





# Introduction to Group Theory

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# Notation

$\mathcal{M}_+(X, \mathcal{A})$	finite measures on $(X, \mathcal{A})$
$v$	velocity





# Preface

cc-by-nc-sa



# Chapter 1

## Preliminaries

### 1.1 Category Theory

- **Hilb**:
  - $\text{Obj}(\mathbf{Hilb}) := \{H : H \text{ is a Hilbert space}\}$
  - $\text{Hom}_{\mathbf{Hilb}}(H_1, H_2) := \text{Hom}_{\mathbf{Ban}}(H_1, H_2)$
- **Mon**

#### 1.1.1 The Unitary Group

**Definition 1.1.1.1.** Let  $H_1, H_2 \in \text{Obj}(\mathbf{Hilb})$ . We define the **unitary group from  $H_1$  to  $H_2$** , denoted  $U(H_1, H_2)$ , by

$$U(H_1, H_2) = \{T \in \text{Iso}_{\mathbf{Hilb}}(H_1, H_2) : T^* = T^{-1}\}$$

We write  $U(H)$  in place of  $U(H, H)$ . We equip  $U(H_1, H_2)$  with the strong operator topology.

**Exercise 1.1.1.2.** Let  $H \in \text{Obj}(\mathbf{Hilb})$ . Then  $\mathcal{T}_{U(H)}^s = \mathcal{T}_{U(H)}^w$ . [strong weak operator topologies coincide](#)

**Exercise 1.1.1.3.** Let  $H \in \text{Obj}(\mathbf{Hilb})$ . Then  $U(H)$  is a topological group.

*Proof.* content...

□



## Chapter 2

# Representation Theory

### 2.1 Group Representations

#### 2.1.1 Unitary representations

**Definition 2.1.1.1.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ ,  $H \in \text{Obj}(\mathbf{Hilb})$  and  $\pi \in \text{Hom}_{\mathbf{TopGrp}}(G, U(H))$ . Then  $(H, \pi)$  is said to be a **unitary representation** of  $G$ . We define the **dimension of**  $(H, \pi)$ , denoted  $\dim(H, \pi)$ , by  $\dim(H, \pi) := \dim V$ .

**Definition 2.1.1.2.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ ,  $(H_\pi, \pi)$ ,  $(H_\rho, \rho)$  unitary representations of  $G$  and  $T \in \text{Hom}_{\mathbf{Hilb}}(H_\pi, H_\rho)$ . Then  $T$  is said to be  **$(\pi, \rho)$ -equivariant** if for each  $g \in G$ ,  $T \circ \pi(g) = \rho(g) \circ T$ , i.e. the following diagram commutes:

$$\begin{array}{ccc} H_\pi & \xrightarrow{T} & H_\rho \\ \pi(g) \downarrow & & \downarrow \rho(g) \\ H_\pi & \xrightarrow{T} & H_\rho \end{array}$$

**Definition 2.1.1.3.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ . We define  $\mathbf{URep}(G)$  by

- $\text{Obj}(\mathbf{URep}(G)) = \{(H, \pi) : (H, \pi) \text{ is a unitary representation of } G\}$ .
- for  $(H_\pi, \pi), (H_\rho, \rho) \in \text{Obj}(\mathbf{URep}(G))$ ,

$$\text{Hom}_{\mathbf{URep}(G)}((H_\pi, \pi), (H_\rho, \rho)) = \{T \in \text{Hom}_{\mathbf{Hilb}}(H_\pi, H_\rho) : T \text{ is } (\pi, \rho)\text{-equivariant}\}$$

- for  $(H_\pi, \pi), (H_\rho, \rho), (H_\mu, \mu) \in \text{Obj}(\mathbf{URep}(G))$ ,  $T \in \text{Hom}_{\mathbf{URep}(G)}((H_\pi, \pi), (H_\rho, \rho))$  and  $S \in \text{Hom}_{\mathbf{URep}(G)}((H_\rho, \rho), (H_\mu, \mu))$ ,

$$S \circ_{\mathbf{URep}(G)} T = S \circ T$$

**Exercise 2.1.1.4.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ . Then  $\mathbf{URep}(G)$  is a category.

*Proof.* **FINISH!!!**

□

**Exercise 2.1.1.5.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $(H_\pi, \pi), (H_\rho, \rho) \in \text{Obj}(\mathbf{URep}(G))$ . Then  $\text{Hom}_{\mathbf{URep}(G)}((H_\pi, \pi), (H_\rho, \rho)) \in \text{Obj}(\mathbf{Vect}_{\mathbb{C}})$ .

