

3. Recursion

- What is Recursion
- What it is good for and what it is not good for
- What are the characteristics of recursion
- How is a recursive function executed
- How is recursive function call implemented.

Recursion

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What is Recursion

- ◆ Self referential (defined in terms of itself)
- ◆ The laughing-cow (*la vache qui rit*) package shows a cow wearing laughing-cow packages as earrings, which show a cow wearing laughing-cow packages as earrings which ...



Recursion

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Other examples

⊕ A **linked list** is:

- a) empty, or
- b) has a head (first element) and a *tail*, which is a **linked list**

A **tree** is:

- a) empty, or
- b) has a *root*, and *left* and/or *right* (sub-) **trees**

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Factorial function

⊕ (the classic example)

Factorial 5, written 5!, is:

$$5 \times 4 \times 3 \times 2 \times 1$$

and 6! is

$$6 \times 5 \times 4 \times 3 \times 2 \times 1, \text{ so } 6 \times 5!$$

Factorial function, for non-negative integers is:

- a) $0! = 1$
- b) if $n > 0$, then $n! = n \times (n - 1)!$

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In C

```
⊕ int factorial (int n){  
    if (n == 0) return 1 ;  
    else return (n * factorial(n - 1));  
}
```

Caution: inefficient

Recursion

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Useful recursion

- ◆ To be useful the recursion must **terminate**, so there must be *at least one* non-recursive case such as: **0!**
- ◆ as well as recursive cases. such as: **$n * (n - 1)!$**

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Infinite recursion

```
void TellStory(){
    printf("%s", "It was a dark and stormy night ");
    printf("%s", "and the captain said to the mate ");
    printf("%s", ":' Tell us a story mate' ");
    printf("%s", " and this is the story he told ...");
    TellStory();
}
```

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Recursive Programming

- ◆ Consider the problem of computing the sum of all the integers between 1 and any positive integer N
- ◆ This problem can be recursively defined as:

$$\begin{aligned}\sum_{i=1}^N i &= N + \sum_{i=1}^{N-1} i = N + N-1 + \sum_{i=1}^{N-2} i \\ &= N + N-1 + N-2 + \sum_{i=1}^{N-3} i \\ &\vdots\end{aligned}$$

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Recursive Programming

```
// This method returns the sum of 1 to count
int sum (int count)
{
    if (count == 1)
        return 1;
    else
        return count + sum (count-1);
}
```

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Recursive Programming

- ◆ Note that just because we can **use** recursion to solve a problem, doesn't mean we **should**
- ◆ For instance, we usually would not use recursion to solve the sum of 1 to N problem, because the iterative version is easier to understand and more efficient
- ◆ However, for some problems, recursion provides an elegant solution, often cleaner than an iterative version
- ◆ You must carefully decide whether recursion is the correct technique for any problem

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Indirect Recursion

- ◆ A function invoking itself is considered to be *direct recursion*
- ◆ A function could invoke another function, which invokes another, etc., until eventually the original function is invoked again
- ◆ For example, function **f1** could invoke **f2**, which invokes **f3**, which in turn invokes **f1** again
- ◆ This is called *indirect recursion*, and requires all the same care as direct recursion
- ◆ It is often more difficult to trace and debug

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Length of a list

- a) the length of an empty list is 0
- b) the length of a (non-empty) list is:
1 + the length of the tail of the list

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Length of a list in C

```
int length_v1 (node* p){ /* iteration */
    int countNodes = 0;
    while (p) do {
        countNodes++;
        p = p->next;
    }
    return countNodes;
}

int length_v2(node* p){ /* recursion */
    if (p) return (1 + length_v2(p->next));
    else return 0;
}
```

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Traversing a list: iterative

Traversing a (singly) linked list *iteratively* in the forward direction is easy:

```
void traverse (node* p){
    while (p) {
        process(p->data); /* assume a process function */
        p = p->next;
    }
}
```

Traversing iteratively in the backward direction is **hard** (no pointers, so need to *stack* return pointers)

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Traversing a list: recursive, forward

Traversing a (singly) linked list *recursively* in the forward direction is easy:

```
void traverse (node* p){
    if (p){
        process(p->data);
        traverse(p->next);
    }
}
```

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Traversing a list: recursive, backward

Traversing a (singly) linked list recursively in the **backward** direction is also easy:

```
void reverseTraverse (node* p){
    if (p){
        reverseTraverse(p->next);
        process(p->data);
    }
}
```

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How recursion works

- ◆ When a function is *called*, its parameters, local variables and return address are *stacked* on the function-call stack.
- ◆ Nested calls lead to deeper stacking.
- ◆ A call of a function to itself is just another nested call.

