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# **Relational Data and XML**

**Danilo Montesi**

[danilo.montesi@unibo.it](mailto:danilo.montesi@unibo.it)

<http://www.unibo.it/docenti/danilo.montesi>

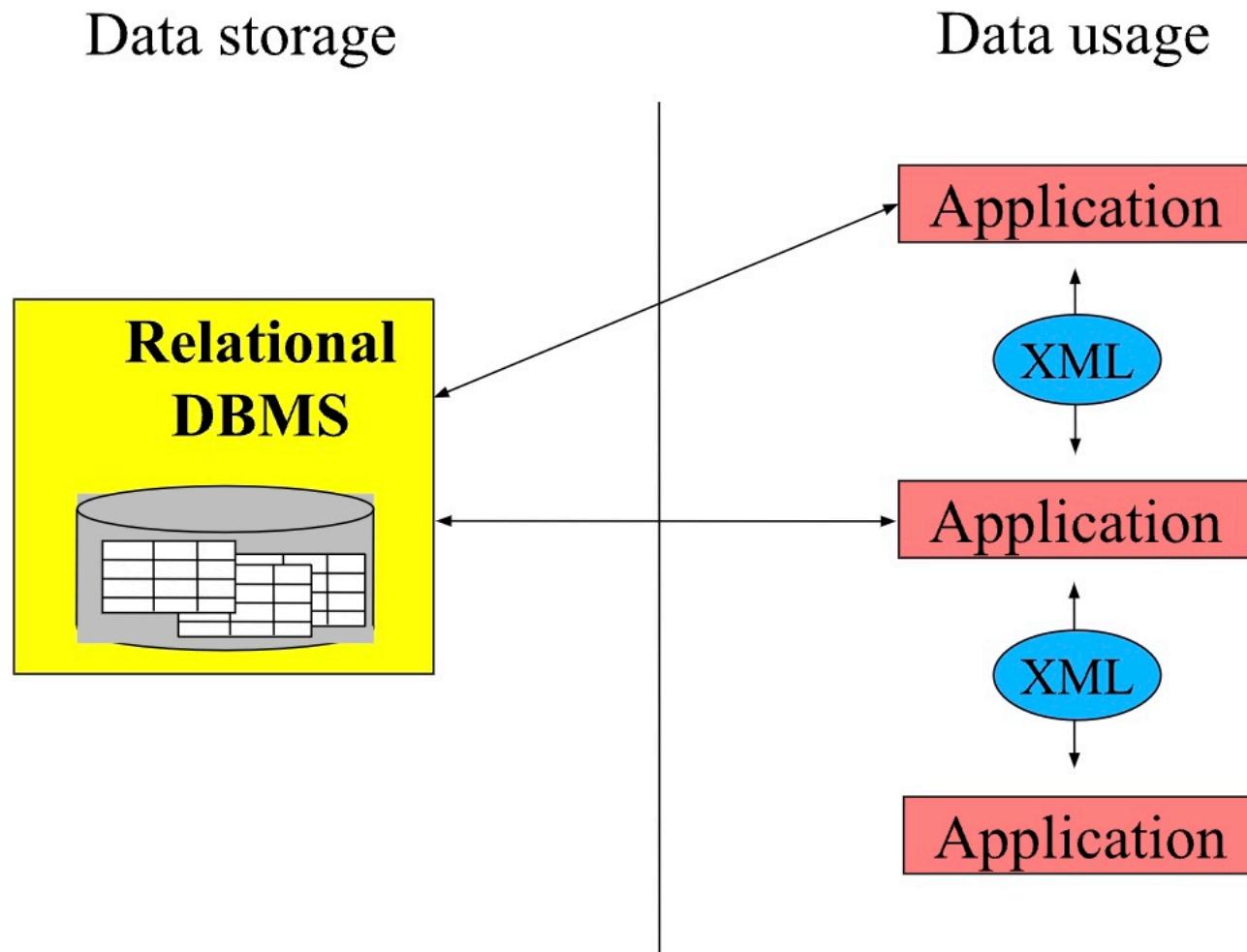


# Relational Data and XML - Outline

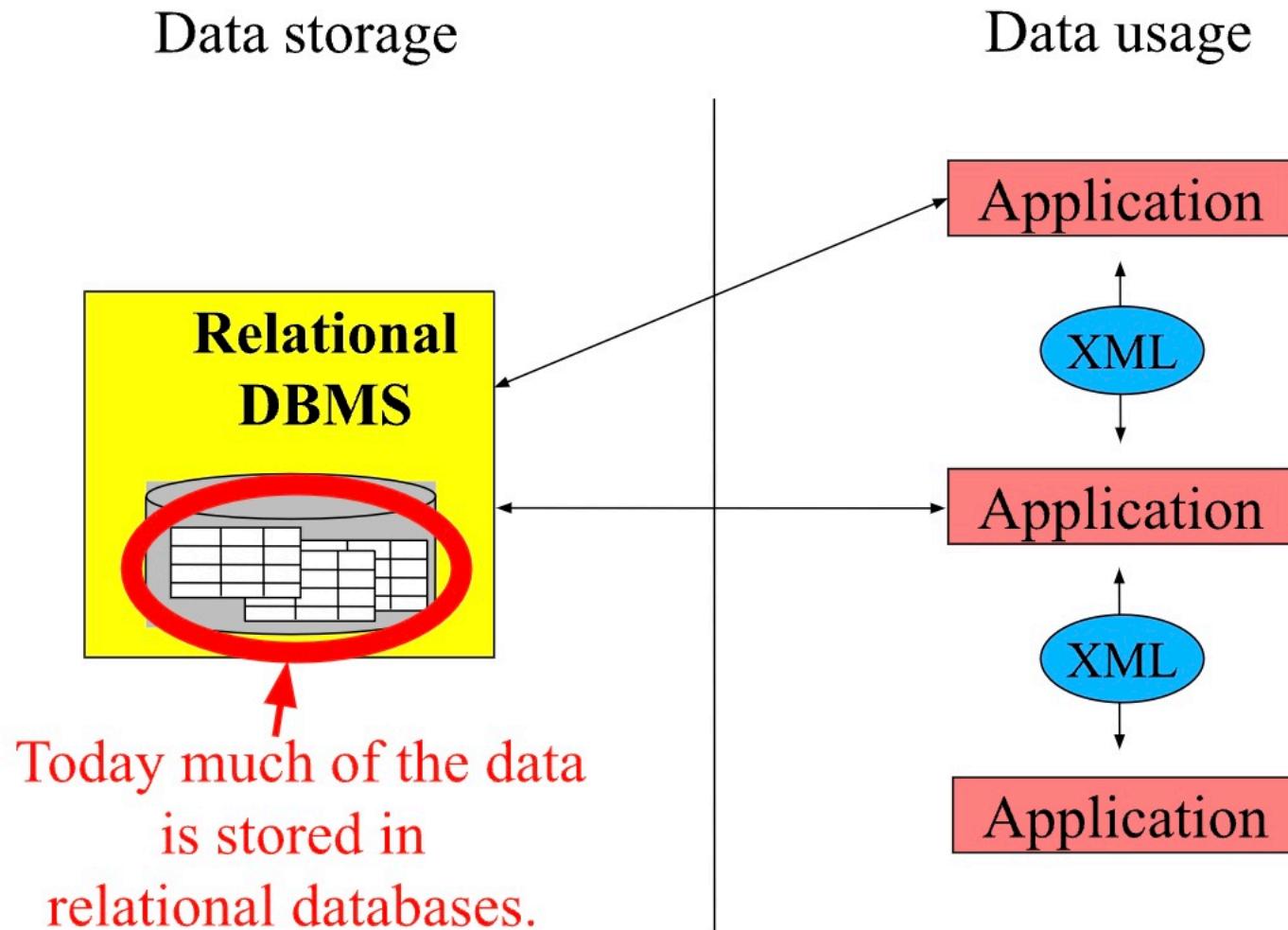
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- Combining relational data and XML
- From SQL to XML
- From XML to SQL
- The SQL/XML language
  - XMLEMENT
  - XMLFOREST
  - XMLCONCAT
  - XMLAGG
  - XMLGEN