*Proof.* Let  $S, T \in \text{Hom}_{\mathbf{URep}(G)}((H_\pi, \pi), (H_\rho, \rho))$  and  $\lambda \in \mathbb{C}$ . Then for each  $g \in G$ ,

$$\begin{aligned} (S + \lambda T) \circ \pi(g) &= S \circ \pi(g) + \lambda T \circ \pi(g) \\ &= \rho(g) \circ S + \rho(g) \circ (\lambda T) \\ &= \rho(g) \circ (S + \lambda T). \end{aligned}$$

Hence  $S + \lambda T \in \text{Hom}_{\mathbf{URep}(G)}((H_\pi, \pi), (H_\rho, \rho))$ . Since  $S, T \in \text{Hom}_{\mathbf{URep}(G)}((H_\pi, \pi), (H_\rho, \rho))$  and  $\lambda \in \mathbb{C}$  is arbitrary, we have that  $\text{Hom}_{\mathbf{URep}(G)}((H_\pi, \pi), (H_\rho, \rho)) \in \text{Obj}(\mathbf{Vect}_{\mathbb{C}})$ . □

**Definition 2.1.1.6.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $(H_\pi, \pi), (H_\rho, \rho) \in \mathbf{URep}(G)$ . Then  $(H_\pi, \pi)$  is said to be **unitarily equivalent** to  $(H_\rho, \rho)$ , denoted  $(H_\pi, \pi) \equiv (H_\rho, \rho)$ , if  $\text{Hom}_{\mathbf{URep}(G)}((H_\pi, \pi), (H_\rho, \rho)) \cap U(H_\pi, H_\rho) \neq \emptyset$ .

**Note 2.1.1.7.** Let  $\pi \in \text{Hom}_{\mathbf{TopGrp}}(G, U(H))$ . Since  $U(H)$  is equipped with the strong operator topology, we have that for each  $u \in H$ , the map  $g \mapsto \pi(g)u$  is continuous.

**Definition 2.1.1.8.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$ . We define the **induced group action of  $G$  on  $H$** , denoted  $\phi_{(H, \pi)} : G \times H \rightarrow H$ , by

$$\phi_{(H, \pi)}(g, v) = \pi(g)v$$

**Note 2.1.1.9.** When the context is clear, we write  $g \cdot v$  in place of  $\phi_{(H, \pi)}(g, v)$ .

**Exercise 2.1.1.10.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$ . Then

1.  $\phi_{(H, \pi)}$  is a linear group action.
2.  $G$  is locally compact implies that  $\phi_{(H, \pi)}$  is continuous

*Proof.*

1. • Let  $g, h \in G$  and  $v \in H$ .  
 (a) Since  $\pi \in \text{Hom}_{\mathbf{TopGrp}}(G, U(H))$ ,

$$\begin{aligned} e \cdot v &= \pi(e)v \\ &= \text{id}_H v \\ &= v \end{aligned}$$

- (b) Since  $\pi \in \text{Hom}_{\mathbf{TopGrp}}(G, U(H))$ ,

$$\begin{aligned} g \cdot (h \cdot v) &= \pi(g)[\pi(h)v] \\ &= [\pi(g)\pi(h)]v \\ &= \pi(gh)v \\ &= (gh) \cdot v \end{aligned}$$

Since  $g, h \in G$  and  $v \in H$  are arbitrary,  $\phi_{(H, \pi)}$  is a group action of  $G$  on  $H$ .

- Let  $g \in G$ ,  $\lambda \in \mathbb{C}$  and  $v, w \in H$ . Then

$$\begin{aligned} g \cdot (\lambda v + w) &= \pi(g)(\lambda v + w) \\ &= \lambda \pi(g)v + \pi(g)w \\ &= \lambda g \cdot v + g \cdot w \end{aligned}$$

Since  $g \in G$ ,  $\lambda \in \mathbb{C}$  and  $v, w \in H$  are arbitrary,  $\phi_{(H, \pi)}$  is a linear action.

