

# Physiological and Eye-tracking Signals

Enobio 32 EEG system



Ergoneers Eye-tracking system



Empatica E4 system

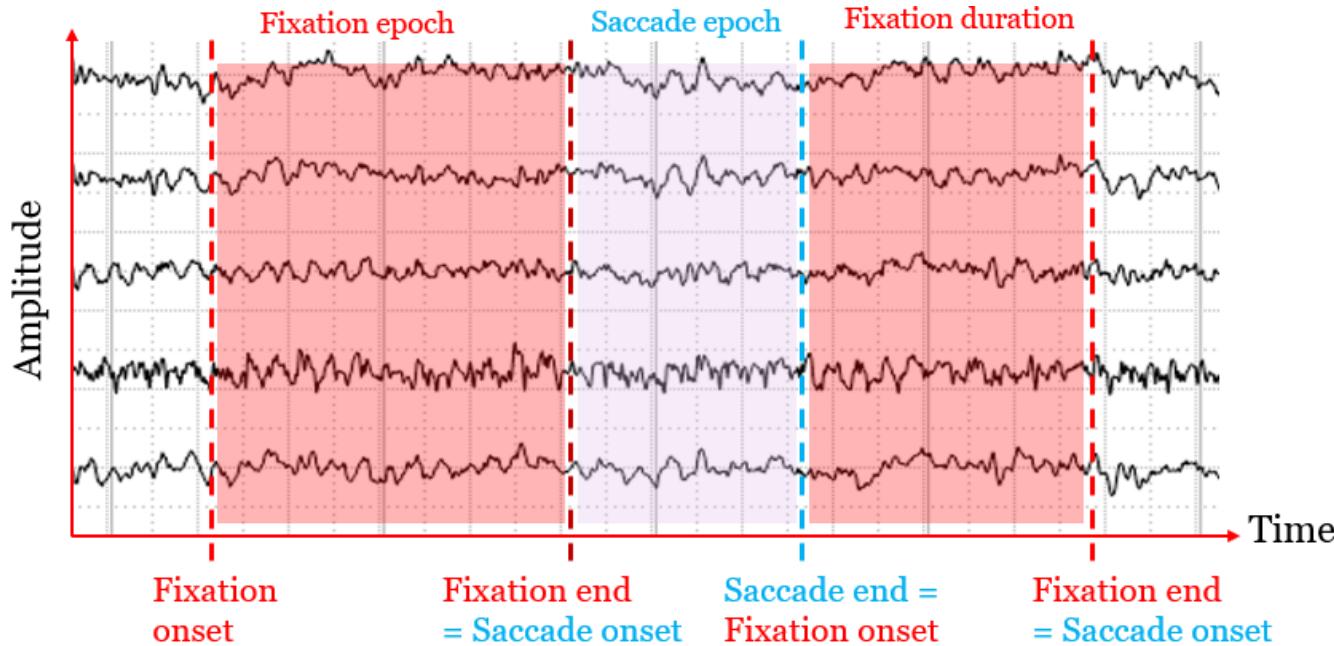


Table: Sampling frequencies of signals used in this study

Signal type	EEG	Eye-tracking	PPG	EDA	Temp.
fs [Hz]	500	60	64	4	4

PPG: Photoplethysmogram / EDA: Electrical Dermal Activity / Temp.: Skin temperature

# EEG preprocessing: Artifact removal



EEG signals in fixation and saccade epochs are compared/preprocessed, separately.

- ICA-based: Compare ***the variation ratio*** of EEG signals in ***fixation*** to EEG signals in ***saccade durations***.
- CAR-based: Re-reference EEG signals in ***fixation*** and EEG signals in ***saccade durations, independently***.

