

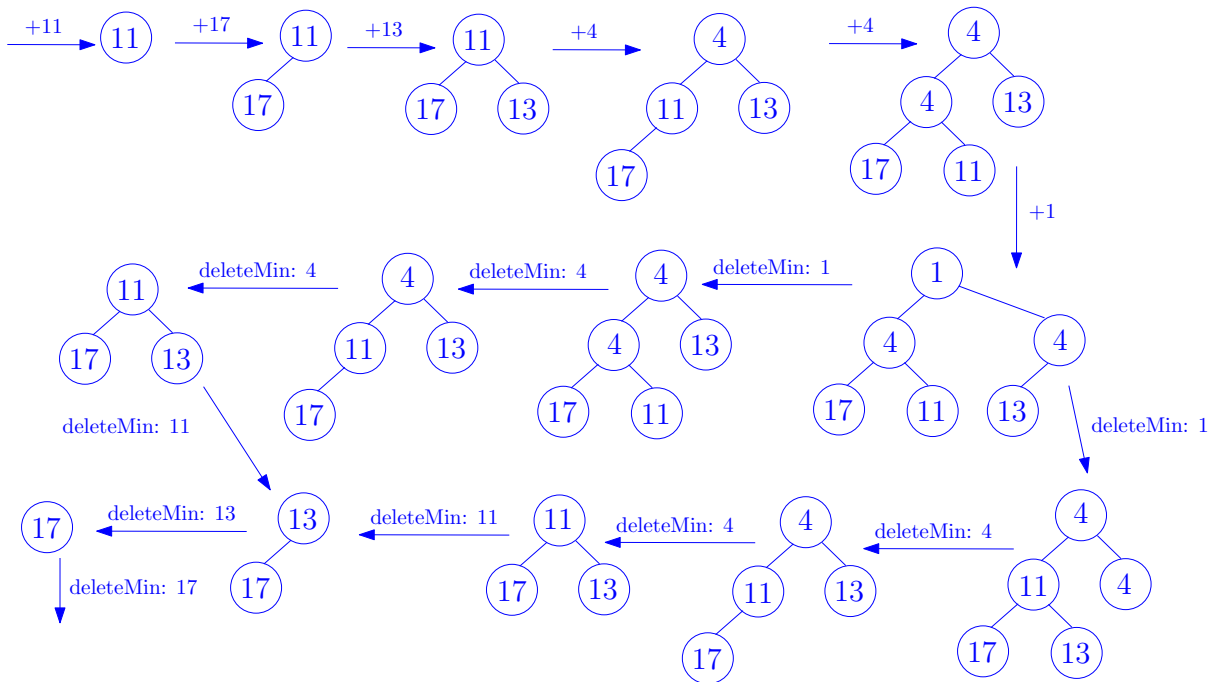
# Practice Set 9 (Heap and Dijkstra's Algorithm)

Data Structure (CS 223)

## 1 Heap

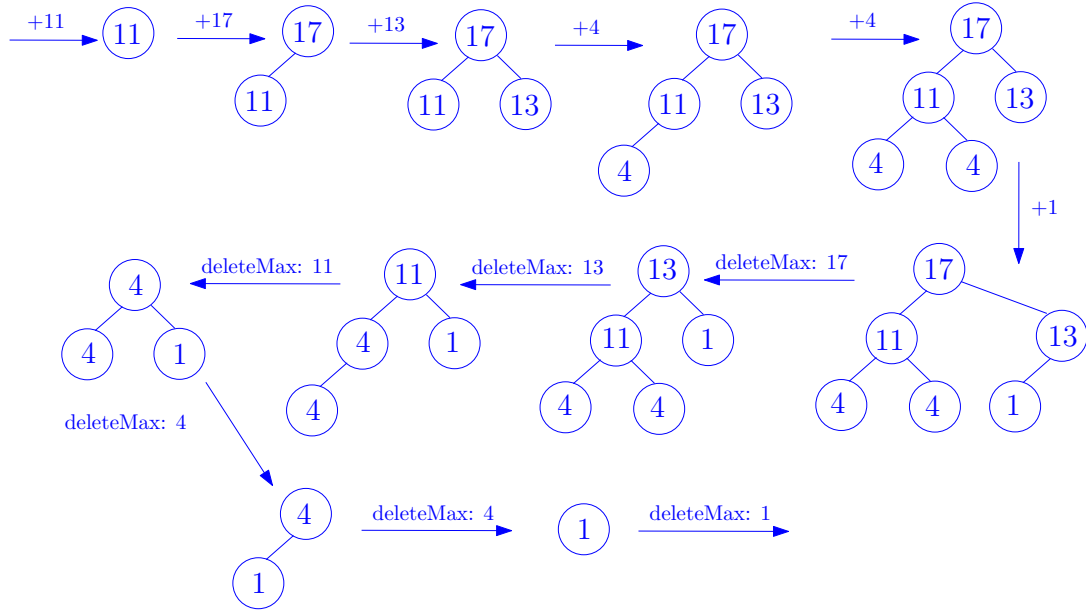
**Q1:** Show the heap **at each stage** when the following numbers are **inserted** to an initially empty min-heap in the given order:  $\{11, 17, 13, 4, 4, 1\}$ . Now, show the heap **at each stage** when we successively perform the **deleteMin** operation on the heap until it is empty.

