

## The Dirac equation

$$(i\gamma^\mu \partial_\mu - \kappa)\psi(x) = 0$$

- derives from the Lagrangian density  $\mathcal{L} = \bar{\psi}(i\gamma^\mu \partial_\mu)\psi$
- implies the Klein-Gordon equation,  $(\square + \kappa^2)\psi = 0$

$k^\mu$  and  $p^\mu$  are two four vectors

$$p_\mu \gamma^\mu k_\nu \gamma^\nu = \frac{1}{2}(p_\mu k_\nu \gamma^\mu \gamma^\nu + p_\nu k_\mu \gamma^\nu \gamma^\mu)$$

we can replace  $\gamma^\mu \gamma^\nu = -\gamma^\nu \gamma^\mu + 2g^{\mu\nu} \mathbb{1}_{4 \times 4}$

which then takes the neat expression

$$(p \cdot \gamma)(k \cdot \gamma) = (p \cdot k) \mathbb{1}_{4 \times 4}$$

**Hamiltonian for the Dirac field:**  $\bar{\psi} \equiv \psi^\dagger \gamma^0$

$$\frac{\partial \mathcal{L}}{\partial \psi_\alpha} = \frac{1}{c} \psi_\alpha^\dagger = \Pi_\alpha, \quad \underbrace{(\dots)}_{\bar{\psi}} \begin{pmatrix} \ddots & & & \vdots \\ \vdots & & & \vdots \\ & & & \vdots \\ & & & \vdots \end{pmatrix} \underbrace{\begin{pmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \end{pmatrix}}_{\psi}$$

We obtain the Hamiltonian by the Legendre transformation  $\mathcal{H} = \sum_\alpha (\Pi_\alpha^0 \dot{\psi}_\alpha) - \mathcal{L}$ . In our case, since  $\Pi^0$  for  $\bar{\psi}$  is 0, the summation only the one corresponding to  $\psi$  appears in the expression.

$$\begin{aligned} H &= \int d^3 \vec{x} \left( \frac{i}{c} \psi_\alpha^\dagger \dot{\psi}_\alpha - \mathcal{L} \right) \\ &= \int d^3 \vec{x} \left( \cancel{\frac{i}{c} \psi^\dagger \dot{\psi}} - (\cancel{\bar{\psi} i \gamma^0 \partial_0 \psi} + \bar{\psi} i \gamma^k \partial_k \psi - \kappa \bar{\psi} \psi) \right) \\ H &= \int d^3 \vec{x} \bar{\psi} (-i \vec{\gamma} \cdot \vec{\nabla} + \kappa) \psi, \quad \text{with } \vec{\gamma} = (\gamma^1, \gamma^2, \gamma^3)^T = -\vec{\gamma}^\dagger \end{aligned}$$

from the Dirac equation itself  $i\gamma^0 \partial_0 = -i\vec{\gamma} \cdot \vec{\nabla} + \kappa$ , the Hamiltonian is simplified to (also using  $\bar{\psi} \gamma^0 = \psi^\dagger \gamma^0 \gamma^0 = \psi^\dagger$ )

$$H = \int d^3 \vec{x} i \psi^\dagger \dot{\psi}$$

# Solving the Dirac equation in vacuum

First, note that with the *ansatz*

$$\psi(x) = u(k)e^{-ik \cdot x}, \quad k \cdot x = \vec{k} \cdot \vec{x} - \underbrace{k^0 ct}_{\omega_k t}$$

$$\omega_k = \pm c \sqrt{\vec{k}^2 + \kappa^2}$$

which is to say

$$\boxed{k^0 = \pm \sqrt{\vec{k}^2 + \kappa^2}}$$

The case  $k^0 = +\sqrt{\vec{k}^2 + \kappa^2}$

$$(i\gamma^\mu \partial_\mu - \kappa)u(k)e^{-ikx} = 0$$

Given that  $\partial_\mu e^{-ikx} = -ik_\mu e^{-ikx}$

$$\Rightarrow (\gamma^\mu k_\mu - \kappa)u(k)e^{-ikx} = 0$$

rearranging this

$$(k \cdot \gamma)u(k) = \kappa u(k)$$

That is to say,  $u(k)$  is an eigenvector of  $k \cdot \gamma$  with eigenvalue  $\kappa$

## Strategy I: Problem for $k = 0$

i.e.  $k^0 = \kappa$

Apply a boost to obtain the solution for general  $\vec{k}$ . So here  $k \cdot \gamma^\mu = k^0 \gamma^0$

$$\begin{aligned} \kappa \gamma^0 u(k) &= \kappa u(k) \\ \gamma^0 u(k) &= u(k) \end{aligned}$$

In our choice of Dirac matrices

$$\gamma^\mu = \begin{pmatrix} 0 & \sigma^\mu \\ \bar{\sigma}^\mu & 0 \end{pmatrix}, \sigma^\mu = \begin{pmatrix} \mathbb{1}_{1 \times 1} \\ \vec{\sigma} \end{pmatrix} \text{ and } \bar{\sigma}^\mu = \begin{pmatrix} \mathbb{1}_{1 \times 1} \\ -\vec{\sigma} \end{pmatrix}$$

$$\gamma^0 \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} b \\ a \end{pmatrix}$$

