

Databases

Relational Algebra and Relational Calculus

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Database Languages

- Operations on the schema
 - DDL: data definition language
- Operations on data
 - DML: data manipulation language
 - Query instructions (to extract the data of interest)
 - ■Update instructions (to insert new data or modify current one)



Query Languages for Relational DBs

Declarative

They specify **what** are the results properties we want to obtain

Imperative/Procedural

They specify **how** the result is obtained



Query Languages

- Relational Algebra: procedural
- Relational Calculus: declarative (theoretical, not implemented)
- **SQL** (Structured Query Language): partially declarative (implemented)
- QBE (Query by Example): declarative (implemented)



Relational Algebra

- Defined by a set of **operators**
 - over the relations
 - ... that produce relations as a result
 - and can be compositional



Relational Algebra Operators

- ■union, intersection, difference
- rename
- select
- project
- **■**join
 - natural join
 - cartesian product
 - theta-join (θ-join)



Set Operators

- Relations are sets
- The results must also be sets
- Thus, we can use union, intersection, difference if the involved relations have the same schema



Union

GRADUATED		
Number	Name	Age
7274	Rossi	42
7432	Neri	54
9824	Verdi	45

TECHNICIANS			
Number Name Age			
9297	Neri	33	
7432	Neri	54	
9824	Verdi	45	

GRADUATED U TECHNICIANS

Number	Name	Age
7274	Rossi	42
7432	Neri	54
9824	Verdi	45
9297	Neri	33



Intersection

GRADUATED		
Number	Name	Age
7274	Rossi	42
7432	Neri	54
9824	Verdi	45

TECHNICIANS			
Number Name Age			
9297	Neri	33	
7432	Neri	54	
9824	Verdi	45	

GRADUATED ∩ TECHNICIANS

Number	Name	Age
7432	Neri	54
9824	Verdi	45



Difference

GRADUATED		
Number	Name	Age
7274	Rossi	42
7432	Neri	54
9824	Verdi	45

TECHNICIANS			
Number Name Age			
9297	Neri	33	
7432	Neri	54	
9824	Verdi	45	

GRADUATED - TECHNICIANS

Number	Name	Age
7274	Rossi	42



A Correct (but Impossible) Union

FATHERHOOD		
Father	Child	
Adam	Abel	
Adam	Cain	
Abraham	Isaac	

MOTHERHOOD		
Mother	Child	
Eve	Abel	
Eve	Seth	
Sarah	Isaac	

FATHERHOOD U MOTHERHOOD ??



Renaming

- Unary operator (only one argument)
- It produces a result that "changes the schema" while keeping the contained data unaltered



Renaming: Syntax & Semantics

■Syntax:

 $\rho_{NewName} \leftarrow OldName$ (RELATION)

■ Semantics:

Changes the name of the attribute from "OldName" to "NewName"



Renaming: an Example (1)

FATHERHOOD		
Father	Child	
Adam	Abel	
Adam	Cain	
Abraham	Isaac	

ρ _{Parent ← F}	ather (FATH	HERHOOD)
Parent	Child	
Adam	Abel	
Adam	Cain	
Abraham	Isaac	



Renaming: an Example (2)

FATHERHOOD		
Father Child		
Adam	Abel	
Adam	Cain	
Abraham	Isaac	

ρ _{Parent ← Fat}	her (FATHE	ERHOOD)
Parent	Child	
Adam	Abel	
Adam	Cain	
Abraham	Isaac	

MOTHERHOOD		
Mother Child		
Eve	Abel	
Eve	Seth	
Sarah	Isaac	

O _{Parent ← Mo}	other (MOT	HERHOOD
Parent	Child	
Eve	Abel	
Eve	Seth	
Sarah	Isaac	



Renaming: an Example (3)

$\rho_{\text{Parent}} \leftarrow \text{Father}$ (FATHERHOOD)

Parent	Child
Adam	Abel
Adam	Cain
Abraham	Isaac

ρ_{Parent ← Father} (FATHERHOOD) U

 $\rho_{\text{Parent}} \leftarrow \text{Mother}$ (MOTHERHOOD)

 $\rho_{\text{Parent}} \leftarrow \text{Mother}$ (MOTHERHOOD)

Parent	Child
Eve	Abel
Eve	Seth
Sarah	Isaac

Parent	Child
Adam	Abel
Adam	Cain
Abraham	Isaac
Eve	Abel
Eve	Seth
Sarah	Isaac



Renaming: Another Example

EMPLOYEE				
Surname Office Salary				
Rossi	Rome	55		
Neri	Milan	64		

WORKER			
Surname Factory Wage			
Bruni Paris		45	
Verdi	Berlin	55	

 $\rho_{\text{Pay} \leftarrow \text{Salary}} \text{ (EMPLOYEE) U} \\ \rho_{\text{Office, Pay} \leftarrow \text{Factory, Wage}} \text{ (WORKER)}$

Surname	Office	Pay
Rossi	Rome	55
Neri	Milan	64
Bruni	Paris	45
Verdi	Berlin	55



Selection

- Unary operator
- As a result:
 - The output has the same schema of the input
 - The output tuples are a subset of the input tuples
 - The output tuples satisfy a predicate



Selection: Syntax & Semantics

■Syntax:

$$\sigma_{predicate}$$
 (RELATION)

Predicate: its interpretation is a boolean expression over the relations' tuples

■ Semantics:

A subset of the relation that satisfy the given predicate



Selection: an Example (1)

EMPLOY			
Number	Surname	Office	Salary
7309	Rossi	Rome	55
5998	Neri	Milan	64
9553	Milan	Milan	44
5698	Neri	Naple	64

Return all the employees that:

- Earn more than 50
- Earn more than 50 and work in Milan
- Have the same surname as their city's office



Selection: an Example (2)

■ Employees earning more than 50

$$\sigma_{\text{Salary} > 50}$$
 (EMPLOYEE)

Number	Surname	Office	Salary
7309	Rossi	Rome	55
5998	Neri	Milan	64
5698	Neri	Naple	64



Selection: an Example (3)

■ Employees earning more than 50 and working in Milan

$$\sigma_{\text{Salary} > 50 \text{ AND Office} = 'Milan'}$$
 (EMPLOYEE)

Number	Surname	Office	Salary
5998	Neri	Milan	64



Selection: an Example (4)

■ Employees having the same surname as their city's office

$$\sigma_{\text{Surname = Office}}$$
 (EMPLOYEE)

Number	Surname	Office	Salary
9553	Milan	Milan	44



Projection

- Unary operator
- As a result:
 - the output's schema is a subset of the inputs' schema
 - the output is formed using all the input's tuples



