



Generic Enumerators

Cas van der Rest, Wouter Swierstra, Manuel Chakravarty

Utrecht University

Introduction

Conditional properties are common in property based testing

Problem Statement

Conditional properties are common in property based testing

```
prop :: [Int] -> [Int] -> Property
```

```
prop xs ys = sorted xs && sorted ys ==> sorted (merge xs ys)
```

Problem Statement

Conditional properties are common in property based testing

```
prop :: [Int] -> [Int] -> Property
prop xs ys = sorted xs && sorted ys ==> sorted (merge xs ys)
```

However, testing this property outright is problematic:

```
*> smallCheck 4 prop
```

Completed 21904 tests without failure.

But 18768 did not meet ==> condition.

We could try our luck with a custom **Series** instance. However:

We could try our luck with a custom **Series** instance. However:

- This can be very difficult depending on the specific constraints used
- We require a new instance everytime constraints change

We could try our luck with a custom **Series** instance. However:

- This can be very difficult depending on the specific constraints used
- We require a new instance everytime constraints change

Is there a generic recipe for enumerators producing constrained test data?

We can often represent constrained data as an indexed family

We can often represent constrained data as an indexed family

```
data Sorted : List  $\mathbb{N}$   $\rightarrow$  Set where  
  nil      : Sorted []  
  single  :  $\forall \{n\} \rightarrow$  Sorted [ n ]  
  step    :  $\forall \{n\ m\ xs\} \rightarrow n \leq m \rightarrow$  Sorted (m :: xs)  
            $\rightarrow$  Sorted (n :: m :: xs)
```

We can often represent constrained data as an indexed family

```
data Sorted : List  $\mathbb{N}$   $\rightarrow$  Set where  
  nil      : Sorted []  
  single   :  $\forall \{n\} \rightarrow$  Sorted [ n ]  
  step     :  $\forall \{n\ m\ xs\} \rightarrow n \leq m \rightarrow$  Sorted (m :: xs)  
               $\rightarrow$  Sorted (n :: m :: xs)
```

If we can enumerate values of type **Sorted** *xs*, we can enumerate sorted lists!

Approach

We try to answer the following question: *how can we generically enumerate values of arbitrary indexed families?*

We try to answer the following question: *how can we generically enumerate values of arbitrary indexed families?*

We tackle this question by looking at 3 increasingly complex type universes and defining generic enumerators from them.

We try to answer the following question: *how can we generically enumerate values of arbitrary indexed families?*

We tackle this question by looking at 3 increasingly complex type universes and defining generic enumerators from them.

To simplify the problem a bit, we forget about sampling for now and only consider *enumerations*

Each type universe consists of the following elements:

1. A datatype **U** describing codes in the universe
2. A semantics $\llbracket_ \rrbracket : \mathbf{U} \rightarrow \mathbf{Set}$ that maps codes to a type

Each type universe consists of the following elements:

1. A datatype **U** describing codes in the universe
2. A semantics $\llbracket _ \rrbracket : \mathbf{U} \rightarrow \mathbf{Set}$ that maps codes to a type

Our goal is then to define a function **enumerate** : $(u : \mathbf{U}) \rightarrow \mathbb{N} \rightarrow \mathbf{List} \llbracket u \rrbracket$

We formulate the following completeness property for our enumerators:

$\text{Complete} : \forall \{T\} \rightarrow (\mathbb{N} \rightarrow \text{List } A) \rightarrow \text{Set}$

$\text{Complete } \text{enum} = \forall \{x\} \rightarrow \exists [n] \ x \in \text{enum } n$

Enumerator completeness

We formulate the following completeness property for our enumerators:

Complete : $\forall \{T\} \rightarrow (\mathbb{N} \rightarrow \text{List } A) \rightarrow \text{Set}$

Complete enum = $\forall \{x\} \rightarrow \exists [n] \ x \in \text{enum } n$

Although this property is relatively weak, it is a good sanity check.

