



Generic Enumerators

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- Introduction
- Regular types
- Indexed containers
- Indexed descriptions
- Conclusion

Introduction

Test data may have constraints

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```
prop :: [Int] -> [Int] -> Property
prop xs ys = sorted xs && sorted ys ==> sorted (merge xs ys)
```

Problem Statement

What happens when we test this property?

`sorted xs && sorted ys ==> sorted (merge xs ys)`

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```
sorted xs && sorted ys ==> sorted (merge xs ys)
```

*** Gave up! Passed only 22 tests; 1000 discarded tests.

The vast majority of generated **xs** and **ys** fail the precondition!

Problem Statement

We could try our luck with a custom generator:

```
gen_sorted :: Gen [Int]
gen_sorted = arbitrary >=> return . diff
  where diff :: [Int] -> [Int]
        diff [] = []
        diff (x:xs) = x:map (+x) (diff xs)
```


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```

For more complex data, defining these generators is hard

We can often represent constrained data as an indexed family

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```
data Sorted : List  $\mathbb{N}$   $\rightarrow$  Set where  
  nil      : Sorted []  
  single  :  $\forall \{n\} \rightarrow$  Sorted [ n ]  
  step    :  $\forall \{n\ m\ xs\} \rightarrow n \leq m \rightarrow$  Sorted (m :: xs)  
            $\rightarrow$  Sorted (n :: m :: xs)
```

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If we can generate values of type **Sorted** **xs**, we can generate sorted lists!

Approach

We try to answer the following question: *how can we generically generate values of arbitrary indexed families?*

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We tackle this question by looking at 3 increasingly complex type universes and defining generic enumerators from them.

To simplify the problem a bit, we forget about sampling for now and only consider *enumerations*

Each type universe consists of the following elements:

1. A datatype **U** describing codes in the universe
2. A semantics $\llbracket_ \rrbracket : \mathbf{U} \rightarrow \mathbf{Set}$ that maps codes to a type

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$\llbracket u \rrbracket$

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Enumerator completeness

We formulate the following completeness property for our enumerators:

Complete : $\forall \{T\} \rightarrow \text{Gen } T \rightarrow \text{Set}$

Complete gen = $\forall \{x\} \rightarrow \exists [n] \ x \in \text{enumerate gen } n$

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Complete gen = $\forall \{x\} \rightarrow \exists [n] \ x \in \text{enumerate gen } n$

A generator is complete if *all values of the type it produces at some point occur in the enumeration*

Regular types

Universe definition

The universe includes unit types (**U**), empty types (**Z**), constant types (**K**) and recursive positions (**I**):

```
data Reg : Set where  
  U I Z : Reg  
  K      : Set → Reg
```

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```
data Reg : Set where
```

```
  U I Z : Reg
```

```
  K      : Set → Reg
```

Regular types are closed under product and coproduct:

```
_ ⊗ _ : Reg → Reg → Reg
```

```
_ ⊕ _ : Reg → Reg → Reg
```

The semantics, $\llbracket_ \rrbracket : \mathbf{Reg} \rightarrow \mathbf{Set} \rightarrow \mathbf{Set}$, map a value of type **Reg** to a value in **Set** \rightarrow **Set**

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$\llbracket _ \rrbracket : \mathbf{Reg} \rightarrow \mathbf{Set} \rightarrow \mathbf{Set}$

$\llbracket Z \rrbracket r = \perp$

$\llbracket U \rrbracket r = \top$

$\llbracket I \rrbracket r = r$

$\llbracket K\ x \rrbracket r = x$

$\llbracket c_1 \otimes c_2 \rrbracket r = \llbracket c_1 \rrbracket r \times \llbracket c_2 \rrbracket r$

$\llbracket c_1 \oplus c_2 \rrbracket r = \llbracket c_1 \rrbracket r \uplus \llbracket c_2 \rrbracket r$

r is the type of recursive positions!