Projection: Syntax & Semantics

■Syntax:

$$\pi_{AttributeList}$$
 (RELATION)

■ Semantics:

The results contains all the tuples in Relation, but only the attributes in AttributeList



Projection: an Example (1)

EMPLOYEE			
Number	Surname	Office	Salary
7309	Rossi	Rome	55
5998	Neri	Milan	64
9553	Milan	Milan	44
5698	Neri	Naple	64

For all the employees return

- Number and Surname
- Surname and Office



Projection: an Example (2)

■ Return the Employees' number and surname

$$\pi_{\text{Number, Surname}}$$
 (EMPLOYEE)

Number	Surname	
7309	Rossi	
5998	Neri	
9553	Milan	
5698	Neri	



Projection: an Example (3)

Surname and Office for all the Employees

$$\pi_{\text{Surname, Office}}$$
 (EMPLOYEE)

Surname	Office	
Rossi	Rome	
Neri	Milan	
Milan	Milan	
Neri	Naple	



Projections' Cardinality

- A projection's output
 - Contains at most the same number of tuples as the input's
 - Could contain fewer tuples: restricting to a subset of the attributes, some tuples could be repeated! Repeated tuples are discarded in relations
- If X is a superkey for R, then π_X (R) contains the same number of tuples as R

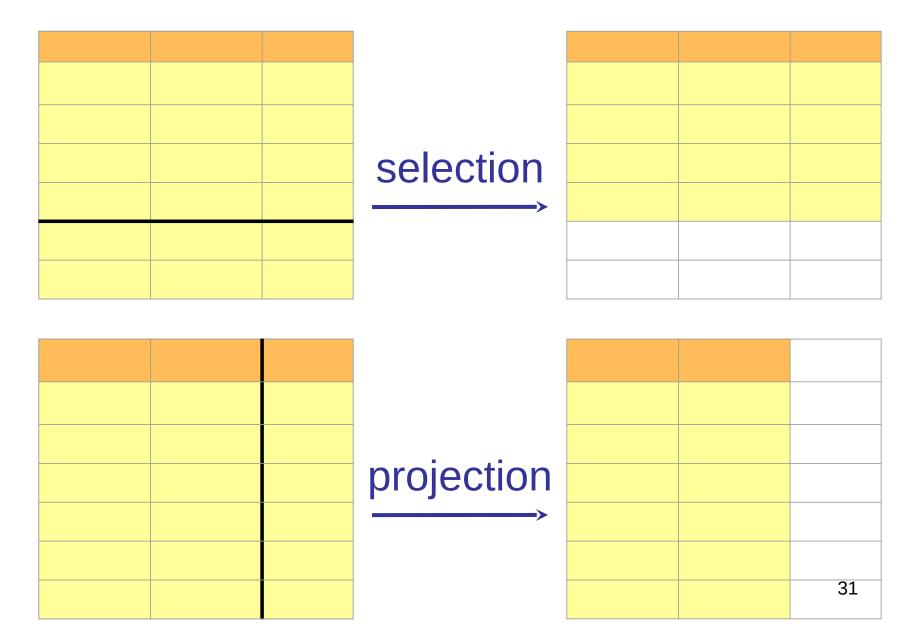


Selection and Projection (1)

- ■"Orthogonal" operators
 - Selections: horizontal decomposition
 - Projection: vertical decomposition



Selection and Projection (2)





Selection and Projection (3)

- By combining selection and projection, it is possible to extract only the interesting information from a relation
- Let's see an example



Selection and Projection (4)

■ Return the Number and the Surname of the employees' having a salary greater than 50

$$\pi_{\text{Number, Surname}}$$
 ($\sigma_{\text{Salary} > 50}$ (EMPLOYEE))

Number	Surname	
7309	Rossi	
5998	Neri	
5698	Neri	



Limits of the Combo: $\sigma + \pi$

- By using such operators we could only extract information from one single relation
- Hereby we can correlate neither tuples from different relations, nor different tuples from the same relation



Join

- The *join* is the most interesting operator of the relational algebra
- It allows the correlation between tuples in different relations



Exams During a Public Contest

- Each exam is anonymous and a closed envelope, containing the candidate's surname, is associated to it
- Each exam and its related envelope have the same ID



Data

Each exam is anonymous

	ļ
1	25
2	13
3	27
4	28

A closed envelope ...

A Glosca Cityclope III		
	↓	
1	Jan Johansson	
2	Jean B. Lully	
3	Johann S. Bach	
4	Giuseppe Verdi	

Jan Johansson	25
Jean B. Lully	13
Johann S. Bach	27
Giuseppe Verdi	28



Data with Schema

Id	Grade	
1	25	
2	13	
3	27	
4	28	

Id	Candidate	
1	Jan Johansson	
2	Jean B. Lully	
3	Johann S. Bach	
4	Giuseppe Verdi	

Id	Candidate	Grade
1	Jan Johansson	25
2	Jean B. Lully	13
3	Johann S. Bach	27
4	Giuseppe Verdi	28



Natural Join

- Binary operator (generalizable through associativity)
- Provides a result such that:
 - joins two tables based on same attribute name
 - its schema is the union of the attributes of the two relations' schema
 - each tuple is produced combining two tuples: one from each of the two relations



Join: Syntax & Semantics

Syntax: given $R_1(X_1)$, $R_2(X_2)$

$$R_1 \bowtie R_2$$

is a relation over X_1X_2

Semantics:

$$R_1 \bowtie R_2 = \{ t \text{ on } X_1 X_2 \mid \exists t_1 \in R_1 \text{ and } \exists t_2 \in R_2 \}$$

with $t[X_1] = t_1$ and $t[X_2] = t_2 \}$



Full Join

Employee	Dept
Rossi	Α
Neri	В
Bianchi	В



Dept	Chief
Α	Mori
В	Bruni

Employee	Dept	Chief
Rossi	А	Mori
Neri	В	Bruni
Bianchi	В	Bruni

■ Full join: each tuple contributes to the final result



A "Not Full" Join

Employee	Dept
Rossi	Α
Neri	В
Bianchi	В



Dept	Chief
В	Mori
С	Bruni

Employee	Dept	Chief
Neri	В	Mori
Bianchi	В	Mori



An "Empty" Join

Employee	Dept
Rossi	Α
Neri	В
Bianchi	В



Dept	Chief
D	Mori
С	Bruni

Employee Dept Chief



A Full Join Having *n*×*m* Tuples

Employee	Dept
Rossi	В
Neri	В



Dept	Chief	
В	Mori	
В	Bruni	

Employee	Dept	Chief
Rossi	В	Mori
Rossi	В	Bruni
Neri	В	Mori
Neri	В	Bruni



Size of a Join's Result

■ The result of the join between R_1 and R_2 has a number of tuples between zero and $|R_1| \times |R_2|$