Regular types

Universe definition

The universe includes unit types (**U**), empty types (**Z**), constant types (**K**) and recursive positions (**I**):

```
data Reg : Set where  
  U I Z : Reg  
  K      : Set → Reg
```

Universe definition

The universe includes unit types (**U**), empty types (**Z**), constant types (**K**) and recursive positions (**I**):

```
data Reg : Set where
```

```
  U I Z : Reg
```

```
  K      : Set → Reg
```

Regular types are closed under product and coproduct:

```
_ ⊗ _ : Reg → Reg → Reg
```

```
_ ⊕ _ : Reg → Reg → Reg
```

The semantics, $\llbracket_ \rrbracket : \mathbf{Reg} \rightarrow \mathbf{Set} \rightarrow \mathbf{Set}$, maps a value of type **Reg** to a value in **Set** \rightarrow **Set**

The semantics, $\llbracket _ \rrbracket : \mathbf{Reg} \rightarrow \mathbf{Set} \rightarrow \mathbf{Set}$, maps a value of type **Reg** to a value in $\mathbf{Set} \rightarrow \mathbf{Set}$

$\llbracket _ \rrbracket : \mathbf{Reg} \rightarrow \mathbf{Set} \rightarrow \mathbf{Set}$

$\llbracket Z \quad \quad \rrbracket r = \perp$

$\llbracket U \quad \quad \rrbracket r = \top$

$\llbracket I \quad \quad \rrbracket r = r$

$\llbracket K \ x \quad \rrbracket r = x$

$\llbracket c_1 \otimes c_2 \rrbracket r = \llbracket c_1 \rrbracket r \times \llbracket c_2 \rrbracket r$

$\llbracket c_1 \oplus c_2 \rrbracket r = \llbracket c_1 \rrbracket r \cup \llbracket c_2 \rrbracket r$

We use the following fixpoint operation:

```
data Fix (c : Reg) : Set where  
  In : [ c ] (Fix c) → Fix c
```


We now aim to define an enumerator for all types that can be described by a code in **Reg**

```
enumerate : (c c' : Reg) → ℕ → List ([ c ] (Fix c'))
```

We now aim to define an enumerator for all types that can be described by a code in **Reg**

```
enumerate : (c c' : Reg) → ℕ → List ([ c ] (Fix c'))
```

We use *do-notation* and *idiom* brackets to assemble enumerators, lifting the canonical **Monad** and **Applicative** instances for **List** to the function space $\mathbb{N} \rightarrow \text{List } a$.

Regular types - Deriving an enumerator

```
enumerate Z          c' = empty
enumerate U          c' = () tt
enumerate I          c' = () In (enumerate c' c')
enumerate (c1 ⊗ c2) c' = () (enumerate c1 c')
                        , (enumerate c2 c')
enumerate (c1 ⊕ c2) c' = () inj1 (enumerate c1 c')
                        || () inj2 (enumerate c2 c')
```

Regular types - Deriving an enumerator

```
enumerate Z          c' = empty
enumerate U          c' = () tt
enumerate I          c' = () In (enumerate c' c')
enumerate (c1 ⊗ c2) c' = () (enumerate c1 c')
                        , (enumerate c2 c')
enumerate (c1 ⊕ c2) c' = () inj1 (enumerate c1 c')
                        || () inj2 (enumerate c2 c')
```

The programmer somehow needs to provide an enumerator for constant types.

Basically, we do the following steps:

1. Prove the easy cases (unit types and empty types)
2. Prove that we combine products and coproducts in a completeness preserving way
3. Use the induction hypothesis to close the proof for recursive positions.

Indexed containers

Indexed containers can be viewed as an extension to W -types

Indexed containers - W-types

Indexed containers can be viewed as an extension to *W-types*

```
record WType : Set where
```

```
  constructor _~_
```

```
  field
```

```
    S : Set
```

```
    P : S → Set
```

```
[[_]] : WType → Set → Set
```

```
[[ S ~ P ]] r = Σ[ s ∈ S ] (P s → r)
```

```
data Fix (w : WType) : Set
```

```
  In : [[ w ]] (Fix w) → Fix w
```


Indexed containers - Universe definition

We parameterize the *shape* and *position* over the index type, and add an typing discipline that describes the indices of recursive positions.

```
record Sig (I : Set) : Set where  
  constructor _  $\triangleleft$  _ | _  
  field  
    Op : (i : I)  $\rightarrow$  Set  
    Ar :  $\forall$  {i}  $\rightarrow$  (Op i)  $\rightarrow$  Set  
    Ty :  $\forall$  {i} {op : Op i}  $\rightarrow$  Ar op  $\rightarrow$  I  
  
[[_]] :  $\forall$  {I}  $\rightarrow$  Sig I  $\rightarrow$  (I  $\rightarrow$  Set)  $\rightarrow$  I  $\rightarrow$  Set  
[[ Op  $\triangleleft$  Ar | Ty ]] r i =  
   $\Sigma$ [ op  $\in$  Op i ] ((ar : Ar op)  $\rightarrow$  r (Ty ar))
```

