

# Chapter 7

## Rings and fields

**Def.:** A nonempty set  $A$  with two operations  $*$  and  $\circ$  defined on it  $(A, *, \circ)$  is called a **ring** iff

1.  $(A, *)$  is an Abelian (commutative) group
2.  $(A, \circ)$  is associative
3. In  $(A, *, \circ)$  the operation  $*$  is distributive in respect to  $\circ$ , i.e.

$$a \circ (b * c) = (a \circ b) * (a \circ c)$$

**Def.:** If ring  $(A, *, \circ)$  has a neutral element in respect to  $\circ$ , then it is called a **unitary ring**.

**Def.:** A ring  $(A, *, \circ)$  is called **commutative** if the operation  $\circ$  is also commutative.

Examples:  $(\mathbb{Z}, +, \times)$  is a commutative ring.  $(M_{n \times n}, +, \times)$  is a ring (not commutative).

# Notation

Given a ring  $(A, +, \times)$ :

- ▶ we call the operations  $+$  and  $\times$  as **addition** and **multiplication**, respectively;
- ▶ we denote by  $0$  the **neutral element of  $+$** ;
- ▶ we denote by  $-a$  the **opposite (inverse) element** to  $a$  for  $+$ ;
- ▶ we denote by  $1$  the **neutral element of  $\times$** ;
- ▶ we denote  **$A^*$**   $= A \setminus \{0\}$ .

Let's consider the common relation of congruences:

$$(\mathbb{Z}/n\mathbb{Z}, +, \times) = (\mathbb{Z}_n, +, \times)$$

We can easily show that it is a **commutative unitary ring**: 1)

Obviously  $(\mathbb{Z}_n, +)$  is a commutative group; 2)  $(\mathbb{Z}_n, \times)$  is associative and has the neutral element  $1 = [1]_n$ ; 3) It is distributive:

$$[a]_n \times ([b]_n + [c]_n) = [a]_n[b + c]_n = [ab + ac]_n = [a]_n[b]_n + [a]_n[c]_n$$

4)  $\times$  is commutative, thus, it is a commutative ring.

If  $n$  is not a prime number, then  $\exists q, m \in \mathbb{Z}_n \setminus \{[0]\}$  s.t.  $q \times m = 0$ . In other words we have **divisors of zero**.

This does not happen in  $\mathbb{Z}$ ,  $\mathbb{Z}_p$ ,  $\mathbb{F}[x]$ .

In  $\mathbb{Z}_n$ :  $\underbrace{1 + 1 + \cdots + 1}_{n \text{ times}} = 0$ . This does not happen in  $\mathbb{Z}$ .

**Def.:** In a ring  $(A, +, \times)$  we shall call **divisor of zero** from the left any element  $a \in A \setminus \{0\}$  for which  $\exists b \in A \setminus \{0\}$  s.t.  $a \times b = 0$ .

**Def.:** A ring  $(A, +, \times)$  that has no divisors of zero is called the **integral domain**, i.e. the product of any two nonzero elements is also nonzero.

Examples:  $(\mathbb{Z}, +, \times)$  and  $(\mathbb{Z}_p, +, \times)$  ( $p$  is prime) are integral domains.

More complex example: The set of polynomials over a field,  $\mathbb{F}[x]$ , is an integral domain. Indeed, given:

$$p_n(x) = \sum_{i=0}^n a_i x^i, \quad q_m(x) = \sum_{i=0}^m b_i x^i, \quad a_n, b_m \neq 0$$

their product:

$$p_n(x)q_m(x) = a_n b_m x^{n+m} + s_{n+m-1}(x) \neq 0$$

# Fields

Intuitively, a field is a set  $A$  that is a commutative group with respect to two compatible operations: addition and multiplication (except 0).

**Def.:** Given a **commutative unitary ring**  $(A, +, \times)$  we say that it is a **field** if 1)  $1 \neq 0$  and 2)  $\forall a \in A^*$  there exists its inverse in respect to  $\times$ , i.e.  $a^{-1} \times a = 1$ .

In other words:  $(A, +, \times)$  is a field if  $(A, +)$  and  $(A^*, \times)$  are commutative groups

Examples:  $(\mathbb{R}, +, \times)$ ,  $(\mathbb{Q}, +, \times)$  are fields.

**Problem 7.1** Verify if the following sets are rings, commutative, contain 1, integral domains or fields.

(a) The set of positive integers, i.e.,  $\mathbb{N} \setminus \{0\}$ . It is not a ring since  $(\mathbb{N} \setminus \{0\}, +)$  is not a group (no 0).

(b) The integers multiples of 7, i.e.,  $7\mathbb{Z}$ .

