

F -SINGULARITIES: APPLICATIONS OF CHARACTERISTIC p METHODS TO SINGULARITY THEORY

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1. INTRODUCTION

Let R be a commutative ring containing a field of characteristic $p > 0$. The Frobenius map $F : R \rightarrow R$ is a ring homomorphism sending x to x^p for each $x \in R$. The letter “ F ” in the title of this article stands for the Frobenius map, and by “ F -singularities” we mean singularities defined in terms of the Frobenius map. The theory of F -singularities provides a series of methods to analyze singularities (not only in positive characteristic but also in characteristic zero), using techniques from commutative algebra in positive characteristic.

The importance of analytic methods for singularity theory has been recognized for many years. When the second-named author was a graduate student, his supervisor, Yuki Yoshi Kawada, gave him the following advice: “(some of) the deepest theorems in algebraic geometry have been proved by using analysis. It is better to study analytic methods.” Indeed, the Kodaira vanishing theorem and the Briançon-Skoda theorem, which we will explain in Section 2, were first proved by

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using analysis, and no purely algebraic proofs were known for these theorems until the 1980s. Also, it was impressive to Watanabe that Hochster, who was a leading researcher in commutative ring theory, gave a series of ten lectures entitled “Analytic Methods in Commutative Algebra” at the NSF regional conference at George Mason University in 1979. It was especially impressive to him that Hochster lectured even on some theorems of functional analysis, carrying the famous thick book written by Griffiths and Harris [33]. Here is a quote from the introduction of the paper by Lipman and Teissier [82]: “The proof given by Briançon and Skoda of this completely algebraic statement is based on a quite transcendental deep result of Skoda. The absence of an algebraic proof has been for algebraists something of a scandal—perhaps even an insult—and certainly a challenge.” This captures the feelings of commutative algebraists at the time very well.

The situation changed dramatically in the mid 1980s. Deligne-Illusie [15] and Hochster-Huneke [54] gave a comparatively elementary proof of the Kodaira vanishing theorem and the Briançon-Skoda theorem, respectively, using characteristic p methods. Such a development of characteristic p methods provided a framework to translate various notions about singularities in characteristic zero into the language of F -singularities. This leads us to the maxim: “(As far as singularities of algebraic varieties are concerned) what has been proved by analytic methods can be proved by characteristic p methods.” The goal of this article is to exhibit the effectiveness of characteristic p methods in singularity theory.

We shall have a look at the organization of this article. In Section 2, we review the theory of tight closure introduced by Hochster and Huneke. We then discuss the Boutot-type theorem for F -singularities and the tight closure version of the Briançon-Skoda theorem. In Section 3, we overview four classes of F -singularities: strongly F -regular, F -rational, F -pure and F -injective rings. In particular, we observe that rational singularities and F -rational rings are “morally equivalent”. In Section 4, we generalize the definition of F -singularities to the pair setting. We then explain a correspondence of F -singularities and singularities in the minimal model program. We also mention the theory of F -adjunction introduced by Karl Schwede. In Section 5, we explain two applications of asymptotic test ideals, a positive characteristic analogue of asymptotic multiplier ideals. One is to symbolic powers of ideals and the other is to asymptotic base loci in positive characteristic. In Section 6, we give an overview of Hilbert-Kunz theory. We explain a characterization of regular local rings in terms of Hilbert-Kunz multiplicity and then discuss a lower bound for the Hilbert-Kunz multiplicity of any non-regular local ring. Finally, we close this article by listing some of the topics that are not discussed in this article.

Throughout this article, all rings are Noetherian commutative rings with unity. For a ring R , we denote by R° the set of elements of R which are not in any minimal prime ideal.

2. TIGHT CLOSURE

The following facts are fundamental in the theory of singularities of algebraic varieties over a field of characteristic zero:

- (1) ([70]) Rational singularities are Cohen-Macaulay.
- (2) (Boutot’s theorem [9]) Pure subrings of rational singularities are again rational singularities.

- (3) (Briançon-Skoda theorem [13]) If I is an ideal in a regular ring generated by n elements, then the integral closure of I^n is contained in I .

We can formulate analogous results in positive characteristic, using the theory of tight closure. Tight closure is a closure operation defined on ideals (and modules) in positive characteristic, and its name comes from the fact that tight closure is “tighter” (smaller) than integral closure. In this section, we review the theory of tight closure and then discuss a tight closure version of the above theorems. The results, not specifically mentioned, come from [54]. We recommend [60], [61] for a nice introduction to the theory of tight closure. The reader is referred to [14], [32], [85] for standard notions and facts from commutative ring theory.

Suppose that p is a prime number and R is a reduced ring of characteristic p , that is, R contains the prime field \mathbb{F}_p . For a power $q = p^e$ of p and an ideal I of R , we put

$$I^{[q]} = (a^q | a \in I) \subseteq R.$$

Definition 2.1. For an ideal I of R , we define the *tight closure* I^* of I as follows: an element $x \in R$ belongs to I^* if and only if there exists some $c \in R^\circ$ such that $cx^q \in I^{[q]}$ for all sufficiently large powers $q = p^e$ of p . We can easily show that I^* is an ideal of R containing I . We say that the ideal I is *tightly closed* if $I^* = I$.

In the theory of tight closure and F -singularities, Kunz’s theorem plays a very important role. Before stating the theorem, we recall that the Frobenius map $F : R \rightarrow R$ is defined by $F(a) = a^p$ for every $a \in R$. Since $(x + y)^p = x^p + y^p$ in characteristic p , the map F is a ring homomorphism.

Theorem 2.2 (Kunz’s theorem [76]). *For a local ring (R, \mathfrak{m}) of dimension d containing a field of characteristic $p > 0$, the following conditions are equivalent:*

- (1) R is regular.
- (2) The Frobenius map $F : R \rightarrow R$ is flat.
- (3) $\ell_R(R/\mathfrak{m}^{[p]}) = p^d$.
- (4) For any power $q = p^e$ of p , $\ell_R(R/\mathfrak{m}^{[q]}) = q^d$,

where $\ell_R(M)$ denotes the length of an R -module M .

Proposition 2.3. *If (R, \mathfrak{m}) is a regular local ring, then every ideal in R is tightly closed.*

Proof. Suppose to the contrary that there exists an element $x \in I^* \setminus I$ for some ideal $I \subset R$. Then $(I : x) \subseteq \mathfrak{m}$. Since the Frobenius map $F : R \rightarrow R$ is flat by Theorem 2.2, $(I^{[q]} : x^q) = (I : x)^{[q]} \subseteq \mathfrak{m}^{[q]}$ for every $q = p^e$.¹ On the other hand, by the definition of tight closure, there exists a non-zero element $c \in R$ such that $cx^q \in I^{[q]}$ for all sufficiently large $q = p^e$. Hence, we have

$$c \in \bigcap_{e \gg 0} (I^{[p^e]} : x^{p^e}) \subseteq \bigcap_{e \gg 0} \mathfrak{m}^{[p^e]} = (0),$$

which is a contradiction. Thus, $J^* = J$ for every ideal J in R . □

This result leads us to introduce the notion of F -regular rings. The notion of F -rational rings is also defined in a similar way. Although the definitions of F -rational rings and rational singularities are completely different at first glance, we can think

¹For ideals I, J of a ring A , we denote $(I : J) = \{x \in A \mid xJ \subset I\}$. Also, we denote the ideal $(I : (y))$ simply by $(I : y)$. This “colon” operation will appear frequently in this section.

of F -rational rings as a positive characteristic analogue of rational singularities as we will see in Section 3.

Definition 2.4. Let R be a reduced ring of characteristic $p > 0$.

- (1) We say that R is *weakly F -regular* if every ideal in R is tightly closed. We say that R is *F -regular* if every localization of R is weakly F -regular.
- (2) ([20]) A local ring (R, \mathfrak{m}) is said to be *F -rational* if every parameter ideal² is tightly closed. When R is not local, we say that R is *F -rational* if the local ring $R_{\mathfrak{p}}$ is F -rational for every maximal ideal \mathfrak{p} of R .

By definition, we have the following hierarchy of properties of local rings:

$$F\text{-regular} \implies \text{weakly } F\text{-regular} \implies F\text{-rational}.$$

If the ring is Gorenstein, then F -rationality implies F -regularity (see Proposition 3.11).

Remark 2.5. The question of whether tight closure commutes with localization, that is, whether $(IS^{-1}R)^* = I^*(S^{-1}R)$ for every multiplicative subset $S \subset R$ and for every ideal I of R , had been a long standing open problem since tight closure was introduced by Hochster and Huneke in 1986. In 2010, Brenner and Monsky [12] gave a negative answer to this question by constructing a counterexample in characteristic 2. However, the question of whether every weakly F -regular ring is F -regular still remains open (see also Remark 3.5 (1)).

Example 2.6. Let k be a field of characteristic $p > 0$.

(1) Let $R = k[X, Y, Z]/(X^n + Y^n + Z^n)$, where $n \geq 2$ is an integer not divisible by p . Let x, y, z denote the images of X, Y, Z in R , respectively, and put $I = (y, z)$. Then $x \notin I^*$ and $x^2 \in I^*$. Therefore, if R is F -rational, then $n = 2$, and the converse also holds if $p \geq 3$.

(2) Suppose that $R = k[X, Y, Z]/(X^a + Y^b + Z^c)$ is reduced, where a, b, c are integers greater than or equal to 2. Let x, y, z denote the images of X, Y, Z in R , respectively, and put $I = (y, z)$. Then the condition that $x^{a-1} \notin I^*$ implies $1/a + 1/b + 1/c > 1$. Therefore, if R is F -rational, then $1/a + 1/b + 1/c > 1$, and the converse also holds if $p > 5$. This should be compared with the fact that when k is a field of characteristic zero, R is a rational singularity if and only if $1/a + 1/b + 1/c > 1$.

We give a sketch of the proof of Example 2.6 in order to help the reader get a feeling of tight closure.

A sketch of the proof of Example 2.6. (1) Fix any $q = p^e$ and write $q = nu + r$ with $0 \leq r < n$. Since $(x^2)^q = x^{2r}(x^n)^{2u} = (-1)^{2u}x^{2r}(y^n + z^n)^{2u}$, we have $(yz)^n(x^2)^q \in (y^q, z^q) = I^{[q]}$. Thus, $x^2 \in I^*$.

In order to show $x \notin I^*$, we use the notion of test elements (see Definition 5.2). It follows from Lemma 5.3 that there exists some power z^m of z such that for every $w \in I^*$, one has $z^mw^q \in I^{[q]}$ for all $q = p^e$.

Suppose to the contrary that $x \in I^*$, and choose $q = p^e > m$. Let $S = k[X, Y, Z]$ be a polynomial ring, and put $J = (X^n + Y^n + Z^n, Y^q, Z^q) \subset S$. We take the

²We call an ideal I a *parameter ideal* if I is generated by ht I elements.

graded reverse lexicographic order on S with $X > Y > Z$.³ Then the initial ideal $\text{in}(J) = (X^n, Y^q, Z^q)$. Write $q = nu + r$ with $0 \leq r < n$. Since

$$\text{in}((-1)^u Z^m X^r (Y^n + Z^n)^u) = (-1)^u X^r Y^{nu} Z^m \notin (X^n, Y^q, Z^q) = \text{in}(J),$$

one has $Z^m X^q \notin J$. This means that $z^m x^q \notin I^{[q]}$, which is a contradiction.

(2) Fix any $q = p^e$ and write $q = au + r$ with $0 \leq r < a$. Suppose that $1/a + 1/b + 1/c \leq 1$. Then

$$(x^{a-1})^q = (-1)^{(a-1)u} x^{(a-1)r} (y^b + z^c)^{(a-1)u} \subset ((y^b + z^c)^{\lceil a/b+a/c \rceil u}),$$

which implies that $(yz)^a (x^{a-1})^q \in (y^q, z^q) = I^{[q]}$. Thus, $x^{a-1} \in I^*$. \square

One of the important properties of F -rational rings is that they are Cohen-Macaulay. First we recall the definitions of Cohen-Macaulay rings and related notions.

Let (A, \mathfrak{m}) be a local ring of dimension d and $E_A(A/\mathfrak{m})$ be the injective hull of the residue field A/\mathfrak{m} . Then the *canonical module* ω_A of A is defined by

$$\omega_A \otimes_A \widehat{A} \cong \text{Hom}_A(H_{\mathfrak{m}}^d(A), E_A(A/\mathfrak{m})).$$

Alternatively, it is defined as $\omega_A = \mathcal{H}^{-d}(\omega_A^\bullet)$, where ω_A^\bullet is a normalized dualizing complex of A . In other words, ω_A^\bullet is a bounded complex of injective A -modules satisfying the following three conditions: (1) the canonical map $A \rightarrow \text{Hom}_A^\bullet(\omega_A^\bullet, \omega_A^\bullet)$ is a quasi-isomorphism, (2) $\mathcal{H}^i(\omega_A^\bullet)$ is a finitely generated A -module for each i , and (3) $\min\{i \mid \mathcal{H}^i(\omega_A^\bullet) \neq 0\} = -d$.

By this definition, if A has a dualizing complex, then A has a canonical module.⁴

Definition 2.7. Let A be a d -dimensional local ring with canonical module ω_A .

- (1) We say that A is a *Cohen-Macaulay ring* if a full system of parameters x_1, \dots, x_d for A is a regular sequence, that is, if $(x_1, \dots, x_i) : x_{i+1} = (x_1, \dots, x_i)$ for $i = 0, \dots, d-1$. Note that if some full system of parameters is a regular sequence, then so is every full system of parameters.
- (2) We say that A is a *Gorenstein ring* if A is Cohen-Macaulay and ω_A is a free A -module. We say that A is a *quasi-Gorenstein ring* if ω_A is free. A quasi-Gorenstein ring may not be Cohen-Macaulay.

When A is not a local ring, we say that A is Cohen-Macaulay (respectively, Gorenstein, quasi-Gorenstein) if so is the local ring $A_{\mathfrak{p}}$ for every maximal ideal \mathfrak{p} of A .

Next we recall the definition of rational singularities.

Definition 2.8. Let R be a normal ring essentially of finite type over a field k of characteristic zero, that is, R is a localization of a finitely generated algebra over k . We say that R is a *rational singularity* if there exists a resolution of singularities $\pi : Y \rightarrow \text{Spec } R$, a proper birational morphism with Y a regular scheme, such that $R^i \pi_* \mathcal{O}_Y = 0$ for every $i > 0$. If R is a rational singularity, then $R^i \pi_* \mathcal{O}_Y = 0$ for every $i > 0$ for every resolution of singularities $\pi : Y \rightarrow \text{Spec } R$.

³The *graded reverse lexicographic order* on the polynomial ring $k[X_1, \dots, X_n]$ is defined by saying that $X^\alpha > X^\beta$ if $\deg X^\alpha > \deg X^\beta$ or if $\deg X^\alpha = \deg X^\beta$ and in the vector difference $\alpha - \beta$, the rightmost non-zero entry is negative.

⁴It is known in [69] that A has a dualizing complex if and only if A is a homomorphic image of a Gorenstein local ring.

It is an application of the Grauert-Riemenschneider vanishing theorem that R is a rational singularity if and only if R is Cohen-Macaulay and $\pi_*\omega_Y = \omega_R$ for some (every) resolution $\pi : Y \rightarrow \operatorname{Spec} R$, where ω_Y is the canonical sheaf on Y .

Let us introduce the notion of pure subrings. Let $A \subset B$ be a ring extension. We say that A is a *pure subring* of B if for every A -module M , the natural map $M = M \otimes_A A \rightarrow M \otimes_A B$ is injective.⁵ For example, if A is a direct summand of B as an A -module, then A is a pure subring of B .

The following Boutot's theorem is very important in the study of singularities in characteristic zero. For example, it is a direct consequence of this theorem that in characteristic zero, rings of invariants under linearly reductive group actions are rational singularities.

Theorem 2.9 (Boutot's theorem [9]). *Let $A \subset B$ be an extension of rings of essentially finite type over a field of characteristic zero, and assume that A is a pure subring of B . If B is a rational singularity, then so is A .*

Although Boutot's theorem is proved by using the Grauert-Riemenschneider vanishing theorem, the Boutot-type theorem for F -regular rings immediately follows from the definition.

Theorem 2.10 (Boutot-type theorem for F -regular rings). *Let $R \subset S$ be an extension of reduced rings of characteristic $p > 0$ satisfying the condition $R^\circ \subseteq S^\circ$, and assume that R is a pure subring of S . If S is weakly F -regular (respectively, F -regular), then so is R .*

Indeed, it is almost obvious to see that $I^*S \subset (IS)^*$ for every ideal I of R . If S is weakly F -regular, then $I^* \subseteq (IS)^* \cap R = IS \cap R = I$, which implies that R is weakly F -regular, too.

Remark 2.11. While we can think of F -rational rings as a positive characteristic analogue of rational singularities, “Boutot-type theorem for F -rational rings” fails to hold. Let k be a field of characteristic $p > 0$ and let $R = \bigoplus_{n \geq 0} R_n$ be a Noetherian graded ring with $R_0 = k$. It is known in [125] (see also [44]) that if R is a Cohen-Macaulay isolated singularity and if $a(R) < 0$ where $a(R)$ is the a -invariant of R ,⁶ then R is a pure subring of some F -rational graded ring. For example, suppose that $R = k[X, Y, Z]/(X^2 + Y^3 + Z^5)$, and x, y, z denote the images of X, Y, Z in R , respectively. Then R can be viewed as a graded ring by putting $\deg x = 15, \deg y = 10, \deg z = 6$, respectively, and $a(R) = -1$. If $p \leq 5$, then R is *not* an F -rational ring but a pure subring of some F -rational graded ring. This is a counterexample to “Boutot-type theorem for F -rational rings”.

On the other hand, “Boutot-type theorem for F -rational rings” holds if $R \subset S$ is a finite extension. Indeed, if S is a finite R -module, then the extension IS of a parameter ideal I of R is a parameter ideal of S . Thus, if S is F -rational and if R is a pure subring of S , then R is F -rational by the same argument as the proof of Theorem 2.10.

⁵For an ideal I of A , the natural map $A/I \rightarrow (A/I) \otimes_A B$ is injective if and only if $IB \cap A = I$. Under the mild assumption that A is locally excellent and reduced, the condition that $IB \cap A = I$ for every ideal I in A is equivalent to the one that A is a pure subring of B ([52]).

⁶The a -invariant $a(A)$ of a d -dimensional Noetherian graded ring $(A, \mathfrak{m}) = \bigoplus_{n \geq 0} A_n$ with A_0 a field, introduced by Goto and Watanabe [31], is the largest integer a such that $[H_{\mathfrak{m}}^d(A)]_a \neq 0$.

In order to state the Briançon-Skoda theorem, we recall the definition of the integral closure of an ideal.

Definition 2.12. Let I be an ideal of a ring A .

- (1) We say that an element $x \in A$ is *integral over I* if there exist some $n \in \mathbb{N}$ and $a_i \in I^i$ for $i = 1, \dots, n$ such that $x^n + a_1x^{n-1} + a_2x^{n-2} + \dots + a_n = 0$. This condition is equivalent to saying that there exists some $c \in A^\circ$ such that $cx^n \in I^n$ for all sufficiently large $n \in \mathbb{N}$ ([64, Corollary 6.8.12]).
- (2) The set of elements of A integral over I is called the *integral closure* of I and denoted by \bar{I} . We say that I is *integrally closed* if $I = \bar{I}$.

