# Introduction to Category Theory

Carson James

# Contents

Notation  Preface				vii
				1
1	Basic Concepts			
	1.1	von Ne	eumann-Bernays-Gödel Set Theory	3
	1.2	Catego	ories	4
		1.2.1	Introduction	4
		1.2.2	Opposite Category	5
		1.2.3	Slice Category	6
		1.2.4	Product Category	7
	1.3	Functo	ors	9
		1.3.1	Introduction	9
		1.3.2	Category of Small Categories	10
		1.3.3	Comma Categories	13
	1.4	1.4 Natural Transformations		17
		1.4.1	Introduction	17
		1.4.2	Category of Functors	17
		1.4.3	Diagonal Functor	19
	1.5	Algebr	a of Morphisms	21
		1.5.1	Isomorphisms	21
		1.5.2	Initial and Final Objects	26
<b>2</b>	Universal Morphisms and Limits 29			
		2.0.1	Universal Morphisms	29
	2.1	Limits	·	30
		2.1.1	Products and Coproducts	31
		2.1.2	Equalizers and Coequalizers	31
$\mathbf{A}$	App	)		33

vi CONTENTS

# Notation

 $\begin{array}{ll} \mathcal{M}_+(X,\mathcal{A}) & \text{ finite measures on } (X,\mathcal{A}) \\ v & \text{ velocity} \end{array}$ 

viii Notation

# Preface

cc-by-nc-sa

2 Notation

## Chapter 1

## **Basic Concepts**

## 1.1 von Neumann-Bernays-Gödel Set Theory

**Definition 1.1.0.1.** Let x be a class. Then x is said to be a set iff there exists a class A such that  $x \in A$ .

Note 1.1.0.2. We can define cartesion products, relations, and functions for classes just like for sets.

#### Axiom 1.1.0.3. Axiom of Replacement:

Let A, B be classes and  $f: A \to B$ . If A is a set, then f(A) is a set.

## Axiom 1.1.0.4. Schema of Specification:

Let  $\phi$  a propositional function on sets. Then there exists a class A such that for each set  $x, x \in A$  iff  $\phi(x)$ .

**Exercise 1.1.0.5.** There exists a class A such that for each class  $x, x \in A$  iff x is a set.

*Proof.* Define  $\phi$  by

$$\phi(x): x = x$$

Axiom 1.1.0.4 implies that there exists a class A such that for each set x,  $x \in A$  iff x = x. Let x be a class. If  $x \in A$ , then by definition, x is a set.

Conversely, if x is a set, then by construction,  $x \in A$ .

**Exercise 1.1.0.6.** There exists a class A such that for each class G and  $*: G \times G \to G$ ,  $(G, *) \in A$  iff (G, \*) is a group.

*Proof.* Define  $\phi_1$ ,  $\phi_2$  and  $\phi_3$  by

- $\phi_1(G,*):*:G\times G\to G$  is associative
- $\phi_2(G,*)$ : there exists  $e \in G$  such that for each  $g \in G, e*g = g*e = g$
- $\phi_3(G,*)$ : for each  $g \in G$  there exists  $h \in G$  such that g\*h = h\*g = e

Define  $\phi$  by

$$\phi(G,*):\phi_1(G,*) \text{ and } \phi_2(G,*) \text{ and } \phi_3(G,*)$$

Then there exists a class A such that for each set G and  $*: G \times G \to G$ ,  $(G,*) \in A$  iff  $\phi(G,*)$  (G,\*) "is a group". Therefore, for each group (G,\*),  $(G,*) \in A$ . **FINISH!!!** 

## 1.2 Categories

#### 1.2.1 Introduction

**Definition 1.2.1.1.** Let  $C_0$ ,  $C_1$  be classes and dom, cod :  $C_1 \to C_0$  class functions. Set  $C = (C_0, C_1, \text{dom}, \text{cod})$ . Then C is said to be a **category** if

- 1. (composition): for each  $f, g \in C_1$ , if cod(f) = dom(g), then there exists  $g \circ f \in C_1$  such that  $dom(g \circ f) = dom(f)$  and  $cod(g \circ f) = cod(g)$
- 2. (associativity): for each  $f, g, h \in C_1$ , if cod(f) = dom(g) and cod(g) = dom(h), then

$$(h \circ g) \circ f = h \circ (g \circ f)$$

3. (identity): for each  $X \in \mathcal{C}_0$ , there exists  $\mathrm{id}_X \in \mathcal{C}_1$  such that  $\mathrm{dom}(\mathrm{id}_X) = \mathrm{cod}(\mathrm{id}_X) = X$  and for each  $f, g \in \mathcal{C}_1$ , if  $\mathrm{dom}(f) = X$  and  $\mathrm{cod}(g) = X$ , then

$$f \circ \mathrm{id}_X = f$$
 and  $\mathrm{id}_X \circ g = g$ 

We define the

- objects of  $\mathcal{C}$ , denoted  $\mathrm{Obj}(\mathcal{C})$ , by  $\mathrm{Obj}(\mathcal{C}) = C_0$
- morphisms of  $\mathcal{C}$ , denoted  $\operatorname{Hom}_{\mathcal{C}}$ , by  $\operatorname{Hom}_{\mathcal{C}} = C_1$

For  $X, Y \in \text{Obj}(\mathcal{C})$ , we define the **morphisms from** X **to** Y, denoted  $\text{Hom}_{\mathcal{C}}(X, Y)$ , by  $\text{Hom}_{\mathcal{C}}(X, Y) = \{f \in \text{Hom}(\mathcal{C}) : \text{dom}(f) = X \text{ and } \text{cod}(f) = Y\}$ .

**Note 1.2.1.2.** We typically define a category  $\mathcal{C}$  by specifying

- Obj(C)
- for  $X, Y \in \text{Obj}(\mathcal{C})$ , the class  $\text{Hom}_{\mathcal{C}}(X, Y)$
- for  $X,Y,Z\in \mathrm{Obj}(\mathcal{C}),\ f\in \mathrm{Hom}_{\mathcal{C}}(X,Y)$  and  $g\in \mathrm{Hom}_{\mathcal{C}}(Y,Z),$  the composite morphism  $g\circ f\in \mathrm{Hom}_{\mathcal{C}}(X,Z).$

and then show

- well-definedness of composition
- associativity of composition
- existence of identities

**Definition 1.2.1.3.** We define the **empty category**, denoted **0**, by

- $Obj(\mathbf{0}) = \emptyset$
- $\text{Hom}_0 = \emptyset$

**Exercise 1.2.1.4.** We have that  $\mathbf{0}$  is a category.

*Proof.* Vacuously true.

**Definition 1.2.1.5.** We define the **trivial category**, denoted **1**, by

- $Obj(1) = \{*\}$
- $\text{Hom}_1 = \{ id_* \}$

**Exercise 1.2.1.6.** We have that **1** is a category.

1.2. CATEGORIES 5

*Proof.* Clear.  $\Box$ 

**Definition 1.2.1.7.** We define **Set** by

- $Obj(\mathbf{Set}) = \{A : A \text{ is a set}\}\$
- for each  $A, B \in \text{Obj}(\mathbf{Set})$ ,  $\text{Hom}_{\mathbf{Set}}(A, B) = \{f : f : A \to B\}$
- for  $A, B, C \in \mathbf{Set}$ ,  $f \in \mathrm{Hom}_{\mathbf{Set}}(A, B)$  and  $g \in \mathrm{Hom}_{\mathbf{Set}}(B, C)$ ,  $g \circ_{\mathbf{Set}} f = g \circ f$ .

Exercise 1.2.1.8. We have that **Set** is a category.

Proof.

- well-definedness of composition:
- associativity of composition:
- existence of identities:

FINISH!!!

**Definition 1.2.1.9.** Let  $\mathcal{C}$  be a category. Then  $\mathcal{C}$  is said to be

- small if  $Obj(\mathcal{C})$  and  $Hom_{\mathcal{C}}$  are sets
- locally small if for each  $A, B \in \text{Obj}(\mathcal{C})$ ,  $\text{Hom}_{\mathcal{C}}(A, B)$  is a set

**Exercise 1.2.1.10.** Let  $\mathcal{C}$  be a category. If  $\mathcal{C}$  is small, then  $\mathcal{C}$  is a set.

*Proof.* Suppose that  $\mathcal{C}$  is small. Then  $Obj(\mathcal{C})$  and  $Hom_{\mathcal{C}}$  are sets. Then  $\mathcal{P}(Obj(\mathcal{C}))$ ,  $\mathcal{P}(Hom_{\mathcal{C}})$  and  $Obj(\mathcal{C})^{Hom_{\mathcal{C}}}$  are sets. Hence  $Obj(\mathcal{C}) \times Hom_{\mathcal{C}} \times Obj(\mathcal{C})^{Hom_{\mathcal{C}}} \times Obj(\mathcal{C})^{Hom_{\mathcal{C}}}$  is a set. By definition,  $\mathcal{C} = (Obj(\mathcal{C}), Hom_{\mathcal{C}}, dom, cod) \in Obj(\mathcal{C}) \times Hom_{\mathcal{C}} \times Obj(\mathcal{C})^{Hom_{\mathcal{C}}} \times Obj(\mathcal{C})^{Hom_{\mathcal{C}}}$ . By definition,  $\mathcal{C}$  is a set. □

**Exercise 1.2.1.11.** There exists a class A such that  $\mathcal{C} \in A$  iff  $\mathcal{C}$  is a small category.

*Proof.* Exercise 1.2.1.10 implies that for each category  $\mathcal{C}$ ,  $\mathcal{C}$  is small implies that  $\mathcal{C}$  is a set. Define  $\phi$  by

$$\phi(\mathcal{C}):\mathcal{C}$$
 is a small category

Then Axiom 1.1.0.4 implies that there exists a class A such that  $C \in A$  iff C is a small category.

## 1.2.2 Opposite Category

**Definition 1.2.2.1.** Let  $\mathcal{C}$  be a category, we define the dual of  $\mathcal{C}$  or the **opposite of**  $\mathcal{C}$ , denoted  $\mathcal{C}^{op}$ , by

- $Obj(\mathcal{C}^{op}) = Obj(\mathcal{C})$
- for  $X, Y \in \text{Obj}(\mathcal{C}^{\text{op}})$ ,  $\text{Hom}_{\mathcal{C}^{\text{op}}}(X, Y) = \text{Hom}_{\mathcal{C}}(Y, X)$
- for  $X, Y, Z \in \text{Obj}(\mathcal{C}^{\text{op}})$  and  $f \in \text{Hom}_{\mathcal{C}^{\text{op}}}(X, Y), g \in \text{Hom}_{\mathcal{C}^{\text{op}}}(Y, Z), g \circ_{\mathcal{C}^{\text{op}}} f = f \circ_{\mathcal{C}} g$

**Exercise 1.2.2.2.** Let  $\mathcal{C}$  be a category. Then  $\mathcal{C}^{op}$  is a category.

Proof.

• for  $W, X, Y, Z \in \text{Obj}(\mathcal{C})$ ,  $f \in \text{Hom}_{\mathcal{C}^{\text{op}}}(W, X)$  and  $g \in \text{Hom}_{\mathcal{C}^{\text{op}}}(X, Y)$  and  $h \in \text{Hom}_{\mathcal{C}^{\text{op}}}(Y, Z)$ . Then

$$(h \circ_{\mathcal{C}^{\mathrm{op}}} g) \circ_{\mathcal{C}^{\mathrm{op}}} f = f \circ_{\mathcal{C}} (h \circ_{\mathcal{C}^{\mathrm{op}}} g)$$

$$= f \circ_{\mathcal{C}} (g \circ_{\mathcal{C}} h)$$

$$= (f \circ_{\mathcal{C}} g) \circ_{\mathcal{C}} h$$

$$= h \circ_{\mathcal{C}^{\mathrm{op}}} (f \circ_{\mathcal{C}} g)$$

$$= h \circ_{\mathcal{C}^{\mathrm{op}}} (g \circ_{\mathcal{C}^{\mathrm{op}}} f)$$

So composition is associative.

• Let  $X \in \mathrm{Obj}(\mathcal{C})$  and  $f, g \in \mathrm{Hom}_{\mathcal{C}^{\mathrm{op}}}$ . Suppose that  $\mathrm{dom}(f) = X$  and  $\mathrm{cod}(g) = X$  Then

$$f \circ_{\mathcal{C}^{\mathrm{op}}} \mathrm{id}_X = \mathrm{id}_X \circ_{\mathcal{C}} f$$
  
=  $f$ 

and

$$id_X \circ_{\mathcal{C}^{op}} g = g \circ_{\mathcal{C}} id_X$$
$$= g$$

So  $(\mathrm{id}_X)_{\mathcal{C}^{\mathrm{op}}} = (\mathrm{id}_X)_{\mathcal{C}}$ .

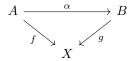
### 1.2.3 Slice Category

**Definition 1.2.3.1.** Let  $\mathcal{C}$  be a category and  $X \in \text{Obj}(\mathcal{C})$ . We define the **slice category of**  $\mathcal{C}$  **over** X, denoted  $\mathcal{C}/X$ , by

- $\operatorname{Obj}(\mathcal{C}/X) = \{ f \in \operatorname{Hom}_{\mathcal{C}} : \operatorname{cod}(f) = X \}$
- for  $f, g \in \text{Obj}(\mathcal{C}/X)$ ,

$$\operatorname{Hom}_{\mathcal{C}/X}(f,g) = \{\alpha \in \operatorname{Hom}_{\mathcal{C}} : \operatorname{dom}(\alpha) = \operatorname{dom}(f), \operatorname{cod}(\alpha) = \operatorname{dom}(g) \text{ and } f = g \circ \alpha\}$$

i.e. for  $f \in \operatorname{Hom}_{\mathcal{C}}(A, X)$  and  $g \in \operatorname{Hom}_{\mathcal{C}}(B, X)$ ,  $\alpha \in \operatorname{Hom}_{\mathcal{C}/X}(f, g)$  iff the following diagram commutes:



• for  $f, g, h \in \text{Obj}(\mathcal{C}/X)$ ,  $\alpha \in \text{Hom}_{\mathcal{C}/X}(f, g)$  and  $\beta \in \text{Hom}_{\mathcal{C}/X}(g, h)$ ,

$$\beta \circ_{\mathcal{C}/X} \alpha = \beta \circ_{\mathcal{C}} \alpha$$

**Exercise 1.2.3.2.** Let  $\mathcal{C}$  be a category and  $X \in \text{Obj}(\mathcal{C})$ . Then  $\mathcal{C}/X$  is a category.