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When not to use recursion

- ◆ Don't use a recursive approach when a simple iterative approach is available
- ◆ Examples: searching, traversing and inserting in a list is easy to do iteratively
- ◆ Traversing a list backwards (*backtracking*) is easy to do recursively but hard to do iteratively.

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When not to use recursion: example

Fibonacci Numbers:

$fib_0 = 0$
 $fib_1 = 1$
 $fib_n = fib_{n-1} + fib_{n-2}$, for $n > 0$

```
int fib(n: integer){ /* doubly recursive */
    if (n == 0) return 0;
    else if (n == 1) return 1;
    return (fib(n - 1) + fib(n - 2));
}
```

Very inefficient: values repeatedly calculated, then forgotten

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A Better way:

```
int fib(n: integer){ /* iterative */
    int i, x, y, z;

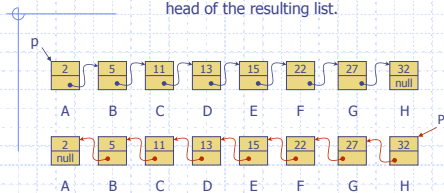
    i = 1; x = 1; y = 0;
    while (i != n) {
        z = x;
        i++;
        x = x + y;
        y = z;
    }
    return x;
}
```

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Reverse a list:

Write a function `list_rev` that takes a pointer to a singly linked list of nodes and reverses links, returns the pointer to the new head of the resulting list.

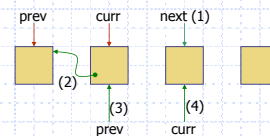


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```
node* list_rev(node *curr){
    node *prev = NULL; *next;
```

```
    while(curr){
        next = curr->next; // (1)
        curr->next = prev; // (2)
        prev = curr;      // (3)
        curr = next;      // (4)
    }
    return prev;
}
```



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```
node *list_rev_recursion(node *curr, node *prev) {
    node *revHead;

    if (curr == NULL)
        revHead = prev;
    else {
        revHead = list_rev_recursion(curr->next, curr);
        curr->next = prev;
    }
    return revHead;
}
```

Initial method call should be

`head = list_rev_recursion(head, NULL)`

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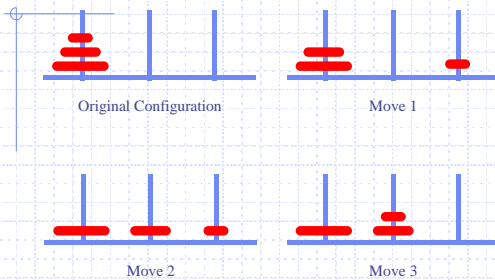
Towers of Hanoi

- ◆ The *Towers of Hanoi* is a puzzle made up of three vertical pegs and several disks that slide on the pegs
- ◆ The disks are of varying size, initially placed on one peg with the largest disk on the bottom with increasingly smaller ones on top
- ◆ The goal is to move all of the disks from one peg to another under the following rules:
 - Only one disk can be moved at a time
 - A bigger disk can never be placed on top of a smaller one

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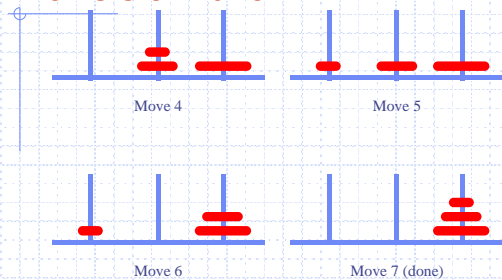
Three pegs: src, tmp, dst