By definition, the tight closure I^* of an ideal I of R is contained in the integral closure \bar{I} of I . The name of tight closure comes from this fact. It is natural to ask how small the tight closure I^* is when compared with the integral closure \bar{I} .

The Briançon-Skoda theorem was originally proved for convergent power series rings over the field of complex numbers \mathbb{C} . We now formulate an analogous statement for an arbitrary ring of positive characteristic, using tight closure. This tight closure version of the Briançon-Skoda theorem gives a simple alternative proof of the original Briançon-Skoda theorem by using reduction to positive characteristic (see Section 3.3 for the technique of reduction to positive characteristic).

Theorem 2.13 (Tight closure version of the Briançon-Skoda theorem). *Let I be an ideal of R generated by n elements. Then for every $l \in \mathbb{N}$, we have $I^{n+l-1} \subset (I^l)^*$.*

We show this theorem in the case where $l = 1$ for simplicity. For $x \in \bar{I}^n$, there exists a $c \in R^\circ$ such that $cx^m \in (I^n)^m = I^{mn}$ for all sufficiently large $m \in \mathbb{N}$. Since I is generated by n elements, $I^{(q-1)n+1} \subseteq I^{[q]}$ for every power q of p . If $m = q$ is a power of p , then $cx^q \in I^{qn} \subseteq I^{[q]}$. Thus, $x \in I^*$.

Before stating a corollary of Theorem 2.13, note that a reduced local ring R is normal if and only if the principal ideal (a) is integrally closed for every $a \in R^\circ$. Considering the case when $n = l = 1$ in Theorem 2.13, we obtain the following result.

Corollary 2.14. *If I is a principal ideal of R , we have $I^* = \bar{I}$. In particular, if R is F -rational, then R is normal.*

In order to show that F -rational rings are Cohen-Macaulay, we need the following “colon capturing” property of tight closure.

Theorem 2.15. *Let (R, \mathfrak{m}) be a d -dimensional equidimensional local ring, and suppose that R is a homomorphic image of a Cohen-Macaulay local ring. Let x_1, \dots, x_d be a full system of parameters for R . Then for every $i = 0, 1, \dots, d-1$, one has*

$$(x_1, \dots, x_i) : x_{i+1} \subset (x_1, \dots, x_i)^*,$$

where the ideal (x_1, \dots, x_i) is regarded as the zero ideal when $i = 0$.

Since F -rational rings are normal by Corollary 2.14, F -rational local rings are integral domains. Also, note that excellent local rings are homomorphic images of Cohen-Macaulay local rings. Therefore, we have the following corollary.

Corollary 2.16. *If (R, \mathfrak{m}) is an excellent F -rational local ring, then R is Cohen-Macaulay. In particular, every F -rational ring essentially of finite type over a field is Cohen-Macaulay.*

We have discussed the behavior of the tight closure of ideals. Now we turn our attention to the tight closure of modules. Given an R -module M and its submodule N , we can define the tight closure N_M^* of N in M . In this article, we only consider the case where $N = 0$ for simplicity.⁷

In order to state the definition of the tight closure of modules, we need to introduce some notation. Given an R -module M and an $e \in \mathbb{N}$, the R -module $F_*^e M$ is defined by the following two conditions: (1) $F_*^e M = M$ as an abelian group, (2) the R -module structure of $F_*^e M$ is given by $r \cdot x := r^{p^e} x$ with $r \in R$ and $x \in F_*^e M$. We write elements of $F_*^e M$ in the form $F_*^e x$ with $x \in M$. If $X = \operatorname{Spec} R$ and $F : X \rightarrow X$ is the (absolute) Frobenius morphism on X , then $F_*^e R$ is the R -module corresponding to $F_*^e \mathcal{O}_X$. By definition, the e -times iterated Frobenius map $F^e : R \rightarrow F_*^e R$ sending x to $F_*^e(x^{p^e}) = x \cdot F_*^e 1$ is an R -module homomorphism.

Definition 2.17. Let M be a (not necessarily finitely generated) R -module. For every $e \in \mathbb{N}$, let $F^e : R \rightarrow F_*^e R$ be the e -times iterated Frobenius map. F^e induces the e -th Frobenius map on M

$$F_M^e : M \rightarrow M \otimes_R F_*^e R \quad x \mapsto x \otimes F_*^e 1.$$

Then the tight closure 0_M^* of the zero submodule in M is defined as follows: an element $x \in M$ belongs to 0_M^* if and only if there exists some $c \in R^\circ$ such that $cF_M^e(x) = 0$ for all sufficiently large $e \in \mathbb{N}$.⁸

Weak F -regularity and F -rationality can be characterized in terms of 0_M^* . If $0_M^* = 0$ for every finitely generated R -module M , then R is weakly F -regular, because $0_{R/I}^* = I^*/I \subseteq R/I$. The converse also holds under the mild assumption that R is locally excellent. A d -dimensional excellent local ring (R, \mathfrak{m}) is F -rational if and only if R is Cohen-Macaulay and $0_{H_{\mathfrak{m}}^d(R)}^* = 0$ (see Proposition 3.9). Also, an F -finite local ring (R, \mathfrak{m}) is strongly F -regular if and only if $0_{E_R(R/\mathfrak{m})}^* = 0$ where $E_R(R/\mathfrak{m})$ is the injective hull of the residue field R/\mathfrak{m} (see the definitions of F -finiteness and of strongly F -regular rings in Section 3.1).

The Frobenius map $F : R \rightarrow R$ sending r to r^p induces a p -linear map $H_{\mathfrak{m}}^d(R) \rightarrow H_{\mathfrak{m}}^d(R)$.⁹ We denote this p -linear map by the same letter F if it does not cause any confusion. Karen Smith gave a characterization of F -rational rings using this Frobenius action F on $H_{\mathfrak{m}}^d(R)$.

Theorem 2.18 ([112]). *Let (R, \mathfrak{m}) be a d -dimensional excellent local ring of characteristic $p > 0$. Then R is F -rational if and only if R is Cohen-Macaulay and $H_{\mathfrak{m}}^d(R)$ has no proper non-trivial submodules stable under the Frobenius action F .*

Lipman and Teissier [82] introduced the notion of pseudo-rational rings as a resolution-free and characteristic-free analogue of rational singularities. Let A be a homomorphic image of an excellent Gorenstein local ring. We say that A is *pseudo-rational* if A is normal Cohen-Macaulay and if $\pi_* \omega_Y = \omega_X$ for every proper birational morphism $\pi : Y \rightarrow X = \operatorname{Spec} A$ with Y normal. A non-local ring is pseudo-rational if all of its localizations at maximal ideals are pseudo-rational. It is well known that pseudo-rationality is equivalent to rational singularities for rings essentially of finite type over a field of characteristic zero.

⁷Since there exists an isomorphism $N_M^*/N \cong 0_{M/N}^*$, we can reduce to the case where $N = 0$.

⁸For an ideal I of R , if we put $M = R/I$, then the tight closure of 0 in M agrees with I^*/I .

⁹For an R -module M , we say that a map $\varphi : M \rightarrow M$ is *p-linear* if φ is additive and $\varphi(rz) = r^p \varphi(z)$ with $r \in R$ and $z \in M$.

As a corollary of Theorem 2.18, Smith proved that F -rationality implies pseudo-rationality.

Corollary 2.19 ([112]). *Excellent F -rational rings are pseudo-rational.*

In Section 3.2, we will explain that there is a more geometric way to show this result in the case where R is F -finite.

3. CLASSICAL F -SINGULARITIES

F -regular and F -rational rings have origin in the theory of tight closure as we have seen in the previous section, but they are nowadays recognized as one of the classes of F -singularities. “ F -singularities” are a generic term used to refer to singularities defined in terms of Frobenius maps. In addition to F -regular and F -rational rings, there are two other basic classes of F -singularities, F -pure and F -injective rings. In this section, we will overview these 4 classes of singularities from a more algebro-geometric point of view than in Section 2. Roughly speaking, they are divided into two groups, singularities defined via splittings of Frobenius maps and singularities defined via surjectivity of trace maps.

Let R be a ring of prime characteristic p . We say that R is F -finite if F_*R is a finitely generated R -module (see the paragraph preceding Definition 2.17 for the definition of F_*R). A field K is F -finite if and only if the extension degree $[K : K^p]$ is finite. Important examples of F -finite rings are rings essentially of finite type over an F -finite field and complete local rings with F -finite residue field. F -finite rings satisfy the following nice property.

Fact 3.1 ([76], [24]). F -finite rings are excellent and have a dualizing complex.

3.1. Singularities defined in terms of Frobenius splitting. Suppose that R is a ring of prime characteristic p . For each $e \in \mathbb{N}$, the e -times iterated Frobenius map $F^e : R \rightarrow F_*^e R$ is the R -module homomorphism sending x to $F_*^e x^{p^e} = x \cdot F_*^e 1$. We make the following easy remark, which may help the reader understand various definitions in this section: when R is reduced with minimal prime ideals $\mathfrak{p}_1, \dots, \mathfrak{p}_r$,

$$R \hookrightarrow \prod_{i=1}^r R/\mathfrak{p}_i \hookrightarrow \prod_{i=1}^r Q(R/\mathfrak{p}_i)$$

and the map F^e can be identified with the natural inclusion

$$R \hookrightarrow R^{1/p^e} = \left\{ x \in \prod_{i=1}^r \overline{Q(R/\mathfrak{p}_i)} \mid x^{p^e} \in R \right\},$$

where $\overline{Q(R/\mathfrak{p}_i)}$ is the algebraic closure of the quotient field $Q(R/\mathfrak{p}_i)$ of R/\mathfrak{p}_i .

Definition 3.2 ([59], [55]). Let R be an F -finite ring of prime characteristic p . We say that R is F -pure if the Frobenius map $F : R \rightarrow F_*R$ splits as an R -module homomorphism. We say that R is *strongly F -regular* if for every $c \in R^\circ$, there exists some $e \in \mathbb{N}$ such that the map

$$cF^e : R \xrightarrow{F^e} F_*^e R \xrightarrow{\times F_*^e c} F_*^e R \quad x \mapsto F_*^e(x^{p^e}) \mapsto F_*^e(cx^{p^e})$$

splits as an R -module homomorphism.

Since the splitting of $F^e : R \rightarrow F_*^e R$ for some $e \in \mathbb{N}$ implies that of $F : R \rightarrow F_* R$, we see that strongly F -regular rings are F -pure by considering the case when $c = 1$. Note also that if the map $F : R \rightarrow F_* R$ splits, then it has to be injective. Thus, F -pure rings are reduced.

Example 3.3. Let $R = k[x_1, \dots, x_d]$ be a polynomial ring over a perfect field k of characteristic $p > 0$. Then R is strongly F -regular. Indeed, let c be any non-zero polynomial of R . Take a sufficiently large $e \in \mathbb{N}$ such that the degree of c in x_j is less than p^e for all $j = 1, \dots, d$. In particular, c has a term $ax_1^{m_1} \cdots x_d^{m_d}$ with $m_j < p^e$ and $a \in k^*$. Since $F_*^e R = \bigoplus_{0 \leq i_1, \dots, i_d < p^e} R \cdot F_*^e(x_1^{i_1} \cdots x_d^{i_d})$, there exists an R -module homomorphism $\varphi : F_*^e R \rightarrow R$ such that

$$\varphi(F_*^e(x_1^{i_1} \cdots x_d^{i_d})) = \begin{cases} 1 & \text{if } (i_1, \dots, i_d) = (m_1, \dots, m_d), \\ 0 & \text{if } (i_1, \dots, i_d) \neq (m_1, \dots, m_d) \text{ and } 0 \leq i_1, \dots, i_d < p^e. \end{cases}$$

Then $\varphi(F_*^e c) = a^{1/p^e}$ and $a^{-1/p^e} \varphi$ gives a splitting of $cF^e : R \rightarrow F_*^e R$.

More generally, we can show the following proposition, which is an easy consequence of Theorem 2.2.

Proposition 3.4 ([55]). *F -finite regular rings are strongly F -regular.*

Remark 3.5. (1) Strong F -regularity implies F -regularity defined in Definition 2.4. Indeed, since strong F -regularity commutes with localization, it suffices to show that a strongly F -regular ring R is weakly F -regular. Let I be an arbitrary ideal in R , and fix an $x \in I^*$. We will show that $x \in I$. By definition, there is a $c \in R^\circ$ such that $cx^{p^e} \in I^{[p^e]}$ for all sufficiently large $e \in \mathbb{N}$. By the assumption on R , there exist an $e \in \mathbb{N}$, which can be made sufficiently large, and an R -module homomorphism $\psi : F_*^e R \rightarrow R$ sending $F_*^e c$ to 1. Therefore,

$$x = x\psi(F_*^e c) = \psi(F_*^e(cx^{p^e})) \in \psi(F_*^e(I^{[p^e]})) = I\psi(F_*^e R) \subset I.$$

Conversely, it is conjectured that an F -finite weakly F -regular ring R is strongly F -regular. This conjecture is known to be true when R is \mathbb{Q} -Gorenstein (see [3])¹⁰ or R is an \mathbb{N} -graded ring (see [83]).

(2) It is not hard to see that an F -finite ring R is strongly F -regular (respectively, F -pure) if and only if so is the local ring $R_{\mathfrak{p}}$ for every maximal ideal \mathfrak{p} in R . In particular, if \mathfrak{m} is a maximal ideal of R and $R_{\mathfrak{p}}$ is regular for all other maximal ideals $\mathfrak{p} \neq \mathfrak{m}$, then R is strongly F -regular if and only if $R_{\mathfrak{m}}$ is strongly F -regular.

It is usually difficult to determine directly from the definition whether a given ring R is strongly F -regular or not, because we have to check the condition for all $c \in R^\circ$. Pick an element $c \in R^\circ$ such that the localization R_c is regular. It then follows from Proposition 3.4 and Lemma 3.6 that R is strongly F -regular if (and only if) there is an $e \in \mathbb{N}$ such that $cF^e : R \rightarrow F_*^e R$ splits as an R -module homomorphism. Namely, it suffices to check the condition only for this c .

Lemma 3.6 ([55]). *Let R be an F -finite reduced ring of characteristic $p > 0$ and $c \in R^\circ$ an element such that the localization R_c is strongly F -regular. If there exists an $e \in \mathbb{N}$ such that $cF^e : R \rightarrow F_*^e R$ splits as an R -module homomorphism, then R is strongly F -regular.*

¹⁰When R is local, the conjecture holds if the non- \mathbb{Q} -Gorenstein locus of $\text{Spec } R$ is isolated (cf. [84]).

The following proposition, the so-called Fedder's criterion, is a very useful criterion for strong F -regularity and F -purity.

Proposition 3.7 ([19], [26]). *Let (S, \mathfrak{n}) be an F -finite regular local ring and I a radical ideal of S . Set $R = S/I$ and let $c \in S \setminus I$ be an element such that the localization R_c is strongly F -regular.*

- (1) *R is F -pure if and only if $(I^{[p]} : I) \not\subset \mathfrak{n}^{[p]}$.*
- (2) *R is strongly R -regular if and only if there exists some $e \in \mathbb{N}$ such that $c(I^{[p^e]} : I) \not\subset \mathfrak{n}^{[p^e]}$.*

It is easier to verify the conditions in Proposition 3.7 when R is a hypersurface, because if $I = (f)$ is a principal ideal, then $(I^{[p^e]} : I) = (f^{p^e-1})$.

Example 3.8. Let k be a perfect field of characteristic $p > 0$.

(1) Let $R = k[X, Y, Z]/(X^3 - YZ(Y + Z))$ and x, y, z be the images of X, Y, Z in R , respectively. Then we will show that R is F -pure if and only if $p \equiv 1 \pmod{3}$.

Since R is singular only at the origin, by Remark 3.5 (2), it suffices to check the F -purity of the local ring $R_{\mathfrak{m}}$ where $\mathfrak{m} = (x, y, z)$. Setting $f = X^3 - YZ(Y + Z)$, we see from Proposition 3.7 (1) that $R_{\mathfrak{m}}$ is F -pure if and only if $f^{p-1} \notin (X^p, Y^p, Z^p)$. Note that $f^{p-1} \notin (X^p, Y^p, Z^p)$ if and only if the monomial $X^{p-1}Y^{p-1}Z^{p-1}$ appears in the expansion of f^{p-1} . If $p \equiv 1 \pmod{3}$, then $X^{p-1}Y^{p-1}Z^{p-1}$ appears in the expansion of f^{p-1} . If $p = 3$ or $p \equiv 2 \pmod{3}$, then it does not. Thus, we obtain the assertion.

It also follows from Proposition 3.7 (2) that R is not strongly F -regular, because $Xf^{p^e-1} \in (X^{p^e}, Y^{p^e}, Z^{p^e})$ for all $e \in \mathbb{N}$.

(2) Let $R = k[X, Y, Z]/(X^2 + Y^3 + Z^5)$, and suppose that $p \geq 7$. Then we will show that R is strongly F -regular.

By Remark 3.5 (2), it suffices to check the strong F -regularity of R at the origin. Set $f = X^2 + Y^3 + Z^5$. By Proposition 3.7 (2), it is enough to show that either Yf^{p-1} or Zf^{p-1} is not contained in (X^p, Y^p, Z^p) . If $p \equiv 1 \pmod{3}$, then the monomial $X^{p-1}Y^{p-1}Z^{(5p+1)/6}$ appears in the expansion of Zf^{p-1} . Since $(5p+1)/6 \leq p-1$, this monomial is not contained in (X^p, Y^p, Z^p) . If $p \equiv 2 \pmod{3}$, then $p \geq 11$ and the monomial $X^{p-1}Y^{p-1}Z^{(5p+5)/6}$ appears in the expansion of Yf^{p-1} . Since $(5p+5)/6 \leq p-1$, this monomial is not contained in (X^p, Y^p, Z^p) . Thus, we obtain the assertion.

3.2. Singularities defined in terms of surjectivity of trace maps. Let (R, \mathfrak{m}) be a d -dimensional local ring of prime characteristic p . The Frobenius map $F : R \rightarrow F_*R$ induces an R -linear map between local cohomology modules:

$$H_{\mathfrak{m}}^i(R) = R \otimes_R H_{\mathfrak{m}}^i(R) \rightarrow F_*R \otimes_R H_{\mathfrak{m}}^i(R) \cong H_{\mathfrak{m}}^i(F_*R).$$

Under the identification of F_*R with R , we view this map as a p -linear map $H_{\mathfrak{m}}^i(R) \rightarrow H_{\mathfrak{m}}^i(R)$, and we use the same letter F to denote this p -linear map. Let x_1, \dots, x_d be a system of parameters for R . Since $H_{\mathfrak{m}}^d(R) \cong \varinjlim R/(x_1^n, \dots, x_d^n)$, the map F on $H_{\mathfrak{m}}^d(R)$ can be described as follows:

$$F : H_{\mathfrak{m}}^d(R) \rightarrow H_{\mathfrak{m}}^d(R) \quad \xi = [z \bmod (x_1^n, \dots, x_d^n)] \mapsto \xi^p = [z^p \bmod (x_1^{np}, \dots, x_d^{np})].$$

The following proposition, which one may take as the definition of F -rational rings, immediately follows from this description.