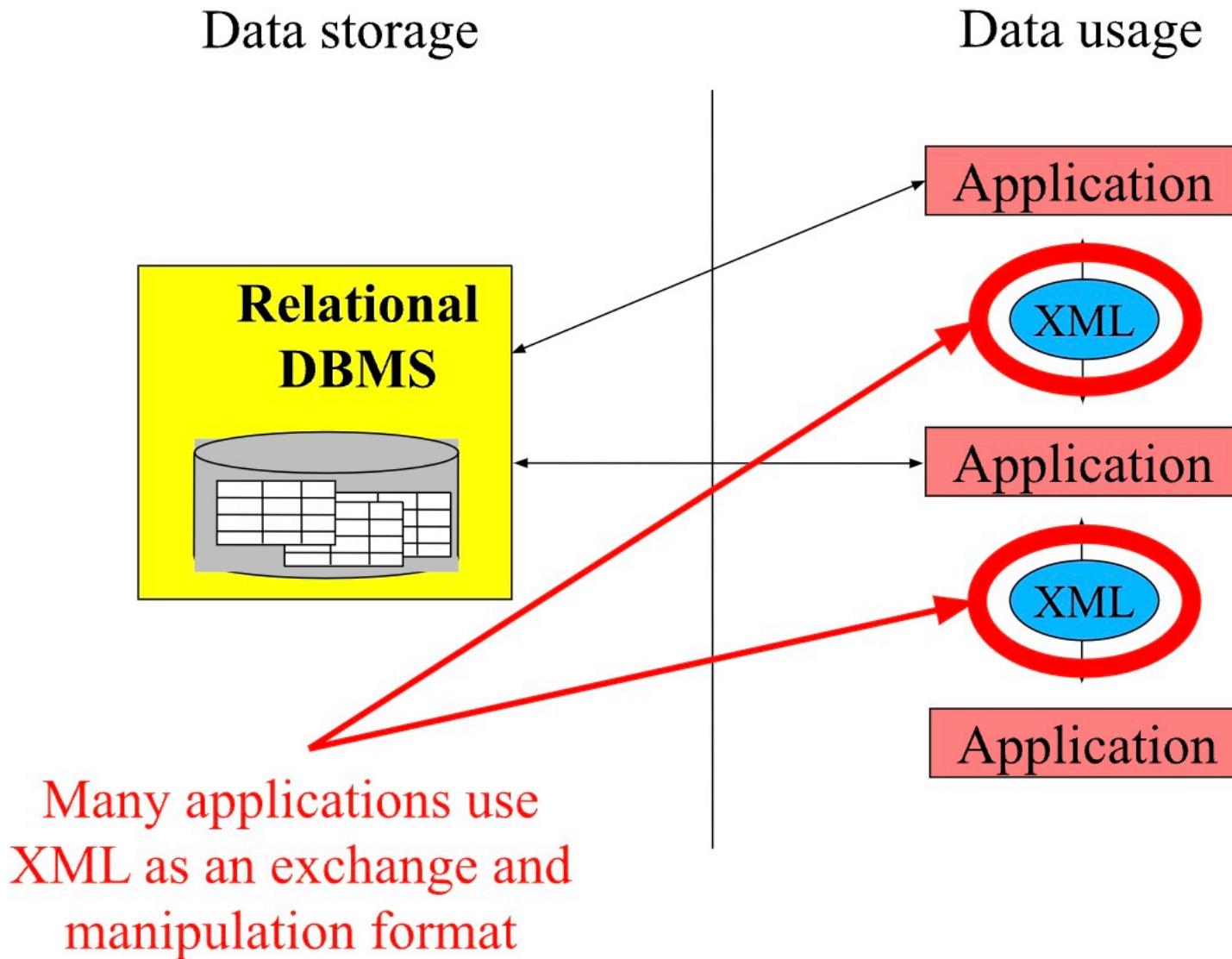
# Introduction 1/4



# Introduction 2/4



# Introduction 3/4





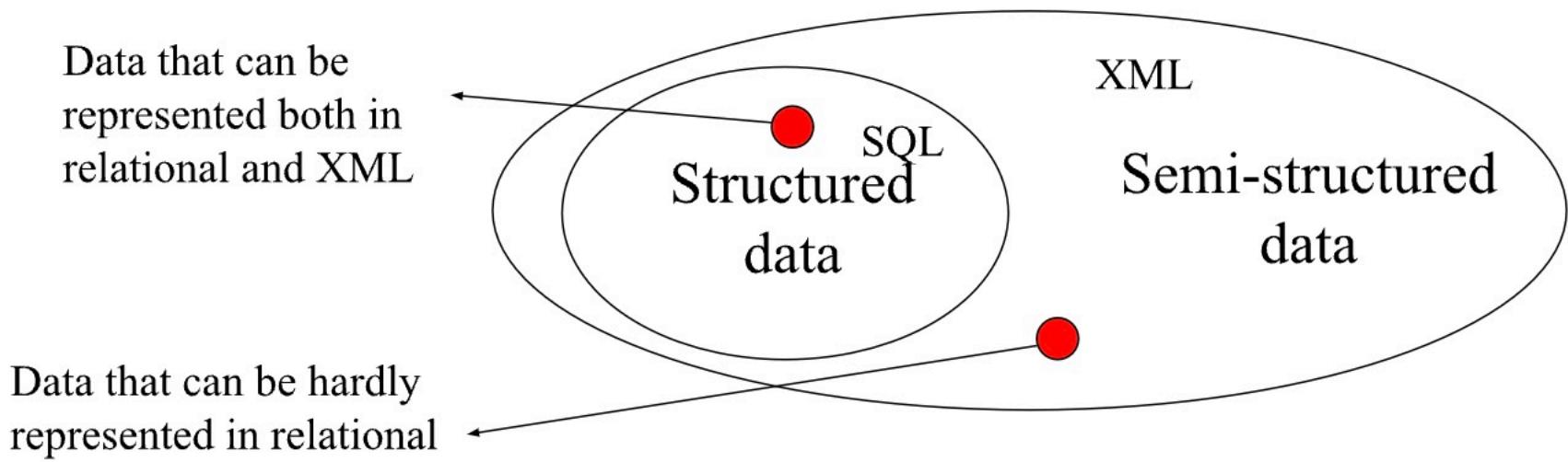
# Introduction 4/4

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- XML is used primarily for two types of data management activities:
  - **Exchange of data** between applications.
  - Representation of **semi-structured data**.
- When the data exchanged between different applications are locally stored in relational databases, a "bridge" is needed between these two formats.
- SQL/XML, which is a standard extension to SQL, provides a common language to convert relational data to XML.

# Features: SQL->XML e XML->SQL

- To use a combination of relational and XML data requires two main functions:
  - **Extracting XML** from one or more relational tables.