$$0 \le |R_1 \bowtie R_2| \le |R_1| \times |R_2|$$

■ If the join involves a key from R_2 , then the number of the resulting tuples is within 0 and $|R_1|$

$$0 \le |R_1 \bowtie R_2| \le |R_1|$$

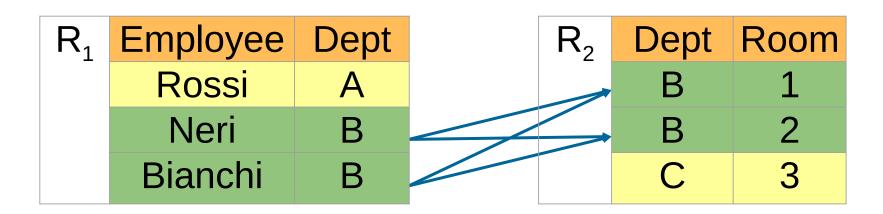
■ If the join involves a key from R_2 and a Referential Integrity Constraint, the number of the tuples is $|R_1|$

$$|R_1 \bowtie R_2| = |R_1|$$



Size of a Join's Result: Examples (1)

- \blacksquare $|R_1|$ and $|R_2|$ have a size of 3
- The size of the join between R_1 and R_2 is at most 9 (3 × 3)



$$|R_1 \bowtie R_2| = 4$$



Size of a Join's Result: Examples (2)

- The size of the join between R_1 and R_2 involves R_2 's key
- \blacksquare Hence its size is between 0 and $|R_1|$
- Since the key's value are unique, for each tuple of R_2 can match more tuples of R_1 and not vice versa

R_1	Employee	Dept	R_2	<u>Dept</u>	Chief
	Rossi	Α		В	Mori
	Neri	В		С	Bruni
	Bianchi	В			

$$|R_1 \bowtie R_2| \le |R1| = 2$$

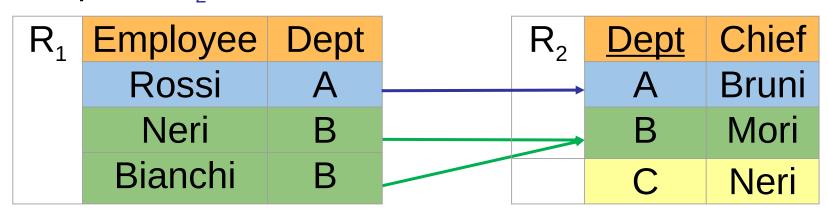


Size of a Join's Result: Examples (3)

■ If there exists a referential integrity constraint between Dept (foreign key in R₁) and Dept (key in R₂):

$$|R_1 \bowtie R_2| = |R_1|$$

each tuple in R_1 is associated to at least one tuple in R_2



$$|R_1 \bowtie R_2| = |R1| = 3$$



Join: Critical Issues

Employee	Dept
Rossi	Α
Neri	В
Bianchi	В



Dept	Chief
В	Mori
С	Bruni

Employee	Dept	Chief
Neri	В	Mori
Bianchi	В	Mori

Some tuples do not contribute to the final result: they are "left out"



Outer Join

- The outer join fills with NULL values the tuples that are normally discarded from an "inner" join
- There are three types of "outer joins":
 - left outer join
 - **■** right outer join
 - full outer join



Outer Join: Syntax & Semantics

■ left outer join (⋈)

keeps all the tuples from the first operand, even if there are no right operand matching tuples. The latter are replaced by NULL values

■ right outer join (⋈)

 $A \bowtie B$ is defined as $B \bowtie A$

■ full outer join (≥<

is defined as the union of the former operands



Left Outer Join

EMPLOYEE	
Employee	Dept
Rossi	Α
Neri	В
Bianchi	В



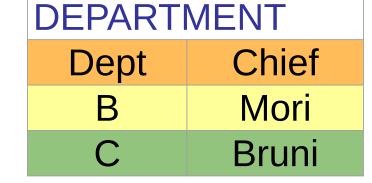
DEPARTMENT		
Dept Chief		
В	Mori	
C Bruni		

EMPLOYEE ⋈ DEPARTMENT			
Employee Dept Chief			
Neri	В	Mori	
Bianchi	В	Mori	
Rossi	Α	NULL	



Right Outer Join

EMPLOYEE	
Employee	Dept
Rossi	Α
Neri	В
Bianchi	В



EMPLOYEE ⋈ DEPARTMENT			
Employee Dept Chief			
Neri	В	Mori	
Bianchi B Mori			
NULL	С	Bruni	

X



Full Outer Join

EMPLOYEE	
Employee	Dept
Rossi	Α
Neri	В
Bianchi	В

DEPARTMENT			
Dept Chief			
B Mori			
C Bruni			

EMPLOYEE ⋈ DEPARTMENT			
Employee	Dept	Chief	
Neri	В	Mori	
Bianchi	В	Mori	
Rossi	Α	NULL	
NULL	С	Bruni	



Cartesian Product (1)

EMPLOYEE	
Employee	Dept
Rossi	Α
Neri	В
Bianchi	В

DEPARTMENT			
Code Chief			
A Mori			
B Bruni			

EMPLOYEE M DEPARTMENT				
Employee	Dept Code Chie			
Rossi	A	Α	Mori	
Rossi	ssi A B Bruni			
Neri	В	Α	Mori	
Neri B B Bruni				
Bianchi B A Mori				
Bianchi	В	В	Bruni	



Cartesian Product (2)

- The result's size is the product of the operands' size
- In practice, cartesian product is useful only if followed by a selection:

 $\sigma_{condition}$ (R1 \bowtie R2)



Theta-join: Why?

■ The cartesian product assumes a specific meaning when it is followed by a selection operation:

$$\sigma_{condition} (R_1 \bowtie R_2)$$

Such operation is defined as theta-join (θ-join)

$$R_1 \bowtie_{condition} R_2$$



Theta-join: Condition

The predicate condition is usually defined as a conjunction (AND) of atoms expressing binary relations

$$A_1 \mathcal{R} A_2$$

where \mathcal{R} is a comparison operator (=, >, <, ...)