Proof.

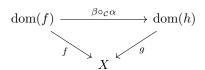
•  $f, g, h \in \text{Obj}(\mathcal{C}/X)$ ,  $\alpha \in \text{Hom}_{\mathcal{C}/X}(f, g)$  and  $\beta \in \text{Hom}_{\mathcal{C}/X}(g, h)$ . Then  $f = g \circ_{\mathcal{C}} \alpha$  and  $g = h \circ_{\mathcal{C}} \beta$ , i.e. the following diagrams commute:



Therefore, we have that

$$f = g \circ_{\mathcal{C}} \alpha$$
$$= (h \circ_{\mathcal{C}} \beta) \circ_{\mathcal{C}} \alpha$$
$$= h \circ_{\mathcal{C}} (\beta \circ_{\mathcal{C}} \alpha)$$

i.e. the following diagram commutes:



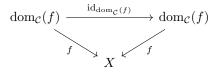
1.2. CATEGORIES 7

which implies that

$$\beta \circ_{\mathcal{C}/X} \alpha = \beta \circ_{\mathcal{C}} \alpha$$
$$\in \operatorname{Hom}_{\mathcal{C}/X}(f, h)$$

and composition is well defined.

- Associativity of  $\circ_{\mathcal{C}/X}$  follows from associativity of  $\circ_{\mathcal{C}}$ .
- Let  $f \in \text{Obj}(\mathcal{C}/X)$  and  $\alpha, \beta \in \text{Hom}_{\mathcal{C}/X}$ . Since  $f \circ \text{id}_{\text{dom}_{\mathcal{C}}(f)} = f$ , i.e. the following diagram commutes:



we have that  $id_{dom_{\mathcal{C}}(f)} \in Hom_{\mathcal{C}/X}(f, f)$ . Suppose that  $dom_{\mathcal{C}/X}(\alpha) = f$  and  $cod_{\mathcal{C}/X}(\beta) = f$ . Then

$$\alpha \circ_{\mathcal{C}/X} \mathrm{id}_{\mathrm{dom}_{\mathcal{C}}(f)} = \alpha \circ_{\mathcal{C}} \mathrm{id}_{\mathrm{dom}_{\mathcal{C}}(f)}$$
$$= \alpha$$

and

$$id_{\text{dom}_{\mathcal{C}}(f)} \circ_{\mathcal{C}/X} \beta = id_{\text{dom}_{\mathcal{C}}(f)} \circ_{\mathcal{C}} \beta$$

$$= \beta$$

So  $id_f = id_{dom_{\mathcal{C}}(f)}$ .

1.2.4 Product Category

**Definition 1.2.4.1.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. We define the **product category of**  $\mathcal{C}$  and  $\mathcal{D}$ , denoted  $\mathcal{C} \times \mathcal{D}$  by

- $Obj(\mathcal{C} \times \mathcal{D}) = \{(A, B) : A \in Obj(\mathcal{C}) \text{ and } B \in Obj(\mathcal{D})\}$
- for each  $(A, A'), (B, B') \in \text{Obj}(\mathcal{C} \times \mathcal{D})$ ,  $\text{Hom}_{\mathcal{C} \times \mathcal{D}}((A, A'), (B, B')) = \{(f, g) : f \in \text{Hom}_{\mathcal{C}}(A, B) \text{ and } g \in \text{Hom}_{\mathcal{C}}(A', B')\}$
- for each  $(A, A'), (B, B'), (C, C') \in \text{Obj}(\mathcal{C} \times \mathcal{D}), (f, f') \in \text{Hom}_{\mathcal{C} \times \mathcal{D}}((A, A'), (B, B'))$  and  $(g, g') \in \text{Hom}_{\mathcal{C} \times \mathcal{D}}((B, B'), (C, C')),$   $(g, g') \circ_{\mathcal{C} \times \mathcal{D}} (f, f') = (g \circ_{\mathcal{C}} f, g' \circ_{\mathcal{D}} f')$

**Exercise 1.2.4.2.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. Then  $\mathcal{C} \times \mathcal{D}$  is a category.

Proof.

• well-definedness of composition:

Let  $(A, A'), (B, B'), (C, C') \in \text{Obj}(\mathcal{C} \times \mathcal{D}), (f, f') \in \text{Hom}_{\mathcal{C} \times \mathcal{D}}((A, A'), (B, B'))$  and  $(g, g') \in \text{Hom}_{\mathcal{C} \times \mathcal{D}}((B, B'), (C, C'))$ . Then  $f \in \text{Hom}_{\mathcal{C}}(A, B), g \in \text{Hom}_{\mathcal{C}}(B, C), f' \in \text{Hom}_{\mathcal{D}}(A', B')$ , and  $g' \in \text{Hom}_{\mathcal{D}}(B', C')$ . Hence  $g \circ_{\mathcal{C}} f \in \text{Hom}_{\mathcal{C}}(A, C')$  and  $g' \circ_{\mathcal{D}} f' \in \text{Hom}_{\mathcal{D}}(A', C')$ . Thus

$$(g, g') \circ_{\mathcal{C} \times \mathcal{D}} (f, f') = (g \circ_{\mathcal{C}} f, g' \circ_{\mathcal{D}} f')$$
  

$$\in \operatorname{Hom}_{\mathcal{C} \times \mathcal{D}} ((A, A'), (C, C'))$$

Thus, composition is well defined.

#### • associativity of composition:

Let  $(A, A'), (B, B'), (C, C'), (D, D') \in \text{Obj}(\mathcal{C} \times \mathcal{D}), (f, f') \in \text{Hom}_{\mathcal{C} \times \mathcal{D}}((A, A'), (B, B')), (g, g') \in \text{Hom}_{\mathcal{C} \times \mathcal{D}}((B, B'), (C, C'), (D, D'))$ . Then

$$\begin{split} \left[ (h,h') \circ_{\mathcal{C} \times \mathcal{D}} (g,g') \right] \circ_{\mathcal{C} \times \mathcal{D}} (f,f') &= (h \circ_{\mathcal{C}} g,h' \circ_{\mathcal{D}} g') \circ_{\mathcal{C} \times \mathcal{D}} (f,f') \\ &= ((h \circ_{\mathcal{C}} g) \circ_{\mathcal{C}} f, (h' \circ_{\mathcal{D}} g') \circ_{\mathcal{D}} f') \\ &= (h \circ_{\mathcal{C}} (g \circ_{\mathcal{C}} f), h' \circ_{\mathcal{D}} (g' \circ_{\mathcal{D}} f')) \\ &= (h,h') \circ_{\mathcal{C} \times \mathcal{D}} (g \circ_{\mathcal{C}} f,g' \circ_{\mathcal{D}} f') \\ &= (h,h') \circ_{\mathcal{C} \times \mathcal{D}} \left[ (g,g') \circ_{\mathcal{C} \times \mathcal{D}} (f,f') \right] \end{split}$$

Thus composition is associative.

#### • existence of identities:

Let  $(A, B) \in \text{Obj}(\mathcal{C} \times \mathcal{D})$ ,  $(f, f'), (g, g') \in \text{Hom}_{\mathcal{C} \times \mathcal{D}}$ . Suppose that  $\text{dom}_{\mathcal{C} \times \mathcal{D}}(f, f') = (A, B)$  and  $\text{cod}_{\mathcal{C} \times \mathcal{D}}(g, g') = (A, B)$ . Then  $\text{dom}_{\mathcal{C}}(f) = A$ ,  $\text{dom}_{\mathcal{D}}(f') = B$ ,  $\text{cod}_{\mathcal{C}}(g) = A$  and  $\text{cod}_{\mathcal{D}}(g') = B$ . Hence

$$(f, f') \circ_{\mathcal{C} \times \mathcal{D}} (\mathrm{id}_A, \mathrm{id}_B) = (f \circ_{\mathcal{C}} \mathrm{id}_A, f' \circ_{\mathcal{D}} \mathrm{id}_B)$$
  
=  $(f, f)$ 

and

$$\begin{split} (\mathrm{id}_A,\mathrm{id}_B) \circ_{\mathcal{C} \times \mathcal{D}} (g,g') &= (\mathrm{id}_A \circ_{\mathcal{C}} g,\mathrm{id}_B \circ g') \\ &= (g,g') \end{split}$$

Therefore  $(id_{(A,B)})_{\mathcal{C}\times\mathcal{D}} = (id_A, id_B).$ 

1.3. FUNCTORS 9

### 1.3 Functors

## 1.3.1 Introduction

**Definition 1.3.1.1.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories and  $F_0: \mathrm{Obj}(\mathcal{C}) \to \mathrm{Obj}(\mathcal{D}), F_1: \mathrm{Hom}_{\mathcal{C}} \to \mathrm{Hom}_{\mathcal{D}}$  class functions. Set  $F = (F_0, F_1)$ . Then F is said to be a functor from  $\mathcal{C}$  to  $\mathcal{D}$ , denoted  $F: \mathcal{C} \to \mathcal{D}$ , if

- 1. for each  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B), F_1(f) \in \text{Hom}_{\mathcal{D}}(F_0(A), F_0(B))$
- 2. for each  $A, B, C \in \text{Obj}(\mathcal{C}), f \in \text{Hom}_{\mathcal{C}}(A, B)$  and  $g \in \text{Hom}_{\mathcal{C}}(B, C), F_1(g \circ f) = F_1(g) \circ F_1(f)$
- 3. for each  $A \in \text{Obj}(\mathcal{C})$ ,  $F_1(\text{id}_A) = \text{id}_{F_0(A)}$

**Note 1.3.1.2.** For  $A \in \text{Obj}(C)$  and  $f \in \text{Hom}_{\mathcal{C}}$ , we typically write F(A) and F(f) instead of  $F_0(A)$  and  $F_1(f)$  respectively.

**Definition 1.3.1.3.** Let  $\mathcal{C}$  be a category. We define the **empty functor** from **0** to  $\mathcal{C}$ , denoted  $E_{\mathcal{C}}: \mathbf{0} \to \mathcal{C}$  by  $(E_{\mathcal{C}})_0 = (E_{\mathcal{C}})_1 = \emptyset$ .

**Exercise 1.3.1.4.** Let  $\mathcal{C}$  be a category. Then  $E_{\mathcal{C}}: \mathbf{0} \to \mathcal{C}$  is a functor.

*Proof.* Since  $Obj(\mathbf{0}) = \emptyset$  and  $Hom_{\mathbf{0}} = \emptyset$ , this is vacuously true.

**Definition 1.3.1.5.** Let C, D be categories and  $X \in D$ . We define the **constant functor** from C onto X, denoted  $\Delta_X^C : C \to D$  by

- $\Delta_X^{\mathcal{C}}(A) = X$
- $\Delta_X^{\mathcal{C}}(f) = \mathrm{id}_X$

**Exercise 1.3.1.6.** Let  $\mathcal{C}, \mathcal{D}$  be categories and  $X \in \text{Obj}(\mathcal{D})$ . Then  $\Delta_X^{\mathcal{C}} : \mathcal{C} \to \mathcal{D}$  is a functor.

Proof.

1. Let  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ . Then

$$\begin{split} \Delta_X^{\mathcal{C}}(f) &= \mathrm{id}_X \\ &\in \mathrm{Hom}_{\mathcal{D}}(X,X) \\ &= \mathrm{Hom}_{\mathcal{D}}(\Delta_X^{\mathcal{C}}(A), \Delta_X^{\mathcal{C}}(B)) \end{split}$$

2. Let  $A, B, C \in \text{Obj}(\mathcal{C}), f \in \text{Hom}_{\mathcal{C}}(A, B)$  and  $g \in \text{Hom}_{\mathcal{C}}(B, C)$ . Then

$$\Delta_X^{\mathcal{C}}(g \circ f) = \mathrm{id}_X$$

$$= \mathrm{id}_X \circ \mathrm{id}_X$$

$$= \Delta_X^{\mathcal{C}}(g) \circ \Delta_X^{\mathcal{C}}(f)$$

3. Let  $A \in \text{Obj}(\mathcal{C})$ . Then

$$\Delta_X^{\mathcal{C}}(\mathrm{id}_A) = \mathrm{id}_X$$
$$= \mathrm{id}_{\Delta_Y^{\mathcal{C}}(A)}$$

So  $\Delta_X^{\mathcal{C}}: \mathcal{C} \to \mathcal{D}$  is a functor.

## 1.3.2 Category of Small Categories

**Definition 1.3.2.1.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  and  $\mathcal{E}$  be categories and  $F: \mathcal{C} \to \mathcal{D}$ ,  $G: \mathcal{D} \to \mathcal{E}$  functors. We define the **composition of** G with F, denoted  $G \circ F: \mathcal{C} \to \mathcal{E}$ , by

- $G \circ F(A) = G(F(A))$
- $G \circ F(f) = G(F(f))$

**Exercise 1.3.2.2.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  and  $\mathcal{E}$  be categories and  $F:\mathcal{C}\to\mathcal{D}$ ,  $G:\mathcal{D}\to\mathcal{E}$  functors. Then  $G\circ F:\mathcal{C}\to\mathcal{E}$  is a functor.

Proof.

