

DEPARTMENT OF HOMELAND SECURITY
APPLICATION FOR MARINE EVENT

OMB Number: 1625-0008
Expires: 9/30/2017

Date Submitted: 10/20/2016 Tracking No:152845

FORM INSTRUCTIONS

1. Please complete either this online form or a paper CG-4423.
2. This application must reach the appropriate Captain of the Port (selected via dropdown box) at least 135 days prior to the event.
3. Attach a section of a chart or scale drawing showing boundaries and/or courses and markers contemplated.
4. Submit a copy of your entry requirements, and any special rules pertaining to equipment, rigs or procedures.

1. Name of Event	Hillary Clinton Post Election Celebration	2. Date of Event	11/08/2016 - 11/09/2016
3. Location of Event	Hudson River at 4045'35.5"N 7400'30.9"W The barge would be placed in the Hudson across from the Jacob Javits Center where Hillary Clinton would be hosting an event on election night. Barge would be set 500 feet West of the NYPD tow pound, between 34th and 40th street.	4. Time From: 11:00 PM To: 12:30 AM	
5. Name and Address of Sponsoring Organization (Include Zip Code) HFACC, Inc., D/B/A Hillary For America PO Box 1375 New York, NY 10185		6. No. of Participants 0	7. Sizes of Boats None. This is a fireworks display to be launched from a barge in the Hudson River for a nationally televised audience and Hillary Clinton at the Jacob Javits Center
8. Types of Boats None			9. No. of Spectator Craft 0
10. Description of Events This is a 2 minute long fireworks display to be launched from a barge in the Hudson River for a nationally televised audience and Hillary Clinton at the Jacob Javits Center. The exact launch time of the event is unknown. The timing will be based on when enough electoral votes have decided the presidency. If Hillary Clinton wins the presidency, the fireworks display will be fired shortly after the announcement. Shell size requested is 10" Aerial Display Shells.			
11. Will This Event Interfere or Impede the Natural Flow of Traffic? NO			

11a. If YES briefly explain: There is ample room to navigate around the fallout zone. Additionally, the display will only be 2 minutes in duration.			
12. What Extra or Unusual Hazard (to participants or non-participants) Will Be Introduced Into the Regatta Area? This a fireworks display.			
13. Have any Objections Been Received from Other Interested Parties? NO			
13a. If YES briefly explain:			
14. Vessels Provided by Sponsoring Organization for Safety Purposes (number, description and length)			
Number		Description	Length
1		Safety Boat with Red/Yellow Flashing Lights	TBD
1		Barge and Tug Boat	TBD
15. Does the Sponsoring Organization Deem their Patrol Adequate for Safety Purposes? NO			
15a. If NO briefly explain: Because it is a fireworks display in the Hudson River for a presidential candidate we request USCG support.			
16. Is a Coast Guard or Coast Guard Auxiliary Patrol Requested for Control of Spectator and/or Commercial Traffic? YES			
16a. If YES how many vessels do you recommend and why?: We recommend 1 or 2 support vessels since it is a fireworks display in the Hudson River for a high profile event. Please note, the event is not being advertised so it will not draw an audience.			
17. Person In Charge	<div style="background-color: black; width: 100px; height: 1.2em;"></div>	18. Where Will 'Person In Charge' be During Event?	At the Jacob Javits Center
19. How Can 'Person In Charge' be Contacted During the Event?		By Cell Phone at <div style="background-color: black; width: 80px; height: 1.2em;"></div>	