2. Suppose that  $G$  is locally compact. Let  $(g_0, v_0) \in G \times H$  and  $\epsilon > 0$ . Since  $G$  is locally compact, there exists  $K \subset G$  such that  $g_0 \in \text{Int } K$  and  $K$  is compact. Let  $v \in H$ . Define  $f_v : G \rightarrow H$  by  $f_v(g) = g \cdot v$ . Since  $\pi : G \rightarrow U(H)$  is continuous,  $f_v$  is continuous. Thus  $\|f_v\|$  is continuous. Since  $K$  is compact,  $\|f_v\|(K)$  is compact. Thus

$$\begin{aligned} \sup_{g \in K} \|g \cdot v\| &= \sup_{g \in K} \|f_v(g)\| \\ &< \infty \end{aligned}$$

Since  $v \in H$  is arbitrary, we have that for each  $v \in H$ ,  $\sup_{g \in K} \|g \cdot v\| < \infty$ . The uniform boundedness principle implies that there exists  $M > 0$  such that  $\sup_{g \in K} \|\pi(g)\| \leq M$ . Since  $f_{v_0}$  is continuous, there

exists  $U \subset K$  such that  $U$  is open,  $g_0 \in U$ , and  $f_{v_0}(U) \subset B(f_{v_0}(g_0), \epsilon/2)$ . Let  $(g_1, v_1) \in U \times B(v_0, (2M)^{-1}\epsilon)$ . Then

$$\begin{aligned}
\|\phi_{(H,\pi)}(g_0, v_0) - \phi_{(H,\pi)}(g_1, v_1)\| &= \|g_0 \cdot v_0 - g_1 \cdot v_1\| \\
&\leq \|g_0 \cdot v_0 - g_1 \cdot v_0\| + \|g_1 \cdot v_0 - g_1 \cdot v_1\| \\
&= \|f_{v_0}(g_0) - f_{v_0}(g_1)\| + \|\pi(g_1)(v_0 - v_1)\| \\
&\leq \|f_{v_0}(g_0) - f_{v_0}(g_1)\| + \|\pi(g_1)\| \|v_0 - v_1\| \\
&\leq \|f_{v_0}(g_0) - f_{v_0}(g_1)\| + M \|v_0 - v_1\| \\
&\leq \frac{\epsilon}{2} + M \frac{\epsilon}{2M} \\
&= \epsilon
\end{aligned}$$

Since  $\epsilon > 0$  is arbitrary, we have that  $\phi_{(H,\pi)}$  is continuous at  $(g_0, v_0)$ . Since  $(g_0, v_0) \in G \times H$  is arbitrary, we have that  $\phi_{(H,\pi)} : G \times H \rightarrow H$  is continuous. □

### 2.1.2 Subrepresentations

**Definition 2.1.2.1.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ ,  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$  and  $E \subset H$  a closed subspace. Then  $E$  is said to be

- **nontrivial** if  $E \neq H, \emptyset$
- **$(H, \pi)$ -invariant** if for each  $g \in G$ ,  $\pi(g)(E) \subset E$

**Exercise 2.1.2.2.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ ,  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$  and  $E \subset H$  a closed subspace. Suppose that  $E$  is  $(H, \pi)$ -invariant. Then for each  $g, h \in G$ ,

1.  $\pi(g)|_E \in \text{Aut}_{\mathbf{Hilb}}(E)$ ,  $\pi(g)|_E^{-1} = \pi(g^{-1})|_E$  and  $\pi(g)(E) = E$ ,
2.  $\pi(g)|_E \in U(E)$  and  $\pi(g)|_E^* = \pi(g^{-1})|_E$ ,
3.  $\pi(gh)|_E = \pi(g)|_E \circ \pi(h)|_E$ .

*Proof.* Let  $g, h \in G$ .

1. Let  $x \in E$ . Since  $E$  is  $(H, \pi)$ -invariant, we have that  $\pi(g)(x) \in E$ .

$$\begin{aligned}
[\pi(g^{-1})|_E \circ \pi(g)|_E](x) &= \pi(g^{-1})|_E[\pi(g)|_E(x)] \\
&= \pi(g^{-1})|_E[\pi(g)(x)] \\
&= \pi(g^{-1})[\pi(g)(x)] \\
&= [\pi(g^{-1}) \circ \pi(g)](x) \\
&= \pi(g^{-1}g)(x) \\
&= \pi(e)(x) \\
&= I(x) \\
&= I_E(x).
\end{aligned}$$