1. Let  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ . Since  $F(f) \in \text{Hom}_{\mathcal{D}}(F(A), F(B))$ , we have that  $G(F(f)) \in \text{Hom}_{\mathcal{E}}(G(F(A)), G(F(B)))$ . Then

$$G \circ F(f) = G(F(f))$$

$$\in \operatorname{Hom}_{\mathcal{E}}(G(F(A)), G(F(B)))$$

$$= \operatorname{Hom}_{\mathcal{E}}(G \circ F(A), G \circ F(B))$$

2. Let  $A, B, C \in \text{Obj}(\mathcal{C}), f \in \text{Hom}_{\mathcal{C}}(A, B)$  and  $g \in \text{Hom}_{\mathcal{C}}(B, C)$ . Then

$$\begin{split} G\circ F(g\circ f) &= G(F(g\circ f))\\ &= G(F(g)\circ F(f))\\ &= G(F(g))\circ G(F(f))\\ &= G\circ F(g)\circ G\circ F(f) \end{split}$$

3. Let  $A \in \text{Obj}(\mathcal{C})$ . Then

$$G \circ F(\mathrm{id}_A) = G(F(\mathrm{id}_A))$$

$$= G(\mathrm{id}_{F(A)})$$

$$= \mathrm{id}_{G(F(A))}$$

$$= \mathrm{id}_{G \circ F(A)}$$

So  $G \circ F : \mathcal{C} \to \mathcal{E}$  is a functor.

**Exercise 1.3.2.3.** Let  $\mathcal{C}$ ,  $\mathcal{D}$ ,  $\mathcal{E}$ ,  $\mathcal{F}$  be categories and  $F:\mathcal{C}\to\mathcal{D}$ ,  $G:\mathcal{D}\to\mathcal{E}$ ,  $H:\mathcal{E}\to\mathcal{F}$  functors. Then  $(H\circ G)\circ F=H\circ (G\circ F)$ .

*Proof.* Let  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ . Then

$$(H \circ G) \circ F(A) = H \circ G(F(A))$$
$$= H(G(F(A)))$$
$$= H(G \circ F(A))$$
$$= H \circ (G \circ F)(A)$$

•

$$\begin{split} (H \circ G) \circ F(f) &= H \circ G(F(f)) \\ &= H(G(F(f))) \\ &= H(G \circ F(f)) \\ &= H \circ (G \circ F)(f) \end{split}$$

1.3. FUNCTORS

Hence  $(H \circ G) \circ F = H \circ (G \circ F)$ .

**Definition 1.3.2.4.** Let  $\mathcal{C}$  be a category. We define the **identity functor from**  $\mathcal{C}$  **to**  $\mathcal{C}$ , denoted  $\mathrm{id}_{\mathcal{C}}: \mathcal{C} \to \mathcal{C}$ , by

- $id_{\mathcal{C}}(A) = A, (A \in Obj(\mathcal{C}))$
- $id_{\mathcal{C}}(f) = f, (f \in Hom_{\mathcal{C}})$

**Exercise 1.3.2.5.** Let  $\mathcal{C}$  be a category. Then  $\mathrm{id}_{\mathcal{C}}:\mathcal{C}\to\mathcal{C}$  is a functor.

Proof.

1. Let  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ . Then

$$id_{\mathcal{C}}(f) = f$$

$$\in \operatorname{Hom}_{\mathcal{C}}(A, B)$$

$$= \operatorname{Hom}_{\mathcal{C}}(id_{\mathcal{C}}(A), id_{\mathcal{C}}(B))$$

2. Let  $A, B, C \in \text{Obj}(\mathcal{C}), f \in \text{Hom}_{\mathcal{C}}(A, B)$  and  $g \in \text{Hom}_{\mathcal{C}}(B, C)$ . Then

$$id_{\mathcal{C}}(g \circ f) = g \circ f$$
  
=  $id_{\mathcal{C}}(g) \circ id_{\mathcal{C}}(f)$ 

3. Let  $A \in \text{Obj}(\mathcal{C})$ . Then

$$id_{\mathcal{C}}(id_A) = id_A$$
  
=  $id_{id_{\mathcal{C}}(A)}$ 

**Exercise 1.3.2.6.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories and  $F: \mathcal{C} \to \mathcal{D}$ . Then

- 1.  $id_{\mathcal{D}} \circ F = F$
- 2.  $F \circ id_{\mathcal{C}} = F$

Proof.

1. Let  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ . Then

$$id_{\mathcal{D}} \circ F(A) = id_{\mathcal{D}}(F(A))$$
  
=  $F(A)$ 

and

$$id_{\mathcal{D}} \circ F(f) = id_{\mathcal{D}}(F(f))$$
  
=  $F(f)$ 

Since  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$  are arbitrary,  $\text{id}_{\mathcal{D}} \circ F = F$ .

2. Let  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ . Then

$$F \circ id_{\mathcal{C}}(A) = F(id_{\mathcal{C}}(A))$$
$$= F(A)$$

and

$$F \circ \mathrm{id}_{\mathcal{C}}(f) = F(\mathrm{id}_{\mathcal{C}}(f))$$
$$= F(f)$$

Since  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$  are arbitrary,  $F \circ \text{id}_{\mathcal{C}} = F$ .

**Exercise 1.3.2.7.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories and  $F:\mathcal{C}\to\mathcal{D}$ . If  $\mathcal{C}$  is small, then F is a set.

Proof. Suppose that  $\mathcal{C}$  is small. Then  $\mathrm{Obj}(\mathcal{C})$  and  $\mathrm{Hom}_{\mathcal{C}}$  are sets. By definition, there exist  $F_0:\mathrm{Obj}(\mathcal{C})\to\mathrm{Obj}(\mathcal{D})$  and  $F_1:\mathrm{Hom}_{\mathcal{C}}\to\mathrm{Hom}_{\mathcal{D}}$  such that  $F=(F_0,F_1)$ . Axiom 1.1.0.3 implies that  $F_0(\mathrm{Obj}(\mathcal{C}))$  and  $F_1(\mathrm{Hom}_{\mathcal{C}})$  are sets. Therefore,  $\mathrm{Obj}(\mathcal{C})\times F_0(\mathrm{Obj}(\mathcal{C}))$  and  $\mathrm{Hom}_{\mathcal{C}}\times F_1(\mathrm{Hom}_{\mathcal{C}})$  are sets. Hence  $\mathcal{P}(\mathrm{Obj}(\mathcal{C})\times F_0(\mathrm{Obj}(\mathcal{C})))$  and  $\mathcal{P}(\mathrm{Hom}_{\mathcal{C}}\times F_1(\mathrm{Hom}_{\mathcal{C}}))$  are sets. Since  $F_0\subset\mathrm{Obj}(\mathcal{C})\times F_0(\mathrm{Obj}(\mathcal{C}))$  and  $F_1\subset\mathrm{Hom}_{\mathcal{C}}\times F_1(\mathrm{Hom}_{\mathcal{C}})$ , we have that  $F_0\in\mathcal{P}(\mathrm{Obj}(\mathcal{C})\times F_0(\mathrm{Obj}(\mathcal{C})))$  and  $F_1\in\mathcal{P}(\mathrm{Hom}_{\mathcal{C}}\times F_1(\mathrm{Hom}_{\mathcal{C}}))$ . Hence  $F_0$  and  $F_1$  are sets. Thus  $F=(F_0,F_1)$  is a set.

**Exercise 1.3.2.8.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. Suppose that  $\mathcal{C}$  is small. Then there exists a class A such that for each class  $F, F \in A$  iff  $F : \mathcal{C} \to \mathcal{D}$ .

*Proof.* Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. Suppose that  $\mathcal{C}$  is small. Define  $\phi$  by

$$\phi(F):F:\mathcal{C}\to\mathcal{D}$$

Then there exists a class A such that for each set F,  $F \in A$  iff  $\phi(F)$ . Let F be a class. Suppose that  $F \in A$ . By Definition 1.1.0.1, F is a set. Since F is a set and  $F \in A$ , we have that  $\phi(F)$ . Hence  $F : \mathcal{C} \to \mathcal{D}$ . Conversely, suppose that  $F : \mathcal{C} \to \mathcal{D}$ . Exercise 1.3.2.7 implies that F is a set. Since F is a set and  $\phi(F)$  is true, we have that  $F \in A$ .

**Definition 1.3.2.9.** We define **Cat** by

- $Obj(Cat) = \{C : C \text{ is a small category}\}.$
- for  $\mathcal{C}, \mathcal{D} \in \mathrm{Obj}(\mathbf{Cat})$ ,

$$\operatorname{Hom}_{\mathbf{Cat}}(\mathcal{C}, \mathcal{D}) = \{F : F : \mathcal{C} \to \mathcal{D}\}\$$

• for  $C, D, E \in \text{Obj}(\mathbf{Cat})$ ,  $F \in \text{Hom}_{\mathbf{Cat}}(C, D)$  and  $G \in \text{Hom}_{\mathbf{Cat}}(D, E)$ ,

$$G \circ_{\mathbf{Cat}} F = G \circ F$$

Exercise 1.3.2.10. We have that Cat is

- 1. a category
- 2. locally small

Proof.

- 1. Exercise 1.3.2.2 implies that composition is well defined. Exercise 1.3.2.3 implies that composition is associative. Exercise 1.3.2.5 and Exercise 1.3.2.6 imply the existence of identities.
- 2. Let  $\mathcal{C}, \mathcal{D} \in \mathrm{Obj}(\mathbf{Cat})$  and  $F \in \mathrm{Hom}_{\mathbf{Cat}}(\mathcal{C}, \mathcal{D})$ . Definition 1.2.1.9 implies that  $\mathrm{Obj}(\mathcal{C})$ ,  $\mathrm{Obj}(\mathcal{D})$ ,  $\mathrm{Hom}_{\mathcal{C}}$  and  $\mathrm{Hom}_{\mathcal{D}}$  are sets. Hence  $\mathrm{Obj}(\mathcal{D})^{\mathrm{Obj}(\mathcal{C})} \times \mathrm{Hom}_{\mathcal{D}}^{\mathrm{Hom}_{\mathcal{C}}}$  is a set. Let  $F \in \mathrm{Hom}_{\mathbf{Cat}}(\mathcal{C}, \mathcal{D})$ . Then there exist  $F_0 \in \mathrm{Obj}(\mathcal{D})^{\mathrm{Obj}(\mathcal{C})}$  and  $F_1 \in \mathrm{Hom}_{\mathcal{D}}^{\mathrm{Hom}_{\mathcal{C}}}$  such that  $F = (F_0, F_1)$ . Therefore  $F \in \mathrm{Obj}(\mathcal{D})^{\mathrm{Obj}(\mathcal{C})} \times \mathrm{Hom}_{\mathcal{D}}^{\mathrm{Hom}_{\mathcal{C}}}$ . Since  $F \in \mathrm{Hom}_{\mathbf{Cat}}(\mathcal{C}, \mathcal{D})$  is arbitrary,

$$\operatorname{Hom}_{\mathbf{Cat}}(\mathcal{C},\mathcal{D}) \subset \operatorname{Obj}(\mathcal{D})^{\operatorname{Obj}(\mathcal{C})} \times \operatorname{Hom}_{\mathcal{D}}^{\operatorname{Hom}_{\mathcal{C}}}$$

which implies that  $\operatorname{Hom}_{\mathbf{Cat}}(\mathcal{C}, \mathcal{D})$  is a set. Therefore,  $\mathbf{Cat}$  is locally small.

1.3. FUNCTORS

#### 1.3.3 Comma Categories

**Definition 1.3.3.1.** Let  $\mathcal{A}$ ,  $\mathcal{B}$ ,  $\mathcal{C}$  be a categories and  $S : \mathcal{A} \to \mathcal{C}$ ,  $T : \mathcal{B} \to \mathcal{C}$  functors. We define the **comma category of** S **to** T, denoted  $(S \downarrow T)$ , by

- $\operatorname{Obj}(S \downarrow T) = \{(A, B, h) : A \in \operatorname{Obj}(A), B \in \operatorname{Obj}(B), \text{ and } h \in \operatorname{Hom}_{\mathcal{C}}(S(A), T(B))\}$
- For  $(A_1, B_1, h_1), (A_2, B_2, h_2) \in \text{Obj}(S \downarrow T),$

$$\operatorname{Hom}_{(S\downarrow T)}((A_1, B_1, h_1), (A_2, B_2, h_2)) = \{(\alpha, \beta) : \alpha \in \operatorname{Hom}_{\mathcal{A}}(A_1, A_2), \beta \in \operatorname{Hom}_{\mathcal{B}}(B_1, B_2) \text{ and } T(\beta) \circ_{\mathcal{C}} h_1 = h_2 \circ_{\mathcal{C}} S(\alpha)\}$$

i.e. for  $(A_1, B_1, h_1), (A_2, B_2, h_2) \in \text{Obj}(S \downarrow T), \ \alpha \in \text{Hom}_{\mathcal{A}}(A_1, A_2) \text{ and } \beta \in \text{Hom}_{\mathcal{B}}(B_1, B_2), \ (\alpha, \beta) \in \text{Hom}_{(S \downarrow T)}((A_1, B_1, h_1), (A_2, B_2, h_2)) \text{ iff the following diagram commutes:}$ 

$$S(A_1) \xrightarrow{S(\alpha)} S(A_2)$$

$$\downarrow^{h_1} \qquad \qquad \downarrow^{h_2}$$

$$T(B_1) \xrightarrow{T(\beta)} T(B_2)$$

- For
  - $-(A_1, B_1, h_1), (A_2, B_2, h_2), (A_3, B_3, h_3) \in \text{Obj}(S \downarrow T)$
  - $-(\alpha_{12}, \beta_{12}) \in \text{Hom}_{(S \downarrow T)}((A_1, B_1, h_1), (A_2, B_2, h_2))$
  - $(\alpha_{23}, \beta_{23}) \in \text{Hom}_{(S \downarrow T)}((A_2, B_2, h_2), (A_3, B_3, h_3))$

we define

$$(\alpha_{23}, \beta_{23}) \circ_{(S\downarrow T)} (\alpha_{12}, \beta_{12}) = (\alpha_{23} \circ_{\mathcal{A}} \alpha_{12}, \beta_{23} \circ_{\mathcal{B}} \beta_{12})$$

**Exercise 1.3.3.2.** Let  $\mathcal{A}$ ,  $\mathcal{B}$ ,  $\mathcal{C}$  be a categories and  $S: \mathcal{A} \to \mathcal{C}$ ,  $T: \mathcal{B} \to \mathcal{C}$  functors. Then  $(S \downarrow T)$  is a category.