20. Person to be Contacted for Further Details (Name, Address, Zip Code) <div style="background-color: black; width: 100px; height: 40px; margin-top: 5px;"></div>	20a. Area Code and Phone No.: <div style="background-color: black; width: 70px; height: 15px; display: inline-block;"></div> 20b. Email Address: <div style="background-color: black; width: 130px; height: 15px; display: inline-block;"></div>
The undersigned has full authority to represent the sponsoring organization.	
21. Name: <div style="background-color: black; width: 70px; height: 15px; display: inline-block;"></div>	22. Title: Production Designer
23. Address (Include Zip Code) <div style="background-color: black; width: 150px; height: 30px; margin-top: 5px;"></div>	23a. Area Code and Phone No.: <div style="background-color: black; width: 70px; height: 15px; display: inline-block;"></div> 23b. Email Address <div style="background-color: black; width: 130px; height: 15px; display: inline-block;"></div>
24. The person submitting the form certified that they have full authority to represent the sponsoring organization.	
25. COTP Zone Submitted: NEW YORK	
PRIVACY ACT STATEMENT	
<p style="text-align: center;">Privacy Act Notice</p> <p>Authority: 33 U.S.C § 1233 authorizes the collection of this information. Purpose: The Coast Guard will use this information to determine whether an event poses an extra or unusual hazard to the safety of life and whether or not, and under which conditions, to permit the event on the navigable waters of the United States. Routine Uses: The information will be used by and disclosed to Coast Guard personnel to evaluate the request. Additionally, the Coast Guard may share the information with facility operators, law enforcement or other government agencies as necessary to promote public safety during the requested marine event. Disclosure: Furnishing this information is voluntary; however, failure to furnish the requested information may delay or prevent the approval of the requested marine event.</p>	
<p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The Coast Guard estimates that the average burden for this collection of information is 60 minutes. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commandant (CG-5521), U.S. Coast Guard, 2100 2ND St., SW, Washington D.C. 20593-7683 or Office of Management and Budget, Paperwork Reduction Project (1625-0008), Washington, DC 20593.</p>	

**SENSITIVE SECURITY INFORMATION
WHEN FILLED OUT**

Department of Homeland Security U.S. Coast Guard CG-COTPLIS-01 (REV. 12-10)		Fireworks Addendum to Application for Marine Event	
		<u>Instructions:</u> 1. This addendum must be submitted with the Application for Approval of Marine Event (cg-4423) 2. <u>Attach a section of a chart or chart photocopy showing the display site.</u> 3. Address questions concerning request to Sector LIS, Marine Event Permit Coordinator at (203) 468-4596	
1. Name of Event Hillary Clinton Post Election Celebration	8a. Total Weight of Fireworks Net Powder Weight is 500 lbs	8b. Hazard Class of Fireworks 1.3G UN0335	
2. Date and Time of Event November 8, 2016. Approximate Launch time is 11 pm	9. Quantities and Sizes of Shells to be Used and Their Maximum Altitude during the display Shell Sizes will range from 3" to 10" Aerial Display Shells. Maximum Altitude would be 1200'. Quantity of shells 1,000		
3. Rain Date, if any None.	10. Fire Department or Fire Marshall Point of Contact (Include phone number and Email address) New York Fire Department. Inspector [REDACTED], EXPLOUNIT@FDNY.NYC.GOV		
4. Name of Involved Tug(s) and Barge(s) [REDACTED] Vinik Marine (Tug Name: Agnes) Barge Size 130x40 (Name: Willets Point) and 'spacer' barge of 30x80. (Name: Vinik 1)	11. Describe in Detail Provisions made with Local Fire Department to Supply Fire Fighting Protection During the Event NYFD will have multiple Firemen on the spacer barge.		
5. Type of Deck Covering if Barge is of Wood Construction Steel Deck Barge	12. List all Permits Required and Received from Local, State, and Federal Agencies Permit from NYFD and Keasby, NJ for loading site		
6. The Exact Location of Vessel When Loading Fireworks Bay Shore Recycling, Keasby NJ	13. Licensed Fireworks Contractor (Name, Address, and Phone/Fax) Garden State Fireworks, Inc. 383 Carlton Road, Millington, NJ 07946 (P)800-999-0912 (Fax) 908-647-6258		
7. Date and Time of Fireworks Loading Fireworks to be loaded on November 8 th at 7 a.m.	14. Will the site be compliant with 33 CFR § 126.15 & 126.27 at the time of loading/offloading? Yes		
8. Time Vessels(s) Will Transit to Launch Site Between 3pm and 4pm	15. How will security be handled at the temporary designated waterfront facility? (If needed include attachments) Fireworks will not be left unattended at anytime. Security will be provided by Garden State Fireworks, Local Fire will be on standby.		

