# OPERATING SYSTEMS: PROCESS COMMUNICATION AND SYNCHRONIZATION

Developing concurrent servers

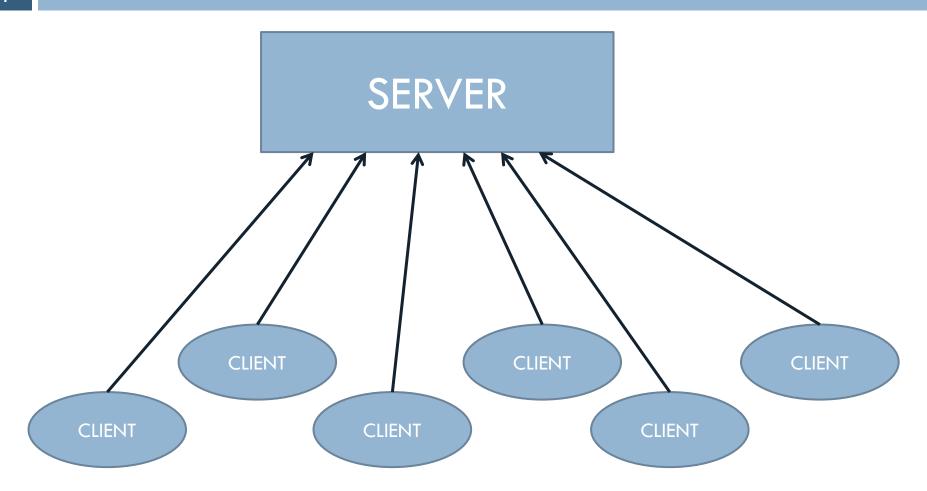
## Contents

- □ Request servers.
- □ Process-based solution.
- □ Threads on demand solution.
- □ Thread pool solution.

## Request servers

- □ Request servers are developed in many contexts:
  - Web server.
  - Database server.
  - Applications server.
  - □ File exchange servers.
  - Instant messaging applications.
  - **-** ...

## Server



## Problem: Request server

- □ A server receives requests that must be processed.
- □ Generic server structure:
  - Request reception.
    - Each request requires a certain amount of time in input/output operations to be received.
  - Request processing.
    - A certain amount of time for CPU processing.
  - Reply sending.
    - A certain amount of input/output operations to perform reply.

# A library for testing

To be able to evaluate solutions today we will use a simple library as a baseline example.

#### □ Ideas:

- Simulate requests reception.
- Simulate request processing.
- Simulate reply sending.

# Base library

```
#ifndef REQUEST_H
#define REQUEST_H
  struct request {
   long id;
   /* Rest of fields */
   int kind;
   char url[80];
   /* ... */
  };
  typedef struct request request_t;
  void get_request (request_t * r);
  void reply_request (request_t * r);
#endif
```

# Receiving requests

```
static long reqid = 0;
void receive_request(request_t * r) {
 int delay;
 fprintf(stderr, "Receiving request\n");
 r->id = reqid++;
 /* Simulate I/O time*/
 delay = rand() % 5;
 sleep(delay);
 fprintf(stderr,"Request %d received after %d seconds\n", r->id, delay);
```

# Receiving requests

```
static long regid = 0;
void receive_request(request_t * r) {
 int delay;
 fprintf(stderr, "Receiving request\n");
 r->id = reqid++;
 /* Simulate I/O time*/
                                                                   In real code here some
                                                                   blocking call would be
 delay = rand() % 5;
                                                                     used to receive the
 sleep(delay);
                                                                 request (e.g. from network)
 fprintf(stderr,"Request %d received after %d seconds\n", r->id, delay);
```

# Sending requests

```
void reply_request (request_t * r) {
 int delay, i;
 double x;
 fprintf(stderr, "Sending reply %d\n", r->id);
 /* Simulate processing time */
 for (i=0;i<1000000;i++) { x = 2.0 * i; }
 /* Simulate I/O time */
 delay = rand() % 20;
 sleep(delay);
 fprintf(stderr, "Request %d sent after %d seconds\n", r->id, delay);
```