Proof.

• well-definedness of composition:

Let

- $-(A_1, B_1, h_1), (A_2, B_2, h_2), (A_3, B_3, h_3) \in \text{Obj}(S \downarrow T)$
- $-(\alpha_{12}, \beta_{12}) \in \text{Hom}_{(S \downarrow T)}((A_1, B_1, h_1), (A_2, B_2, h_2))$
- $-(\alpha_{23}, \beta_{23}) \in \text{Hom}_{(S \downarrow T)}((A_2, B_2, h_2), (A_3, B_3, h_3))$

By definition,  $\alpha_{12} \in \operatorname{Hom}_{\mathcal{A}}(A_1, A_2)$ ,  $\alpha_{23} \in \operatorname{Hom}_{\mathcal{A}}(A_2, A_3)$ ,  $\beta_{12} \in \operatorname{Hom}_{\mathcal{B}}(B_1, B_2)$ ,  $\beta_{23} \in \operatorname{Hom}_{\mathcal{B}}(B_2, B_3)$ ,  $T(\beta_{12}) \circ_{\mathcal{C}} h_1 = h_2 \circ S(\alpha_{12})$  and  $T(\beta_{23}) \circ_{\mathcal{C}} h_2 = h_3 \circ_{\mathcal{C}} S(\alpha_{23})$ , i.e. the following diagram commutes:

$$S(A_1) \xrightarrow{S(\alpha_{12})} S(A_2) \xrightarrow{S(\alpha_{23})} S(A_3)$$

$$\downarrow h_1 \qquad \qquad \downarrow h_2 \qquad \qquad \downarrow h_3$$

$$T(B_1) \xrightarrow{T(\beta_{12})} T(B_2) \xrightarrow{T(\beta_{23})} T(B_3)$$

Then  $\alpha_{23} \circ_{\mathcal{A}} \alpha_{12} \in \operatorname{Hom}_{\mathcal{A}}(A_1, A_3), \beta_{23} \circ_{\mathcal{B}} \beta_{12} \in \operatorname{Hom}_{\mathcal{B}}(B_1, B_3)$  and

$$T(\beta_{23} \circ_{\mathcal{B}} \beta_{12}) \circ_{\mathcal{C}} h_1 = (T(\beta_{23}) \circ_{\mathcal{C}} T(\beta_{12})) \circ_{\mathcal{C}} h_1$$

$$= T(\beta_{23}) \circ_{\mathcal{C}} (T(\beta_{12}) \circ_{\mathcal{C}} h_1)$$

$$= T(\beta_{23}) \circ_{\mathcal{C}} (h_2 \circ_{\mathcal{C}} S(\alpha_{12}))$$

$$= (T(\beta_{23}) \circ_{\mathcal{C}} h_2) \circ_{\mathcal{C}} S(\alpha_{12})$$

$$= (h_3 \circ_{\mathcal{C}} S(\alpha_{23})) \circ_{\mathcal{C}} S(\alpha_{12})$$

$$= h_3 \circ_{\mathcal{C}} (S(\alpha_{23}) \circ_{\mathcal{C}} S(\alpha_{12}))$$

$$= h_3 \circ_{\mathcal{C}} S(\alpha_{23} \circ_{\mathcal{A}} \alpha_{12})$$

i.e. the following diagram commutes:

$$S(A_1) \xrightarrow{S(\alpha_{23} \circ_{\mathcal{A}} \alpha_{12})} S(A_3)$$

$$\downarrow^{h_1} \qquad \qquad \downarrow^{h_3}$$

$$T(B_1) \xrightarrow[T(\beta_{23} \circ_{\mathcal{B}} \beta_{12})]{} T(B_3)$$

Hence  $(\alpha_{23} \circ_{\mathcal{A}} \alpha_{12}, \beta_{23} \circ_{\mathcal{B}} \beta_{12}) \in \text{Hom}_{(S \downarrow T)}((A_1, B_1, h_1), (A_3, B_3, h_3))$  and composition is well defined.

#### • associativity of composition:

Let

$$- (A_{1}, B_{1}, h_{1}), (A_{2}, B_{2}, h_{2}), (A_{3}, B_{3}, h_{3}), (A_{4}, B_{4}, h_{4}) \in \text{Obj}(S \downarrow T)$$

$$- (\alpha_{12}, \beta_{12}) \in \text{Hom}_{(S \downarrow T)}((A_{1}, B_{1}, h_{1}), (A_{2}, B_{2}, h_{2}))$$

$$- (\alpha_{23}, \beta_{23}) \in \text{Hom}_{(S \downarrow T)}((A_{2}, B_{2}, h_{2}), (A_{3}, B_{3}, h_{3}))$$

$$- (\alpha_{34}, \beta_{34}) \in \text{Hom}_{(S \downarrow T)}((A_{3}, B_{3}, h_{3}), (A_{4}, B_{4}, h_{4}))$$

Then

$$\begin{aligned} [(\alpha_{34},\beta_{34})\circ_{(S\downarrow T)}(\alpha_{23},\beta_{23})]\circ_{(S\downarrow T)}(\alpha_{12},\beta_{12}) &= (\alpha_{34}\circ_{\mathcal{A}}\alpha_{23},\beta_{34}\circ_{\mathcal{B}}\beta_{23})\circ_{(S\downarrow T)}(\alpha_{12},\beta_{12}) \\ &= ([\alpha_{34}\circ_{\mathcal{A}}\alpha_{23}]\circ_{\mathcal{A}}\alpha_{12},[\beta_{34}\circ_{\mathcal{B}}\beta_{23}]\circ_{\mathcal{B}}\beta_{12}) \\ &= (\alpha_{34}\circ_{\mathcal{A}}[\alpha_{23}\circ_{\mathcal{A}}\alpha_{12}],\beta_{34}\circ_{\mathcal{B}}[\beta_{23}\circ_{\mathcal{B}}\beta_{12}]) \\ &= (\alpha_{34},\beta_{34})\circ_{(S\downarrow T)}(\alpha_{23}\circ_{\mathcal{A}}\alpha_{12},\beta_{23}\circ_{\mathcal{B}}\beta_{12}) \\ &= (\alpha_{34},\beta_{34})\circ_{(S\downarrow T)}[(\alpha_{23},\beta_{23})\circ_{(S\downarrow T)}(\alpha_{12},\beta_{12})] \end{aligned}$$

So composition is associative.

#### • existence of identities:

Let

$$- (A_1, B_1, h_1), (A_2, B_2, h_2), \in \text{Obj}(S \downarrow T) - (\alpha, \beta) \in \text{Hom}_{(S \downarrow T)}((A_1, B_1, h_1), (A_2, B_2, h_2))$$

By definition,

$$-\alpha \in \operatorname{Hom}_{\mathcal{A}}(A_1, A_2), \ \beta \in \operatorname{Hom}_{\mathcal{B}}(B_1, B_2)$$
$$-h_1 \in \operatorname{Hom}_{\mathcal{C}}(S(A_1), T(B_1)), \ h_2 \in \operatorname{Hom}_{\mathcal{C}}(S(A_2), T(B_2))$$
$$-T(\beta) \circ h_1 = h_2 \circ S(\alpha)$$

Since  $id_{A_1} \in Hom_{\mathcal{A}}(A_1, A_1)$ ,  $id_{B_1} \in Hom_{\mathcal{B}}(B_1, B_1)$ , and

$$T(\mathrm{id}_{B_1}) \circ_{\mathcal{C}} h_1 = \mathrm{id}_{T(B_1)} \circ_{\mathcal{C}} h_1$$
$$= h_1$$
$$= h_1 \circ_{\mathcal{C}} \mathrm{id}_{S(A_1)}$$
$$= h_1 \circ_{\mathcal{C}} S(\mathrm{id}_{A_1})$$

i.e. the following diagram commutes:

$$S(A_1) \xrightarrow{S(\mathrm{id}_{A_1})} S(A_1)$$

$$\downarrow^{h_1} \qquad \qquad \downarrow^{h_1}$$

$$T(B_1) \xrightarrow[T(\mathrm{id}_{B_1})]{} T(B_1)$$

1.3. FUNCTORS

we have that  $(id_{A_1}, id_{B_1}) \in Hom_{(S\downarrow T)}((A_1, B_1, h_1), (A_1, B_1, h_1))$ . Similarly  $(id_{A_2}, id_{B_2}) \in Hom_{(S\downarrow T)}((A_2, B_2, h_2), (A_2, B_2, h_2))$ . Therefore

$$(\alpha, \beta) \circ_{(S \downarrow T)} (\mathrm{id}_{A_1}, \mathrm{id}_{B_1}) = (\alpha \circ_{\mathcal{A}} \mathrm{id}_{A_1}, \beta \circ_{\mathcal{B}} \mathrm{id}_{B_1})$$
$$= (\alpha, \beta)$$

and

$$(\mathrm{id}_{A_2},\mathrm{id}_{B_2}) \circ_{(S\downarrow T)} (\alpha,\beta) = (\mathrm{id}_{A_2} \circ_{\mathcal{A}} \alpha,\mathrm{id}_{B_2} \circ_{\mathcal{B}} \beta)$$
$$= (\alpha,\beta)$$

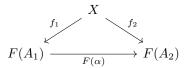
Since  $(A_1, B_1, h_1)$ ,  $(A_2, B_2, h_2)$ ,  $\in$  Obj $(S \downarrow T)$  and  $(\alpha, \beta) \in \text{Hom}_{(S \downarrow T)}((A_1, B_1, h_1), (A_2, B_2, h_2))$  are arbitrary, we have that for each  $(A, B, h) \in \text{Obj}(S \downarrow T)$ ,  $id_{(A,B,h)} = (id_A, id_B)$ .

**Definition 1.3.3.3.** Let  $\mathcal{C}, \mathcal{D}$  be a categories,  $X \in \text{Obj}(\mathcal{D})$  and  $F : \mathcal{C} \to \mathcal{D}$ . We define the **comma category** from X to F, denoted  $(X \downarrow F)$ , by  $(X \downarrow F) = (\Delta_X^1 \downarrow F)$ . We may make the following identification:

- $\operatorname{Obj}(X \downarrow F) = \{(A, f) : A \in \operatorname{Obj}(\mathcal{C}) \text{ and } f \in \operatorname{Hom}_{\mathcal{D}}(X, F(A))\}$
- For  $(A_1, f_1), (A_2, f_2) \in \text{Obj}(X \downarrow F),$

$$\operatorname{Hom}_{(X \downarrow F)}((A_1, f_1), (A_2, f_2)) = \{ \alpha \in \operatorname{Hom}_{\mathcal{C}}(A_1, A_2) \text{ and } F(\alpha) \circ f_1 = f_2 \}$$

i.e. for  $(A_1, f_1), (A_2, f_2) \in \text{Obj}(X \downarrow F)$  and  $\alpha \in \text{Hom}_{A_1, A_2}, \alpha \in \text{Hom}_{(X \downarrow F)}((A_1, f_1), (A_2, f_2))$  iff the following diagram commutes:



- For
  - $-(A_1, f_1), (A_2, f_2), (A_3, f_3) \in \text{Obj}(X \downarrow F)$
  - $-\alpha \in \text{Hom}_{(X\downarrow F)}((A_1, f_1), (A_2, f_2))$
  - $-\beta \in \text{Hom}_{(X \downarrow F)}((A_2, f_2), (A_3, f_3))$

we define

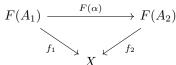
$$\beta \circ_{(X \mid F)} \alpha = \beta \circ_{\mathcal{C}} \alpha$$

**Definition 1.3.3.4.** Let  $\mathcal{C}, \mathcal{D}$  be a categories,  $X \in \text{Obj}(\mathcal{D})$  and  $F : \mathcal{C} \to \mathcal{D}$ . We define the **comma category** from F to X, denoted  $(F \downarrow X)$ , by  $(F \downarrow X) = (F \downarrow \Delta_X^1)$ . We may make the following identification:

- $\operatorname{Obj}(F \downarrow X) = \{(A, f) : A \in \operatorname{Obj}(\mathcal{C}) \text{ and } f \in \operatorname{Hom}_{\mathcal{D}}(F(A), X)\}$
- For  $(A_1, f_1), (A_2, f_2) \in \text{Obj}(F \downarrow X),$

$$\operatorname{Hom}_{(X\downarrow F)}((A_1, f_1), (A_2, f_2)) = \{\alpha \in \operatorname{Hom}_{\mathcal{C}}(A_1, A_2) \text{ and } f_2 \circ F(\alpha) = f_1\}$$

i.e. for  $(A_1, f_1), (A_2, f_2) \in \text{Obj}(F \downarrow X)$  and  $\alpha \in \text{Hom}_{A_1, A_2}, \alpha \in \text{Hom}_{(F \downarrow X)}((A_1, f_1), (A_2, f_2))$  iff the following diagram commutes:



• For

$$- (A_1, f_1), (A_2, f_2), (A_3, f_3) \in \text{Obj}(F \downarrow X)$$
  

$$- \alpha \in \text{Hom}_{(F \downarrow X)}((A_1, f_1), (A_2, f_2))$$
  

$$- \beta \in \text{Hom}_{(F \downarrow X)}((A_2, f_2), (A_3, f_3))$$

we define

$$\beta \circ_{(F \downarrow X)} \alpha = \beta \circ_{\mathcal{C}} \alpha$$

## 1.4 Natural Transformations

#### 1.4.1 Introduction

**Definition 1.4.1.1.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories,  $F, G : \mathcal{C} \to \mathcal{D}$  and  $\alpha : \mathrm{Obj}(\mathcal{C}) \to \mathrm{Hom}_{\mathcal{D}}$ . Then  $\alpha$  is said to be a **natural transformation from** F **to** G, denoted  $\alpha : F \Rightarrow G$ , if

- 1. for each  $A \in \text{Obj}(\mathcal{C}), \ \alpha_A \in \text{Hom}_{\mathcal{D}}(F(A), G(A))$
- 2. for each  $A, B \in \mathrm{Obj}(\mathcal{C})$  and  $f \in \mathrm{Hom}_{\mathcal{C}}(A, B)$ ,  $G(f) \circ \alpha_A = \alpha_B \circ F(f)$ , i.e. the following diagram commutes:

$$F(A) \xrightarrow{\alpha_A} G(A)$$

$$F(f) \downarrow \qquad \qquad \downarrow G(f)$$

$$F(B) \xrightarrow{\alpha_B} G(B)$$

## 1.4.2 Category of Functors

**Definition 1.4.2.1.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories,  $F, G, H : \mathcal{C} \to \mathcal{D}$  functors and  $\alpha : F \Rightarrow G, \beta : G \Rightarrow H$  natural transformations. We define the **composition of**  $\beta$  **with**  $\alpha$ , denoted  $\beta \circ \alpha : F \Rightarrow H$ , by

$$(\beta \circ \alpha)_A = \beta_A \circ \alpha_A$$

**Exercise 1.4.2.2.** Let C, D be categories,  $F, G, H : C \to D$  functors and  $\alpha : F \Rightarrow G$ ,  $\beta : G \Rightarrow H$  natural transformations. Then  $\beta \circ \alpha : F \Rightarrow H$  is a natural transformation.

