The Abstract Domain of Piecewise-Defined Ranking Functions

Caterina Urban



28th November 2013 East China Normal University Shanghai, China

- ranking functions¹
 - functions that strictly decrease at each program step...
 - ...and that are bounded from below
- idea: computation of ranking functions by abstract interpretation²

- family of abstract domains for program termination
 - piecewise-defined ranking functions
 - backward invariance analysis
 - sufficient conditions for termination
- instances based on ranking functions over natural numbers³
- instances based on ranking functions over ordinal numbers

¹Floyd - Assigning Meanings to Programs (1967)

 $^{^2}$ Cousot&Cousot - An Abstract Interpretation Framework for Termination (POPL 2012)

³Urban - The Abstract Domain of Segmented Ranking Functions (SAS 2013)

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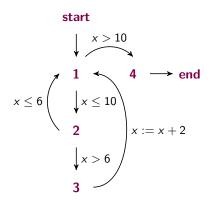
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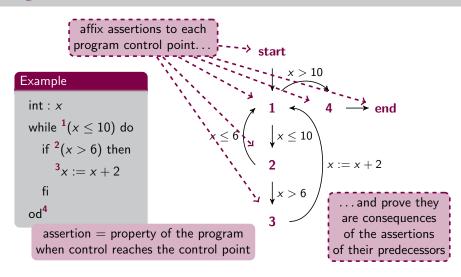
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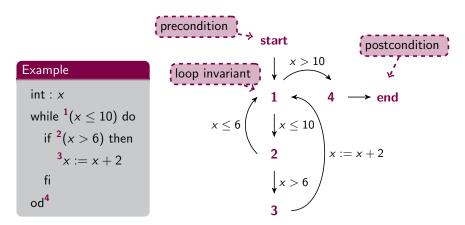
Example int: xwhile $^{1}(x \le 10)$ do if $^{2}(x > 6)$ then $^{3}x := x + 2$

 od^4



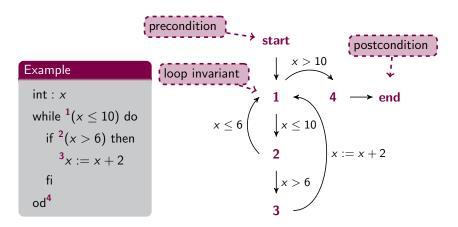
Floyd - Assigning Meanings to Programs (1967)





assertions can be computed by abstract interpretation

Floyd - Assigning Meanings to Programs (1967)

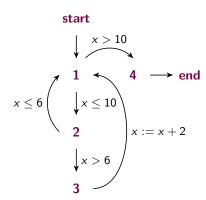


the program gives the correct result if and when it terminates

Program Total Correctness

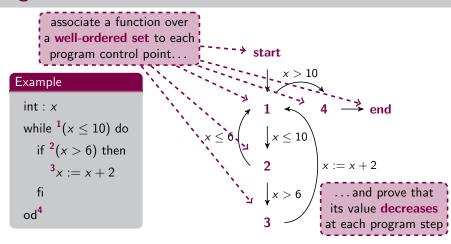
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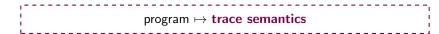
Total Correctness = Partial Correctness + **Termination**

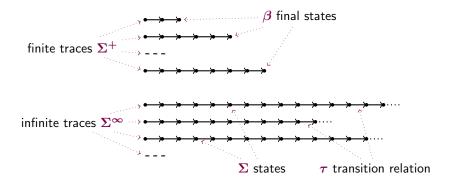
Program Total Correctness



ranking functions can be computed by abstract interpretation

Concrete Semantics





idea = define a ranking function
that counts the number of program steps
from the end of the program

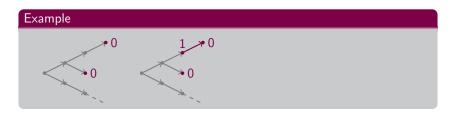


Example

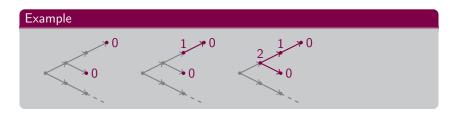
Theorem (Soundness and Completeness)



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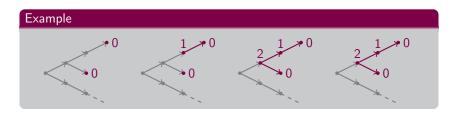


Theorem (Soundness and Completeness)

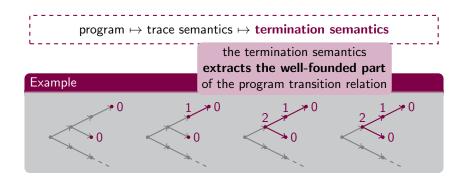


Theorem (Soundness and Completeness)

