Berkeley Unified Numident Mortality Database Codebook

Page	Variable	Label
2	ssn	Social Security Number
3	fname	First Name
4	mname	Middle Name
5	lname	Last Name
6	sex	Sex
7	race	Race
8	race_change	Change in Race Response Flag
9	bpl	Place of Birth
10	byear	Year of Birth
11	bmonth	Month of Birth
12	bday	Day of Birth
13	dyear	Year of Death
14	dmonth	Month of Death
15	dday	Day of Death
16	$death_age$	Age at Death (Years)
17	zip_residence	ZIP Code of Residence at Time of Death
18	socstate	State where Social Security Number Issued
19	$father_fname$	Father's First Name
20	$father_mname$	Father's Middle Name
21	$father_lname$	Father's Last Name
22	$mother_fname$	Mother's First Name
23	$mother_mname$	Mother's Middle Name
24	$mother_lname$	Mother's Last Name
25	age_first_app	Age at First Social Security Application
26	$number_apps$	Number of Applications
27	$number_claims$	Number of Claims
28	weight	High-Coverage Sub-Sample Weight
29	cweight	High-Coverage "Complete" Sub-Sample Weight

ssn

Label: Social Security Number

Description: ssn is 9-character variable corresponding to the person's Social Security number, as recorded in the Numident Death Files. Uniquely identifies all records in the dataset.

fname

Label: First Name

Description: fname is a character variable reporting the first 16 letters of the person's first name, as recorded in the Numident Death Files.

mname

Label: Middle Name

Description: mname is a character variable reporting the first 16 letters of the person's middle name, as recorded in the Numident Death Files.

lname

Label: Last Name

Description: lname is a character variable reporting the first 21 letters of the person's last name, as recorded in the Numident Death Files.

sex

Label: Sex

Description: sex is a numeric variable reporting the person's sex, as recorded in the Numident Death, Application, or Claims Files.

sex	label	n	freq $\%$
1	Women	25829292	52.20
2	Men	22692883	45.90
NA	Missing	937118	1.89

race

 $\mathbf{Label} : \ \mathrm{Race}$

Description: race is a numeric variable reporting the person's race, as recorded in a Numident Application Files.

race	label	n	freq %
1	White	28419085	57.500
2	Black	4040911	8.170
3	Other	469721	0.950
4	Asian	305983	0.619
5	Hispanic	794837	1.610
6	North American Native	89629	0.181
NA	Missing	15339127	31.000

$race_change$

Label: Change in Race Response Flag

Description: race_change is a numeric dichotomous variable reporting whether an individual changed their race response across their Social Security Applications.

race_change	label	n	freq
0	No Race Change	33266185	67.30
1	Race Change	855066	1.73
NA	No Race Information	15338042	31.00

bpl

Label: Birthplace

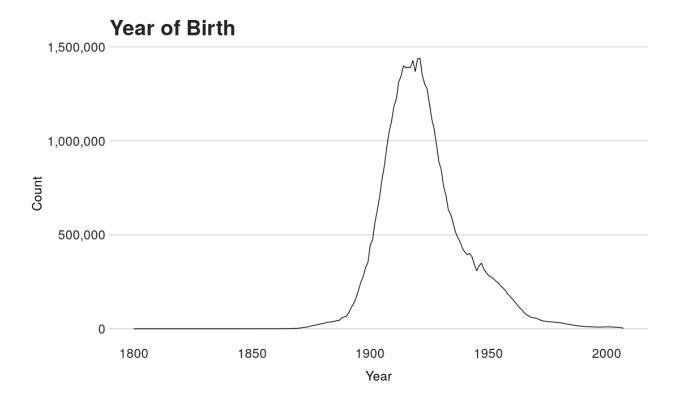
Description: bpl is a numeric variable reporting the person's place of birth, as recorded in the Numident Application or Claims Files. The numeric codes match the detailed IPUMS-USA Birthplace codes.

 $For a complete \ list \ of \ IPUMS \ Birthplace \ codes, \ please \ see: \ https://usa.ipums.org/usa-action/variables/BPL$

byear

Label: Birth Year

Description: by ear is a numeric variable reporting the person's year of birth, as recorded in the Numident Death Files.



bmonth

Label: Birth Month

Description: bmonth is a numeric variable reporting the person's month of birth, as recorded in the Numident Death Files.

bmonth	label	n	freq %
1	January	4182362	8.50
2	February	3934481	8.00
3	March	4298094	8.70
4	April	3977247	8.00
5	May	4052332	8.20
6	June	3938073	8.00
7	July	4222150	8.50
8	August	4363897	8.80
9	September	4280311	8.70
10	October	4174817	8.40
11	November	3885413	7.90
12	December	4040209	8.20
NA	Missing	109907	0.22

bday

Label: Birth Day

Description: bday is a numeric variable reporting the person's day of birth, as recorded in the Numident Death Files.

