

Expert Opinion

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MicroRNA identification in plasma and serum: a new tool to diagnose and monitor diseases

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MicroRNAs (miRNAs) are a class of small noncoding RNAs that regulate gene expression at the posttranscriptional level by degrading or blocking translation of messenger RNA (mRNA) targets. MiRNAs play important regulatory roles in a variety of cellular functions as well as in several diseases, including cancer. MiRNA-specific expression profiles have been reported for several pathological conditions. Recently, the discovery of miRNAs in serum opens up the possibility of using miRNAs as biomarkers of disease. In this review, we discuss the potential use of miRNAs as clinically diagnostic biomarkers of various cancers and other diseases as well as the approaches used to detect these molecules in serum and plasma.

Keywords: cancer, microRNA, plasma, serum

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1. Introduction

MicroRNAs (miRNAs) are a class of small noncoding RNAs that have central roles in the regulation of gene expression [1-3]. Thousands of human messenger RNAs (mRNAs) are predicted to be miRNA targets [4,5] and a single miRNA may target hundreds of mRNAs [6,7]. MiRNAs are first transcribed in the nucleus as primary transcripts by RNA polymerase II [8,9]. The resulting primary miRNA is processed by a protein complex containing mainly two proteins, the RNase III endonuclease Drosha and DGCR8, to form the miRNA precursor, also known as pre-miRNA [10]. This ~ 60 – 110-nucleotide (nt), hairpin-structured pre-miRNA is actively transported to the cytoplasm by Ran-GTP and the export receptor Exportin-5 [11,12] and processed by the RNase III endonuclease Dicer, resulting in a small double-stranded RNA structure (~ 22 nt). The single-stranded mature miRNA is loaded into the RNA-induced silencing complex (RISC), which facilitates the interaction of the miRNA with complementary binding sites within the targeted transcript's 3'-untranslated region (UTR) and, thus, regulates gene expression by either translational repression or degradation of the mRNA [13]. MiRNAs play important roles in development, metabolism, cellular differentiation, proliferation, cell-cycle control and cell death [14,15]. In addition, miRNAs have been implicated in a variety of human diseases, such as viral infections [16], cardiovascular disease [17], and cancer [18].

In the past few years, several reports related important aspects of miRNAs biogenesis and function in many cellular processes and diseases. Here, we focus on the potential use of miRNAs as diagnostic biomarkers of diseases in serum-based screening.

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2. miRNAs are differentially expressed in cancer and other diseases

The first evidence for the involvement of miRNAs in cancer came from the study of miR-15a and miR-16a, which are located on chromosome region 13q14, a region that is deleted in more than half of all B-cell chronic lymphocytic leukemia (B-CLL) patients [19]. MiR-15a and miR-16a induce apoptosis by targeting the mRNA of the antiapoptotic gene B-cell leukemia/lymphoma 2 (*BCL2*) [20]. Expression analysis indicated that miR-15a and miR-16a were either absent or downregulated in the majority of B-CLL patients [19]. In addition, several studies have demonstrated, using high-throughput techniques, that miRNA expression profiles display signatures related to tumor classification, diagnosis, and disease progression [21-23], and further evidence has shown that miRNA gene expression is dysregulated in human cancer [24,25]. Since a single miRNA can target hundreds of mRNAs, aberrant miRNA expression is capable of disrupting the expression of several mRNAs and proteins [26]. Disease-specific expression profiles have been reported in many human cancers, including B-CLL [18], breast carcinoma [27], primary glioblastoma [28], hepatocellular carcinoma [29], papillary thyroid carcinoma [30], lung cancer [22], gastric and colon carcinomas [31], and endocrine pancreatic tumors [32]. In this regard, miRNA expression profiles have been useful in tracing the tissue of origin for cancers of unknown primary origin [33].

MiRNA genes are located in chromosomal regions often subject to rearrangements, deletions, and amplifications in cancer cells [34]. For example, changes in the copy number of some miRNA genes may be common to several types of tumor, such as ovarian cancer, breast cancer and melanoma, while other of these genomic changes may be unique to a specific tumor type [35]. Moreover, specific miRNA expression signatures have been associated with specific translocations in hematopoietic malignancies and solid tumors [36-39]. Several miRNAs have been reported to function as either oncogenes or tumor suppressors [40,41]. For instance, members of the miR-34 family are important effectors of p53 activation, which induces apoptosis [42,43], and let-7 negatively regulates expression of the known oncogene *RAS*, which regulates cell-proliferation pathways [44]. On the other hand, oncogenic miRNAs, such as miR-21 and the cluster miR-17-92 (miR-17, miR-18a, miR-19a, miR-20a, miR-19b-1, and miR-92-1) enhance tumorigenicity by targeting important tumor suppressor genes as *PTEN* [45] and *E2F* [46], respectively. Aberrant and specific miRNA expression were also reported in various other diseases, such as heart disease [47], rheumatoid arthritis [48], inflammatory diseases [49], diabetes [50], and muscle disorders [51]. In this regard, the potential usefulness of miRNA expression profiles in diagnosis and disease monitoring has been postulated.