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Towers of Hanoi



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Towers of Hanoi

- ◆ An iterative solution to the Towers of Hanoi is quite complex
- ◆ A recursive solution is much shorter and more elegant

```
if (n == 1) {
    (move one disk directly from src to dst)
} else {
    (move a tower of n-1 disks from src to tmp)
    (move one disk directly from src to dst)
    (move a tower of n-1 disk from tmp to dst)
}
```

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Towers of Hanoi

```
void MoveTower(int n, char src,
               char dst, char tmp)
{
    if (n == 1) {
        MoveSingleDisk(src, dst);
    } else {
        MoveTower(n-1, src, tmp, dst);
        MoveSingleDisk(src, dst);
        MoveTower(n-1, tmp, dst, src);
    }
}
```

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Three Characteristics of Recursion

- ◆ Calls itself recursively
- ◆ Has some terminating condition
- ◆ Moves "closer" to the terminating condition.

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Two Flavors of Recursion

```
if (terminating condition) {
    do final actions
} else {
    move one step closer to terminating condition
    recursive call(s)
}

- or -

if (!(terminating condition)) {
    move one step closer to terminating condition
    recursive call(s)
}
```

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Analysis of a Recursive Algorithm

- To evaluate the computing costs of an algorithm, we need to analyze it.
- The first step in algorithm analysis is to find out the number of times the "primitive operation" is executed.
- In doing so, we express the number of times as a function of n , which is the input size.

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Analysis of a Recursive Algorithm

- Steps in analyzing a recursive algorithm:
 1. Decide on the input size n .
 2. Identify the primitive operation.
 3. Set up a recurrence relation, with an appropriate initial condition, for the number of times the primitive operation is executed.
 4. Solve the recurrence.

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Analysis of a Recursive Algorithm

Example: recursive computing of factorial

```
int factorial (int n){
    if (n == 0) return 1 ;
    else return (n * factorial(n - 1));
}
```

Input size: n

Primitive operation: multiplication

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Analysis of a Recursive Algorithm

Example: recursive computing of factorial

Recurrence relation for the number of times multiplication is executed and initial condition:

$$M(n) = M(n-1) + 1 \text{ for } n > 0$$

$$M(0) = 0$$

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Analysis of a Recursive Algorithm

Solve the recurrence relation using the method of backward substitution:

$$\begin{aligned}
 M(n) &= M(n-1) + 1 && \text{substitute } M(n-1) = M(n-2) + 1 \\
 &= [M(n-2) + 1] + 1 = M(n-2) + 2 && \text{substitute } M(n-2) = M(n-3) + 1 \\
 &= [M(n-3) + 1] + 2 = M(n-3) + 3 && \text{substitute } M(n-3) = M(n-4) + 1 \\
 &\dots
 \end{aligned}$$

$$M(n) = M(n-1) + 1 = \dots = M(n-i) + i = \dots$$

$$M(n) = M(n-i) + i, M(0) = 0$$

To eliminate the M term from the right hand side, let $i = n$ and use the initial condition, we have

$$M(n) = n$$

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Analysis of a Recursive Algorithm

Example: Hanoi tower

```
void MoveTower(int n, char src,
               char dst, char tmp)
{
    if (n == 1) {
        MoveSingleDisk(src, dst);
    } else {
        MoveTower(n-1, src, tmp, dst);
        MoveSingleDisk(src, dst);
        MoveTower(n-1, tmp, dst, src);
    }
}
```

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Analysis of a Recursive Algorithm

Example: Hanoi tower

1. Input size: n (the number of disks)
2. Primitive operation: Moving a disk
3. Recurrence and initial condition:

$$\begin{aligned} M(n) &= M(n-1) + 1 + M(n-1) \\ &= 2M(n-1) + 1 \quad n > 1 \\ M(1) &= 1 \end{aligned}$$

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Analysis of a Recursive Algorithm