Equi-join

Note that the equi-join is used much more often than the most general theta-join: it allows to specify on which attribute to join without using rename operations



Equi-join: an Example (1)

EMPLOYEE	
Employee	Dept
Rossi	Α
Neri	В
Bianchi	В

DEPARTMENT		
Code Chief		
A Mori		
B Bruni		

EMPLOYEE ⋈ _{Dept=Code} DEPARTMENT			
Employee	Dept	Code	Chief
Rossi	Α	Α	Mori
Neri	В	В	Bruni
Bianchi	В	В	Bruni



Equi-join: an Example (2)

■ Please Note: The equi-join provides a similar result as the join between EMPLOYEE and DEPARTMENT where "Code" is renamed as "Dept"

EMPLOYEE $\bowtie \rho_{Dept} \leftarrow Code$ (DEPARTMENT)				
Employee Dept Chief				
Rossi	А	Mori		
Neri	B Bruni			
Bianchi	В	Bruni		



Natural Join and Equi-join

■ After renaming we obtain the two following schemas, which can be the joined with natural join. We then proceed to selection and projection

EMPLOYEE	
Employee	Dept



EMPLOYEE ⋈ DEPARTMENT

```
\pi_{\text{Employee,Dept,Chief}}( \sigma_{\text{Dept=Code}}(\text{ EMPLOYEE }\bowtie \text{ }\rho_{\text{Code} \leftarrow \text{Dept}}\text{ (DEPARTMENT)))})
```



Examples

EMPLOYEE	<u>Number</u>	Name	Age	Wage
	7309	Rossi	34	45
	5998	Bianchi	37	38
	9553	Neri	42	35
	5698	Bruni	43	42
	4076	Mori	45	50
	8123	Lupi	46	60

SUPERVISOR	Employee	Chief
	7309	5698
	5998	5698
	9553	4076
	5698	4076
	4076	8123



Relational Algebra: Examples (1)

■ Return the employees' number, name, age and wage earning more than 40

σ_{Wage>40} (EMPLOYEE)



Relational Algebra: Examples (2)

■Return the employees' number, name and age earning more than 40

$$\pi_{\text{Number,Name,Age}}$$
 ($\sigma_{\text{Wage>40}}$ (EMPLOYEE))



Relational Algebra: Examples (3)

■ Return the chiefs whose employees earn more than 40

$$\pi_{\text{Chief}}$$
 (SUPERVISOR $\bowtie_{\text{Employee=Number}}$

$$(\sigma_{\text{Wage}>40} \text{ (EMPLOYEE)))}$$



Relational Algebra: Examples (4)

Return the chief's name and wage having employees earning more than 40

```
\pi_{\text{Name,Wage}} \text{ (EMPLOYEE} \bowtie_{\text{Number=Chief}} \\ \pi_{\text{Chief}} \text{ (SUPERVISOR} \bowtie_{\text{Employee=Number}} \\ \sigma_{\text{Wage>40}} \text{ (EMPLOYEE)} \\ \text{)}
```



Relational Algebra: Examples (5)

Return the employees having a wage greater than their chief's, along with number, name and wage of both the employee and his chief

```
Π<sub>Number,Name,Wage,NumC,NameC,WageC</sub> (
    σ<sub>Wage>WageC</sub>(
          \rho_{\text{NumC,NameC,WageC,AgeC}} \leftarrow \text{(EMPLOYEE)} \bowtie_{\text{NumC=Chief}}
           Number, Name, Wage, Age
                    (SUPERVISOR ⋈<sub>Employee=Number</sub> EMPLOYEE)
                                                                                     68
```



Relational Algebra: Examples (6)

■ Return the chiefs' number having all their employees earning more than 40

```
\pi_{\text{Chief}} \left( \text{SUPERVISOR} \right) - \\ \pi_{\text{Chief}} \left( \text{SUPERVISOR} \right) \\ \bowtie_{\text{Employee=Number}} \\ \sigma_{\text{Wage} \leq 40} \left( \text{EMPLOYEE} \right) \\ )
```



Equivalent Relational Algebra Expressions

- Two Relational Algebra expressions E_1 and E_2 are **equivalent** if they provide the same result independently from the database's state, but may depend on the schema
- Equivalence rules are very important because modern DBMSs try to rewrite the queries into **equivalent but more efficient** expressions (i.e., less time consuming)



Equivalent Expressions (1)

1. Combining a cascade of selections:

$$\sigma_{C1 \text{ AND } C2}(R) = \sigma_{C1}(\sigma_{C2}(R))$$

2. Projection is idempotent (*X* and *Y* belong to R's schema)

$$\pi_{X}(R) = \pi_{X}(\pi_{XY}(R))$$

3. Projection vs selection order

$$\pi_{XY}(\sigma_X(R)) = \sigma_X(\pi_{XY}(R))$$



Equivalent Expressions (2)

4. Push selections down

$$\sigma_{c}(R_{1} \bowtie R_{2}) = R_{1} \bowtie (\sigma_{c}(R_{2}))$$

- C involves attributes that belong to R2's schema
- It drastically reduces the intermediate size of the result (therefore also the operation cost)



Equivalent Expressions (3)

5. Pushing projections down

$$\pi_{X1Y2}(R_1 \bowtie R_2) = R_1 \bowtie \pi_{Y2}(R_2)$$

- \blacksquare R1 has schema X1, R2 has schema X2
- If $Y2 \subseteq (X1 \cap X2)$, the attributes in X2 Y2 are not involved in the join, and hence the equivalence is valid



Equivalent Expressions (4)

6. Using the theta-join definition

$$\sigma_c(R_1 \bowtie R_2) = R_1 \bowtie_c R_2$$

example ...

```
\pi_{\text{Chief}}\left(\sigma_{\text{Age}<30\text{ AND Number=Employee}}\left(\text{EMPLOYEE }\boxtimes\text{SUPERVISOR}\right)\right) = \\ \pi_{\text{Chief}}\left(\sigma_{\text{Number=Employee}}\left(\sigma_{\text{Age}<30}\left(\text{EMPLOYEE }\boxtimes\text{SUPERVISOR}\right)\right)\right) = \\ \pi_{\text{Chief}}\left(\sigma_{\text{Age}<30}\left(\text{EMPLOYEE}\right)\boxtimes_{\text{Number=Employee}}\text{SUPERVISOR}\right) = \\ \pi_{\text{Chief}}\left(\sigma_{\text{Age}<30}\left(\text{EMPLOYEE}\right)\boxtimes_{\text{Age}}\right) = \\ \pi_{\text{Chief}}\left(\sigma_{\text{Age}<30}\left(\text{EMPLOYEE}\right)\square_
```

 π_{Chief} (π_{Number} ($\sigma_{\text{Age}<30}$ (EMPLOYEE)) $\bowtie_{\text{Number}=\text{Employee}}$ SUPERVISOR)



Equivalent Expressions (5)