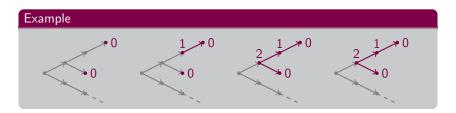
 $\mathsf{program} \mapsto \mathsf{trace} \; \mathsf{semantics} \mapsto \mathbf{termination} \; \mathbf{semantics}$



Theorem (Soundness and Completeness)

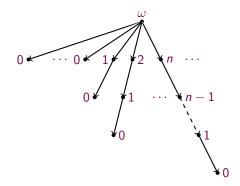


Theorem (Soundness and Completeness)



Theorem (Soundness and Completeness)

 $\begin{aligned} & \text{int}: x \\ & x := ? \\ & \text{while } (x \geq 0) \text{ do} \\ & x := x - 1 \\ & \text{od} \end{aligned}$



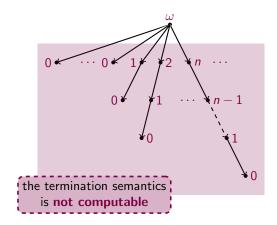
int : x

$$x := ?$$

while $(x \ge 0)$ do

$$x := x - 1$$

od



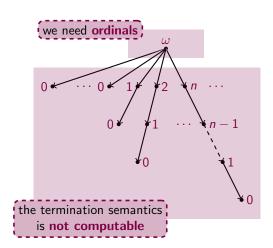
int : x

x := ?

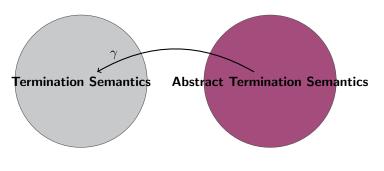
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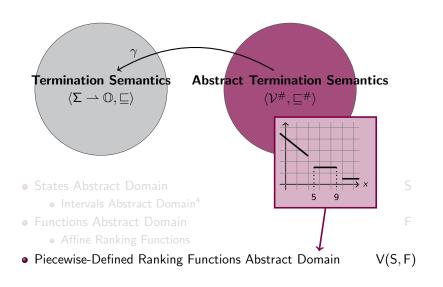
Piecewise-Defined Ranking Functions



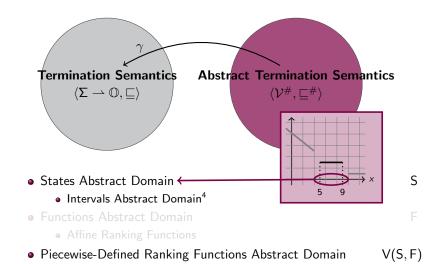
- States Abstract Domain
 - Intervals Abstract Domain⁴
- Functions Abstract Domain
 - Affine Ranking Functions
- Piecewise-Defined Ranking Functions Abstract Domain

V(S,F)

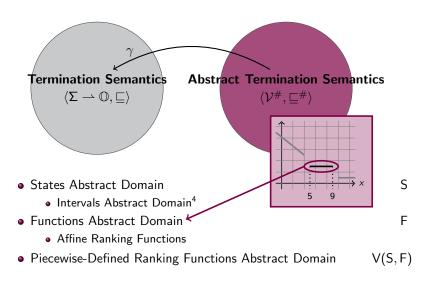
⁴Cousot&Cousot - Static Determination of Dynamic Properties of Programs (1976)



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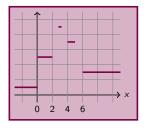


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Why Piecewise-Defined Ranking Functions?

Example

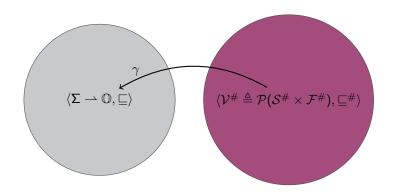
int:
$$x$$
 while ${}^{1}(x \ge 0)$ do ${}^{2}x := -2x + 10$ od 3



$$f(x) \triangleq \begin{cases} 1 & x < 0 \\ 5 & 0 \le x \le 2 \\ 9 & x = 3 \\ 7 & 4 \le x \le 5 \\ 3 & 5 < x \end{cases}$$