  - **XML storage** in one (or more) relational tables.
- The first feature is conceptually simpler, since XML was created in order to represent both semi-structured and structured data.
- The second is more complex in general, for the same reason.





# Extracting XML from a table

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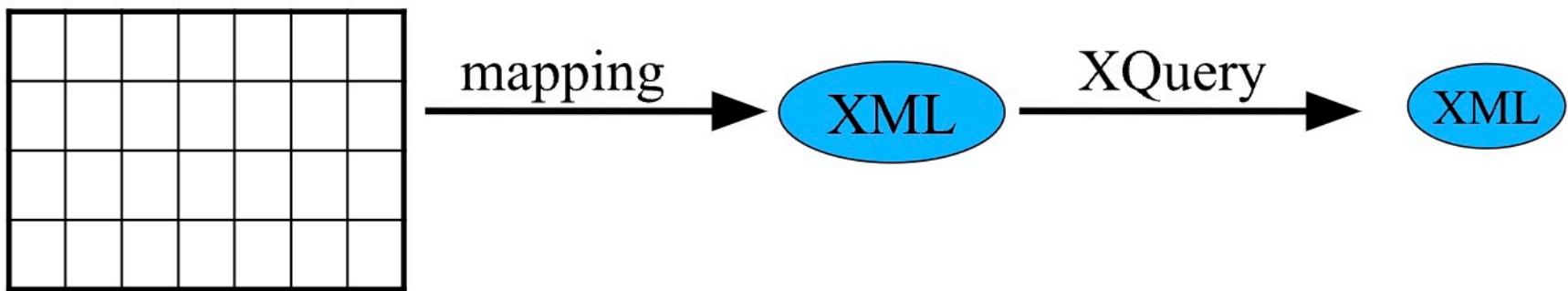
- Extracting XML data from a table is simple, because any type of data that can be represented in a table can be also represented in XML.
- Let's see two alternative ways to implement the extraction.





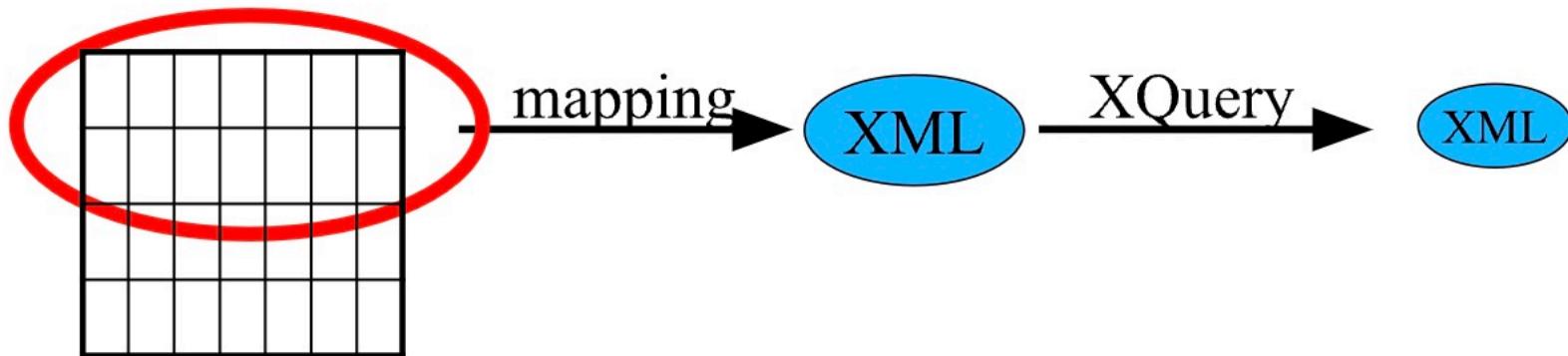

# 1: Extracting XML from a table (XML + XQuery)

- Represent the table in XML (mapping).
- Extract data using XML technologies (XQuery).