# EEG preprocessing - Automated Artifact Removal

## : Eye-Tracking-based ICA (ET-ICA)

ICA decomposition: Each IC is fed into the selection algorithm



ET-based components selection  
 - Var. ratio of saccade to fixation  
 - Removed the ICs (> the ratio 1.1)



Reconstruction of selected components

$$Var_{epoch_j}^{(fixation \text{ or } saccade)} = \frac{1}{N} \sum_i^N (y_i - EEG_{mean\_j})^2$$

$i = i$ -th signal point in either saccade or fixation epoch

$N =$  the number of the signal points in the epoch

$EEG_{mean\_j}$  = mean EEG of  $j$ -th saccade or fixation epoch

$Var_{epoch_j}$  = variance of EEG of  $j$ -th saccade or fixation epoch

$$Var_{fixation \text{ or } saccade} = \frac{1}{M} \sum_j^M Var_{epoch_j}$$

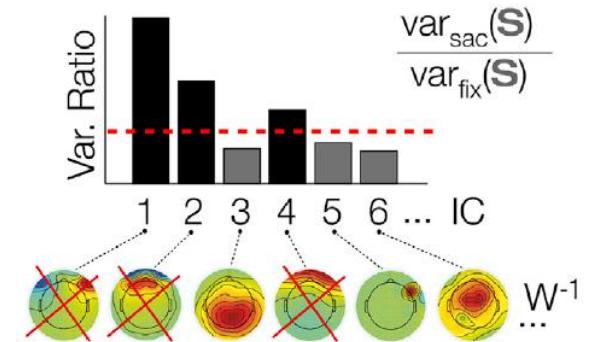
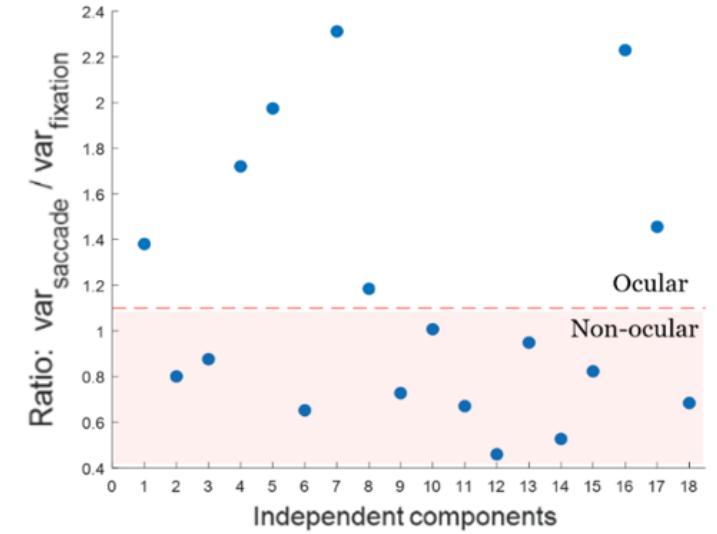
$j = j$ -th signal point in either saccade or fixation epoch

$M =$  the number of either saccade or fixation epochs

$Var_{fixation \text{ or } saccade}$  = variance of EEG of saccade or fixation in a trial

$$\text{Variance ratio} = \frac{Var_{saccade}}{Var_{fixation}} \quad \text{in a trial}$$

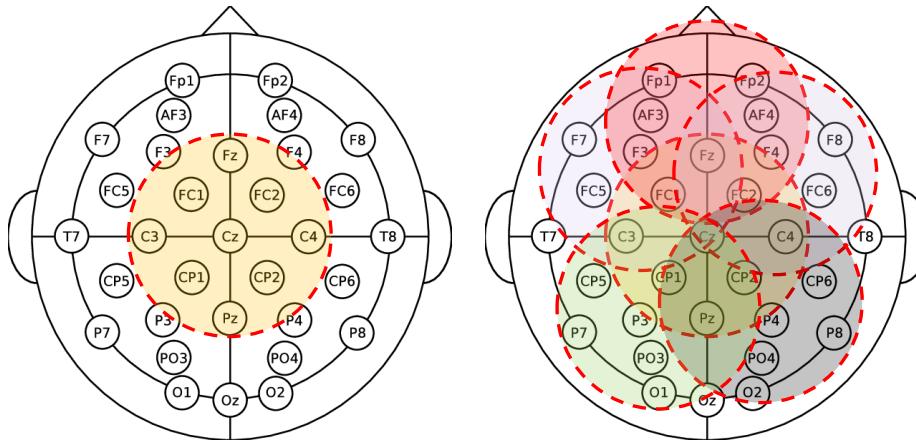
$\text{Variance ratio} = \text{variance in saccades of fixation in fixations}$



