

# Analytical description of interference between two misaligned and mismatched complete Gaussian beams

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A typical application for laser interferometers is a precision measurement of length changes that results in interferometric phase shifts. Such phase changes are typically predicted numerically, due to the complexity of the overlap integral that needs to be solved. In this paper we will derive analytical representations of the interferometric phase and contrast (aka fringe visibility) for two beam interferometers, both homodyne and heterodyne. The fundamental Gaussian beams can be arbitrarily misaligned and mismatched to each other. A limitation of the analytical result is that both beams must be detected completely, which can experimentally be realized by a sufficiently large single-element photodetector. © 2014 Optical Society of America

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## 1. Introduction

Phase shifts in laser interferometers are a precision measure for length variations. These phase shifts are typically predicted using commercial software tools such as ZEMAX, CodeV, FRED, and the like, which usually compute phases with respect to either a reference sphere or plane. Alternatively, dedicated algorithms such as IfoCAD [1,2], OptoCad [3], and FINESSE [4] are used in academic environments, and the interferometric phase is computed by integration of the incident beams over the detector surface (see for example [5,6]). In any case, a variety of different methods are applied, such as Fourier optics or Gaussian beam propagation with the *ABCD* formalism followed by numerical integration and final phase computation by fringe analysis methods [7–13]. In any chosen option, the phase shift is

computed numerically. We will show here that it is also possible to compute the interferometric phase and contrast on a large single element photodiode analytically, for two fundamental Gaussian beams with arbitrary beam parameters (waist positions and waist sizes) and arbitrary mutual alignment. The only assumptions made here are:

1. The detector is an infinite plane; in our experience this is a valid assumption if the detector area covers at least three times the Gaussian radius of both beams such that no clipping occurs.

2. On the detector the spot sizes  $w_{m,r}$ , radii of curvature  $R_{m,r}$  and Gouy phases  $\eta_{m,r}$  are unaffected by applied shifts and tilts. These parameters remain constant during coordinate transformations. This is a valid assumption for the usual case of small misalignments.

3. Both beams have the same wave number  $k_m = k_r =: k$ , which is the case if the interferometer is either

- homodyne [the interfering beams have identical (angular) laser frequencies  $\omega_m \equiv \omega_r$ ] or
- heterodyne with a heterodyne frequency  $\Delta\omega := |\omega_m - \omega_r|$ , which is small compared to the laser frequencies:  $\Delta\omega \ll \omega_{m,r}$ .

The first assumption is the most restrictive, valid only when both beams are completely sensed by the detector. It is violated, e.g., by quadrant photodiodes due to their insensitive slits separating the segments, and the equations derived here do not apply.

## 2. Fundamentals

The electric field of a laser beam in fundamental Gaussian mode can be described using a phase  $\Phi$  and a real valued beam amplitude  $A$ :

$$E(r_b, z_b, t) = A(r_b, z_b) \exp(i\omega t - i\Phi(r_b, z_b)), \quad (1)$$

with the local beam coordinates  $r_b, z_b$  and

$$A(r_b, z_b) = \sqrt{2Z} \sqrt{\frac{2P}{\pi w^2(z_b)}} \exp\left(\frac{-r_b^2}{w^2(z_b)}\right), \quad (2)$$

$$\Phi(r_b, z_b) = \frac{kr_b^2}{2R(z_b)} - \eta(z_b) + kz_b, \quad (3)$$

and the variable definitions listed in Table 1. The beam amplitude  $A(r_b, z_b)$  is normalized such that an integration over the entire plane of incidence of the beam irradiance  $I$  yields the beam power  $P$ :

$$P = \int_0^\infty dr_b 2\pi r_b I := \frac{1}{2Z} \int_0^\infty dr_b 2\pi r_b |A(r_b, z_b)|^2. \quad (4)$$