# Sending requests

```
void reply_request (request_t * r) {
 int delay, i;
 double x;
 fprintf(stderr, "Sending reply %d\n", r->id);
                                                                                 Request
 /* Simulate processing time */
                                                                            processing would
 for (i=0;i<1000000;i++) { x = 2.0 * i; }
                                                                                 go here
 /* Simulate I/O time */
                                                                 Some blocking call would be
 delay = rand() % 20;
                                                                     used here to reply the
 sleep(delay);
                                                                            request.
 fprintf(stderr, "Request %d sent after %d seconds\n", r->id, delay);
```

# A first try

- Run forever the following sequence:
  - Receive a request.
  - Reply the request.

```
#include "request.h"
int main() {
  request_t r;
  for (;;) {
    receive_request(&r);
    reply_request(&r);
 return 0;
```

## **Problems**

- □ Request arrival.
  - □ If two requests arrive at the same time ...
  - □ If a request arrives while one is being processed ...

- Resource utilization.
  - How will CPU utilization be?

# Measuring initial solution

```
#include "request.h"
#include <stdio.h>
#include <time.h>
int main() {
  int i;
 const int MAX REQUESTS = 5;
 time_t t1,t2;
 double diff;
 request tr;
```

```
t1 = time(NULL);
for (i=0;i<MAX_REQUESTS;i++) {</pre>
  receive_request(&r);
  reply_request(&r);
t2 = time(NULL);
diff = difftime(t2,t1);
printf("Time: %lf\n",diff);
return 0;
```

## Execution

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Receiving request

Request 0 received after 3 seconds

Sending reply 0

Request 0 sent after 6 seconds (computed 999999.000000)

Receiving request

Request 1 received after 2 seconds

Sending reply 1

Request 1 sent after 15 seconds (computed 999999.000000)

Receiving request

Request 2 received after 3 seconds

Sending reply 2

Request 2 sent after 15 seconds (computed 999999.000000)

Receiving request

Request 3 received after 1 seconds

Sending reply 3

Request 3 sent after 12 seconds (computed 999999.000000)

Receiving request

Request 4 received after 4 seconds

Sending reply 4

Request 4 sent after 1 seconds (computed 999999.000000)

Time: 62.000000

real 1m2.009s

user 0m0.008s

sys 0m0.000s

## **Evaluation**

Normal	Processes	Thread per request	Thread Pool
62 seg.			

## Contents

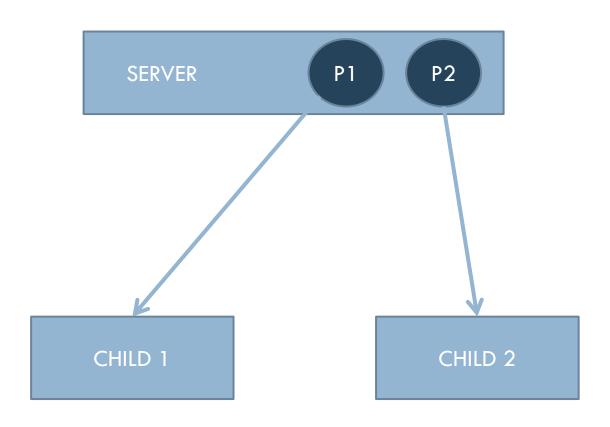
- □ Request severs.
- □ Process-based solution.
- □ Threads on demand solution.
- □ Thread pool solution.

### Main idea

Each time a request arrives a new child process is created:

- Child process performs request processing.
- Parent process waits for next request.