# EEG preprocessing - Automated Artifact Removal : Revised Common Average Reference (R-CAR)

Localizing of CAR: selection of neighboring channels

Re-referencing each channel using different neighboring channels



$$\text{Fixation: } X_{\text{non-saccade}} = X_{\text{raw in non-saccade}} - \frac{\sum X_{i_{\text{non-saccade}}}}{N_{\text{all}}}$$

$$\text{Saccade/blinks: } X_{\text{saccade}} = X_{\text{raw in saccade}} - \frac{\sum_{i=1}^{N_{\text{selected}}} X_{i_{\text{saccade}}}}{N_{\text{selected}}}$$

Localizing of CAR: Selection of neighboring channels

Revised CAR filter  
- CAR filter in non-saccade ranges  
- CAR filter in saccade ranges

Signal reconstruction using the two re-referenced signals (non-saccade and saccade ranges)

# Preprocessed EEG

Figure 8. EEG amplitudes from raw signals, CAR, R-CAR, ICA and A-ICA.

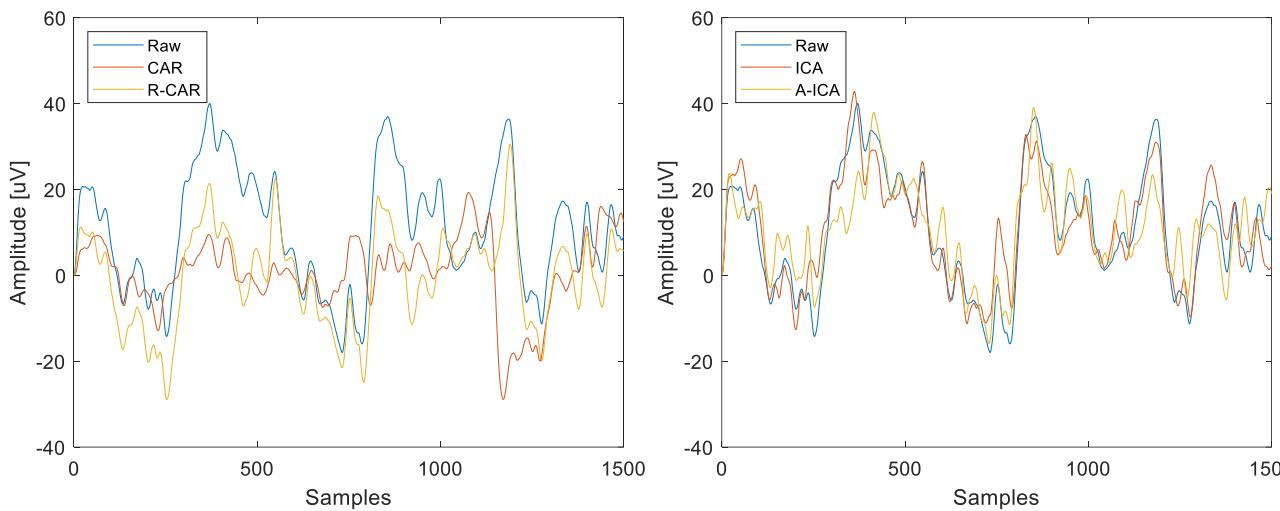
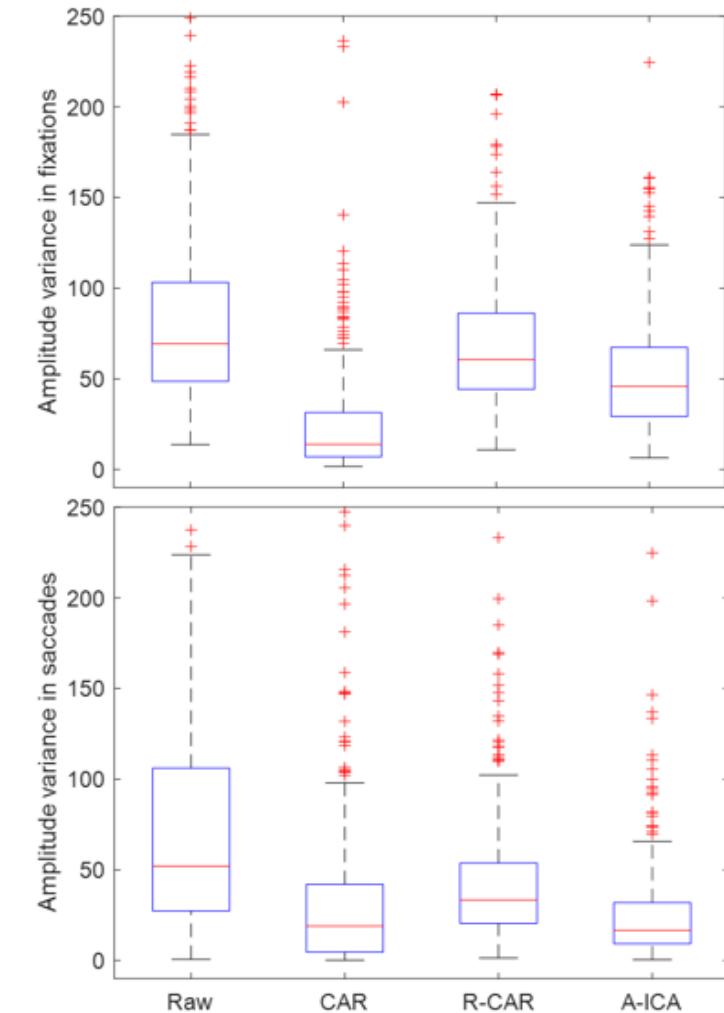


