

# Characterization of $m$ -Sequences of Lengths $2^{2k} - 1$ and $2^k - 1$ with Three-Valued Crosscorrelation

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**Abstract.** Considered is the distribution of the crosscorrelation between  $m$ -sequences of length  $2^m - 1$ , where  $m = 2k$ , and  $m$ -sequences of shorter length  $2^k - 1$ . New pairs of  $m$ -sequences with three-valued crosscorrelation are found and the complete correlation distribution is determined. Finally, we conjecture that there are no more cases with a three-valued crosscorrelation apart from the ones proven here.

**Keywords:**  $m$ -sequences, crosscorrelation, linearized polynomials.

## 1 Introduction

Let  $\{a_t\}$  and  $\{b_t\}$  be two binary sequences of length  $n$ . The *crosscorrelation function* between these two sequences at shift  $\tau$ , where  $0 \leq \tau < n$ , is defined by

$$C(\tau) = \sum_{t=0}^{n-1} (-1)^{a_t + b_{t+\tau}}.$$

If the sequences  $\{a_t\}$  and  $\{b_t\}$  are the same we call it the autocorrelation.

Sequences with good correlation properties are important for many applications in communication systems. A relevant problem is to find the distribution of the crosscorrelation function (i.e., the set of values obtained for all shifts) between two binary  $m$ -sequences  $\{s_t\}$  and  $\{s_{dt}\}$  of the same length  $2^m - 1$  that differ by a decimation  $d$  such that  $\gcd(d, 2^m - 1) = 1$ . A survey of some of the basic research on the crosscorrelation between  $m$ -sequences of the same length can be found in Helleseeth [1] and more recent results in Helleseeth and Kumar [2] and Dobbertin et. al. [3]. A basis for many applications is the family of Gold sequences with their three-valued crosscorrelation function.

In a recent paper [4], Ness and Helleseeth studied the crosscorrelation between an  $m$ -sequence  $\{s_t\}$  of length  $n = 2^m - 1$  and an  $m$ -sequence  $\{u_{dt}\}$  of length  $2^k - 1$ , where  $m = 2k$  and  $\gcd(d, 2^k - 1) = 1$ . Here  $\{u_t\}$  denotes the  $m$ -sequence used in constructing the small family of Kasami sequences [5]. Recall that this family consists of  $2^k$  sequences  $\{s_t\} + \{u_{t+\tau}\}$  for  $\tau = 0, \dots, 2^k - 2$  plus the sequence  $\{s_t\}$ , where  $s_t$  and  $u_t$  are defined in (1) and (2). For the Kasami sequences, the crosscorrelation between  $\{s_t\}$  and  $\{u_t\}$  takes on only two different values. It is an open problem whether this is possible in other cases. Numerical results show several pairs of  $m$ -sequences with three-valued crosscorrelation function between  $\{s_t\}$  and  $\{u_{dt}\}$ , where  $\gcd(d, 2^k - 1) = 1$  and  $k$  is odd. In addition to general results, Ness and Helleseeth proved in [4] that the decimation  $d = \frac{2^k+1}{3}$  gives a three-valued crosscorrelation distribution and in [6] they proved the same distribution for  $d = 2^{(k+1)/2} - 1$  (in both cases  $k$  odd is needed). In this paper, we cover all the cases found by computer experiments that lead to a three-valued

crosscorrelation distribution and completely determine this distribution. Speaking concretely, the decimation  $d$  such that  $d(2^l + 1) \equiv 2^i \pmod{2^k - 1}$  for some integer  $l$  and  $i \geq 0$  with  $\gcd(l, k) = 1$  and odd  $k$  gives a three-valued crosscorrelation distribution. We conjecture that there are no other three-valued cases but these. This result includes the decimations proved in [4, 6] as a particular case that is obtained assuming  $l = 1$  and  $l = \frac{k+1}{2}$ .

In Section 2, we present preliminaries needed for proving our main result. In Section 3, we analyze zeros of a particular affine polynomial  $A_a(v)$ . In Section 4, we find the distribution of the number of zeros of a special linearized polynomial  $L_a(z)$ . These two polynomials play a crucial role in finding the distribution of a new three-valued crosscorrelation function. In Section 5, we determine completely the crosscorrelation distribution of the new three-valued decimation.

## 2 Preliminaries

Let  $\text{GF}(q)$  denote a finite field with  $q$  elements and let  $\text{GF}(q)^* = \text{GF}(q) \setminus \{0\}$ . The trace mapping from  $\text{GF}(q^m)$  to  $\text{GF}(q)$  is defined by

$$\text{Tr}_m(x) = \sum_{i=0}^{m-1} x^{q^i} .$$

Let  $\text{GF}(2^m)$  be a finite field with  $2^m$  elements and  $m = 2k$  with  $k$  odd. Let  $\alpha$  be an element of order  $n = 2^m - 1$ . Then the  $m$ -sequence  $\{s_t\}$  of length  $n$  can be written in terms of the trace mapping as

$$s_t = \text{Tr}_m(\alpha^t) . \quad (1)$$

Let  $\beta = \alpha^{2^k+1}$ , then  $\beta$  is an element of order  $2^k - 1$ . The sequence  $\{u_t\}$  of length  $2^k - 1$  (which is used in the construction of the well-known Kasami family) is defined by

$$u_t = \text{Tr}_k(\beta^t) . \quad (2)$$

In this paper, we consider the crosscorrelation between the  $m$ -sequences  $\{s_t\}$  and  $\{v_t\} = \{u_{dt}\}$  at shift  $\tau$  defined by

$$C_d(\tau) = \sum_{t=0}^{n-1} (-1)^{s_t + v_{t+\tau}} , \quad (3)$$

where  $\gcd(d, 2^k - 1) = 1$  and  $\tau = 0, \dots, 2^k - 2$ . One should observe that in this setting, by selecting all decimations  $d$  with this condition, we cover the crosscorrelation function between all pairs of  $m$ -sequences having these two different

lengths. Using the trace representation, this function can be written as an exponential sum

$$\begin{aligned} C_d(\tau) &= \sum_{t=0}^{n-1} (-1)^{st+u_{d(t+\tau)}} \\ &= \sum_{x \in \text{GF}(2^m)^*} (-1)^{\text{Tr}_m(\alpha^{-\tau}x) + \text{Tr}_k(x^{d(2^k+1)})} . \end{aligned}$$

Since the two subgroups of  $\text{GF}(2^m)^*$  of order  $2^k - 1$  and  $2^k + 1$ , respectively, only contain the element 1 in common, it is straightforward to see that for any element, say  $\alpha^{-\tau} \in \text{GF}(2^m)^*$ , there is a unique element  $u$ , where  $u^{2^k+1} = 1$  such that  $\alpha^{-\tau}u = a \in \text{GF}(2^k)^*$ . Further, distinct values of  $\tau = 0, 1, \dots, 2^k - 2$  lead to distinct values of  $a \in \text{GF}(2^k)^*$ . Further, note that for any  $u$  with  $u^{2^k+1} = 1$  we have

$$\sum_{x \in \text{GF}(2^m)^*} (-1)^{\text{Tr}_m(\alpha^{-\tau}ux) + \text{Tr}_k(x^{d(2^k+1)})} = \sum_{x \in \text{GF}(2^m)^*} (-1)^{\text{Tr}_m(\alpha^{-\tau}x) + \text{Tr}_k(x^{d(2^k+1)})} .$$

Therefore, the set of values of  $C_d(\tau) + 1$  for all  $\tau = 0, 1, \dots, 2^k - 2$  is equal to the set of values of

$$S(a) = \sum_{x \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(ax) + \text{Tr}_k(x^{d(2^k+1)})} \quad (4)$$

when  $a \in \text{GF}(2^k)^*$ .

The main result of this paper is formulated in the following corollary that gives a three-valued crosscorrelation function between new pairs of sequences of different lengths. This corollary immediately follows from Theorem 2.