## Process-based server



# Implementation (1/3)

```
#include "request.h"
#include <stdio.h>
#include <time.h>
#include <sys/wait.h>
int main() {
 const int MAX_REQUESTS = 5;
 int i;
 time_t t1,t2;
 request_t r;
 int pid, nchildren=0;
 t1 = time(NULL);
```

# Implementation (2/3)

```
for (i=0;i<MAX_REQUESTS; ++i) {</pre>
  receive_request(&r);
  do {
   fprintf(stderr, "Checking children\n");
   pid = waitpid(-1, NULL, WNOHANG);
   if (pid>0) { nchildren--; }
 } while (pid > 0);
 pid = fork();
 if (pid<0) { perror("Error creating child"); }</pre>
 if (pid==0) { reply_request(&r); exit(0); } /* CHILD */
 if (pid!=0) { nchildren++; }
                              /* PARENT*/
```

# Implementation (3/3)

```
fprintf(stderr, "Checking %d children\n", nchildren);
   while (nchildren>0) {
      pid = waitpid(-1, NULL, 0); /* Blocking wait */
     if (pid>0) { nchildren--; }
  };
t2 = time(NULL);
double diff = difftime(t2,t1);
printf("Time: %lf\n",diff);
return 0;
```

#### Execution

\$ time app/procsrv

Receiving request

Request 0 received after 3 seconds

Checking children

Receiving request

Sending reply 0

Request 1 received after 1 seconds

Checking children

Receiving request

Sending reply 1

Request 2 received after 2 seconds

Checking children

Receiving request

Request 3 received after 0 seconds

Checking children

Sending reply 2

Receiving request

Sending reply 3

Request 4 received after 3 seconds

Checking children

Checking 5 children

Sending reply 4

Request 0 sent after 6 seconds (computed 999999.000000)

Request 3 sent after 13 seconds (computed 999999.000000)

Request 2 sent after 15 seconds (computed 999999.000000)

Request 1 sent after 17 seconds (computed 999999.000000)

Request 4 sent after 15 seconds (computed 999999.000000)

Time: 24.000000

real 0m24.004s

user 0m0.001s

sys 0m0.012s



## **Evaluation**

Normal	Processes	Thread per request	Thread Pool
62 seg.	24 seg.		

## **Problems**

- A new process must be started (fork) for each incoming request.
- A process must be terminated (exit) for each served request.
- □ Excessive system resources utilization.
- □ No admission control.
  - Problems with Quality of Service.

## Solutions with threads

- □ On-demand threads.
  - Each time a request is received a new thread is created.
- □ Thread pool.
  - A fixed number of pre-created threads.
  - Each time a request is received a free thread is assigned to serve the request.
    - Communication using a request queue.

## Contents

- □ Request severs.
- □ Process-based solution.
- ☐ Threads on demand solution.
- □ Thread pool solution.

## On-demand

 A receiver thread is in charge of receiving the requests.

- Each time a request arrives a new thread is created, and a copy of the request is passed to the new created thread.
  - It must be a copy of the request as the original request could be modified.

## Implementation

```
#include "request.h"
#include <stdio.h>
#include <time.h>
#include <pthread.h>
#include <semaphore.h>
sem t snchildren;
int main() {
 time t t1, t2;
 double diff;
 pthread t thr;
```

```
t1 = time(NULL);
sem_init(&snchildren, 0, 0);
pthread_create(&thr, NULL,
                receiver, NULL);
pthread_join(thr, NULL);
sem destroy(&snchildren);
t2 = time(NULL);
diff = difftime(t2,t1);
printf("Time: %f\n",diff);
return 0;
```



## Implementation: receiver

```
void * receiver(void * param) {
 const int MAX REQUESTS = 5; int i, nservice = 0;
 request t r;
 pthread t th child;
 for (i=0;i<MAX REQUESTS;i++) {
  receive request(&r);
  nservice++;
  pthread_create(&th_child, NULL, service, &r);
  pthread detach(th child);
 while (nservice>0) {
  fprintf(stderr, "Performing wait\n");
  sem wait(&snchildren);
  nservice--;
  fprintf(stderr, "Exiting from wait\n");
 pthread exit(0);
 return NULL;
```