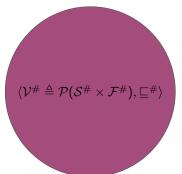
Natural-Valued Ranking Functions

Natural-Valued Ranking Functions Domain

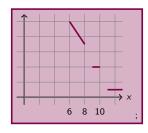


•
$$\mathcal{F}^{\#} \triangleq \{\bot_{\mathsf{F}}\} \cup \{f^{\#} \mid f^{\#} \in \mathbb{Z}^n \to \mathbb{N}\} \cup \{\top_{\mathsf{F}}\}$$

where $f^{\#} \equiv y = f(x_1, \dots, x_n) = m_1 x_1 + \dots + m_n x_n + q$

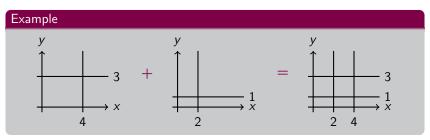


$$egin{aligned} \mathbf{v}^\# & riangleq egin{aligned} \mathbf{s}_1^\# &\mapsto f_1^\# \ \mathbf{s}_2^\# &\mapsto f_2^\# \ & \ddots \ \mathbf{s}_k^\# &\mapsto f_k^\# \end{aligned}$$



$$v^{\#}(x) \triangleq \begin{cases} x \in [-\infty, 5] \mapsto \bot_{\mathsf{F}} \\ x \in [6, 8] \mapsto -3x + 38 \\ x \in [9, 10] \mapsto 4 \\ x \in [11, +\infty] \mapsto 1 \end{cases}$$

segmentation unification

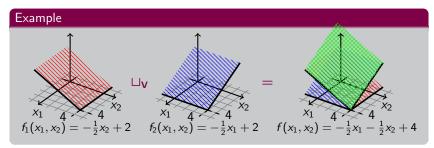


• join: □_V

 \bullet widening: ∇_V

ullet backward assignments: ASSIGN_{V}

- segmentation unification
- join⁵: □_V



- widening: ∇_V
- backward assignments: ASSIGN_V

⁵Cousot&Halbwachs - Automatic Discovery of Linear Restraints Among Variables of a Program (POPL 1978)

Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Implementation

segmentation unification

join: □V

widening: ∇_V

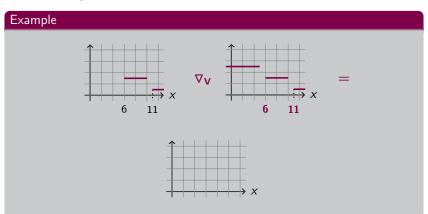
Example $\nabla_{\mathbf{V}}$ 3 6 6 11

ullet backward assignments: ASSIGN_{V}

segmentation unification

join: □V

widening: ∇_V



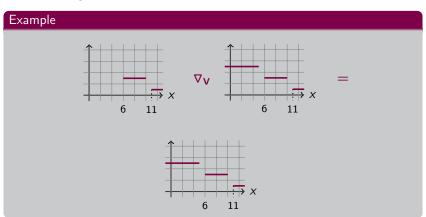
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Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Implementation

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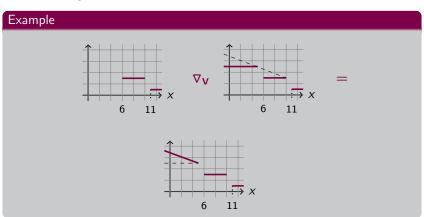
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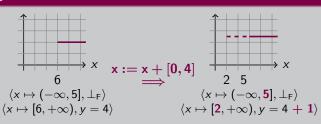
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Example



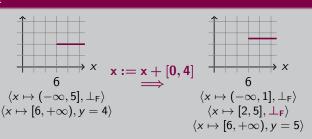
• segmentation unification

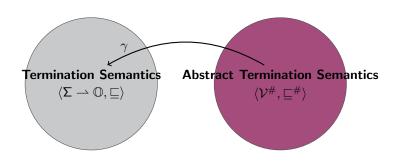
join: □V

• widening: ∇_V

ullet backward assignments: ASSIGN_{V}

Example





Theorem (Soundness)

the abstract termination semantics is **sound** to prove the termination of programs

int :
$$x$$

while $^{1}(x > 0)$ do $^{2}x := x - 1$
od³

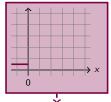
we map each point to a function of x giving an upper bound on the steps before termination

$$x := x - 1$$

$$\begin{cases}
1 & x \le 0 \\
\downarrow x > 0
\end{cases}$$

$$2 & 3$$

we take into account $x \le 0$ and we have 1 step to termination



Example

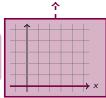
 od^3

int :
$$x$$

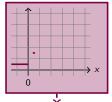
while $^{1}(x > 0)$ do $^{2}x := x - 1$

$$x := x - 1 \left(\begin{array}{c} 1 \\ \downarrow x > 0 \\ 2 \end{array} \right)$$

we start at the end with 0 steps before termination



we consider x > 0 and we do the join



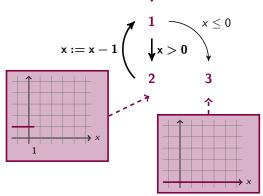
Example

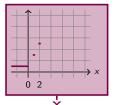
 od^3

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$$x$$

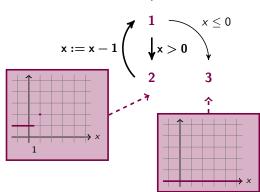
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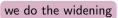
we consider the assignment x := x - 1 and we are at 2 steps to termination