We use the following fixpoint operation:

```
data Fix (c : Reg) : Set where  
  In : [ c ] (Fix c) → Fix c
```

We now aim to define an enumerator for all types that can be described by a code in **Reg**

```
enumerate : (c c' : Reg)  
          → Gen ([ c ] (Fix c')) ([ c' ] (Fix c'))
```

Regular types - Deriving a generator

We now aim to define an enumerator for all types that can be described by a code in **Reg**

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enumerate : (c c' : Reg)
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```

Notice the difference between the type parameters of **Gen**!

Regular types - Deriving a generator

```
enumerate Z          c' = empty
enumerate U          c' = ( tt      )
enumerate I          c' = ( In μ  )
enumerate (c1 ⊗ c2) c' = ( (enumerate c1 c')
                           , (enumerate c2 c') )
enumerate (c1 ⊕ c2) c' = ( inj1 (enumerate c1 c') )
                           || ( inj2 (enumerate c2 c') )
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```

What about **K** (constant types)?

The semantics of **K** is the type it carries.

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This means that we need the programmer to somehow supply an enumerator for this type.

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We will come back to this later when considering indexed descriptions

We prove the completeness of **deriveGen** by induction over the input code:

```
complete-thm : ∀ {c c' x} →  
  ∃[ n ] (x ∈ enumerate (deriveGen c c') (deriveGen c' c') n)
```

We prove the completeness of **deriveGen** by induction over the input code:

```
complete-thm :  $\forall \{c \ c' \ x\} \rightarrow$   
   $\exists [n] \ (x \in \text{enumerate} \ (\text{deriveGen} \ c \ c') \ (\text{deriveGen} \ c' \ c') \ n)$ 
```

The cases for **U** and **Z** are trivial

```
complete-thm {U} = 1 , here  
complete-thm {Z} {c'} {() }
```

For product and coproduct, we prove that we combine the derived generators in a completeness preserving manner

Regular types - Proving completeness

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This amounts to proving the following lemmas (in pseudocode):

`Complete g1 → Complete g2`

`→ Complete (⟦ inj1 g1 ⟧ || ⟦ inj2 g2 ⟧)`

`Complete g1 → Complete g2 → Complete ⟦ g1 , g2 ⟧`

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This amounts to proving the following lemmas (in pseudocode):

```
Complete g1 → Complete g2  
  → Complete (⟦ inj1 g1 ⟧ || ⟦ inj2 g2 ⟧)
```

```
Complete g1 → Complete g2 → Complete ⟦ g1 , g2 ⟧
```

Proofs for these lemma's follow readily from chosen instances of **Applicative** and **Alternative**

Recursive positions (**I**) are slightly more tricky

```
complete-thm {I} {c'} {In x} with complete-thm {c'} {c'} {x}  
... | prf = {!!}
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We complete this case by proving a lemma of the form:

Complete $\mu \rightarrow$ Complete (In μ)

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```
complete-thm {I} {c'} {In x} with complete-thm {c'} {c'} {x}  
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```

We complete this case by proving a lemma of the form:

Complete $\mu \rightarrow$ **Complete** (**In** μ)

We then simply feed the induction hypothesis (**prf**) to this lemma to complete the proof.

Indexed containers

Indexed containers can be viewed as an extension to *W-types*

Indexed containers - W-types

Indexed containers can be viewed as an extension to *W-types*

```
record WType : Set where
```

```
  constructor _~_
```

```
  field
```

```
    S : Set
```

```
    P : S → Set
```

```
[[_]] : WType → Set → Set
```

```
[[ S ~ P ]] r = Σ[ s ∈ S ] (P s → r)
```

```
data Fix (w : WType) : Set where
```

```
  In : [[ w ]]sup (Fix w) → Fix w
```

Indexed containers - Universe definition

We parameterize the *shape* and *position* over the index type, and add an typing discipline that describes the indices of recursive positions.

```
record Sig (I : Set) : Set where
```

```
  constructor _  $\triangleleft$  _ | _
```

```
  field
```

```
    Op : (i : I)  $\rightarrow$  Set
```

```
    Ar :  $\forall$  {i}  $\rightarrow$  (Op i)  $\rightarrow$  Set
```

```
    Ty :  $\forall$  {i} {op : Op i}  $\rightarrow$  Ar op  $\rightarrow$  I
```

```
 $\llbracket$  _  $\rrbracket$  :  $\forall$  {I}  $\rightarrow$  Sig I  $\rightarrow$  (I  $\rightarrow$  Set)  $\rightarrow$  I  $\rightarrow$  Set
```

```
 $\llbracket$  Op  $\triangleleft$  Ar | Ty  $\rrbracket$  r i =
```

```
   $\Sigma$  [ op  $\in$  Op i ] ((ar : Ar op)  $\rightarrow$  r (Ty ar))
```

```
data Fix {I : Set} ( S : Sig I) (i : I) : Set where
```

```
  In :  $\llbracket$  S  $\rrbracket$  (Fix S) i  $\rightarrow$  Fix S i
```