The beat note in a heterodyne interferometer, or generally the power sensed by a detector with surface  $S$  in a two beam laser interferometer, is given by

$$P_S = \int dS |E_m + E_r|^2 \quad (5)$$

$$= \int dS \frac{1}{2Z} |A_r \exp(i\omega_r t - i\Phi_r) + A_m \exp(i\omega_m t - i\Phi_m)|^2 \quad (6)$$

$$= \int dS \frac{1}{2Z} (A_r^2 + A_m^2) \left[ 1 + \frac{2A_m A_r}{A_m^2 + A_r^2} \cos(\Delta\omega t - \Delta\Phi) \right] \quad (7)$$

$$= P_m + P_r \left[ 1 + \frac{1}{P_m + P_r} \int dS \frac{1}{2Z} (2A_m A_r) \times \cos(\Delta\omega t - \Delta\Phi) \right] \quad (8)$$

$$=: \bar{P} \left[ 1 + \frac{1}{\bar{P}} \int dS \frac{2\sqrt{P_m P_r}}{\pi w_m w_r} \exp\left(-\frac{r_m^2}{w_m^2} - \frac{r_r^2}{w_r^2}\right) \times 2 \cos(\Delta\omega t - \Delta\Phi) \right] \quad (9)$$

$$= \bar{P} \left[ 1 + \frac{2\sqrt{P_m P_r}}{\bar{P}} \int dS \frac{2}{\pi w_m w_r} \exp\left(-\frac{r_m^2}{w_m^2} - \frac{r_r^2}{w_r^2}\right) \times \cos(\Delta\omega t - \Delta\Phi) \right] \quad (10)$$

Table 1. List of Physical Parameters<sup>a</sup>

Parameter	Description	Characterizing Eq.
$k$	wave number common for both beams	$k = 2\pi/\lambda$
$\lambda$	wavelength	
$\omega_{m,r}$	angular frequency, used solely to define the heterodyne frequency $\Delta\omega$	$\omega = ck = 2\pi f$
$\Delta\omega$	angular heterodyne frequency	$\Delta\omega =  \omega_m - \omega_r $
$z_{R,m,r}$	Rayleigh range	$z_R = \pi w_0^2/\lambda$
$w_{0,m,r}$	waist size	$w_0 = \sqrt{z_R \lambda/\pi}$
$w_{m,r}$	laser spot size on detector	$w = w_0 \sqrt{1 + (z/z_R)^2}$
$R_{m,r}$	radius of curvature	$R = z(1 + (z_R/z)^2)$
$q_{m,r}$	$q$ -parameter	$q = z + iz_R, 1/q = 1/R - i\lambda/(\pi w^2)$
$\eta_{m,r}$	Gouy phase	$\eta = \arctan(z/z_R)$
$P_{m,r}$	beam power	
$\bar{P}$	(time averaged) power in the interferometer	$P_m + P_r$
$Z$	impedance of the medium	
$z_{m,r}$	distance from waist in direction of propagation	
$r_{m,r}$	cylindrical coordinate	$r = \sqrt{x^2 + y^2}$
$(x, y, 0)^T$	point on the detector surface	
$(x_i, y_i, 0)^T_{m,r}$	incident point of beam if $\alpha_{m,r} = 0$	
$(x_p, y_p, z_p)^T_{m,r}$	pivot point of beam rotation	
$(n_x, n_y, n_z)^T_{m,r}$	rotation axis of beam rotation	
$\alpha_{m,r}$	beam tilt angle	

<sup>a</sup>The indices  $m, r$  indicate that these parameters apply for the “measurement” and “reference” beam, respectively.

$$=: \bar{P} \left[ 1 + A_P \int dSI_{\text{Ov}} \right], \quad (11)$$

where it was assumed that both beams have identical wave numbers:  $k_m = k_r = k$ . For a heterodyne interferometer, it is thus assumed that the heterodyne frequency  $\Delta\omega$  is small compared to both angular frequencies:  $\Delta\omega \ll \omega_{m,r}$ . As shown in [6], the detected power  $P_S$  can be generally expressed in the form