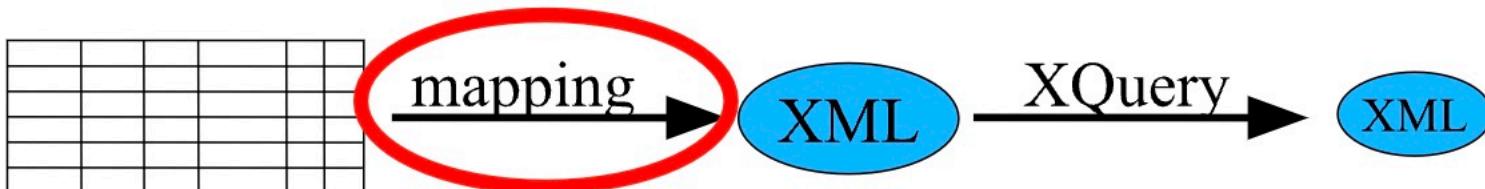
# 1: Extracting XML from a table (XML + XQuery)



EMPLOYEES			
ID	NAME	SURNAME	SALARY
emp0001	John	Doe	20000
emp0002	Jack	Black	18000

We start from a relational table.

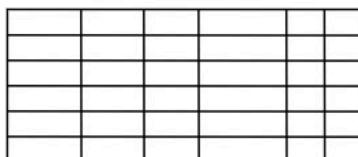
# 1: Extracting XML from a table (XML + XQuery)



- The mapping proceeds in the following way:
  - The name of the table becomes the name of the document.
  - Each row is included in an element `<row>`.
  - Each value (column) is included in an element with the name of the attribute.
  - Null values are represented using the attribute `xsi:nil="true"`.



# 1: Extracting XML from a table (XML + XQuery)

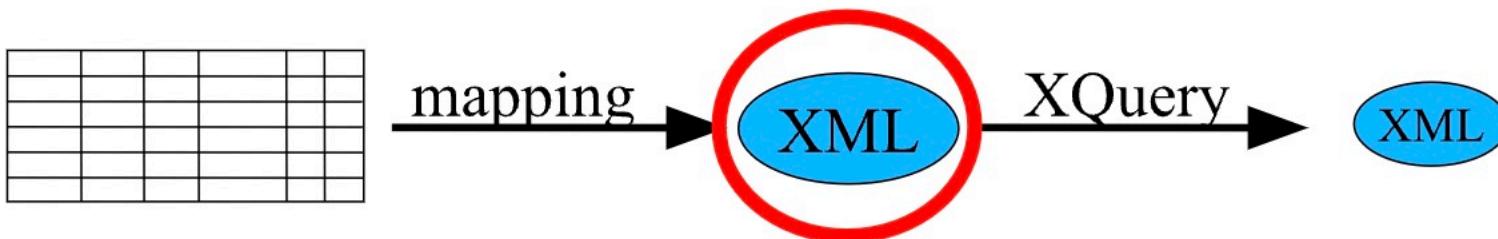


This mapping is  
defined by SQL/XML

```
<EMPLOYEES>
<row>
    <ID>emp0001</ID>
    <NAME>John</NAME>
    <SURNAME>Doe</SURNAME>
    <SALARY>20000</SALARY>
</row>
<row>
    <ID>emp0002</ID>
    <NAME>Jack</NAME>
    <SURNAME>Black</SURNAME>
    <SALARY>18000</SALARY>
</row>
</EMPLOYEES>
```



# 1: Extracting XML from a table (XML + XQuery)



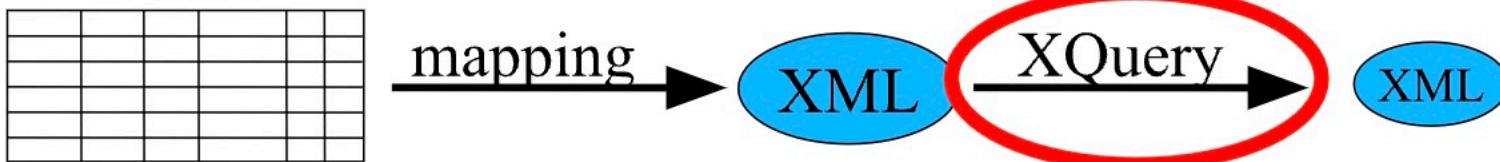
- The standard defines also an XML schema with the definitions of each type of data in SQL and each XML element.
- For instance, CHARACTER(6) produces:

```
<xsd:simpleType name="CHAR_6">  
  <xsd:restriction base="xsd:string">  
    <xsd:length value="6" />  
  </xsd:restriction>  
</xsd:simpleType>
```

Fragment of XML Schema, provided  
as an example (it is not necessary to  
remember its details).



# 1: Extracting XML from a table (XML + XQuery)



Non-standard XQuery function

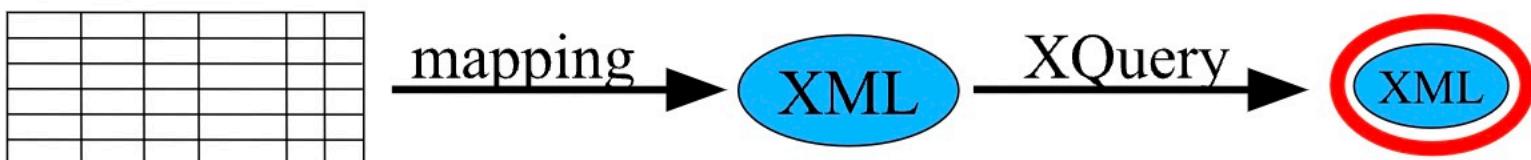
```
<highSalaries>
```

```
{ for $e in table("EMPLOYEES")/EMPLOYEES/row  
  where $e/salary > 18000  
  return  
    <employee>  
      {$e/surname, $e/name}  
    </employee>  
  }  
</highSalaries>
```

An XQuery query, provided as an example (we will not see XQuery in details in these slides).