Example: Hanoi tower

$$\begin{aligned} M(n) &= 2M(n-1) + 1 && \text{sub. } M(n-1) = 2M(n-2) + 1 \\ &= 2[2M(n-2) + 1] + 1 \\ &= 2^2M(n-2) + 2 + 1 && \text{sub. } M(n-2) = 2M(n-3) + 1 \\ &= 2^2[2M(n-3) + 1] + 2 + 1 \\ &= 2^3M(n-3) + 2^2 + 2 + 1 \\ &\dots \end{aligned}$$

$$\begin{aligned} M(n) &= 2^i M(n-i) + 2^{i-1} + 2^{i-2} + \dots + 2 + 1 \\ &= 2^i M(n-i) + 2^i - 1 \end{aligned}$$

$$\text{Using } \sum_{j=0}^{i-1} 2^j = 2^i - 1$$

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Analysis of a Recursive Algorithm

Example: Hanoi tower

$$\begin{aligned} M(n) &= 2M(n-1) + 1 = 2^i M(n-i) + 2^i - 1 \quad n > 1 \\ M(1) &= 1 \end{aligned}$$

To use the initial condition, let $i=n-1$.

We have

$$\begin{aligned} M(n) &= 2^{n-1} M[n - (n-1)] + 2^{n-1} - 1 \\ &= 2^{n-1} M(1) + 2^{n-1} - 1 \\ &= 2^{n-1} + 2^{n-1} - 1 \\ &= 2^n - 1 \end{aligned}$$

That is, to move n disks, the number of movements is $2^n - 1$.

Recursion

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Tracing The Recursion

To keep track of recursive execution, do what a computer does: maintain information on an **activation stack**.

Each stack frame contains:

- Module identifier and variables
- Any unfinished business

ModuleID: Data values Unfinished business

Recursion

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Work and Recursion

Problem: Count from N to 10.

```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

First do the work and then the recursive call!

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=7

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=7

7

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=7

7

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=8

CountToTen: count=7

7

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=8

CountToTen: count=7

7

8

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=8

CountToTen: count=7

7

8

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=9

CountToTen: count=8

CountToTen: count=7

7

8

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

7
8
9

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

7
8
9

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=10
CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

7
8
9

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=10
CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

7
8
9
10

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=10
CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

7
8
9
10

Recursion

53

```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=11
CountToTen: count=10
CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

7
8
9
10

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=10
CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

7
8
9
10

Recursion

55

```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

7
8
9
10

Recursion

56

```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=8
CountToTen: count=7

7
8
9
10

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        printf("%d\n", count); // work
        CountToTen(count + 1); // recurse
    }
} //CountToTen
```

CountToTen: count=7

7
8
9
10

Recursion

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Return to the algorithm.

7
8
9
10

Recursion

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Reversing the Work and Recursion

Problem: Count from N to 10.

```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

Now the work will happen as the frames pop off the stack!

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=7



Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=7



Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=8

CountToTen: count=7



Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=8

CountToTen: count=7



Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=9

CountToTen: count=8

CountToTen: count=7



Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=9

CountToTen: count=8

CountToTen: count=7



Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=10
CountToTen: count=9
CountToTen: count=8
CountToTen: count=7



Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=10
CountToTen: count=9
CountToTen: count=8
CountToTen: count=7



Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=11
CountToTen: count=10
CountToTen: count=9
CountToTen: count=8
CountToTen: count=7



Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=10
CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

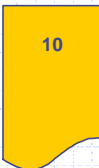


Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=10
CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

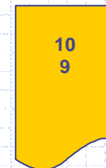


Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=9
CountToTen: count=8
CountToTen: count=7



Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=9
CountToTen: count=8
CountToTen: count=7

10
9

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=8
CountToTen: count=7

10
9
8

Recursion

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```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=8
CountToTen: count=7

10
9
8

Recursion

75

```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=7

10
9
8
7

Recursion

76

```
void CountToTen(int count){
    if (count <= 10){
        CountToTen(count + 1); // recurse
        printf("%d\n", count); // work
    }
} //CountToTen
```

CountToTen: count=7

10
9
8
7

Recursion

77

Return to the algorithm.

10
9
8
7

Recursion

78