Proposition 3.9. *Let (R, \mathfrak{m}) be a d -dimensional F -finite local ring. Then R is F -rational (see Definition 2.4 for the definition of F -rational rings) if and only if R is Cohen-Macaulay and if for any $c \in R^\circ$, there exists an $e \in \mathbb{N}$ such that*

$$cF^e : H_{\mathfrak{m}}^d(R) \rightarrow H_{\mathfrak{m}}^d(R) \quad \xi \mapsto c\xi^{p^e}$$

is injective.

Definition 3.10 ([19]). A d -dimensional F -finite local ring (R, \mathfrak{m}) is said to be F -injective if $F : H_{\mathfrak{m}}^i(R) \rightarrow H_{\mathfrak{m}}^i(R)$ is injective for all i . When an F -finite ring R is not local, we say that R is F -injective if the local ring $R_{\mathfrak{p}}$ is F -injective for every maximal ideal \mathfrak{p} of R .

By an argument analogous to the proof that strongly F -regular rings are F -pure, we see that F -rational rings are F -injective. Moreover, there is the following relationship between strongly F -regular (respectively, F -pure) rings and F -rational (respectively, F -injective) rings.

Proposition 3.11. *F -pure (respectively, strongly F -regular) rings are F -injective (respectively, F -rational). If the ring is F -finite and quasi-Gorenstein, then the converse is also true.*

Proposition 3.11 follows from Lemma 3.12: If a d -dimensional F -finite local ring (R, \mathfrak{m}) is F -pure, then by Lemma 3.12 (2) \Rightarrow (3), $F \otimes \text{id}_{H_{\mathfrak{m}}^i(R)} : H_{\mathfrak{m}}^i(R) \rightarrow F_*R \otimes_R H_{\mathfrak{m}}^i(R)$ is injective for each i , in other words, R is F -injective. If R is quasi-Gorenstein, then $E \cong H_{\mathfrak{m}}^d(R)$, so that F -injectivity implies F -purity by Lemma 3.12 (1) \Rightarrow (4). The strongly F -regular case is similar: As explained in Remark 3.5, strong F -regularity implies weak F -regularity and in particular F -rationality. If R is Gorenstein, then $E \cong H_{\mathfrak{m}}^d(R)$, so that F -rationality implies the strong F -regularity by an analogous statement to Lemma 3.12 for strongly F -regular rings.

The following lemma is a direct consequence of the definition of F -purity and Matlis duality.

Lemma 3.12. *Let (R, \mathfrak{m}) be a d -dimensional F -finite local ring and $E = H_{\mathfrak{m}}^d(\omega_R)$ the injective hull of the residue field R/\mathfrak{m} . Then the following conditions are equivalent to each other:*

- (1) R is F -pure.
- (2) The R -dual of the Frobenius map $F^\vee : \text{Hom}_R(F_*R, R) \rightarrow \text{Hom}_R(R, R) = R$ is surjective.
- (3) For any R -module M , $F \otimes \text{id}_M : M = R \otimes_R M \rightarrow F_*R \otimes_R M$ is injective.
- (4) $F \otimes \text{id}_E : E = R \otimes_R E \rightarrow F_*R \otimes_R E$ is injective.

A similar statement holds for strongly F -regular rings.

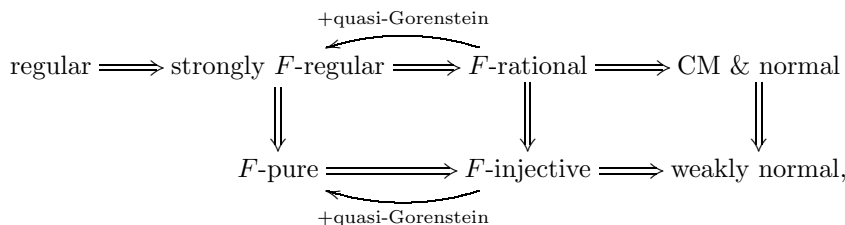
Note that F -finite F -rational rings are normal by Corollary 2.14. On the other hand, F -finite F -injective rings are not necessarily normal. Before stating the next proposition, we recall the definition of weakly normal rings. Since we are working in positive characteristic, we take the following characterization of weak normality given in [100] as its definition. Let R be an excellent reduced ring of characteristic $p > 0$ and R^N be the normalization of R . We say that R is *weakly normal* if for any $x \in R^N$, if $x^p \in R$, then $x \in R$.

Proposition 3.13 ([103]). *F -finite F -injective rings are weakly normal.*

In the process of proving Proposition 3.13, we obtain the following example.

Example 3.14 ([103], [30]). A one-dimensional F -finite reduced ring is F -injective if and only if it is weakly normal. Furthermore, suppose that R is a one-dimensional F -finite reduced local ring with perfect residue field. Then R is F -pure if and only if it is weakly normal. If R is a one-dimensional complete local ring with algebraically closed residue field k , then R is isomorphic to $k[[X_1, \dots, X_r]]/(X_i X_j \mid i < j)$, where r is the number of associated prime ideals of R .

Summing up the above, we have seen that the following implications hold for F -finite rings:



where “CM” is an abbreviation for Cohen-Macaulay.

There is no criteria for F -rationality such as Proposition 3.7, but as we see in the following proposition, we can determine using the a -invariant¹¹ whether a given graded ring is F -rational or not. This should be compared with a criterion for rational singularities given in [21], [123].

Proposition 3.15 ([56]). Let $R = \bigoplus_{n \geq 0} R_n$ be a d -dimensional graded ring with R_0 a field of characteristic $p > 0$ and $\mathfrak{m} = \bigoplus_{n \geq 1} R_n$. Then R is F -rational if and only if the following 4 conditions are satisfied: (1) R is Cohen-Macaulay, (2) R is F -injective, (3) $R_{\mathfrak{p}}$ is F -rational for every prime ideal $\mathfrak{p} \neq \mathfrak{m}$, (4) $a(R) < 0$ (the condition (4) can be replaced by the condition that $[H_{\mathfrak{m}}^d(R)]_0 = 0$ in this setting).

Example 3.16. Let k be an algebraically closed field of characteristic $p > 0$ with $p \equiv 1 \pmod{3}$ and $S = k[X, Y, Z]/(X^3 - YZ(Y + Z))$. We have seen in Example 3.8 that S is F -pure. Let $\omega \in k$ be a primitive cube root of unity, and suppose that the cyclic group $G = \mathbb{Z}/3\mathbb{Z}$ of order 3 acts on S as $x \mapsto x, y \mapsto \omega y, z \mapsto \omega z$, where x, y, z are the images of X, Y, Z in S , respectively. Then the invariant subring

$$R := S^G = k[X, Y^3, Y^2 Z, Y Z^2, Z^3]/(X^3 - YZ(Y + Z))$$

is F -rational. Indeed, since S is a two-dimensional normal ring, so is R . In particular, R is a Cohen-Macaulay isolated singularity. Hence, the conditions (1) and (3) in Proposition 3.15 are satisfied. Since S is F -pure, R is also F -pure by Lemma 3.17 (1), which implies the condition (2). In order to show that R satisfies the condition (4), note that the third Veronese subring $R^{(3)}$ of R is isomorphic to the third Veronese subring $A^{(3)} = k[Y^3, Y^2 Z, Y Z^2, Z^3]$ of the polynomial ring $A = k[Y, Z]$. Denoting by \mathfrak{m} the unique homogeneous maximal ideal of R , one has

$$[H_{\mathfrak{m}}^2(R)]_0 = [H_{\mathfrak{m}}^2(R^{(3)})]_0 \cong [H_{(Y,Z)}^2(A^{(3)})]_0 = [H_{(Y,Z)}^2(A)]_0 = 0.$$

Thus, it follows from Proposition 3.15 that R is F -rational. On the other hand, R is not strongly F -regular by Lemma 3.17 (2), because S is not strongly F -regular as we have seen in Proposition 3.15.

¹¹See the footnote to Remark 2.11 for the definition of the a -invariant.

Lemma 3.17. *Let $A \subset B$ be an extension of F -finite rings such that $A^\circ \subseteq B^\circ$, and suppose that A is a pure subring of B .*

- (1) ([47]) *If B is F -pure (respectively, strongly F -regular), then so is A .*
- (2) ([124]) *Suppose that A and B are both normal and that the extension $A \subset B$ is étale in codimension one.¹² Then A is F -pure (respectively, strongly F -regular) if and only if so is B .*

Example 3.18. Let $S = k[X, Y, Z]/(X^4 + Y^4 + Z^4)$ where k is a perfect field of characteristic $p > 0$ with $p \equiv 1 \pmod{4}$. It is easy to see from Proposition 3.7 that S is not F -pure. Therefore, the second Veronese subring

$$R := S^{(2)} = k[X^2, XY, XZ, Y^2, YZ, Z^2]/(X^4 + Y^4 + Z^4)$$

of S is also not F -pure by Lemma 3.17 (2). We will show that R is F -injective.

Let $A = k[X, Y, Z]$ and $f = X^4 + Y^4 + Z^4 \in A$. Let $\mathfrak{m}_A, \mathfrak{m}_R$ and \mathfrak{m}_S denote the unique homogeneous maximal ideals of A, R and S , respectively. Consider a commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 & \longrightarrow & A & \xrightarrow{\times f} & A & \longrightarrow & S \longrightarrow 0 \\ & & \downarrow f^{p-1}F & & \downarrow F & & \downarrow F \\ 0 & \longrightarrow & A & \xrightarrow{\times f} & A & \longrightarrow & S \longrightarrow 0. \end{array}$$

This diagram induces the following commutative diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & H_{\mathfrak{m}_S}^2(S) & \longrightarrow & H_{\mathfrak{m}_A}^3(A) & \xrightarrow{\times f} & H_{\mathfrak{m}_A}^3(A) \\ & & \downarrow F & & \downarrow f^{p-1}F & & \downarrow F \\ 0 & \longrightarrow & H_{\mathfrak{m}_S}^2(S) & \longrightarrow & H_{\mathfrak{m}_A}^3(A) & \xrightarrow{\times f} & H_{\mathfrak{m}_A}^3(A). \end{array}$$

By the commutativity of this diagram, the Frobenius map $F : H_{\mathfrak{m}_S}^2(S) \rightarrow H_{\mathfrak{m}_S}^2(S)$ on $H_{\mathfrak{m}_S}^2(S)$ can be identified with $f^{p-1}F : (0 : f)_{H_{\mathfrak{m}_A}^3(A)} \rightarrow (0 : f)_{H_{\mathfrak{m}_A}^3(A)}$.

Suppose that $\xi = [g/(XYZ)^m] \in H_{\mathfrak{m}_A}^3(A)$ is a homogeneous element such that $f^{p-1}F(\xi) = 0$, that is, $f^{p-1}g^p \in (X^{mp}, Y^{mp}, Z^{mp})$. Since $p \equiv 1 \pmod{4}$, the monomial $X^{2(p-1)}Y^{p-1}Z^{p-1} = X^p(X^{p-2}Y^{p-1}Z^{p-1})$ appears with a non-zero coefficient $c \in k$ in the expansion of f^{p-1} . Let $\varphi : F_*A \rightarrow A$ be the A -linear map sending $F_*(X^{p-2}Y^{p-1}Z^{p-1})$ to $c^{-1/p}$ and the other members of the free basis to zero. Then

$$Xg = \varphi(F_*f^{p-1})g = \varphi(F_*(f^{p-1}g^p)) \in \varphi(F_*(X^{mp}, Y^{mp}, Z^{mp})) \subset (X^m, Y^m, Z^m).$$

One can show similarly that Yg and Zg lie in (X^m, Y^m, Z^m) . Namely, $\mathfrak{m}_A g$ is contained in (X^m, Y^m, Z^m) , which is equivalent to saying that $\mathfrak{m}_A \xi = 0$. Since A is a three-dimensional polynomial ring, this means that $\deg \xi \geq -3$.

Taking into consideration the fact that $[H_{\mathfrak{m}_S}^2(S)]_n \cong [(0 : f)_{H_{\mathfrak{m}_A}^3(A)}]_{n-4}$ for each $n \in \mathbb{Z}$, one has that $F : H_{\mathfrak{m}_S}^2(S) \rightarrow H_{\mathfrak{m}_S}^2(S)$ is injective in non-positive degrees, and then so is $F : H_{\mathfrak{m}_R}^2(R) \rightarrow H_{\mathfrak{m}_R}^2(R)$. Since $[H_{\mathfrak{m}_R}^2(R)]_n \cong [H_{\mathfrak{m}_S}^2(S)]_{2n} = 0$ for all $n \geq 1$, we can conclude that the Frobenius map $F : H_{\mathfrak{m}_R}^2(R) \rightarrow H_{\mathfrak{m}_R}^2(R)$ is injective in all degrees. Thus, R is F -injective.

¹²An analogous statement holds even when $A \subset B$ is not étale in codimension one. The reader is referred to [108] for the details.

Let R be an F -finite local ring. The trace map $\mathrm{Tr}_F : F_*\omega_R \rightarrow \omega_R$ of the Frobenius map on R is the ω_R -dual of the Frobenius map $F : R \rightarrow F_*R$:

$$\mathrm{Tr}_F : F_*\omega_R \cong \mathrm{Hom}_R(F_*R, \omega_R) \rightarrow \mathrm{Hom}_R(R, \omega_R) = \omega_R,$$

where the first isomorphism follows from Grothendieck-Serre duality. For each $e \in \mathbb{N}$, the map $\mathrm{Tr}_F^e : F_*^e\omega_R \rightarrow \omega_R$ is defined by $\mathrm{Tr}_F^e = \mathrm{Tr}_F \circ F_*\mathrm{Tr}_F \circ \cdots \circ F_*^{e-1}\mathrm{Tr}_F$, which is nothing but the ω_R -dual of the e -times iterated Frobenius $F^e : R \rightarrow F_*^eR$.

When R is Cohen-Macaulay, F -injectivity and F -rationality are characterized in terms of the subjectivity of the trace of Frobenius. This is an easy consequence of local duality.

Proposition 3.19. *Let R be an F -finite reduced local ring.*

- (1) *If R is F -injective, then $\mathrm{Tr}_F : F_*\omega_R \rightarrow \omega_R$ is surjective. When R is Cohen-Macaulay, the converse is also true.*
- (2) *R is F -rational if and only if R is Cohen-Macaulay and if for any $c \in R^\circ$, there exists an $e \in \mathbb{N}$ such that*

$$c\mathrm{Tr}_F^e : F_*^e\omega_R \xrightarrow{\times F_*^ec} F_*^e\omega_R \xrightarrow{\mathrm{Tr}_F^e} \omega_R \quad F_*^ex \mapsto F_*^e(cx) \mapsto \mathrm{Tr}_F^e(F_*^e(cx))$$

is surjective.

A morphism $\pi : Y \rightarrow X$ of integral schemes is called an *alteration* if π is a generically finite proper surjective morphism. It is called a *regular alteration* if Y is a regular scheme. It follows from Stein factorization that an alteration $\pi : Y \rightarrow X$ factors as $Y \xrightarrow{f} Z \xrightarrow{g} X$, where f is a proper birational morphism and g is a finite morphism. Then the trace map $\mathrm{Tr}_\pi : \pi_*\omega_Y \rightarrow \omega_X$ of π is the composite of Tr_f and Tr_g , where the trace map $\mathrm{Tr}_f : f_*\omega_Y \rightarrow \omega_Z$ of f is a natural inclusion and the trace map $\mathrm{Tr}_g : g_*\omega_Z \rightarrow \omega_X$ of g is the ω_X -dual of $\mathcal{O}_X \rightarrow g_*\mathcal{O}_Z$:

$$g_*\omega_Z \cong \mathrm{Hom}_{\mathcal{O}_X}(g_*\mathcal{O}_Z, \omega_X) \rightarrow \mathrm{Hom}_{\mathcal{O}_X}(\mathcal{O}_X, \omega_X) = \omega_X.$$

Rational singularities in characteristic zero and F -rational rings in characteristic $p > 0$ have a common characterization in terms of the surjectivity of the trace map $\mathrm{Tr}_\pi : \pi_*\omega_Y \rightarrow \omega_X$ for every regular alteration $\pi : Y \rightarrow X$.

Theorem 3.20 ([7]). *Let R be an F -finite Cohen-Macaulay domain. Then the following conditions are equivalent to each other:*

- (1) *R is F -rational.*
- (2) *For any finite extension $R \subset S$, its trace map $\omega_S \rightarrow \omega_R$ is surjective.*
- (3) *For any alteration $\pi : Y \rightarrow X = \mathrm{Spec} R$, the trace map $\mathrm{Tr}_\pi : \pi_*\omega_Y \rightarrow \omega_X$ of π is surjective.*

If R is of finite type over a perfect field, then we may take π to be a regular separable alteration in (3).

Under the assumption of F -finiteness, Corollary 2.19 immediately follows from Theorem 3.20 (1) \Rightarrow (3).

3.3. Reduction from characteristic zero to positive characteristic. We can define the notion of F -singularities in characteristic zero, using reduction from characteristic zero to positive characteristic. First we briefly review how to reduce things from characteristic zero to characteristic $p > 0$. Our main references are [58, Chapter 2] and [93, Section 3.2].

Let R be a ring of finite type over a field k of characteristic zero. There exist finitely many polynomials $f_i = \sum_j a_{ij} X^j \in k[X_1, \dots, X_n]$ such that $R \cong k[X_1, \dots, X_n]/(f_1, \dots, f_r)$. Let $A = \mathbb{Z}[a_{ij}] \subseteq k$ be the \mathbb{Z} -subalgebra generated by the coefficients of the f_i and $R_A = A[X_1, \dots, X_n]/(f_1, \dots, f_r)$. Then $R_A \otimes_A k \cong R$. Applying the generic freeness ([85, Theorem 24.1]), after possibly replacing A by the localization A_a with respect to some element $a \in A$, we may assume that R_A is flat over A . Such a ring R_A is referred to as a *model* of R over A . For a closed point $\mu \in \operatorname{Spec} A$, denote by R_μ the closed fiber $R_A \otimes_A A/\mu$ of the natural map $A \rightarrow R_A$ over μ . Note that R_μ is F -finite, because R_A is of finite type over the finite field A/μ . If R is regular (respectively, \mathbb{Q} -Gorenstein, Cohen-Macaulay, normal), after possibly replacing A by a localization A_a , we may assume that R_A is regular (respectively, \mathbb{Q} -Gorenstein, Cohen-Macaulay, normal), and then so is R_μ for all closed points $\mu \in \operatorname{Spec} A$.

When X is a scheme of finite type over k , we can similarly define a scheme X_A of finite type over a finitely generated \mathbb{Z} -subalgebra A of k and a scheme X_μ of finite type over A/μ for each closed point $\mu \in \operatorname{Spec} A$. Given a morphism $f : X \rightarrow Y$ of schemes of finite type over k and models X_A and Y_A of X and Y over A , respectively, after enlarging A if necessary, we may assume that f induces a morphism $f_A : X_A \rightarrow Y_A$ of schemes of finite type over A . Then we can define a morphism $f_\mu : X_\mu \rightarrow Y_\mu$ of schemes of finite type over A/μ for each closed point $\mu \in \operatorname{Spec} A$. If f is a projective morphism (respectively, a finite morphism), after possibly enlarging A again, we may assume that f_μ is projective (respectively, finite) for all closed points $\mu \in \operatorname{Spec} A$.