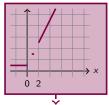




int : xwhile $^{1}(x > 0)$ do $^{2}x := x - 1$ od³





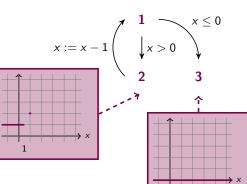


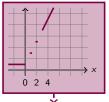
int : x

while $^{\mathbf{1}}(x>0)$ do

$$^{2}x := x - 1$$

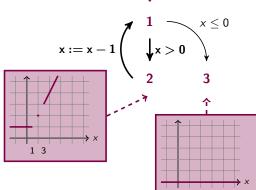
 od^3

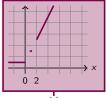




 od^3

int : xwhile $^{1}(x > 0)$ do $^{2}x := x - 1$



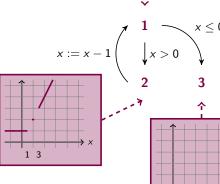


int : x while $^{1}(x > 0)$ do

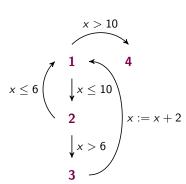
 $^{2}x := x - 1$

 od^3

the analysis gives true as sufficient precondition for termination



int : x while $^{1}(x \le 10)$ do if $^{2}(x > 6)$ then $^{3}x := x + 2$ fi od 4

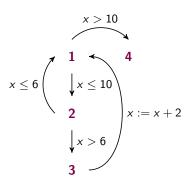


we map each point to a function of x giving an upper bound on the steps before termination

Example

int:
$$x$$

while $^{1}(x \le 10)$ do
if $^{2}(x > 6)$ then
 $^{3}x := x + 2$
fi
od⁴

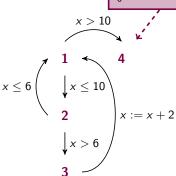


we start at the end with 0 steps before termination



Example

int : x while $^1(x \le 10)$ do if $^2(x > 6)$ then $^3x := x + 2$ fi od 4

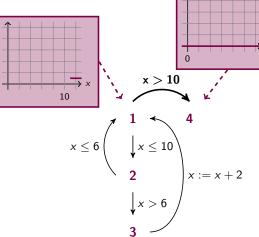




we take into account x > 10 and we have now 1 step to termination

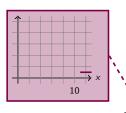
Example

int : x while $^{1}(x \leq 10)$ do if $^{2}(x > 6)$ then $^{3}x := x + 2$ od^4





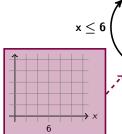






 od^4

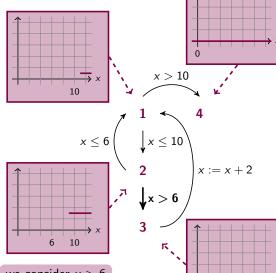
int : x while $^{1}(x \leq 10)$ do if $^{2}(x > 6)$ then $^{3}x := x + 2$



we consider the assignment x := x + 2or the test x < 6 and we are now at 2 steps to termination

 od^4

int: x while $^{\mathbf{1}}(x \leq 10)$ do if $^{2}(x > 6)$ then $^{3}x := x + 2$



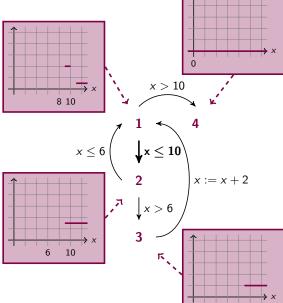
we consider x > 6and we do the join Piecewise-Defined Ranking Functions

we consider x < 10and we do the join

Example

 od^4

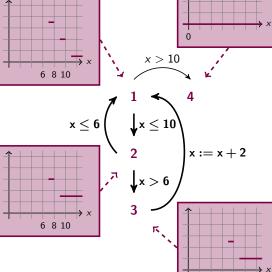
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 od^4