~~Warning: This record, once filled out, contains Sensitive Security Information that is controlled under 49 CFR § 1520. No part of this record may be disclosed to persons without "need to know" as defined by 49 CFR § 1520.5 except with the written permission of the Secretary of Homeland Security. Unauthorized release may result in civil penalty or other action. For U.S. Government agencies, public disclosure is governed by 5 U.S.C. 552 and 49 CFR § 1520.~~

**SENSITIVE SECURITY INFORMATION
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<p>16. Latitude and Longitude of Launch Site 40°45'35.5"N 74°00'30.9"W</p>	<p>19. Responsible Official for the Sponsoring Organization that can be Contacted for Additional Information [REDACTED]</p>
<p>17. Method of Securing Launch Vessel(s) at the Launch Site (The Towing Vessel is Required to Stand Off During the Fireworks display) Attached to barge via spacer barge.</p>	<p>20. Name of Person Completing Addendum and Fax Number (For approved Permit) [REDACTED]</p>
<p>18. Time the Launch Vessel(s) will Depart Display Site After the Launch Upon completion of the display which is contingent upon final election result timing. Estimated to be 11pm or later.</p>	<p>21. Not required but helpful: Email address of Sponsor and Person Completing Addendum [REDACTED]</p>
<p style="text-align: center;">Applicant Read Before Signing</p> <p>I certify that the above information is true and accurate. I am providing this information in order to obtain a Marine Event Permit to hold a fireworks display in accordance with 33 CFR § 100. I understand that if I make any false or fraudulent statement in this application, I may be subject to a fine or imprisonment of up to five (5) years, or both 18 U.S.C. 1001.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"><div style="text-align: center;"><p>Signature: [REDACTED]</p></div><div style="text-align: center;"><p>10/21/16 Date: _____</p></div></div> <p style="text-align: center; margin-top: 20px;">SPECIAL NOTE: THE AREA DESIGNATED BY THE COAST GUARD AS A SAFETY ZONE MUST BE MARKED WITH ORANGE BUOYS BY THE SPONSOR</p> <p style="text-align: center; margin-top: 10px;">This Block for Coast Guard Use Only</p>	

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From: [REDACTED]
To: [REDACTED] [MST1](#)
Subject: [Non-DoD Source] Re: Fireworks events tracking #152845 - November 8-9, 2016
Date: Friday, October 21, 2016 10:02:50 AM
Attachments: [image001.png](#)

MST1 [REDACTED],

As always I sincerely appreciate your quick response and assistance. I know we recently went through another short notice request for a potential New Year's event that fell through. I wanted to take a moment to mention I didn't want the USCG to feel we were making a habit of 'special' requests beneath the normal time table established for obtaining USCG permits and assistance. I fully appreciate that the USCG is already gainfully occupied with services much higher up the priority ladder than entertainment and we always appreciate the opportunity to even open a discussion about requesting a permit and assistance on short notice, especially situations of extremely short notice such as this current request for Hillary Clinton.

I would not have even dreamed of considering asking the USCG for assistance and permission in this time frame if the event was anything less than for the potential next President of the United States. We work hard to comply with USCG timetables and we turn down dozens of events nationally that involve request USCG support beneath the normal time table. We also make sure we notify USCG even when our events are land based adjacent to waterways because we always want to ensure best lines of communication.

That being said, thank you for pointing out the existence of the zone near the Intrepid. I did review all available existing zones to see if there would be one suitable for the event. Unfortunately, the distance and angle from the event that is taking place at the Javits Center is not in the most impactful range and angle. My understanding is Clinton would be watching from inside the glass atrium at the Javits Center where the closer barge range would assist in making the fireworks display visible to that location. There would be nationally televised shots taken from that angle as well as additional cameras getting a feed from outside the Javits Center. This is the reason we have requested the special request for the un-established 'new' zone at the location west of the NYPD Tow Pound.