3. Circulating miRNA as a new diagnostic tool

Several studies have established the importance of miRNAs in many cellular processes, mostly focusing on their actions inside the cell [3]. In addition, most studies of miRNA expression profiling have been done using samples from the tissues of origin. However, several studies have indicated the diagnostic and prognostic utility of circulating RNAs (Table 1). One of the first studies measuring miRNA levels in serum was reported by Lawrie *et al.* [52], who showed that sera levels of miR-21 were associated with relapse-free survival in patients with diffuse large B-cell lymphoma. Important mRNA targets of miR-21 have been described as phosphatase and tensin homolog (*PTEN*) and programmed cell death 4 (*PDCD4*), which regulate important cellular processes, including cell growth, proliferation and apoptosis [53,54]. In addition, studies demonstrated upregulation of miR-21 in several types of tumor, indicating an interesting potential use of miR-21 as a diagnostic biomarker. Mitchell *et al.* [55] demonstrated the presence of circulating tumor-derived miRNAs in blood by using a mouse prostate cancer xenograft model system and showed that measurements obtained from plasma were strongly correlated with those obtained from sera, suggesting that both serum and plasma samples would be adequate for measuring specific miRNA levels. Moreover, these investigators found that by measuring serum levels of miR-141 they were able to distinguish patients with prostate cancer from healthy subjects. Interestingly, miR-141 is expressed in a variety of epithelial cancers including breast, lung, colon and prostate [21]. In another study, Chen *et al.* [56] demonstrated that by using serum directly or by extracting RNA from the serum they could identify unique miRNA expression profiles for lung cancer, colorectal cancer and diabetes patients compared with healthy subjects. These expression profiles were performed by using Solexa sequencing and quantitative real-time reverse-transcription polymerase chain reaction (qRT-PCR) methods. In one example, expression profiles of serum miRNAs found that patients with non-small-cell lung cancer had 28 miRNAs missing and 63 miRNAs added compared with healthy subjects. Interestingly, Chen *et al.* also showed that miRNA expression profiles differed between serum and blood cells of lung cancer patients, while similar miRNA expression profiles were seen in the serum and blood cells of healthy subjects. In a study of ovarian cancer, eight serum miRNAs, among them miR-21, miR-92, miR-93, miR-126 and miR-29a, were significantly overexpressed in patients compared with healthy subjects, as assessed by using qRT-PCR array panels [57]. Circulating miRNAs have also been shown to be predictive of malignancy and survival in renal cell carcinoma patients [58]. In patients with squamous cell carcinoma (SCC) of the tongue, plasma levels of miR-184 were significantly higher than those in healthy individuals, and miR-184 levels were significantly reduced after surgical removal of the primary tumors [59]. Interestingly, *in vitro* inhibition of miR-184 induces apoptosis and increases

Table 1. MiRNAs with potential as biomarkers for cancer or pregnancy.

Overexpressed microRNA	Disease or physiological status	Healthy subjects (n)	Patients (n)	Statistical significance	Ref.
miRs-21, 155 and 210	Diffuse large B-cell lymphoma	43	60	p = 0.009, 0.02 and 0.04 respectively	Lawrie <i>et al.</i> [52]
miR-141	Prostate cancer	25	25	–	Mitchell <i>et al.</i> [55]
miRs-205, 206 and 335/miR-485-5p	Lung/colorectal cancer	75	152	–	Chen <i>et al.</i> [56]
miRs-21, 141, 200a, 200b, 203, 205 and 214	Ovarian cancer	20	50	–	Taylor <i>et al.</i> [79]
miRs-21, 92, 93, 126 and 29a	Ovarian cancer	15	28	p = 0.01	Resnick <i>et al.</i> [57]
miR-184	Squamous cell carcinoma	20	20	p = 0.002	Wong <i>et al.</i> [59]
miR-21	Glioblastoma	30	30	–	Skog <i>et al.</i> [60]
miRs-141 and 149	Pregnancy	5	10	p = 0.002 and 0.002, respectively	Chim <i>et al.</i> [65]
miRs-526a, 527 and 520d-5p/miRs-526a, 527 and 520d-5p	Pregnancy	10	20	–	Gilad <i>et al.</i> [66]
miR-17-3p and miR-92	Colorectal cancer	50	90	p < 0.0005	Ng <i>et al.</i> [61]