**Corollary 1** *Let  $m = 2k$  and  $d(2^l + 1) \equiv 2^i \pmod{2^k - 1}$  for some odd  $k$  and integer  $l$  with  $0 < l < k$ ,  $\gcd(l, k) = 1$  and  $i \geq 0$ . Then the crosscorrelation function  $C_d(\tau)$  has the following distribution*

$$\begin{array}{lll} -1 - 2^{k+1} & \text{occurs} & \frac{2^{k-1}-1}{3} \text{ times} , \\ -1 & \text{occurs} & 2^{k-1} - 1 \text{ times} , \\ -1 + 2^k & \text{occurs} & \frac{2^k+1}{3} \text{ times} . \end{array}$$

The result will be proved in a series of lemmas. The outline of the proof is as follows. We have shown that we can write  $C_d(\tau) + 1$  for  $\tau = 0, 1, \dots, 2^k - 2$  as an exponential sum  $S(a)$  for  $a \in \text{GF}(2^k)^*$ . In the case when  $l$  is even, we can calculate the distribution of this sum directly as an exponential sum  $S_0(a)$  and obtain the result. In the case when  $l$  is odd, a different approach works. In this case, we need some  $r$  being a noncube in  $\text{GF}(2^m)$  such that  $r^{2^k+1} = 1$  (for

instance, we can take  $r = \alpha^{2^k-1}$  with  $\alpha$  a primitive element of  $\text{GF}(2^m)$  and we show that

$$S(a) = (S_0(a) + S_1(a) + S_2(a))/3$$

for three exponential sums  $S_0(a)$ ,  $S_1(a)$  and  $S_2(a)$  defined by

$$\begin{aligned} S_i(a) &= \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(r^i a y^{2^l+1}) + \text{Tr}_k(y^{2^k+1})} \quad \text{for } i = 0, 1 \\ S_2(a) &= \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(r^{-1} a y^{2^l+1}) + \text{Tr}_k(y^{2^k+1})} . \end{aligned}$$

We determine  $S_0(a)$  exactly in Corollary 2 and find  $S_1(a)^2$  (that is equal to  $S_2(a)^2$ ) in Lemma 9. Since  $S(a)$  is an integer, we can resolve the sign ambiguity of  $S_1(a)$  and  $S_2(a)$ . In order to determine  $S_0(a)$  we need to consider zeros in  $\text{GF}(2^k)$  of the affine polynomial

$$A_a(v) = a^{2^l} v^{2^{2l}} + v^{2^l} + av + 1$$

and this is done in Section 3. To determine the square sums  $S_1(a)^2$  and  $S_2(a)^2$  we need to find the number of zeros in  $\text{GF}(2^m)$  of the linearized polynomial

$$L_a(z) = z^{2^{k+l}} + r^{2^l} a^{2^l} z^{2^{2l}} + raz$$

and this task is completed in Section 4.

When finding the complete crosscorrelation distribution we make use of the following result from [4] that gives the sum of the crosscorrelation values as well as the sum of their squares.

**Lemma 1** ([4]) *For any decimation  $d$  with  $\gcd(d, 2^k - 1) = 1$  the sum (of the squares) of the crosscorrelation values defined in (3) is equal to*

$$\begin{aligned} \sum_{\tau=0}^{2^k-2} C_d(\tau) &= 1 ; \\ \sum_{\tau=0}^{2^k-2} C_d(\tau)^2 &= (2^m - 1)(2^k - 1) - 2 . \end{aligned}$$

### 3 The Affine Polynomial $A_a(v)$

In this section, we take any  $k$  and consider zeros in  $\text{GF}(2^k)$  of the affine polynomial

$$A_a(v) = a^{2^l} v^{2^{2l}} + v^{2^l} + av + 1 , \tag{5}$$

where  $l < k$  is an arbitrary but fixed positive integer with  $\gcd(l, k) = 1$  and  $a \in \text{GF}(2^k)^*$ . Let also  $l' = l^{-1} \pmod{k}$ . The distribution of the zeros in  $\text{GF}(2^k)$  of (5) will determine to a large extent the distribution of our crosscorrelation function.

We need the following sequences of polynomials that were introduced by Dobbertin in [7] (see also [8]):

$$\begin{aligned} F_1(v) &= v , \\ F_2(v) &= v^{2^l+1} , \\ F_{i+2}(v) &= v^{2^{(i+1)l}} F_{i+1}(v) + v^{2^{(i+1)l}-2^{il}} F_i(v) \quad \text{for } i \geq 1 , \\ G_1(v) &= 0 , \\ G_2(v) &= v^{2^l-1} , \\ G_{i+2}(v) &= v^{2^{(i+1)l}} G_{i+1}(v) + v^{2^{(i+1)l}-2^{il}} G_i(v) \quad \text{for } i \geq 1 . \end{aligned}$$

These are used to define the polynomial

$$R(v) = \sum_{i=1}^{l'} F_i(v) + G_{l'}(v) . \quad (6)$$

As noted in [7], the exponents occurring in  $F_j(v)$  (resp. in  $G_j(v)$ ) are precisely those of the form

$$e = \sum_{i=0}^{j-1} (-1)^{\epsilon_i} 2^{il} ,$$

where  $\epsilon_i \in \{0, 1\}$  satisfy  $\epsilon_{j-1} = 0$ ,  $\epsilon_0 = 0$  (resp.  $\epsilon_0 = 1$ ) and  $(\epsilon_i, \epsilon_{i-1}) \neq (1, 1)$ .

Further, we will essentially need the following result proven in [7, Theorem 5] that the following polynomial

$$D(v) = \frac{\sum_{i=1}^{l'} v^{2^{il}} + l' + 1}{v^{2^l+1}} \quad (7)$$

is a permutation polynomial on  $\text{GF}(2^k)^*$ . (To be formally more precise, we get a *polynomial*  $D(v)$  if  $v^{-(2^l+1)}$  is substituted by  $v^{(2^k-1)-(2^l+1)}$ .) Moreover,  $D(v)$  and  $R(v^{-1})$  are inverses of each other [7, Theorem 6], i.e., for any nonzero  $x, y \in \text{GF}(2^k)$  with  $D(x) = y^{-1}$  it always holds that  $R(y) = x$ . In (7) and in the rest of the paper, whenever a positive integer  $e$  is added to an element of  $\text{GF}(2^k)$ , it means that added is the identity element of  $\text{GF}(2^k)$  times  $e \pmod{2}$ .

Also note the fact that since  $l'l \equiv 1 \pmod{k}$  then

$$(2^l - 1)(1 + 2^l + 2^{2l} + \cdots + 2^{(l'-1)l}) = 2^{l'} - 1 \equiv 1 \pmod{2^k - 1} .$$

Therefore,  $x^{2^{l'}} = x^2$  for any  $x \in \text{GF}(2^k)$  and this identity will be used repeatedly further in the proofs.

In the following lemmas, we always assume that  $l < k$  is a positive integer with  $\gcd(l, k) = 1$ . We also take  $A_a(v)$  defined in (5) and  $R(v)$  defined in (6). Lemmas 2 and 3 here provide generalization for Lemmas 3, 4 and 6 in [6]. Theorem 1 is a generalization of Lemma 7 in [6].

**Lemma 2** *For any  $a \in \text{GF}(2^k)^*$  the element  $v_0 = R(a^{-1})$  is a zero of  $A_a(v)$  in  $\text{GF}(2^k)^*$ .*

**Proof.** Since  $D(v)$  in (7) is a permutation polynomial on  $\text{GF}(2^k)^*$ , then for any fixed  $a \in \text{GF}(2^k)^*$  the equation

$$av^{2^l+1} = \sum_{i=1}^{l'} v^{2^{il}} + l' + 1 \quad (8)$$

has exactly one solution  $v_0 = R(a^{-1})$  in  $\text{GF}(2^k)^*$ . Raising (8) to the power of  $2^l$  results in

$$a^{2^l} v^{2^{2l}+2^l} = \sum_{i=2}^{l'+1} v^{2^{il}} + l' + 1 = \sum_{i=2}^{l'} v^{2^{il}} + v^{2^{l+1}} + l' + 1 .$$

The latter identity, after being added to (8) and setting  $v = v_0$ , gives

$$av_0^{2^l+1} = a^{2^l} v_0^{2^{2l}+2^l} + v_0^{2^l} + v_0^{2^{l+1}}$$

and consecutively, since  $v_0 \neq 0$ ,  $A_a(v_0) = a^{2^l} v_0^{2^{2l}} + v_0^{2^l} + av_0 + 1 = 0$ .  $\square$

**Lemma 3** *For any  $a \in \text{GF}(2^k)^*$  let  $z$  be a zero of  $A_a(v)$  in  $\text{GF}(2^k)$ . Then*

$$\text{Tr}_k(z) = \text{Tr}_k(v_0)$$

and

$$\begin{aligned} \text{Tr}_k(az^{2^l+1}) &= l' \text{Tr}_k(v_0) + \text{Tr}_k(l' + 1) & \text{if } z = v_0 , \\ &= l' \text{Tr}_k(v_0) + \text{Tr}_k(l') & \text{if } z \neq v_0 , \end{aligned}$$

where  $v_0 = R(a^{-1})$ .