Note: It appears from the slight surplus of counts on the 1st and the 15th of the month that SSA might have done some imputation of missing values to these dates.

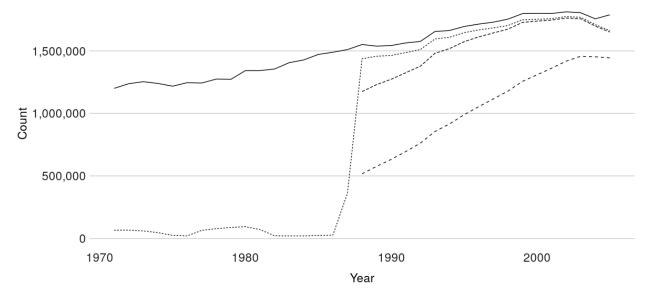
		c 04
bday	n	freq %
1	1753037	3.50
2	1658636	3.40
3	1609604	3.30
4	1638344	3.30
5	1622429	3.30
6	1627081	3.30
7	1605454	3.20
8	1631946	3.30
9	1590718	3.20
10	1710732	3.50
11	1586416	3.20
12	1671226	3.40
13	1569174	3.20
14	1621411	3.30
15	1782797	3.60
16	1613405	3.30
17	1605213	3.20
18	1617172	3.30
19	1576992	3.20
20	1643737	3.30
21	1547095	3.10
22	1632108	3.30
23	1592781	3.20
24	1591696	3.20
25	1657974	3.40
26	1568795	3.20
27	1577768	3.20
28	1625137	3.30
29	1472251	3.00
30	1414617	2.90
31	901488	1.80
NA	142059	0.29

dyear

Label: Death Year

Description: dyear is a numeric variable reporting the person's year of death, as recorded in the Numident Death Files.

Year of Death (65+)



- Human Mortality Database --- Numident --- Numident Sample 1 -- Numident Sample 2

dmonth

Label: Death Month

Description: dmonth is a numeric variable reporting the person's month of death, as recorded in the Numident Death Files.

dmonth	label	n	freq %
1	January	4621213	9.34
2	February	4129166	8.35
3	March	4364385	8.82
4	April	4046639	8.18
5	May	4033845	8.16
6	June	3838325	7.76
7	July	3934370	7.95
8	August	3896991	7.88
9	September	3844745	7.77
10	October	4136177	8.36
11	November	4112452	8.31
12	December	4500985	9.10

dday

 ${\bf Label:}\ {\bf Day}\ {\bf of}\ {\bf Death}$

Description: dday is a numeric variable reporting the person's day of death, as recorded in the Numident Death Files.

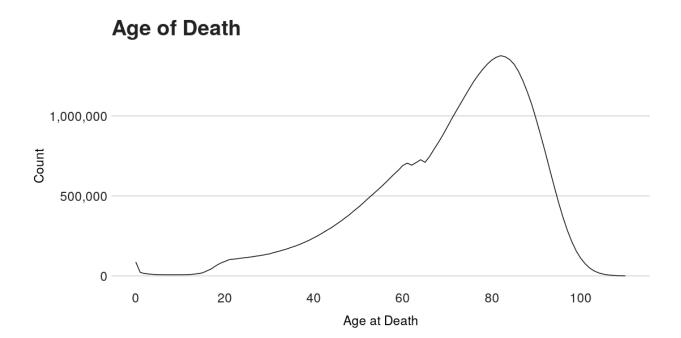
Note: It appears from the slight surplus of counts on the 1st and the 15th of the month that SSA might have done some imputation of missing values to these dates.

dday	n	freq %
1	1432117	2.9
2	1240844	2.5
3	1258508	2.5
4	1281971	2.6
5	1241858	2.5
6	1238126	2.5
7	1234530	2.5
8	1237355	2.5
9	1234153	2.5
10	1236855	2.5
11	1234082	2.5
12	1234552	2.5
13	1230166	2.5
14	1231018	2.5
15	3392923	6.9
16	1227380	2.5
17	1228029	2.5
18	1223497	2.5
19	1223840	2.5
20	1230608	2.5
21	1218730	2.5
22	1217331	2.5
23	1219357	2.5
24	1216437	2.5
25	1214086	2.5
26	1216349	2.5
27	1215884	2.5
28	1217907	2.5
29	1130914	2.3
30	1104859	2.2
31	713928	1.4
NA	9681099	20.0

$death_age$

Label: Age at Death (Years)

Description: death_age is a numeric variable reporting the person's age at death in years, calculated using birth and death information recorded in the Numident Death Files.