Proof.

1. Let  $A \in \text{Obj}(\mathcal{C})$ . Since  $\alpha_A \in \text{Hom}_{\mathcal{D}}(F(A), G(A))$  and  $\beta_A \in \text{Hom}_{\mathcal{D}}(G(A), H(A))$ , we have that

$$(\beta \circ \alpha)_A = \beta_A \circ \alpha_A$$
  
  $\in \operatorname{Hom}_{\mathcal{D}}(F(A), H(A))$ 

2. Let  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ . Since  $\alpha : F \Rightarrow G$  and  $\beta : G \Rightarrow H$ ,  $G(f) \circ \alpha_A = \alpha_B \circ F(f)$  and  $H(f) \circ \beta_A = \beta_B \circ G(f)$ . Therefore

$$H(f) \circ (\beta \circ \alpha)_A = H(f) \circ (\beta_A \circ \alpha_A)$$

$$= (H(f) \circ \beta_A) \circ \alpha_A$$

$$= (\beta_B \circ G(f)) \circ \alpha_A$$

$$= \beta_B \circ (G(f) \circ \alpha_A)$$

$$= \beta_B \circ (\alpha_B \circ F(f))$$

$$= (\beta_B \circ \alpha_B) \circ F(f)$$

$$= (\beta \circ \alpha)_B \circ F(f)$$

So  $\beta \circ \alpha : F \Rightarrow H$  is a natural transformation.

**Exercise 1.4.2.3.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories,  $F, G, H, I : \mathcal{C} \to \mathcal{D}$  functors and  $\alpha : F \Rightarrow G, \beta : G \Rightarrow H$  and  $\gamma : H \Rightarrow I$  natural transformations. Then

$$(\gamma \circ \beta) \circ \alpha = \gamma \circ (\beta \circ \alpha)$$

*Proof.* Let  $A \in \text{Obj}(\mathcal{C})$ . By definition,

$$[(\gamma \circ \beta) \circ \alpha]_A = (\gamma \circ \beta)_A \circ \alpha_A$$
$$= (\gamma_A \circ \beta_A) \circ \alpha_A$$
$$= \gamma_A \circ (\beta_A \circ \alpha_A)$$
$$= \gamma_A \circ (\beta \circ \alpha)_A$$
$$= [\gamma \circ (\beta \circ \alpha)]_A$$

Since  $A \in \text{Obj}(\mathcal{C})$  is arbitrary,

$$(\gamma \circ \beta) \circ \alpha = \gamma \circ (\beta \circ \alpha)$$

**Definition 1.4.2.4.** Let C, D be categories and  $F : C \to D$ . We define the **identity natural transformation from** F **to** F, denoted  $\mathrm{id}_F : F \Rightarrow F$ , by

$$(\mathrm{id}_F)_A = \mathrm{id}_{F(A)}$$

**Exercise 1.4.2.5.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories and  $F: \mathcal{C} \to \mathcal{D}$ . Then  $\mathrm{id}_F: F \Rightarrow F$  is a natural transformation from F to F.

Proof.

1. Let  $A \in \text{Obj}(\mathcal{C})$ . Then

$$(\mathrm{id}_F)_A = \mathrm{id}_{F(A)}$$
  
 $\in \mathrm{Hom}_{\mathcal{D}}(F(A), F(A))$ 

2. Let  $A, B \in \text{Obj}(\mathcal{C}), f \in \text{Hom}_{\mathcal{C}}(A, B)$ . Then

$$F(f) \circ (\mathrm{id}_F)_A = F(f) \circ \mathrm{id}_{F(A)}$$

$$= F(f)$$

$$= \mathrm{id}_{F(B)} \circ F(f)$$

$$= (\mathrm{id}_F)_B \circ F(f)$$

**Exercise 1.4.2.6.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories,  $F, G : \mathcal{C} \to \mathcal{D}$  and  $\alpha : F \Rightarrow G$ . Then

- 1.  $id_G \circ \alpha = \alpha$
- 2.  $\alpha \circ \mathrm{id}_F = \alpha$

Proof.

1. Let  $A \in \text{Obj}(\mathcal{C})$ . Then

$$(\mathrm{id}_G \circ \alpha)_A = (\mathrm{id}_G)_A \circ \alpha_A$$
$$= \mathrm{id}_{G(A)} \circ \alpha_A$$
$$= \alpha_A$$

Since  $A \in \text{Obj}(C)$  is arbitrary,  $\text{id}_G \circ \alpha = \alpha$ 

2. Let  $A \in \text{Obj}(\mathcal{C})$ . Then

$$(\alpha \circ id_F)_A = \alpha_A \circ (id_F)_A$$
$$= \alpha_A \circ id_{F(A)}$$
$$= \alpha_A$$

Since  $A \in \text{Obj}(C)$  is arbitrary,  $\alpha \circ \text{id}_F = \alpha$ .

**Exercise 1.4.2.7.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories,  $F, G: \mathcal{C} \to \mathcal{D}$  and  $\alpha: F \Rightarrow G$ . If  $\mathcal{C}$  is small, then  $\alpha$  is a set.

*Proof.* Suppose that  $\mathcal{C}$  is small. Then  $\mathrm{Obj}(\mathcal{C})$  is a set. Since  $\alpha : \mathrm{Obj}(\mathcal{C}) \to \mathrm{Hom}_{\mathcal{D}}$ , Axiom 1.1.0.3 implies that  $\alpha(\mathrm{Obj}(\mathcal{C}))$  is a set. Then  $\mathrm{Obj}(\mathcal{C}) \times \alpha(\mathrm{Obj}(\mathcal{C}))$  is a set. Therefore  $\mathcal{P}(\mathrm{Obj}(\mathcal{C}) \times \alpha(\mathrm{Obj}(\mathcal{C})))$  is a set. Since  $\alpha \subset \mathrm{Obj}(\mathcal{C}) \times \alpha(\mathrm{Obj}(\mathcal{C}))$ , we have that  $\alpha \in \mathcal{P}(\mathrm{Obj}(\mathcal{C}) \times \alpha(\mathrm{Obj}(\mathcal{C})))$  which implies that  $\alpha$  is a set.  $\square$ 

**Exercise 1.4.2.8.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories and  $F, G : \mathcal{C} \to \mathcal{D}$ . If  $\mathcal{C}$  is small, then there exists a class A such that for each class  $\alpha$ ,  $\alpha \in A$  iff  $\alpha : F \Rightarrow G$ .

*Proof.* Suppose that  $\mathcal{C}$  is small. Define  $\phi$  by

$$\phi(\alpha): \alpha: F \Rightarrow G$$

Axiom 1.1.0.4 implies that there exists a class A such that for each set  $\alpha$ ,  $\alpha \in A$  iff  $\phi(\alpha)$ . Let  $\alpha$  be a class. Suppose that  $\alpha \in A$ . By Definition 1.1.0.1,  $\alpha$  is a set. Since  $\alpha$  is a set and  $\alpha \in A$ , we have that  $\phi(\alpha)$ . Hence  $\alpha : F \Rightarrow G$ .

Conversely, suppose that  $\alpha : F \Rightarrow G$ . Since  $\mathcal{C}$  is small, Exercise 1.4.2.7 implies that  $\alpha$  is a set. Since  $\phi(\alpha)$ , we have that  $\alpha \in A$ .

**Definition 1.4.2.9.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. Suppose that  $\mathcal{C}$  is small. We define the functor category from  $\mathcal{C}$  to  $\mathcal{D}$ , denoted  $\mathcal{D}^{\mathcal{C}}$ , by

- $Obj(\mathcal{D}^{\mathcal{C}}) = \{F : F : \mathcal{C} \to \mathcal{D}\}\$
- For  $F, G \in \text{Obj}(\mathcal{D}^{\mathcal{C}})$ ,  $\text{Hom}_{\mathcal{D}^{\mathcal{C}}}(F, G) = \{\alpha : \alpha : F \Rightarrow G\}$
- For  $F, G, H \in \text{Obj}(\mathcal{D}^{\mathcal{C}})$ ,  $\alpha \in \text{Hom}_{\mathcal{D}^{\mathcal{C}}}(F, G)$  and  $\beta \in \text{Hom}_{\mathcal{D}^{\mathcal{C}}}(G, H)$ ,  $\beta \circ_{\mathcal{D}^{\mathcal{C}}} \alpha = \beta \circ \alpha$

**Exercise 1.4.2.10.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. Suppose that  $\mathcal{C}$  is small. Then  $\mathcal{D}^{\mathcal{C}}$  is a category.

*Proof.* Exercise 1.4.2.2 implies that composition is well-defined. Exercise 1.4.2.3 implies that composition is associative. Exercise 1.4.2.5 and Exercise 1.4.2.6 imply the existence of identities.  $\Box$ 

#### 1.4.3 Diagonal Functor

**Definition 1.4.3.1.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories,  $X, Y \in \mathrm{Obj}(\mathcal{D})$  and  $f \in \mathrm{Hom}_{\mathcal{D}}(X, Y)$ . We define the **constant natural transformation on**  $\mathcal{C}$  **at** f, denoted  $\delta_f^{\mathcal{C}} : \Delta_X^{\mathcal{C}} \Rightarrow \Delta_Y^{\mathcal{C}}$ , by

$$(\delta_f^{\mathcal{C}})_A = f$$

**Exercise 1.4.3.2.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories,  $X, Y \in \text{Obj}(\mathcal{D})$  and  $f \in \text{Hom}_{\mathcal{D}}(X, Y)$ . Then  $\delta_f^{\mathcal{C}} : \Delta_X^{\mathcal{C}} \Rightarrow \Delta_Y^{\mathcal{C}}$  is a natural transformation.

Proof.

1. By definition, for each  $A \in \text{Obj}(\mathcal{C})$   $(\delta_f^{\mathcal{C}})_A \in \text{Hom}_{\mathcal{D}}(\Delta_X^{\mathcal{C}}(A), \Delta_Y^{\mathcal{C}}(A))$ .

2. Let  $A, B \in \text{Obj}(\mathcal{C})$  and  $g \in \text{Hom}_{\mathcal{C}}(A, B)$ . Then

$$\Delta_Y^{\mathcal{C}}(g) \circ (\delta_f^{\mathcal{C}})_A = \mathrm{id}_Y \circ f$$

$$= f$$

$$= f \circ \mathrm{id}_X$$

$$= (\delta_f^{\mathcal{C}})_B \circ \Delta_X^{\mathcal{C}}(g)$$

i.e. the following diagram commutes:

$$\begin{array}{cccc} \Delta_X^{\mathcal{C}}(A) & \xrightarrow{(\delta_f^{\mathcal{C}})_A} \Delta_Y^{\mathcal{C}}(A) & X & \xrightarrow{f} Y \\ \Delta_X^{\mathcal{C}}(g) \Big\downarrow & & & & \downarrow \mathrm{id}_X \Big\downarrow & & \downarrow \mathrm{id}_Y \\ \Delta_X^{\mathcal{C}}(B) & \xrightarrow{(\delta_f^{\mathcal{C}})_B} \Delta_Y^{\mathcal{C}}(B) & & X & \xrightarrow{f} Y \end{array}$$

So  $\delta_f^{\mathcal{C}}: \Delta_X^{\mathcal{C}} \Rightarrow \Delta_Y^{\mathcal{C}}$  is a natural transformation.

**Exercise 1.4.3.3.** Let  $\mathcal{C}, \mathcal{D}$  be categories,  $X, Y, Z \in \mathrm{Obj}(\mathcal{D}), f \in \mathrm{Hom}_{\mathcal{D}}(X, Y)$  and  $g \in \mathrm{Hom}_{\mathcal{D}}(Y, Z)$ . Then  $\delta_{g \circ f}^{\mathcal{C}} = \delta_g^{\mathcal{C}} \circ \delta_f^{\mathcal{C}}$ .

*Proof.* Let  $A \in \text{Obj}(\mathcal{C})$ . Then

$$(\delta_{g \circ f}^{\mathcal{C}})_A = g \circ f$$

$$= (\delta_g^{\mathcal{C}})_A \circ (\delta_f^{\mathcal{C}})_A$$

$$= (\delta_g^{\mathcal{C}} \circ \delta_f^{\mathcal{C}})_A$$

Since  $A \in \text{Obj}(\mathcal{C})$  is arbitrary,  $\delta_{g \circ f}^{\mathcal{C}} = \delta_g^{\mathcal{C}} \circ \delta_f^{\mathcal{C}}$ .