**Proof.** The first identity follows by observing that any zero of  $A_a(v)$  is obtained as a sum of the zero  $v_0$  of  $A_a(v)$  (see Lemma 2) and a zero of its homogeneous part  $a^{2^l} v^{2^{2l}} + v^{2^l} + av$ . To prove the identity it therefore suffices to show that  $\text{Tr}_k(v_1) = 0$  for any  $v_1$  with  $a^{2^l} v_1^{2^{2l}} + v_1^{2^l} + av_1 = 0$ . This follows from

$$\begin{aligned} \text{Tr}_k(v_1) &= \text{Tr}_k(v_1^{2^{l+1}}) \\ &= \text{Tr}_k(v_1^{2^l+2^l}) \\ &= \text{Tr}_k(a^{2^l} v_1^{2^{2l}+2^l} + av_1^{2^l+1}) \\ &= 0 . \end{aligned}$$

To prove the second identity for the case when  $z = v_0$  we use the fact presented in the proof of Lemma 2 that  $av_0^{2^l+1} = \sum_{i=1}^{l'} v_0^{2^{il}} + l' + 1$ . Then  $\text{Tr}_k(av_0^{2^l+1}) = l'\text{Tr}_k(v_0) + \text{Tr}_k(l' + 1)$ .

Now note that since  $A_a(v)$  is obtained by adding the  $2^l$ -th power of (8) to itself we have for  $z \neq 0$

$$A_a(z) = 0 \quad \text{if and only if} \quad az^{2^l+1} + \sum_{i=1}^{l'} z^{2^{il}} + l' + 1 \in \{0, 1\} .$$

Since  $v_0$  is the only solution of (8), then for  $z \neq v_0$  with  $A_a(z) = 0$  we have  $az^{2^l+1} + \sum_{i=1}^{l'} z^{2^{il}} + l' + 1 = 1$  and

$$\text{Tr}_k(az^{2^l+1}) = l'\text{Tr}_k(z) + \text{Tr}_k(l') = l'\text{Tr}_k(v_0) + \text{Tr}_k(l')$$

using already proved identity that  $\text{Tr}_k(z) = \text{Tr}_k(v_0)$ .  $\square$

Now we introduce a particular sequence of polynomials over  $\text{GF}(2^k)$  and prove some important properties of these that will be used further for getting the main result of this section about zeros of  $A_a(v)$ . Denote

$$e(i) = 1 + 2^l + 2^{2l} + \dots + 2^{(i-1)l} \quad \text{for} \quad i = 1, \dots, l'$$

so, in particular,  $e(l') = (2^l - 1)^{-1} \pmod{2^k - 1}$ . Now take every additive term  $v^e$  with  $e \neq 0$  in the polynomial  $1 + (1 + v)^{e(i)}$  and replace the exponent  $e$  with the cyclotomic equivalent number obtained by shifting the binary expansion of  $e$  maximally (till you get an odd number) in the direction of the least significant bits. We call this *reduction* procedure. Recall that two exponents  $e_1$  and  $e_2$  are cyclotomic equivalent if  $2^i e_1 \equiv e_2 \pmod{2^k - 1}$  for some  $i < k$ . For instance,  $v^{2^{il}}$  is reduced to  $v$  and  $v^{2^{il}+2^{jl}}$  is reduced to  $v^{1+2^{(j-i)l}}$  if  $i < j$  and so on. The obtained reduced polynomials are denoted as  $H_i(v)$  and we use square brackets to denote application of the described reduction procedure to a polynomial, so  $H_i(v) = [1 + (1 + v)^{e(i)}]$  for  $i = 1, \dots, l'$ . The first few polynomials in the sequence (after eliminating all pairs of equal terms) are

$$\begin{aligned} H_1(v) &= v \\ H_2(v) &= [v + v^{2^l} + v^{1+2^l}] = v + v + v^{1+2^l} = v^{1+2^l} \\ H_3(v) &= [v + v^{2^l} + v^{2^{2l}} + v^{1+2^l} + v^{1+2^{2l}} + v^{2^l+2^{2l}} + v^{1+2^l+2^{2l}}] \\ &= v + v + v + v^{1+2^l} + v^{1+2^{2l}} + v^{1+2^l} + v^{1+2^l+2^{2l}} = v + v^{1+2^{2l}} + v^{1+2^l+2^{2l}} . \end{aligned}$$

**Lemma 4** *If polynomials  $H_i(v)$  are defined as above then*

$$\text{Tr}_k(H_i(v)) = \text{Tr}_k(1 + (1 + v)^{e(i)})$$



for any  $v \in \text{GF}(2^k)$  and  $i = 1, \dots, l'$ . Also let  $Q(v) = (x_0^{2^{l'}+1} + x_0)v^{2^l} + x_0^2v + x_0$  for any  $x_0 \in \text{GF}(2^k)^*$ . Then

$$Q(H_{l'}(x_0^{-1})) = (1 + x_0)(1 + x_0^{-1})^{e(l')} .$$

**Proof.** The trace identity for  $H_{l'}(v)$  we get obviously from the definition. Further, for any  $i \in \{2, \dots, l'\}$

$$\begin{aligned} H_i(v) &= [1 + (1 + v)^{e(i)}] \\ &= [1 + (1 + v)^{e(i-1)}(1 + v)^{2^{(i-1)l}}] \\ &= [H_{i-1}(v) + v^{2^{(i-1)l}}(1 + v)^{e(i-1)}] \\ &\stackrel{(*)}{=} v(1 + v)^{e(i)-1} + H_{i-1}(v) , \end{aligned}$$

where (\*) follows from the following argumentation. First, note that the exponents of additive terms in  $v(1 + v)^{e(i)-1}$  are exactly all  $2^{i-1}$  distinct integers of the form  $1 + t_12^l + \dots + t_{i-1}2^{(i-1)l}$  with  $t_j \in \{0, 1\}$  for  $j = 1, \dots, i-1$  and the reduction does not apply to any of these so

$$[v(1 + v)^{e(i)-1}] = v(1 + v)^{e(i)-1} .$$

On the other hand, the number of terms in  $[v^{2^{(i-1)l}}(1 + v)^{e(i-1)}]$  is also equal to  $2^{i-1}$  since the exponents in these terms are exactly all the integers of the form  $t_0 + t_12^l + \dots + t_{i-2}2^{(i-2)l} + 2^{(i-1)l}$  with  $t_j \in \{0, 1\}$  for  $j = 0, \dots, i-2$  and none of these become equal after the reduction. Moreover, every such an exponent, after reduction, can be found in  $v(1 + v)^{e(i)-1}$  so

$$[v^{2^{(i-1)l}}(1 + v)^{e(i-1)}] = v(1 + v)^{e(i)-1} .$$

Also note that all terms of  $H_{i-1}(v)$  are also present in  $v(1 + v)^{e(i)-1}$ . Thus, the number of terms in  $H_i(v)$  that remain after eliminating all pairs of equal terms and denoted as  $\#H_i$  is equal to  $2^{i-1} - \#H_{i-1}$ . Unfolding the obtained recursive expression for  $H_i(v)$  starting from  $H_1(v) = v$  we get that

$$H_i(v) = v(1 + (1 + v)^{2^l} + (1 + v)^{2^l+2^{2l}} + \dots + (1 + v)^{e(i)-1}) .$$