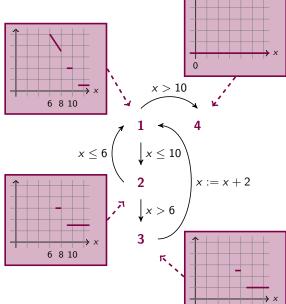
int: x while $^{1}(x \leq 10)$ do if $^{2}(x > 6)$ then $^{3}x := x + 2$ fi



we do the widening

Example

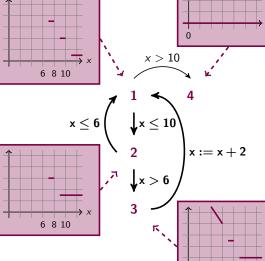
int: x while $^{1}(x \leq 10)$ do if $^{2}(x > 6)$ then $^{3}x := x + 2$ fi od^4





 od^4

int : x while $^{1}(x \le 10)$ do if $^{2}(x > 6)$ then $^{3}x := x + 2$ fi



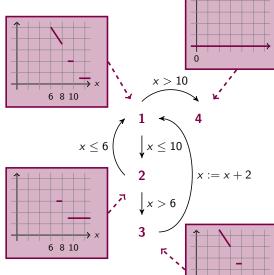
6 8 10



the analysis provides x > 6as sufficient precondition for termination

Example

int : x while $^{1}(x \leq 10)$ do if $^{2}(x > 6)$ then $^{3}x := x + 2$ od^4



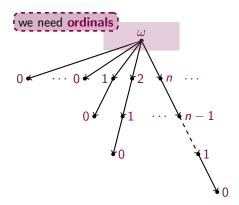
int : x

$$x := ?$$

while $(x \ge 0)$ do

$$x := x - 1$$

od



Ordinal-Valued Ranking Functions

$$0, 1, 2, \dots$$
 $\omega, \omega + 1, \omega + 2, \dots$
 $\omega \cdot 2, \omega \cdot 2 + 1, \omega \cdot 2 + 2, \dots$
 \vdots
 ω^{2}, \dots
 \vdots
 ε_{0}, \dots
 \vdots
 ε_{0}, \dots
 \vdots

0,
$$\{0\}$$
, 2, ...
 ω , $\omega + 1$, $\omega + 2$, ...
 $\omega \cdot 2$, $\omega \cdot 2 + 1$, $\omega \cdot 2 + 2$, ...
 \vdots
 ω^2 , ...
 \vdots
 ω^{ω} , ...
 \vdots
 ϵ_0 , ...

0, 1,
$$\{0,1\}$$
, ...
 ω , $\omega + 1$, $\omega + 2$, ...
 $\omega \cdot 2$, $\omega \cdot 2 + 1$, $\omega \cdot 2 + 2$, ...
 \vdots
 ω^2 , ...
 \vdots
 ε_0 , ...
 \vdots

```
0, 1, 2, ...
\{0,1,2,\ldots\}, \ \omega+1, \ \omega+2, \ \ldots
\omega \cdot 2, \omega \cdot 2 + 1, \omega \cdot 2 + 2, ...
\epsilon_0, \ldots
```

```
0, 1, 2, ...
\omega, \{0,1,2,\ldots,\omega\}, \omega+2,\ldots
\omega \cdot 2, \omega \cdot 2 + 1, \omega \cdot 2 + 2, ...
\epsilon_0, \ldots
```

```
0, 1, 2, ...
\omega, \ \omega + 1, \ \{0, 1, 2, \dots, \omega, \omega + 1\}, \ \dots
\omega \cdot 2, \omega \cdot 2 + 1, \omega \cdot 2 + 2, ...
\epsilon_0, \ldots
```

```
0, 1, 2, ...
\omega, \omega + 1, \omega + 2, ...
\{0,1,2,\ldots,\omega,\omega+1,\omega+2,\ldots\},\ \omega\cdot 2+1,\ \omega\cdot 2+2,\ \ldots
\epsilon_0, \ldots
```

```
0, 1, 2, ...
\omega, \omega + 1, \omega + 2, ...
\omega \cdot 2, \omega \cdot 2 + 1, \omega \cdot 2 + 2, ...
                                     successor ordinals
                                     \alpha + 1 \triangleq \alpha \cup \{\alpha\}
\epsilon_0, \ldots
```

0, 1, 2, ...

$$\omega$$
, $\omega + 1$, $\omega + 2$, ...

 $\omega \cdot 2$, $\omega \cdot 2 + 1$, $\omega \cdot 2 + 2$, ...

 ω^{2} , ...

 ω^{ω} , ...

 ω^{ω} , ...

 ω^{ω} , ...