proliferation rates of SCC cells, suggesting a role of miR-181 in SCC tumorigenicity. In another study, mRNA mutants/variants and miRNAs characteristic of gliomas could be detected in serum from glioblastoma patients, suggesting these features' potential for use as diagnostic biomarkers [60]. Recently, Ng *et al.* [61] showed that 5 of 95 analyzed miRNAs are upregulated in colorectal cancer plasma and tissue samples, including miR-17-3p and miR-92. Interestingly, by analyzing an independent set of plasma samples, they demonstrated that miR-92 is differentially expressed in colorectal cancer compared with gastric cancer, inflammatory bowel disease and normal subjects and may be used as potential molecular marker to detect colorectal cancer in plasma samples. MiR-17-92 cluster is frequently reported to be upregulated in many cancers and is positively regulated by Myc, enhancing cell proliferation and inhibiting apoptosis [62]. Wang *et al.* [63] reported a set of miRNAs whose plasma levels are associated with hepatocellular injuries induced by high concentrations of acetaminophen in a mouse model. Interestingly, they demonstrated that selected miRNA species such as mir-22, mir-101b, mir-122 and mir-192 presented dose- and time-dependent changes in plasma. In addition, the data indicate that specific circulating miRNAs, such as mir-122 and mir-192, might be more sensitive biomarkers than certain hepatocellular enzymes used in diagnostic test to detect liver injuries.

Circulating miRNAs have also been useful in monitoring nonpathological conditions. For example, Hunter *et al.* [64] isolated RNA from healthy individuals' plasma and matched mononuclear cells, and demonstrated significant differences in miRNA expression between peripheral blood mononuclear cells and plasma microvesicles. This study also revealed that

the predicted gene targets and related biological pathways, which are regulated by the detected miRNAs, were associated with differentiation of blood cells, metabolic pathways, and immune function modulation. In another study, Chim *et al.* [65] demonstrated the existence of placental miRNAs in maternal plasma and the correlation between placental circulating miRNAs and stage of pregnancy. In addition, it has been demonstrated that placental miRNA levels can be used to distinguish pregnant from nonpregnant women [66].

Another avenue of current research is the demonstration of an association between miRNA expression and known serum markers of certain diseases. In one study, there were significant positive correlations between hepatic miR-223 expression levels and serum markers of ischemic injury in mice [67]. However, these results were not observed in colorectal cancer, for which no correlations were found between the miRNA levels analyzed (miR-21, miR-31, miR-143 and miR-145) and serum levels of the biomarker carcinoembryonic antigen [68].

Several studies provide further evidence that miRNAs may be useful as serum biomarkers. Nonetheless, studies in a large population and some aspects of experimental reliability and miRNA stability must be assessed before using serum or plasma miRNAs as biomarkers.

4. Stability of miRNAs in serum

At the time of the first reports decades ago [69,70], most investigators doubted that extracellular RNA could survive in the blood because of the presence of potent ribonucleases [71]. However, several more recent studies have documented the presence of circulating extracellular RNA in serum, and it