7.
$$\sigma_C(R_1 \cup R_2) = \sigma_C(R_1) \cup \sigma_C(R_2)$$

8.
$$\sigma_C (R_1 - R_2) = \sigma_C (R_1) - \sigma_C (R_2)$$

9.
$$\pi_X (R_1 \cup R_2) = \pi_X (R_1) \cup \pi_X (R_2)$$

- Some distributive properties
- Please note projection is not distributive over difference



Equivalent Expressions (6)

$$10.\sigma_{C1 \text{ OR } C2} (R) = \sigma_{C1} (R) \cup \sigma_{C2} (R)$$

$$11.\sigma_{C1 \text{ AND } C2} (R) = \sigma_{C1} (R) \cap \sigma_{C2} (R)$$

$$12.\sigma_{C1\,AND\,\neg C2}\,(R) = \sigma_{C1}\,(R) - \sigma_{C2}\,(R)$$

Some properties about sets and selection



Equivalent Expressions (7)

13. Distributive property of join with respect to union

$$R_1 \bowtie (R_2 \cup R_3) = (R_1 \bowtie R_2) \cup (R_1 \bowtie R_3)$$

 Moreover, the associative and commutative property applies to all binary operators except difference



Please Note

- You don't have to learn to write efficient relational algebra queries in this course
 - it's better having correct and clear answers
- That's because modern DBMSs already do that work for you



Selection with NULL Values

$$\sigma_{Age>40}$$
 (PEOPLE)

PEOPLE			
<u>Number</u>	Surname	Agency	Age
7309	Rossi	Rome	32
5998	Neri	Milan	45
9553	Bruni	Milan	NULL

■ No NULL value satisfies this specific atomic condition



An Undesirable Result

$$\sigma_{Age>30}$$
 (PEOPLE) U $\sigma_{Age\leq30}$ (PEOPLE) \neq PEOPLE

Selections are evaluated separately

$$\sigma_{Age>30 \text{ OR Age} \leq 30}$$
 (PEOPLE) \neq PEOPLE

Atomic conditions are evaluated separately



NULL Valued Selections: a Solution

- The following atomic condition is satisfied only by non-NULL values $\sigma_{Aqe>40}$ (PEOPLE)
- Specific atomic statements are used for referring to NULL values

IS NULL IS NOT NULL

■ We could even define a three-valued logic (based upon true, false, unknown), but it is not necessary



NULL Valued Selections (1)

Therefore ...

```
\sigma_{Age>30} (PEOPLE) U
            \sigma_{\text{Age}\leq 30} (PEOPLE) U
           σ<sub>Age IS NULL</sub> (PEOPLE)
σ<sub>Age>30 OR Age≤30 OR Age IS NULL</sub> (PEOPLE)
```



NULL Valued Selections (2)

$$\sigma_{\text{(Age > 40) OR (Age IS NULL)}}$$
 (PEOPLE)

PEOPLE			
<u>Number</u>	Surname	Agency	Age
7309	Rossi	Rome	32
5998	Neri	Milan	45
9553	Bruni	Milan	NULL

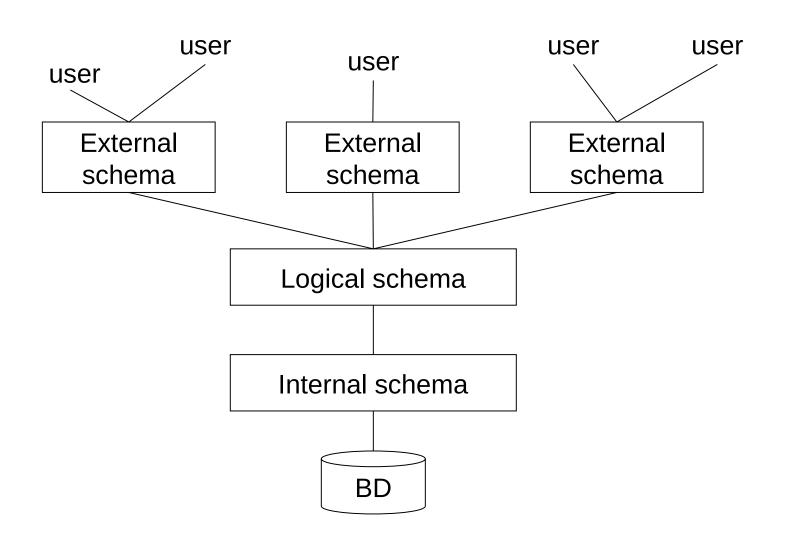


Views

- Different representations for the same data
 - Derived tables: such relations are created from queries
 - Base tables: original content
- Derived relations could be formed from other derived relations, but ...



Standard Three-tiered ANSI/SPARC Architecture





Views: an Example

AFFILIATION	
Employee	Dept
Rossi	Α
Neri	В
Bianchi	В

MANAGEMENT		
Dept Chief		
Α	Mori	
B Bruni		

■ A view:

 $\mathsf{SUPERVISOR} := \pi_{\mathsf{Employee},\mathsf{Chief}} \text{ (AFFILIATION \bowtie MANAGEMENT)}$



Using Views

- Views could be used in two fashions:
 - Materialized views
 - **Virtual relations (or views)**



View Materialization

- A temporary or permanent view table is stored physically in the database
 - Pros:
 - Data is promptly available for further queries
 - Cons:
 - Data redundancy
 - Updates are slowed down
 - DBMS rarely support them



Virtual Relations (1)

- **Virtual relations (or views)**
 - All the DBMS support them
 - The view query is transformed into a query on the underlying database



Virtual Relations (2)

■ The view's name is replaced by its associated query

σ_{Chief='Mori'} (SUPERVISOR)

Is run as:

 $\sigma_{\text{Chief='Mori'}}$ ($\pi_{\text{Employee, Chief}}$ (AFFILIATION \bowtie MANAGEMENT))



Views: Reasons (1)

- External schema: each user cannot only see
 - both what (s)he is interested on and in the way (s)he likes it, with no further distractions
 - what (s)he is allowed to see



Views: Reasons (2)

- Programming tool:
 - We could simplify the writing of complex queries when sub-expressions are repeated
- Using already-existent software over refactored schemas
- **■**But ...
 - Views do not affect queries' efficiency!



Views as a Programming Tool

- Return the employees having Jones's Chief
 - Without views:

 $\rho_{\text{EmpR}} \leftarrow \text{Employee} \left(\sigma_{\text{Employee='Jones'}} \left(\text{SUPERVISOR} \right) \right)$



Updating Views

AFFILIATION	
Employee	Dept
Rossi	Α
Neri	В
Verdi	Α

MANAGEMENT		
Dept	Chief	
Α	Mori	
В	Bruni	
С	Bruni	

SUPERVISOR		
Employee	Dept	
Rossi	Mori	
Neri	Bruni	
Verdi	Mori	

How could we update the data such that Bruni is Lupi's chief and that Falchi is Belli's chief?