**Answer:**



**Q2:** In a max-heap, the heap property changes to – *the value at a node is greater than or equal to the value of its children*. Deletion and insertion have to maintain this changed heap property. Answer Q1 when the min-heap is replaced by a max-heap, and **deleteMin** by **deleteMax**.

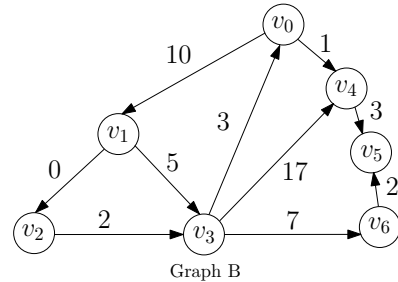
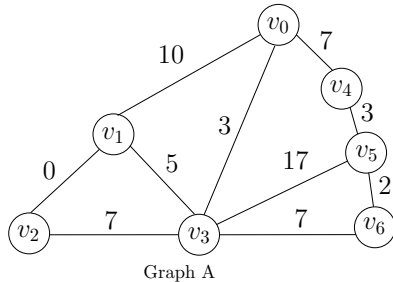
**Answer:** See next page.



## 2 Dijkstra's Algorithm

**Q3:** Starting from node  $v_1$ , illustrate Dijkstra's algorithm for finding the shortest paths in Graph A and in Graph B. Show at each stage:

- the distance and parent arrays,
- the open and closed sets, and
- the vertex chosen for relaxation.



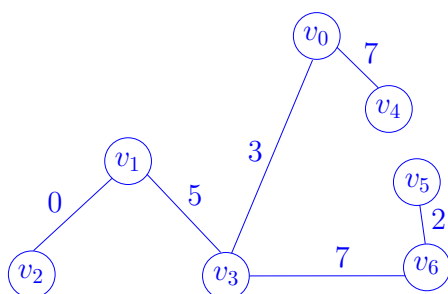
Use the *parent* array above to draw the shortest path tree in each case.

### Undirected Graph

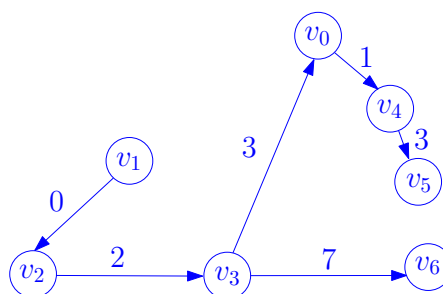
	dist							open	closed	parent						
	$v_0$	$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	$v_6$			$v_0$	$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	$v_6$
At Start:	$\infty$	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\{v_1\}$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$
Relax $v_1$ :	10	0	0	5	$\infty$	$\infty$	$\infty$	$\{v_2, v_3, v_0\}$	$\{v_1\}$	$v_1$	$\emptyset$	$v_1$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$
Relax $v_2$ :	10	0	0	5	$\infty$	$\infty$	$\infty$	$\{v_3, v_0\}$	$\{v_1, v_2\}$	$v_1$	$\emptyset$	$v_1$	$v_1$	$\emptyset$	$\emptyset$	$\emptyset$
Relax $v_3$ :	8	0	0	5	$\infty$	22	12	$\{v_0, v_6, v_5\}$	$\{v_1, v_2, v_3\}$	$v_3$	$\emptyset$	$v_1$	$v_1$	$\emptyset$	$v_3$	$v_3$
Relax $v_0$ :	8	0	0	5	15	22	12	$\{v_6, v_4, v_5\}$	$\{v_1, v_2, v_3, v_0\}$	$v_3$	$\emptyset$	$v_1$	$v_1$	$v_0$	$v_3$	$v_3$
Relax $v_6$ :	8	0	0	5	15	14	12	$\{v_5, v_4\}$	$\{v_1, v_2, v_3, v_0, v_6\}$	$v_3$	$\emptyset$	$v_1$	$v_1$	$v_0$	$v_6$	$v_3$
Relax $v_5$ :	8	0	0	5	15	14	12	$\{v_4\}$	$\{v_1, v_2, v_3, v_0, v_6, v_5\}$	$v_3$	$\emptyset$	$v_1$	$v_1$	$v_0$	$v_6$	$v_3$
Relax $v_4$ :	8	0	0	5	15	14	12	$\emptyset$	$\{v_1, v_2, v_3, v_0, v_6, v_5, v_4\}$	$v_3$	$\emptyset$	$v_1$	$v_1$	$v_0$	$v_6$	$v_3$

