



Protecting Your Practice (Hardback)

By Katherine Vessenes, International Association for Financial Planning

BLOOMBERG PRESS, United States, 2000. Hardback. Condition: New. Language: English. Brand new Book. This is the benchmark book for building client relationships, growing a practice, and avoiding litigation--written in association with the world's leading organization for financial services professionals. Audience: Broker-dealers and their home-office personnel, registered reps, accountants, tax advisers, insurance agents and insurance companies, wire houses, fee and commission planners, attorneys, trust officers, estate planners, and development officers in planned-giving departments. As investors gravitate toward no-load mutual funds and do-it-yourself investing through discount brokers, professionals are being squeezed by competition, consumer wariness, and tighter enforcement by the SEC, NASD, and state securities regulators. This book addresses these challenges, helping anyone offering financial advice to be more competitive, build client loyalty, and avoid the liabilities that come with managing someone's money in today's complex investment environment. How to offer better service, comply with key regulations, maintain vital records with a minimum of paperwork, and protect a business from malpractice claims.

DOWNLOAD



READ ONLINE
[2.3 MB]

Reviews

Completely essential go through pdf. This is for all those who statte that there was not a really worth reading through. You will not truly feel monotony at at any time of your time (that's what catalogues are for concerning if you question me).

-- Mr. Santa Shanahan

These sorts of publication is the perfect pdf readily available. It normally is not going to cost a lot of. You wont truly feel monotony at anytime of your respective time (that's what catalogues are for concerning if you question me).

-- Keshawn Muller

See Also



Genuine new book Essentials of Leadership: Principles and Practice (4th Edition) (U.S.) Shiliboge. (U.S.(Chinese Edition)

paperback. Condition: New. Ship out in 2 business day, And Fast shipping, Free Tracking number will be provided after the shipment.Paperback. Pub Date :2012-05-01 Pages: 280 Publisher: Welcome to Our Publishing House of Electronics Industry. service and quality to your satisfaction. please...



That's Not the Monster We Ordered (Hardback)

Skyhorse Publishing, United States, 2016. Hardback. Condition: New. Richard Fairgray, Terry Jones (illustrator). Language: English. Brand new Book. The day the Turner family gets their very own monster is a momentous event in the neighborhood. Everyone gathers for the occasion. The monster...



Health Careers Today (Hardback)

Elsevier - Health Sciences Division, United States, 2016. Hardback. Condition: New. 6th Revised edition. Language: English. Brand new Book. Find the health career that's right for you! Health Careers Today, 6th Edition offers a practical overview to help you make an informed...



Case Studies in 21st Century School Administration: Addressing Challenges for Educational Leadership (Hardback)

SAGE Publications Inc, United States, 2007. Hardback. Condition: New. Language: English. Brand new Book. 'I would adopt Case Studies in 21st Century School Administration for use in my course because of its relevancy and appropriateness in depth and breadth' - Olusegun A....



Life's Healing Choices: Freedom from Your Hurts, Hang-ups, and Habits (Hardback)

SIMON & SCHUSTER, United States, 2007. Hardback. Condition: New. Language: English. Brand new Book. LIFE HAPPENS. Happiness and Healing are yours for the choosing. We've all been hurt by other people, we've hurt ourselves, and we've hurt others. And as a result,...



The Startup Owner's Manual: The Step-By-Step Guide for Building a Great Company (Hardback)

K & S Ranch, United States, 2012. Hardback. Condition: New. Language: English. Brand new Book. Now a decade after the Four Steps to the Epiphany sparked the Lean Startup revolution, comes its sequel The Startup Owner's Manual.The Manual incorporates 10 years of...