$$P_S = \bar{P}[1 + c \cos(\Delta\omega t + \phi)], \quad (12)$$

such that for any given surface  $S$  a specific contrast  $c$  and phase  $\phi$  are sensed by the detector. We described methods to compute these parameters numerically for arbitrary interferometers in [6]. It is possible to compute these signals analytically for infinitely large single element photodiodes, as we will show below. The equations shown so far describe two coaligned beams impinging on the detector in normal incidence. In order to allow each beam to be shifted and tilted arbitrarily, a coordinate transformation for each beam needs to be performed. For this transformation, let the detector plane be located at  $z = 0$ , such that any point  $\mathcal{R}$  on the detector surface is represented by  $(x, y, 0)^T$ . We distinguish now the equations for the two incident beams by the indices  $m, r$  (for “measurement beam” and “reference beam,” respectively). Let the vector  $(x_i, y_i, 0)^T_{m,r}$  be the initial beam displacement, which represents the intersection point of the beam axis with the detector plane before a rotation is applied ( $\alpha_{m,r} = 0$ ). Assume then that each beam is rotated around a remote pivot  $\mathcal{P}$  represented by  $(x_p, y_p, z_p)^T_{m,r}$  and an arbitrary axis  $\hat{\mathbf{e}}_n = (n_x, n_y, n_z)^T_{m,r}$  with  $\hat{\mathbf{e}}_n \cdot \hat{\mathbf{e}}_n^T = 1$ . The coordinate transformation as illustrated in Fig. 1 is then

$$\begin{pmatrix} x_b \\ y_b \\ z_b \end{pmatrix}_{m,r} \triangleq M_{m,r}^{-1} \left[ \begin{pmatrix} x \\ y \\ 0 \end{pmatrix} - \begin{pmatrix} x_p \\ y_p \\ z_p \end{pmatrix}_{m,r} \right] + \begin{pmatrix} x_p \\ y_p \\ z_p \end{pmatrix}_{m,r} - \begin{pmatrix} x_i \\ y_i \\ 0 \end{pmatrix}_{m,r}, \quad (13)$$

where  $M$  is the rotation matrix around an arbitrary axis  $(n_x, n_y, n_z)^T_{m,r}$

Applying this coordinate transformation means that any  $r_{m,r}, z_{m,r}$  in Eq. (10) needs to be substituted according to Eq. (13). The spot sizes on the detector  $w_{m,r}$ , radii of curvature  $R_{m,r}$  and Gouy phases  $\eta_{m,r}$  naturally vary during propagation and are therefore  $z$  dependent (see Table 1). This dependency of course needs to be accounted for. However, it can be assumed that variations due to a projection on the detector plane are negligible. That means that the parameters  $w_{m,r}$ ,  $R_{m,r}$  and  $\eta_{m,r}$  are not subject to the coordinate transformation Eq. (13). By some lengthy algebraic manipulations that can be carried out by a standard analytical software tool, the overlap integrand can then be brought to the form

$$\begin{aligned} I_{\text{Ov}} &= A_0 \exp[-(A_1 x^2 + A_2 xy + A_3 x + A_4 y^2 + A_5 y + A_6)] \\ &\quad \times \cos[B_1 x^2 + B_2 xy + B_3 x + B_4 y^2 + B_5 y + B_6] \\ &= A_0 \exp \left[ - \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}^T \begin{pmatrix} A_1 & A_2 & A_3 \\ 0 & A_4 & A_5 \\ 0 & 0 & A_6 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} \right] \\ &\quad \times \cos \left[ \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}^T \begin{pmatrix} B_1 & B_2 & B_3 \\ 0 & B_4 & B_5 \\ 0 & 0 & B_6 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} \right]. \end{aligned} \quad (15)$$

An explicit definition of the coefficients  $A_n, B_n$  is listed in Table 2 for the example that the reference beam impinges normally ( $\alpha_r = 0$ ), and the measurement beam rotates around the  $y$  axis ( $(n_x, n_y, n_z) = (0, 1, 0), \alpha_m = \alpha$ ).

It is now possible to solve the overlap integral in Eq. (11) for the general case and extract the interferometric contrast  $c$  and phase  $\phi$ .

### 3. Solving the Overlap Integral and Extracting Phase and Contrast

In order to reduce the computational complexity, the overlap integrand  $I_{\text{Ov}}$  can be extended to the complex domain:

$$I_{\text{Ov}} =: \Re(I_{\text{Ov}}^c) \quad (16)$$

$$M_{m,r} := \begin{pmatrix} n_x^2(1 - \cos(\alpha)) + \cos(\alpha) & n_x n_y(1 - \cos(\alpha)) - n_z \sin(\alpha) & n_x n_z(1 - \cos(\alpha)) + n_y \sin(\alpha) \\ n_y n_x(1 - \cos(\alpha)) + n_z \sin(\alpha) & n_y^2(1 - \cos(\alpha)) + \cos(\alpha) & n_y n_z(1 - \cos(\alpha)) - n_x \sin(\alpha) \\ n_z n_x(1 - \cos(\alpha)) - n_y \sin(\alpha) & n_z n_y(1 - \cos(\alpha)) + n_x \sin(\alpha) & n_z^2(1 - \cos(\alpha)) + \cos(\alpha) \end{pmatrix}_{m,r}. \quad (14)$$

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the incident beams over a finite detector (20 mm was chosen for the comparison); the phase extraction method in IfoCAD deviates from the one shown here, but this has no physical relevance.