zip_residence

Label: ZIP Code of Residence at Time of Death

Description: zip_residence is 9-character variable reporting a person's ZIP code of residence at time of death, as in the Numident Death Files.

socstate

Label: State where Social Security Number Issued

Description: The state in which the person's social security card was issued. Determined by first three (3) digits of Social Security number, as recorded in Numident Files. The numeric codes match the detailed IPUMS-USA Birthplace codes.

socstate	label	n	freq $\%$	socstate	label	n	freq %
100	Alabama	1013114	2.0500	3000	Montana	163772	0.331
200	Alaska	35314	0.0714	3100	Nebraska	391529	0.792
400	Arizona	259482	0.5250	3200	Nevada	59603	0.121
500	Arkansas	622464	1.2600	3300	New Hampshire	154722	0.313
600	California	3261932	6.6000	3400	New Jersey	1515988	3.070
800	Colorado	436618	0.8830	3500	New Mexico	152197	0.308
900	Connecticut	645960	1.3100	3600	New York	5000225	10.100
1000	Delaware	104140	0.2110	3800	North Dakota	181787	0.368
1100	District of Columbia	298806	0.6040	3900	Ohio	2465058	4.980
1200	Florida	1045741	2.1100	4000	Oklahoma	781455	1.580
1300	Georgia	1174645	2.3700	4100	Oregon	424431	0.858
1500	Hawaii	165481	0.3350	4200	Pennsylvania	3275278	6.620
1600	Idaho	179614	0.3630	4400	Rhode Island	253020	0.512
1700	Illinois	2860175	5.7800	4500	South Carolina	640174	1.290
1800	Indiana	1250160	2.5300	4600	South Dakota	174948	0.354
1900	Iowa	766774	1.5500	4700	Tennessee	1117541	2.260
2000	Kansas	581153	1.1800	4800	Texas	2568121	5.190
2100	Kentucky	919569	1.8600	4900	Utah	208837	0.422
2200	Louisiana	901320	1.8200	5000	Vermont	111228	0.225
2300	Maine	283787	0.5740	5100	Virginia	1016994	2.060
2400	Maryland	730409	1.4800	5300	Washington	676778	1.370
2500	Massachusetts	1468915	2.9700	5400	West Virginia	526125	1.060
2600	Michigan	2037662	4.1200	5500	Wisconsin	1023189	2.070
2700	Minnesota	820184	1.6600	5600	Wyoming	86937	0.176
2800	Mississippi	607037	1.2300	NA	NA	2716291	5.490
2900	Missouri	1302609	2.6300				

father_fname

Label: Father's First Name

Description: father_fname is a character variable reporting the first 16 letters of the person's father's first name, as recorded in the Numident Death Files.

father_mname

Label: Father's Middle Name

Description: father_mname is a character variable reporting the first 16 letters of the person's father's middle name, as recorded in the Numident Death Files.

father_lname

Label: Father's Last Name

Description: father_lname is a character variable reporting the first 21 letters of the person's father's last name, as recorded in the Numident Death Files.

$mother_fname$

Label: Mother's First Name

Description: mother_fname is a character variable reporting the first 16 letters of the person's mother's first name, as recorded in the Numident Death Files.

$mother_mname$

Label: Mother's Middle Name

Description: mother_mname is a character variable reporting the first 16 letters of the person's mother's last name, as recorded in the Numident Death Files.

$mother_lname$

Label: Mother's Last Name

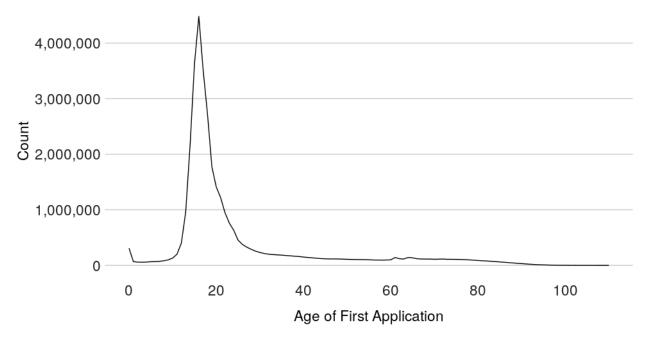
Description: mother_lname is a character variable reporting the first 21 letters of the person's mother's middle name, as recorded in the Numident Death Files.

age_first_app

Label: Age at First Social Security Application

Description: age_first_app reports the age at which the person submitted their first Social Security Application.

Age of First Application



$number_apps$

Label: Total Number of Applications

Description: number_apps reports the person's total number of Social Security applications, as recorded in the Numident Application Files.

number_apps	n	freq
0	14284609	29.000
1	17649245	36.000
2	10108410	20.000
3	4310000	8.700
4	1710599	3.500
5	719303	1.500
6	323112	0.650
7	155221	0.310
8	80363	0.160
9	43690	0.088
10+	74741	0.150

$number_claims$

Label: Total Number of Claims

Description: number_claims reports the person's total number of Social Security claims, as recorded in the Numident Claim Files.