94



Incremental Updates

- To "update a view" means to **change the base tables** such that the updated view reflects the update
- To each update over the view must correspond to the one over the tables
 - Such update could be not unambiguous!
 - Only a few possible updates are allowed on views



An Alternative Notation for Join

- Please note: such approach is usually adopted for SQL implementations
- We ignore the *Natural Join*: we do not implicitly assume conditions over attributes with the same name
- We disambiguate the attributes with the same name over different relations using the *RELATION.Attribute* syntax
- We give relations a new name by creating views, and we rename attributes only when it's needed for the union operation

96



An Example

EMPLOYEE	<u>Number</u>	Name	Age	Wage
	7309	Rossi	34	45
	5998	Bianchi	37	38
	9553	Neri	42	35
	5698	Bruni	43	42
	4076	Mori	45	50
	8123	Lupi	46	60

SUPERVISOR	Employee	Chief
	7309	5698
	5998	5698
	9553	4076
	5698	4076
	4076	8123



Conventions and Notations (1)

■ Find the employees earning more than their chiefs, showing the number, name and wage of both employee and chief

```
T<sub>Number,Name,Wage,NumC,NameC,WageC</sub> (

σ<sub>Wage>WageC</sub> (

β<sub>NumC,NameC,AgeC,WageC</sub> ← Number,Name,Age,Wage (EMPLOYEE Number,Name,Age,Wage)</sub> (EMPLOYEE NumC=Chief NumC=Chief NumC=Chief NumC=Chief NumC=Chief Employee=Number EMPLOYEE)
```



Conventions and Notations (2)

Assign Employee to Chief (view)

```
CHIEF := EMPLOYEE
```

■ We use relations' name as prefix to differentiate between shared attributes, for example

EMPLOYEE.Wage and CHIEF.Wage

```
π<sub>EMPLOYEE.Number,EMPLOYEE.Name,EMPLOYEE.Wage</sub>, (
CHIEF.Number,CHIEF.Name,CHIEF.Wage

σ<sub>EMPLOYEE.Wage</sub>>CHIEF.Wage (CHIEF ⋈<sub>CHIEF.Number=Chief</sub>

(SUPERVISOR ⋈<sub>Employee=EMPLOYEE.Number</sub> EMPLOYEE)
)

99
```



Relational Calculi

- A family of declarative languages based on First Order Logic
- Two different definitions:
 - **Domain Relational Calculus**
 - Tuple Relational Calculus with Range Declarations



Domain Relational Calculus

Syntax: an expression is in the form

$$\{A_1: X_1, ..., A_k: X_k \mid f\}$$

- \blacksquare A₁: X_1 , ..., A_k: X_k target list:
 - \blacksquare A₁, ..., A_k different attributes (can be in different databases)
 - $\blacksquare x_1, ..., x_k$ different variables
- \blacksquare f is a formula (with boolean operators and quantifiers)
- Semantics: the result is a relation over $A_1, ..., A_k$ containing tuples of values for $x_1, ..., x_k$ satisfying the formula *f* 101



Comments

- Differences with Predicate Logic (for those who know it):
 - "predicate" symbols:
 - represent relations within the database
 - "standard" built-in predicates (=, >, ...)
 - There are no function symbols
 - The most interesting are "unbounded" predicates
 - Non-positional notation



Example

EMPLOYEE(<u>Number</u>, Name, Age, Wage)
SUPERVISOR(Chief, <u>Employee</u>)



Example (0a)

■ Return the employees' number, name, age and salary earning more than 40

```
\sigma_{\text{Wage}>40} (EMPLOYEE)
```

```
{ Number: m, Name: n, Age: a, Wage: w | EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) \land w > 40 }
```



Example (0b)

Return the employees' number, name and age for all

```
\pi_{\text{Number,Name,Age}} (EMPLOYEE)
```

```
{ Number: m, Name: n, Age: a |
∃w (EMPLOYEE(Number: m, Name: n, Age: a,
Wage: w) }

{ Number: m, Name: n, Age: a |
EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) }
```



Example (1)

■ Return the employees' number, name and age earning more than 40

```
\pi_{\text{Number,Name,Age}} (\sigma_{\text{Wage>40}} (EMPLOYEE))
```

```
{ Number: m, Name: n, Age: a | EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) \land w > 40 }
```



Example (2)

■ Return the number identifying the employees' chiefs earning more than 40

```
\pi_{\text{Chief}} (SUPERVISOR \bowtie_{\text{Employee=Number}} \sigma_{\text{Wage>40}} (EMPLOYEE))
```

```
{ Chief: c \mid SUPERVISOR(Chief: c, Employee: e) \land EMPLOYEE(Number: e, Name: n, Age: a, Wage: w) <math>\land w > 40 }
```



Example (3)

■ Return the chiefs' name and salary having employees earning more than 40

```
\pi_{\text{NameC,WageC}}\left(\rho_{\text{NumC,NameC,WageC,AgeC}\leftarrow\text{Number,Name,Wage,Age}}\left(\text{EMPLOYEE}\right)\right. \bowtie_{\text{NumC=Chief}}\left(\text{SUPERVISOR}\bowtie_{\text{Employee=Number}}\left(\sigma_{\text{Wage>40}}\left(\text{EMPLOYEE}\right)\right)\right)\right)
```

```
{ NameC: nc, WageC: wc | EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) \land w > 40 \land SUPERVISOR(Chief: <math>c, Employee: m) \land EMPLOYEE(Number: c, Name: nc, Age: ac, Wage: wc) }
```



Example (4)

■ Return the employees earning more money than their boss; for both such employees and chiefs return the number, name and salary

```
\pi_{\text{Number,Name,Wage,NumC,NameC,WageC}} (\sigma_{\text{Wage>WageC}})
             \rho_{\text{NumC},\text{NameC},\text{WageC},\text{AgeC}} \leftarrow \text{Number}, \text{Name}, \text{Wage}, \text{Age} \left( \text{EMPLOYEE} \right)
                                        NumC=Chief
                  (SUPERVISOR ⋈<sub>Employee=Number</sub> EMPLOYEE)))
{ Number: m, Name: n, Wage: w, NumC: c, NameC: nc, WageC: wc |
        EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) \land
                  SUPERVISOR(Chief: c, Employee: m) ^
 EMPLOYEE(Number: c, Name: nc, Age: ac, Wage: wc) \land w > sc }
                                                                                   109
```



Example (5)