has also been shown that this RNA is protected from plasma RNase activity [71,72]. Chen *et al.* [56] showed that serum and plasma contain a large number of miRNAs and that serum miRNAs remained stable after being subjected to severe conditions, such as boiling, very low or high pH, extended storage, and 10 freeze-thaw cycles, conditions that would normally degrade most RNAs. Many theories have attempted to explain the possible mechanisms by which RNA is protected from plasma RNase digestion. One theory suggested that RNAs may anneal with DNA, which would render them resistant to both RNase and DNase activity [73]. However, evidence from El-Hefnawy *et al.* [71] showed that RNA present in plasma is protected from degradation not by binding to DNA, but probably by inclusion in lipid or lipoprotein complexes. Studies have reported the genetic exchange of mRNA and miRNA across cell membranes either in microvesicles (up to 1 μm) or in small membrane vesicles of endocytic origin called exosomes (50 – 90 nm) [74,75]. Additionally, it has been suggested that miRNAs, mRNAs and proteins are transferred by exosomal signaling in the nervous system [76] and in embryonic stem cells microvesicles *in vitro* [75,77]. Evidence of the presence of tumor-derived exosomes in the peripheral circulation was presented decades ago in a study by Taylor *et al.* [78]. Later, the group also demonstrated that miRNA contained in tumor exosomes is functional and can suppress the mRNA that encodes signal transduction components within T-cells [79]. In the same study, the authors reported an miRNA signature of circulating ovarian cancer exosomes that had a high correlation with primary tumor miRNA expression. Moreover, these miRNAs were identified at lower levels in exosomes from women with benign disease and were not identified in normal controls. In addition, Rabinowits *et al.* [80] demonstrated a significant difference in exosomal miRNA levels between patients with lung adenocarcinoma and patients without this disease. Also, they showed a similarity in miRNA signatures between circulating exosomal miRNA and originating tumor cells. Moreover, Skog *et al.* [60] showed that exosomes released by glioblastoma cells containing mRNA, miRNA, and angiogenic proteins are taken up by normal recipient cells, such as brain microvascular endothelial cells. They showed that messages delivered by tumor-derived exosomes are translated by recipient cells and stimulate proliferation of a human glioma cell line. In the same study, the tumor-specific epidermal growth factor receptor VIII was detected in serum exosomes from 7 of 25 glioblastoma patients. Moreover, miR-21, known to be overexpressed in glioblastoma tumors [81], was elevated in serum microvesicles from glioblastoma patients. Consistent with these observations, these results indicate that cancer patients present elevated levels of tumor-derived exosomes in plasma compared with controls. Although normal cells within the peripheral circulation can contribute to exosome population, the primary source of circulating exosomes in cancer patients is the tumor [57]. Moreover, mRNA and miRNA containing tumor-derived exosomes can affect biological processes inside of recipient

cells. Nevertheless, little is known about the mechanisms in which miRNAs are generated in plasma and the biological impact of these molecules in distant sites of the body. Studies suggest that RNA molecules associated to specific types of exosomes can be released in the circulating compartment on fusion of multivesicular bodies (MVB) with the plasma membrane and may be internalized by recipient cells by endocytosis (Figure 1) [74,82]. In addition, studies demonstrated the possibility to analyze miRNA expression using serum and plasma directly without any RNA extraction or serum filtration procedure [56]. Therefore, lysed cells might contribute to the composition of miRNAs in the plasma. Nonetheless, additional studies are necessary to elucidate the mechanism in which miRNAs reach the bloodstream and the physiological impact of exosomal miRNA in global cellular processes.

Although the presence of miRNAs in exosomes could explain their stability in serum, other possibilities include protection by chemical modifications or association with proteins complex. Nevertheless, there is a lack of an established endogenous miRNA control to normalize for plasma or serum miRNA levels measured by commonly used techniques as qRT-PCR. Usually, qRT-PCR data are normalized to an endogenous control gene, which is ideally stably expressed across the analyzed samples to reduce measurement errors, which may be due to technical variations [83,84]. To date, there are very few reports of validated controls that are used to normalize miRNA levels measured in serum or plasma. U6 small nuclear RNA (RNU6B), a control commonly used to normalize miRNA qRT-PCR data [85,86] was found to be less stably expressed than miR-93, miR-106a, miR-17 – 5p, and miR-25 in serum [84]. Moreover, another study reported that RNAU6B and 5S ribosomal RNA were degraded in serum samples [56]. In another study to identify stable controls for normalization, Resnick *et al.* [57] identified 2 of 21 miRNAs (miR-142-3p and miR-16) from a previous expression profile study with cycle threshold (Ct) differences of four cycles or greater between ovarian cancer patients and healthy controls. In another study, a robust normalization protocol was identified by using synthetic versions of *Caenorhabditis elegans* miRNAs [55]. Three *C. elegans* miRNAs were chosen because they did not hybridize to human miRNA probes on miRNA microarrays and produced Ct values comparable to those of miR-16, a moderately abundant miRNA measured in blood plasma from healthy donors. However, more studies are necessary for the identification of an accurate normalization protocol, and empirical validation of stable endogenous control miRNAs for each type of cell or tissue studied is also necessary.