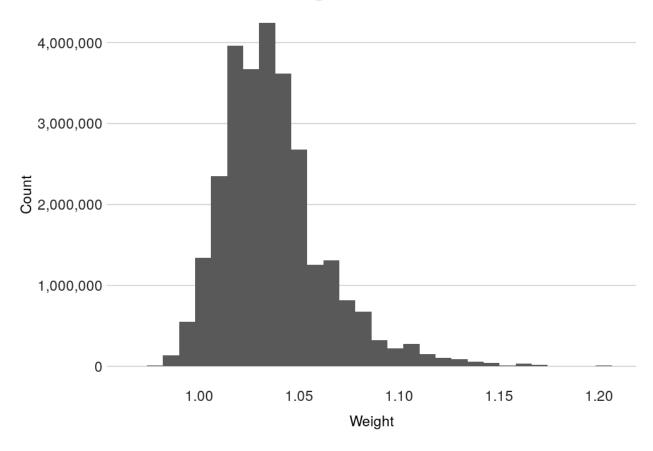
number_claims	n	freq
0	32933624	66.587
1	16478755	33.318
2	45788	0.093
3+	1126	0.002

weight

Label: High Coverage Sample Weight

Description: A post-stratification person-weight to Human Mortality Database (HMD) totals for persons (1) born between 1900-1940 (2) dying between 1988-2005 and (3) a recorded sex. Please see appendix for more details on weighting procedure.

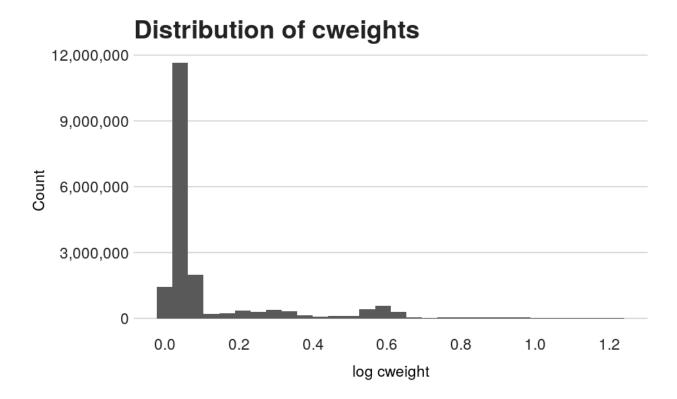
Distribution of weights



cweight

Label: High Coverage "Complete" Sample Weight

Description: A post-stratification person-weight to Human Mortality Database (HMD) totals for persons (1) born between 1900-1940 (2) dying between 1988-2005 (3) a recorded sex (4) recorded birth place and (5) recorded race. Please see appendix for more details on weighting procedure.



Original Numident File Source

Variable	Source
ssn	Death Files
fname	Death Files
mname	Death Files
lname	Death Files
sex	Death, Application, or Claim Files
race	Application Files
$race_change$	Constructed
bpl	Application or Claim Files
byear	Death Files
bmonth	Death Files
bday	Death Files
dyear	Death Files
dmonth	Death Files
dday	Death Files
$death_age$	Constructed
zip_residence	Death Files
socstate	Constructed
$father_fname$	Application or Claim Files
$father_mname$	Application or Claim Files
$father_lname$	Application or Claim Files
$mother_fname$	Application or Claim Files
$mother_mname$	Application or Claim Files
$mother_lname$	Application or Claim Files
age_first_app	Constructed
$number_apps$	Constructed
$number_claims$	Constructed
weight	Constructed
cweight	Constructed

Selection Strategy

For persons with multiple application or claim records, there was occasional response inconsistency for sex, race, place of birth, and parents' names. We developed a set of decision rules to select the best value for the Berkeley Unified Numident Mortality Database:

- sex: When available, select the person's sex from their death record. If unavailable in their death record, select sex from their most recent application record. If unavailable in their death record and their application records, select sex from their most recent claim record.
- race: Select the person's race from their most recent application record.
- **bpl**: Select the person's race from their most recent application record. If unavailable in their application records, select from their most recent claim record.
- **father_fname**: Select the person's father's first name that is the maximum number of characters across applications.
- **father_mname**: Select the person's father's middle name that is the maximum number of characters across applications.
- father_lname: Select the person's father's last name that is the maximum number of characters across applications.
- mother_fname: Select the person's mother's first name that is the maximum number of characters across applications.
- mother_mname: Select the person's mother's middle name that is the maximum number of characters across applications.
- mother_lname: Select the person's mother's last name that is the maximum number of characters across applications.