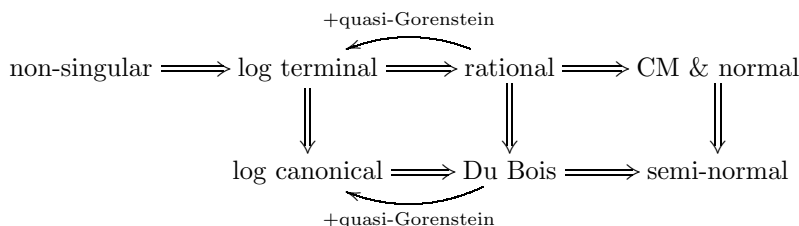
Suppose that X is a normal variety over k and $D = \sum_i d_i D_i$ is a \mathbb{Q} -divisor on X . Taking a model $D_{i,A} \subset X_A$ of D_i over A for each i , we say that $D_A := \sum_i d_i D_{i,A}$ is a model of D over A . After enlarging A if necessarily, we may assume that $D_{i,\mu}$ is a prime divisor on the normal variety X_μ for every closed point $\mu \in \operatorname{Spec} A$. Then $D_\mu := \sum_i d_i D_{i,\mu}$ is a \mathbb{Q} -divisor on X_μ . If D is Cartier (respectively, \mathbb{Q} -Cartier), then after possibly enlarging A again, we may assume that D_μ is Cartier (respectively, \mathbb{Q} -Cartier) for every closed point $\mu \in \operatorname{Spec} A$.

Definition 3.21. Let R be a ring essentially of finite type over a field k of characteristic zero. Suppose we are given a model R_A of R over a finitely generated \mathbb{Z} -subalgebra A of k . We say that R is of *F -rational type* (respectively, *strongly F -regular type*) if there exists a dense open subset $S \subset \operatorname{Spec} A$ such that R_μ is F -rational (respectively, strongly F -regular) for all closed points $\mu \in S$. We say that R is of *dense F -injective type* (respectively, *dense F -pure type*) if there exists a dense subset of closed points $S \subset \operatorname{Spec} A$ such that R_μ is F -injective (respectively, F -pure) for all $\mu \in S$. These definitions are independent of the choice of R_A .

Example 3.22. (1) Let $R = \mathbb{C}[X, Y, Z]/(X^3 - YZ(Y + Z))$. Then the ring $R_{\mathbb{Z}} = \mathbb{Z}[X, Y, Z]/(X^3 - YZ(Y + Z))$ is a model of R over \mathbb{Z} . We take the dense subset S of $\operatorname{Spec} \mathbb{Z}$ to be $\{p \in \operatorname{Spec} \mathbb{Z} \mid p \equiv 1 \pmod{3}\}$. Since we have seen in Example 3.8 (1) that $R_p = \mathbb{F}_p[X, Y, Z]/(X^3 - YZ(Y + Z))$ is F -pure for all $p \in S$, the ring R is of dense F -pure type.

(2) Let $R = \mathbb{C}[X, Y, Z]/(X^2 + Y^3 + Z^5)$. The ring $R_{\mathbb{Z}} = \mathbb{Z}[X, Y, Z]/(X^2 + Y^3 + Z^5)$ is a model of R over \mathbb{Z} . Note that $S = \{p \in \operatorname{Spec} \mathbb{Z} \mid p \geq 7\} \cup \{0\}$ is a dense open subset of $\operatorname{Spec} \mathbb{Z}$. Since $R_p = \mathbb{F}_p[X, Y, Z]/(X^2 + Y^3 + Z^5)$ is strongly F -regular for all closed points $p \in S$ by Example 3.8 (2), the ring R is of strongly F -regular type.

F -singularities (partly conjecturally) correspond to singularities arising in birational geometry in characteristic zero. Before explaining this correspondence, we first explain what kind of singularities in characteristic zero are considered. The following implications hold for singularities in characteristic zero:



The reader is referred to Section 4.1 for the definition of log terminal and log canonical singularities and to [73], [74], [71] for Du Bois singularities. The definition of Du Bois singularities are a bit involved, but a simple characterization of them was given in [101] when they are Cohen-Macaulay and normal: Let R be a Cohen-Macaulay normal domain essentially of finite type over a field of characteristic zero. Let $\pi : \tilde{X} \rightarrow X$ be a log resolution of $X = \operatorname{Spec} R$, that is, π is a proper birational morphism with \tilde{X} non-singular such that the exceptional locus E of π is a simple normal crossing divisor. Then X has only *Du Bois singularities* if and only if $\pi_* \omega_{\tilde{X}}(E) = \omega_X$.

We also briefly explain the definition of semi-normal rings. Let R be an excellent reduced ring and R^N be the normalization of R . We say that R is *semi-normal* if for any $x \in R^N$, if $x^2, x^3 \in R$, then $x \in R$. In equal characteristic zero, weak normality is equivalent to semi-normality, whereas weak normality is a strictly stronger condition than semi-normality in positive characteristic.

As the name suggests, there is a correspondence between F -rational rings and rational singularities.

Theorem 3.23 ([38], [87]). *Let R be a ring essentially of finite type over a field of characteristic zero. Then $\operatorname{Spec} R$ has only rational singularities if and only if R is of F -rational type.*

Let us say a few words about the proof of Theorem 3.23. The “if” part follows from Corollary 2.19. The following lemma, a consequence of Serre’s vanishing theorem and Deligne-Illusie’s result [15] on Akizuki-Kodaira-Nakano’s vanishing theorem in characteristic $p > 0$, is essential in the proof of the “only if” part.

Lemma 3.24 ([38]). *Let R be a ring essentially of finite type over a field k of characteristic zero. Let $\pi : \tilde{X} \rightarrow X$ be a log resolution of $X = \operatorname{Spec} R$ and E be a π -ample \mathbb{Q} -divisor on X whose fractional part $E - \lfloor E \rfloor$ has simple normal crossing support. Suppose that we are given models $\pi_A : \tilde{X}_A \rightarrow X_A$ and E_A over a finitely generated \mathbb{Z} -subalgebra A of k . Then there exists a dense open subset $S \subset \operatorname{Spec} A$ such that for every closed point $\mu \in S$ and every $e \in \mathbb{N}$, the map*

$$F_*^e \pi_{\mu*} \omega_{\tilde{X}_\mu}(\lceil p^e E_\mu \rceil) \rightarrow \pi_{\mu*} \omega_{\tilde{X}_\mu}(\lceil E_\mu \rceil),$$

induced by the trace map $\operatorname{Tr}_F^e : F_^e \omega_{\tilde{X}_\mu} \rightarrow \omega_{\tilde{X}_\mu}$, is surjective.*

Theorem 3.25 ([103]). *If a ring R essentially of finite type over a field of characteristic zero is of dense F -injective type, then $\operatorname{Spec} R$ has only Du Bois singularities.*

Since F -injective rings and Du Bois singularities share many similar properties, the converse of Theorem 3.25 is also expected to be true. However, to the best of our knowledge, Conjecture 3.26 is open even when R is a two-dimensional normal local ring.

Conjecture 3.26. *Let R be a ring essentially of finite type over a field of characteristic zero. Then $\operatorname{Spec} R$ has only Du Bois singularities if and only if R is of dense F -injective type.*

We will explain a correspondence between strongly F -regular rings (respectively, F -pure rings) and log terminal singularities (respectively, log canonical singularities) in the next section.

4. F -SINGULARITIES OF PAIRS

In the minimal model program, singularities are studied in the pair setting: instead of looking only at singularities of a single variety, one should consider singularities of pairs (X, Δ) where X is a variety and Δ is a \mathbb{Q} -divisor (that is, a \mathbb{Q} -linear combination of divisors) on X . Since there is a close relationship between F -singularities and singularities in birational geometry as we have partly seen in Section 3, we introduce “ F -singularities of pairs”, that is, a generalization of F -singularities to the pair setting.

4.1. Correspondence to singularities in the minimal model program. First we recall the definition of singularities of pairs. Let X be a normal variety over a field of characteristic zero and $\Delta = \sum_i d_i \Delta_i$ be an effective \mathbb{Q} -divisor on X such that $K_X + \Delta$ is \mathbb{Q} -Cartier. The round down of Δ is $\lfloor \Delta \rfloor = \sum_i \lfloor d_i \rfloor \Delta_i$ where $\lfloor d_i \rfloor$ denotes the largest integer less than or equal to d_i . The round up of Δ is $\lceil \Delta \rceil = \sum_i \lceil d_i \rceil \Delta_i$ where $\lceil d_i \rceil$ denotes the smallest integer greater than or equal to d_i .

We take a log resolution $\pi : \tilde{X} \rightarrow X$ of (X, Δ) . Namely, π is a proper birational morphism such that \tilde{X} is a non-singular variety and that $\operatorname{Exc}(\pi)$ and $\operatorname{Exc}(\pi) \cup \pi_*^{-1} \Delta$ are simple normal crossing divisors, where $\operatorname{Exc}(\pi)$ denotes the exceptional locus of π and $\pi_*^{-1} \Delta$ does the strict transform of Δ .¹³ Then we can write

$$K_{\tilde{X}} = \pi^*(K_X + \Delta) + \sum_i a_i E_i,$$

where the E_i are prime divisors on \tilde{X} and a_i are rational numbers. We say that the pair (X, Δ) is *Kawamata log terminal* (klt, for short) if $a_i > -1$ for all i and that (X, Δ) is *log canonical* (lc, for short) if $a_i \geq -1$ for all i . Furthermore, suppose that $\pi_*^{-1} \lfloor \Delta \rfloor$ is non-singular (but possibly disconnected). Then we say that (X, Δ) is *purely log terminal* (plt, for short) if $a_i > -1$ for all i with E_i π -exceptional. These definitions are independent of the choice of the log resolution π . If $\lfloor \Delta \rfloor = 0$, then by definition, being klt is equivalent to being plt. When $(X, 0)$ is klt (respectively, lc), we say that X has only log terminal (respectively, log canonical) singularities. In general, the following implications hold for singularities of pairs:

$$\text{klt} \implies \text{plt} \implies \text{lc}.$$

The reader is referred to [72] for their basic properties.

¹³Such a π always exists by a famous theorem of Hironaka [51].

Next, we generalize the definition of F -singularities to the pair setting. Let $X = \operatorname{Spec} R$ be an F -finite normal integral affine scheme and D be an effective integral divisor on X . We denote by $F : X \rightarrow X$ the (absolute) Frobenius morphism on X . Since D is effective, we have a natural inclusion $i : \mathcal{O}_X \rightarrow \mathcal{O}_X(D)$. For each $e \in \mathbb{N}$, by the composition $F_*^e i$ and the e -times iterated Frobenius F^e , we have the following map:

$$\mathcal{O}_X \xrightarrow{F^e} F_*^e \mathcal{O}_X \xrightarrow{F_*^e i} F_*^e \mathcal{O}_X(D) \quad x \mapsto F_*^e x^{p^e} \mapsto F_*^e x^{p^e}.$$

We define F -singularities of pairs using this map.

Definition 4.1 ([43], [102]). Let Δ be an effective \mathbb{Q} -divisor on X .

- (1) We say that (X, Δ) is *F -pure* if the map $\mathcal{O}_X \rightarrow F_*^e \mathcal{O}_X(\lfloor (p^e - 1)\Delta \rfloor)$ splits as an \mathcal{O}_X -module homomorphism for every $e \in \mathbb{N}$.
- (2) We say that (X, Δ) is *sharply F -pure* if there exists an $e \in \mathbb{N}$ such that the map $\mathcal{O}_X \rightarrow F_*^e \mathcal{O}_X(\lceil (p^e - 1)\Delta \rceil)$ splits as an \mathcal{O}_X -module homomorphism.
- (3) We say that (X, Δ) is *strongly F -regular* if for every non-zero $c \in \mathcal{O}_X$, there exists an $e \in \mathbb{N}$ such that the composite map

$$\mathcal{O}_X \rightarrow F_*^e \mathcal{O}_X(\lceil (p^e - 1)\Delta \rceil) \xrightarrow{\times F_*^e c} F_*^e \mathcal{O}_X(\lceil (p^e - 1)\Delta \rceil)$$

sending x to $F_*^e(cx^{p^e})$ splits as an \mathcal{O}_X -module homomorphism.

- (4) We say that (X, Δ) is *purely F -regular*¹⁴ if for every $c \in \mathcal{O}_X$ which is not in any minimal prime of $\mathcal{O}_X(-\lfloor \Delta \rfloor)$, there is an $e \in \mathbb{N}$ such that the map

$$\mathcal{O}_X \rightarrow F_*^e \mathcal{O}_X(\lceil (p^e - 1)\Delta \rceil) \xrightarrow{\times F_*^e c} F_*^e \mathcal{O}_X(\lceil (p^e - 1)\Delta \rceil)$$

sending x to $F_*^e(cx^{p^e})$ splits as an \mathcal{O}_X -module homomorphism.

These definitions can be extended to non-affine schemes by considering the same conditions on each affine chart.

If $\lfloor \Delta \rfloor = 0$ (respectively, $(p^e - 1)\Delta$ is an integral divisor for some $e \in \mathbb{N}$), then the pure F -regularity (respectively, the sharp F -purity) of (X, Δ) is equivalent to its strong F -regularity (respectively, its F -purity). If $\Delta = 0$, then the strong F -regularity (respectively, the F -purity) of the pair $(\operatorname{Spec} R, 0)$ is nothing but the strong F -regularity (respectively, the F -purity) of R defined in Definition 3.2. In general, the following implications hold for F -singularities of pairs:

$$\text{strongly } F\text{-regular} \implies \text{purely } F\text{-regular} \implies \text{sharply } F\text{-pure} \implies F\text{-pure}.$$

Remark 4.2. Why do we consider two kinds of “ F -purity”, sharp F -purity and F -purity? It is because both have advantages and disadvantages.

Let R be a (normal) F -pure ring and Δ be an effective Cartier divisor on $X = \operatorname{Spec} R$. Then

$$\sup\{t \geq 0 \mid (X, t\Delta) \text{ is } F\text{-pure}\} = \sup\{t \geq 0 \mid (X, t\Delta) \text{ is sharply } F\text{-pure}\}.$$

This critical value is called the *F -pure threshold* of Δ and denoted by $\operatorname{fpt}(\Delta)$. Since “ F -pure” pairs can be viewed as a positive characteristic analogue of lc pairs (see Conjecture 4.8), it is expected that $(X, t_0\Delta)$ is “ F -pure” where $t_0 = \operatorname{fpt}(\Delta)$.

¹⁴Purely F -regular pairs are called divisorially F -regular pairs in [43]. Since they correspond to plt pairs, not to dlt pairs, we use the term “purely F -regular” to avoid giving a misleading impression.

By [50], $(X, t_0\Delta)$ is F -pure but not necessarily sharply F -pure. For example, in Example 4.5 (1), $\text{fpt}(\Delta) = 1/3$ but $(X, (1/3)\Delta)$ is not sharply F -pure.

On the other hand, sharp F -purity fits better into the theory of F -pure centers which we will discuss in Section 4.2. If we introduced the theory of F -pure centers using F -purity not sharp F -purity, then a pathological phenomenon could happen. Setting $o = (x, y) \in \text{Spec } R = X$ in Example 4.5 (1), we easily see that the F -pure pair $(X, (1/3)\Delta)$ is strongly F -regular except at o . Therefore, o should be the unique F -pure center of $(X, (1/3)\Delta)$. However, if we changed the definition of F -pure centers (Definition 4.13) by replacing sharp F -purity with F -purity, then every irreducible component of Δ would be an F -pure center of $(X, (1/3)\Delta)$.

Sharp F -purity has one more advantage over F -purity. If (X, Δ) is lc, then the multiplier ideal $\mathcal{J}(X, \Delta)$ associated to (X, Δ) becomes a radical ideal. Since test ideals are a positive characteristic analogue of multiplier ideals (see Section 5 for the details), it is expected that the test ideal $\tau(X, \Delta)$ associated to (X, Δ) is a radical ideal if (X, Δ) is “ F -pure”. Indeed, if (X, Δ) is sharply F -pure, then $\tau(X, \Delta)$ becomes a radical ideal (see [102]). If (X, Δ) is only F -pure, then $\tau(X, \Delta)$ is not necessarily even an integrally closed ideal (see Example 5.7 (4)).

Proposition 4.3 ([43]). *If (X, Δ) is strongly F -regular (respectively, F -pure), then $[\Delta] = 0$ (respectively, $[\Delta]$ is a reduced divisor).*

A criterion analogous to Proposition 3.7 holds in the pair setting.

Proposition 4.4 (cf. [43]). *Let (R, \mathfrak{m}) be an F -finite regular local ring, $f \in R$ be a non-zero element and $t > 0$ be a rational number. Put $X = \text{Spec } R$ and $\Delta = t \text{div}(f)$.*

- (1) *(X, Δ) is F -pure if and only if $f^{\lfloor t(p^e-1) \rfloor} \notin \mathfrak{m}^{[p^e]}$ for all $e \in \mathbb{N}$.*
- (2) *(X, Δ) is sharply F -pure if and only if there exists some $e \in \mathbb{N}$ such that $f^{\lfloor t(p^e-1) \rfloor} \notin \mathfrak{m}^{[p^e]}$.*
- (3) *(X, Δ) is strongly F -regular if and only if there exists some $e \in \mathbb{N}$ such that $f^{\lfloor tp^e \rfloor} \notin \mathfrak{m}^{[p^e]}$.*
- (4) *Suppose that $t = 1$ and $R/(f)$ is reduced. Choose an element $c \in R \setminus (f)$ such that the localization $(R/f)_c$ is strongly F -regular. Then (X, Δ) is purely F -regular if and only if there exists $e \in \mathbb{N}$ such that $cf^{p^e-1} \notin \mathfrak{m}^{[p^e]}$.*

The following example easily follows from the above proposition. Note that strong F -regularity (respectively, F -purity, weak F -purity, pure F -regularity) of pairs can be checked locally (cf. Remark 3.5 (2)).

Example 4.5. (1) Let k be a perfect field of characteristic 3 and $R = k[x, y]$ be the two-dimensional polynomial ring over k . Put $f = xy(x+y)(x-y) \in R$ and $\Delta = \text{div}(f)$. Then the pair $(\text{Spec } R, (1/3)\Delta)$ is F -pure but not sharply F -pure. Also, $(\text{Spec } R, t\Delta)$ is strongly F -regular for every $1/3 > t > 0$.

(2) Let k be a perfect field of characteristic $p > 0$ and $R = k[x, y, z]$ be the three-dimensional polynomial ring over k . Put $f = x^3 - yz(y+z) \in R$ and $\Delta = \text{div}(f)$. Then $(\text{Spec } R, \Delta)$ is F -pure (equivalently, sharply F -pure) if and only if $p \equiv 1 \pmod{3}$. Compare this with Example 3.8 (1).

(3) Let k be a perfect field of characteristic $p > 5$ and $R = k[x, y, z]$ be the three-dimensional polynomial ring over k . Put $f = x^2 + y^3 + z^5 \in R$ and $\Delta = \text{div}(f)$. Then $(\text{Spec } R, \Delta)$ is purely F -regular. Compare this with Example 3.8 (2).

