

# AUTOMATIC INFERENCE OF RANKING FUNCTIONS BY ABSTRACT INTERPRETATION

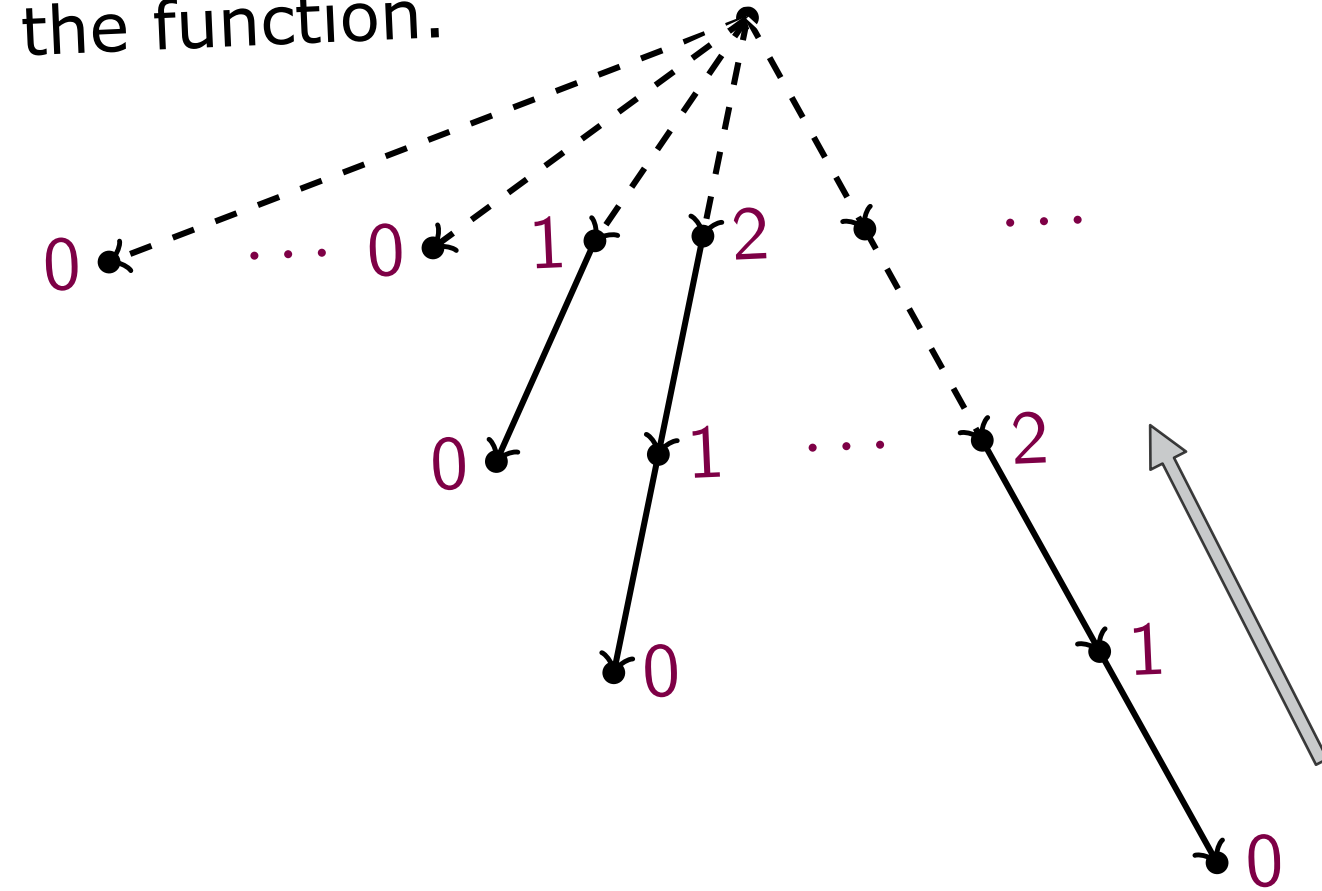
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**We can define a ranking function in an incremental way:** We start from the program final states, where the function has value 0. Then, we add states to the domain of the function, retracing the program backwards and **counting the maximum number of program steps** as value of the function.