Now we can evaluate

$$\begin{aligned}
Q(H_{l'}(x_0^{-1})) &= \\
&= (x_0^{2^l+1} + x_0)H_{l'}(x_0^{-1})^{2^l} + x_0^2 H_{l'}(x_0^{-1}) + x_0 \\
&= (x_0 + x_0^{-2^l+1}) \left( 1 + (1 + x_0^{-1})^{2^{2l}} + (1 + x_0^{-1})^{2^{2l}+2^{3l}} + \dots + (1 + x_0^{-1})^{2^{2l}+\dots+2^{l'l}} \right) \\
&\quad + x_0 \left( 1 + (1 + x_0^{-1})^{2^l} + (1 + x_0^{-1})^{2^l+2^{2l}} + \dots + (1 + x_0^{-1})^{e(l')-1} \right) + x_0 \\
&= \left( (x_0 + x_0^{-2^l+1}) + x_0(1 + x_0^{-1})^{2^l} \right) \left( 1 + (1 + x_0^{-1})^{2^{2l}} + \dots + (1 + x_0^{-1})^{2^{2l}+\dots+2^{(l'-1)l}} \right) \\
&\quad + (x_0 + x_0^{-2^l+1})(1 + x_0^{-1})^{2^{2l}+\dots+2^{l'l}} + x_0 + x_0 \\
&= x_0(1 + x_0^{-1})^{2^l+2^{2l}+\dots+2^{l'l}} \\
&= x_0(1 + x_0^{-1})^{2+2^l+2^{2l}+\dots+2^{(l'-1)l}} \\
&= (1 + x_0)(1 + x_0^{-1})^{e(l')}
\end{aligned}$$

as claimed.  $\square$

**Lemma 5** For any  $a \in \text{GF}(2^k)^*$  let  $x_0 \in \text{GF}(2^k)$  satisfy  $x_0^{2^l+1} + x_0 = a$ . Then

$$\text{Tr}_k(1 + (1 + x_0^{-1})^{e(l')}) = \text{Tr}_k(R(a^{-1})) .$$

**Proof.** Denote  $\Gamma = x_0^{2^l-1} + x_0^{-1}$  (obviously  $\Gamma \neq 0$  since  $x_0 \neq 1$ ),  $\Delta = \Gamma^{-e(l')}$  and further, using Lemma 4, evaluate

$$Q(H_{l'}(x_0^{-1}))x_0^{e(l')} = (1 + x_0)(1 + x_0)^{e(l')} = (1 + x_0^{2^l})^{e(l')}$$

and thus,  $Q(H_{l'}(x_0^{-1}))^{2^l-1} = \Gamma$  or, equivalently,

$$Q(H_{l'}(x_0^{-1})) = \Delta^{-1} . \quad (9)$$

In what follows, we use the technique suggested by Dobbertin for proving [7, Theorem 1]. Note that

$$\begin{aligned}
A_a(v) &= a^{2^l} v^{2^{2l}} + x_0^{2^{l+1}} v^{2^l} + x_0^{2^l} + (x_0^{2^l-1} + x_0^{-1}) \left( (x_0^{2^l+1} + x_0) v^{2^l} + x_0^2 v + x_0 \right) \\
&= Q(v)^{2^l} + \Gamma Q(v) = Q(v)(Q(v)^{2^l-1} + \Delta^{-(2^l-1)})
\end{aligned}$$

for  $x_0^{2^l+1} + x_0 = a$  and therefore, by (9),  $A_a(H_{l'}(x_0^{-1})) = 0$ . Consider the equation

$$Q(v) + \Delta^{-1} = 0 \quad (10)$$

whose roots are also the zeros of  $A_a(v)$ . We will show that (10) has exactly two roots with  $H_{l'}(x_0^{-1})$  and  $R(a^{-1})$  being among them (however, we do not claim

that  $R(a^{-1}) \neq H_{\nu}(x_0^{-1})$ . Multiplying (10) by  $\mu = (x_0^2 \Delta)^{-1}$  and using that  $(x_0^{2^l+1} + x_0) \Delta^{2^l-1} = x_0^2$  gives

$$\mu((x_0^{2^l+1} + x_0)v^{2^l} + x_0^2 v + x_0 + \Delta^{-1}) = (v/\Delta)^{2^l} + v/\Delta + x_0 \mu + x_0^2 \mu^2 = 0 ,$$

which has exactly two solutions  $z_0 = H_{\nu}(x_0^{-1})$  (see (9)) and  $z_1 = H_{\nu}(x_0^{-1}) + \Delta$  since its linearized homogeneous part  $(v/\Delta)^{2^l} + v/\Delta$  has exactly two roots  $v = 0$  and  $v = \Delta$ . Thus,  $z_0 + z_1 = \Delta = \left(\frac{x_0}{1+x_0^{2^l}}\right)^{e(l')}$ . Using  $(x_0^{2^l} + 1)\Delta^{2^l-1} = x_0$  it is easy to see that  $\Delta^{2^l} = x_0 \Delta + (x_0 \Delta)^{2^l}$  and we have  $\text{Tr}_k(\Delta) = 0$ .

Now we show that none of the possible roots of  $Q(v) = 0$  is a solution of (8). In fact, suppose that  $Q(z) = 0$ . Then, since  $x_0 \neq 0$ , we have  $z^{2^l} = (x_0 z)^{2^l} + x_0 z + 1$  and  $az^{2^l} = x_0^2 z + x_0$  (since  $a = x_0^{2^l+1} + x_0$ ). We put such a  $z$  into (8) and compute

$$\begin{aligned} az^{2^l+1} + \sum_{i=1}^{l'} z^{2^{il}} + l' + 1 \\ = (x_0^2 z + x_0)z + \sum_{i=0}^{l'-1} (x_0 z)^{2^{il}} + \sum_{i=1}^{l'} (x_0 z)^{2^{il}} + l' + l' + 1 \\ = 1 . \end{aligned}$$

Therefore, recalling the proved identity  $A_a(v) = Q(v)(Q(v)^{2^l-1} + \Delta^{-(2^l-1)})$  and keeping in mind that  $\gcd(2^l - 1, 2^k - 1) = 1$  we see that  $v_0 = R(a^{-1})$  which is the unique solution of (8) and, by Lemma 2, also the root of  $A_a(v) = 0$ , satisfies  $Q(v_0) = \Delta^{-1}$ . Recall that (10) has exactly two solutions  $z_0 = H_{\nu}(x_0^{-1})$  and  $z_1 = H_{\nu}(x_0^{-1}) + \Delta$ . Thus,  $R(a^{-1}) + H_{\nu}(x_0^{-1}) = \Delta$  or  $R(a^{-1}) = H_{\nu}(x_0^{-1})$  (although we do not need in our proof that  $R(a^{-1}) \neq H_{\nu}(x_0^{-1})$ , we believe that this holds) and, by Lemma 4,

$$\text{Tr}_k(R(a^{-1})) = \text{Tr}_k(H_{\nu}(x_0^{-1})) = \text{Tr}_k(1 + (1 + x_0^{-1})^{e(l')})$$

as claimed.  $\square$

**Theorem 1** *For any  $a \in \text{GF}(2^k)^*$  and a positive integer  $l < k$  with  $\gcd(l, k) = 1$ , let  $A_a(v)$  be defined as in (5). Also let*

$$M_i = \{a \mid A_a(v) \text{ has exactly } i \text{ zeros in } \text{GF}(2^k)\} . \quad (11)$$

*Then  $A_a(v)$  has either one, two or four zeros in  $\text{GF}(2^k)$ . For  $i \in \{1, 2, 4\}$ , we have  $a \in M_i$  if and only if  $p_a(x) = x^{2^l+1} + x + a$  has exactly  $i - 1$  zeros in  $\text{GF}(2^k)$ . The following distribution holds for  $k$  odd (resp.  $k$  even)*

$$\begin{aligned} |M_1| &= \frac{2^k+1}{3} & (\text{resp. } \frac{2^k-1}{3}) , \\ |M_2| &= 2^{k-1} - 1 & (\text{resp. } 2^{k-1}) , \\ |M_4| &= \frac{2^{k-1}-1}{3} & (\text{resp. } \frac{2^{k-1}-2}{3}) . \end{aligned}$$

Furthermore,  $a \in M_2$  if and only if  $\text{Tr}_k(R(a^{-1}) + 1) = 1$ , where  $R(v)$  is defined in (6).

**Proof.** In Lemma 2 it was shown that  $v_0 = R(a^{-1})$  is a zero of  $A_a(v)$  in  $\text{GF}(2^k)^*$ . Let  $N_a$  be the number of zeros of  $A_a(v)$  in  $\text{GF}(2^k)$ . Since  $A_a(v)$  has a zero in  $\text{GF}(2^k)$ ,  $N_a$  is equal to the number of zeros of its homogeneous part  $a^{2^l}v^{2^{2l}} + v^{2^l} + av$  in  $\text{GF}(2^k)$ . Dividing the latter polynomial by  $a^{-1}v$ , then raising it to power  $2^{k-1}$  and replacing  $(av^{2^l-1})^{2^{k-1}}$  by  $x$  leads to

$$p_a(x) = x^{2^l+1} + x + a ,$$

which, since  $\gcd(2^l - 1, 2^k - 1) = 1$ , has  $N_a - 1$  zeros in  $\text{GF}(2^k)$ . It is therefore sufficient to study the number of zeros of this polynomial in  $\text{GF}(2^k)$ .