$(7\mathbb{Z}, +)$  is a commutative group. Indeed  $+$  is associative and commutative, there exist a neutral element 0 and the opposite  $-a + a = 0$ . Then  $\times$  is associative, distributive, and commutative. Thus, it is a commutative ring. However,  $\times$  has no neutral element 1. It is not a unitary ring, but it is an integral domain.

(c)  $A = \{0, 1, -1, i, -i\}$ .  $(A, +)$  is not a group ( $1 + i \notin A$ )

(d)  $\mathcal{M}_{2 \times 3}(\mathbb{R})$  is not a ring since  $a \times b$  is not even defined.

(e)  $A = \mathcal{M}_{2 \times 2}(\mathbb{Z}_3)$ .

$(A, +)$  is a commutative group.  $(A, \times)$  is associative and has the neutral element  $1 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .  $(A, +, \times)$  is distributive. Thus it is a unitary ring.

It is not commutative (so it is not a field):

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 2 \end{pmatrix}$$

It is not integral domain:

$$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = 0$$



## Subrings and Ideals

**Def.:** Given a ring  $(A, +, \times)$  and  $S \subseteq A$  then if  $(S, +, \times)$  is a ring, it is called a **subring** of  $A$ .

**Def.:** Given a ring  $(A, +, \times)$  and its subring  $(I, +, \times)$ , then  $I$  is called an **ideal** of  $A$  if  $\forall s \in I$  and  $\forall a \in A$ :

$$as \in I, \quad sa \in I$$

Example:  $2\mathbb{Z}$  is an ideal of  $\mathbb{Z}$ . Indeed,  $2\mathbb{Z}$  is a ring (without unity) and  $\forall a = 2k \in 2\mathbb{Z}$ ,  $\forall b \in \mathbb{Z}$  we have

$$a \times b = b \times a = 2(kb) \in 2\mathbb{Z}$$

**Problem 7.2 (a)** Prove that  $B \subseteq A$  is a subring of  $A$  if  $\forall b, b' \in B$  we have  $b - b' \in B$ ,  $bb' \in B$ .

1)  $(B, +)$  is a commutative group:

a)  $+$  is associative due to  $A$ ; b)  $A$  has  $0$  and  $-a$ :  $a + 0 = a$ , then  $a - a = 0$ . Now let  $a = b$ ,  $b' = b$  then  $b - b = 0 \in B$ ; c) Opposite element:  $b = 0$  then  $0 - b' = -b' \in B$ . Besides,  $+$  is well defined.

2)  $\times$  is well defined since  $b \times b' \in B$ ;  $\times$  is associative and distributive due to  $A$

**(b)** If  $\forall b, b' \in B$  and  $\forall a \in A$  we have  $b - b' \in B$ ,  $ab \in B$ , and  $ba \in B$  then  $B$  is an ideal of  $A$ .

1)  $B$  is a subring: let  $a = b'$  then  $ab = b'b \in B$ . Thus, all conditions of (a) are satisfied and  $B$  is a subring.

2) By exercise:  $ab$ ,  $ba \in B$ . Thus, it is an ideal.

**Problem 7.3 (a)** Prove that  $B = \{0, 2, 4, 6, 8\}$  is a subring of  $\mathbb{Z}_{10}$ .

Let  $b = [2n]_{10}$  and  $b' = [2m]_{10}$ . Then  $b - b' = 2(n - m) \in B$  and  $bb' = 2(2nm) \in B$ . Thus by P.7.2 it is a subring.

**(b)** Is it an ideal?

Let  $a = [k]_{10} \in \mathbb{Z}_{10}$  then  $ab = ba = 2nk \in B$ . So it is.

**(c)** Construct the multiplication table:

$\times$	0	2	4	6	8
0	0	0	0	0	0
2	0	4	8	2	6
4	0	8	6	4	2
6	0	2	4	6	8
8	0	6	2	8	4

Thus,  $[6]_{10} = 1$  and the group is commutative and unitary.

(d) Is it a field?

We check the existence of the inverse:  $[2] \times [8] = [6]$ ,  
 $[4] \times [4] = [6]$ . Thus all elements in  $B^*$  have inverses and  $B$  is a field (it is isomorphic to  $\mathbb{Z}_5$ , which is a field).

**Problem 7.5** Prove that if  $a \in A$  is nilpotent than it is a divisor of 0.

Since  $a$  is nilpotent, then  $\exists n > 1$  s.t.  $a^n = 0$ . We then can write

$$0 = a^n = a \times a^{n-1} = a^{n-1} \times a, \quad a^{n-1} \in A$$

Thus  $a$  is a divisor of zero.