Let X be a normal variety over a field of characteristic zero and Δ be an effective \mathbb{Q} -divisor on X such that $K_X + \Delta$ is \mathbb{Q} -Cartier. Let (X_A, Δ_A) be a model of (X, Δ) over a finitely generated \mathbb{Z} -subalgebra A of k . We say that (X, Δ) is of *strongly F -regular type* (respectively, *purely F -regular type*) if there exists a dense open subset $T \subset \operatorname{Spec} A$ such that (X_μ, Δ_μ) is strongly F -regular (respectively, purely F -regular) for all closed points $\mu \in T$. We also say that (X, Δ) is of *dense F -pure type* if there exists a dense subset of closed points $S \subset \operatorname{Spec} A$ such that (X_μ, Δ_μ) is F -pure for all $\mu \in S$. If (X, Δ) is of dense F -pure type, then after possibly shrinking S , we may assume that for every $\mu \in S$, there exists some $e(\mu) \in \mathbb{N}$ such that $(p(\mu)^{e(\mu)} - 1)\Delta_\mu$ is an integral divisor. Thus, the definition of being of dense F -pure type is equivalent to saying that there exists a dense subset of closed points $S \subset \operatorname{Spec} A$ such that (X_μ, Δ_μ) is sharply F -pure for all $\mu \in S$.

As we have promised at the end of Section 2, we state a correspondence between strongly F -regular pairs and klt pairs. Lemma 3.24 plays a key role in the proof.

Theorem 4.6 ([116], [118]). *The pair (X, Δ) is klt (respectively, plt) if and only if it is of strongly F -regular type (respectively, purely F -regular type).*

Theorem 4.7 ([43]). *If the pair (X, Δ) is of dense F -pure type, then it is lc.*

The converse of Theorem 4.7 is also expected to be true, but it is wide open except in a few special cases.

Conjecture 4.8. *The pair (X, Δ) is lc if and only if it is of dense F -pure type.*

Conjecture 4.8 is known to be true in the following cases:

- (1) ([86], [37], [43]) $\dim X = 2$ and Δ is an integral divisor.
- (2) ([49]) $X = \mathbb{C}^n$, $\Delta = t \operatorname{div}(f)$ with $t \in \mathbb{Q}_{\geq 0}$ and $f = \sum_i c_i x^i \in \mathbb{C}[x_1, \dots, x_n]$ where the $c_i \in \mathbb{C} \setminus \{0\}$ are algebraically independent over \mathbb{Q} .
- (3) (Theorem 4.12) $\dim X = 3$, X has only isolated non-log-terminal points and $\Delta = 0$.

We will explain how to show (3) briefly. Mustař-Srinivas introduced in [93] the following conjecture, the so-called *weak ordinarity conjecture*.

Conjecture 4.9 (Weak ordinarity conjecture [93]). *Let V be an n -dimensional smooth projective variety over an algebraically closed field of characteristic zero. Given a model V_A of V over a finitely generated \mathbb{Z} -subalgebra A of k , there exists a dense subset of closed points $S \subset \operatorname{Spec} A$ such that the natural Frobenius action on $H^n(V_\mu, \mathcal{O}_{V_\mu})$ is bijective for all $\mu \in S$.*

Using techniques from [93], we can show the following.

Proposition 4.10 ([7], [119]). *If Conjecture 4.9 holds, then Conjectures 3.26 and 4.8 hold as well.¹⁵ Conversely, if Conjecture 3.26 holds, then so does Conjecture 4.9.*

However, since Conjecture 4.9 is open even when $\dim V = 1$, it is virtually impossible to make progress on Conjecture 4.8 by making use of Conjecture 4.9. Therefore, we propose Conjectures A_n and B_n , a weaker form of Conjectures 4.8 and 4.9, respectively.

¹⁵More generally, it follows from a combination of Proposition 4.10 and [88] that if Conjecture 4.9 holds, then being of dense F -pure type is equivalent to being slc pairs.

Conjecture A_n . *Let $x \in X$ be an n -dimensional normal \mathbb{Q} -Gorenstein singularity defined over an algebraically closed field of characteristic zero, such that x is an isolated non-log-terminal point of X . Then $x \in X$ is log canonical if and only if it is of dense F -pure type.*

Conjecture B_n . *Let V be an n -dimensional projective variety over an algebraically closed field k of characteristic zero with only rational singularities, such that K_V is linearly trivial. Given a model V_A of V over a finitely generated \mathbb{Z} -subalgebra A of k , there exists a dense subset of closed points $S \subset \operatorname{Spec} A$ such that the natural Frobenius action on $H^n(V_\mu, \mathcal{O}_{V_\mu})$ is bijective for all $\mu \in S$.*

We can easily check that Conjecture 4.9 implies Conjecture B_n as follows: Take a resolution of singularities $\pi : \tilde{V} \rightarrow V$. Since V has only rational singularities, π induces an isomorphism $H^n(V, \mathcal{O}_V) \cong H^n(\tilde{V}, \mathcal{O}_{\tilde{V}})$. Suppose we are given a model $\pi_A : \tilde{V}_A \rightarrow V_A$ of π over a finitely generated \mathbb{Z} -subalgebra A of k . Applying Conjecture 4.9 to \tilde{V} , we see that there exists a dense subset S of closed points of $\operatorname{Spec} A$ such that the Frobenius action on $H^n(\tilde{V}_\mu, \mathcal{O}_{\tilde{V}_\mu})$ is bijective for all $\mu \in S$. The isomorphism $H^n(\tilde{V}_\mu, \mathcal{O}_{\tilde{V}_\mu}) \cong H^n(V_\mu, \mathcal{O}_{V_\mu})$ commutes with the Frobenius action, and so we obtain the assertion.

The following lemma is a consequence of deep arithmetic results [96], [67], [8].

Lemma 4.11 ([96], [67], [8]). *Conjecture B_n holds true if $n \leq 2$.*

Making use of recent progress on the minimal model program (see for example [4]), we can prove the following statement.

Theorem 4.12 ([22]). *Conjecture A_{n+1} is equivalent to Conjecture B_n . In particular, Conjecture A_3 holds true by Lemma 4.11.*

4.2. F -pure centers and F -adjunction. Next, we will explain the theory of F -adjunction, a positive characteristic analogue of Kawamata's subadjunction [68], introduced by Karl Schwede [104], [105].

In this subsection, let X be a normal integral scheme essentially of finite type over a perfect field of characteristic $p > 0$ and Δ be an effective \mathbb{Q} -divisor on X . We assume in addition that $K_X + \Delta$ is \mathbb{Q} -Cartier with index¹⁶ not divisible by p . Then there exist infinitely many $e \in \mathbb{N}$ such that $(p^e - 1)(K_X + \Delta)$ is a Cartier divisor. We fix such an $e_0 \in \mathbb{N}$ and put $\mathcal{L}_\Delta = \mathcal{O}_X((1 - p^{e_0})(K_X + \Delta))$. The composite $\mathcal{O}_X \rightarrow F_*^{e_0} \mathcal{O}_X((p^{e_0} - 1)\Delta)$ of the e_0 -times iterated Frobenius $\mathcal{O}_X \rightarrow F_*^{e_0} \mathcal{O}_X$ and a natural inclusion $F_*^{e_0} \mathcal{O}_X \rightarrow F_*^{e_0} \mathcal{O}_X((p^{e_0} - 1)\Delta)$ induces an \mathcal{O}_X -linear map

$$\phi_\Delta : F_*^{e_0} \mathcal{L}_\Delta \cong \operatorname{Hom}_{\mathcal{O}_X}(F_*^{e_0} \mathcal{O}_X((p^{e_0} - 1)\Delta), \mathcal{O}_X) \rightarrow \operatorname{Hom}_{\mathcal{O}_X}(\mathcal{O}_X, \mathcal{O}_X) = \mathcal{O}_X,$$

where the first isomorphism follows from Grothendieck-Serre duality. In this article, we refer to $\phi_\Delta : F_*^{e_0} \mathcal{L}_\Delta \rightarrow \mathcal{O}_X$ as the map corresponding to Δ .

First, we introduce the notion of F -pure centers, a positive characteristic analogue of log canonical centers.

Definition 4.13 ([104]). Let W be an irreducible closed subscheme of X and $\mathcal{I}_W \subset \mathcal{O}_X$ denote the defining ideal sheaf of W . When X is affine, we say that W is a *non- F -regular center* of (X, Δ) if for all $c \in \mathcal{I}_W$ and all $\varepsilon > 0$, the pair

¹⁶The index of $K_X + \Delta$ is the smallest positive integer r such that $r(K_X + \Delta)$ is a Cartier divisor.

$(X, \Delta + \varepsilon \operatorname{div}(c))$ is not sharply F -pure at the generic point ξ_W of W . This definition can be easily extended to non-affine schemes by considering the same condition on each affine chart. We say that W is an F -pure center of (X, Δ) if W is a non- F -regular center of (X, Δ) that is sharply F -pure at ξ_W . A minimal element (with respect to inclusion) of the set of F -pure centers is called a *minimal F -pure center*.

The F -pure centers of (X, Δ) can be characterized in terms of ϕ_Δ .

Lemma 4.14 ([104]). *Let W be an irreducible closed subscheme of X and $\mathcal{I}_W \subset \mathcal{O}_X$ denote the defining ideal sheaf of W . Then W is a non- F -regular center of (X, Δ) if and only if $\phi_\Delta(F_*^{e_0}(\mathcal{I}_W \mathcal{L}_\Delta)) \subseteq \mathcal{I}_W$. This definition is independent of the choice of e_0 . If $X = \operatorname{Spec} R$ where R is an F -finite normal local ring, then the above condition is equivalent to saying that $(\phi \circ F_*^{e_0} i)(F_*^{e_0} \mathcal{I}_W) \subseteq \mathcal{I}_W$ for all $\phi \in \operatorname{Hom}_{\mathcal{O}_X}(F_*^{e_0} \mathcal{O}_X((p^{e_0} - 1)\Delta), \mathcal{O}_X)$, where $i : \mathcal{O}_X \rightarrow \mathcal{O}_X((p^{e_0} - 1)\Delta)$ is a natural inclusion.*

The following theorem should be compared with the fact that there are only finitely many log canonical centers.

Theorem 4.15 ([105]). *If (X, Δ) is sharply F -pure, then there are at most finitely many F -pure centers of (X, Δ) .*

In order to state our F -adjunction formula, we need to introduce some notation. Let W be an F -pure center of (X, Δ) and we assume that W is normal for now. Since W is an F -pure center of (X, Δ) , the map $\phi_\Delta : F_*^e \mathcal{L}_\Delta \rightarrow \mathcal{O}_X$ induces an \mathcal{O}_W -linear map $\phi_{\Delta_W} : F_*^{e_0} \mathcal{L}_{\Delta_W} \rightarrow \mathcal{O}_W$ where $\mathcal{L}_{\Delta_W} = \mathcal{L}_\Delta / \mathcal{I}_W \mathcal{L}_\Delta$:

$$\begin{array}{ccccc} F_*^{e_0} \mathcal{L}_\Delta & \twoheadrightarrow & F_*^{e_0} (\mathcal{L}_\Delta / \mathcal{I}_W \mathcal{L}_\Delta) & \xlongequal{\quad} & F_*^{e_0} \mathcal{L}_{\Delta_W} \\ \downarrow \phi_\Delta & & \downarrow & & \downarrow \phi_{\Delta_W} \\ \mathcal{O}_X & \twoheadrightarrow & \mathcal{O}_X / \mathcal{I}_W & \xlongequal{\quad} & \mathcal{O}_W. \end{array}$$

Note that \mathcal{L}_{Δ_W} is an invertible sheaf on W and $\mathcal{L}_{\Delta_W} = \mathcal{O}_W((1 - p^{e_0})(K_X + \Delta)|_W)$. Since ϕ_{Δ_W} is a global section of

$$\operatorname{Hom}_{\mathcal{O}_W}(F_*^{e_0} \mathcal{L}_{\Delta_W}, \mathcal{O}_W) \cong F_*^{e_0} \mathcal{O}_W((p^{e_0} - 1)(K_X + \Delta)|_W - (p^{e_0} - 1)K_W),$$

where the isomorphism follows from Grothendieck-Serre duality, we have a corresponding effective divisor Γ_W on W such that

$$\Gamma_W \sim (p^{e_0} - 1)(K_X + \Delta)|_W - (p^{e_0} - 1)K_W.$$

Then $\Delta_W := (1/(p^{e_0} - 1))\Gamma_W$ is an effective \mathbb{Q} -divisor on W satisfying that

$$K_W + \Delta_W \sim_{\mathbb{Q}} (K_X + \Delta)|_W.$$

Theorem 4.16 ([105]). *In the above notation, the following holds:*

- (1) (W, Δ_W) is sharply F -pure if and only if so is (X, Δ) .
- (2) (W, Δ_W) is strongly F -regular if and only if W is a minimal F -pure center of (X, Δ) .
- (3) There is a natural bijection between the F -pure centers of (W, Δ_W) and the F -pure centers of (X, Δ) properly contained in W (as topological spaces).

Example 4.17. Let $X = \mathbb{A}_k^3 = \operatorname{Spec} k[x, y, z]$ and $\Delta = \operatorname{div}(x^2 z - y^2)$, where k is a perfect field of characteristic $p \geq 3$. Since $(x^2 z - y^2)^{p-1} \notin (x^p, y^p, z^p)$,

by Proposition 4.4 (2), the pair (X, Δ) is sharply F -pure. We will show that $W = V((x, y))$ is a minimal F -pure center of (X, Δ) .

We may assume that $e_0 = 1$. Then $\mathcal{L}_\Delta \cong \mathcal{O}_X$ and the map $\phi_\Delta : F_*\mathcal{O}_X \rightarrow \mathcal{O}_X$ corresponding to Δ is nothing but the composite map

$$F_*\mathcal{O}_X \xrightarrow{\times F_*(x^2z - y^2)^{p-1}} F_*\mathcal{O}_X \xrightarrow{\text{Tr}_F} \mathcal{O}_X,$$

where $\text{Tr}_F : F_*\mathcal{O}_X \rightarrow \mathcal{O}_X$ is the \mathcal{O}_X -linear map sending $F_*(xyz)^{p-1}$ to 1 and all other lower-degree monomials to zero. Since

$$\phi_\Delta(F_*(x, y)) = \text{Tr}_F(F_*((x, y)(x^2z - y^2)^{p-1})) \subset \text{Tr}_F(F_*(x^p, y^p)) \subset (x, y),$$

$W = V((x, y))$ is an F -pure center of (X, Δ) by Lemma 4.14. The map ϕ_Δ induces an \mathcal{O}_W -linear map $\phi_{\Delta_W} : F_*\mathcal{O}_W \rightarrow \mathcal{O}_W$ sending $F_*z^{(p-1)/2}$ to 1, from which it follows that $\Delta_W = (1/2)\text{div}(z)$. The pair $(W, \Delta_W) = (\text{Spec } k[z], (1/2)\text{div}(z))$ is strongly F -regular by Lemma 4.4 (3), and so we conclude from Theorem 4.16 that W is a minimal F -pure center of (X, Δ) .

When W is not normal, we take the normalization W^N of W . Since ϕ_{Δ_W} extends to a unique \mathcal{O}_{W^N} -linear map $\phi_{\Delta_{W^N}} : F_*^e\mathcal{O}_{W^N} \rightarrow \mathcal{O}_{W^N}$, we can define an effective \mathbb{Q} -divisor Δ_{W^N} on W^N satisfying that $K_{W^N} + \Delta_{W^N} \sim_{\mathbb{Q}} (K_X + \Delta)|_{W^N}$ similarly. The pair (X, Δ) is, however, not necessarily sharply F -pure if (W^N, Δ_{W^N}) is sharply F -pure.

Example 4.18 ([105]). Let k be a perfect field of characteristic 2. Put $X = \mathbb{A}_k^3 = \text{Spec } k[x, y, z]$ and $\Delta = \text{div}(x^2z + y^2)$. Then $W = \Delta$ is an F -pure center of (X, Δ) . Since $\mathcal{O}_W = k[x, y, z]/(x^2z + y^2) \cong k[u, uv, v^2] \hookrightarrow k[u, v]$, the normalization W^N of W can be identified with $\text{Spec } k[u, v]$. We may assume that $e_0 = 1$, and then the map $\phi_\Delta : F_*\mathcal{O}_X \rightarrow \mathcal{O}_X$ corresponding to Δ is the composite map

$$F_*\mathcal{O}_X \xrightarrow{\times F_*(x^2z + y^2)} F_*\mathcal{O}_X \xrightarrow{\text{Tr}_F} \mathcal{O}_X \quad F_*(xy) \mapsto F_*(x^3yz + xy^3) \mapsto x,$$

where $\text{Tr}_F : F_*\mathcal{O}_X \rightarrow \mathcal{O}_X$ is the map sending $F_*(xyz)$ to 1 and all other lower-degree monomials to zero. It induces an \mathcal{O}_{W^N} -linear map $\phi_{\Delta_{W^N}} : F_*^e\mathcal{O}_{W^N} \rightarrow \mathcal{O}_{W^N}$ sending F_*v to 1, which implies that $\Delta_{W^N} = \text{div}(u)$. It is easily checked from Proposition 4.4 (2) that $(W^N, \Delta_{W^N}) = (\text{Spec } k[u, v], \text{div}(u))$ is sharply F -pure but $(X, \Delta) = (\text{Spec } k[x, y, z], \text{div}(x^2z + y^2))$ is not.

Such a pathology can be avoided by assuming that W has hereditary surjective trace. Let R be an F -finite reduced local ring, R^N be the normalization of R and \mathfrak{c} be its conductor ideal. Note that \mathfrak{c} is an ideal of both rings R and R^N . We say that R has *hereditary surjective trace* if there exist minimal associated prime ideals of \mathfrak{c} , $\mathfrak{p} \subset R$ and $\mathfrak{q} \subset R^N$, satisfying the following three conditions: (i) $R \cap \mathfrak{q} = \mathfrak{p}$, (ii) the induced trace map $\text{Tr} : (R^N/\mathfrak{q})^N \rightarrow (R/\mathfrak{p})^N$ is surjective, and (iii) R/\mathfrak{p} also has hereditary surjective trace. Since $\dim R/\mathfrak{p} < \dim R$, this definition is well defined. We say that an F -finite reduced scheme X has hereditary surjective trace if the local ring $\mathcal{O}_{X,x}$ has hereditary surjective trace for every $x \in X$. Although the definition looks complicated at first glance, it is known by [88] that any reduced scheme of finite type over an algebraically closed field of characteristic zero has hereditary surjective trace after reduction to characteristic $p \gg 0$.

Theorem 4.19 ([88]). *Let the notation be as above and W be a (not necessarily normal) F -pure center of (X, Δ) . If W has hereditary surjective trace, then (W^N, Δ_{W^N}) is sharply F -pure if and only if so is (X, Δ) .*

5. TEST IDEALS

It is usually difficult to compute the tight closure of a given ideal directly from the definition. Therefore, the notion of test elements, elements for testing membership in tight closure, was introduced. The classical test ideal $\tau(R)$ is defined to be the ideal generated by all test elements (see Remark 5.5), which means that test ideals originally come from the theory of tight closure. However, once it turned out that they were a positive characteristic analogue of multiplier ideals, test ideals quickly began finding applications in their own right. In this section, after explaining the definition and basic results of test ideals, we will mention two of their applications. One is to commutative algebra and the other is to algebraic geometry.