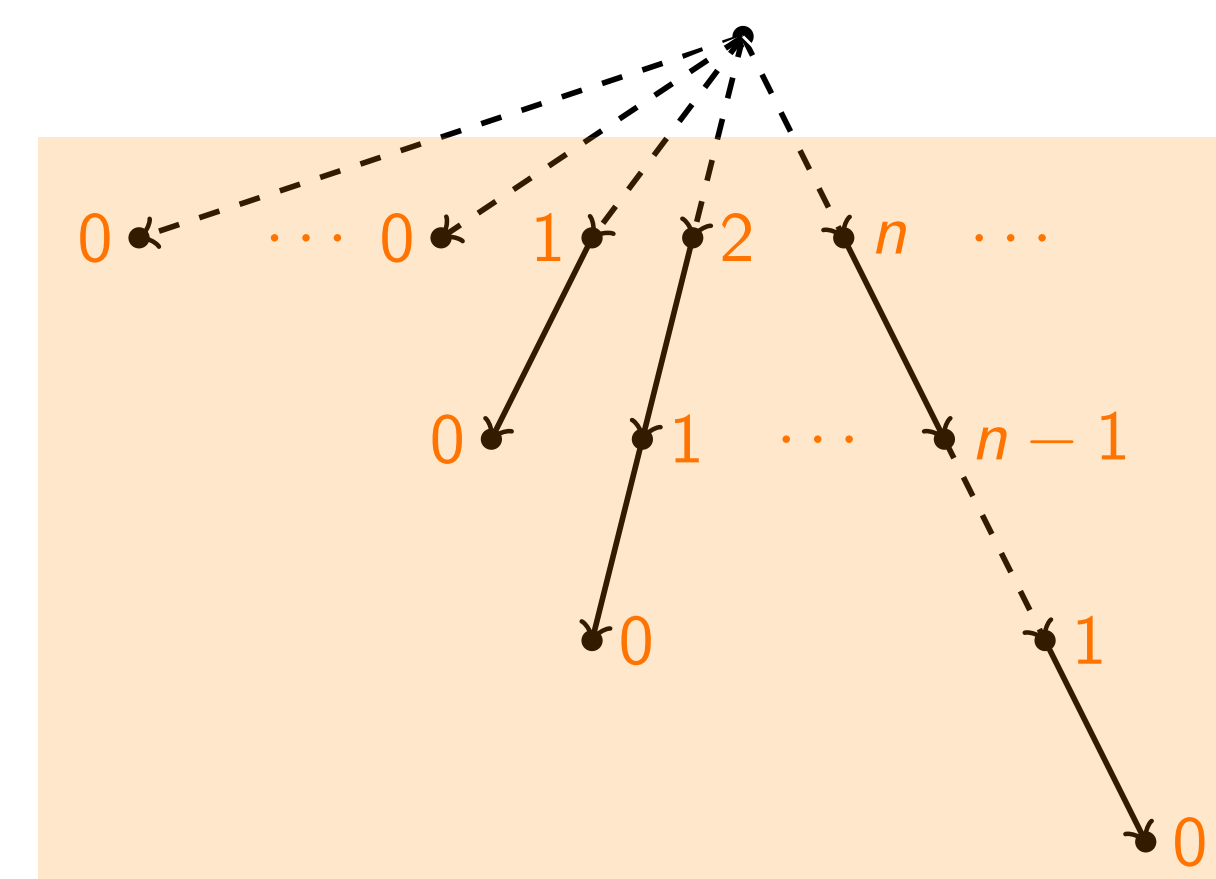
## Example

```
int : x
x := ?
while (x ≥ 0) do
  x := x - 1
od
```