Similarly,  $\pi(g^{-1})(x) \in E$  and  $[\pi(g)|_E \circ \pi(g^{-1})|_E](x) = I|_E(x)$ . Since  $x \in E$  is arbitrary, we have that  $\pi(g)|_E \in \text{Aut}_{\mathbf{Hilb}}(E)$  and  $\pi(g^{-1})|_E = \pi(g)|_E^{-1}$ . Since  $\pi(g)|_E \in \text{Aut}_{\mathbf{Hilb}}(E)$ , we have that

$$\begin{aligned}
\pi(g)(E) &= \pi(g)|_E(E) \\
&= E.
\end{aligned}$$

2. Let  $x, y \in E$ . Then

$$\begin{aligned}\langle \pi(g)|_E x, y \rangle &= \langle \pi(g)x, y \rangle \\ &= \langle x, \pi(g)^* y \rangle \\ &= \langle x, \pi(g)^*|_E y \rangle\end{aligned}$$

Since  $x, y \in E$  are arbitrary, we have that  $\pi(g)|_E^* = \pi(g)^*|_E$ . The previous part then implies that

$$\begin{aligned}\pi(g)|_E^* &= \pi(g)^*|_E \\ &= \pi(g)^{-1}|_E \\ &= \pi(g^{-1})|_E \\ &= \pi(g)|_E^{-1}.\end{aligned}$$

Since  $\pi(g)|_E^* = \pi(g)|_E^{-1}$ , we have that  $\pi(g)|_E \in U(E)$ .

3. Let  $x \in E$ . Since  $E$  is  $(H, \pi)$ -invariant, we have that  $\pi(h)(x) \in E$  and therefore

$$\begin{aligned}\pi(gh)|_E(x) &= \pi(gh)(x) \\ &= [\pi(g) \circ \pi(h)](x) \\ &= \pi(g)[\pi(h)(x)] \\ &= \pi(g)|_E[\pi(h)(x)] \\ &= \pi(g)|_E[\pi(h)|_E(x)] \\ &= [\pi(g)|_E \circ \pi(h)|_E](x).\end{aligned}$$

Since  $x \in E$  is arbitrary, we have that  $\pi(gh)|_E = \pi(g)|_E \circ \pi(h)|_E$ .

□

**Definition 2.1.2.3.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $\mathbb{K} \in \text{Obj}(\mathbf{Field})$  and  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$ . Then

- $(H, \pi)$  is said to be **reducible** if there exists a closed subspace  $E \subset H$  such that  $E$  is not trivial and  $E$  is  $(H, \pi)$ -invariant
- $(H, \pi)$  is said to be **irreducible** if  $(H, \pi)$  is not reducible.

**Definition 2.1.2.4.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$  and  $E \subset H$  a closed subspace. Suppose that  $E$  is  $(H, \pi)$ -invariant.

- We define  $\pi^E \in \text{Hom}_{\mathbf{TopGrp}}(G, U(E))$  by  $\pi^E(g) := \pi(g)|_E$
- We define the **restriction**  $(H, \pi)$  to  $E$ , denoted  $(H, \pi)|_E$ , by  $(H, \pi)|_E := (E, \pi^E)$

**Exercise 2.1.2.5.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$  and  $E \subset H$  a closed subspace.

1. If  $E$  is nontrivial, then  $E^\perp$  is nontrivial.
2. If  $E$  is  $(H, \pi)$ -invariant, then  $E^\perp$  is  $(H, \pi)$ -invariant.

*Proof.*

1. Suppose that  $E$  is nontrivial. Then  $E \neq \{0\}, H$ . Then  $E^\perp \neq \{0\}, H$ . Thus  $E^\perp$  is nontrivial.
2. Suppose that  $E$  is  $(H, \pi)$ -invariant. Let  $g \in G$ . Since  $\pi(g) \in U(H)$  and  $\pi(g)(E) = E$ , [An exercise in the analysis notes section on Hilbert spaces](#) implies that  $\pi(g)(E^\perp) = E^\perp$ . Since  $g \in G$  is arbitrary,  $E^\perp$  is  $(H, \pi)$ -invariant.