Strictly speaking, there are two kinds of test ideals, finitistic test ideals and big test ideals.¹⁷ Finitistic test ideals have been considered as more natural from the point of view of tight closure theory, and they are often referred to simply as “test ideals” in the literature. However, since big test ideals are easier to treat and have more applications, we focus on big test ideals in this article. Although the big test ideal of an ideal \mathfrak{a} is often denoted by $\tilde{\tau}(\mathfrak{a})$ or $\tau_b(\mathfrak{a})$ in the literature, we denote it simply by $\tau(\mathfrak{a})$ and refer to it simply as the “test ideal” of \mathfrak{a} .

5.1. Definition and basic properties. While we have considered a pair consisting of a normal variety and a \mathbb{Q} -divisor on it in the previous section, we consider a pair consisting of a reduced ring and its ideal in this section. Let R be an F -finite reduced ring, $\mathfrak{a} \subset R$ be an ideal satisfying that $\mathfrak{a} \cap R^\circ \neq \emptyset$ and $t > 0$ be a real number.

Schwede [104] gave a characterization of test ideals. We take this as the definition of test ideals.

Definition 5.1 ([104]). The *test ideal* $\tau(\mathfrak{a}^t)$ of \mathfrak{a} with exponent t is defined to be the unique smallest ideal J of R that satisfies the following two conditions:

- (i) $\phi^{(e)}(F_*^e(J\mathfrak{a}^{\lceil t(p^e-1) \rceil})) \subseteq J$ for all $e \in \mathbb{N}$ and all $\phi^{(e)} \in \text{Hom}_R(F_*^e R, R)$,
- (ii) $J \cap R^\circ \neq \emptyset$.

When $\mathfrak{a} = R$, we denote this ideal by $\tau(R)$.

The existence of the test ideal $\tau(\mathfrak{a}^t)$ is not clear from definition, and we use the notion of test element to describe $\tau(\mathfrak{a}^t)$ more explicitly.

Definition 5.2 ([53]; cf. [54]). We say that $c \in R^\circ$ is a *test element* for R if for every $d \in R^\circ$, there exist some $e \in \mathbb{N}$ and $\phi^{(e)} \in \text{Hom}_R(F_*^e R, R)$ such that $c = \phi^{(e)}(F_*^e d)$.

The following lemma is useful for finding a test element.

Lemma 5.3 ([53], [117]; cf. [54]). (1) *Let $c \in R^\circ$ be an element such that the localization R_c with respect to c is strongly F -regular. Then some power c^n of c is a test element for R .*

(2) *Suppose that R is essentially of finite type over an F -finite field k , and denote by $\mathfrak{J}(R/k)$ the Jacobian ideal of R over k . Then every element of $\mathfrak{J}(R/k) \cap R^\circ$ is a test element for R .*

Now we give an explicit description of test ideals using test elements.

¹⁷It is conjectured that they coincide with each other. This conjecture is known to be true if the ring is normal and \mathbb{Q} -Gorenstein (see [45]).

Lemma 5.4 ([42]). *Let $c \in R^\circ$ be a test element for R . Then*

$$\tau(\mathfrak{a}^t) = \sum_{e \geq 0} \sum_{\phi^{(e)}} \phi^{(e)}(F_*^e(ca^{\lceil tp^e \rceil})),$$

where $\phi^{(e)}$ runs through all elements of $\text{Hom}_R(F_*^e R, R)$.

Remark 5.5 ([54]). The test ideal $\tau(R)$ coincides with the ideal generated by all the test elements for R . The name of “test ideal” comes from this fact. $\tau(R)$ can be also defined in terms of tight closure. For simplicity, we assume that (R, \mathfrak{m}) is an F -finite reduced local ring, and let $E = E_R(R/\mathfrak{m})$ be the injective hull of the residue field R/\mathfrak{m} of R . If we denote by 0_E^* the tight closure of the zero submodule in E (see Section 2 for its definition), then $\tau(R) = \text{Ann}_R 0_E^*$.

We list some properties of test ideals that follow immediately from Definition 5.1 and Lemma 5.4.

Proposition 5.6. *Let \mathfrak{b} be an ideal of R such that $\mathfrak{b} \cap R^\circ \neq \emptyset$ and $s > 0$ be a real number.*

- (1) $\tau(R)\mathfrak{a} \subseteq \tau(\mathfrak{a})$.
- (2) If $\mathfrak{a} \subseteq \mathfrak{b}$, then $\tau(\mathfrak{a}^t) \subseteq \tau(\mathfrak{b}^t)$. If we assume in addition that \mathfrak{b} is contained in the integral closure $\overline{\mathfrak{a}}$ of \mathfrak{a} , then $\tau(\mathfrak{a}^t) = \tau(\mathfrak{b}^t)$.
- (3) If $s < t$, then $\tau(\mathfrak{a}^s) \supseteq \tau(\mathfrak{a}^t)$. Also, $\tau((\mathfrak{a}^m)^t) = \tau(\mathfrak{a}^{mt})$ for every $m \in \mathbb{N}$.
- (4) There exists some $\epsilon > 0$, depending on t , such that $\tau(\mathfrak{a}^s) = \tau(\mathfrak{a}^t)$ for all $s \in [t, t + \epsilon]$.
- (5) $\tau(R) = R$ if and only if R is strongly F -regular.
- (6) If $W \subset R$ is a multiplicative set, then $\tau(\mathfrak{a}^t)R_W = \tau((\mathfrak{a}R_W)^t)$.
- (7) If (R, \mathfrak{m}) is a local ring, then $\tau((\mathfrak{a}\hat{R})^t) = \tau(\mathfrak{a}^t)\hat{R}$, where \hat{R} is the \mathfrak{m} -adic completion of R .

Example 5.7. (1) Suppose that R is a domain essentially of finite type over an F -finite field k , and denote by $\mathfrak{J}(R/k)$ the Jacobian ideal of R over k . It then follows from Lemma 5.3 and Remark 5.5 that $\mathfrak{J}(R/k) \subseteq \tau(R)$.

(2) Let (R, \mathfrak{m}) be an F -finite F -pure local ring of characteristic $p > 0$. Suppose that the local ring $R_{\mathfrak{p}}$ is strongly F -regular for all prime ideals $\mathfrak{p} \neq \mathfrak{m}$, but R is not. Then $\tau(R) = \mathfrak{m}$. Indeed, by the F -purity of R , there is an R -module homomorphism $\varphi : F_* R \rightarrow R$ sending $F_* 1$ to 1. For every $x \in \mathfrak{m}$, some power x^n of x is a test element for R by Lemma 5.3 (1). Take a sufficiently large $e \in \mathbb{N}$ such that $p^e \geq n$, and consider the following R -linear map:

$$\phi : F_*^e R \xrightarrow{\times F_*^e x^{p^e - n}} F_*^e R \xrightarrow{\varphi^e} R \quad F_*^e x^n \mapsto F_*^e x^{p^e} \mapsto x.$$

It then follows from Lemma 5.4 that $x = \phi(F_*^e x^n) \in \tau(R)$. Thus, $\mathfrak{m} \subset \tau(R)$. Since R is not strongly F -regular, we conclude from Proposition 5.6 (5) that $\tau(R) = \mathfrak{m}$.

Suppose that $(R, \mathfrak{m}) = k[[X, Y, Z]]/(X^3 - YZ(Y + Z))$ where k is a perfect field of characteristic $p > 0$. If $p \equiv 1 \pmod{3}$, then R is F -pure by Example 3.8 and then $\tau(R) = \mathfrak{m}$ by the above argument.¹⁸

(3) ([45]) Let $R = k[x_1, \dots, x_d]$ be a polynomial ring over an F -finite field k of characteristic $p > 0$ and \mathfrak{a} be a monomial ideal of R . Then

$$\tau(\mathfrak{a}^t) = \langle x^v \mid v + (1, \dots, 1) \in \text{Int}(P(\mathfrak{a})) \rangle,$$

¹⁸In fact, even when $p \equiv 2 \pmod{3}$, one has $\tau(R) = \mathfrak{m}$.

where $P(\mathfrak{a}) \subset \mathbb{R}^d$ is the Newton polyhedron of \mathfrak{a} .¹⁹

(4) ([94]) Let $R = k[x_1, \dots, x_d]$ be a polynomial ring over a perfect field k of characteristic $p > 0$, and fix a polynomial $f \in R$. If there exist some $e_0 \in \mathbb{N}$ and $g_{i_1, \dots, i_d} \in R$ with $0 \leq i_1, \dots, i_d < p^{e_0}$ such that

$$f = \sum_{0 \leq i_1, \dots, i_d < p^{e_0}} g_{i_1, \dots, i_d} x_1^{i_1} \cdots x_d^{i_d},$$

then $\tau((f)^{1/p^{e_0}}) = \sum_{0 \leq i_1, \dots, i_d < p^{e_0}} R g_{i_1, \dots, i_d}$.

Suppose that $p = 2$, $R = k[x, y, z]$ and $f = x^2 + y^5 + z^5 \in R$. Put $\Delta = \text{div}(f)$. Since $f = x^2 \cdot 1 + (y^2)^2 \cdot y + (z^2)^2 \cdot z$, one has

$$\tau(\text{Spec } R, (1/2)\Delta) = \tau((f)^{1/2}) = (x, y^2, z^2),$$

which is not a radical ideal. On the other hand, $(\text{Spec } R, (1/2)\Delta)$ is F -pure by Proposition 4.4. We refer to Remark 4.2 for an explanation of this example.

We will state three important local properties of test ideals after we introduce the notion of test ideals associated to several ideals. Let \mathfrak{b} be an ideal of R such that $\mathfrak{b} \cap R^\circ \neq \emptyset$ and $s > 0$ be a real number. The test ideal $\tau(\mathfrak{a}^s \mathfrak{b}^t)$ is the unique smallest ideal J that satisfies two conditions: (i) $\phi^{(e)}(F_*^e(J \mathfrak{a}^{\lceil s(p^e-1) \rceil} \mathfrak{b}^{\lceil t(p^e-1) \rceil})) \subseteq J$ for all $e \in \mathbb{N}$ and all $\phi^{(e)} \in \text{Hom}_R(F_*^e R, R)$, and (ii) $J \cap R^\circ \neq \emptyset$.

Theorem 5.8 ([117]). *Let k be a perfect field of characteristic $p > 0$ and R be an integral domain essentially of finite type over k . If we denote by $\mathfrak{J}(R/k)$ the Jacobian ideal of R over k , then $\mathfrak{J}(R/k) \tau(\mathfrak{a}^s) \tau(\mathfrak{b}^t) \subseteq \tau(\mathfrak{a}^s \mathfrak{b}^t)$ for all non-zero ideals $\mathfrak{a}, \mathfrak{b} \subset R$ and all real numbers $s, t > 0$.*

Theorem 5.9 ([42]). *If \mathfrak{a} is generated by at most l elements, then $\tau(\mathfrak{a}^l) = \tau(\mathfrak{a}^{l-1})\mathfrak{a}$.*

Theorem 5.9 gives an alternative proof of the theorem of Briançon-Skoda (Theorem 2.13) when the ring is strongly F -regular. Let R be an F -finite strongly F -regular local ring and $I \subseteq R$ be an ideal generated by n elements. It then follows from Proposition 5.6 (1), (2), (5) and Theorem 5.9 that for every $w \in \mathbb{N}$,

$$\overline{I^{n+w-1}} = \tau(R) \overline{I^{n+w-1}} \subseteq \tau(\overline{I^{n+w-1}}) = \tau(I^{n+w-1}) = \tau(I^{n-1}) I^w \subseteq I^w.$$

Next, we introduce the notion of F -jumping numbers. A real number $t > 0$ is said to be an F -jumping number of \mathfrak{a} if $\tau(\mathfrak{a}^t) \subsetneq \tau(\mathfrak{a}^{t-\epsilon})$ for all $\epsilon \in (0, t)$. Note that the family of test ideals $\tau(\mathfrak{a}^t)$ of a fixed ideal \mathfrak{a} is right continuous in t .

Theorem 5.10 ([109]). *Suppose that R is an F -finite normal \mathbb{Q} -Gorenstein domain. Then the set of F -jumping numbers of \mathfrak{a} is a discrete set of rational numbers.*

Theorems 5.8, 5.9 and 5.10 are evidence that test ideals have many similar properties to those of multiplier ideals. However, test ideals behave totally differently from multiplier ideals in some ways. For example, test ideals are not necessarily integrally closed as we have seen in Example 5.7 (4), while multiplier ideals are always integrally closed.

Lemma 3.24 enables us to show a correspondence between test ideals and multiplier ideals. Before stating the theorem, we recall the definition of multiplier ideals. Let X be a normal \mathbb{Q} -Gorenstein integral scheme essentially of finite type over a field of characteristic zero and \mathfrak{a} be a non-zero ideal sheaf on X . We take a log

¹⁹The Newton polyhedron $P(\mathfrak{a})$ of \mathfrak{a} is the convex hull of the set of exponent vectors of the monomial generators of \mathfrak{a} in \mathbb{R}^d .

resolution $\pi : \tilde{X} \rightarrow X = \operatorname{Spec} R$ of (X, \mathfrak{a}) , that is, a proper birational morphism with \tilde{X} non-singular such that $\mathfrak{a}\mathcal{O}_{\tilde{X}} = \mathcal{O}_X(-F)$ is invertible and that $\operatorname{Exc}(\pi)$ and $\operatorname{Exc}(\pi) \cup \operatorname{Supp}(F)$ are simple normal crossing divisors. For every real number $t > 0$, the *multiplier ideal* $\mathcal{J}(\mathfrak{a}^t)$ of \mathfrak{a} with exponent t is defined to be

$$\mathcal{J}(\mathfrak{a}^t) = \mathcal{J}(X, \mathfrak{a}^t) = \pi_* \mathcal{O}_{\tilde{X}}(\lceil K_{\tilde{X}} - \pi^* K_X - tF \rceil) \subset \mathcal{O}_X.$$

This definition is independent of the choice of the log resolution π .

Theorem 5.11. ([45], cf. [39], [113]). *Let R be a normal \mathbb{Q} -Gorenstein domain essentially of finite type over a field k of characteristic zero, \mathfrak{a} be a non-zero ideal of R and $t > 0$ be a real number. Given a model (R_A, \mathfrak{a}_A) of (R, \mathfrak{a}) over a finitely generated \mathbb{Z} -subalgebra A of k , there exists a dense open subset $S \subset \operatorname{Spec} A$ such that $\tau(\mathfrak{a}_\mu^t) = \mathcal{J}(\mathfrak{a}_\mu^t)$ for all closed points $\mu \in S$.*

The set S in Theorem 5.11 depends on t in general.

Example 5.12. Let $R = \mathbb{C}[x, y]$ be the two-dimensional polynomial ring over \mathbb{C} and $\mathfrak{a} = (x^2 + y^3) \subset R$ be the principal ideal generated by $x^2 + y^3$. Then $R_{\mathbb{Z}} = \mathbb{Z}[x, y]$ and $\mathfrak{a}_{\mathbb{Z}} = (x^2 + y^3) \subset R_{\mathbb{Z}}$ is a model of R and \mathfrak{a} over \mathbb{Z} , respectively. Suppose that $t > 0$ is a real number and p is a prime number. It is not hard to check that

$$\mathcal{J}(\mathfrak{a}^t)_p = \tau(\mathfrak{a}_p^t) = R_p \text{ if and only if } \begin{cases} 1/2 > t, & (p = 2), \\ 2/3 > t, & (p = 3), \\ 5/6 > t, & (p \equiv 1 \pmod{3}), \\ 5/6 - 1/(6p) > t, & (p \equiv 2 \pmod{3}). \end{cases}$$

Hence, if $t < 5/6$, then

$$S_t = \left\{ p \in \operatorname{Spec} \mathbb{Z} \mid p \geq 5 \text{ and } \frac{5}{6} - \frac{1}{6p} > t \right\} \cup \{0\}$$

is a dense open subset of $\operatorname{Spec} \mathbb{Z}$, depending on t , such that $\mathcal{J}(\mathfrak{a}^t)_p = \tau(\mathfrak{a}_p^t)$ for all prime numbers $p \in S_t$. On the other hand, it is known that

$$S = \{p \in \operatorname{Spec} \mathbb{Z} \mid p \equiv 1 \pmod{3}\}$$

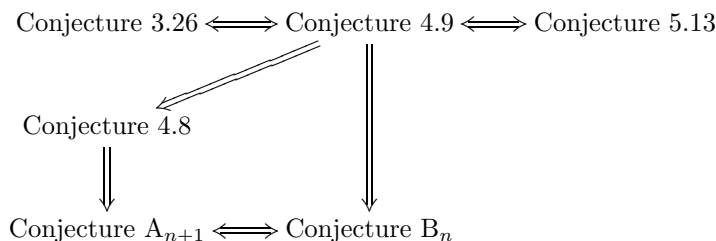
is not a dense open subset of $\operatorname{Spec} \mathbb{Z}$ but a dense subset of closed points of $\operatorname{Spec} \mathbb{Z}$ such that $\mathcal{J}(\mathfrak{a}^\lambda)_p = \tau(\mathfrak{a}_p^\lambda)$ for all $p \in S$ and all real numbers $\lambda > 0$.

As Example 5.12 suggests, it is expected that one can make S in Theorem 5.11 independent of t by replacing the condition “a dense open subset” with “a dense subset of closed points”.

Conjecture 5.13 ([93]). *Let X be an n -dimensional non-singular variety over an algebraically closed field k of characteristic zero and \mathfrak{a} be a non-zero ideal on X . Given a model X_A of X over a finitely generated \mathbb{Z} -subalgebra A of k , there exists a dense subset of closed points $S \subset \operatorname{Spec} A$ such that $\tau(\mathfrak{a}_\mu^\lambda) = \mathcal{J}(\mathfrak{a}_\mu^\lambda)$ for all $\mu \in S$ and all $\lambda > 0$.*

Theorem 5.14 ([93], [91]). *Conjecture 5.13 is equivalent to Conjecture 4.9.*

Summing up, we have seen that the following implications hold for the conjectures discussed in this article:



5.2. Asymptotic test ideals and their applications. In this subsection, we will explain the theory of asymptotic test ideals and their applications.

5.2.1. Application to symbolic powers. Suppose that R is an F -finite integral domain. We say that $\mathfrak{a}_\bullet = \{\mathfrak{a}_m\}_{m \in \mathbb{N}}$ is a *graded family of ideals* in R if the \mathfrak{a}_m are ideals of R such that $\mathfrak{a}_m \cdot \mathfrak{a}_n \subseteq \mathfrak{a}_{m+n}$ for all $m, n \in \mathbb{N}$. Let $\mathfrak{a}_\bullet = \{\mathfrak{a}_m\}_{m \in \mathbb{N}}$ be a graded family of ideals in R and $t > 0$ be a real number. It follows from Proposition 5.6 (3) that

$$\tau(\mathfrak{a}_m^{t/m}) = \tau((\mathfrak{a}_m^n)^{t/(mn)}) \subseteq \tau(\mathfrak{a}_{mn}^{t/(mn)})$$

for all $m, n \in \mathbb{N}$. Since R is Noetherian (all rings are Noetherian throughout this article), by the above inclusion, we see that the family of test ideals $\{\tau(\mathfrak{a}_m^{t/m})\}_{m \in \mathbb{N}}$ has a unique maximal element with respect to inclusion. This maximal element is called the *asymptotic test ideal* of $(R, \mathfrak{a}_\bullet^t)$ and denoted by $\tau(\mathfrak{a}_\bullet^t)$.