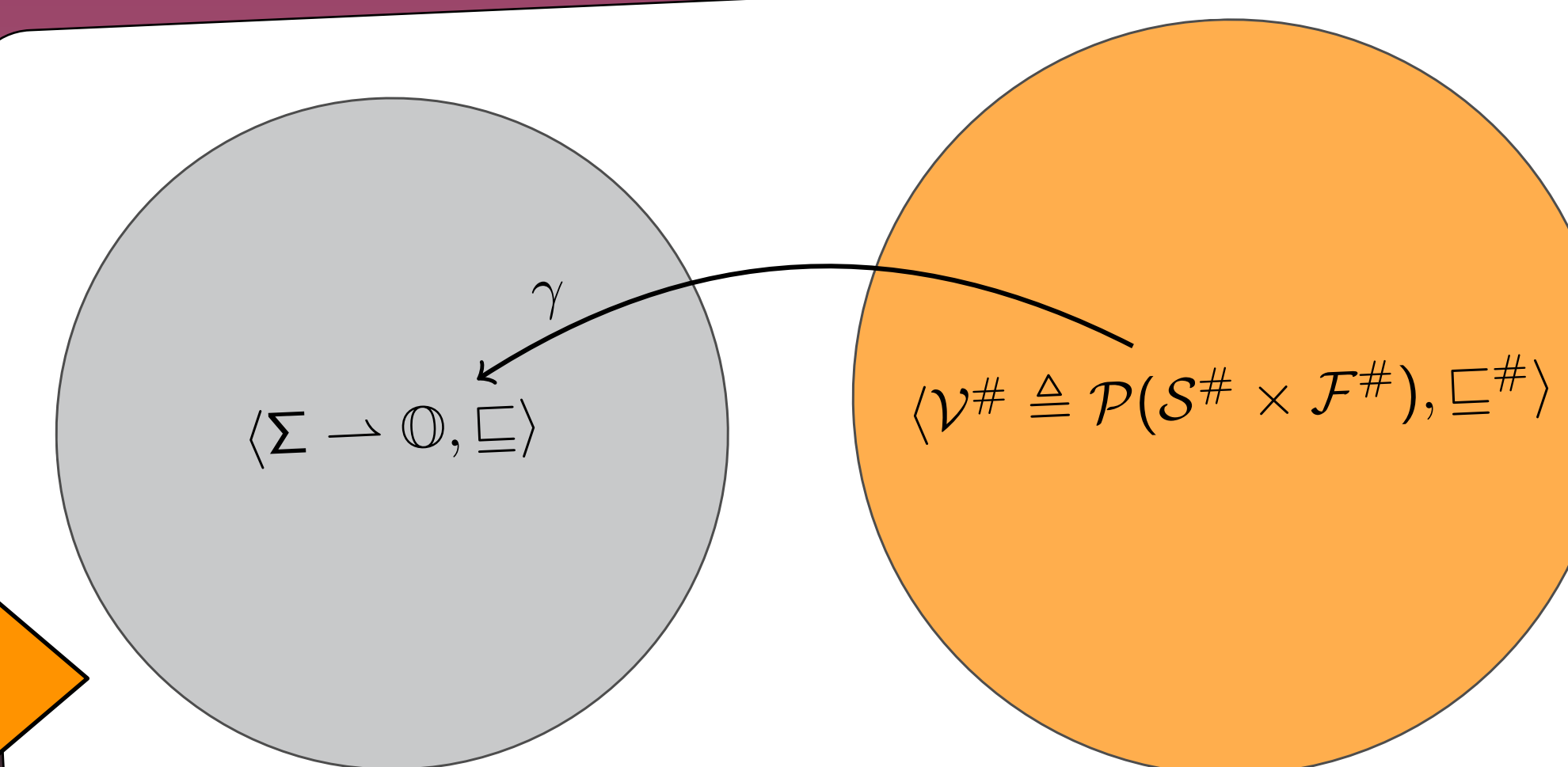


## Example

```
int : x
x := ?
while (x ≥ 0) do
  x := x - 1
od
```



It is not computable!