From now on assume that  $N_a \geq 2$ . Then  $p_a(x)$  has a zero  $x_0 \in \text{GF}(2^k)$ . Now we replace  $x$  in  $p_a(x)$  with  $x + x_0$  to get

$$(x + x_0)^{2^l+1} + (x + x_0) + a = 0$$

or

$$x^{2^l+1} + x_0x^{2^l} + x_0^{2^l}x + x_0^{2^l+1} + x + x_0 + a = 0$$

which implies

$$x^{2^l+1} + x_0x^{2^l} + (x_0^{2^l} + 1)x = 0 .$$

Since  $x = 0$  corresponds to  $x_0$  being the zero of  $p_a(x)$ , we can divide the latter equation by  $x$  and after substituting  $y = x^{-1}$  we note that if  $p_a(x)$  has a zero then the reciprocal equation, given by

$$(x_0^{2^l} + 1)y^{2^l} + x_0y + 1 = 0 \tag{12}$$

has  $N_a - 2$  zeros. This affine equation has either zero roots in  $\text{GF}(2^k)$  or the same number of roots as its homogeneous part  $(x_0^{2^l} + 1)y^{2^l} + x_0y$  which is seen to have exactly two solutions, the zero solution and a unique nonzero solution, since  $\gcd(2^l - 1, 2^k - 1) = 1$ . Therefore, it can be concluded that  $p_a(x) = 0$  can have either zero, one or three solutions or, equivalently,  $A_a(v)$  has either one, two or four zeros in  $\text{GF}(2^k)$ .

Now we need to find the conditions when there exists a solution of (12). Let  $y = tw$ , where  $t^{2^l-1} = c$  and  $c = \frac{x_0}{x_0^{2^l}+1}$ . Since  $\gcd(2^l - 1, 2^k - 1) = 1$ , there is a one-to-one correspondence between  $t$  and  $c$ . Then (12) is equivalent to

$$w^{2^l} + w + \frac{1}{ct(x_0^{2^l} + 1)} = 0 .$$

Hence, (12) has no solutions if and only if

$$\text{Tr}_k \left( \frac{1}{ct(x_0^{2^l} + 1)} \right) = 1 .$$

This easily follows from the fact that the linear operator  $L(\omega) = \omega^{2^l} + \omega$  on  $\text{GF}(2^k)$  has the kernel of dimension one and, thus, the number of elements in the image of  $L$  is  $2^{k-1}$ . Since all the elements  $\omega^{2^l} + \omega$  have the trace zero and the total number of such elements in  $\text{GF}(2^k)$  is  $2^{k-1}$ , we conclude that the image of  $L$  contains all the elements in  $\text{GF}(2^k)$  having trace zero.

Since  $c = t^{2^l-1}$  then  $t = c^{e(l')}$ . Thus, from the definition of  $c$  and  $t$  we get

$$\begin{aligned} \text{Tr}_k \left( \frac{1}{ct(x_0^{2^l} + 1)} \right) &= \text{Tr}_k \left( \left( \frac{x_0^{2^l} + 1}{x_0} \right)^{1+e(l')} \left( \frac{1}{x_0^{2^l} + 1} \right) \right) \\ &= \text{Tr}_k \left( \frac{(x_0^{2^l} + 1)^{e(l')}}{x_0^{1+e(l')}} \right) = \text{Tr}_k \left( \frac{(x_0 + 1)^{2^l e(l')}}{x_0^{2^l e(l')}} \right) = \text{Tr}_k \left( (1 + x_0^{-1})^{e(l')} \right) . \end{aligned}$$

We conclude that  $p_a(x)$  has exactly one zero (which is  $x_0$ ) if and only if

$$\text{Tr}_k \left( (1 + x_0^{-1})^{e(l')} \right) = 1 . \quad (13)$$

It means that  $A_a(v)$  has exactly two zeros in  $\text{GF}(2^k)$  (i.e.,  $N_a = 2$ ) only for such  $a$  that  $a = x_0^{2^l+1} + x_0$  with (13) holding. Combining this with the result of Lemma 5, we conclude that  $A_a(v)$  has exactly two zeros in  $\text{GF}(2^k)$  if and only if

$$\text{Tr}_k(R(a^{-1}) + 1) = 1 .$$

In the case of one or four zeros,  $\text{Tr}_k(R(a^{-1}) + 1) = 0$ .

Now note that since  $e(l') = 1 + 2^l + 2^{2l} + \dots + 2^{(l'-1)l}$  is invertible modulo  $2^k - 1$  with the multiplicative inverse equal to  $2^l - 1$  then  $\gcd(e(l'), 2^k - 1) = 1$  and thus,  $(1 + v^{-1})^{e(l')}$  is a one-to-one mapping of  $\text{GF}(2^k)^*$  onto  $\text{GF}(2^k) \setminus \{1\}$ . Therefore, if  $k$  is odd (resp.  $k$  is even) then the number of  $x_0 \in \text{GF}(2^k)^*$  satisfying (13) is equal to  $2^{k-1} - 1$  (resp.  $2^{k-1}$ ) and obviously  $x_0 \neq 1$ . On the other hand, if  $N_a = 2$  then  $x^{2^l+1} + x = a$  has a unique solution  $x_0$  and so the number of nonzero values  $a \in \text{GF}(2^k)^*$  with  $N_a = 2$  for  $k$  odd (resp.  $k$  even) is  $|M_2| = 2^{k-1} - 1$  (resp.  $2^{k-1}$ ). Now note that if  $a = 0$  then  $p_a(x) = x^{2^l+1} + x + a$  has exactly two zeros  $x = \{0, 1\}$ . Thus, considering the mapping  $x \mapsto x^{2^l+1} + x$  for  $x$  running through  $\text{GF}(2^k) \setminus \{0, 1\}$  it is easy to see that  $|M_2| + 3|M_4| = 2^k - 2$  and, knowing  $|M_2|$ , we can find  $|M_4|$ . Finally, the last remaining unknown  $|M_1|$  can be evaluated from the obvious equation  $|M_1| + |M_2| + |M_4| = |\text{GF}(2^k)^*| = 2^k - 1$ .  $\square$

Note the paper [9] by Bluher where  $x^{p^l+1} + ax + b$  and the related polynomials similar to the linearized part of  $A_a(v)$  over an arbitrary field of characteristic  $p$  are studied. In particular, the possible number of zeros and corresponding values of  $|M_i|$ , in the notations of our Theorem 1, were found (see [9, Theorems 5.6, 6.4]). This was also done earlier for odd  $k$  in [10, Lemma 9].

## 4 The Linearized Polynomial $L_a(z)$

The distribution of the three-valued crosscorrelation function to be determined in Section 5 depends on the detailed distribution of the number of zeros in  $\text{GF}(2^m)$  of the linearized polynomial

$$L_a(z) = z^{2^{k+l}} + r^{2^l} a^{2^l} z^{2^{2l}} + raz \ , \quad (14)$$

where  $a \in \text{GF}(2^k)$ ,  $r \in \text{GF}(2^m)$  and  $m = 2k$ . Some additional conditions on the parameters will be imposed later. For the details on linearized polynomials in general, the reader is referred to Lidl and Niederreiter [11]. In the following lemmas, we always take  $L_a(z)$  defined in (14).

**Lemma 6** *Let  $l$  and  $k$  be integers with  $\gcd(l, k) = 1$ ,  $a \in \text{GF}(2^k)$  and  $r \in \text{GF}(2^m)$ . If  $L_a(z) = 0$  for some  $z \in \text{GF}(2^m)$  then*

$$a \text{Tr}_k^m(rz^{2^l+1}) \in \{0, 1\} \ ,$$

where  $\text{Tr}_k^m(x) = x + x^{2^k}$  is a trace mapping from  $\text{GF}(2^m)$  to  $\text{GF}(2^k)$ .