■ Return the chiefs' number and name having all employees earning more than 40

```
\pi_{\text{Number,Name}} (EMPLOYEE \bowtie_{\text{Number=Chief}}
                                    (\pi_{Chief} (SUPERVISOR) -
    \pi_{\text{Chief}} (SUPERVISOR \bowtie_{\text{Employee=Number}} \sigma_{\text{Wage} \leq 40} (EMPLOYEE))))
                                     { Number: c, Name: n |
             EMPLOYEE(Number: c, Name: n, Age: a, Wage: w) \land
                       SUPERVISOR(Chief: c, Employee: m) \land
\neg \exists m'(\exists n'(\exists a'(\exists w'(EMPLOYEE(Number: m', Name: n', Age: a', Wage: w')) \land \neg \exists m'(\exists n'(\exists a'(\exists w'(EMPLOYEE(Number: m', Name: n', Age: a', Wage: w')))
              w' \le 40 \land SUPERVISOR(Chief: c, Employee: m'))))) }
```

STOPORUM

Recap

■ De Morgan rules:

$$\blacksquare \neg (A \land B) = (\neg A) \lor (\neg B)$$

Moreover:

$$\blacksquare \neg \forall x A = \exists x \neg A$$

$$A = A \times A = A \times A$$

$$\blacksquare$$
 $\forall x A = \neg \exists x \neg A$

$$\blacksquare$$
 $\exists x A = \neg \forall x \neg A$

Bonus:

$$\blacksquare \neg A \lor B \rightarrow \text{if A then B}$$



Which Quantifier Shall We Use?

Existential or universal? They are interchangeable by De Morgan

```
{ Number: c, Name: n |
            EMPLOYEE(Number: c, Name: n, Age: a, Wage: w) \land
                    SUPERVISOR(Chief: c, Employee: m) \( \Lambda \)
\neg \exists m'(\exists n'(\exists a'(\exists w'(EMPLOYEE(Number: m', Name: n', Age: a', Wage: w')) \land
            SUPERVISOR(Chief: c, Employee: m') \land w' \le 40))))
                               { Number: c, Name: n |
            EMPLOYEE(Number: c, Name: n, Age: a, Wage: w) \land
                    SUPERVISOR(Chief: c, Employee: m) \land
\forall m'(\forall n'(\forall a'(\forall w'(\neg \mathsf{EMPLOYEE}(\mathsf{Number}: m', \mathsf{Name}: n', \mathsf{Age}: a', \mathsf{Wage}: w') \land
            SUPERVISOR(Chief: c, Employee: m') \vee w' > 40)))) }
                                                                                   112
```



On Domain Relational Calculi

- Pros:
 - Declarative
- Cons:
 - "Verbose" so many variables!
 - Meaningless expressions:

```
{ A: x \mid \neg R(A: x) }
{ A: x, B: y \mid R(A: x) }
{ A: x, B: y \mid R(A: x) \land y=y }
```

- such expressions are domain dependant and we shall avoid them
- we cannot state such statements in relational algebra because it is domain independent



Domain Calculus vs. Algebra

- Domain Relational Calculus (DRC) and Relational Algebra (RA) are "equivalent"
 - For each domain independent DRC expression, there exists an equivalent RA expression.
 - For each RA expression there exists an equivalent domain independent DRC expression



Tuples Calculus with Range Declarations

- To overcome the domain calculus limitations:
 - We must reduce the number of variables. A good way to do so: we restrict the variables to the tuples, one variable for each tuple
 - All the data values must come from the database
- The tuples calculus with range declarations satisfies both needs



Tuples Calculus with Range Declarations Syntax

■ The expressions have the following syntax:

```
{ TargetList | RangeList | Formula }
```

- TargetList has elements like Y: x.Z (or x.Z or even x.*)
- RangeList shows the free variables in Formula specifying from which relation they come from
- Formula has
 - Comparison atoms $x.A \mathcal{R} c$, $x.A \mathcal{R} y.B$
 - Logical connectives
 - Quantifiers associating a range over the variables

$$\exists x(R)(f) \qquad \forall x(R)(f)$$



Example (0a)

■ Return the employees' number, name, age and salary earning more than 40

 $\sigma_{\text{Wage}>40}$ (EMPLOYEE)

```
{ Number: m, Name: n, Age: a, Wage: w |
```

EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) $\land w > 40$ }



 $\{e.* \mid e(EMPLOYEE) \mid e.Wage > 40\}$





Example (0b)

Return the employees' number, name and age for all

```
\pi_{\text{Number,Name,Age}} (EMPLOYEE)
```

```
{ Number: m, Name: n, Age: a | EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) }
```

{ e.(Number, Name, Age) | e(EMPLOYEE) | }



Example (1)

■ Return the employees' number, name and age earning more than 40

```
\pi_{\text{Number,Name,Age}} (\sigma_{\text{Wage>40}} (EMPLOYEE))
```

```
{ Number: m, Name: n, Age: a | EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) \land w > 40 }
```

 $\{e.(Number,Name,Age) \mid e(EMPLOYEE) \mid e.Wage > 40\}$



Example (2)

■ Return the number identifying the employees' chiefs earning more than 40

```
{ Chief: c \mid SUPERVISOR(Chief: c, Employee: e) \land EMPLOYEE(Number: e, Name: n, Age: a, Wage: w) <math>\land w > 40 }
```

```
{ s.Chief | e(EMPLOYEE), s(SUPERVISOR) | e.Number=s.Employee \land e.Wage > 40 }
```



Example (3)

Return the chiefs' name and salary having employees earning more than 40

```
{ NameC: nc, WageC: wc |
EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) \( \lambda \) \
```



Example (4)

Return the employees earning more money than their boss; for both such employees and chiefs return the number, name and salary

```
{ Number: m, Name: n, Wage: w, NumC: c, NameC: nc, WageC: wc | EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) \land SUPERVISOR(Chief: c, Employee: m) \land EMPLOYEE(Number: c, Name: nc, Age: ac, Wage: wc) \land w > wc} { e.(Name,Number,Wage), NameC,NumC,WageC: e.(Name,Number,Wage) | e'(EMPLOYEE), s(SUPERVISOR), e(EMPLOYEE) | e'.Number=s.Chief \land s.Employee=e.Number \land e.Wage > e'.Wage }
```



Example (5)

Return the chiefs' number and name having all employees earning more than 40

```
{ Number: c, Name: n |
          EMPLOYEE(Number: c, Name: n, Age: a, Wage: w) \wedge
                   SUPERVISOR(Chief: c, Employee: m) \( \Lambda \)
\neg \exists m'(\exists n'(\exists a'(\exists w'(EMPLOYEE(Number: m', Name: n', Age: a', Wage: w')) \land
           SUPERVISOR(Chief: c, Employee: m') \land w' \le 40))))
        { e.(Number, Name) | s(SUPERVISOR), e(EMPLOYEE) |
     s.Chief=e.Number \land \neg (\exists e'(\mathsf{EMPLOYEE})(\exists s'(\mathsf{SUPERVISOR})))
   (s.Chief=s'.Chief \land s'.Employee=e'.Number \land e'.Wage \leq 40))) }
```