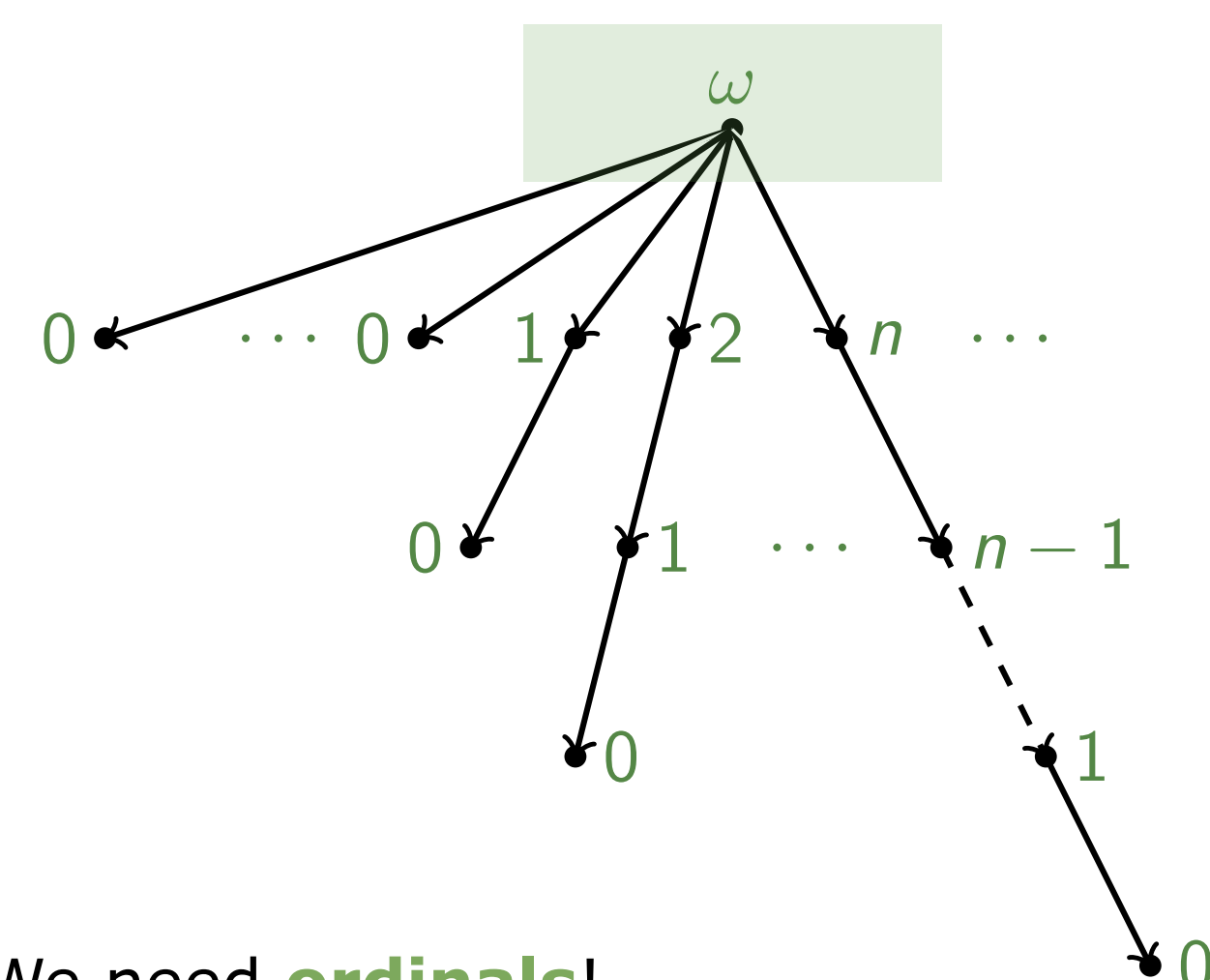
- $S^{\#} \triangleq$  numerical abstract domain
- $F^{\#} \triangleq \{\perp_F\} \cup \{f^{\#} \mid f^{\#} \in \mathbb{Z}^n \rightarrow \mathbb{N}\} \cup \{\top_F\}$  where  $f^{\#} \equiv f(x_1, \dots, x_n) = m_1 x_1 + \dots + m_n x_n + q$

## Example

$$v^{\#}(x) \triangleq \begin{cases} x \in [-\infty, 5] \mapsto \perp_F \\ x \in [6, 8] \mapsto -3x + 38 \\ x \in [9, 10] \mapsto 4 \\ x \in [11, +\infty] \mapsto 1 \end{cases}$$

## Example

```
int : x
x := ?
while (x ≥ 0) do
  x := x - 1
od
```

We need **ordinals**!