## Implementation: service

```
void * service(void * r) {
   request_t req;
   copy_request(&req,(request_t*)r);
   fprintf(stderr, "Starting service\n");
   reply_request(&req);
   sem_post(&snchildren);
   fprintf(stderr, "Finishing service\n");
   pthread_exit(0);
   return NULL;
```

## Execution

\$ app/mtsrv Receiving request

Receiving request Starting service

Request 0 received after 3 seconds Sending reply 4

Receiving request 4 received after 2 seconds

Starting service Performing wait

Sending reply 1 Starting service

Request 1 received after 1 seconds Sending reply 4

Receiving request Request 3 sent after 6 seconds (computed 999999.000000)

Request 2 received after 0 seconds Finishing service for 3

Starting service Exiting from wait

Sending reply 2 Performing wait

Receiving request Request 4 sent after 1 seconds (computed 999999.000000)

Starting service Finishing service for 4

Sending reply 3 Exiting from wait

Request 3 received after 3 seconds Performing wait

#### Execution

Request 3 sent after 6 seconds (computed 999999.000000)

Finishing service for 3

Exiting from wait

Performing wait

Request 4 sent after 1 seconds (computed 999999.000000)

Finishing service for 4

Exiting from wait

Performing wait

Request 4 sent after 9 seconds (computed 999999.000000)

Finishing service for 4

Exiting from wait

Performing wait

Request 2 sent after 15 seconds (computed

999999.000000)

Finishing service for 2

Exiting from wait

Performing wait

Request 1 sent after 17 seconds (computed

999999.000000)

Finishing service for 1

Exiting from wait

Time: 20.000000

real 0m20.004s

user 0m0.013s

sys 0m0.000s

## Question

#### □ Can a race condition happen?

```
jdgarcia@gavilan:~/ejcpp/server/build$ valgrind --tool=helgrind app/mtsrv
==7970== Helgrind, a thread error detector
==7970== Copyright (C) 2007-2013, and GNU GPL'd, by OpenWorks LLP et al.
==7970== Using Valgrind-3.10.0.SVN and LibVEX; rerun with -h for copyright info
==7970== Command: app/mtsrv
==7970==
==7970== Possible data race during write of size 4 at 0x5C1FE38 by thread #2
==7970== Locks held: none
==7970== at 0x400F9B: receive request (in /home/jdgarcia/ejcpp/server/build/app/mtsrv)
           by 0x400ED3: receiver (in /home/jdgarcia/ejcpp/server/build/app/mtsrv)
==7970== by 0x4C30E26: mythread wrapper (hg intercepts.c:233)
           by 0x4E45181: start thread (pthread create.c:312)
==7970== by 0x515547C: clone (clone.S:111)
```

## Race condition

□ Can a race condition happen?

```
void * receiver (void * param)
request_t r;
receive_request(&r);
nservice++;
pthread create(&child, NULL, servicio, &r);
receive_request(&r);
nservice++;
pthread create(&child, NULL, servicio, &r);
```

### Race condition

□ Can a race condition happen?

```
void * receiver(void * param)
request_t r;
receive request(&r);
nservice++;
pthread_create(&child, NULL, service, &r);
receive_request(&r);
nservice++;
pthread create(&child, NULL, service, &r);
```

```
void * receiver(void * param)
request_t r; 2
receive request(&r);
nservice++;
pthread_create(&child, NULL, service, &r);
receive_request(&r);
nservice++;
pthread create(&child, NULL, service, &r);
```

```
void * receiver(void * param)
request_t r;
receive_request(&r);
nservice++;
pthread_create(&child, NULL, service, &r);
receive_request(&r);
nservice++;
pthread create(&child, NULL, service, &r);
```

```
void * receiver(void * param)
                                                       void * service(void * r)
request_t r;
                                                   request_t req;
                                                   copy_request(&req, r);
receive_request(&r);
nservice++;
                                                   reply_request(&req);
pthread_create(&hijo, NULL, service, &r);
receive_request(&r);
nservice++;
pthread create(&hijo, NULL, service, &r);
```

```
void * receiver(void * param)
                                                        void * service(void * r)
request_t r;
                                                   request_t req;
                                                   copy_request(&req, r);
receive_request(&r);
nservice++;
                                                   reply_request(&req);
pthread_create(&hijo, NULL, service, &r);
receive_request(&r);
nservice++;
pthread create(&hijo, NULL, service, &r);
```