Remark

Such calculus cannot express some important queries, such as unions:

$$R_1(AB) \cup R_2(AB)$$

- How could I express it through ranges? Is it possible with either one variable or two?
- On the other hand, we can express intersection and difference
- For such reasons SQL (using this calculus) has an explicit union operator, while the intersection and difference operators do not appear in all SQL instances

124



Calculus and Relational Algebra: Limits

- Both languages are basically equivalent: we can express a meaningful set of tuple operations with them
- Some queries, potentially useful, cannot be expressed:
 - We can only extract values, we cannot compute new values from them
 - Some interesting computations:
 - over each tuple (e.g., conversions, sums, differences, etc.)
 - over a set of tuples (e.g., summation, average, etc.)
 - ➤ Such extensions are adopted in SQL, we will see them
 - Recursive queries, such as the **transitive closure**



Transitive Closure (1)

- The **transitive closure** R⁺ of a binary relation R on a set X is the smallest relation on X that contains R and is transitive
- \blacksquare Given R a relation on A \times A, the transitive closure is the relation R⁺ such that

R⁺ = {
$$\langle x, y \rangle | \exists y_1, ..., y_n \in A$$
,
 $n \ge 2, y_1 = x, y_n = y$,
 $\langle y_i, y_{i+1} \rangle \in R, i=1, ..., n-1$ }

■ If X is a set of airports and x R y means "there is a direct flight from airport x to airport y", the transitive closure $x R^+ y$ means "it is possible to fly from x to y in one or more flights"

126



Transitive Closure (2)

SUPERVISOR(Employee, Chief)

■ For each employee, return all its superiors (e.g., its chief, the chief of its chief, and so on)

Employee	Chief
Rossi	Lupi
Neri	Bruni
Lupi	Falchi

Employee	Superior
Rossi	Lupi
Neri	Bruni
Lupi	Falchi
Rossi	Falchi



Transitive Closure: How?

We could use both joins with renaming in order to express such relations

But:

Employee	Chief
Rossi	Lupi
Neri	Bruni
Lupi	Falchi
Falchi	Leoni

Employee	Superior
Rossi	Lupi
Neri	Bruni
Lupi	Falchi
Falchi	Leoni
Rossi	Falchi
Lupi	Leoni
Rossi	Leoni



Transitive Closures are Impossible

- In standard relational algebra we cannot express the transitive closure for each binary relation
- ■In such languages, in order to express the transitive closure, we have each time to recreate a different expression:
 - How many join we would need?
 - There is no limit on the number of joins that are required!



Datalog

- A database oriented logical programming language, which ancestor is Prolog
- It uses two different types of predicates:
 - extensional: database's relations
 - intensional: correspond to "views"
- Intensional predicates are defined through rules



Datalog: Syntax

■ Rules:

head ← body

- head is an atomic predicate (intensional)
- body is a list (conjunction) of atomic predicates
- Queries are specified by atomic predicates with a ? prefix

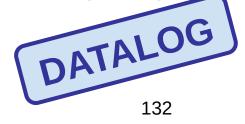


Preliminary Example

Return the employees' number, name, age and salary being 30 years old

```
{ Number: m, Name: n, Age: a, Wage: w | EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) \land a = 30 }
```

? EMPLOYEE(Number: m, Name: n, Age: 30, Wage: w)





Example (0a)

Return the employees' number, name, age and salary earning more than 40

```
{ Number: m, Name: n, Age: a, Wage: w | EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) \land w > 40 }
```

We need an intensional predicate

```
RICHER(Number: m, Name: n, Age: a, Wage: w) \leftarrow EMPLOYEE(Number: m, Name: n, Age: a, Wage: w), w > 40
```

? RICHER(Number: m, Name: n, Age: a, Wage: w)



Example (0b)

■ Return the employees' number, name and age for all

```
\pi_{\text{Number},\text{Name},\text{Age}} \text{ (EMPLOYEE)}
```

```
{ Number: m, Name: n, Age: a | EMPLOYEE(Number: m, Name: n, Age: a, Wage: w) }
```

```
PUBINFO(Number: m, Name: n, Age: a) \leftarrow EMPLOYEE(Number: m, Name: n, Age: a, Wage: w)
```

? PUBINFO(Number: m, Name: n, Age: a)



Example (2)

Return the number identifying the employees' chiefs earning more than 40

```
{ Chief: c | SUPERVISOR(Chief: c, Employee: e) \( \Lambda \)
EMPLOYEE(Number: e, Name: n, Age: a, Wage: w) A
                       W > 40
```

```
CHIEFSOFRICHERS(Chief: c) ←
EMPLOYEE(Number: m, Name: n, Age: a, Wage: w),
  w > 40, SUPERVISOR(Chief: c, Employee: m)
        ? CHIEFSOFRICHERS(Chief: c)
```



Example (5)

- Return the chiefs' number and name having all employees earning more than 40
- We need negation

```
CHIEFSOFNORICHERS(Chief: c) ←
EMPLOYEE(Number: m, Name: n, Age: a, Wage: w),
w ≤ 40, SUPERVISOR(Chief: c, Employee: m)

CHIEFSOFONLYRICHER(Number: c, Name: n) ←
EMPLOYEE(Number: c, Name: n, Age: a, Wage: w),
SUPERVISOR(Chief: c, Employee: m),
NOT CHIEFSOFNORICHERS(Chief: c)
```

? CHIEFSOFONLYRICHER(Number: c, Name: n) 136



Example (6)

- For each employee, get all his/her chiefs
- We need recursion

```
HIGHGRADE(Employee: e, SuperChief: c) ← SUPERVISOR(Employee: e, Chief: c)
```

```
HIGHGRADE(Employee: e, SuperChief: c) ← SUPERVISOR(Employee: e, Chief: c'), HIGHGRADE(Employee: c', SuperChief: c)
```



Datalog: Semantics

- The definition of the recursive queries is quite tricky (in particular, the "negation" case)
- Expressive Power:
 - Non-recursive Datalog without negation is as expressive to the Calculus without negation and without universal quantifier
 - Non-recursive Datalog with negation is as expressive as calculus and algebra
 - We cannot compare Recursive Datalog without negation and Calculus
 - Recursive Datalog with negation is more expressive than calculus and algebra