# 1: Extracting XML from a table (XML + XQuery)



- The result is the following:

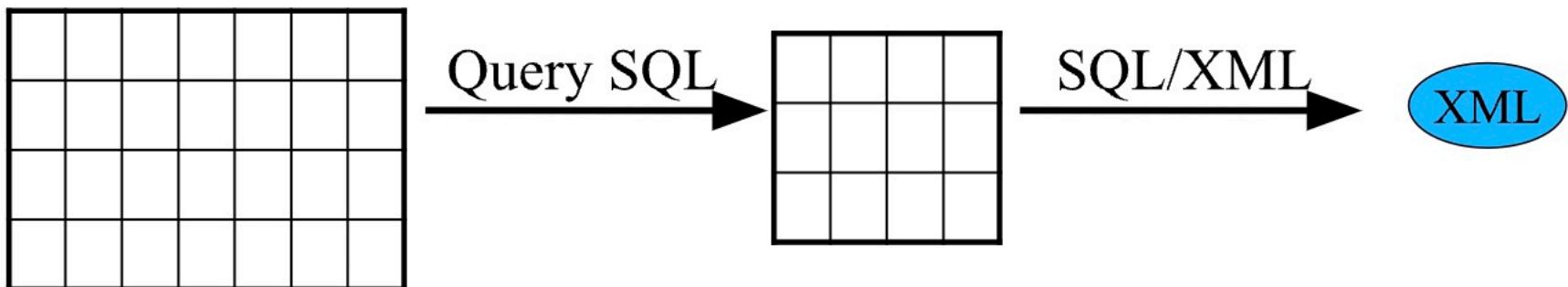
```
<highSalaries>
  <employee>
    <name>John</name>
    <surname>Doe</surname>
  </employee>
</highSalaries>
```



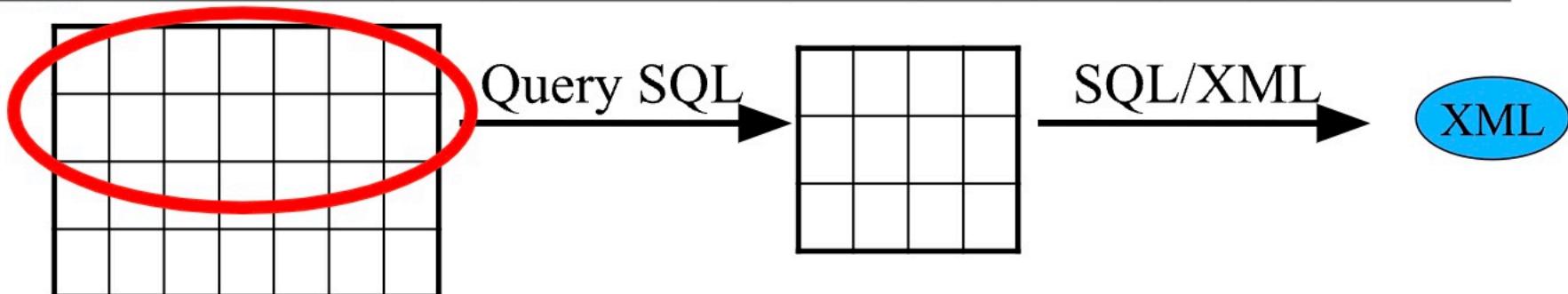
## 2: Extracting XML from a table (SQL/XML)

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- Extract data from the table (SQL).
- Transform this data in XML (SQL/XML).



## 2: Extracting XML from a table (SQL/XML)

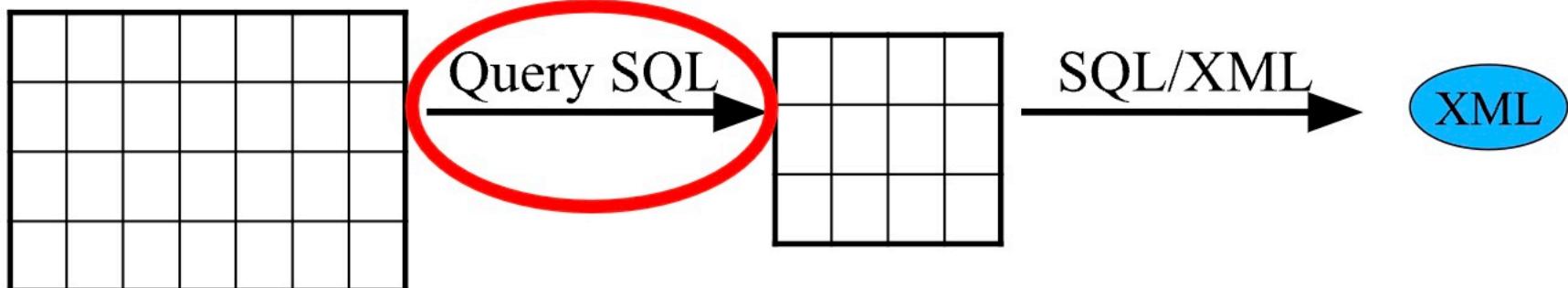


EMPLOYEES			
ID	NAME	SURNAME	SALARY
emp0001	John	Doe	20000
emp0002	Jack	Black	18000

We start from the same table as in the previous example.