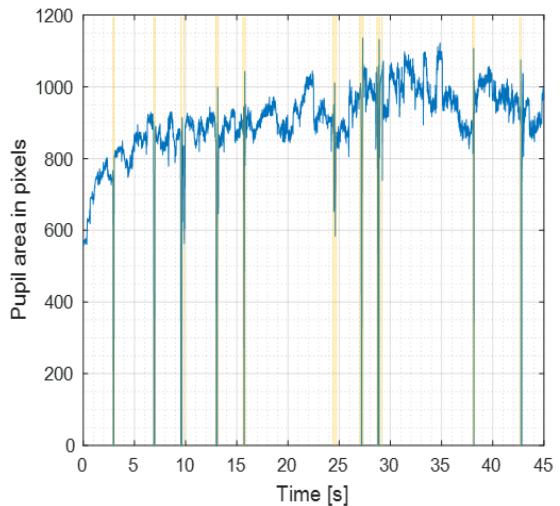
Table 9. Average number of selected ocular components by ICA and A-ICA in 20 trials.

Algorithm type	Single stimulus experiment		Multi stimuli experiment	
	avg. N	SD. N	avg. N	SD. N
ICA (manual)	3.5	1.1	4.4	1.9
A-ICA (automatic)	6.5	2.8	6.8	3.1