## Example

```
int : x, y, N
1 x := N
while 2 (x ≥ 0) do
  3 y := N
  while 4 (y ≥ 0) do
    5 y := y - 1
  od
  6 x := x - 1
od 7
```

the loop terminates in a **finite number of iterations**

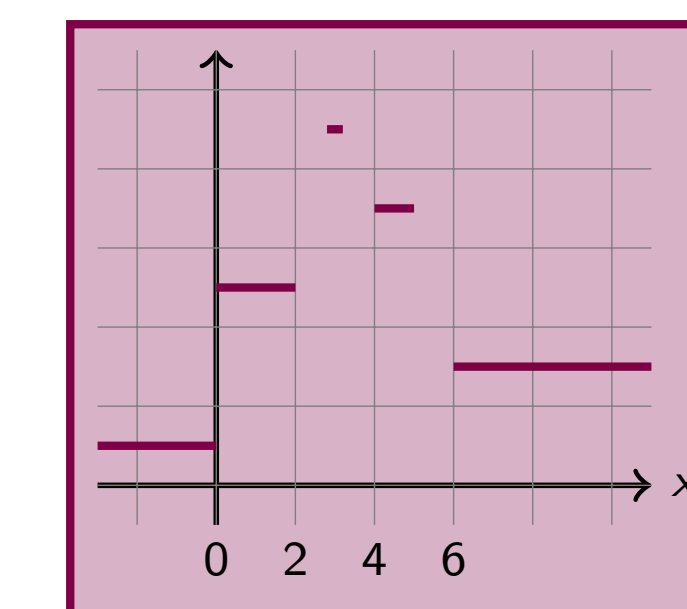
$$v^{\#}(x, y, N) = \begin{cases} x \in [-\infty, 0] \mapsto 1 \\ x \in [1, +\infty] \mapsto \omega + 2 \end{cases}$$

Handling **ordinals** overcomes the limitation of affine functions in case of programs with non-linear computational complexity.

## Why Piecewise-Defined Ranking Functions?

### Example

```
int : x
while 1 (x ≥ 0) do
  2 x := -2x + 10
od 3
```



$$v^{\#}(x) \triangleq \begin{cases} 1 & x < 0 \\ 5 & 0 \leq x \leq 2 \\ 9 & x = 3 \\ 7 & 4 \leq x \leq 5 \\ 3 & x > 5 \end{cases}$$

The piecewise-definition of the functions overcomes the non-existence of a linear ranking function for a program. Moreover, it allows the analysis to infer **sufficient preconditions for termination**.

The operators of the abstract domain are combined together, to compute an abstract ranking function for a program, through **backward invariance analysis**. The starting point is the constant function equals to 0 at the program final control point. The ranking function is then propagated backwards towards the program initial control point taking assignments and tests into account with join and widening around loops. **The program states, for which the analysis finds a ranking function, are states from which the program always terminates.**

$$\langle \Sigma \rightarrow \mathbb{Q}, \sqsubseteq \rangle$$

$$\langle V^{\#} \triangleq \mathcal{P}(S^{\#} \times P^{\#}), \sqsubseteq^{\#} \rangle$$

- $S^{\#} \triangleq$  numerical abstract domain
- $P^{\#} \triangleq \{\perp_F\} \cup \{\sum_i \omega^i \cdot f_i^{\#} \mid f_i^{\#} \in \mathbb{Z}^n \rightarrow \mathbb{N}\} \cup \{\top_F\}$  where  $f_i^{\#} \equiv f_i(x_1, \dots, x_n) = m_{i1} x_1 + \dots + m_{in} x_n + q_i$