5. Serum miRNAs as potential targets for therapy

One important aspect of circulating miRNAs is the possibility that these molecules affect cellular systems elsewhere in the body and produce conditions favorable for disease progression. In this regard, therapeutic strategies that involve serum miRNAs

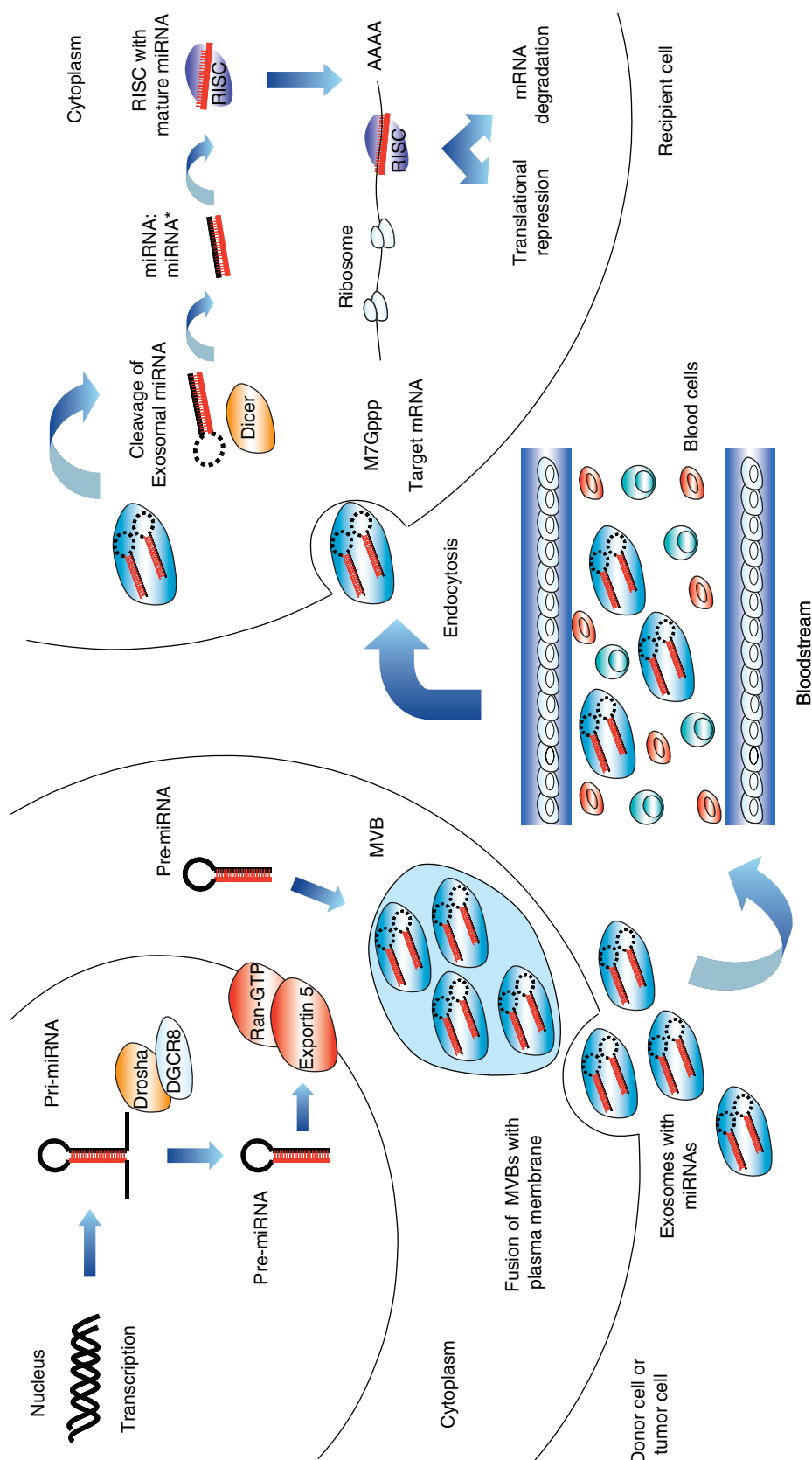


Figure 1. Hypothetical way in which exosomal miRNAs are generated in plasma. miRNAs are first transcribed in the nucleus as primary transcripts (pri-miRNA) which are processed by Drosha and DGCR8, to form the miRNA precursors (pre-miRNA) in donor cells. Pre-miRNA is actively transported to the cytoplasm by Ran-GTP and the export receptor Exportin-5. Pre-miRNA molecules can bind to multivesicular bodies (MVB) and then associate with exosomes. After fusion with plasma membrane, MVBs are able to release exosomes to the circulating compartments and bloodstream. These exosomes can donate their miRNAs to another cell (recipient cell) by endocytosis process. Exosomal miRNAs are processed by Dicer, resulting in a small double-stranded RNA structure (miRNA: *miRNA). The single-stranded mature miRNA is loaded into the RNA-induced silencing complex (RISC), which facilitates the interaction of the miRNA with complementary binding sites within the target mRNA and, thus, regulates gene expression by either translational repression or degradation of the mRNA. Although we suggest here that pre-miRNAs bind to MVBs, it remains to be elucidated if pre-miRNAs or mature miRNAs are involved in this process.