Indexed containers - Example

Let's consider vectors as an example

```
data Vec (A : Set) :  $\mathbb{N}$   $\rightarrow$  Set where  
  nil  : Vec A 0  
  cons : A  $\rightarrow$  Vec A n  $\rightarrow$  Vec A (suc n)
```

Indexed containers - Example

Let's consider vectors as an example

```
data Vec (A : Set) :  $\mathbb{N}$  → Set where
```

```
  nil  : Vec A 0
```

```
  cons : A → Vec A n → Vec A (suc n)
```

Σ -vec a =

```
  let op-vec = ( $\lambda$  { zero → U ; (suc n) → K a })
```

```
    ar-vec = ( $\lambda$  {{zero} tt → Z ; {suc n} x → U})
```

```
    ty-vec = ( $\lambda$  {{suc n} {a} (In tt) → n})
```

```
  in op-vec  $\triangleleft$  ar-vec | ty-vec
```

```
enumerate : ∀ {I : Set} → (S : Sig I)
           → (i : I) → ℕ → List (Fix S i)
enumerate (Op ◁ Ar | Ty) i = do
  op ← enumerate (Op i)
  ar ← coenumerate (Ar op) (Ar op)
  (λ ar → enumerate (Op ◁ Ar | Ty) (Ty ar))
  pure (In (op , ar x))
```

```
enumerate : ∀ {I : Set} → (S : Sig I)
           → (i : I) → ℕ → List (Fix S i)
enumerate (Op ◁ Ar | Ty) i = do
  op ← enumerate (Op i)
  ar ← coenumerate (Ar op) (Ar op)
  (λ ar → enumerate (Op ◁ Ar | Ty) (Ty ar))
  pure (In (op , ar x))
```

coenumerate enumerates function types

```
enumerate :  $\forall$  {I : Set}  $\rightarrow$  (S : Sig I)  
            $\rightarrow$  (i : I)  $\rightarrow$   $\mathbb{N}$   $\rightarrow$  List (Fix S i)  
enumerate (Op  $\triangleleft$  Ar | Ty) i = do  
  op  $\leftarrow$  enumerate (Op i)  
  ar  $\leftarrow$  coenumerate (Ar op) (Ar op)  
  ( $\lambda$  ar  $\rightarrow$  enumerate (Op  $\triangleleft$  Ar | Ty) (Ty ar))  
  pure (In (op , ar x))
```

coenumerate enumerates function types

If we restrict operations and arities to regular types, we can define

coenumerate generically.

Unfortunately, we have not been able to prove completeness for this enumerator.

Unfortunately, we have not been able to prove completeness for this enumerator.

We need to pattern match on the value x quantified over in the completeness property in order to guarantee termination

Unfortunately, we have not been able to prove completeness for this enumerator.

We need to pattern match on the value x quantified over in the completeness property in order to guarantee termination

In the case of indexed containers, part of this value x is a function, so we cannot perform this pattern match.

Not all indexed families can be described as an indexed container

Not all indexed families can be described as an indexed container

```
data STree (A : Set) : N → Set where  
  leaf : STree A 0  
  node : ∀ {n m} → STree A n → A → STree A m  
        → STree A (suc (n + m))
```

Indexed descriptions

The universe of indexed descriptions is largely derived from the universe of regular types

The universe of indexed descriptions is largely derived from the universe of regular types

```
data IDesc (I : Set) : Set where  
  `1    : IDesc I  
  `var  : I → IDesc I  
  _`×_  : IDesc I → IDesc I → IDesc I
```

These correspond to **U**, **I** and product in the universe of regular types

The regular coproduct is replaced with a generalized version:

$$\text{`\sigma} : (n : \mathbb{N}) \rightarrow (\text{Fin } n \rightarrow \text{IDesc } I) \rightarrow \text{IDesc } I$$