□



**Definition 2.1.2.6.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ ,  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$  and  $u \in H$ . We define the **cyclic subspace of  $H$  generated by  $u$  under  $(H, \pi)$** , denoted  $\text{cyc}_{(H, \pi)}(u)$ , by

$$\text{cyc}_{(H, \pi)}(u) := \text{clspan}(\phi_{(H, \pi)}(G, u))$$

**Note 2.1.2.7.** When the context is clear, we write  $\text{cyc}(u)$  in place of  $\text{cyc}_{(H, \pi)}(u)$ .

**Exercise 2.1.2.8.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ ,  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$  and  $u \in H$ . Then  $\text{cyc}(u)$  is  $(H, \pi)$ -invariant. [this should largely be a result about linear group actions.](#)

*Proof.* Let  $g \in G$ . Since  $G$  acts linearly and homeomorphically on  $H$ ,

$$\begin{aligned} g \cdot \text{cyc}(u) &= g \cdot \text{clspan}(G \cdot u) \\ &= \text{cl } g \cdot \text{span}(G \cdot u) \\ &= \text{clspan}[g \cdot (G \cdot u)] \\ &= \text{clspan}(G \cdot u) \\ &= \text{cyc}(u) \end{aligned}$$

Since  $g \in G$  is arbitrary,  $\text{cyc}(u)$  is  $G$ -invariant. □

**Definition 2.1.2.9.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$ .

- Let  $u \in H$ . Then  $u$  is said to be  $(H, \pi)$ -**cyclic** if  $\text{cyc}(u) = H$ .
- Then  $(H, \pi)$  is said to be **cyclic** if there exists  $u \in H$  such that  $u$  is  $(H, \pi)$ -cyclic.

### 2.1.3 Direct Sum of Representations

**Definition 2.1.3.1.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $(H_\alpha, \pi_\alpha)_{\alpha \in A} \subset \text{Obj}(\mathbf{URep}(G))$ .

- We define  $\bigoplus_{\alpha \in A} \pi_\alpha \in \text{Hom}_{\mathbf{TopGrp}}(G, U(\bigoplus_{\alpha \in A} H_\alpha))$  by

$$\left[ \bigoplus_{\alpha \in A} \pi_\alpha \right](g) = \bigoplus_{\alpha \in A} \pi_\alpha(g)$$

- We define the **direct sum** of  $(H_\alpha, \pi_\alpha)_{\alpha \in A}$ , denoted  $\bigoplus_{\alpha \in A} (H_\alpha, \pi_\alpha)$ , by

$$\bigoplus_{\alpha \in A} (H_\alpha, \pi_\alpha) = \left( \bigoplus_{\alpha \in A} H_\alpha, \bigoplus_{\alpha \in A} \pi_\alpha \right)$$

**Note 2.1.3.2.** [FINISH!!!](#) the last definition works for internal or external direct sum, just need to define inner or external sum of  $H_\alpha$  and  $\pi_\alpha$  in either case.

**Exercise 2.1.3.3.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ ,  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$  and  $E \subset H$  a closed subspace. If  $E$  is  $(H, \pi)$ -invariant, then  $(H, \pi) = (E \oplus E^\perp, \pi^E \oplus \pi^{E^\perp})$ .

*Proof.* Suppose that  $E$  is  $(H, \pi)$ -invariant. [A previous exercise](#) implies that  $E^\perp$  is  $(H, \pi)$ -invariant. Since  $H = E \oplus E^\perp$ . Let  $g \in G$  and  $u \in H$ . Since  $H = E \oplus E^\perp$ , there exists  $v \in E$  and  $w \in E^\perp$  such that  $u = v + w$ . Then

$$\begin{aligned} \pi(g)(u) &= \pi(g)(v + w) \\ &= \pi(g)(v) + \pi(g)(w) \\ &= \pi(g)|_E(v) + \pi(g)|_{E^\perp}(w) \\ &= \pi^E(g)(v) + \pi^{E^\perp}(g)(w) \\ &= [\pi^E(g) \oplus \pi^{E^\perp}(g)](v + w) \\ &= [\pi^E \oplus \pi^{E^\perp}](g)(v + w) \\ &= [\pi^E \oplus \pi^{E^\perp}](g)(u) \end{aligned}$$

Since  $u \in H$  is arbitrary,  $\pi(g) = [\pi^E \oplus \pi^{E^\perp}](g)$ . Since  $g \in G$  is arbitrary,  $\pi = \pi^E \oplus \pi^{E^\perp}$ .  $\square$