□ Can a race condition happen?

```
void * receiver(void * param)
                                                       void * service(void * r)
request_t r;
                                                  request t req;
                                                  copy_request(&req, r);
receive_request(&r);
nservice++;
                                                   reply_request(&req);
pthread_create(&child, NULL, service, &r);
receive_request(&p);
nservice++;
pthread_create(&child, NULL, service, &r);
```

**Operating Systems - Concurrent Servers** 

□ Can a race condition happen?

```
void * receiver(void * param)
                                                       void * service(void * r)
request_t r;
                                                   request t req;
                                                  copy_request(&req, r);
receive_request(&r);
nservice++;
                                                   reply_request(&req);
pthread_create(&child, NULL, service, &r);
receive_request(&r);
nservice++;
pthread_create(&child, NULL, service, &r);
```

**Operating Systems - Concurrent Servers** 

□ Can a race condition happen?

```
void * receiver(void * param)
                                                       void * service(void * p)
request_t r;
                                                   request t req;
                                                   copy_request(&req, r);
receive_request(&r);
nservice++;
                                                   reply_request(&req);
pthread_create(&child, NULL, service, &r);
receive_request(&r);
nservice++;
pthread_create(&child, NULL, service, &r);
```

**Operating Systems - Concurrent Servers** 

## Implementation

```
#include "request.h"
#include <stdio.h>
#include <time.h>
#include <pthread.h>
#include <semaphore.h>
sem t snchildren;
sem t sparam;
int main() {
 time t t1, t2;
 double diff;
 pthread t thr;
```

```
t1 = time(NULL);
sem_init(&snchildren, 0, 0);
sem_init(&sparam, 0, 0);
pthread create(&thr, NULL,
                receiver, NULL);
pthread_join(thr, NULL);
sem destroy(&snchildren);
sem destroy(&sparam);
t2 = time(NULL);
diff = difftime(t2,t1);
printf("Time: %lf\n",diff);
return 0;
```

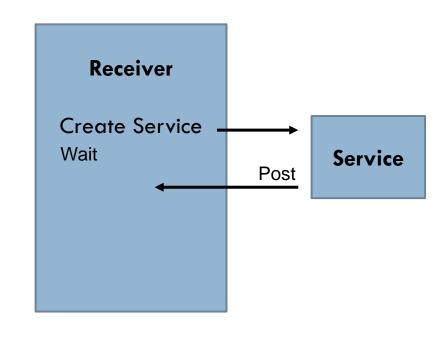


### Implementation: receiver

```
void * receiver(void * param) {
 const int MAX REQUESTS = 5;
 int i, nservice = 0;
 request_t r;
 pthread t th child;
 for (i=0;i<MAX REQUESTS;i++) {
  receive_request(&p);
  nservice++;
  pthread create(&th child, NULL, service, &r);
  sem wait(&sparam);
 while (nservice>0) {
  fprintf(stderr, "Performing wait\n");
  sem wait(&snchildren);
  nservice--;
  fprintf(stderr, "Exiting from wait\n");
 pthread_exit(0);
 return NULL;
```

### Implementation: service

```
void * service(void * r) {
   request_t req;
   copy_request(&req,(request_t*)r);
   sem_post(&sparam);
   fprintf(stderr, "Starting service\n");
   reply_request(&req);
   sem post(&snchildren);
   fprintf(stderr, "Finishing service\n");
   pthread_exit(0);
   return NULL;
```



#### **Evaluation**

Normal	Processes	Thread per request	Thread Pool
54 seg.	17 seg.	20 seg.	

#### Problem

- Thread creation and termination has a cost which is lower than for processes,
  - But still a cost!

- □ No admission control:
  - What if many requests arrive or received requests do not finish?