**Proof.** For any  $z \in \text{GF}(2^m)$  with  $L_a(z) = 0$  we have

$$z^{2^l} L_a(z) = raz^{2^{2l}+1} + (raz^{2^{2l}+1})^{2^l} + z^{2^l(2^k+1)} = 0$$

and  $z^{2^l(2^k+1)} \in \text{GF}(2^k)$ . Thus,  $\text{Tr}_k^m(raz^{2^{2l}+1}) + (\text{Tr}_k^m(raz^{2^{2l}+1}))^{2^l} = 0$  meaning that  $a \text{Tr}_k^m(rz^{2^l+1}) \in \text{GF}(2^l) \cap \text{GF}(2^k) = \{0, 1\}$ .  $\square$

**Lemma 7** *Let  $l$  and  $k$  be odd with  $\gcd(l, k) = 1$ ,  $a \in \text{GF}(2^k)$  and  $r$  be a noncube in  $\text{GF}(2^m)$  such that  $r^{2^k+1} = 1$ . Then the following holds.*

- (i) *The number of zeros of  $L_a(z)$  in  $\text{GF}(2^m)$  is 1 or 4.*
- (ii) *If, additionally,  $a \neq 0$  and  $\text{Tr}_k(v_0) = 0$  (where  $v_0 = R(a^{-1})$  and  $R(v)$  is defined in (6)) then  $L_a(z)$  has  $z = 0$  as its only zero in  $\text{GF}(2^m)$ .*

**Proof.** First of all, let  $\bar{z} = z^{2^k}$  for any  $z \in \text{GF}(2^m)$  and also let  $U = rz^{2^l+1}$ . If  $z \neq 0$  and  $L_a(z) = 0$  then, since  $l$  is odd and  $r$  is a noncube in  $\text{GF}(2^m)$  with  $r^{2^k+1} = 1$  we have that  $U \neq \bar{U}$  and thus, by Lemma 6, and denoting  $V = aU$

$$a \text{Tr}_k^m(U) = V + V^{2^k} = 1 \ . \quad (15)$$

(i) If  $a = 0$  then  $L_a(z)$  has a unique zero root so we further assume that  $a \neq 0$ . The polynomial  $L_a(z)$  is a linearized polynomial and its zeros form a vector subspace over  $\text{GF}(2)$  (and even over  $\text{GF}(2^2)$  since  $k + l$  is even). We will

study the number of solutions of  $L_a(z) = 0$  in  $\text{GF}(2^m)$ . Note that  $L_a(z) = 0$  is equivalent to

$$\bar{z}^{2^l} = r^{2^l} a^{2^l} z^{2^{2l}} + raz \ .$$

Further, we obtain

$$\begin{aligned} \bar{U}^{2^l} &= r^{-2^l} \bar{z}^{2^l(2^l+1)} \\ &= r^{-2^l} (r^{2^l} a^{2^l} z^{2^{2l}} + raz)^{2^l+1} \\ &= r^{-2^l} (r^{2^l(2^l+1)} a^{2^l(2^l+1)} z^{2^{2l}(2^l+1)} + r^{2^{2l}+1} a^{2^{2l}+1} z^{2^{3l}+1}) \\ &\quad + r^{-2^l} (r^{2^{l+1}} a^{2^{l+1}} z^{2^{2l}+2^l} + r^{2^l+1} a^{2^l+1} z^{2^l+1}) \\ &= r^{2^{2l}} a^{2^l(2^l+1)} z^{2^{2l}(2^l+1)} + r^{2^{2l}-2^l+1} a^{2^{2l}+1} z^{2^{3l}+1} + r^{2^l} a^{2^{l+1}} z^{2^{2l}+2^l} + r a^{2^{l+1}} z^{2^l+1} \\ &= a^{2^l(2^l+1)} U^{2^{2l}} + a^{2^{2l}+1} U^{2^{2l}-2^l+1} + a^{2^{l+1}} U^{2^l} + a^{2^l+1} U \ . \end{aligned}$$

From now on assume  $z \neq 0$ . Since  $a^{-2^l} = U^{2^l} + \bar{U}^{2^l}$  we have

$$\begin{aligned} 1 &= a^{2^l} U^{2^l} + a^{2^l} \bar{U}^{2^l} \\ &= a^{2^l} U^{2^l} + a^{2^{2l}+2^{l+1}} U^{2^{2l}} + a^{2^{2l}+2^l+1} U^{2^{2l}-2^l+1} + a^{2^{l+1}+2^l} U^{2^l} + a^{2^{l+1}+1} U \end{aligned}$$

which leads to

$$a^{2^{2l}+2^{l+1}} U^{2^{2l}} + a^{2^{2l}+2^l+1} U^{2^{2l}-2^l+1} + (a^{2^l} + a^{2^{l+1}+2^l}) U^{2^l} + a^{2^{l+1}+1} U + 1 = 0 \ .$$

Substituting  $V = aU$  and multiplying by  $b = a^{-2^{l+1}}$ , simplifies the equation to

$$V^{2^{2l}} + V^{2^{2l}-2^l+1} + (1+b)V^{2^l} + V + b = 0$$

which after multiplying by  $V^{2^l}$  gives

$$(V^{2^l} + V)^{2^l+1} + bV^{2^l}(V^{2^l} + 1) = 0 \ . \quad (16)$$

Since

$$\frac{(V^{2^l} + V)^{2^l+1}}{V^{2^l}(V^{2^l} + 1)} = (V + 1)^{2^{2l}-2^l+1} + V^{2^{2l}-2^l+1} + 1$$

we obtain

$$(V + 1)^{2^{2l}-2^l+1} + V^{2^{2l}-2^l+1} + 1 = b \ .$$

As proved in [7, Corollary 2], the monomial function  $f(x) = x^{2^{2l}-2^l+1}$  is almost perfect nonlinear (APN) when  $\gcd(l, m) = 1$ , which is the case here since  $l$  is odd and  $\gcd(l, k) = 1$ . This means that the number of solutions  $V \in \text{GF}(2^m)$  of the latter equation is at most 2 for any  $b$  in  $\text{GF}(2^m)$ . Since  $V = raz^{2^l+1}$  and  $\gcd(2^l + 1, 2^m - 1) = 3$  it follows that the number of zeros in  $\text{GF}(2^m)^*$  of the linearized polynomial  $L_a(z)$  is at most 6, which implies that the number of zeros in  $\text{GF}(2^m)$  is 1 or 4 since the zeros of  $L_a(z)$  form a vector subspace over  $\text{GF}(2^2)$ .

(ii) Let  $x = V^{2^l} + V$  and assume  $z \neq 0$ . After rewriting  $z^{2^l} L_a(z) = z^{2^l(2^k+1)} + x = 0$  observe that this implies that  $x \in \text{GF}(2^k)$ .

Using (16) we have

$$b^{-1}x^{2^l+1} = \sum_{i=1}^{\tilde{l}} x^{2^{il}} ,$$

where  $\tilde{l}l = 1 \pmod{m}$ . Such an  $\tilde{l}$  exists since  $l$  is odd,  $\gcd(l, k) = 1$  and therefore,  $\gcd(l, m) = 1$ . Raising the latter identity to the power  $2^l$  and adding to itself implies

$$c^{2^l} x^{2^{2l}+2^l} + cx^{2^l+1} = x^{2^{(\tilde{l}+1)l}} + x^{2^l} ,$$

where  $c = b^{-1} = a^{2^{l+1}}$ . Dividing by  $x^{2^l}$  ( $x \neq 0$  since otherwise the only zero of  $L_a(z)$  is  $z = 0$ ) implies

$$c^{2^l} x^{2^{2l}} + x^{2^l} + cx + 1 = 0 .$$

By Theorem 1, the latter equation has exactly two roots in  $\text{GF}(2^k)$  if and only if  $\text{Tr}_k(R(c^{-1})) = \text{Tr}_k(R(a^{-2^{l+1}})) = \text{Tr}_k(v_0) = 0$  and  $R(a^{-2^{l+1}}) = v_0^{2^{l+1}}$  is one of its roots. From Lemma 3 it also follows that all the roots of this equation have the same trace as  $v_0$ . Therefore, in the case when  $\text{Tr}_k(v_0) = 0$  both roots have trace zero. However, since  $x = V^{2^l} + V \in \text{GF}(2^k)$  and  $V \notin \text{GF}(2^k)$  (recall that  $V = aU$  and  $U \neq \bar{U}$ ) we have

$$\begin{aligned} \text{Tr}_k(x) &= \sum_{i=0}^{k-1} x^{2^i} = \sum_{i=0}^{k-1} x^{2^{li}} = \sum_{i=0}^{k-1} (V^{2^{l(i+1)}} + V^{2^{li}}) \\ &= V^{2^{kl}} + V \stackrel{(*)}{=} 1 \neq \text{Tr}_k(v_0) , \end{aligned}$$

where  $(*)$  holds since  $V^{2^{lk}} = V^{2^k}$  for odd  $l$  and  $V^{2^k} + V \neq 0$  if  $V \notin \text{GF}(2^k)$ . Therefore, if  $\text{Tr}_k(v_0) = 0$  then there is no solutions  $x \in \text{GF}(2^k)$  having the form  $x = V^{2^l} + V$ . We have therefore shown that in the case  $\text{Tr}_k(v_0) = 0$  there are no nonzero solutions of  $L_a(z) = 0$  in  $\text{GF}(2^m)$ .  $\square$

## 5 Three-Valued Crosscorrelation

In this section, we prove our main result formulated in Corollary 1. We start by considering the following exponential sum denoted  $S_0(a)$  that to some extent is determined by the following lemma that repeats Lemma 10 in [6]. It is assumed everywhere that  $m = 2k$ .