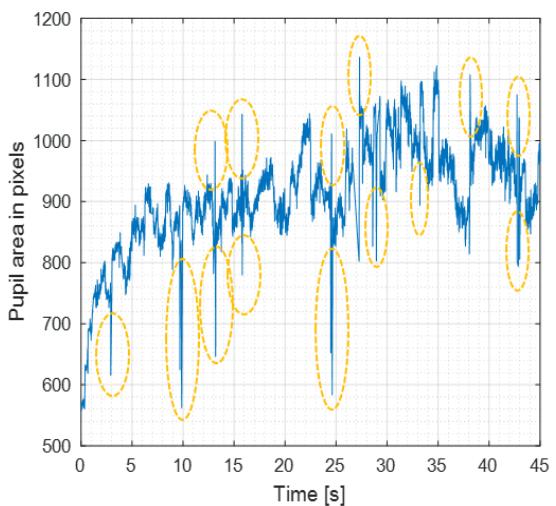
Figure 9. Filtered EEG amplitude's variances from raw, CAR, R-CAR and A-ICA algorithms with a bandpass filter over 300 trials: Left (variances in fixations) and Right boxplot (variances in saccades)



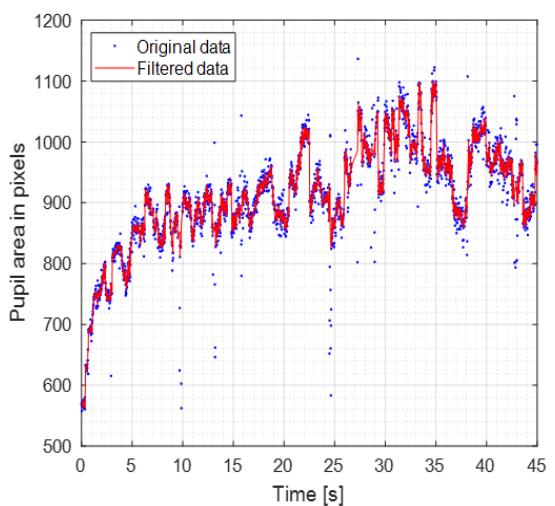
# Eye-tracking signal preprocessing



Raw pupil area



Interpolated of pupil area (Outliers detection)



Processed pupil area (Outliers removed)

Interpolation between the blinks/saccades (detected as saccade)



Hampel identifier (outlier detector)  
-Median-based outlier detector  
- Removal of detected outliers

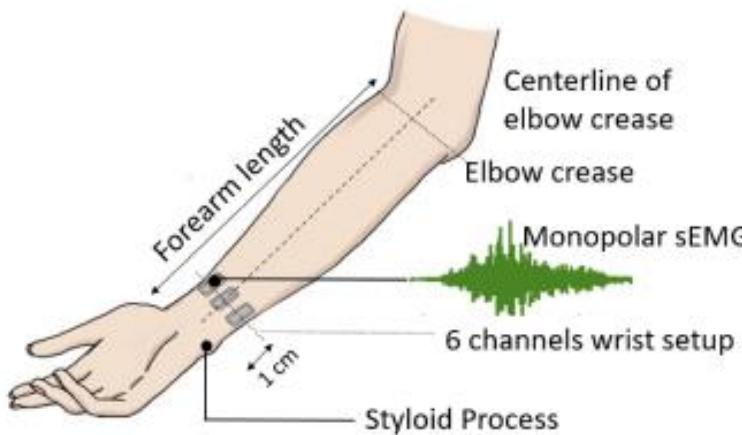


Processed pupil signals

# Preprocessing for other signals



# EMG signal preprocessing



- 10-500 Hz Butterworth bandpass  
- 60 Hz notch filter to remove power-line noise

- Monopolar sEMG: CAR  
- Bipolar channel: Differential

Segmentation/windowing

(Feature extraction) Frequency Division Technique (FDT)  
- FFT magnitude summation across sub-bands (log-transformed)

Discrete Fourier Transform

$$X[k] = \sum_{n=0}^{N-1} x_w[n] e^{-j2\pi \frac{kn}{N}}, \quad k = 0, 1, \dots, N-1$$