**Definition 2.1.3.4.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ ,  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$  and  $\mathcal{E} \subset \mathcal{P}(H)$ . Then  $\mathcal{E}$  is said to be an  $(H, \pi)$ -orthocyclic system if for each  $E, F \in \mathcal{E}$ ,

1.  $E$  is a closed subspace of  $H$
2.  $(H, \pi)|_E$  is cyclic
3. if  $E \neq F$ , then  $E \perp F$

**Exercise 2.1.3.5.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$ . Then there exists  $\mathcal{E} \subset \mathcal{P}(H)$  such that  $\mathcal{E}$  is an  $(H, \pi)$ -orthocyclic system and  $(H, \pi) = \bigoplus_{E \in \mathcal{E}} (H, \pi)|_E$ .

**Hint:** Zorn's lemma

*Proof.* Define  $\mathcal{P} = \{\mathcal{E} : \mathcal{E} \text{ is an } (H, \pi)\text{-orthocyclic system}\}$ . We partially order  $\mathcal{P}$  by inclusion. Let  $\mathcal{C} \subset \mathcal{P}$  be a chain. Set  $\mathcal{E}_0 = \bigcup_{\mathcal{E} \in \mathcal{C}} \mathcal{E}$ . Let  $E_1, E_2 \in \mathcal{E}_0$ . Then there exist  $\mathcal{E}_1, \mathcal{E}_2 \in \mathcal{C}$  such that  $E_1 \in \mathcal{E}_1$  and  $E_2 \in \mathcal{E}_2$ . Since  $\mathcal{C}$  is a chain,  $\mathcal{E}_1 \subset \mathcal{E}_2$  or  $\mathcal{E}_2 \subset \mathcal{E}_1$ . Suppose that  $\mathcal{E}_1 \subset \mathcal{E}_2$ . Then  $E_1 \in \mathcal{E}_2$ . Since  $\mathcal{E}_2$  is an  $(H, \pi)$ -orthocyclic system, we have that  $E_1$  is a closed subspace of  $H$ ,  $(H, \pi)|_{E_1}$  is cyclic and if  $E_1 \neq E_2$ , then  $E_1 \perp E_2$ . Similarly,  $\mathcal{E}_2 \subset \mathcal{E}_1$  implies the same conclusion. Since  $E_1, E_2 \in \mathcal{E}_0$  are arbitrary, we have that for each  $E_1, E_2 \in \mathcal{E}_0$

1.  $E_1$  is a closed subspace of  $H$  and  $E_1$  is  $(H, \pi)$ -invariant
2.  $(H, \pi)|_{E_1}$  is cyclic
3. if  $E_1 \neq E_2$ , then  $E_1 \perp E_2$

Thus  $\mathcal{E}_0$  is an  $(H, \pi)$ -orthocyclic system. Hence  $\mathcal{E}_0 \in \mathcal{P}$ . By construction, for each  $\mathcal{E} \in \mathcal{C}$ ,  $\mathcal{E} \subset \mathcal{E}_0$ . So  $\mathcal{E}_0$  is an upper bound of  $\mathcal{C}$ . Since  $\mathcal{C} \subset \mathcal{P}$  such that  $\mathcal{C}$  is a chain is arbitrary, we have that for each  $\mathcal{C} \subset \mathcal{P}$ , if  $\mathcal{C}$  is a chain, then there exists  $\mathcal{E}_0 \in \mathcal{P}$  such that  $\mathcal{E}_0$  is an upper bound of  $\mathcal{C}$ . Zorn's lemma implies that there exists  $\mathcal{E} \in \mathcal{P}$  such that  $\mathcal{E}$  is maximal. Set  $E = \bigoplus_{E_0 \in \mathcal{E}} E_0$ . For the sake of contradiction, suppose that  $H \neq E$ . Then

$E^\perp \neq \{0\}$ . Thus there exists  $u \in E^\perp$  such that  $u \neq 0$ . Therefore  $\text{cyc}(u) \neq 0$  and  $\text{cyc}(u) \subset E^\perp$ . Let  $E_0 \in \mathcal{E}$ . By construction,  $E_0 \subset E$ . Thus

$$\begin{aligned} \text{cyc}(u) &\subset E^\perp \\ &\subset E_0^\perp \end{aligned}$$