## 2: Extracting XML from a table (SQL/XML)



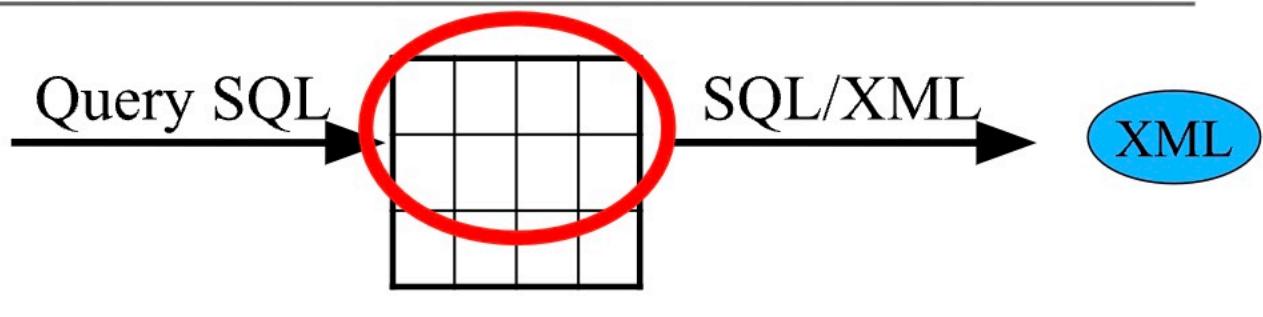
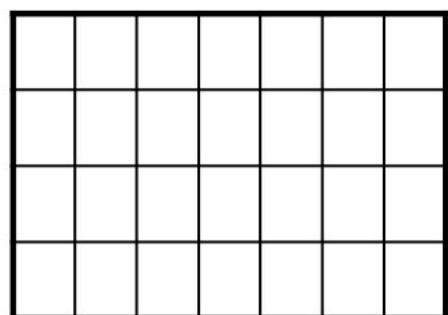
```
SELECT i.name AS employeeName
```

```
FROM EMPLOYEES i WHERE salary > 18000
```

We write an SQL query to get the data of interest.



## 2: Extracting XML from a table (SQL/XML)



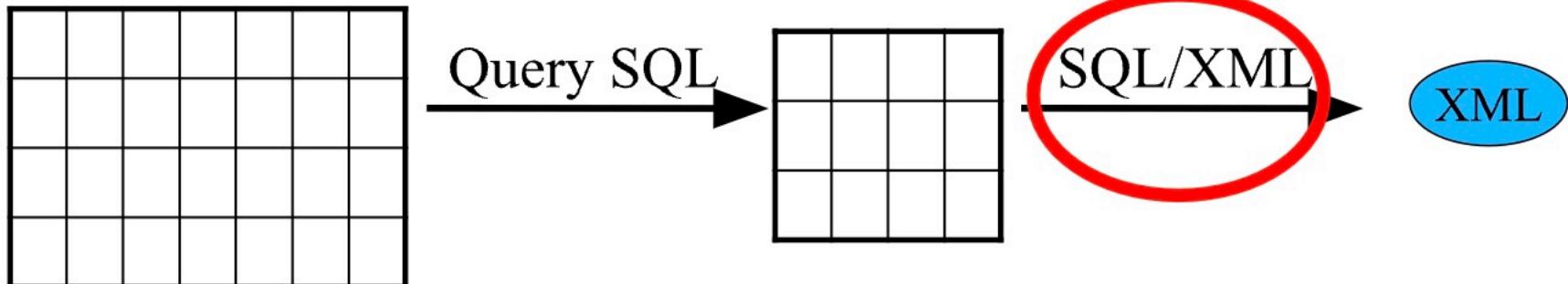
```
SELECT i.name AS employeeName
```

```
FROM EMPLOYEES i WHERE salary > 18000
```

<b><i>employeeName</i></b>
John



## 2: Extracting XML from a table (SQL/XML)

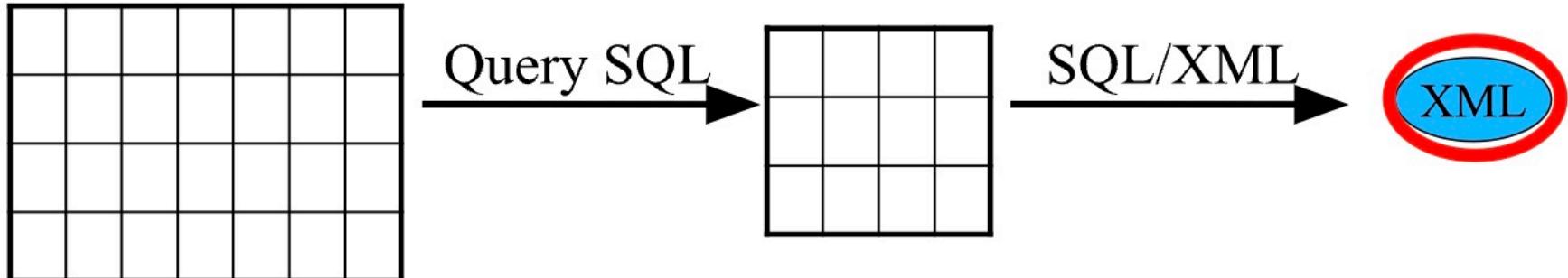


```
SELECT XMLEMENT(
    NAME "emp",
    i.name ) AS employeeName
FROM EMPLOYEES i WHERE salary > 18000
```

We add the XML constructors.



## 2: Extracting XML from a table (SQL/XML)



```
SELECT XMLEMENT(  
    NAME "emp",  
    i.name ) AS employeeName  
FROM EMPLOYEES i WHERE salary > 18000
```

<b><i>employeeName</i></b>
<emp>John</emp>
<emp>Jack</emp>

More properly, in this way we are not extracting XML code, but tables containing XML code, which can be then restored and used as XML.



# Storing XML in Relational DBs

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- To provide this functionality, different systems use *ad hoc* techniques.
- These can be traced to two main modes:
  - Using Object-Relational columns to store entire XML fragments in a single field.
  - *Shredding* ("chopping") of the documents, for which different items are stored in different fields.



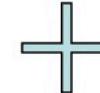
## Inserting XML 1/2

- An XML document can be viewed as a type of SQL data.
  - In this case, an entire document is stored in an attribute (column) of a table.