Power sum

$$P_B = \sum_{k \in B} |X[k]|^2$$

Magnitude sum

$$M_B = \sum_{k \in B} |X[k]|$$

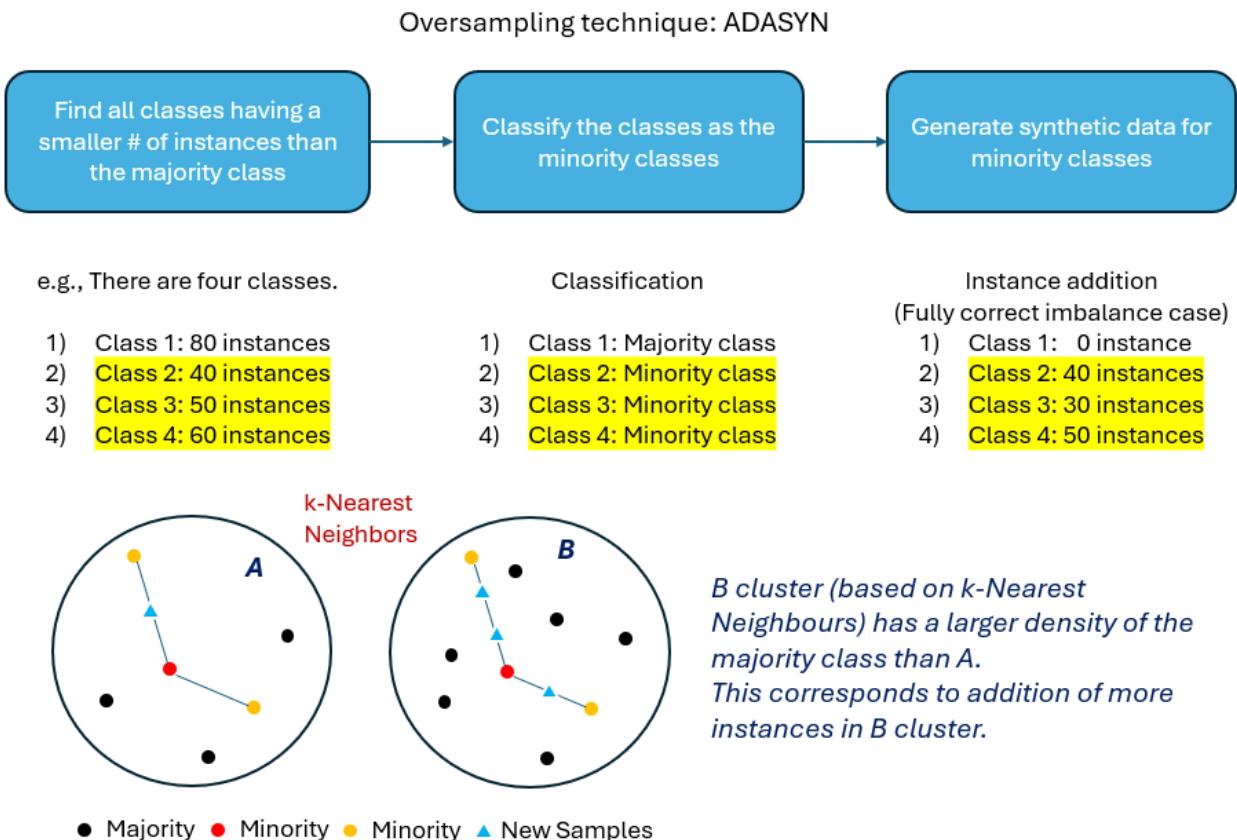
# Feature Extraction from EEG, PPG, EDA and eye-tracking signals

Table 4. Extracted Features of EEG, PPG, EDA, Skin temperature, eye-tracking

EEG features	Pupil and other physiological features (PPG, EDA and Skin temperature)	Features from others
Mean energy	Power	
Log energy entropy	Relative power	
Mean	Mean energy	
Mean (Relative signal)	Log energy entropy	
Ratios of two bands	Shannon entropy	
Ratio 1: A to B	Mean	
Ratio 2: A to C	Variance	
Ratio 3: A to D	Skewness	
	Kurtosis	
The features above are extracted from each EEG channel (Cz, Fz and <u>Pz</u> )	Hjorth activity	
	Hjorth mobility	
	Hjorth complexity	
	Normalized 1st difference	
	Normalized 2nd difference	

- A is one of the four frequency bands, and others (B, C, D) are the remaining.