Ordinal Numbers

finite ordinals

$$\omega$$
, $\omega + 1$, $\omega + 2$, ...

$$\omega \cdot 2$$
, $\omega \cdot 2 + 1$, $\omega \cdot 2 + 2$, ...

:

$$\omega^2, \ldots$$

:

$$\omega^{\omega}, \ldots$$

:

$$\epsilon_0, \ldots$$

:

Ordinal Numbers

```
0, 1, 2, ...
\omega, \omega + 1, \omega + 2, ...
\omega \cdot 2, \omega \cdot 2 + 1, \omega \cdot 2 + 2, ...
                                transfinite ordinals
```

Ordinal Numbers

```
0, 1, 2, ...
\omega, \omega + 1, \omega + 2, ...
\omega \cdot 2, \omega \cdot 2 + 1, \omega \cdot 2 + 2, ...
\epsilon_0, \ldots
```

addition

$$\alpha + 0 = \alpha \qquad \text{(zero case)}$$

$$\alpha + (\beta + 1) = (\alpha + \beta) + 1 \qquad \text{(successor case)}$$

$$\alpha + \beta = \bigcup_{\gamma < \beta} (\alpha + \gamma) \qquad \text{(limit case)}$$

• associative:
$$(\alpha + \beta) + \gamma = \alpha + (\beta + \gamma)$$

• not commutative: $1 + \omega = \omega \neq \omega + 1$

- multiplication
- exponentiation

addition

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- addition
- multiplication

$$\alpha \cdot 0 = 0 \qquad \text{(zero case)}$$

$$\alpha \cdot (\beta + 1) = (\alpha \cdot \beta) + \alpha \qquad \text{(successor case)}$$

$$\alpha \cdot \beta = \bigcup_{\gamma < \beta} (\alpha \cdot \gamma) \qquad \text{(limit case)}$$

- associative: $(\alpha \times \beta) \times \gamma = \alpha \times (\beta \times \gamma)$
- left distributive: $\alpha \times (\beta + \gamma) = (\alpha \times \beta) + (\alpha \times \gamma)$
- not commutative: $2 \times \omega = \omega \neq \omega \times 2$
- not right distributive: $(\omega + 1) \times \omega = \omega \times \omega \neq \omega \times \omega + \omega$

exponentiation

- addition
- multiplication

$$\begin{array}{ll} \alpha \cdot 0 = 0 & \text{(zero case)} \\ \alpha \cdot (\beta + 1) = (\alpha \cdot \beta) + \alpha & \text{(successor case)} \\ \alpha \cdot \beta = \bigcup_{\gamma < \beta} (\alpha \cdot \gamma) & \text{(limit case)} \end{array}$$

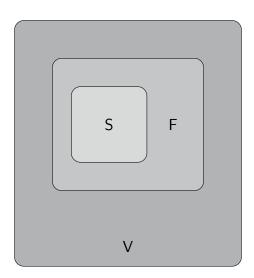
- associative: $(\alpha \times \beta) \times \gamma = \alpha \times (\beta \times \gamma)$
- left distributive: $\alpha \times (\beta + \gamma) = (\alpha \times \beta) + (\alpha \times \gamma)$
- not commutative: $2 \times \omega = \omega \neq \omega \times 2$
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- exponentiation

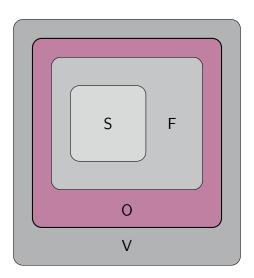
- addition
- multiplication
- exponentiation

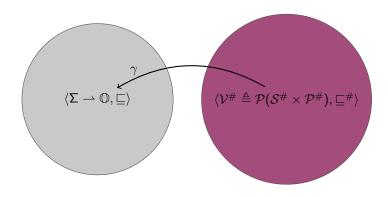
$$\alpha^0 = 1 \qquad \qquad \text{(zero case)}$$

$$\alpha^{\beta+1} = (\alpha^\beta) \cdot \alpha \qquad \qquad \text{(successor case)}$$

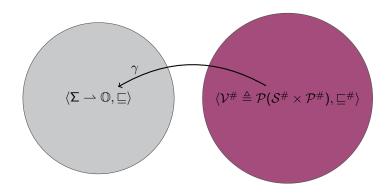
$$\alpha^\beta = \bigcup_{\gamma < \beta} (\alpha^\gamma) \qquad \qquad \text{(limit case)}$$