I do appreciate and understand the magnitude of the 'ask' for a new zone given the extremely short notice. I am hopeful the specifics of the event and the fact that the late at night launch time will make this request an option worth considering by the USCG. Also, hopefully the late firing time would minimize waterway impact. I don't begin to claim understanding of the effort the USCG would need to put forth to accomplish setting and securing this new zone but I do realize it is no small task or request. I never feel comfortable burdening the USCG with special requests and have always been appreciative of the assistance and latitude the USCG has given us to work with us on so many events around the country over years.

Please let me know your thoughts about the potential to establish the requested zone.

Regards,

[REDACTED]
Garden State Fireworks, Inc.
(O) 800-999-0912
[REDACTED]

On Fri, Oct 21, 2016 at 9:08 AM, [REDACTED] MST1 [REDACTED] wrote:

Good morning [REDACTED], I have received an application for fireworks on the Hudson River in vicinity of the Javits Convention Center on November 8-9, 2016.

Since we have less than 20 days before the event, establishing a safety zone may not be possible for a barge launch in the requested location. There is a pre-established safety zone just north of the Javits Center near pier 84, is there a possibility of launching from that location?

Let me know and we'll do our best to work with obtaining CG assets to enforce a safety zone for the barge.

Very Respectfully,

MST1 [REDACTED]