The results match very well, as shown in Fig. 2. Here, the upper graph shows the interferometric phase converted to a length: the longitudinal path-length signal

$$\text{LPS} := \frac{1}{k} (\phi - \phi|_{\alpha_m=0}). \quad (29)$$

The lower graph shows the contrast. The measurement beam angle was varied and LPS and contrast computed for different Rayleigh ranges of the measurement beam:  $z_{R,m} = (5000 \text{ mm}, 1500 \text{ mm}, 500 \text{ mm}, 250 \text{ mm})$ . The graphs were generated with the following assumptions:  $k = 2\pi/(1064 \text{ nm})$ ,  $P_m = 0.3 \text{ mW}$ ,  $P_r = 0.7 \text{ mW}$ ,  $x_{i,m} = -400 \text{ }\mu\text{m}$ ,  $y_{i,m} = 300 \text{ }\mu\text{m}$ ,  $x_{i,r} = 250 \text{ }\mu\text{m}$ ,  $y_{i,r} = -100 \text{ }\mu\text{m}$ ,  $z_r = 0.03452 \text{ m}$ ,  $z_m = 3.768 \text{ m}$ ,  $z_{R,r} = 3.137 \text{ m}$ ,  $z_{R,m} = 4.124 \text{ m}$ , rotation axis  $(n_x, n_y, n_z)_m = (0, 1, 0)$ ,  $(n_x, n_y, n_z)_r = (0.5547, 0.83205, 0)$ , pivot points  $(x_p, y_p, z_p)_m = (12 \text{ }\mu\text{m}, 50 \text{ }\mu\text{m}, 4 \text{ mm})$ ,  $(x_p, y_p, z_p)_r = (-7 \text{ }\mu\text{m}, 200 \text{ }\mu\text{m}, -2 \text{ mm})$ , and a reference beam angle  $\alpha_r = 50 \text{ }\mu\text{rad}$ .

## 5. Some Useful Special Cases

If both beams impinge with zero angle in the center of the detector ( $\alpha_{m,r} = x_{i,m} = x_{i,r} = y_{i,m} = y_{i,r} = 0$ ), the contrast given in Eq. (28) takes the following form:

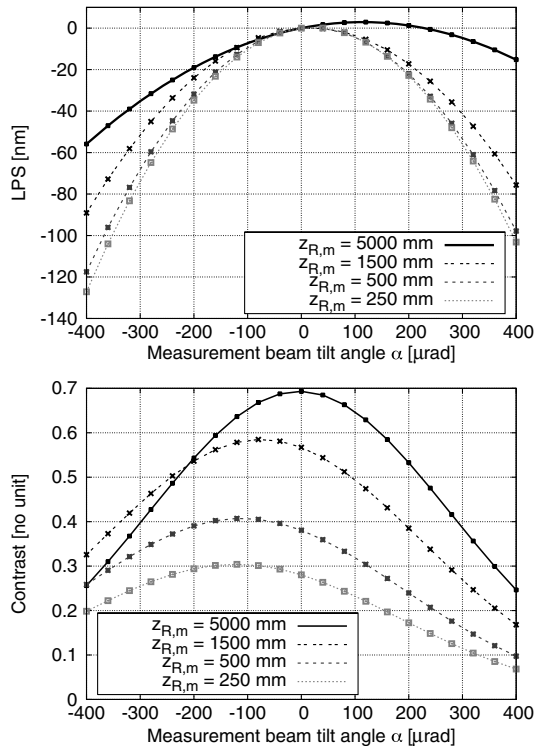


Fig. 2. Differential phase converted to length (longitudinal path length signal  $\text{LPS} = (\phi - \phi|_{\alpha_m=0})/k$ , top) and fringe visibility (contrast, bottom). The solid lines were generated with Eqs. (23) and (28), respectively, the matching dots show numerical results generated with IfoCAD.