**Exercise 1.4.3.4.** Let  $\mathcal{C}, \mathcal{D}$  be categories and  $X \in \text{Obj}(\mathcal{D})$ . Then  $\delta_{\text{id}_X}^{\mathcal{C}} = \text{id}_{\Delta_X^{\mathcal{C}}}$ .

*Proof.* Let  $A \in \text{Obj}(\mathcal{C})$ . Then

$$(\delta_{\mathrm{id}_X}^{\mathcal{C}})_A = \mathrm{id}_X$$
$$= \mathrm{id}_{\Delta_X^{\mathcal{C}}(A)}$$
$$= (\mathrm{id}_{\Delta_X^{\mathcal{C}}})_A$$

Since  $A \in \text{Obj}(\mathcal{C})$  is arbitrary,  $\delta_{\text{id}_X}^{\mathcal{C}} = \text{id}_{\Delta_X^{\mathcal{C}}}$ 

**Definition 1.4.3.5.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories. Suppose that  $\mathcal{C}$  is small. We define the  $\mathcal{C}$ -ary diagonal functor on  $\mathcal{D}$ , denoted by  $\Delta^{\mathcal{C}}: \mathcal{D} \to \mathcal{D}^{\mathcal{C}}$ , by

- $\Delta^{\mathcal{C}}(X) = \Delta^{\mathcal{C}}_X$
- $\bullet \ \Delta^{\mathcal{C}}(f) = \delta^{\mathcal{C}}_f$

**Exercise 1.4.3.6.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories. Suppose that  $\mathcal{C}$  is small. Then  $\Delta^{\mathcal{C}}: \mathcal{D} \to \mathcal{D}^{\mathcal{C}}$  is a functor.

Proof.

- 1. Exercise 1.4.3.2 implies that for each  $X, Y \in \mathrm{Obj}(\mathcal{D})$  and  $f \in \mathrm{Hom}_{\mathcal{D}}(X, Y), \Delta^{\mathcal{C}}(f) \in \mathrm{Hom}_{\mathcal{D}^{\mathcal{C}}}(\Delta^{\mathcal{C}}(X), \Delta^{\mathcal{C}}(Y))$
- 2. Exercise 1.4.3.3 implies that for each  $X,Y,Z\in \mathrm{Obj}(\mathcal{D}),\ f\in \mathrm{Hom}_{\mathcal{D}}(X,Y)$  and  $g\in \mathrm{Hom}_{\mathcal{D}}(Y,Z),$   $\Delta^{\mathcal{C}}(g\circ f)=\Delta^{\mathcal{C}}(g)\circ\Delta^{\mathcal{C}}(f)$
- 3. Exercise 1.4.3.4 implies that for each  $X \in \text{Obj}(\mathcal{D})$ ,  $\Delta^{\mathcal{C}}(\text{id}_X) = \text{id}_{\Delta^{\mathcal{C}}(X)}$

So 
$$\Delta^{\mathcal{C}}: \mathcal{D} \to \mathcal{D}^{\mathcal{C}}$$
 is a functor.

## 1.5 Algebra of Morphisms

## 1.5.1 Isomorphisms

#### Exercise 1.5.1.1. Uniqueness of Identities:

Let  $\mathcal{C}$  be a category. Then for each  $A \in \mathrm{Obj}(\mathcal{C})$ , there exists a unique  $e_A \in \mathrm{Hom}_{\mathcal{C}}(A, A)$  such that for each  $B \in \mathrm{Obj}(\mathcal{C})$ ,  $f \in \mathrm{Hom}_{\mathcal{C}}(A, B)$  and  $g \in \mathrm{Hom}_{\mathcal{C}}(B, A)$ ,  $f \circ e_A = f$  and  $e_A \circ g = g$ .

*Proof.* Let  $A \in \text{Obj}(\mathcal{C})$ .

#### • Existence:

Since  $\mathcal{C}$  is a category, by definition there exists  $\mathrm{id}_A \in \mathrm{Hom}_{\mathcal{C}}(A,A)$  such that for each  $B \in \mathrm{Obj}(\mathcal{C})$ ,  $f \in \mathrm{Hom}_{\mathcal{C}}(A,B)$  and  $g \in \mathrm{Hom}_{\mathcal{C}}(B,A)$ ,  $f \circ \mathrm{id}_A = f$  and  $\mathrm{id}_A \circ g = g$ .

#### • Uniqueness:

Let  $e_A \in \operatorname{Hom}_{\mathcal{C}}(A, A)$ . Suppose that for each  $B \in \operatorname{Obj}(\mathcal{C})$ ,  $f \in \operatorname{Hom}_{\mathcal{C}}(A, B)$  and  $g \in \operatorname{Hom}_{\mathcal{C}}(B, A)$ ,  $f \circ e_A = f$  and  $e_A \circ g = g$ . Then

$$e_A = e_A \circ \mathrm{id}_A$$
$$= \mathrm{id}_A$$

**Definition 1.5.1.2.** Let  $\mathcal{C}$  be a category,  $A, B \in \mathrm{Obj}(\mathcal{C})$  and  $f \in \mathrm{Hom}_{\mathcal{C}}(A, B)$ . Then f is said to be an **isomorphism** if there exists  $g \in \mathrm{Hom}_{\mathcal{C}}(B, A)$  such that  $g \circ f = \mathrm{id}_A$  and  $f \circ g = \mathrm{id}_B$ .

### Exercise 1.5.1.3. Uniqueness of Inverses:

Let  $\mathcal{C}$  be a category,  $A, B \in \mathrm{Obj}(\mathcal{C})$  and  $f \in \mathrm{Hom}_{\mathcal{C}}(A, B)$ . If f is an isomorphism, then there exists a unique  $g \in \mathrm{Hom}_{\mathcal{C}}(B, A)$  such that  $g \circ f = \mathrm{id}_A$  and  $f \circ g = \mathrm{id}_B$ .

*Proof.* Suppose that f is an isomorphism.

#### • Existence:

By definition, there exists  $g \in \text{Hom}_{\mathcal{C}}(B,A)$  such that  $g \circ f = \text{id}_A$  and  $f \circ g = \text{id}_B$ .

#### • Uniqueness:

Let  $g' \in \operatorname{Hom}_{\mathcal{C}}(B,A)$ . Suppose that  $g' \circ f = \operatorname{id}_A$ ,  $f \circ g' = \operatorname{id}_B$ . Then

$$g' = g' \circ id_B$$

$$= g' \circ (f \circ g)$$

$$= (g' \circ f) \circ g$$

$$= id_A \circ g$$

$$= g$$

**Definition 1.5.1.4.** Let  $\mathcal{C}$  be a category,  $A, B \in \mathrm{Obj}(\mathcal{C})$  and  $f \in \mathrm{Hom}_{\mathcal{C}}(A, B)$ . Suppose that f is an isomorphism. We define the **inverse of** f, denoted  $f^{-1}$ , to be the unique  $g \in \mathrm{Hom}_{\mathcal{C}}(B, A)$  such that  $g \circ f = \mathrm{id}_A$  and  $f \circ g = \mathrm{id}_B$ .

**Exercise 1.5.1.5.** Let  $\mathcal{C}$  be a category and  $A \in \mathrm{Obj}(\mathcal{C})$ . Then  $\mathrm{id}_A$  is an isomorphism and  $(\mathrm{id}_A)^{-1} = \mathrm{id}_A$ .

*Proof.* Since  $id_A \circ id_A = id_A$ , we have that  $id_A$  is an isomorphism and  $(id_A)^{-1} = id_A$ .

**Exercise 1.5.1.6.** Let  $\mathcal{C}$  be a category and  $A, B \in \mathrm{Obj}(\mathcal{C})$  and  $f \in \mathrm{Hom}_{\mathcal{C}}(A, B)$ . If f is an isomorphism, then  $f^{-1}$  is an isomorphism and  $(f^{-1})^{-1} = f$ .

*Proof.* Suppose that f is an isomorphism. By definition,  $f \circ f^{-1} = \mathrm{id}_B$  and  $f^{-1} \circ f = \mathrm{id}_A$ . Hence  $f^{-1}$  is an isomorphism and  $(f^{-1})^{-1} = f$ .

**Exercise 1.5.1.7.** Let  $\mathcal{C}$  be a category,  $A, B, C \in \mathrm{Obj}(\mathcal{C})$ ,  $f \in \mathrm{Hom}_{\mathcal{C}}(A, B)$  and  $g \in \mathrm{Hom}_{\mathcal{C}}(B, C)$ . If f and g are isomorphisms, then  $g \circ f$  is an isomorphism and  $(g \circ f)^{-1} = f^{-1} \circ g^{-1}$ .

*Proof.* Suppose that f and g are isomorphisms. Then

$$(f^{-1} \circ g^{-1}) \circ (g \circ f) = ((f^{-1} \circ g^{-1}) \circ g) \circ f$$

$$= (f^{-1} \circ (g^{-1} \circ g)) \circ f$$

$$= (f^{-1} \circ id_B) \circ f$$

$$= f^{-1} \circ f$$

$$= id_A$$

and

$$\begin{split} (g \circ f) \circ (f^{-1} \circ g^{-1}) &= ((g \circ f) \circ f^{-1}) \circ g^{-1} \\ &= (g \circ (f \circ f^{-1})) \circ g^{-1} \\ &= (g \circ \mathrm{id}_B) \circ g^{-1} \\ &= g \circ g^{-1} \\ &= \mathrm{id}_C \end{split}$$

So  $g \circ f$  is an isomorphism and  $(g \circ f)^{-1} = f^{-1} \circ g^{-1}$ .

**Definition 1.5.1.8.** Let  $\mathcal{C}$  be a category and  $A, B \in \mathrm{Obj}(\mathcal{C})$ . Then A is said to be **isomorphic** to B if there exists  $f \in \mathrm{Hom}_{\mathcal{C}}(A, B)$  such that f is an isomorphism.

**Exercise 1.5.1.9.** Let  $\mathcal{C}$  be a category. We define the relation  $\cong$  on  $\mathrm{Obj}(\mathcal{C})$  by  $A \cong B$  iff A is isomorphic to B. Then  $\cong$  is an equivalence relation on  $\mathrm{Obj}(\mathcal{C})$ .

Proof.

#### 1. reflexivity:

Let  $A \in \text{Obj}(\mathcal{C})$ . Exercise 1.5.1.5 implies that  $\text{id}_A$  is an isomorphism. So  $A \cong A$ . Since  $A \in \text{Obj}(\mathcal{C})$  is arbitrary, we have that for each  $A \in \text{Obj}(\mathcal{C})$ ,  $A \cong A$  and thus  $\cong$  is reflexive.

#### 2. symmetry:

Let  $A, B \in \mathrm{Obj}(\mathcal{C})$ . Suppose that  $A \cong B$ . Then there exists  $f \in \mathrm{Hom}_{\mathcal{C}}(A, B)$  such that f is an isomorphism. Exercise 1.5.1.6 implies that  $f^{-1}$  is an isomorphism. Since  $f^{-1} \in \mathrm{Hom}_{\mathcal{C}}(B, A)$ ,  $B \cong A$ . Since  $A, B \in \mathrm{Obj}(\mathcal{C})$  are arbitrary, we have that for each  $A, B \in \mathrm{Obj}(\mathcal{C})$ ,  $A \cong B$  implies that  $B \cong A$  and thus  $\cong$  is reflexive.

3. **transitivity:** Let  $A, B, C \in \text{Obj}(\mathcal{C})$ . Suppose that  $A \cong B$  and  $B \cong C$ . Then there exist  $f \in \text{Hom}_{\mathcal{C}}(A, B)$  and  $g \in \text{Hom}_{\mathcal{C}}(B, C)$  such that that f and g are isomorphisms. Exercise 1.5.1.7 implies that  $g \circ f$  is an isomorphism. Since  $g \circ f \in \text{Hom}_{\mathcal{C}}(A, C)$ ,  $A \cong C$ . Since  $A, B, C \in \text{Obj}(\mathcal{C})$  are arbitrary, we have that for each  $A, B, C \in \text{Obj}(\mathcal{C})$ ,  $A \cong B$  and  $B \cong C$  implies that  $A \cong C$  and thus  $\cong$  is transitive.

Since  $\cong$  is reflexive, symmetric and transitive,  $\cong$  is an equivalence relation on  $Obj(\mathcal{C})$ .

**Definition 1.5.1.10.** Let  $\mathcal{C}$  be a category,  $A, B \in \text{Obj}(\mathcal{C})$  and  $f: A \to B$ . Then

• f is said to be a **monomorphism** if for each  $C \in \text{Obj}(C)$  and  $g, h \in \text{Hom}_{\mathcal{C}}(C, A)$ ,  $f \circ g = f \circ h$  implies that g = h, i.e. we have the following implication of commutative diagrams:

$$\begin{array}{ccc}
C & \xrightarrow{g} & A \\
h \downarrow & \downarrow f & \Longrightarrow & C & \xrightarrow{A} \\
A & \xrightarrow{f} & B & & & & & & & \\
\end{array}$$

• f is said to be an **epimorphism** if for each  $C \in \text{Obj}(C)$  and  $g, h \in \text{Hom}_{\mathcal{C}}(B, C)$ ,  $g \circ f = h \circ f$  implies that g = h, i.e. we have the following implication of commutative diagrams:

$$\begin{array}{ccc}
A & \xrightarrow{f} & B \\
f \downarrow & & \downarrow g \\
B & \xrightarrow{h} & C
\end{array}
\qquad B \xrightarrow{g} C$$

**Exercise 1.5.1.11.** Let  $A, B \in \text{Obj}(\mathbf{Set})$  and  $f \in \text{Hom}_{\mathbf{Set}}(A, B)$ . Then

- 1. f is a monomorphism iff f is injective
- 2. f is an epimorphism iff f is surjective

**Hint:** consider  $C = \{0\}$  and  $C = \{0, 1\}$ .

Proof.