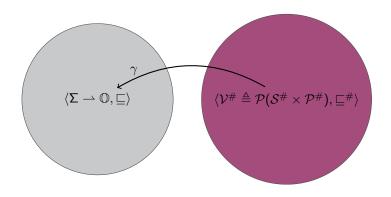


•
$$\mathcal{P}^{\#} \triangleq \{\bot_{\mathsf{P}}\} \cup \{p^{\#} \mid p^{\#} \in \mathbb{Z}^n \to \mathbb{O}\} \cup \{\top_{\mathsf{P}}\}$$

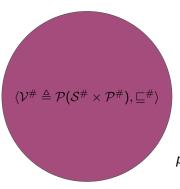


•
$$\mathcal{P}^{\#} \triangleq \{\bot_{P}\} \cup \{p^{\#} \mid p^{\#} \in \mathbb{Z}^{n} \to \mathbb{O}\} \cup \{\top_{P}\}$$

= $\{\bot_{P}\} \cup \{p^{\#} \mid p^{\#} = \sum_{i} \omega^{i} \cdot f_{i}^{\#}, f_{i}^{\#} \in \mathcal{F}^{\#}\} \cup \{\top_{P}\}$



• $\mathcal{P}^{\#} \triangleq \{\bot_{P}\} \cup \{p^{\#} \mid p^{\#} \in \mathbb{Z}^{n} \to \mathbb{O}\} \cup \{\top_{P}\}$ = $\{\bot_{P}\} \cup \{p^{\#} \mid p^{\#} = \sum_{i} \omega^{i} \cdot f_{i}^{\#}, f_{i}^{\#} \in \mathcal{F}^{\#}\} \cup \{\top_{P}\}$ where $f^{\#} \equiv y = f(x_{1}, \dots, x_{n}) = m_{1}x_{1} + \dots + m_{n}x_{n} + q$



$$v^{\#} riangleq egin{cases} s_1^{\#} \mapsto p_1^{\#} \ s_2^{\#} \mapsto p_2^{\#} \ \dots \ s_k^{\#} \mapsto p_k^{\#} \end{cases}$$

$$p^{\#} \triangleq \omega^{k} \cdot f_{k}^{\#} + \ldots + \omega^{2} \cdot f_{2}^{\#} + \omega \cdot f_{1}^{\#} + f_{0}^{\#}$$

Example

$$v^{\#}(x) \triangleq \begin{cases} x \in [-\infty, -1], y \in [-\infty, 0] \mapsto 1 \\ x \in [-\infty, -1], y \in [1, +\infty] \mapsto \omega^{2} + \omega \cdot (y - 1) - 4x + 9y - 2 \\ x \in [0, 0], y \in [-\infty, +\infty] \mapsto 1 \\ x \in [1, +\infty], y \in [-\infty, 0] \mapsto 1 \\ x \in [1, +\infty), y \in [1, +\infty) \mapsto \omega \cdot (x - 1) + 9x + 4y - 7 \end{cases}$$

Lexicographic Ranking Functions

$$\omega^{k} \cdot \underbrace{f_{k}^{\#}}_{\in \mathbb{N}} + \ldots + \omega^{2} \cdot \underbrace{f_{2}^{\#}}_{\in \mathbb{N}} + \omega \cdot \underbrace{f_{1}^{\#}}_{\in \mathbb{N}} + \underbrace{f_{0}^{\#}}_{\in \mathbb{N}} \in \mathbb{O}$$

Lexicographic Ranking Functions

$$\omega^{k} \cdot \underbrace{f_{k}^{\#}}_{\in \mathbb{N}} + \ldots + \omega^{2} \cdot \underbrace{f_{2}^{\#}}_{\in \mathbb{N}} + \omega \cdot \underbrace{f_{1}^{\#}}_{\in \mathbb{N}} + \underbrace{f_{0}^{\#}}_{\in \mathbb{N}} \in \mathbb{O}$$



$$(f_k^{\#}, \ldots, f_2^{\#}, f_1^{\#}, f_0^{\#}) \in \underbrace{\mathbb{N} \times \ldots \times \mathbb{N}}_{t}$$

join: □_V

Example

backward assignments: ASSIGN_V

join: □_V

Example

$$v_1^{\#} \triangleq [-\infty, +\infty] \mapsto \omega \cdot x_1 + x_2$$
 $v_2^{\#} \triangleq [-\infty, +\infty] \mapsto \omega \cdot (x_1 - 1) - x_2$
 $v_1^{\#} \sqcup_V v_2^{\#} \triangleq [-\infty, +\infty] \mapsto ?$

join: □V

Example

join: □_V

Example

join: □V

Example

join: □_V

Example

$$v_1^{\#} \triangleq [-\infty, +\infty] \mapsto \omega \cdot x_1 + x_2$$

$$v_2^{\#} \triangleq [-\infty, +\infty] \mapsto \omega \cdot (x_1 - 1) - x_2$$

$$v_1^{\#} \sqcup_V v_2^{\#} \triangleq [-\infty, +\infty] \mapsto \omega \cdot (x_1 + 1)$$