#### Contents

- □ Request severs.
- □ Process based solution.
- □ Threads on demand solution.
- □ Thread pool solution.

#### Thread Pool

A thread pool is a set of threads created at startup to run a service:

- Each time a request arrives it is placed in the queue of pending requests.
- All threads wait until some request is in the queue and they take it from the queue to process it.

# Implementation: main (1/3)

```
#include "request.h"
#include <stdio.h>
#include <time.h>
#include <pthread.h>
#include <semaphore.h>
#define MAX BUFFER 128
request t buffer[MAX BUFFER];
int n elements;
int pos service = 0;
pthread mutex t mutex;
pthread_cond_t non_full;
pthread cond t non empty;
pthread mutex t mend;
int end=0;
```

## Implementation: main (2/3)

```
int main()
 time tt1, t2;
 double diff;
 pthread_t thr;
 const int MAX SERVICE = 5; int i;
 pthread_t ths[MAX_SERVICE];
 t1 = time(NULL);
 pthread mutex init(&mutex,NULL);
 pthread_cond_init(&non_full,NULL);
 pthread_cond_init(&non_empty,NULL);
 pthread mutex init(&mend,NULL);
 pthread create(&thr, NULL, receiver, NULL);
 for (i=0;i<MAX_SERVICE;i++) {</pre>
  pthread create(&ths[i], NULL, service, NULL);
```

# Implementation: main (3/3)

```
pthread join(thr, NULL);
for (i=0;i<MAX_SERVICE;i++) {</pre>
 pthread join(ths[i],NULL);
pthread mutex destroy(&mutex);
pthread_cond_destroy(&non_full);
pthread_cond_destroy(&non_empty);
pthread_mutex_destroy(&mend);
t2 = time(NULL);
diff = difftime(t2,t1);
printf("Time: %lf\n",diff);
return 0;
```

## Implementation: receiver (1/2)

```
void * receiver (void * param)
   const int MAX REQUESTS = 5;
   request tr;
   int i, pos=0;
  for (i=0;i<MAX REQUESTS;i++)
     receive_request(&r);
     pthread_mutex_lock(&mutex);
     while (n elements == MAX BUFFER)
           pthread_cond_wait(&non_full, &mutex);
     buffer[pos] = r;
     pos = (pos+1) % MAX_BUFFER;
     n_elements++;
     pthread_cond_signal(&non_empty);
     pthread_mutex_unlock(&mutex);
```

# Implementation: receiver (2/2)

```
fprintf(stderr,"Finishing receiver\n");
   pthread_mutex_lock(&mend);
   end=1;
   pthread mutex unlock(&mend);
   pthread mutex lock(&mutex);
   pthread_cond_broadcast(&non_empty);
   pthread mutex unlock(&mutex);
   fprintf(stderr, "Finished receiver\n");
   pthread_exit(0);
   return NULL;
} /* receiver*/
```

# Implementation: service (1/2)

```
void * service (void * param)
                                                      Receiver
                                                                                       Service 1
   request tr;
                                                  Create Service
                                                                                Wait (non_full)
                                                    Wait (non_empty)
   for (;;) {
                                                                                 Post (non empty)
      pthread mutex lock(&mutex);
                                                    Post (non_full)
      while (n elements == 0) {
        if (end==1) {
           fprintf(stderr,"Finishing service\n");
           pthread mutex unlock(&mutex);
           pthread_exit(0);
        pthread_cond_wait(&non_empty, &mutex);
      }// while
```

## Implementation: service (2/2)

```
fprintf(stderr, "Serving position %d\n", pos_service);
   r = buffer[pos_service];
   pos service = (pos service + 1) % MAX BUFFER;
   n_elements --;
   pthread_cond_signal(&non_full);
   pthread_mutex_unlock(&mutex);
   reply_request(&r);
pthread_exit(0);
return NULL;
```

### **Evaluation**

Normal	Processes	Thread per request	Thread Pool
54 seg.	17 seg.	20 seg.	12 seg