Since  $E_0 \in \mathcal{E}$  is arbitrary, we have that for each  $E_0 \in \mathcal{E}$ ,  $\text{cyc}(u) \subset E_0^\perp$ . Set  $\mathcal{E}' = \mathcal{E} \cup \{\text{cyc}(u)\}$ . Then for each  $E, F \in \mathcal{E}'$ ,

1.  $E$  is a closed subspace of  $H$  and  $E$  is  $(H, \pi)$ -invariant
2.  $(H, \pi)|_E$  is cyclic
3. if  $E \neq F$ , then  $E \perp F$

Hence  $\mathcal{E}' \in \mathcal{P}$ . Since  $\mathcal{E} \subset \mathcal{E}'$  and  $\mathcal{E}$  is maximal,  $\mathcal{E} = \mathcal{E}'$ .  $\square$

**Note 2.1.3.6.** Let  $H$  be a Hilbert space and  $E \subset H$  a closed subspace. We denote the orthogonal projection onto  $E$  by  $P_E$ .

**Exercise 2.1.3.7.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ ,  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$  and  $E \subset H$  a closed subspace. Then  $E$  is  $(H, \pi)$ -invariant iff  $P_E \in \text{End}_{\mathbf{URep}(G)}((H, \pi))$ .

*Proof.*

- (  $\implies$  ) :

Suppose that  $E$  is  $(H, \pi)$ -invariant. Let  $g \in G$  and  $z \in H$ . Then there exists  $x \in E$  and  $y \in E^\perp$  such that  $z = x + y$ . Since  $E$  is  $(H, \pi)$  invariant,  $\pi(g)(x) \in E$ . Thus

$$\begin{aligned}\pi(g) \circ P_E(x) &= \pi(g)(x) \\ &= P_E \circ \pi(g)(x).\end{aligned}$$

Since  $E$  is  $(H, \pi)$ -invariant, **ref previous ex here** implies that  $E^\perp$  is  $(H, \pi)$ -invariant. Therefore  $\pi(g)(y) \in E^\perp$  and

$$\begin{aligned}\pi(g) \circ P_E(y) &= \pi(g)(0) \\ &= 0 \\ &= P_E \circ \pi(g)(y).\end{aligned}$$

Hence

$$\begin{aligned}\pi(g) \circ P_E(z) &= \pi(g) \circ P_E(x + y) \\ &= \pi(g) \circ P_E(x) + \pi(g) \circ P_E(y) \\ &= P_E \circ \pi(g)(x) + P_E \circ \pi(g)(y) \\ &= P_E \circ \pi(g)(x + y) \\ &= P_E \circ \pi(g)(z).\end{aligned}$$

Since  $z \in H$  is arbitrary, we have that  $\pi(g) \circ P_E = P_E \circ \pi(g)$ . Since  $g \in G$  is arbitrary,  $P_E \in \text{End}_{\mathbf{URep}(G)}(H, \pi)$ .

- (  $\impliedby$  ) :

Conversely, suppose that  $P_E \in \text{End}_{\mathbf{URep}(G)}((H, \pi))$ . Let  $g \in G$  and  $x \in E$ . Then

$$\begin{aligned}\pi(g)(x) &= \pi(g) \circ P_E(x) \\ &= P_E \circ \pi(g)(x) \\ &\in E.\end{aligned}$$

Since  $x \in E$  is arbitrary,  $\pi(g)(E) \subset E$ . Since  $g \in G$  is arbitrary,  $E$  is  $(H, \pi)$ -invariant.

□

## 2.2 Tannaka Duality

**Definition 2.2.0.1.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ . We define the **forgetful functor from  $\mathbf{URep}(G)$  to  $\mathbf{Hilb}$** , denoted  $U : \mathbf{URep}(G) \rightarrow \mathbf{Hilb}$ , by

- $U(H, \pi) = H, \quad (H, \pi) \in \text{Obj}(\mathbf{URep}(G))$
- $U(T) = T, \quad T \in \text{Hom}_{\mathbf{URep}(G)}((H_\pi, \pi), (H_\rho, \rho)).$

Need to find out if quotienting by equivalence of isomorphism makes  $\mathbf{URep}(G)$  a small category so that we can talk about the functor category  $\mathbf{Hilb}^{\mathbf{URep}(G)}$  containing the forgetful functor as an object.