The regular coproduct is replaced with a generalized version:

$$\texttt{'}\sigma \texttt{ : (n : } \mathbb{N} \texttt{) } \rightarrow \texttt{(Fin n } \rightarrow \texttt{IDesc I) } \rightarrow \texttt{IDesc I}$$

Constant types are replaced with dependent pairs:

$$\texttt{'}\Sigma \texttt{ : (S : Set) } \rightarrow \texttt{(S } \rightarrow \texttt{IDesc I) } \rightarrow \texttt{IDesc I}$$

The regular coproduct is replaced with a generalized version:

$$\text{'}\sigma : (n : \mathbb{N}) \rightarrow (\text{Fin } n \rightarrow \text{IDesc } I) \rightarrow \text{IDesc } I$$

Constant types are replaced with dependent pairs:

$$\text{'}\Sigma : (S : \text{Set}) \rightarrow (S \rightarrow \text{IDesc } I) \rightarrow \text{IDesc } I$$

We denote the empty type with $\text{'}\sigma \ 0 \ \lambda()$

The semantic of `'1`, `'var`, and `_ 'x _` are straightforward

$$\llbracket _ \rrbracket : \forall \{I\} \rightarrow \text{IDesc } I \rightarrow (I \rightarrow \text{Set}) \rightarrow \text{Set}$$
$$\llbracket \text{'1} \rrbracket r = \top$$
$$\llbracket \text{'var } x \rrbracket r = r \ x$$
$$\llbracket \delta_1 \text{'x } \delta_2 \rrbracket r = \llbracket \delta_1 \rrbracket r \times \llbracket \delta_2 \rrbracket r$$

The semantic of `'1`, `'var`, and `_ 'x _` are straightforward

$$\llbracket _ \rrbracket : \forall \{I\} \rightarrow \text{IDesc } I \rightarrow (I \rightarrow \text{Set}) \rightarrow \text{Set}$$
$$\llbracket \text{'1} \rrbracket r = T$$
$$\llbracket \text{'var } x \rrbracket r = r \ x$$
$$\llbracket \delta_1 \text{'x } \delta_2 \rrbracket r = \llbracket \delta_1 \rrbracket r \times \llbracket \delta_2 \rrbracket r$$

Both sigma's are interpreted to a dependent pair:

$$\llbracket \text{'}\sigma \ n \ T \rrbracket r = \Sigma[\text{fn} \in \text{Fin } n] \llbracket T \ \text{fn} \rrbracket r$$
$$\llbracket \text{'}\Sigma \ S \ T \rrbracket r = \Sigma[s \in S] \llbracket T \ s \rrbracket r$$

We describe indexed families with a function $\mathbf{I} \rightarrow \mathbf{IDesc} \ \mathbf{I}$.

We describe indexed families with a function $I \rightarrow \mathbf{IDesc}\ I$.

We associate the following fixpoint operation with this universe;

```
data Fix {I} ( $\varphi : I \rightarrow \mathbf{IDesc}\ I$ ) ( $i : I$ ) : Set where  
  In :  $\llbracket \varphi\ i \rrbracket$  (Fix  $\varphi$ )  $\rightarrow$  Fix  $\varphi\ i$ 
```

The enumerator type has the same structure as for regular types

```
enumerate :  $\forall$  {I i  $\varphi$ }  $\rightarrow$  ( $\delta$  : IDesc I)  
           $\rightarrow \mathbb{N} \rightarrow \text{List } [\delta]$  (Fix  $\varphi$ )
```

Indexed descriptions - Deriving an enumerator

The enumerator type has the same structure as for regular types

```
enumerate : ∀ {I i φ} → (δ : IDesc I)
           → ℕ → List [ δ ] (Fix φ)
```

The cases for `'l`, `'var` and `'x` are also (almost) the same

```
enumerate `l      φ = ( tt )
enumerate (`var x) φ = ( In (enumerate (φ x) φ) )
enumerate (δ1 `x δ2) φ = ( (enumerate δ1 φ)
                             , (enumerate δ2 φ) )
```

The generalized coproduct is an instantiation of the dependent pair, so we adapt the previous definition

The generalized coproduct is an instantiation of the dependent pair, so we adapt the previous definition

```
enumerate ( $\Sigma$  S T)  $\phi$  = do  
  s  $\leftarrow$  {!!}  
  x  $\leftarrow$  enumerate (T s)  $\phi$  (fm s)  
  pure (s , x)
```

The generalized coproduct is an instantiation of the dependent pair, so we adapt the previous definition

```
enumerate (`Σ S T) φ = do  
  s ← {!!}  
  x ← enumerate (T s) φ (fm s)  
  pure (s , x)
```

How do we get `s`?