Sector New York

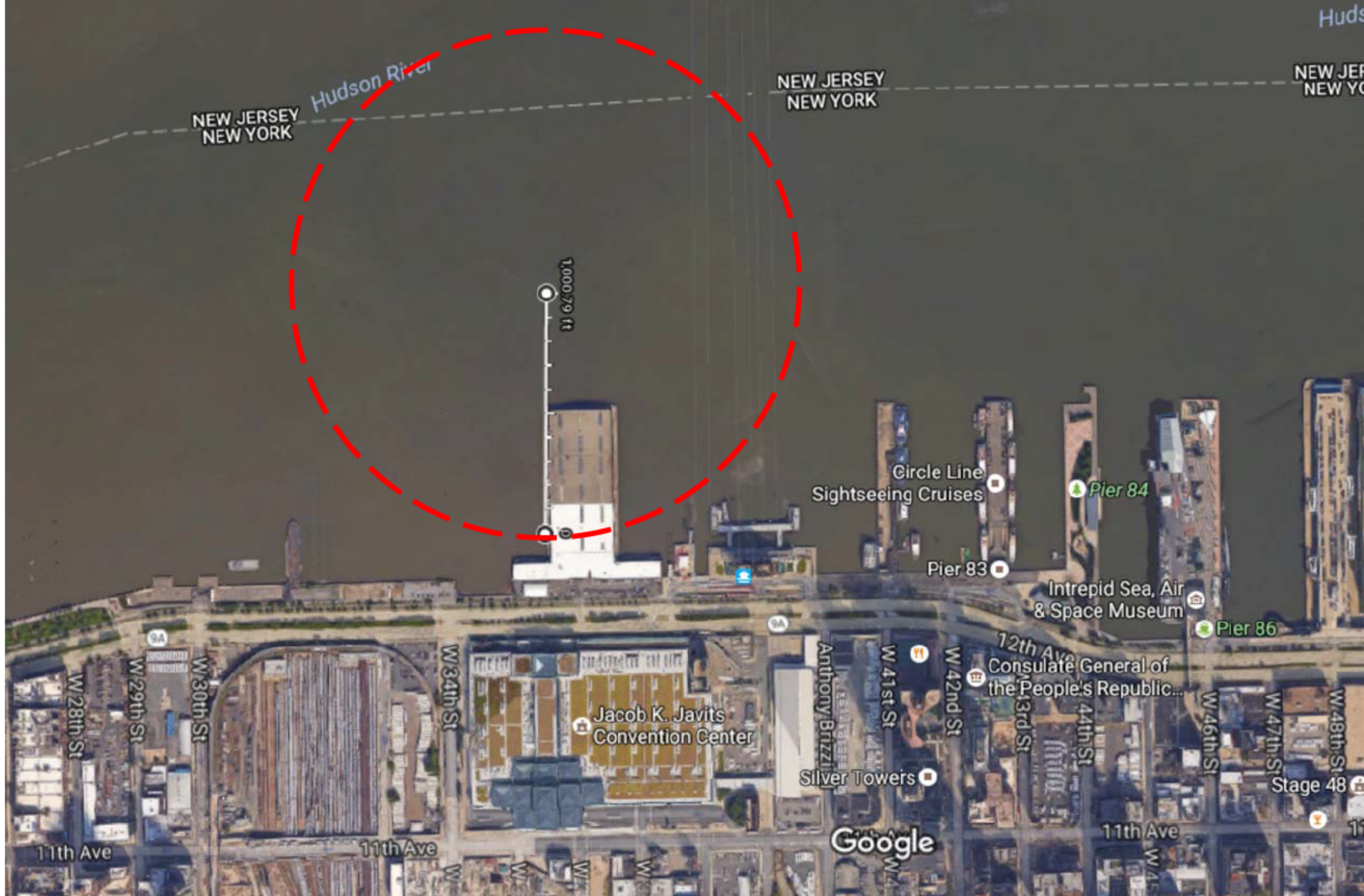
212 Coast Guard Drive

Staten Island, NY 10305

Waterways Management, Marine Events

[REDACTED]

Fax:(718)354-4192 <tel:%28718%29354-4192>



Imagery ©2016 Google, Map

Event: Hillary Clinton Post Election Celebration

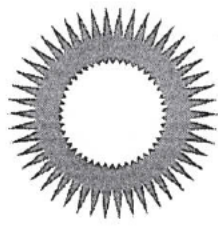
Date: November 8, 2016

Time: TBD. The display would be fired shortly after an official ballot count decides the presidency. It is expected to be 11 pm or later.

Barge Location: Hudson River at 40°45'35.5"N 74°00'30.9"W

Location is 500 feet west of the NYPD Tow Pound

Shell Size: 10" Aerial Display Shells



THE FINEST
SINCE 1890

GARDEN STATE FIREWORKS

THE SANTORE BROS.
WORLD CHAMPIONS

October 26, 2016

Attn:

Acting Chief of Fire Prevention
[REDACTED]

Re: Variance Request for November 8, 2016
Presidential Election Fireworks

Chief [REDACTED]

We would like to request a variance for special permission to exhibit a fireworks display on Election night in the Hudson River adjacent to the Jacob Javits Center. The display is to be fired for the Democratic Presidential Candidate; Hillary Clinton on election night. If Hillary Clinton is elected president, the display would be launched shortly after the official vote declaring the victory is announced. Since the exact time of the declaration of the winner is unknown the exact launch time can't be pinpointed. Based on when polls close in various states it is unlikely it would be before 10pm EST, but it could also be as late as 11:55 p.m. We are officially requesting a variance for 9:30 pm to 11:55pm for the fireworks display. The display would only be 2 minutes total in duration.

We recognize this is a significant and special request as the timing is after normal hours. We would not make this request if it was for anything less than the potential next President of the United States of America. We have submitted documents to the U.S. Coast Guard and they appreciate the magnitude of the event and have expressed that they will work with us in the very short time frame to support the event.

We have submitted the supporting documentation, site plan and permit application as well and sincerely appreciate the assistance of FDNY. Please let me know if you have any questions or need additional information.

Regards,

[REDACTED]
[REDACTED] CEO

EXPLOSIVES UNIT
NYC FIRE DEPARTMENT

Approved

[REDACTED]

10/27/16

U.S. Department of
Homeland Security

United States
Coast Guard



Commander
United States Coast Guard
Sector New York

212 Coast Guard Drive
Staten Island, NY 10305
Staff Symbol: (spw)
Phone: (718) 354-2353
Fax: (718) 354-4190
Internet: <http://homeport.uscg.mil>

16670

[REDACTED]
Hillary Clinton Post Election Celebration
383 Carlton Road
Millington, NY 07922

31 OCT 2016

Dear [REDACTED],

I have received your Application for Approval of Marine Event, Form CG-4423, dated October 20, 2016, in which you requested approval for the "Hillary Clinton Post Election Celebration" fireworks display, on November 8, 2016. I have reviewed your application and determined that the proposed event does not require a Coast Guard Marine Event Permit, as outlined in 33 CFR Part 100, in that the event does not introduce any extra or unusual hazards that would jeopardize the safety of human life on the navigable waters of the U.S. For this reason, a Coast Guard Permit for Marine Event, Form CG-4424, is not required.