Let's consider vectors as an example

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Σ -vec a =

```
let op-vec = ( $\lambda$  { zero  $\rightarrow$  U ; (suc n)  $\rightarrow$  K a })  
    ar-vec = ( $\lambda$  {{zero} tt  $\rightarrow$  Z ; {suc n} x  $\rightarrow$  U })  
    ty-vec = ( $\lambda$  {{suc n} {a} (In tt)  $\rightarrow$  n })  
in op-vec  $\triangleleft$  ar-vec | ty-vec
```



```
“agda deriveGen : {I : Set} → (S : Sig I) → (i : I) → Gen (Fix S i) (Fix S i) deriveGen  
(Op → Ar → Ty) i = do op → Call (genericGen (Op i)) ar → Call (coenumerate (Ar op)  
(Ar op) (λ ar → deriveGen (Op → Ar → Ty) (Ty ar))) pure (In (op , ar x)) . . .
```

coenumerate enumerates all functions from arity to recursive generator.

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```

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If we restrict operations and arities to regular types, we can define

coenumerate generically.

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In the case of indexed containers, part of this value x is a function, so we cannot perform this pattern match.

Indexed descriptions

The universe of indexed descriptions is largely derived from the universe of regular types

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```
data IDesc (I : Set) : Set where  
  `1    : IDesc I  
  `var  : I → IDesc I  
  _`×_  : IDesc I → IDesc I → IDesc I
```

These correspond to **U**, **I** and product in the universe of regular types

The regular coproduct is replaced with a generalized version:

$$\texttt{'}\sigma \texttt{ : (n : } \mathbb{N} \texttt{) } \rightarrow \texttt{(Fin n } \rightarrow \texttt{IDesc I) } \rightarrow \texttt{IDesc I}$$

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Constant types are replaced with dependent pairs:

$$\texttt{'}\Sigma \texttt{ : (S : Set) } \rightarrow \texttt{(S } \rightarrow \texttt{IDesc I) } \rightarrow \texttt{IDesc I}$$

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$$\text{'}\sigma : (n : \mathbb{N}) \rightarrow (\text{Fin } n \rightarrow \text{IDesc } I) \rightarrow \text{IDesc } I$$

Constant types are replaced with dependent pairs:

$$\text{'}\Sigma : (S : \text{Set}) \rightarrow (S \rightarrow \text{IDesc } I) \rightarrow \text{IDesc } I$$

We denote the empty type with $\text{'}\sigma \ 0 \ \lambda()$

The semantic of `'1`, `'var`, and `'x_` are taken (almost) directly from the semantics of regular types

$$\llbracket _ \rrbracket : \forall \{I\} \rightarrow \text{IDesc } I \rightarrow (I \rightarrow \text{Set}) \rightarrow \text{Set}$$
$$\llbracket \text{'1} \rrbracket r = \top$$
$$\llbracket \text{'var } x \rrbracket r = r \ x$$
$$\llbracket \delta_1 \text{'x } \delta_2 \rrbracket r = \llbracket \delta_1 \rrbracket r \times \llbracket \delta_2 \rrbracket r$$

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$$\llbracket _ \rrbracket : \forall \{I\} \rightarrow \text{IDesc } I \rightarrow (I \rightarrow \text{Set}) \rightarrow \text{Set}$$
$$\llbracket \text{'1} \rrbracket r = T$$
$$\llbracket \text{'var } x \rrbracket r = r \ x$$
$$\llbracket \delta_1 \text{'x } \delta_2 \rrbracket r = \llbracket \delta_1 \rrbracket r \times \llbracket \delta_2 \rrbracket r$$

Both sigma's are interpreted to a dependent pair:

$$\llbracket \text{'}\sigma \ n \ T \rrbracket r = \Sigma[\text{fn} \in \text{Fin } n] \llbracket T \ \text{fn} \rrbracket r$$
$$\llbracket \text{'}\Sigma \ S \ T \rrbracket r = \Sigma[s \in S] \llbracket T \ s \rrbracket r$$

We describe indexed families with a function $\mathbf{I} \rightarrow \mathbf{IDesc} \ \mathbf{I}$.

We describe indexed families with a function $I \rightarrow \mathbf{IDesc}\ I$.

The fixpoint operation associated with this universe is:

```
data Fix {I} ( $\varphi : I \rightarrow \mathbf{IDesc}\ I$ ) ( $i : I$ ) : Set where  
  In :  $\llbracket \varphi\ i \rrbracket (\mathbf{Fix}\ \varphi) \rightarrow \mathbf{Fix}\ \varphi\ i$ 
```

The generator type has the same structure as for regular types

```
deriveGen : ∀ {I i} → (δ : IDesc I) → (φ : I → IDesc I)  
           → Gen (⟦ δ ⟧I (Fix φ)) (λ i → ⟦ φ i ⟧I (Fix φ)) i
```


Indexed descriptions - Deriving a Generator

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           → Gen (⟦ δ ⟧I (Fix φ)) (λ i → ⟦ φ i ⟧I (Fix φ)) i
```

The cases for '1, 'var and 'x are also (almost) the same

```
deriveGen `1      φ = ( tt )  
deriveGen (`var x) φ = ( In (μ x) )  
deriveGen (δ1 `x δ2) φ = ( (deriveGen δ1 φ)  
                             , (deriveGen δ2 φ) )
```

For the generalized coproduct, we utilize monadic structure of generators

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```
deriveGen (`σ n T) φ = do
  fn ← Call n genFin
  x  ← deriveGen (T fn) φ
  pure (fn , x)
```

`genFin n` generates values of type `Fin n`

The generalized coproduct is an instantiation of the dependent pair, so we reuse the definition

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```
deriveGen (`Σ S T) φ = do
  s ← {!!}
  x ← deriveGen (T s) φ (fm s)
  pure (s , x)
```

The generalized coproduct is an instantiation of the dependent pair, so we reuse the definition

```
deriveGen ( $\Sigma$  S T)  $\varphi$  = do  
  s  $\leftarrow$  {!!}  
  x  $\leftarrow$  deriveGen (T s)  $\varphi$  (fm s)  
  pure (s , x)
```

How do we get s ?

We define a metadata structure:

Indexed descriptions - Deriving a Generator

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```
data IDescM (P : Set  $\square$   $\rightarrow$  Set) : IDesc I  $\rightarrow$  Set where  
  `var~ :  $\forall$  {i : I}  $\rightarrow$  IDescM P (`var i)  
  `1~ : IDescM P `1  
  _`x~_ :  $\forall$  {d1 d2 : IDesc  $\square$  I}  $\rightarrow$  IDescM P d1  
     $\rightarrow$  IDescM P d2  $\rightarrow$  IDescM P (d1 `x d2)  
  `σ~ :  $\forall$  {n :  $\mathbb{N}$ } {T : Sl (lift n)  $\rightarrow$  IDesc  $\square$  I}  
     $\rightarrow$  ((fn : Sl (lift n))  $\rightarrow$  IDescM P (T fn))  
     $\rightarrow$  IDescM P (`σ n T)  
  `Σ~ :  $\forall$  {S : Set  $\square$ } {T : S  $\rightarrow$  IDesc  $\square$  I}  $\rightarrow$  P S  
     $\rightarrow$  ((s : S)  $\rightarrow$  IDescM P (T s))  
     $\rightarrow$  IDescM P (`Σ S T)
```


Indexed descriptions - Deriving a Generator

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  _`x~_ :  $\forall$  {d1 d2 : IDesc  $\square$  I}  $\rightarrow$  IDescM P d1  
     $\rightarrow$  IDescM P d2  $\rightarrow$  IDescM P (d1 `x d2)  
  `σ~ :  $\forall$  {n :  $\mathbb{N}$ } {T : Sl (lift n)  $\rightarrow$  IDesc  $\square$  I}  
     $\rightarrow$  ((fn : Sl (lift n))  $\rightarrow$  IDescM P (T fn))  
     $\rightarrow$  IDescM P (`σ n T)  
  `Σ~ :  $\forall$  {S : Set  $\square$ } {T : S  $\rightarrow$  IDesc  $\square$  I}  $\rightarrow$  P S  
     $\rightarrow$  ((s : S)  $\rightarrow$  IDescM P (T s))  
     $\rightarrow$  IDescM P (`Σ S T)
```