We can define an analogous notion for several graded families of ideals. Let $\mathfrak{a}_\bullet = \{\mathfrak{a}_m\}_{m \in \mathbb{N}}$, $\mathfrak{b}_\bullet = \{\mathfrak{b}_m\}_{m \in \mathbb{N}}$ be graded families of ideals in R and $s, t > 0$ be real numbers. The asymptotic test ideal $\tau(\mathfrak{a}_\bullet^s \mathfrak{b}_\bullet^t)$ is the unique maximal element among the family of ideals $\{\tau(\mathfrak{a}_m^{s/m} \mathfrak{b}_m^{t/m})\}_{m \in \mathbb{N}}$.

We list some properties of asymptotic test ideals that easily follow from Proposition 5.6 and Theorem 5.8.

Proposition 5.15. (1) $\tau(\mathfrak{a}_\bullet^t) \mathfrak{a}_m \subseteq \tau(\mathfrak{a}_\bullet^{m+t})$ for every $m \in \mathbb{N}$.

(2) If $s < t$, then $\tau(\mathfrak{a}_\bullet^s) \supseteq \tau(\mathfrak{a}_\bullet^t)$.

(3) There exists some $\epsilon > 0$, depending on t , such that $\tau(\mathfrak{a}_\bullet^s) = \tau(\mathfrak{a}_\bullet^t)$ for all $s \in [t, t + \epsilon]$.

(4) Suppose that R is essentially of finite type over a perfect field k , and denote by $\mathfrak{J}(R/k)$ the Jacobian ideal of R over k . Then for all $m \in \mathbb{N}$, one has

$$\mathfrak{J}(R/k)^{m-1} \tau(\mathfrak{a}_\bullet^{mt}) \subseteq \tau(\mathfrak{a}_\bullet^t)^m.$$

As one of the applications of asymptotic test ideals, we obtain a uniform bound for the growth of symbolic powers of ideals. First we recall the definition of symbolic powers of ideals. Let \mathfrak{a} be an ideal of R , and put $W = R \setminus \bigcup_{P \in \text{Ass}(R/\mathfrak{a})} P$ where P runs through the associated primes of \mathfrak{a} . Then for each $n \in \mathbb{N}$, the n -th symbolic power $\mathfrak{a}^{(n)}$ of \mathfrak{a} is the contraction $\mathfrak{a}^n R_W \cap R$, where R_W denotes the localization of R with respect to the multiplicative set W . In particular, if P is a prime ideal of R , then $P^{(n)} = P^n R_P \cap R$. Note that the collection of symbolic powers $\mathfrak{a}_\bullet = \{\mathfrak{a}^{(n)}\}_{n \in \mathbb{N}}$ is a graded family of ideals in R .

Since it is obvious from definition that $\mathfrak{a}^n \subseteq \mathfrak{a}^{(n)}$, it is natural to ask how large the $\mathfrak{a}^{(n)}$ are when compared with the \mathfrak{a}^n . The following theorem gives an answer to this question.

Theorem 5.16 ([117]; cf. [16], [57], [40]). *Let R be an integral domain essentially of finite type over a perfect field k of characteristic $p > 0$ (respectively, of characteristic zero), and denote by $\mathfrak{J}(R/k)$ the Jacobian ideal of R over k . Let \mathfrak{a} be a non-zero ideal of R and h denote the largest analytic spread²⁰ of $\mathfrak{a}R_P$ as P runs through the associated primes of \mathfrak{a} . Then for every integer $m \geq 0$ and every $n \in \mathbb{N}$, we have $\mathfrak{J}(R/k)^n \mathfrak{a}^{(hn+mn)} \subseteq (\mathfrak{a}^{(m+1)})^n$. In particular, $\mathfrak{J}(R/k)^n \mathfrak{a}^{(hn)} \subseteq \mathfrak{a}^n$. When R is strongly F -regular (respectively, $\text{Spec } R$ has only log-terminal singularities), we can decrease the exponent on the Jacobian ideal $\mathfrak{J}(R/k)$ by one, in other words, $\mathfrak{J}(R/k)^{n-1} \mathfrak{a}^{(hn+mn)} \subseteq (\mathfrak{a}^{(m+1)})^n$.*

We give a sketch of the proof in the case of positive characteristic, to which the characteristic zero case can be reduced using the techniques from Section 3.3. Assume that \mathfrak{a} is a prime ideal P of R and $m = 0$ for simplicity. We assume in addition that the residue field of the local ring R_P is infinite. Then h is nothing but the analytic spread of the maximal ideal PR_P and it is known by the general theory of integral closure that there exists a proper ideal J of R_P generated by h elements such that $\overline{J} = PR_P$. Let $P_\bullet = \{P^{(m)}\}_{m \in \mathbb{N}}$ be the graded family of symbolic powers of P . By the definition of asymptotic test ideals, $\tau(P_\bullet^h) = \tau((P^{(l)})^{h/l})$ for sufficiently divisible $l \in \mathbb{N}$. It follows from Proposition 5.6 (2), (3) and (6) that

$$\tau((P^{(l)})^{h/l})R_P = \tau((P^{(l)}R_P)^{h/l}) = \tau((P^lR_P)^{h/l}) = \tau((PR_P)^h) = \tau(J^h).$$

Applying Theorem 5.9 to this, one has $\tau(P_\bullet^h)R_P = \tau(J^h) = \tau(J^{h-1})J \subset PR_P$, which implies that $\tau(P_\bullet^h) \subset P$. The proof of Theorem 5.16 now follows from a combination of Example 5.7 (1) and Proposition 5.15 (1), (4):

$$\mathfrak{J}(R/k)^n P^{(hn)} \subseteq \mathfrak{J}(R/k)^{n-1} \tau(R)P^{(hn)} \subseteq \mathfrak{J}(R/k)^{n-1} \tau(P_\bullet^{hn}) \subseteq \tau(P_\bullet^h)^n \subseteq P^n.$$

5.2.2. Application to asymptotic base loci. As another application of asymptotic test ideals, we will explain a description of asymptotic base loci in positive characteristic due to Mircea Mustaă [92].

Let X be an F -finite normal integral scheme and Δ be an effective \mathbb{Q} -divisor on X . Let \mathfrak{a} be a non-zero ideal sheaf on X and $t > 0$ be a real number. As a variant of the test ideal $\tau(\mathfrak{a}^t)$ defined in Definition 5.1, we define the test ideal $\tau((X, \Delta), \mathfrak{a}^t)$ associated to the triple $(X, \Delta, \mathfrak{a}^t)$ as follows: If $X = \text{Spec } R$ is an affine scheme, then $\tau((X, \Delta), \mathfrak{a}^t)$ is the unique smallest non-zero ideal J of \mathcal{O}_X such that

$$(\phi^{(e)} \circ F_*^e i)(F_*^e (J \mathfrak{a}^{\lceil t(p^e - 1) \rceil})) \subseteq J$$

for all $e \in \mathbb{N}$ and all $\phi^{(e)} \in \text{Hom}_{\mathcal{O}_X}(F_*^e \mathcal{O}_X(\lceil (p^e - 1)\Delta \rceil), \mathcal{O}_X)$, where $i : \mathcal{O}_X \rightarrow \mathcal{O}_X(\lceil (p^e - 1)\Delta \rceil)$ is a natural inclusion. In the general case, $\tau((X, \Delta), \mathfrak{a}^t)$ is the ideal sheaf on X obtained by gluing the constructions on affine charts. Note that the test ideal $\tau((X, \Delta), \mathfrak{a}^t)$ coincides with the test ideal $\tau(\mathfrak{a}^t)$ in Definition 5.1 in the case where $X = \text{Spec } R$ is affine and $\Delta = 0$. Thus, we denote the ideal sheaf $\tau((X, \Delta), \mathfrak{a}^t)$ simply by $\tau(X, \mathfrak{a}^t)$ when $\Delta = 0$.

We define the trace map $\text{Tr}_F^e : F_*^e \omega_X \rightarrow \omega_X$ of the e -times iterated Frobenius on X as in Section 3.2. Namely, Tr_F^e is the ω_X -dual of $F^e : \mathcal{O}_X \rightarrow F_*^e \mathcal{O}_X$. When X is regular (non-singular), there is a simple characterization of test ideals in terms of the trace maps.

²⁰If (A, \mathfrak{m}) is a local ring and $I \subseteq \mathfrak{m}$ is an ideal of A , then the *analytic spread* $\ell(I)$ of I is defined to be the Krull dimension of the ring $A/\mathfrak{m} \otimes_A (\bigoplus_{n \geq 0} I^n / I^{n+1})$. In general, $\text{ht } I \leq \ell(I) \leq \dim A$.

Proposition 5.17 ([92]; cf. [5]). *Suppose that X is a non-singular projective variety over a perfect field of characteristic $p > 0$. For an ideal sheaf \mathfrak{b} on X and an $e \in \mathbb{N}$, the ideal sheaf $\mathfrak{b}^{[1/p^e]}$ on X is defined by $\mathrm{Tr}_F^e(F_*^e(\mathfrak{b} \cdot \omega_X)) = \mathfrak{b}^{[1/p^e]} \cdot \omega_X$. Then*

$$\tau(X, \mathfrak{a}^t) = \bigcup_{e \in \mathbb{N}} (\mathfrak{a}^{[tp^e]})^{[1/p^e]} = (\mathfrak{a}^{[tq]})^{[1/q]}$$

for a sufficiently large power q of p .

Let X be a normal projective variety over a perfect field of characteristic $p > 0$ and Δ be an effective \mathbb{Q} -divisor on X such that $K_X + \Delta$ is \mathbb{Q} -Cartier. Let D be a \mathbb{Q} -Cartier \mathbb{Q} -divisor on X such that rD is Cartier and $H^0(X, \mathcal{O}_X(rD)) \neq 0$ for some $r \in \mathbb{N}$. We fix such an $r \in \mathbb{N}$, and let $\mathfrak{b}_m \subseteq \mathcal{O}_X$ denote the base ideal of the linear series $|mrD|$, that is, \mathfrak{b}_m is the image of the natural map

$$H^0(X, \mathcal{O}_X(mrD)) \otimes \mathcal{O}_X(-mrD) \rightarrow \mathcal{O}_X$$

for every $m \in \mathbb{N}$. Then $\mathfrak{b}_\bullet = \{\mathfrak{b}_m\}_{m \in \mathbb{N}}$ is a graded family of ideal sheaves on X . For every real number $\lambda > 0$, the asymptotic test ideal $\tau((X, \Delta), \mathfrak{b}_\bullet^\lambda)$ associated to the triple $((X, \Delta), \mathfrak{b}_\bullet^\lambda)$ is defined in a similar way to the asymptotic test ideal $\tau(\mathfrak{a}_\bullet^\lambda)$ in Section 5.2.1: $\tau((X, \Delta), \mathfrak{b}_\bullet^\lambda)$ is the unique maximal element of the family of test ideals $\{\tau((X, \Delta), \mathfrak{b}_m^{\lambda/m})\}_{m \in \mathbb{N}}$. We put $\tau((X, \Delta), \lambda \cdot \|D\|) = \tau((X, \Delta), \mathfrak{b}_\bullet^{\lambda/r})$, and denote $\tau((X, \Delta), \lambda \cdot \|D\|)$ simply by $\tau(\lambda \cdot \|D\|)$ when $\Delta = 0$. The ideal sheaf $\tau((X, \Delta), \lambda \cdot \|D\|)$ is independent of the choice of r .

Making use of the asymptotic test ideal $\tau((X, \Delta), \lambda \cdot \|D\|)$ instead of the asymptotic multiplier ideal $\mathcal{J}((X, \Delta), \lambda \cdot \|D\|)$, we can show a positive characteristic analogue of [79, Corollary 11.2.13].

Theorem 5.18 (cf. [106]). *Suppose that $\mathcal{O}_X(H)$ is a globally generated ample line bundle on X . Let $\lambda > 0$ be a rational number and L be a Cartier divisor on X such that $L - (K_X + \Delta) - \lambda D$ is nef and big. Then for every integer $n \geq d = \dim X$, $\tau((X, \Delta), \lambda \cdot \|D\|) \otimes \mathcal{O}_X(L + nH)$ is globally generated.*

We give a sketch of the proof. For simplicity, we assume that X is a non-singular projective variety, $\Delta = 0$, D is a Cartier divisor such that $H^0(X, \mathcal{O}_X(D)) \neq 0$ and $L - K_X - \lambda D$ is ample. Let \mathfrak{b}_m be the base ideal of the linear series $|mD|$. It then follows from the definition of the test ideal $\tau(\lambda \cdot \|D\|)$ and Proposition 5.17 that

$$\tau(\lambda \cdot \|D\|) = \tau(X, \mathfrak{b}_m^{\lambda/m}) = (\mathfrak{b}_m^{\lceil \lambda q/m \rceil})^{[1/q]}$$

for sufficiently divisible m and sufficiently large $q = p^e$. By the definition of $(\mathfrak{b}_m^{\lceil \lambda q/m \rceil})^{[1/q]}$, the trace map Tr_F^e induces a surjective map

$$F_*^e(\mathfrak{b}_m^{\lceil \lambda q/m \rceil} \otimes \omega_X) \rightarrow \tau(\lambda \cdot \|D\|) \otimes \omega_X.$$

Tensoring this with $\mathcal{O}_X(L - K_X + nH)$, one has a surjection

$$F_*^e(\mathfrak{b}_m^{\lceil \lambda q/m \rceil} \otimes \mathcal{O}_X(qL - (q-1)K_X + qnH)) \rightarrow \tau(\lambda \cdot \|D\|) \otimes \mathcal{O}_X(L + nH).$$

On the other hand, the natural map $H^0(X, \mathcal{O}_X(mD)) \otimes \mathcal{O}_X(-mD) \rightarrow \mathfrak{b}_m$ is surjective by the definition of \mathfrak{b}_m . Therefore, its $\lceil \lambda q/m \rceil$ -th symmetric product

$$\mathrm{Sym}^{\lceil \lambda q/m \rceil} H^0(X, \mathcal{O}_X(mD)) \otimes \mathcal{O}_X(-m\lceil \lambda q/m \rceil D) \rightarrow \mathfrak{b}_m^{\lceil \lambda q/m \rceil}$$

is also a surjection. Put $W := \mathrm{Sym}^{\lceil \lambda q/m \rceil} H^0(X, \mathcal{O}_X(mD))$. The above two surjections induce a surjection

$$W \otimes F_*^e \mathcal{O}_X(qL - (q-1)K_X - m\lceil \lambda q/m \rceil D + qnH) \rightarrow \tau(\lambda \cdot \|D\|) \otimes \mathcal{O}_X(L + nH).$$

Thus, it suffices to show that $F_*^e \mathcal{O}_X(qL - (q-1)K_X - m\lceil \lambda q/m \rceil D + qnH)$ is globally generated. Indeed, it follows from Lemma 5.19, because

$$H^i(X, \mathcal{O}_X(qL - (q-1)K_X - m\lceil \lambda q/m \rceil D + q(n-i)H)) = 0$$

for all $i > 0$ by Fujita's vanishing theorem (Lemma 5.20).

Lemma 5.19 ([78, Theorem 1.8.5]). *Let X be a projective variety and \mathcal{F} be a coherent sheaf on X . Suppose that $\mathcal{O}_X(H)$ is a globally generated ample line bundle on X . If $H^i(X, \mathcal{F} \otimes \mathcal{O}_X(-iH)) = 0$ for all $i > 0$, then \mathcal{F} is globally generated.*

Lemma 5.20 ([23]; cf. [78, Theorem 1.4.35]). *Let X be a projective scheme over a field k and H be an ample Cartier divisor on X . Given any coherent sheaf \mathcal{F} on X , there exists an integer $m(F, H)$ such that $H^i(X, \mathcal{F} \otimes \mathcal{O}_X(mH + D)) = 0$ for all $i > 0$, $m \geq m(F, H)$, and any nef Cartier divisor D on X .*

Remark 5.21 ([41]). Using a very similar argument to the proof of Theorem 5.18, we can show a special case of Fujita's conjecture in positive characteristic: Let X be a d -dimensional projective variety over a perfect field of characteristic $p > 0$ such that every local ring $\mathcal{O}_{X,x}$ of X is F -injective. If \mathcal{L} is a globally generated ample line bundle on X , then $\omega_X \otimes \mathcal{L}^{\otimes d+1}$ is also globally generated.

We conclude this section by mentioning an application of Theorem 5.18. In order to state the result, we need to introduce some notation.

Let X be a non-singular projective variety over an algebraically closed field of characteristic $p > 0$ and D be a \mathbb{Q} -divisor on X . Fix an $r \in \mathbb{N}$ such that rD is an integral divisor. The *stable base locus* $\mathbf{B}(D)$ of D is $\bigcap_{n \in \mathbb{N}} \text{Bs}(nrD)$, where $\text{Bs}(nrD)$ denotes the base locus of the linear series $|nrD|$ (with reduced scheme structure). Since $\mathbf{B}(D) = \text{Bs}(nrD)$ for sufficiently divisible n by Noetherian property, $\mathbf{B}(D)$ is independent of the choice of r . The *asymptotic base locus* $\mathbf{B}_-(D)$ ²¹ is then defined by $\mathbf{B}_-(D) = \bigcup_A \mathbf{B}(D + A)$, where A runs through all ample \mathbb{Q} -divisors on X . It follows from the definition that $\mathbf{B}_-(D)$ depends only on the numerical equivalence class of D and that $\mathbf{B}_-(D) = \emptyset$ if and only if D is nef (see [17]).

Next, we introduce the notion of asymptotic orders of vanishing. Let $x \in X$ be a closed point and \mathfrak{m}_x denote the maximal ideal of the local ring $\mathcal{O}_{X,x}$. Suppose that $H^0(X, \mathcal{O}_X(rD)) \neq 0$ for the above $r \in \mathbb{N}$. For each $m \in \mathbb{N}$, we denote by $\mathfrak{b}_m \subseteq \mathcal{O}_X$ the base ideal of the linear series $|mrD|$ and by $\text{ord}_x(\mathfrak{b}_m)$ the order of vanishing of \mathfrak{b}_m at x . In other words, $\text{ord}_x(\mathfrak{b}_m) = \max\{\nu \geq 0 \mid \mathfrak{b}_{m,x} \subseteq \mathfrak{m}_x^\nu\}$. The asymptotic order of vanishing $\text{ord}_x(\|D\|)$ is then defined by

$$\text{ord}_x(\|D\|) = \inf_{m \in \mathbb{N}} \frac{\text{ord}_x(\mathfrak{b}_m)}{mr} = \lim_{m \rightarrow \infty} \frac{\text{ord}_x(\mathfrak{b}_m)}{mr},$$

where the last equality follows from the fact that $\{\mathfrak{b}_m\}_{m \in \mathbb{N}}$ is a graded family of ideal sheaves on X . The definition of $\text{ord}_x(\|D\|)$ is independent of the choice of r .