Eigenvectors with eigenvalue +1:  $(k \cdot \gamma)U = kU$

$$\begin{pmatrix} a \\ a \end{pmatrix} \quad \forall a \in \mathbb{C}^2$$

e.g.  $a = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, a = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$  and  $\begin{pmatrix} a \\ -a \end{pmatrix}$  have eigenvalue  $-1, \forall a \in \mathbb{C}^2$

$\Rightarrow$  solution for  $\vec{k} = 0$ ,

$$u(\vec{0}) = \sqrt{\kappa} \begin{pmatrix} \zeta \\ \zeta \end{pmatrix}, \quad \zeta \in \mathbb{C}^2$$

Choose the normalization  $\zeta^+ \cdot \zeta = 1$

Boost that brings  $\begin{pmatrix} k \\ \vec{\sigma} \end{pmatrix}$  into the vector  $\begin{pmatrix} k^0 = \sqrt{\vec{k}^2 + \kappa^2} \\ \vec{k} \end{pmatrix}$  is

$$\Lambda_\nu^\mu = \begin{pmatrix} \cosh \eta & 0 & 0 & \sinh \eta \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \sinh \eta & 0 & 0 & \cosh \eta \end{pmatrix}$$

$$k^\mu = \begin{pmatrix} k^0 \\ 0 \\ 0 \\ k^3 \end{pmatrix} = (\Lambda_\nu^\mu) \begin{pmatrix} \kappa \\ 0 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} \kappa \cosh \eta \\ 0 \\ 0 \\ \kappa \sinh \eta \end{pmatrix}$$

So this boost turns our rest frame wavevector with  $k^0 = \kappa$  into a more general one

$$\Rightarrow k^0 + 3 = \kappa e^\eta \quad (\because \cosh x + \sinh x = e^x)$$

and

$$k^0 - k^3 = \kappa e^{-\eta}$$

So now we have to transform our spinor  $u$  in a way that ... We know how it transforms, it's not with the matrix  $\Lambda_\nu^\mu$ , but with the spinor representations (using the matrices  $\mathbb{S}^{\mu\nu}$ ).

### Transformation of the spinor

$$\psi'(x') = \exp\left(-\frac{i}{2}\omega_{\mu\nu}S^{\mu\nu}\right)\psi(x)$$

Here, we pick  $\omega_{03} = -\omega^{30} = \eta$ , all other  $\omega_{\mu\nu}$  vanish.

$$\Rightarrow \exp\left(-\frac{i}{2}\omega_{\rho\sigma}S^{\rho\sigma}\right) = \exp(-i\eta\delta^{03}) = \exp\left(-\frac{\eta}{2}\begin{pmatrix} \sigma^3 & 0 \\ 0 & -\sigma^3 \end{pmatrix}\right)$$

which is

$$= -\frac{i}{2}\begin{pmatrix} \sigma^3 & 0 \\ 0 & -\sigma^3 \end{pmatrix}$$

Note that exponentiating such a complicated  $4 \times 4$  matrix here reduces to exponentiating a  $2 \times 2$  matrix because in  $\exp \lambda = \sum_k \frac{\lambda^n}{n!}$  the different matrices don't talk to each other <sup>1</sup>

$$\text{the exponential becomes} = \begin{pmatrix} \frac{1-\sigma^3}{2}e^{i\eta} + \frac{1+\sigma^3}{2}e^{-i\eta} & 0 \\ 0 & \frac{1-\sigma^3}{2}e^{i\eta} + \frac{1+\sigma^3}{2}e^{-i\eta} \end{pmatrix}$$

So,

$$\exp\left(-\frac{i}{2}\omega_{\rho\sigma}S^{\rho\sigma}\right) = \frac{1}{\sqrt{k}} \begin{bmatrix} \left(\frac{1-\sigma^3}{2}\sqrt{k^0+k^3} - \frac{1+\sigma^3}{2}\sqrt{k^0-k^3}\right) & 0 \\ 0 & \left(\sqrt{k^0+k^3}\frac{1-\sigma^3}{2} - \sqrt{k^0-k^3}\left(\frac{1+\sigma^3}{2}\right)\right) \end{bmatrix}$$

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<sup>1</sup>The even powers give  $\mathbb{1}$  and the odd powers give  $\sigma^3$

if  $A^2 = \lambda \mathbb{1}$ ,

$$e^A = \left(\sum_{\text{even}} \lambda^n\right) \mathbb{1} + \left(\sum_{\text{odd}} \frac{\lambda^{(n-1)}}{n!} A\right) = \cosh \lambda \mathbb{1} + \frac{1}{\lambda} \sinh \lambda \cdot A$$

if  $A = \sqrt{\lambda} \mathbb{1} e^{\sqrt{\lambda}} \dots$

What does the equation really say? These matrices (e.g.  $\sigma^3$ ) are projectors. Which means if you square the matrix, you get the same matrix.

$$\sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \frac{1 + \sigma^3}{2}, \text{ projects onto subspace with eigenvalue } +1$$

$$\frac{1 - \sigma^3}{2}, \text{ projects onto subspace with eigenvalue } -1$$

**General k:**

$$u(k) = \sqrt{k} \begin{pmatrix} \sqrt{k^0 \mathbb{1} - \vec{k} \vec{\sigma}} \\ \sqrt{k^0 \mathbb{1} + \vec{k} \vec{\sigma}} \end{pmatrix} = \begin{pmatrix} \sqrt{k \cdot \sigma} \\ \sqrt{k \cdot \bar{\sigma}} \end{pmatrix}$$