# Class imbalance mitigation: Adaptive synthetic sampling (ADASYN)



- 1. Identify Imbalanced Classes:** Detect classes with fewer instances than the majority class.
- 2. Classify as Minority Classes:** Label classes with smaller sample sizes as minority classes.
- 3. Generate Synthetic Data:** Use k-NN to generate synthetic instances focused on hard-to-classify areas near decision boundaries.
  - Example: More synthetic data is added to regions with higher density of the majority class (e.g., Cluster B in the figure).
- 4. Balance Instance Distribution:** Synthetic samples ensure balance by correcting instance numbers across all classes while prioritizing challenging regions.

# Publication list related to the topics above

- [1] **H. Lee.**, O. Lim., A. Singh., S. Samuel., "Collision Risk Perception Models Using Physiological and Eye-Tracking Signals", IEEE Access (2025)
- [2] **H. Lee.**, N. Jiang., S. Samuel., "Detection of Error in Static and Dynamic Visual Stimulation via EEG and Eye-tracking Systems", Engineering Applications of Artificial Intelligence (2025)
- [3] (Under Review) **H. Lee**, O. Lim, and S. Samuel, " Modeling Approach for Adaptive Workload Level Estimation using Physiological Features", AI for Transportation (2025)
- [4] (Under Review) **H. Lee** and S. Samuel, " What the Body Tells the Car: Physiological and Eye-Tracking Cues for AV Preference Modeling", IEEE Transactions on Intelligent Vehicles (2025)
- [5] A. Pradhan, J. He, **H. Lee**, and N. Jiang, "Multi-day Analysis of Wrist Electromyogram based Biometrics for Authentication and Personal Identification", *IEEE Transactions on Biometrics, Behavior, and Identity Science* (2023)

# Signal processing coded in MATLAB

Name	Date mo
data	3/14/2024
filtering_signals	3/5/2024
blink_processing	3/11/2024
calculate_acceleration	2/27/2024
calculate_angle_magnitude	2/27/2024
calculate_velocity	2/27/2024
cheby2_order4_filter	3/6/2024
classify_epoch_code	3/8/2024
closest_value	2/26/2024
E_feats_extracted	3/1/2024
E_feats_gen	3/8/2024
E4_feats_extracted	3/8/2024
E4_feats_gen	3/8/2024
E4_feats_long_extracted	3/8/2024
E4_feature_index	3/7/2024
EEG_feats_gen	3/14/2024
EEG_feats_inside	3/6/2024
eeg_features	3/5/2024
epoch_index_check	3/8/2024
epoch_type_check	2/29/2024
eye_E4	2/11/2024
eye_E4_processed	2/14/2024
eye_features	3/10/2024
eye_struct_data	3/8/2024

Name	Date mo
data	3/14/2024
filtering_signals	3/5/2024
blink_processing	3/11/2024
calculate_acceleration	2/27/2024
calculate_angle_magnitude	2/27/2024
calculate_velocity	2/27/2024
cheby2_order4_filter	3/6/2024
classify_epoch_code	3/8/2024
closest_value	2/26/2024
E_feats_extracted	3/1/2024
E_feats_gen	3/8/2024
E4_feats_extracted	3/8/2024
E4_feats_gen	3/8/2024
E4_feats_long_extracted	3/8/2024
E4_feature_index	3/7/2024
EEG_feats_gen	3/14/2024
EEG_feats_inside	3/6/2024
eeg_features	3/5/2024
epoch_index_check	3/8/2024
epoch_type_check	2/29/2024
eye_E4	2/11/2024
eye_E4_processed	2/14/2024
eye_features	3/10/2024
eye_struct_data	3/8/2024

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IMUWindow	
mECG	
processing_trial	

- Signal processing (MATLAB)
- Feature extraction (MATLAB, Python)
- ML/deep learning model development (MATLAB, Python)