**Lemma 8** ([6]) *For an odd  $k$ , integer  $l < k$  and  $a \in \text{GF}(2^k)$  let  $S_0(a)$  be defined by*

$$S_0(a) = \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(ay^{2^l+1}) + \text{Tr}_k(y^{2^k+1})}.$$

*Then*

$$S_0(a) = 2^k \sum_{v \in \text{GF}(2^k), A_a(v)=0} (-1)^{\text{Tr}_k(a(l+1)v^{2^l+1}+v)},$$

*where  $A_a(v)$  is defined in (5).*

We can now determine  $S_0(a)$  completely in the following corollary.

**Corollary 2** *Under the conditions of Lemma 8 and, additionally, assuming  $a \neq 0$  and  $\gcd(l, k) = 1$  let  $M_i$  be defined as in (11). Then the distribution of  $S_0(a)$  for  $l$  even is as follows:*

$$\begin{array}{ll} -2^{k+1} & \text{if } a \in M_4, \\ 0 & \text{if } a \in M_2, \\ 2^k & \text{if } a \in M_1 \end{array}$$

*and for  $l$  odd*

$$\begin{array}{ll} -2^{k+2} & \text{if } a \in M_4, \\ 2^{k+1} & \text{if } a \in M_2, \\ -2^k & \text{if } a \in M_1. \end{array}$$

**Proof.** Let  $l' = l^{-1} \pmod{k}$ . The distribution follows directly from Lemmas 3 and 8 since these imply that for  $l$  even

$$S_0(a) = 2^k (-1)^{(l'+1)\text{Tr}_k(v_0)+l'} (N_a - 2)$$

and for  $l$  odd

$$S_0(a) = 2^k (-1)^{\text{Tr}_k(v_0)} N_a,$$

where  $N_a$  is the number of zeros of  $A_a(v)$  in  $\text{GF}(2^k)$  and  $v_0 = R(a^{-1})$ . Finally, using Theorem 1, we get the claimed result.  $\square$

**Lemma 9** *Let  $k$  be odd and  $r$  be a noncube in  $\text{GF}(2^m)$  such that  $r^{2^k+1} = 1$ . Let also  $a \in \text{GF}(2^k)$  and*

$$\begin{aligned} S_1(a) &= \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(ray^{2^l+1}) + \text{Tr}_k(y^{2^k+1})}, \\ S_2(a) &= \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(r^{-1}ay^{2^l+1}) + \text{Tr}_k(y^{2^k+1})}. \end{aligned}$$

*Then*

(i)  $S_1(a) = S_2(a)$ .

(ii) Furthermore, if, additionally,  $l$  is odd with  $\gcd(l, k) = 1$  then for  $i = 1, 2$  holds

$$S_i(a)^2 = 2^m T_a ,$$

where  $T_a$  is the number of zeros in  $\text{GF}(2^m)$  of  $L_a(z)$  defined in (14).

**Proof.** (i) Using definitions, straightforward calculations lead to

$$\begin{aligned} S_1(a) &= \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(ray^{2^l+1}) + \text{Tr}_k(y^{2^k+1})} \\ &= \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(r^{2^k} a^{2^k} y^{(2^l+1)2^k}) + \text{Tr}_k(y^{(2^k+1)2^k})} \\ &= \sum_{z \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(r^{-1}az^{2^l+1}) + \text{Tr}_k(z^{2^k+1})} \\ &= S_2(a) . \end{aligned}$$

(ii) First, it can be noticed that here we are with the hypothesis of Lemma 7 Item (i). Using substitution  $z = x + y$  we obtain

$$\begin{aligned} S_1(a)^2 &= \sum_{x, y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(ra(x^{2^l+1} + y^{2^l+1})) + \text{Tr}_k(x^{2^k+1} + y^{2^k+1})} \\ &= \sum_{y, z \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(ra((z+y)^{2^l+1} + y^{2^l+1})) + \text{Tr}_k((z+y)^{2^k+1} + y^{2^k+1})} \\ &= \sum_{y, z \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(ra(z^{2^l}y + zy^{2^l} + z^{2^l+1}) + yz^{2^k}) + \text{Tr}_k(z^{2^k+1})} \\ &= \sum_{z \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(raz^{2^l+1}) + \text{Tr}_k(z^{2^k+1})} \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(y^{2^l}(z^{2^k+l} + r^{2^l}a^{2^l}z^{2^{2l}} + raz))} \\ &= 2^m \sum_{z \in \text{GF}(2^m), L_a(z)=0} (-1)^{\text{Tr}_m(raz^{2^l+1}) + \text{Tr}_k(z^{2^k+1})} , \end{aligned}$$

where  $L_a(z) = z^{2^{k+l}} + r^{2^l}a^{2^l}z^{2^{2l}} + raz$ .

It remains to show that  $f(z) = \text{Tr}_m(raz^{2^l+1}) + \text{Tr}_k(z^{2^k+1}) = 0$  for any root  $z$  of  $L_a$ . If  $z = 0$  then this fact is obvious. If  $z \neq 0$  then, by (15) from Lemma 7,  $\text{Tr}_k^m(V) = V + V^{2^k} = 1$ , where  $V = raz^{2^l+1}$  implying that  $\text{Tr}_m(V) = 1$ . Moreover, multiplying  $L_a(z) = 0$  by  $z^{2^l}$  we obtain  $V + V^{2^l} + z^{2^l(2^k+1)} = 0$ . Thus,

$$f(z) = 1 + \text{Tr}_k(z^{2^k+1}) = 1 + \text{Tr}_k(V + V^{2^l}) .$$

But

$$\begin{aligned}
& \text{Tr}_k(V + V^{2^l}) = \\
& = (V + \dots + V^{2^l} + \dots + V^{2^{k-1}}) + (V^{2^l} + \dots + V^{2^{k-1}} + \dots + V^{2^{l+k-1}}) \\
& = (V + V^{2^k}) + (V + V^{2^k})^2 + \dots + (V + V^{2^k})^{2^{l-1}} = l \pmod{2} = 1
\end{aligned}$$

and thus,  $f(z) = 0$ .

In particular, since  $S_1(a) = \sum_{y \in \text{GF}(2^m)} (-1)^{f(y)} \neq 0$  the Boolean function  $f(z)$  can not be balanced. Quadratic functions including those similar to  $f(z)$  are studied in [12].  $\square$

We are now in position to completely determine the distribution of  $S(a)$  defined in (4) for  $a \in \text{GF}(2^k)^*$ . Since this is equivalent to the distribution of  $C_d(\tau) + 1$  for  $\tau = 0, 1, \dots, 2^k - 2$ , our main result in Corollary 1 is a consequence of the theorem below. Note that for any  $d$  with the prescribed property we have  $\gcd(d, 2^k - 1) = 1$ .

**Theorem 2** *Let  $m = 2k$  and  $d(2^l + 1) \equiv 2^i \pmod{2^k - 1}$  for some odd  $k$  and integer  $l$  with  $0 < l < k$ ,  $\gcd(l, k) = 1$  and  $i \geq 0$ . Then the exponential sum  $S(a)$  defined in (4) for  $a \in \text{GF}(2^k)^*$  (and  $C_d(\tau) + 1$  for  $\tau = 0, 1, \dots, 2^k - 2$ ) have the following distribution*

$$\begin{array}{llll}
-2^{k+1} & \text{occurs} & \frac{2^{k-1}-1}{3} & \text{times} , \\
0 & \text{occurs} & 2^{k-1} - 1 & \text{times} , \\
2^k & \text{occurs} & \frac{2^{k+1}}{3} & \text{times} .
\end{array}$$

**Proof:** To determine the distribution of the crosscorrelation function  $C_d(\tau) + 1$  we need to compute the distribution of  $S(a)$  as in (4) for  $a \in \text{GF}(2^k)^*$ . We divide the proof into two cases depending on the parity of  $l$ .