## Directed Graph

	dist							open	closed	parent						
	$v_0$	$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	$v_6$			$v_0$	$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	$v_6$
At Start:	$\infty$	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\{v_1\}$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$
Relax $v_1$ :	$\infty$	0	0	5	$\infty$	$\infty$	$\infty$	$\{v_2, v_3\}$	$\{v_1\}$	$\emptyset$	$\emptyset$	$v_1$	$v_1$	$\emptyset$	$\emptyset$	$\emptyset$
Relax $v_2$ :	$\infty$	0	0	2	$\infty$	$\infty$	$\infty$	$\{v_3\}$	$\{v_1, v_2\}$	$\emptyset$	$\emptyset$	$v_1$	$v_2$	$\emptyset$	$\emptyset$	$\emptyset$
Relax $v_3$ :	5	0	0	2	19	$\infty$	9	$\{v_0, v_6, v_4\}$	$\{v_1, v_2, v_3\}$	$v_3$	$\emptyset$	$v_1$	$v_2$	$v_3$	$\emptyset$	$v_3$
Relax $v_0$ :	5	0	0	2	6	$\infty$	9	$\{v_4, v_6\}$	$\{v_1, v_2, v_3, v_0\}$	$v_3$	$\emptyset$	$v_1$	$v_2$	$v_0$	$\emptyset$	$v_3$
Relax $v_4$ :	5	0	0	2	6	9	9	$\{v_6, v_5\}$	$\{v_1, v_2, v_3, v_0, v_4\}$	$v_3$	$\emptyset$	$v_1$	$v_2$	$v_0$	$v_4$	$v_3$
Relax $v_6$ :	5	0	0	2	6	9	9	$\{v_5\}$	$\{v_1, v_2, v_3, v_0, v_4, v_6\}$	$v_3$	$\emptyset$	$v_1$	$v_2$	$v_0$	$v_4$	$v_3$
Relax $v_5$ :	5	0	0	2	6	9	9	$\emptyset$	$\{v_1, v_2, v_3, v_0, v_4, v_6, v_5\}$	$v_3$	$\emptyset$	$v_1$	$v_2$	$v_0$	$v_4$	$v_3$



Shortest Path Tree for Graph A



Shortest Path Tree for Graph B

**Q4:** Answer the following questions for Dijkstra's algorithm executed on an undirected graph with  $N$  vertices and  $M$  edges:

- What is the maximum number of times an edge is looked at?

**Answer:** Twice; once each when the vertex at either end is removed from open.

- What is the maximum number of times the distance value of a vertex is updated?

**Answer:** As many times as the number of incoming edges.

- At any point, what is the maximum number of open vertices? Draw a graph on 4 vertices for which this maximum number is realized, irrespective of the starting node.

**Answer:**  $N - 1$ . A graph with 4 vertices, where any two vertices have an edge between them.

**Q5:** Provide a good intuition/reasoning as to why when a vertex  $v$  is closed, we will never find a shorter path to  $v$  thereafter.

**Answer:** All edge weights are non-negative, and Dijkstra's algorithm relaxes (closes) vertices in increasing order of shortest path distance from  $s$ . Hence, once a vertex  $v$  is closed, if we extend the shortest path from  $s$  to  $v$ , its weight can never decrease.