- join: □_V
- ullet backward assignments: ASSIGN_{V}

Example

$$p^{\#} \triangleq \qquad \qquad \omega \qquad \cdot \quad x_1 \quad + \quad x_2$$
 $\downarrow \quad x_1 := ?$ $p^{\#} \triangleq ?$

- join: □_V
- ullet backward assignments: ASSIGN_{V}

Example $p^{\#} \triangleq \qquad \qquad \omega \qquad \cdot \quad x_1 \quad + \quad x_2 \\ \qquad \qquad \psi \quad x_1 := \; ? \\ \qquad \qquad p^{\#} \triangleq \qquad \qquad \qquad + \quad 1$

- join: □_V
- ullet backward assignments: ASSIGN_{V}

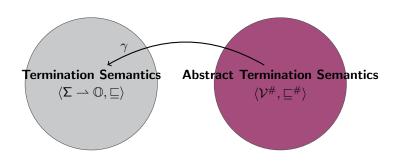
- join: □_V
- \bullet backward assignments: ASSIGN_{V}

Example $p^{\#} \triangleq \qquad \qquad \omega \qquad \cdot \quad \mathbf{x}_1 \quad + \quad \mathbf{x}_2 \\ \qquad \qquad \qquad \Downarrow \quad \mathbf{x}_1 := \; ? \\ \qquad \qquad p^{\#} \triangleq \qquad \qquad ^1 \quad + \quad \omega \qquad \cdot \quad \mathbf{0} \quad + \quad \mathbf{x}_2 \quad + \quad 1$

- join: □_V
- \bullet backward assignments: ASSIGN_{V}

Example $\rho^{\#} \triangleq \qquad \qquad \omega \qquad \cdot \quad x_1 \quad + \quad x_2$ $\downarrow \quad \mathbf{x}_1 := \; ?$ $\rho^{\#} \triangleq \quad \boldsymbol{\omega}^2 \quad \cdot \quad \mathbf{1} \quad + \quad \omega \qquad \cdot \quad \mathbf{0} \quad + \quad x_2 \quad + \quad \mathbf{1}$

- join: □_V
- \bullet backward assignments: ASSIGN_V



Theorem (Soundness)

the abstract termination semantics is **sound** to prove the termination of programs

Example

int:
$$x_1, x_2$$

while ${}^1(x_1 > 0 \land x_2 > 0)$ do
if ${}^2(?)$ then
 ${}^3x_1 := x_1 - 1$
 ${}^4x_2 := ?$
else
 ${}^5x_2 := x_2 - 1$
fi
od⁶

$$f(x_1, x_2) = \begin{cases} x \in [-\infty, 0], y \in [-\infty, 0] \mapsto 1 \\ x \in [-\infty, 0], y \in [1, +\infty] \mapsto 1 \\ x \in [1, +\infty], y \in [-\infty, 0] \mapsto 1 \\ x \in [1, +\infty), y \in [1, +\infty) \mapsto \omega \cdot (x_1 - 1) + 7x_1 + 3x_2 - 5 \end{cases}$$

Non-Linear Computational Complexity

Example int: x_1, x_2 $^{1}x_{1} := N$ while $^{2}(x_{1} \geq 0)$ do $^{3}x_{2} := N$ while ${}^{4}(x_2 \geq 0)$ do $^{5}x_{2} := x_{2} - 1$ od $^{6}x_{1} := x_{1} - 1$ od^7

the loop terminates in a finite number of iterations

$$f(x_1, x_2) = \begin{cases} x \in [-\infty, 0], y \in [-\infty, +\infty] \mapsto 1, \\ x \in [1, +\infty), y \in [-\infty, +\infty) \mapsto \omega + 2 \end{cases}$$

http://www.di.ens.fr/~urban/FuncTion.html

- written in OCaml
- implemented on top of Apron⁵
- forward reachability analysis to improve precision

Example int:
$$x_1, x_2$$
 $^1x_2 := 1$ while $^2(x_1 < 10)$ do $^3x_1 := x_1 + x_2$ od 4

⁵http://apron.cri.ensmp.fr/library/

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- written in OCaml
- implemented on top of Apron⁵
- forward reachability analysis to improve precision

Example int: x_1, x_2 $^1x_2 := 1$ while $^2(x_1 < 10)$ do $^3x_1 := x_1 + x_2$ od⁴

⁵http://apron.cri.ensmp.fr/library/