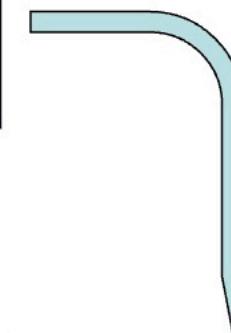

# Inserting XML 2/2

- With some limitations, an XML document can be splitted in fragments and stored in pieces.

```
<employee>
  <ID>emp0001</ID>
  <SURNAME>Doe</SURNAME>
  <SALARY>20000</SALARY>
</employee>
<employee>
  <ID>emp0002</ID>
  <SURNAME>Black</SURNAME>
  <SALARY>18000</SALARY>
</employee>
```



FILE FOR MAPPING  
DEFINITION



ID	SURNAME	SALARY
emp0001	Doe	20000
emp0002	Black	18000



---

# The SQL/XML language



# SQL/XML: a SQL extension for XML

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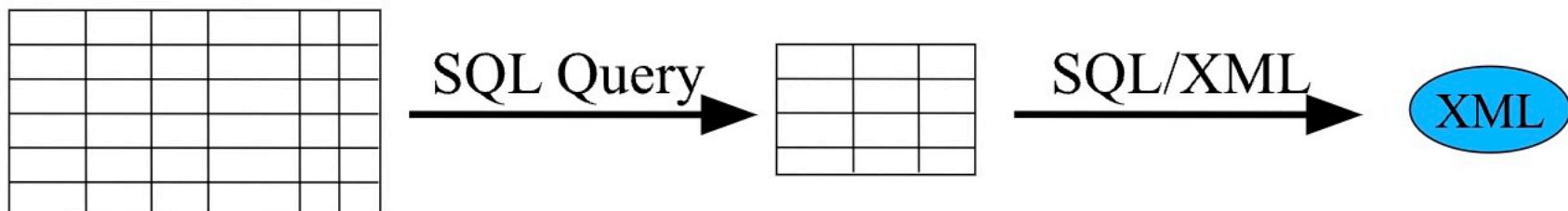
- SQL/XML is an extension of SQL.
- It is a part of the Structured Query Language (SQL) specification.
- It comprises of constructors, routines, functions to support manipulation and storage of XML in a SQL Database.



# Extracting XML with SQL/XML 1/2

- SQL/XML defines the following operators.

- XMLELEMENT
- XMLFOREST
- XMLCONCAT
- XMLAGG
- XMLGEN





# Extracting XML with SQL/XML 2/2

---

- An SQL/XML query has the following structure:

SELECT Attr1, Attr2, ..., *Constructor XML*  
FROM... WHERE...

- To compute its result,
  - FIRST only **SQL** is considered, and a table is computed as it was a **SELECT \***.
  - THEN the result is built, selecting the requested attributes (**Attr1, Attr2...**) and building the XML code FOR EACH TUPLE.



# Table used in the examples

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## EMPLOYEE

<i>id</i>	<i>name</i>	<i>surname</i>	<i>department</i>	<i>dismissed</i>	<i>salary</i>
emp0001	John	Doe	Sales	null	20000
emp0002	Jack	Black	Sales	null	18000
emp0003	Donald	Mason	Accounting	null	15000
emp0004	Samuel	Wood	Accounting	01/01/03	15000



# XMLEMENT

---

- XMLEMENT allows to create an XML element.
- It takes the following arguments:
  - The name of the element.
  - An optional list of attributes.
  - The content of the element.



## XMLELEMENT – example

---

```
SELECT i.id, XMLELEMENT(  
    NAME "emp",  
    i.name ) AS result  
FROM EMPLOYEES i
```



# XMLELEMENT – example

---

```
SELECT i.id, XMLELEMENT(  
    NAME "emp",  
    i.name) AS result  
FROM EMPLOYEES i
```

The code snippet illustrates the use of the XMLELEMENT function. The 'NAME' parameter is highlighted with a red box and has a red arrow pointing to the text 'name of the element'. The 'content' parameter is also highlighted with a red box and has a red arrow pointing to the text 'content'.



# XMLELEMENT – result

---

```
SELECT i.id, XMLELEMENT(  
    NAME "emp",  
    i.name) AS result  
FROM EMPLOYEES i
```

The code above demonstrates the use of the XMLELEMENT function in a SQL query. The XMLELEMENT function creates XML elements. It takes three arguments: the element name ('emp'), the content ('i.name'), and an alias ('AS result'). The 'name' argument is highlighted with a red box and has a red arrow pointing to the text 'name of the element'. The 'i.name' argument is also highlighted with a red box and has a red arrow pointing to the text 'content'.

<i>id</i>	<i>result</i>
emp0001	<emp>John</emp>
emp0002	<emp>Jack</emp>
emp0003	<emp>Donald</emp>
emp0004	<emp>Samuel</emp>



# XMLEMENT

---

- The content of an element can be built by concatenating more values of SQL.
- The concatenation operator is `||`.



# XMLEMENT

```
SELECT i.id, XMLEMENT(  
    NAME "emp",  
    i.name || ' ' || i.surname ) AS result  
FROM EMPLOYEES i
```

<i>id</i>	<i>result</i>
emp0001	<emp>John Doe</emp>
emp0002	<emp>Jack Black</emp>
emp0003	<emp>Donald Mason</emp>
emp0004	<emp>Samuel Wood</emp>



# XMLATTRIBUTES

---

- In order to declare a list of attributes we use the operator XMLATTRIBUTES.
- Each parameter of XMLATTRIBUTES is inserted in an attribute which, if not explicitly declared, takes the name of the relational column from which it has been selected.



# XMLELEMENT and XMLATTRIBUTES

---

```
SELECT i.id, XMLELEMENT(  
    NAME "emp",  
    XMLATTRIBUTES(i.salary as "sal"),  
    i.name || ' ' || i.surname ) AS result  
FROM EMPLOYEES i
```

<i>id</i>	<i>result</i>
emp0001	<emp sal="20000">John Doe</emp>
emp0002	<emp sal="18000">Jack Black</emp>
emp0003	<emp sal="15000">Donald Mason</emp>
emp0004	<emp sal="15000">Samuel Wood</emp>



# XMLELEMENT

---

- In the content of an element it is possible to specify several objects, both elements and strings of characters.
- Let's try to create an element that contains other two elements and some text.