**Case 1: ( $l$  even)**

In this case,  $\gcd(2^l + 1, 2^m - 1) = 1$ . Therefore, substituting  $x = y^{2^l+1}$  in the expression for  $S(a)$  and since  $d(2^l + 1)(2^k + 1) \equiv 2^i(2^k + 1) \pmod{2^m - 1}$ , we are lead to

$$S(a) = \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(ay^{2^l+1}) + \text{Tr}_k(y^{2^k+1})} = S_0(a) ,$$

where  $S_0(a)$  is defined in Lemma 8. The distribution of  $S(a)$  for even values of  $l$  follows, therefore, from the distribution of  $S_0(a)$  given in Corollary 2.

**Case 2: ( $l$  odd)**

To calculate  $S(a)$ , we first observe that  $\gcd(2^l + 1, 2^m - 1) = 3$ . Therefore, if we let  $x = y^{2^l+1}$ , then  $x$  runs through all cubes in  $\text{GF}(2^m)$  three times when  $y$  runs through  $\text{GF}(2^m)$ . Thereafter, let  $x = ry^{2^l+1}$ , where  $r$  is a noncube in  $\text{GF}(2^m)$  and finally  $x = r^{-1}y^{2^l+1}$ . When  $y$  runs through  $\text{GF}(2^m)$  then  $x$  will run through

$\text{GF}(2^m)$  three times. We select  $r$  as a noncube in  $\text{GF}(2^m)$  such that  $r^{2^k+1} = 1$ . Further, since  $d(2^l+1)(2^k+1) \equiv 2^i(2^k+1) \pmod{2^m-1}$ , we obtain

$$\begin{aligned} 3S(a) &= \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(ay^{2^l+1}) + \text{Tr}_k(y^{2^k+1})} \\ &\quad + \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(ray^{2^l+1}) + \text{Tr}_k(y^{2^k+1})} \\ &\quad + \sum_{y \in \text{GF}(2^m)} (-1)^{\text{Tr}_m(r^{-1}ay^{2^l+1}) + \text{Tr}_k(y^{2^k+1})} \\ &= \sum_{i=0}^2 S_i(a) , \end{aligned}$$

where  $S_i(a)$  are defined as in Lemmas 8 and 9.

By Lemma 9 we also have that  $S_1(a) = S_2(a)$  and

$$S_1(a)^2 = 2^m T_a ,$$

where  $T_a$  is the number of zeros in  $\text{GF}(2^m)$  of  $L_a(z) = z^{2^{k+l}} + r^{2^l} a^{2^l} z^{2^{2l}} + raz$ . From Lemma 7 Item (i) it follows that  $T_a = 1$  or  $T_a = 4$  and, therefore, by Lemma 9, we have  $S_1(a) = S_2(a) = \pm 2^k$  or  $S_1(a) = S_2(a) = \pm 2^{k+1}$ .

**Case a:** In the case when  $\text{Tr}_k(v_0) = 0$ , where  $v_0 = R(a^{-1})$  and  $R(v)$  is defined in (6), which by Theorem 1, occurs for  $2^{k-1} - 1$  distinct values of  $a \in M_2$ , it follows from Lemma 7 Item (ii) that  $T_a = 1$ . Therefore, by Lemma 9 we have  $S_1^2(a) = 2^m$ , i.e.,  $S_1(a) = S_2(a) = \pm 2^k$ . Since  $a \in M_2$  and by Corollary 2,  $S_0(a) = 2^{k+1}$ . Furthermore, since  $S(a) = (S_0(a) + S_1(a) + S_2(a))/3$  is an integer, it follows that only  $S_1(a) = S_2(a) = -2^k$  is possible and, therefore,  $S(a) = 0$ .

**Case b:** In the case when  $\text{Tr}_k(v_0) = 1$  and  $A_a(v) = a^{2^l} v^{2^{2l}} + v^{2^l} + av + 1$  has four zeros in  $\text{GF}(2^k)$ , which by Theorem 1, occurs for  $(2^{k-1} - 1)/3$  distinct values of  $a \in M_4$ , by Corollary 2 we have  $S_0(a) = -2^{k+2}$ . Since  $S_1(a) = S_2(a) = \pm 2^k$  or  $S_1(a) = S_2(a) = \pm 2^{k+1}$  and  $S(a)$  is an integer, only two of the four sign combinations are possible, leading in this case to  $S(a) = 0$  or  $S(a) = -2^{k+1}$ .

**Case c:** In the case when  $\text{Tr}_k(v_0) = 1$  and  $A_a(v)$  has one zero in  $\text{GF}(2^k)$ , which by Theorem 1, occurs for  $(2^k + 1)/3$  distinct values of  $a \in M_1$ , Corollary 2 gives  $S_0(a) = -2^k$ . Since  $S_1(a) = S_2(a) = \pm 2^k$  or  $S_1(a) = S_2(a) = \pm 2^{k+1}$  and  $S(a)$  is an integer, only two of the four sign combinations are possible, leading to  $S(a) = -2^k$  or  $S(a) = 2^k$ .

The three cases above give in total the possible values  $0, \pm 2^k, -2^{k+1}$  for  $S(a)$ . We next use the expressions for the sum and the square sum of  $C_d(\tau) + 1$  to obtain a set of equations to determine the complete correlation distribution.

Suppose the crosscorrelation function  $C_d(\tau) + 1$  takes on the value zero  $r$  times, the value  $2^k$  is taken on  $s$  times, the value  $-2^k$  occurs  $t$  times and the

Table 1: Exponents  $d$  giving three-valued crosscorrelation

$m$	Proved in [4]	Proved in [6]	Newly found
6	3	3	
10	11	7	
14	43	15	27
18	171	31	103
22	683	63	231, 365, 411
26	2731	127	911, 1243, 1639

value  $-2^{k+1}$  occurs  $v$  times. From Lemma 1 it follows that

$$\begin{aligned} r + s + t + v &= 2^k - 1 \\ 2^k s - 2^k t - 2^{k+1} v &= 2^k \\ 2^{2k} s + 2^{2k} t + 2^{2k+2} v &= 2^m (2^k - 1) . \end{aligned}$$

This implies

$$\begin{aligned} r + s + t + v &= 2^k - 1 \\ s - t - 2v &= 1 \\ s + t + 4v &= 2^k - 1 . \end{aligned}$$

Since  $S(a) = \pm 2^k$  is only possible in Case 3, when  $\text{Tr}_k(v_0) = 1$  and  $A_a(v)$  has one zero in  $\text{GF}(2^k)$ , which occurs  $(2^k + 1)/3$  times, we get  $s + t = (2^k + 1)/3$ . From the last equation this leads to  $v = (2^{k-1} - 1)/3$  and therefore from the first equation  $r = 2^{k-1} - 1$ . Finally, using the second equation, we get  $t = 0$  and  $s = (2^k + 1)/3$ .  $\square$

In the following, we conjecture that all the cases with the three-valued crosscorrelation fall under the conditions of our main theorem. The conjecture has been verified numerically for all  $m \leq 26$  and these results are presented in Table 1.

**Conjecture 1** *Only those cases described in Corollary 1 lead to the three-valued crosscorrelation between two  $m$ -sequences of different lengths  $2^m - 1$  and  $2^k - 1$ , where  $m = 2k$ .*

## 6 Conclusion

We have identified new pairs of  $m$ -sequences having different lengths  $2^m - 1$  and  $2^k - 1$ , where  $m = 2k$ , with three-valued crosscorrelation and we have completely determined the crosscorrelation distribution. These pairs differ from the

sequences in the Kasami family by the property that instead of the decimation  $d = 1$  we take such a  $d$  that  $d(2^l + 1) \equiv 2^i \pmod{2^k - 1}$  for some integer  $l$  and  $i \geq 0$ , where  $k$  is odd and  $\gcd(l, k) = 1$ . We conjecture that our result covers all the three-valued cases for the crosscorrelation of  $m$ -sequences with the described parameters.

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