emerge as an interesting field to be explored [87]. Although only few miRNA targets have been validated [88], several reports of specific miRNA expression profiles associated with diseases have been published as discussed previously. Thus, using this knowledge, some research groups are now exploring miRNA antagonists (antagomirs) as potential therapeutic molecules. In one study, intravenous administration of antagomirs against miR-16, miR-122, miR-192 and miR-194 resulted in a reduction of the corresponding miRNA levels in liver, lung, kidney, heart, intestine, fat, skin, bone marrow, muscle, ovaries and adrenals of mice [89]. Esau *et al.* [90], using the 2'-O-methoxyethyl phosphorothioate antisense oligonucleotide approach inhibited liver-specific miR-122 in the livers of adult mice. MiR-122 inhibition in normal mice resulted in reduced plasma cholesterol levels, increased hepatic fatty acid oxidation, and a decrease in hepatic fatty acid and cholesterol synthesis rates. Interestingly, miR-122 inhibition in a mouse model of diet-induced obesity resulted in decreased plasma cholesterol levels and a significant improvement in liver steatosis, accompanied by reductions in several lipogenic genes. Similarly, two other groups systemically administered locked nucleic acid oligonucleotides or antisense oligonucleotides, which specifically inhibit miR-122, to mice and African green monkeys by intravenous injections, and they found a reduction in plasma cholesterol levels in both types of treated animal [91,92]. Interestingly, in another study, mice bearing subcutaneous prostate carcinoma xenografts were treated with anti-miR-221/222, which significantly reduced the tumor sizes relative to control treatment [93]. However, before this strategy can be assessed in humans, more studies are necessary to elucidate the role of target miRNAs in each type of disease, to provide biological validation of potential miRNA targets and to measure pharmacokinetic features such as biodistribution and dose response.

6. Conclusion and perspectives

MiRNA expression profiling is of increasing importance as a new diagnostic and prognostic tool. The use of miRNAs as novel biomarkers in serum or plasma represents a new approach to diagnostic screening of blood. Given that most current approaches to cancer screening are invasive and unable to detect early-stage disease, it will be important to determine at which point in a disease's evolution tumor-related circulating miRNAs can be detected in the bloodstream. Certainly, more studies are necessary to establish miRNAs as useful biomarkers, but it should be possible to detect tumor-related miRNAs rapidly in serum and plasma in the near future, which should prove useful in the diagnosis and prediction of treatment outcomes in cancer.

7. Expert opinion

MiRNAs are a class of small noncoding RNAs that play a role in virtually all cellular processes. Dysregulation of miRNA expression can lead to alterations in the expression of hundreds of mRNAs and proteins. Several studies have demonstrated aberrant expression of miRNAs in patient tissue samples from a variety of cancers and diseases compared with samples from normal tissues. In tests of these expression differences, specific miRNA expression profiles have been associated with several pathological conditions, including some cancers. Since the discovery of miRNAs in serum, several studies have raised the possibility of finding a connection between specific miRNA levels in serum and various disease states. Indeed, the existence of tumor- and disease-related miRNAs in serum has been demonstrated in several studies. These findings indicate the potential usefulness of miRNAs as serum biomarkers, since some commonly used tumor markers are not detectable in the early disease stages.

Even though diverse studies have reported the stability of miRNAs in serum even under severe denaturing/degrading conditions, several topics still need to be refined further. First, we are missing large studies reporting miRNA levels in plasma and serum from hundreds of normal individuals of both genders and various ages, so we do not know if specific miRNA levels are the same in young women and old men, for example. Second, some studies have demonstrated that the endogenous controls used at present, such as RNU6B, in miRNA expression studies are degraded in serum; therefore, to obtain accurate and reproducible results, we need new, more robust standardization methods. Finally, we need to gain a better understanding of the mechanisms by which miRNAs are released in plasma/serum. That is, does this occur by cellular destruction or does an active secretory mechanism really exist? Certainly the future will bring more details, which will allow us to solve the puzzle of miRNA detection in various types of body fluids; and this will bring a new era to the field of diagnostic markers for human diseases.

Declaration of interest

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