1. Suppose that f is injective. Let  $C \in \text{Obj}(\mathbf{Set})$  and  $g, h \in \text{Hom}_{\mathbf{Set}}(C, A)$ . Suppose that  $f \circ g = f \circ h$ . Let  $x \in C$ . Then f(g(x)) = f(h(x)). Injectivity of f implies that g(x) = h(x). Since  $x \in C$  is arbitrary, g = h. Hence f is a monomorphism.

Conversely, suppose that f is a monomorphism. Let  $a, b \in A$ . Suppose that f(a) = f(b). Set  $C = \{0\}$  and define  $g, h : C \to A$  by g(0) = a and h(0) = b. Then

$$f \circ g(0) = f(g(0))$$
  
=  $f(a)$   
=  $f(b)$   
=  $f(h(0))$   
=  $f \circ h(0)$ 

Therefore  $f \circ g = f \circ h$ . Since f is a monomorphism, we have that g = h. Hence

$$a = g(0)$$
$$= h(0)$$
$$= b$$

2. Suppose that f is surjective. Let  $C \in \text{Obj}(\mathcal{C})$  and  $g, h \in \text{Hom}_{\mathbf{Set}}(B, C)$ . Suppose that  $g \circ f = h \circ f$ . Let  $g \in B$ . Surjective of f implies that there exists  $x \in A$  such that y = f(x). Then

$$g(y) = g(f(x))$$

$$= g \circ f(x)$$

$$= h \circ f(x)$$

$$= h(f(x))$$

$$= h(y)$$

Since  $y \in B$  is arbitrary, g = h. Hence f is an epimorphism.

Conversely, suppose that f is an epimorphism. Set  $C = \{0,1\}$  and define  $g,h: B \to C$  by  $g = \chi_{f(A)}$  and  $h = \chi_B$ . Then  $g \circ f = h \circ f$ . Since f is an epimorphism, g = h and f(A) = B. Hence f is surjective.

**Exercise 1.5.1.12.** Let  $\mathcal{C}$  be a category,  $A, B \in \mathrm{Obj}(\mathcal{C})$  and  $f \in \mathrm{Hom}_{\mathcal{C}}(A, B)$ . If f is an isomorphism, then f is a monomorphism and f is an epimorphism.

*Proof.* Suppose that f is an isomorphism.

• (monomorphism) Let  $C \in \text{Obj}(\mathcal{C})$  and  $g, h \in \text{Hom}_{\mathcal{C}}(C, A)$ . Suppose that  $f \circ g = f \circ h$ . Then

$$g = \mathrm{id}_A \circ g$$

$$= (f^{-1} \circ f) \circ g$$

$$= f^{-1} \circ (f \circ g)$$

$$= f^{-1} \circ (f \circ h)$$

$$= (f^{-1} \circ f) \circ h$$

$$= \mathrm{id}_A \circ h$$

$$= h$$

So f is a monomorphism.

• (epimorphism) Let  $C \in \text{Obj}(\mathcal{C})$  and  $g, h \in \text{Hom}_{\mathcal{C}}(B, C)$ . Suppose that  $g \circ f = h \circ f$ . Then

$$g = g \circ id_B$$

$$= g \circ (f \circ f^{-1})$$

$$= (g \circ f) \circ f^{-1}$$

$$= (h \circ f) \circ f^{-1}$$

$$= h \circ (f \circ f^{-1})$$

$$= h \circ id_B$$

$$= h$$

So f is an epimorphism.

**Definition 1.5.1.13.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories,  $F,G:\mathcal{C}\to\mathcal{D}$  and  $\alpha:F\Rightarrow G$ . Then  $\alpha$  is said to be a **natural isomorphism** if for each  $A\in \mathrm{Obj}(\mathcal{C}),\ \alpha_A$  is an isomorphism.

**Definition 1.5.1.14.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories,  $F, G : \mathcal{C} \to \mathcal{D}$  and  $\alpha : F \Rightarrow G$ . Suppose that  $\alpha$  is a natural isomorphism. We define  $\alpha^{-1} : G \Rightarrow F$  by  $(\alpha^{-1})_A = \alpha_A^{-1}$ .

**Exercise 1.5.1.15.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories,  $F, G : \mathcal{C} \to \mathcal{D}$  and  $\alpha : F \Rightarrow G$ . Suppose that  $\alpha$  is a natural isomorphism. Then  $\alpha^{-1} : G \Rightarrow F$  is a natural transformation.

Proof.

1. Let  $A \in \text{Obj}(\mathcal{C})$ . Since  $\alpha_A \in \text{Hom}_{\mathcal{D}}(F(A), G(A))$ , we have that

$$(\alpha^{-1})_A = \alpha_A^{-1}$$
  

$$\in \operatorname{Hom}_{\mathcal{D}}(G(A), F(A))$$

2. Let  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ . Since  $G(f) \circ \alpha_A = \alpha_B \circ F(f)$ , i.e. the following diagram commutes:

$$F(A) \xrightarrow{\alpha_A} G(A)$$

$$F(f) \downarrow \qquad \qquad \downarrow G(f)$$

$$F(B) \xrightarrow{\alpha_B} G(B)$$

we have that

$$F(f) \circ (\alpha^{-1})_A = F(f) \circ \alpha_A^{-1}$$

$$= \operatorname{id}_{F(B)} \circ (F(f) \circ \alpha_A^{-1})$$

$$= (\alpha_B^{-1} \circ \alpha_B) \circ (F(f) \circ \alpha_A^{-1})$$

$$= \alpha_B^{-1} \circ (\alpha_B \circ (F(f) \circ \alpha_A^{-1}))$$

$$= \alpha_B^{-1} \circ ((\alpha_B \circ F(f)) \circ \alpha_A^{-1})$$

$$= \alpha_B^{-1} \circ ((G(f) \circ \alpha_A) \circ \alpha_A^{-1})$$

$$= \alpha_B^{-1} \circ (G(f) \circ (\alpha_A \circ \alpha_A^{-1}))$$

$$= \alpha_B^{-1} \circ (G(f) \circ \operatorname{id}_{G(A)})$$

$$= \alpha_B^{-1} \circ G(f)$$

$$= (\alpha^{-1})_B \circ G(f)$$

i.e. the following diagram commutes:

$$G(A) \xrightarrow{(\alpha^{-1})_A} F(A)$$

$$G(f) \downarrow \qquad \qquad \downarrow^{F(f)}$$

$$G(B) \xrightarrow{(\alpha^{-1})_B} F(B)$$

So  $\alpha^{-1}: G \Rightarrow F$ .

**Exercise 1.5.1.16.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories,  $F, G : \mathcal{C} \to \mathcal{D}$  and  $\alpha : F \Rightarrow G$ . Suppose that  $\alpha$  is a natural isomorphism. Then  $\alpha^{-1} \circ \alpha = \mathrm{id}_F$  and  $\alpha \circ \alpha^{-1} = \mathrm{id}_G$ .

*Proof.* Let  $A \in \text{Obj}(\mathcal{C})$ . Then

$$(\alpha^{-1} \circ \alpha)_A = (\alpha^{-1})_A \circ \alpha_A$$
$$= \alpha_A^{-1} \circ \alpha_A$$
$$= id_{F(A)}$$
$$= (id_F)_A$$

and

$$(\alpha \circ \alpha^{-1})_A = \alpha_A \circ (\alpha^{-1})_A$$
$$= \alpha_A \circ \alpha_A^{-1}$$
$$= id_{G(A)}$$
$$= (id_G)_A$$

Since  $A \in \text{Obj}(\mathcal{C})$  is arbitrary,  $\alpha^{-1} \circ \alpha = \text{id}_F$  and  $\alpha \circ \alpha^{-1} = \text{id}_G$ .

**Exercise 1.5.1.17.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. Suppose that  $\mathcal{C}$  is small. Let  $F, G \in \mathcal{D}^{\mathcal{C}}$  and  $\alpha \in \operatorname{Hom}_{\mathcal{D}^{\mathcal{C}}}(F, G)$ . Then  $\alpha$  is an isomorphism iff  $\alpha$  is a natural isomorphism.

*Proof.* Suppose that  $\alpha$  is an isomorphism. Then there exists  $\beta \in \operatorname{Hom}_{\mathcal{D}^{\mathcal{C}}}(G, F)$  such that  $\beta \circ \alpha = \operatorname{id}_{F}$  and  $\alpha \circ \beta - \operatorname{id}_{G}$ . Let  $A \in \operatorname{Obj}(\mathcal{C})$ . Then

$$\beta_A \circ \alpha_A = (\beta \circ \alpha)_A$$
$$= (\mathrm{id}_F)_A$$
$$= \mathrm{id}_{F(A)}$$

and

$$\alpha_A \circ \beta_A = (\alpha \circ \beta)_A$$
$$= (\mathrm{id}_G)_A$$
$$= \mathrm{id}_{G(A)}$$

Hence  $\alpha_A$  is an isomorphism. Since  $A \in \mathrm{Obj}(\mathcal{C})$  is arbitrary,  $\alpha$  is a natural isomorphism. Conversely, suppose that  $\alpha$  is a natural isomorphism. Exercise 1.5.1.15 and Exercise 1.5.1.16 imply that  $\alpha$  is an isomorphism.

### 1.5.2 Initial and Final Objects

**Definition 1.5.2.1.** Let  $\mathcal{C}$  be a category and  $0 \in \text{Obj}(\mathcal{C})$ . Then 0 is said to be **initial** if for each  $A \in \text{Obj}(\mathcal{C})$ , there exists  $f \in \text{Hom}_{\mathcal{C}}(0, A)$  such that  $\text{Hom}_{\mathcal{C}}(0, A) = \{f\}$ .

**Definition 1.5.2.2.** Let  $\mathcal{C}$  be a category and  $1 \in \text{Obj}(\mathcal{C})$ . Then 1 is said to be **final** if for each  $A \in \text{Obj}(\mathcal{C})$ , there exists  $f \in \text{Hom}_{\mathcal{C}}(A, 1)$  such that  $\text{Hom}_{\mathcal{C}}(A, 1) = \{f\}$ .

**Exercise 1.5.2.3.** Let  $\mathcal{C}$  be a category and  $0 \in \mathrm{Obj}(\mathcal{C})$ . If 0 is initial, then  $\mathrm{Hom}_{\mathcal{C}}(0,0) = \{\mathrm{id}_0\}$ .

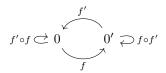
*Proof.* Suppose that 0 is initial. Then there exists a  $f \in \text{Hom}_{\mathcal{C}}(0,0)$  such that  $\text{Hom}_{\mathcal{C}}(0,0) = \{f\}$ . Since  $\text{id}_0 \in \text{Hom}_{\mathcal{C}}(0,0)$ ,  $f = \text{id}_0$  and therefore  $\text{Hom}_{\mathcal{C}}(0,0) = \{\text{id}_0\}$ .

**Exercise 1.5.2.4.** Let  $\mathcal{C}$  be a category and  $1 \in \mathrm{Obj}(\mathcal{C})$ . If 1 is final, then  $\mathrm{Hom}_{\mathcal{C}}(1,1) = \{\mathrm{id}_1\}$ .

*Proof.* Similar to Exercise 1.5.2.3

**Exercise 1.5.2.5.** Let  $\mathcal{C}$  be a category and  $0, 0' \in \mathrm{Obj}(\mathcal{C})$ . If 0 and 0' are initial, then 0 and 0' are isomorphic.

*Proof.* Suppose that 0 and 0' are initial. By definition, there exist  $f \in \text{Hom}_{\mathcal{C}}(0,0')$  and  $f' \in \text{Hom}_{\mathcal{C}}(0',0)$  such that  $\text{Hom}_{\mathcal{C}}(0,0') = \{f\}$  and  $\text{Hom}_{\mathcal{C}}(0',0) = \{f'\}$ , i.e. we have the following commutative diagram:



Exercise 1.5.2.3 implies that  $f' \circ f = \mathrm{id}_0$  and  $f \circ f' = \mathrm{id}_{0'}$ . Hence f is an isomorphism. Since  $f \in \mathrm{Hom}_{\mathcal{C}}(0,0')$ , we have that  $0 \cong 0'$ .

**Exercise 1.5.2.6.** Let  $\mathcal{C}$  be a category and  $1, 1' \in \text{Obj}(\mathcal{C})$ . If 1 and 1' are final, then 1 and 1' are isomorphic.

*Proof.* Similar to Exercise 1.5.2.5  $\Box$ 

**Exercise 1.5.2.7.** We have that  $\emptyset$  is initial in **Set**.

Proof. Let  $A \in \text{Obj}(\mathbf{Set})$ . Define  $f \in \text{Hom}_{\mathbf{Set}}(\emptyset, A)$  by  $f = \emptyset$ . Let  $g \in \text{Hom}_{\mathbf{Set}}(\emptyset, A)$ . Then g = f. Since  $g \in \text{Hom}_{\mathbf{Set}}(\emptyset, A)$  is arbitrary,  $\text{Hom}_{\mathbf{Set}}(\emptyset, A) = \{f\}$ . Hence  $\emptyset$  is initial.

**Exercise 1.5.2.8.** We have that  $\{\emptyset\}$  is terminal in **Set**.

*Proof.* Let  $A \in \text{Obj}(\mathbf{Set})$ . Define  $f \in \text{Hom}_{\mathbf{Set}}(A, \{\emptyset\})$  by  $f(x) = \emptyset$ . Let  $g \in \text{Hom}_{\mathbf{Set}}(A, \{\emptyset\})$ . Then g = f. Since  $g \in \text{Hom}_{\mathbf{Set}}(A, \{\emptyset\})$  is arbitrary,  $\text{Hom}_{\mathbf{Set}}(A, \{\emptyset\}) = \{f\}$ . Hence  $\{\emptyset\}$  is final.

Exercise 1.5.2.9. We have that 0 is initial in Cat.