# XMLELEMENT – example

---

As we said before,  
the first parameter  
specifies the name of  
the resulting element

```
SELECT i.id,  
      XMLELEMENT(  
          → NAME "emp",  
          XMLELEMENT(  
              NAME "co",  
              i.surname),  
              ' del department ',  
              XMLELEMENT(  
                  NAME "dep",  
                  i.department)  
          ) AS result  
FROM EMPLOYEES i
```



# XMLELEMENT – example

Here we list the contents. We specify an element <co>, then a text content, then a <dep> element

```
SELECT i.id,  
       XMLELEMENT(  
           NAME "emp",  
           XMLELEMENT(  
               NAME "co",  
               i.surname),  
           ' of department ',  
           XMLELEMENT(  
               NAME "dep",  
               i.department)) AS result  
      FROM EMPLOYEES AS i
```



# XMLELEMENT – result

---

<i>id</i>	<i>result</i>
emp0001	<emp><co>Doe</co> of department <dip>Sales</dip></emp>
emp0002	<emp><co>Black</co> of department <dip>Sales</dip></emp>
emp0003	<emp><co>Mason</co> of department <dip>Accounting</dip></emp>
emp0004	<emp><co>Wood</co> of department <dip>Accounting</dip></emp>



# XMLFOREST

---

- XMLFOREST is a quick way to produce a list of simple elements.
- The behaviour of its parameters is the same of XMLATTRIBUTES.



# XMLFOREST – example

---

```
SELECT i.id,  
       XMLEMENT(  
           NAME "emp",  
           XMLFOREST(  
               i.name, ←  
               i.surname AS "co",  
               i.department AS "dip")  
           ) AS result  
      FROM EMPLOYEES i
```

If this explicit name is missing, the name of the corresponding column is used instead.



# XMLFOREST – result

---

<i>id</i>	<i>result</i>
emp0001	<emp><name>John</name> <co>Doe</co><dip>Sales</dip></emp>
emp0002	<emp><name>Jack</name> <co>Black</co><dip>Sales</dip></emp>
emp0003	<emp><name>Donald</name><co>Mason</co> <dip>Accounting</dip></emp>
emp0004	<emp><name>Samuel</name><co>Wood</co> <dip>Accounting</dip></emp>



# XMLCONCAT

---

- XMLCONCAT concatenates its arguments, producing a forest of elements.
- XMLCONCAT can concatenate also elements built using XMLEMENT.



# XMLCONCAT – example

---

```
SELECT i.id,  
       XMLCONCAT(  
           XMLELEMENT(  
               NAME "co",  
               i.surname),  
           XMLELEMENT(  
               NAME "dep",  
               i.department)  
       ) AS result  
FROM EMPLOYEES i
```



# XMLCONCAT – result

---

<i>id</i>	<i>result</i>
emp0001	<co>Doe</co> <dip>Sales</dip>
emp0002	<co>Black</co> <dip>Sales</dip>
emp0003	<co>Mason</co> <dip>Accounting</dip>
emp0004	<co>Wood</co> <dip>Accounting</dip>



# XMLAGG

---

- If we need to group more tuples depending on one or more attributes, SQL use the GROUP BY construct.
- XMLAGG allows to restore the tuples aggregated using GROUP BY.



# XMLAGG

<i>id</i>	<i>nome</i>	<i>surname</i>	<i>department</i>	<i>dismissed</i>	<i>salary</i>
emp0001	John	Doe	Sales	null	20000
emp0002	Jack	Black	Sales	null	18000
emp0003	Donald	Mason	Accounting	null	15000
emp0004	Samuel	Wood	Accounting	01/01/03	15000

```
<dip nome="Sales">
    <emp></emp>
    <emp></emp>
</dip>
```

```
<dip
nome="Accounting">
    <emp></emp>
    <emp></emp>
</dip>
```



# XMLAGG

---

```
SELECT XMLELEMENT(
    NAME "department",
    XMLATTRIBUTES(i.department AS "name"),
    XMLAGG(
        XMLELEMENT(
            NAME "emp",
            i.surname) )
    ) AS result
FROM EMPLOYEES i
GROUP BY department
```



# XMLAGG

---

*result*

```
<department nome="Sales">
    <emp>Doe</emp>
    <emp>Black</emp>
</department>

<department nome="Accounting">
    <emp>Mason</emp>
    <emp>Wood</emp>
</department>
```



# XMLGEN

---

- Another way to create XML is XMLGEN.
- It is possible to directly specify XML code, inserting data through variables, expressed using “{“ and “}”.
- Variables can be also used for the names of the elements, which was not possible using XMLELEMENT, which instead requires that the names of the elements must be provided explicitly using a constant.



# XMLGEN

```
SELECT XMLGEN(  
    '<employee>  
        <name>{$name}</name>  
        <salary>{$sal}</salary>  
    </employee>',  
    i.name,  
    i.salary AS "sal"  
) AS result  
FROM EMPLOYEES i  
WHERE salary > 15000
```

Reference by name



# XMLGEN

---

*result*

```
<employee>
    <name>Doe</name>
    <salary>20000</salary>
</employee>
```

```
<employee>
    <name>Black</name>
    <salary>18000</salary>
</employee>
```



# Specifications of SQL/XML

---

- ISO/IEC 9074-14:2003
  - Corresponding to the things presented in these slides.
- ISO/IEC 9075-14:2006
  - It adds the possibility to execute queries in XQueries, whose data model is adopted, and to validate XML documents.
- ISO/IEC 9075-14:2008
  - It adds some features like the TRUNCATE TABLE statement.
- ISO/IEC 9075-14:2011
  - Most recent version with feature for new data types (e.g. temporal).



# References

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- Some articles on SQL/XML:
  - A description of the standard:  
[www.acm.org/sigmod/record/issues/0206/standard.pdf](http://www.acm.org/sigmod/record/issues/0206/standard.pdf)
  - An in-depth view of its new features:  
[www.sigmod.org/sigmod/record/issues/0409/11.JimMelton.pdf](http://www.sigmod.org/sigmod/record/issues/0409/11.JimMelton.pdf)
- Some of the systems supporting SQL/XML:
  - PostgreSQL
  - Oracle database server.
  - IBM DB2.
  - MS SQL Server