$$c = A_P \frac{2\sqrt{z_{R,m}z_{R,r}}}{\sqrt{(z_{R,m} + z_{R,r})^2 + (z_m - z_r)^2}} \quad (30)$$

$$= A_P \frac{2}{w_m w_r \sqrt{\left(\frac{k}{2R_m} - \frac{k}{2R_r}\right)^2 + \left(\frac{1}{w_m^2} + \frac{1}{w_r^2}\right)^2}}, \quad (31)$$

which was also found in [14, Eq. (5.1)]. In the case of matched beam parameter ( $z_m = z_r = z$ ,  $z_{R,m} = z_{R,r} = z_R$  or equivalently  $w_m = w_r = w$ ,  $R_m = R_r = R$ ) normal incidence of both beams ( $\alpha_{m,r} = 0$ ), we find

$$c = A_P \exp \left[ -k \frac{(x_{i,m} - x_{i,r})^2 + (y_{i,m} - y_{i,r})^2}{4z_R} \right] \quad (32)$$

$$= A_P \exp \left[ -(4R^2 + k^2 w^4) \frac{(x_{i,m} - x_{i,r})^2 + (y_{i,m} - y_{i,r})^2}{8R^2 w^2} \right]. \quad (33)$$

For us, a typical problem is the effect of beam tilt on the interferometric phase readout:  $\phi(\alpha)$ . We assume here a well aligned static reference beam ( $\alpha_r = x_{i,r} = y_{i,r} = 0$ ). The measurement beam is initially aligned (i.e.,  $x_{i,m} = y_{i,m} = 0$ ), and then rotates around an arbitrary pivot point where the  $y$  axis is chosen as rotation axis  $(n_x, n_y, n_z)_m = (0, 1, 0)$ . Furthermore, nearly equal beam parameters are assumed ( $z_{R,r} = z_R$ ,  $z_{R,m} = z_R + \Delta z_R$  and  $z_r = z$ ,  $z_m = z + \Delta z$  or  $w_r = w$ ,  $w_m = w + \Delta w$ ,  $R_r = R$ ,  $R_m = R + \Delta R$ ). The resulting longitudinal pathlength readout signal [Eq. (29)] expanded up to second order in the measurement beam angle  $\alpha$  and first order in either variation  $\Delta$  is

$$\text{LPS}(\alpha, \Delta z_R, \Delta z) \approx \frac{\alpha^2}{k} \left( \frac{z}{4z_R} + \Delta z_R \frac{2kz_R(z_p + z) - z}{8z_R^2} + \Delta z \frac{k((z + z_p)^2 - z_R^2) + z_R}{8z_R^2} \right) \quad (34)$$

and to first order differences of the radius of curvature  $\Delta R$  and spot size  $\Delta w$  (with  $w_r = w$ ,  $w_m = w_r + \Delta w$ ,  $R_r = R$ ,  $R_m = R + \Delta R$ ):

$$\begin{aligned} \text{LPS}(\alpha, \Delta w, \Delta R) \\ \approx \alpha^2 \left( \frac{w^2}{8R} + \Delta R \frac{-2R^2(2z_p^2 + w^2) + k^2 w^4(z_p + R)^2}{32R^4} + \Delta w \frac{w^2 + 4z_p(z_p + R)}{8Rw} \right). \end{aligned} \quad (35)$$

## 6. Summary and Conclusion

We have derived analytical equations for the phase and contrast of two arbitrary interfering Gaussian beams. We showed for a very general example perfect agreement with numerical results from IfoCAD [2].



We showed reduced equations for special cases and compared to the result of one known special case.

The equations given here can be used to predict phase changes in interferometers and the contrast (fringe visibility), provided that a large single element detector is used. If the detector is not large compared to three beam radii and clipping of the Gaussian beams is expected, these equations should be handled with care. The reader should also be aware that beam clipping for instance on the insensitive slit of a quadrant detector might change the detected phase considerably. For cases where beam clipping might occur, the well known numerical methods need to be used instead (e.g., those mentioned in the introduction of this paper).

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