Essentially, this is a *singleton type* for descriptions, carrying extra information for the first components of dependent pairs.

We parameterize **deriveGen** over a metadata structure containing generators

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```
deriveGen ( $\Sigma$  S T)  $\varphi$  ( $\Sigma \sim$  g mT) = do  
  s  $\leftarrow$  Call g  
  x  $\leftarrow$  deriveGen (T s)  $\varphi$  (mT s)  
  pure (s , x)
```

In the case of **STree**, this means that we have to supply a generator that generates pairs of numbers and proofs that their sum is particular number

$$+-inv : (n : \mathbb{N}) \rightarrow \text{Gen } (\Sigma (\mathbb{N} \times \mathbb{N}) \lambda \{ (k, m) \rightarrow n \equiv k + m \})$$

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By using a metadata structure to generate for dependent pairs, we separate the hard parts of generation from the easy parts

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By using a metadata structure to generate for dependent pairs, we separate the hard parts of generation from the easy parts

A programmer can influence the generation process by supplying different generators

We use the same proof structure as with regular types

```
complete-thm : ∀ {δ φ x i} →  
  ∃[ n ] (x ∈ enumerate (deriveGen δ φ)  
    (λ y → deriveGen (φ y) φ) i n)
```

We use the same proof structure as with regular types

```
complete-thm : ∀ {δ φ x i} →  
  ∃[ n ] (x ∈ enumerate (deriveGen δ φ)  
          (λ y → deriveGen (φ y) φ) i n)
```

enumerate is slightly altered here to accommodate indexed generators

The proof is mostly the same as for regular types, however the generator for dependent pairs is constructed using a monadic bind

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Hence, we need to prove an additional lemma about this operation

`bind-thm :`

```

$$\forall \{g_1 \ g_2 \ A \ B\} \rightarrow \text{Complete } g_1 \rightarrow ((x : A) \rightarrow \text{Complete } (g_2 \ x)) \\ \rightarrow \text{Complete } (g_1 \gg= (\lambda x \rightarrow g_2 \ x \gg= \lambda y \rightarrow \text{pure } x \ , \ y))$$

```

To prove completeness for dependent pairs, we can simply invoke this lemma

```
complete-thm {`Σ S T} {φ} =  
  bind-thm {!!} (λ x → deriveGen (T x) φ)
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```
complete-thm {`Σ S T} {φ} =  
  bind-thm {!!} (λ x → deriveGen (T x) φ)
```

The first argument of **bind-thm** is a completeness proof for the user-supplied generator

So we have the user supply this proof using a metadata structure.

```
IDescM (λ S → Σ[ g ∈ Gen S S ] Complete g
```

The generalized coproduct is just an instantiation of the dependent pair

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So we can reuse the proof structure for dependent pairs to prove its completeness

Conclusion

To summarize, we did the following:

1. Describe three type universes in Agda, and derive generators from codes in these universes (only two of these discussed here)
2. For two of these universes, prove that the generators derived from them are complete
3. Implement our development for indexed descriptions in Haskell

We have shown, as a proof of concept, that we can generate arbitrary indexed families

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Of course, this requires that the programmer inputs suitable generators

With this technique, it is (at least) possible to generate relatively simple well-formed data, such as typed expressions or lambda terms

Possible avenues for future work include:

1. Considering more involved examples, such as polymorphic lambda terms
2. Integration with existing testing frameworks
3. Applying memoization techniques to the derived generators

Questions?