*Proof.* Let  $C \in \text{Obj}(\mathbf{Cat})$ . It is clear that  $\text{Hom}_{\mathbf{Cat}}(\mathbf{0}, C) = \{E_C\}$ . Hence  $\mathbf{0}$  is initial in  $\mathbf{Cat}$ .

Exercise 1.5.2.10. We have that 1 is final in Cat.

*Proof.* Let  $\mathcal{C} \in \text{Obj}(\mathbf{Cat})$ . It is clear that  $\text{Hom}_{\mathbf{Cat}}(\mathcal{C}, \mathbf{1}) = {\Delta_*^{\mathcal{C}}}$ . Hence **1** is final in **Cat**.

**Definition 1.5.2.11.** Let C, D be categories and  $0 \in \text{Obj}(D)$  and  $F : C \to D$ . Suppose that 0 is initial in D. Then for each  $A \in \text{Obj}(C)$ , there exists  $f_A \in \text{Hom}_D(0, F(A))$  such that  $\text{Hom}_D(0, F(A)) = \{f_A\}$ . We define the **initial natural transformation induced by** 0 from  $\Delta_0^C$  to F, denoted  $\zeta_0 : \Delta_0^C \Rightarrow F$ , by  $(\eta_0)_A = f_A$ .

**Definition 1.5.2.12.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories and  $1 \in \text{Obj}(\mathcal{D})$  and  $F : \mathcal{C} \to \mathcal{D}$ . Suppose that 1 is final in  $\mathcal{D}$ . Then for each  $A \in \text{Obj}(\mathcal{C})$ , there exists  $f_A \in \text{Hom}_{\mathcal{D}}(F(A), 1)$  such that  $\text{Hom}_{\mathcal{D}}(F(A), 1) = \{f_A\}$ . We define the **final natural transformation induced by** 1 from F to  $\Delta_1^{\mathcal{C}}$ , denoted  $\phi_1 : F \Rightarrow \Delta_1^{\mathcal{C}}$ , by  $(\phi_1)_A = f_A$ .

**Exercise 1.5.2.13.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories and  $0 \in \text{Obj}(\mathcal{D})$  and  $F : \mathcal{C} \to \mathcal{D}$ . Suppose that 0 is initial in  $\mathcal{D}$ . Then  $\eta_0 : \Delta_0^{\mathcal{C}} \Rightarrow F$  is a natural transformation.

Proof.

- 1. By definition, for each  $A \in \text{Obj}(\mathcal{C})$ ,  $(\eta_0)_A \in \text{Hom}_{\mathcal{D}}(\Delta_0^{\mathcal{C}}(A), F(A))$
- 2. Let  $A, B \in \text{Obj}(\mathcal{C})$  and  $f \in \text{Hom}_{\mathcal{C}}(A, B)$ . Since

$$F(f) \circ (\eta_0)_A \in \operatorname{Hom}_{\mathcal{D}}(0, F(B))$$
$$= \{(\eta_0)_B\}$$

we have that

$$F(f) \circ (\eta_0)_A = (\eta_0)_B$$
$$= (\eta_0)_B \circ id_0$$

i.e. the following diagram commutes:

$$\Delta_0^{\mathcal{C}}(A) \xrightarrow{(\eta_0)_A} F(A) \qquad 0 \xrightarrow{(\eta_0)_A} F(A) 
\Delta_0^{\mathcal{C}}(f) \downarrow \qquad \downarrow F(f) = \mathrm{id}_0 \downarrow \qquad \downarrow F(f) 
\Delta_0^{\mathcal{C}}(B) \xrightarrow{(\eta_0)_B} F(B) \qquad 0 \xrightarrow{(\eta_0)_B} F(B)$$

So  $\eta_0: \Delta_0^{\mathcal{C}} \Rightarrow F$  is a natural transformation.

**Exercise 1.5.2.14.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories and  $1 \in \text{Obj}(\mathcal{D})$  and  $F : \mathcal{C} \to \mathcal{D}$ . Suppose that 1 is final in  $\mathcal{D}$ . Then  $\phi_1 : F \Rightarrow \Delta_0^{\mathcal{C}}$  is a natural transformation.

*Proof.* Similar to Exercise 1.5.2.13

**Exercise 1.5.2.15.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories and  $0 \in \mathrm{Obj}(\mathcal{D})$ . Suppose that  $\mathcal{C}$  is small. If 0 is initial in  $\mathcal{D}$ , then  $\Delta_0^{\mathcal{C}}$  is initial in  $\mathcal{D}^{\mathcal{C}}$ .

*Proof.* Suppose that 0 is initial in  $\mathcal{D}$ . Let  $F \in \text{Obj}(\mathcal{D}^{\mathcal{C}})$ ,  $\alpha \in \text{Hom}_{\mathcal{D}^{\mathcal{C}}}(\Delta_0^{\mathcal{C}}, F)$  and  $A \in \text{Obj}(\mathcal{C})$ . Then

$$\alpha_A \in \operatorname{Hom}_{\mathcal{D}}(\Delta_0^{\mathcal{C}}(A), F(A))$$
  
=  $\operatorname{Hom}_{\mathcal{D}}(0, F(A))$   
=  $\{(\eta_0)_A\}$ 

Hence  $\alpha_A = (\eta_0)_A$ . Since  $A \in \text{Obj}(\mathcal{C})$  is arbitrary,  $\alpha = \eta_0$ . Since  $\alpha \in \text{Hom}_{\mathcal{D}^{\mathcal{C}}}(\Delta_0^{\mathcal{C}}, F)$  is arbitrary,  $\text{Hom}_{\mathcal{D}^{\mathcal{C}}}(\Delta_0^{\mathcal{C}}, F) = \{\eta_0\}$ . Therefore  $\Delta_0^{\mathcal{C}}$  is initial in  $\mathcal{D}^{\mathcal{C}}$ .

**Exercise 1.5.2.16.** Let  $\mathcal{C}$ ,  $\mathcal{D}$  be categories and  $1 \in \mathrm{Obj}(\mathcal{D})$ . Suppose that  $\mathcal{C}$  is small. If 1 is final in  $\mathcal{D}$ , then  $\Delta_1^{\mathcal{C}}$  is final in  $\mathcal{D}^{\mathcal{C}}$ .

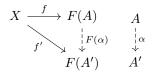
*Proof.* Similar to Exercise 1.5.2.15.

## Chapter 2

## Universal Morphisms and Limits

## 2.0.1 Universal Morphisms

**Definition 2.0.1.1.** Let  $\mathcal{C}, \mathcal{D}$  be a categories,  $X \in \mathrm{Obj}(\mathcal{D})$ ,  $F : \mathcal{C} \to \mathcal{D}$ ,  $A \in \mathrm{Obj}(\mathcal{C})$  and  $f \in \mathrm{Hom}_{\mathcal{D}}(X, F(A))$ . Then (A, f) is said to be a **universal morphism** from X to F if for each  $A' \in \mathrm{Obj}(\mathcal{C})$   $f' \in \mathrm{Hom}_{\mathcal{D}}(X, F(A'))$ , there exists a unique  $\alpha \in \mathrm{Hom}_{\mathcal{C}}(A, A')$  such that  $f' = F(\alpha) \circ f$ , i.e. the following diagram commutes:



**Definition 2.0.1.2.** Let  $\mathcal{C}, \mathcal{D}$  be a categories,  $X \in \mathrm{Obj}(\mathcal{D})$ ,  $F : \mathcal{C} \to \mathcal{D}$ ,  $A \in \mathrm{Obj}(\mathcal{C})$  and  $f \in \mathrm{Hom}_{\mathcal{D}}(F(A), X)$ . Then (A, f) is said to be a **universal morphism** from F to X if for each  $A' \in \mathrm{Obj}(\mathcal{C})$   $f' \in \mathrm{Hom}_{\mathcal{D}}(F(A'), X)$ , there exists a unique  $\alpha \in \mathrm{Hom}_{\mathcal{C}}(A', A)$  such that  $f' = f \circ F(\alpha)$ , i.e. the following diagram commutes:

$$X \xleftarrow{f} F(A) \qquad A$$

$$\uparrow^{f} F(\alpha) \qquad \uparrow^{\alpha}$$

$$F(A') \qquad A'$$

**Exercise 2.0.1.3.** Let  $\mathcal{C}, \mathcal{D}$  be a categories,  $X \in \mathrm{Obj}(\mathcal{D})$ ,  $F : \mathcal{C} \to \mathcal{D}$ ,  $A \in \mathrm{Obj}(\mathcal{C})$  and  $f \in \mathrm{Hom}_{\mathcal{D}}(X, F(A))$ . Then (A, f) is a universal morphism from X to F iff (A, f) is initial in  $(X \downarrow F)$ .

**Exercise 2.0.1.4.** Let  $\mathcal{C}, \mathcal{D}$  be a categories,  $X \in \mathrm{Obj}(\mathcal{D})$ ,  $F : \mathcal{C} \to \mathcal{D}$   $A \in \mathrm{Obj}(\mathcal{C})$  and  $f \in \mathrm{Hom}_{\mathcal{D}}(F(A), X)$ . Then (A, f) is a universal morphism from F to X iff (A, f) is terminal in  $(F \downarrow X)$ .

## 2.1 Limits

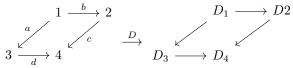
**Definition 2.1.0.1.** Let  $\mathcal{J}$ ,  $\mathcal{C}$  be categories and  $D: \mathcal{J} \to \mathcal{C}$ . Then D is said to be a **diagram of type**  $\mathcal{J}$  in  $\mathcal{C}$ .

**Note 2.1.0.2.** We are usually interested in the case that  $\mathcal{J}$  is small. We will identify a diagram D with its image.

**Example 2.1.0.3.** Define  $\mathcal{J}$  by

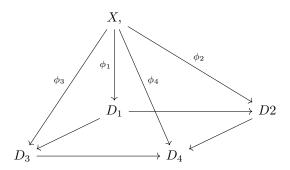
- $\operatorname{Obj}(\mathcal{J}) = \{1, 2, 3\}$  and for  $i, j \in \operatorname{Obj}(\mathcal{J})$ ,  $\operatorname{Hom}_{\mathcal{J}}(i, j) = \{a_{i,j}\}$ ,
- for  $i, j \in \text{Obj}(\mathcal{J})$ ,  $\text{Hom}_{\mathcal{J}}(i, j) = \{a_{ij}\}$ .

Let  $\mathcal{C}$  be a category and  $D: \mathcal{J} \to \mathcal{C}$ . Without including the identity morphisms or compositions, we can visualize D as follows:



**Definition 2.1.0.4.** Let  $\mathcal{J}$ ,  $\mathcal{C}$  be categories. Suppose that  $\mathcal{J}$  is small. Let  $D \in \text{Obj}(\mathcal{C}^{\mathcal{J}})$ . We define the category of cones to D, denoted  $\mathbf{Cone}(D)$ , by  $\mathbf{Cone}(D) = (\Delta^{\mathcal{J}} \downarrow D)$ .

Example 2.1.0.5. Let  $\mathcal J$ 



**Definition 2.1.0.6.** Let  $\mathcal{J}$ ,  $\mathcal{C}$  be categories. Suppose that  $\mathcal{J}$  is small. Let  $D \in \text{Obj}(\mathcal{C}^{\mathcal{J}})$ . We define the category of cocones from D, denoted  $\mathbf{Cocone}(D)$ , by  $\mathbf{Cocone}(D) = (D \downarrow \Delta^{\mathcal{J}})$ .

**Definition 2.1.0.7.** Let  $\mathcal{J}$ ,  $\mathcal{C}$  be categories. Suppose that  $\mathcal{J}$  is small. Let  $D \in \mathrm{Obj}(\mathcal{C}^{\mathcal{J}})$  and  $(X, \phi) \in \mathrm{Cone}(D)$ . Then  $(X, \phi)$  is said to be a **limit of** D if  $(X, \phi)$  is a universal morphism from  $\Delta^{\mathcal{J}}$  to D.

**Note 2.1.0.8.** Let  $\mathcal{J}$ ,  $\mathcal{C}$  be categories. Suppose that  $\mathcal{J}$  is small. Let  $D \in \text{Obj}(\mathcal{C}^{\mathcal{J}})$  and  $(X, \phi) \in \mathbf{Cone}(D)$ . Then

$$(X, \phi)$$
 is a limit of  $D \iff (X, \phi)$  is terminal in  $\mathbf{Cone}(D)$   
 $\iff$  for each  $(Y, \psi) \in \mathbf{Cone}(D)$ , there exists a unique  $f \in \mathrm{Hom}_{\mathcal{C}}(Y, X)$  such that for each  $j \in \mathcal{J}, \, \psi_j = \phi_j \circ f$ 

**Definition 2.1.0.9.** Let  $\mathcal{J}$ ,  $\mathcal{C}$  be categories. Suppose that  $\mathcal{J}$  is small. Let  $D \in \mathrm{Obj}(\mathcal{C}^{\mathcal{J}})$  and  $(X, \phi) \in \mathbf{Cocone}(D)$ . Then  $(X, \phi)$  is said to be a **colimit of** D if  $(X, \phi)$  is a universal morphism from D to  $\Delta^{\mathcal{J}}$ .

**Note 2.1.0.10.** Let  $\mathcal{J}, \mathcal{C}$  be categories. Suppose that  $\mathcal{J}$  is small. Let  $D \in \text{Obj}(\mathcal{C}^{\mathcal{J}})$  and  $(X, \phi) \in \mathbf{Cone}(D)$ . Then

$$(X,\phi)$$
 is a colimit of  $D \iff (X,\phi)$  is initial in  $\mathbf{Cocone}(D)$   
 $\iff$  for each  $(Y,\psi) \in \mathbf{Cocone}(D)$ , there exists a unique  
 $f \in \mathrm{Hom}_{\mathcal{C}}(X,Y)$  such that for each  $j \in \mathcal{J}, \, \psi_j = f \circ \phi_j$ 

2.1. LIMITS 31

- 2.1.1 Products and Coproducts
- 2.1.2 Equalizers and Coequalizers

# Appendix A

App