## Example

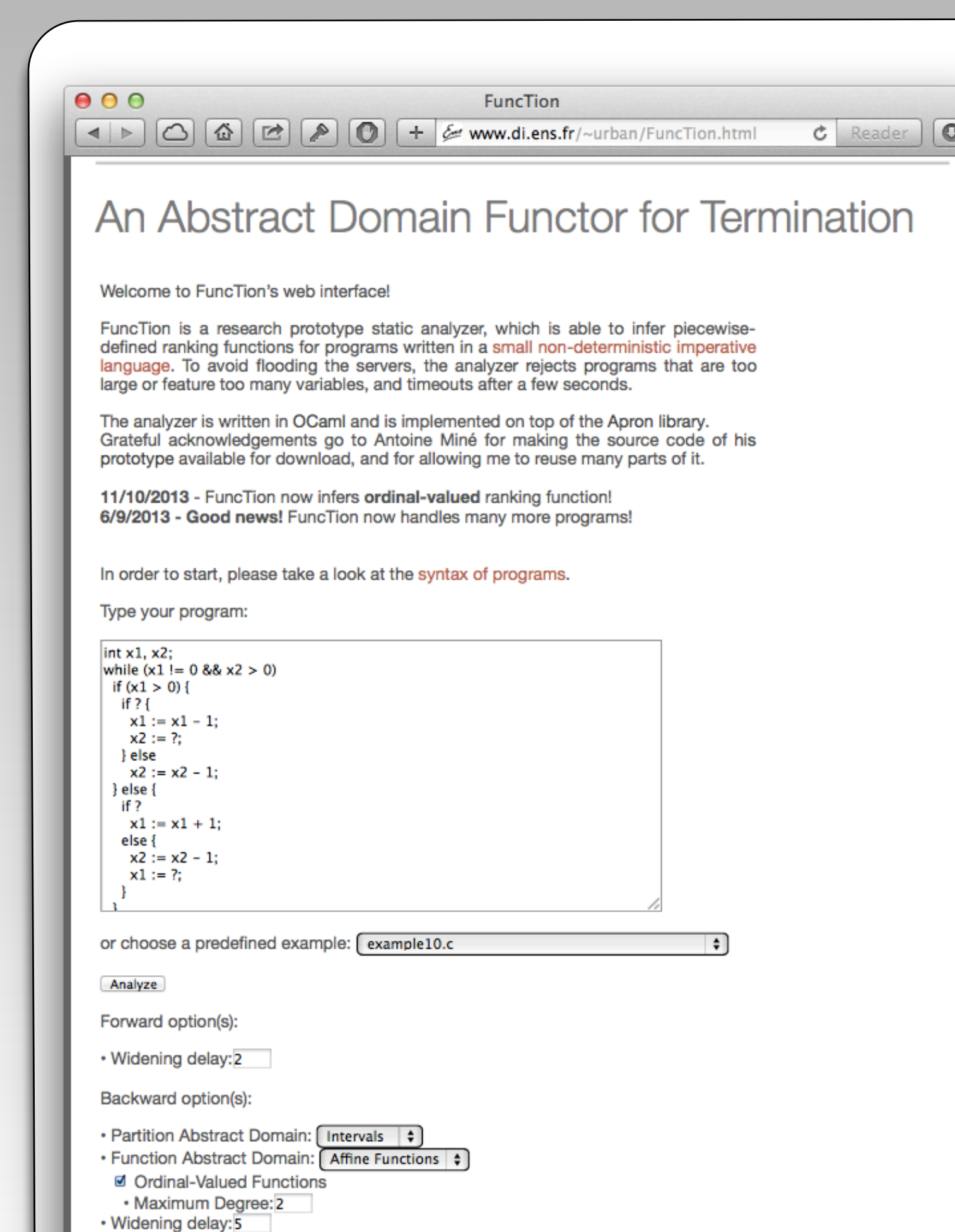
$$v^{\#}(x) \triangleq \begin{cases} x \in [-\infty, -1], y \in [-\infty, 0] \mapsto 1 \\ x \in [-\infty, -1], y \in [1, +\infty] \mapsto \omega^2 + \omega \cdot (y - 1) - 4x + 9y - 2 \\ x \in [0, 0], y \in [-\infty, +\infty] \mapsto 1 \\ x \in [1, +\infty], y \in [-\infty, 0] \mapsto 1 \\ x \in [1, +\infty], y \in [1, +\infty] \mapsto \omega \cdot (x - 1) + 9x + 4y - 7 \end{cases}$$

It is also possible to use **lexicographic ranking functions**.

$$\omega^k \cdot \underbrace{f_k^{\#}}_{\in \mathbb{N}} + \dots + \omega^2 \cdot \underbrace{f_2^{\#}}_{\in \mathbb{N}} + \omega \cdot \underbrace{f_1^{\#}}_{\in \mathbb{N}} + \underbrace{f_0^{\#}}_{\in \mathbb{N}} \in \mathbb{Q}$$

$$(f_k^{\#}, \dots, f_2^{\#}, f_1^{\#}, f_0^{\#}) \in \underbrace{\mathbb{N} \times \dots \times \mathbb{N}}_k$$

However, reasoning directly with lexicographic ranking functions, poses the additional difficulty of finding an **appropriate lexicographic order**. Instead, with ordinal-valued ranking functions the coefficients and their order are **automatically inferred by the analysis**.

the analysis provides  $x > 6$  as **sufficient precondition** for termination

## Example

```
int : x
while 1 (x ≤ 10) do
  if 2 (x > 6) then
    3 x := x + 2
  fi
od 4
```