You are reminded that, while no Coast Guard permit is required, you must comply with all existing Federal, State, and local laws and other requirements that may impact your ability to hold your event as proposed. You should take appropriate action to ensure compliance with all such requirements prior to holding your event. Although no Coast Guard permit will be issued at this time, it is your responsibility to contact this office if there are any changes to the event, including the number of participants and/or expected spectators, location, or any other significant changes that may necessitate reconsideration as to whether a permit is required.

I sincerely appreciate your proactive effort in bringing this marine event to my attention. Because of the dynamic nature of the waterway, boating, and maritime activities, the Coast Guard carefully considers the totality of the risks associated with each event on a case-by-case basis when determining whether a permit is needed. Although a Coast Guard Permit for Marine Event, Form CG-4424, is not required for this occasion, you should continue to submit an Application for Approval of Marine Event, Form CG-4423, for this, and any similar events, you may sponsor in the future.

Furthermore, nothing in this determination is intended to restrict the Coast Guard's ability to take action authorized under the Ports and Waterways Safety Act, the Magnusson Act, or other authorities to ensure the safety of vessels and waterfront facilities, and the protection of the navigable waters and the resources therein. Such actions could include promulgation of Regulated Navigation Areas or Limited Access Areas, broadcasting safety notices or disseminating safety flyers, or other actions taken under the authorities granted to the United States Coast Guard.

The decision that your proposed event does not require a Coast Guard permit in no way implies that the event is without risk or deemed completely safe, nor does it imply that the Coast Guard has "approved" the event. As the event sponsor, you are still responsible for the overall safety of the event and obtaining any appropriate permits from other Federal, State, or local authorities.

Please contact MSTI [REDACTED] at [REDACTED], if you have any questions or if the event is cancelled for any reason. If the event is cancelled after 3 p.m. or on a weekend, contact the Sector New York Command Center at (718) 354-4353.

Sincerely,

[REDACTED]

Chief, Waterways Management Division
U.S. Coast Guard
By direction

From: [REDACTED]
To: [REDACTED] [MST1](#)
Subject: [Non-DoD Source] Re: USCG Letter - Hillary Clinton Post Election Celebration - Fireworks Display - Pier 76, Hudson River, Manhattan, NY - November 8, 2016
Date: Monday, October 31, 2016 8:50:39 AM

Petty Officer [REDACTED],

My sincere thanks to you and your sector command for your assistance with this short notice event. We truly appreciate it. Please do let me know if this is a future date where you would like to visit our facility as it remains an open invite.

Thanks,

[REDACTED]

On Mon, Oct 31, 2016 at 8:38 AM, [REDACTED] MST1 [REDACTED] wrote:

Good morning,

Attached is your letter from the US Coast Guard for the "Hillary Clinton Post Election Celebration" - Fireworks Display - Pier 76, Hudson River, Manhattan, NY - November 8, 2016 as per Marine Event Application.

If you have issues and require direct assistance on the day of the event please call our 24 hour Sector Command Center (718-354-4353 <tel:%28718-354-4353>) so they may assist. The Command Center works with the US Coast Guard Vessel Traffic System to ensure the smooth operation of the Port of New York and New Jersey.

You are encouraged to contact Vessel Traffic Service New York (718)354-4088 <tel:%28718%29354-4088> or on VHF CH 11 fifteen minutes prior to the start of the event, and upon its completion. Please give them all the basic background due to the large volume of events and actions that occur each day in NYC. Thank you for your follow up and please contact us for any future such events.