**Definition 2.2.0.2.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $g \in G$ . We define  $\hat{g} : U \Rightarrow U$  by

$$\hat{g}_{(H, \pi)} = \pi(g)$$

**Exercise 2.2.0.3.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $g \in G$ . Then

1.  $\hat{g} : U \Rightarrow U$  is a natural transformation.
2.  $\hat{g} \in \text{Aut}_{\mathbf{Hilb}^{\mathbf{URep}(G)}}(U)$

*Proof.*

1. (a) Let  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$ . By definition,

$$\begin{aligned} \hat{g}_{(H, \pi)} &= \pi(g) \\ &\in U(H) \\ &\subset \text{Aut}_{\mathbf{Hilb}}(U(H, \pi)) \end{aligned}$$

- (b) Let  $(H_\pi, \pi), (H_\rho, \rho) \in \text{Obj}(\mathbf{URep}(G))$  and  $T \in \text{Hom}_{\mathbf{URep}(G)}((H_\pi, \pi), (H_\rho, \rho))$ . By definition,  $T \in \text{Hom}_{\mathbf{Hilb}}(H_\pi, H_\rho)$  and  $T$  is  $(\pi, \rho)$ -equivariant. Therefore

$$\begin{aligned} U(T) \circ \hat{g}_{(H_\pi, \pi)} &= T \circ \pi(g) \\ &= \rho(g) \circ T \\ &= \hat{g}_{(H_\rho, \rho)} \circ U(T) \end{aligned}$$

i.e. the following diagram commutes:

$$\begin{array}{ccc} U(H_\pi, \pi) & \xrightarrow{\hat{g}_{(H_\pi, \pi)}} & U(H_\pi, \pi) \\ U(T) \downarrow & & \downarrow U(T) \\ U(H_\rho, \rho) & \xrightarrow{\hat{g}_{(H_\rho, \rho)}} & U(H_\rho, \rho) \end{array} = \begin{array}{ccc} H_\pi & \xrightarrow{\pi(g)} & H_\pi \\ T \downarrow & & \downarrow T \\ H_\rho & \xrightarrow{\rho(g)} & H_\rho \end{array}$$

Thus  $\hat{g} : U \Rightarrow U$  is a natural transformation.

2. Set  $h = g^{-1}$ . Part (1) implies that  $\hat{g}, \hat{h} \in \text{End}_{\mathbf{Hilb}^{\mathbf{URep}(G)}}(U)$ . Let  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$ . Then

$$(\hat{g} \circ \hat{h})_{(H, \pi)} = \hat{g}_{(H, \pi)}$$

The previous part implies that

$$\begin{aligned} \hat{g} &\in \text{Hom}_{\mathbf{TopVect}_{\mathbf{C}}^{\mathbf{URep}(G)}}(U, U) \\ &= \text{End}_{\mathbf{TopVect}_{\mathbf{C}}^{\mathbf{URep}(G)}}(U) \end{aligned}$$

□

**Definition 2.2.0.4.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$  and  $(H, \pi) \in \text{Obj}(\mathbf{URep}(G))$ . We define the  $(H, \pi)$ -**projection**, denoted  $\pi_{(H, \pi)} : \text{End}_{\mathbf{TopVect}_{\mathbb{C}}^{\mathbf{URep}(G)}}(U) \rightarrow \text{End}_{\mathbf{TopVect}_{\mathbb{C}}}(V)$ , by  $\pi_{(H, \pi)}(\alpha) = \alpha_{(H, \pi)}$ . We define the **topology of endomorphisms of  $U$** , denoted  $\mathcal{T}_{\mathcal{E}(U)}$ , by

$$\mathcal{T}_{\mathcal{E}(U)} = \tau(\pi_{(H, \pi)} : (H, \pi) \in \mathbf{URep}(G))$$

**Definition 2.2.0.5.** [define addition of endomorphisms of  \$U\$  pointwise](#)

**Exercise 2.2.0.6.** Let  $G \in \text{Obj}(\mathbf{TopGrp})$ . Then  $(\text{Aut}_{\mathbf{TopVect}_{\mathbb{C}}^{\mathbf{URep}(G)}}(U), \mathcal{T}_{\mathcal{E}(U)})$  is a topological unital algebra.

*Proof.*

□



## Chapter 3

# Groupoids

**Definition 3.0.0.1.**





# Bibliography

- [1] [Introduction to Algebra](#)
- [2] [Introduction to Analysis](#)
- [3] [Introduction to Fourier Analysis](#)
- [4] [Introduction to Measure and Integration](#)