The generalized coproduct is an instantiation of the dependent pair, so we adapt the previous definition

```
enumerate (`Σ S T) φ = do  
  s ← {!!}  
  x ← enumerate (T s) φ (fm s)  
  pure (s , x)
```

How do we get `s`?

We have the programmer supply an enumerator!

Indexed descriptions - Deriving an enumerator

We define a metadata structure:

Indexed descriptions - Deriving an enumerator

We define a metadata structure:

```
data IDescM (P : Set → Set) : IDesc I → Set where  
  `var~ : ∀ {i : I} → IDescM P (`var i)  
  `1~ : IDescM P `1  
  _`x~_ : ∀ {d1 d2 : IDesc I} → IDescM P d1  
    → IDescM P d2 → IDescM P (d1 `x d2)  
  `σ~ : ∀ {n : ℕ} {T : Fin n → IDesc I}  
    → ((fn : Fin n) → IDescM P (T fn))  
    → IDescM P (`σ n T)  
  `Σ~ : ∀ {S : Set} {T : S → IDesc I} → P S  
    → ((s : S) → IDescM P (T s))  
    → IDescM P (`Σ S T)
```

Indexed descriptions - Deriving an enumerator

We define a metadata structure:

```
data IDescM (P : Set → Set) : IDesc I → Set where  
  `var~ : ∀ {i : I} → IDescM P (`var i)  
  `1~ : IDescM P `1  
  _`x~_ : ∀ {d1 d2 : IDesc I} → IDescM P d1  
    → IDescM P d2 → IDescM P (d1 `x d2)  
  `σ~ : ∀ {n : ℕ} {T : Fin n → IDesc I}  
    → ((fn : Fin n) → IDescM P (T fn))  
    → IDescM P (`σ n T)  
  `Σ~ : ∀ {S : Set} {T : S → IDesc I} → P S  
    → ((s : S) → IDescM P (T s))  
    → IDescM P (`Σ S T)
```

Essentially, this is a *singleton type* for descriptions, carrying extra information for the first components of dependent pairs.

We parameterize **enumerate** over a metadata structure containing enumerators

We parameterize **enumerate** over a metadata structure containing enumerators

```
enumerate ( $\Sigma$  S T)  $\varphi$  ( $\Sigma \sim$  g mT) = do  
  s  $\leftarrow$  g  
  x  $\leftarrow$  enumerate (T s)  $\varphi$  (mT s)  
  pure (s , x)
```


In the case of **STree**, this means that we have to supply an enumerator that enumerates pairs of numbers and proofs that their sum is particular number

```
+ -inv : (n : ℕ) → ℕ →  
  List (Σ (ℕ × ℕ) λ { (k , m) → n ≡ k + m })
```

In the case of **STree**, this means that we have to supply an enumerator that enumerates pairs of numbers and proofs that their sum is particular number

```
+inv : (n : ℕ) → ℕ →  
  List (Σ (ℕ × ℕ) λ { (k , m) → n ≡ k + m })
```

By using a metadata structure to enumerate for dependent pairs, we separate the hard parts of enumeration from the easy parts

In the case of **STree**, this means that we have to supply an enumerator that enumerates pairs of numbers and proofs that their sum is particular number

```
+ -inv : (n : ℕ) → ℕ →  
  List (Σ (ℕ × ℕ) λ { (k , m) → n ≡ k + m })
```

By using a metadata structure to enumerate for dependent pairs, we separate the hard parts of enumeration from the easy parts

The user decides where the enumerator comes from

The completeness proof is roughly the same as the completeness proof for regular types

The completeness proof is roughly the same as the completeness proof for regular types

Additionally, we need to prove that our useage of monadic bind is also completeness preserving.

Conclusion

To summarize, we did the following:

1. Describe three type universes in Agda, and derive enumerators from codes in these universes
2. For two of these universes, prove that the enumerators derived from them are complete

Additionally, we have constructed a Haskell library that implements the generic enumerator for indexed descriptions

Questions?