If your event is cancelled or changed for any reason, it is your responsibility to contact the Coast Guard to provide proper notification. During normal business hours (Mon-Fri 7:30am-3:30pm) you can contact me at [REDACTED] or MST1 [REDACTED] at [REDACTED]. If the event is cancelled after hours or on the weekend, please notify the Sector New York Command Center at 718-354-4353.

Best of Luck, the Office of Waterway Management.

Very Respectfully,

MST1 [REDACTED]
Sector New York

212 Coast Guard Drive
Staten Island, NY 10305
Waterways Management, Marine Events
[REDACTED]
Fax:(718)354-4192

From: [REDACTED] [CIV](#)
To: [REDACTED] [MST1](#)
Cc: [REDACTED] [LCDR](#)
Subject: RE: TMZ / Fireworks Show
Date: Wednesday, November 02, 2016 7:48:10 AM

Thank you.

[REDACTED]
U.S. Coast Guard Public Affairs
Sector New York
718-354-2359 (w)
[REDACTED]

-----Original Message-----

From: [REDACTED] MST1
Sent: Wednesday, November 02, 2016 7:47 AM
To: [REDACTED] CIV
Cc: [REDACTED] LCDR
Subject: FW: TMZ / Fireworks Show
Importance: High

Good morning [REDACTED],

Wanted to forward this on to you. The fireworks in question are a non marine event and the sponsoring organization was sent a letter stating that fact, there is no approval or denial from our office. I've attached the event file for your awareness.

Very Respectfully,

MST1 [REDACTED]

Sector New York

212 Coast Guard Drive

Staten Island, NY 10305

Waterways Management, Marine Events

[REDACTED]

Fax:(718)354-4192

From: [REDACTED] (*IC) [[mailto:\[REDACTED\]](mailto:[REDACTED])]
Sent: Tuesday, November 01, 2016 4:33 PM
To: [REDACTED] MST1
Subject: [Non-DoD Source] TMZ / Fireworks Show
Importance: High

Officer [REDACTED],

My name is [REDACTED] I'm a producer with TMZ. I wanted to contact you to verify the approval of a fireworks show scheduled for Nov 8th at Pier 76 in the Hudson River for Hillary Clinton. Thanks!

Take care,

[REDACTED]

[REDACTED]

Sr. News Producer

TMZ.com / TMZ TV / TMZ Live

[REDACTED]

[REDACTED]

[REDACTED]

TMZ_Logo

From: [REDACTED]
To: [REDACTED] [MST1](#)
Subject: [Non-DoD Source] Re: USCG Letter - Hillary Clinton Post Election Celebration - Fireworks Display - Pier 76, Hudson River, Manhattan, NY - November 8, 2016
Date: Thursday, November 03, 2016 4:40:56 PM

Petty Officer [REDACTED],

I just received the unfortunate news that the potential election night fireworks celebration has been cancelled. I included you on an email to everyone indicating the event is cancelled. I want to thank you and your sector and sector command for all the assistance towards making the event possible. My apologies for the wasted efforts. I did want to assure the USCG that this was all contracted and approved by the city and all on track and that this cancellation was only sadly due to the campaign changing their minds at the last minute. Thanks again for the efforts.

Regards,

[REDACTED]
Garden State Fireworks, Inc.
(O) 800-999-0912
[REDACTED]

On Mon, Oct 31, 2016 at 8:38 AM, [REDACTED] MST1 [REDACTED] wrote:

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If your event is cancelled or changed for any reason, it is your responsibility to contact the Coast Guard to provide proper notification. During normal business hours (Mon-Fri 7:30am-3:30pm) you can contact me at [REDACTED] or MST1 [REDACTED]. If the event is cancelled after hours or on the weekend, please notify the Sector New York Command Center at 718-354-4353.

Best of Luck, the Office of Waterway Management.

Very Respectfully,

MST1 [REDACTED]
Sector New York
212 Coast Guard Drive
Staten Island, NY 10305
Waterways Management, Marine Events
[REDACTED]
Fax:(718)354-4192