Replacing the role of asymptotic multiplier ideals in [17] by asymptotic test ideals and [79, Corollary 11.2.13] by Theorem 5.18, we obtain the following theorem.

Theorem 5.22 ([92]). *Suppose that D is a big \mathbb{Q} -divisor on X and $x \in X$ is a closed point. Then $x \notin \mathbf{B}_-(D)$ if and only if $\text{ord}_x(\|D\|) = 0$.*

²¹This locus is often referred to as the *non-nef locus* or the *restricted base locus*.

6. HILBERT-KUNZ MULTIPLICITY

The Hilbert-Samuel multiplicity is a fundamental invariant in commutative ring theory and singularity theory. There is another kind of multiplicity in positive characteristic, Hilbert-Kunz multiplicity, introduced by Paul Monsky [89].²² Hilbert-Kunz multiplicity has properties both similar to and different from those of Hilbert-Samuel multiplicity. For example, Hilbert-Samuel multiplicity determines membership in integral closure, and Hilbert-Kunz multiplicity determines membership in tight closure. Hilbert-Samuel multiplicity is a positive integer, but Hilbert-Kunz multiplicity is not necessarily even a rational number (see [11]).

When the second-named author began the study of Hilbert-Kunz multiplicity, Monsky had found some mysterious behavior of it (see [36] for example) and it had been thought of as a difficult and elusive subject to study. Watanabe had a chance to meet Monsky in 1997 and said, “I want to make Hilbert-Kunz multiplicity less mysterious.” Monsky then answered, “I want to make Hilbert-Kunz multiplicity *more* mysterious!” Indeed, the counterexample to the localization problem in tight closure theory, mentioned in Remark 2.5, has come from Monsky’s study of Hilbert-Kunz multiplicity.

In this section, we overview the theory of Hilbert-Kunz multiplicity. We recommend [60] Section 6 for a nice introduction to Hilbert-Kunz multiplicity. The authors of this article got a lot of inspiration from this book.

Suppose that (A, \mathfrak{m}) is a local ring of characteristic $p > 0$ and I is an \mathfrak{m} -primary ideal of A . The Hilbert-Kunz multiplicity of I is defined as follows. We also recall the definition of Hilbert-Samuel multiplicity of I .

Definition 6.1 ([89]). Let I be an \mathfrak{m} -primary ideal of a d -dimensional local ring (A, \mathfrak{m}) of characteristic $p > 0$.

- (1) The *Hilbert-Samuel multiplicity* $e(I)$ of I is defined by

$$e(I) := \lim_{n \rightarrow \infty} d! \frac{\ell_A(A/I^n)}{n^d}.$$

- (2) The *Hilbert-Kunz multiplicity* $e_{\text{HK}}(I)$ of I is defined by

$$e_{\text{HK}}(I) := \lim_{e \rightarrow \infty} \frac{\ell_A(A/I^{[p^e]})}{p^{ed}}.$$

The limits $e(I)$ and $e_{\text{HK}}(I)$ always exist. If $I = \mathfrak{m}$, we denote $e(A) := e(\mathfrak{m})$ (respectively, $e_{\text{HK}}(A) := e_{\text{HK}}(\mathfrak{m})$) and call it the Hilbert-Samuel multiplicity (respectively, Hilbert-Kunz multiplicity) of A .

Remark 6.2. Brenner [11] has recently given an example of a local domain whose Hilbert-Kunz multiplicity is irrational. Monsky conjectured in [90] that if $A = \mathbb{F}_2[[U, V, X, Y, Z]]/(UV + X^3 + Y^3 + XYZ)$, then $e_{\text{HK}}(A) = 4/3 + 5/(14\sqrt{7})$. This conjecture is still open. On the other hand, if $R = \bigoplus_{n \geq 0} R_n$ is a two-dimensional graded domain with R_0 an algebraically closed field of characteristic $p > 0$, then $e_{\text{HK}}(I)$ is a rational number for all homogeneous R_+ -primary ideals I (see [10], [121]). If (A, \mathfrak{m}) is a local ring of finite F -representation type (see Section 7 for rings of finite F -representation type), then $e_{\text{HK}}(I)$ is a rational number for all \mathfrak{m} -primary ideals I (see [110], [126]).

²²Hilbert-Kunz multiplicity has origin in the work of Kunz [76], [75], but he erroneously thought that it did not exist in general. Monsky proved in [89] that it always exists and named it after Kunz.

$e(I)$ and $e_{\text{HK}}(I)$ do not change after passing to completion by definition. Also, removing the lower-dimensional irreducible components of $\text{Spec } R$ does not affect the values of $e(I)$ and $e_{\text{HK}}(I)$: if \mathfrak{a} is the intersection of all prime ideals \mathfrak{p} of A with $\dim A/\mathfrak{p} = d$, then $e(I) = e(I(A/\mathfrak{a}))$ and $e_{\text{HK}}(I) = e_{\text{HK}}(I(A/\mathfrak{a}))$. Thus, we may assume that A is complete and equidimensional.

Assumption. We assume from now on that (A, \mathfrak{m}) is a d -dimensional complete equidimensional reduced local ring of characteristic $p > 0$, unless stated otherwise.

Given \mathfrak{m} -primary ideals $I \subseteq I'$, it is obvious from the definition that $e(I') \leq e(I)$ and $e_{\text{HK}}(I') \leq e_{\text{HK}}(I)$. Then it is natural to ask when the equalities hold. These equality conditions are described in terms of integral closure and tight closure.

Proposition 6.3. For \mathfrak{m} -primary ideals $I \subset I'$ of A , the following holds:

- (1) ([99]) $e(I') = e(I)$ if and only if $I' \subset \overline{I}$.
- (2) ([54]) $e_{\text{HK}}(I') = e_{\text{HK}}(I)$ if and only if $I' \subset I^*$.

Next, we observe the relationship of $e(I)$ and $e_{\text{HK}}(I)$.

Lemma 6.4. Let I be an \mathfrak{m} -primary ideal of A .

- (1) We have the following inequalities:

$$\frac{1}{d!}e(I) \leq e_{\text{HK}}(I) \leq e(I).$$

In particular, if $d = 1$, then $e(I) = e_{\text{HK}}(I)$. When $d \geq 2$, the left inequality is strict ([35]), but there are examples where $(1/d!)e(I)$ is arbitrarily close to $e_{\text{HK}}(I)$ (Example 6.5 (2)).

- (2) ([81]) If I is a parameter ideal, then $e(I) = e_{\text{HK}}(I)$.
- (3) If A is regular, then $e_{\text{HK}}(I) = \ell_A(A/I)$ by the flatness of the Frobenius map (Theorem 2.2). In particular, if A is regular, then $e_{\text{HK}}(A) = 1$.

It follows from Proposition 6.3 and Lemma 6.4 that if A is F -rational but not regular, then $e_{\text{HK}}(A) \leq e(A)$. For the proof of this, we may assume that the residue field A/\mathfrak{m} is infinite. Then it is well known that there exists an \mathfrak{m} -primary parameter ideal $J \subset A$ such that $\overline{J} = \mathfrak{m}$. Since $J^* = J \subsetneq \mathfrak{m}$ by assumption, one has $e_{\text{HK}}(A) \leq e_{\text{HK}}(J) = e(J) = e(A)$.

Since $e(I) = e_{\text{HK}}(I)$ for one-dimensional local rings as we have seen in Lemma 6.4 (1), we mainly consider the case where $d \geq 2$.

Example 6.5 ([127], [128]). Let k be the residue field of A and I be an \mathfrak{m} -primary ideal of A .

(1) Let $A \subset B$ be a finite extension of local domains with the same residue field. Then $e_{\text{HK}}(I) = e_{\text{HK}}(IB)/r$, where r is the rank of B as an A -module. In particular, if B is regular, then $e_{\text{HK}}(I) = \ell_B(B/IB)/r$.

(2) Let A be the r -th Veronese subring of $B = k[[X_1, \dots, X_d]]$, that is, the subring of B generated by all monomials of degree r . Then by (1), we have $e_{\text{HK}}(A) = \ell_B(B/\mathfrak{m}B)/r = \binom{d+r-1}{d}/r$. On the other hand, since $e(A) = r^{d-1}$, one has $\lim_{r \rightarrow \infty} e_{\text{HK}}(A)/e(A) = 1/d!$. Thus, this is an example where $(1/d!)e(I)$ is arbitrarily close to $e_{\text{HK}}(I)$.

(3) If $A = k[[X, Y, Z]]/(XY - Z^n)$ where $n \geq 2$ is an integer, then $e_{\text{HK}}(A) = 2 - 1/n$. In general, when A is a two-dimensional F -rational Gorenstein complete local ring with algebraically closed coefficient field k , there exists a linearly reductive

finite subgroup G of $SL(2, k)$ such that A is isomorphic to the completion of the ring of invariants $k[X, Y]^G$ (see [48]). Then $e_{\text{HK}}(A) = 2 - 1/|G|$.

(4) Assume that A is a two-dimensional unmixed local ring.²³ Then for every \mathfrak{m} -primary ideal I , we have $e_{\text{HK}}(I) \geq (e(I) + 1)/2$. If k is an algebraically closed field, then the equality holds when $I = \mathfrak{m}$ if and only if the associated graded ring $\text{gr}_{\mathfrak{m}} A = \bigoplus_{n \geq 0} \mathfrak{m}^n / \mathfrak{m}^{n+1}$ is isomorphic to the $e(A)$ -th Veronese subring of $k[X, Y]$. In particular, if A is not regular, then $e_{\text{HK}}(A) \geq 3/2$ and the equality holds if and only if $A \cong k[[X, Y, Z]]/(XY - Z^2)$.

Remark 6.6. Fix an integer $d \geq 2$. We see from Example 6.5 (3) that there is a sequence of two-dimensional local rings $\{A_n\}$ such that $e_{\text{HK}}(A_n)$ converges to 2 from below. Is there a sequence of local rings $\{A_n\}$ of dimension d such that $e_{\text{HK}}(A_n)$ converges to some real number from above?

The following theorem of Nagata is very fundamental in commutative ring theory and singularity theory.

Theorem 6.7 ([95, (40.6)]). *If A is an unmixed local ring, then A is regular if and only if $e(A) = 1$.*

The analogous statement for Hilbert-Kunz multiplicity is also true.

Theorem 6.8 ([127], [65]). *If A is an unmixed local ring of positive characteristic, then A is regular if and only if $e_{\text{HK}}(A) = 1$.*

We give a sketch of the proof of Theorem 6.8. The key ingredient is the following fact: if $e_{\text{HK}}(A) = 1$, then $e_{\text{HK}}(J) - \ell_A(I/J) \leq e_{\text{HK}}(I) \leq \ell_A(A/I^*)$ for any \mathfrak{m} -primary ideals $J \subset I$. It follows from this fact and Theorem 6.9 that $\ell_A(A/\mathfrak{q}^*) = e_{\text{HK}}(\mathfrak{q}) = e(\mathfrak{q})$ for every \mathfrak{m} -primary parameter ideal $\mathfrak{q} \subset A$, which implies that A is F -rational. Put $I = \mathfrak{m}^{[p]}$ and take an \mathfrak{m} -primary parameter ideal $J \subset I$. By the above fact and the Cohen-Macaulay property of A , we see that

$$\begin{aligned} \ell_A(A/I) &= \ell_A(A/J) - \ell_A(I/J) = e_{\text{HK}}(J) - \ell_A(I/J) \leq e_{\text{HK}}(I) \leq \ell_A(A/I^*) \\ &\leq \ell_A(A/I). \end{aligned}$$

Namely, $\ell_A(A/I) = e_{\text{HK}}(I) = p^d e_{\text{HK}}(A) = p^d$, and we conclude from Theorem 2.2 (3) \Rightarrow (1) that A is regular.

Theorem 6.9 was proved under some additional assumption in [127], and in the general case in [29]. It should be compared with the basic fact that for an \mathfrak{m} -primary parameter ideal $J \subset A$, one has $\ell_A(A/J) \geq e(J)$ and the equality holds if A is Cohen-Macaulay. Replacing J with J^* , we have the reverse inequality.

Theorem 6.9 ([29]; cf. [127]). *If J is an \mathfrak{m} -primary parameter ideal of A , then $\ell_A(A/J^*) \leq e(J)$. Moreover, if A is unmixed and $\ell_A(A/J^*) = e(J)$ for some \mathfrak{m} -primary parameter ideal $J \subset A$, then A is F -rational.*

By Theorem 6.8, if an unmixed local ring A is not regular, then $e_{\text{HK}}(A) > 1$. It is natural to ask whether there is a sharp lower bound for Hilbert-Kunz multiplicity of d -dimensional non-regular unmixed local rings.

²³A d -dimensional local ring (R, \mathfrak{m}) is called *unmixed* if for every associated prime \mathfrak{p} of the \mathfrak{m} -adic completion \hat{R} , one has $\dim \hat{R}/\mathfrak{p} = d$.

Conjecture 6.10 ([130]). *Let k be a field of characteristic $p \geq 3$ and $d \geq 2$ be an integer. If we put*

$$A_{p,d} = k[[X_0, X_1, \dots, X_d]]/(X_0^2 + X_1^2 + \dots + X_d^2),$$

then the following holds for an arbitrary d -dimensional unmixed local ring (A, \mathfrak{m}) of characteristic p with residue field k .

- (1) *If A is not regular, then $e_{\text{HK}}(A) \geq e_{\text{HK}}(A_{p,d})$.*
- (2) *If $e_{\text{HK}}(A) = e_{\text{HK}}(A_{p,d})$ and if k is an algebraically closed field, then the \mathfrak{m} -adic completion \widehat{A} of A is isomorphic to $A_{p,d}$.*

In Conjecture 6.10, (2) is known for $d \leq 4$ ([130]), and (1) is known for $d \leq 6$ ([1]) or when A is a complete intersection ([18]).

It is known that $e_{\text{HK}}(A_{p,2}) = 3/2$ and $e_{\text{HK}}(A_{p,3}) = 4/3$ for all p . If $d \geq 4$, then the value of $e_{\text{HK}}(A_{p,d})$ depends on p . Indeed, $e_{\text{HK}}(A_{p,4}) = (29p^2 + 15)/(24p^2 + 12)$ and $\lim_{p \rightarrow \infty} e_{\text{HK}}(A_{p,4}) = 29/24$. One of the most mysterious properties of $A_{p,d}$ is that the limit $\lim_{p \rightarrow \infty} e_{\text{HK}}(A_{p,d})$ can be described by using the Maclaurin expansion of $\tan x + \sec x$.

Theorem 6.11 ([25]). *Let the notation be the same as that in Conjecture 6.10. Let $\sum_{d \geq 0} (c_d/d!)x^d$ be the Maclaurin expansion of $\tan x + \sec x$. Then*

$$\lim_{p \rightarrow \infty} e_{\text{HK}}(A_{p,d}) = 1 + \frac{c_d}{d!}.$$

We conclude this section by mentioning the Hilbert-Kunz function. The *Hilbert-Kunz function* of an \mathfrak{m} -primary ideal I of A is a function $\mathbb{Z}_{\geq 0} \rightarrow \mathbb{R}$ sending e to $\ell_A(A/I^{[p^e]})$. When Monsky defined Hilbert-Kunz multiplicity in [89], he showed that $\ell_A(A/I^{[q]}) = e_{\text{HK}}(I)q^d + O(q^{d-1})$ for $q = p^e$. If A is normal, then we can strengthen this result: Let (A, \mathfrak{m}) be a d -dimensional excellent normal local ring of characteristic $p > 0$ with perfect residue field. Then Huneke-McDermott-Monsky [63] proved that for every \mathfrak{m} -primary ideal $I \subset A$, there exists a real number $\beta(I)$ such that

$$\ell_A(A/I^{[q]}) = e_{\text{HK}}(I)q^d + \beta(I)q^{d-1} + O(q^{d-2}).^{24}$$

In general $\beta(I) \neq 0$, but if A is a complete \mathbb{Q} -Gorenstein normal local ring, then $\beta(I) = 0$ (see [77]).

A geometric description of Hilbert-Kunz function (or multiplicity) is desired for further study. When $R = \bigoplus_{n \geq 0} R_n$ is a two-dimensional standard graded normal domain with R_0 an algebraically closed field of characteristic $p > 0$ and I is a homogeneous R_+ -primary ideal, an explicit description of the Hilbert-Kunz multiplicity was given in [10], [121] by using vector bundles on $X = \text{Proj } R$.

7. CLOSING REMARK

There are several important topics on F -singularities that we have not been able to include because of space limitations.

Let (R, \mathfrak{m}) be a d -dimensional complete reduced local ring with prime characteristic p and perfect residue field R/\mathfrak{m} . Then the F -signature $s(R)$ of R is defined by $s(R) = \lim_{e \rightarrow \infty} a_e/p^{ed}$, where a_e denotes the largest rank of a free R -module

²⁴One might hope to generalize this result to show that there exists a real number $\gamma(I)$ such that $\ell_A(A/I^{[q]}) = e_{\text{HK}}(I)q^d + \beta(I)q^{d-1} + \gamma(I)q^{d-2} + O(q^{d-3})$. However, this cannot be true because of Han-Monsky's example [36].

appearing in a direct sum decomposition of $F_*^e R$. This invariant was first introduced by Huneke-Leuschke [62] and its existence was proved by Kevin Tucker [122] in full generality. The F -signature can be characterized in terms of the Hilbert-Kunz multiplicity: $s(R)$ coincides with the infimum of $e_{\text{HK}}(I) - e_{\text{HK}}(I')$ as $I \subsetneq I'$ run through all \mathfrak{m} -primary ideals of R .²⁵ Also, the F -signature $s(R)$ measures the singularities of R . It takes a value between 0 and 1, and $s(R) = 1$ (respectively, $s(R) > 0$) if and only if R is regular (respectively, strongly F -regular) (see [2]).

The notion of rings with *finite F -representation type* was introduced by Smith-Van den Bergh [115], inspired by the notion of rings with finite Cohen-Macaulay type. They fit into the theory of D -modules in positive characteristic and satisfy some finiteness properties ([131], [120]). For example, the F -signature of a ring with finite F -representation type is a rational number. Affine toric rings and rings of invariants under linearly reductive group actions are examples of rings with finite F -representation type. The relationship with other F -singularities treated in this article is not clear at the moment. There is an example of a ring that is strongly F -regular but not with finite F -representation type ([111]).

Global F -regularity was introduced by Smith [114] and can be viewed as a global version of strong F -regularity. It is a global property of a projective variety over a field of positive characteristic. For example, the anti-canonical divisor of a global F -regular variety is big ([107]). Projective toric varieties and Schubert varieties are examples of globally F -regular varieties ([80], [46]). As a global version of the correspondence between strong F -regularity and being klt, it is conjectured in [107] that a normal projective variety X over a field of characteristic zero is log Fano if and only if it is of “globally F -regular type”. This conjecture is known to be true if $\dim X = 2$ ([28], [66], [97]) or if X is a Mori dream space ([27]).

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²⁵The Gorenstein case was proved in [129] and the general case was recently proved in [98].

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