output Join Field: dist\_id
- Join Fields: ZONE (ie. the field in the UTM zone shapefile indicating zone number)

## 2.2b Loop over UTM zones

- **Select** rivers-by-district for each UTM zone
- **Project** each file to the UTM
- Then use **Add Field & Calculate Field** to calculate length of river within each district
- Use the Model Builder to export the script within each loop
- Then use Python editor to make the loop

## Select

- Expression: "ZONE" = 43

## Project

- Output Coordinate System:  
Projected Coordinate Systems >  
UTM > WGS 1984 > Northern  
Hemisphere > WGS 1984 UTM  
Zone 43N.prj

## Add Field

- Field Name: length (or whatever)
- Field Type: FLOAT

## Calculate Field

- Field Name: length (or the name chosen for Add Field)
- Expression:  
`float(!shape.length@kilometers!)`
- Expression Type: PYTHON 9.3

!shape.length@kilometers!

- Without "@kilometers", the unit will be meters
- See Desktop Help for Calculate Field, for other units of measurement

- Now export this model into a Python script.
- Then create a loop over UTM zones from 43 to 47
  - It happens to be the case that there is no river in zone 42 (though India does cover zone 42)

## 2.2c Create a loop over values

- You can create a loop over UTM zone numbers, by typing

**for zone in range(43,48):**

- This command assigns 43 to the variable zone.
- Execute all the indented commands that follow
- Then assign 44 to the variable zone, and so forth.
- Repeat until **47**.

# Other syntax in Python for looping over values

- While loop

```
zone = 43
```

```
while zone < 48:
```

```
    commands
```

```
    zone = zone + 1
```

- List loop

```
zoneList = [43,44,45,46,47]
```

```
for zone in zoneList:
```

```
    commands
```



## Scripting tip #1

- We may want to use the UTM zone number for output file names.
- The variable *zone* is numeric. We need to convert this into string
- Type

`str(zone)`

```
for zone in range(43,48):  
    print "Processing UTM Zone "+str(zone)  
    # Process: Select  
    print "Extracting UTM Zone"  
    arcpy.Select_analysis(river2_shp, "xxriver"+str(zone)+".shp", "\"ZONE\" = "+str(zone))
```

## Scripting tip #2

- 3rd argument for Project is coordinate system
- Model Builder exports a very long string for this
- Which is not convenient for looping
- Alternatively, we could use the projection factory code & SpatialReference method

## Coordinate systems' factory code

- factory codes for UTM projection for zone 43N to 47N are: 32643 to 32647
  - Each projection's factory code can be obtained from the help document for the SpatialReference method

(search "SpatialReference" at  
[resources.arcgis.com/en/help/main/10.1/index.html](https://resources.arcgis.com/en/help/main/10.1/index.html))

⇒ Define the factory code as a numerical variable (named, say, csfile)

$$\text{csfile} = 32600 + \text{zone}$$

- Then use SpatialReference method (case sensitive!) to create a variable that can be used for the Project tool

```
cs = arcpy.SpatialReference(csfile)
```

- Then use Project

```
arcpy.Project_management("xxrl"+str(zone)+".shp",  
"rl"+str(zone)+".shp", cs)
```

# Read dBASE output tables in Stata

```
#delimit ;  
set more off;  
clear all;  
set debug on; /* Otherwise the error message doesn't make sense. */  
cd Z:/Documents2/TEACHING/2010gis/L8review/datacreated;  
foreach n of numlist 42(1)47 {;  
    odbc load, table("river`n'.dbf") dsn("dBASE Files") lowercase clear;  
    if `n' ~= 42 {;  
        append using temp;  
    };  
    save temp, replace;  
};
```

## Assignment 6d

Calculate district area

- Use the UTM projection as in river length
- Obtain the area in  $km^2$



### 3. What we've learned for ArcGIS

- Create...
  - A shapefile for a specific area (e.g. a country) out of the global data
  - Multipart polyline features by district
  - Slope raster
  - A dummy variable raster
- Calculate the length of polyline features
- Loop over values

## References for Lecture 6

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