**Problem 7.6(b)** Find nilpotent elements of  $\mathbb{Z}_{12}$ .

Let's check all elements:  $2^2 = 4$ ,  $2^3 = 8$ ,  $2^4 = 4$ . Thus,  $[2]_{12}$  is not nilpotent, etc. There is only one nilpotent element:

$$[6^2]_{12} = [36]_{12} = [0]_{12}$$

**Problem 7.7:** Show that  $B = \left\{ \begin{pmatrix} a & b \\ -b & a \end{pmatrix} : a, b \in \mathbb{R} \right\}$  is a subring with unity of  $\mathcal{M}_{n \times n}(\mathbb{R})$ .

Using P.7.2: let  $c, c' \in B$  then it is trivial to show that  $c - c' \in B$  and  $c \times c' \in B$ .

Let  $f : B \rightarrow \mathbb{C}$  with  $\begin{pmatrix} a & b \\ -b & a \end{pmatrix} \mapsto a + bi$ . Prove that  $f$  is an isomorphism of rings.

**1.** It is a homomorphism. Indeed, let  $c, c' \in B$ , then a) Addition is trivial:

$$f(c + c') = (a + a') + (b + b')i = f(c) + f(c')$$

b) Multiplication:

$$\begin{aligned} f(cc') &= \begin{pmatrix} a & b \\ -b & a \end{pmatrix} \begin{pmatrix} a' & b' \\ -b' & a' \end{pmatrix} = \begin{pmatrix} aa' - bb' & ab' + ba' \\ -ba' - ab' & aa' - bb' \end{pmatrix} = \\ &= (aa' - bb') + (ab' + a'b)i = (a + bi)(a' + b'i) = f(c)f(c') \end{aligned}$$

c) Unity is trivial:

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \mapsto 1 + 0i$$

2.  $f$  is bijection. Again trivial  $\forall c \in B \exists! z = f(c) \in \mathbb{C}$  and vice versa.

Thus,  $f$  is a ring isomorphism. Since  $\mathbb{C}$  is a field, we can conclude that  $B$  is also a field.

# Polynomials

**Def.:** Let  $\mathbb{F}$  be a field. Then we define the set of polynomials over this field:

$$\mathbb{F}[x] = \{a_0 + a_1x + \cdots + a_nx^n : n \in \mathbb{N}, a_i \in \mathbb{F}, i = 0, \dots, n\}$$

**Def.:** Given  $p(x) = a_0 + a_1x + \cdots + a_nx^n$ ,  $a_n \neq 0$ , we shall call the polynomial **degree**:  $\deg(p) = n$ .

**Def.:** Given two polynomial  $p(x)$  and  $q(x)$  of degrees  $n$  and  $m$ , respectively. Assuming  $n \leq m$  we define:

**1. Addition of polynomial as:**

$$s(x) = p(x) + q(x) = \sum_{k=0}^m c_k x^k, \quad c_k = a_k + b_k$$

Note: for  $p(x)$  we set  $a_k = 0$  for  $k > n$ .

## 2. Product of polynomial as:

$$r(x) = p(x)q(x) = \sum_{k=0}^{n+m} c_k x^k, \quad c_k = \sum_{i=0}^k a_i b_{k-i}$$

Note that:  $\deg(s) \leq m$ ,  $\deg(r) = n + m$ .

Similar to integers we can state the remainder theorem for polynomials.

**Theorem: (about remainder)** Let  $\mathbb{F}[x]$  be a ring of polynomials defined over a field  $\mathbb{F}$ . Then for all  $P, Q \in \mathbb{F}[x]$  ( $Q \neq 0$ )  $\exists !s, r \in \mathbb{F}[x]$  s.t.

$$P(x) = s(x)Q(x) + r(x), \quad \deg(r) < \deg(Q)$$



**Problem 7.8:** Divide polynomials:

(a)  $P(x) = x^4 + 3x^3 + 2x^2 + x + 4$ ,  $Q(x) = 3x^2 + 2x$  in  $\mathbb{Z}_5[x]$ .

We need  $[3]_5^{-1} = [2]_5$ . Then, e.g.  $1 = 2 \times 3$ ,  $4 \times 2 = 3$ , etc.

$$\begin{array}{r}
 x^4 + 3x^3 + 2x^2 + x + 4 \\
 \underline{-(x^4 + 4x^3)} \\
 4x^3 + 2x^2 + x + 4 \\
 \underline{-(4x^3 + x^2)} \\
 x^2 + x + 4 \\
 \underline{-(x^2 + 4x)} \\
 2x + 4
 \end{array}
 \qquad
 \begin{array}{r}
 3x^2 + 2x \\
 \underline{2x^2 + 3x + 2}
 \end{array}$$

Thus,

$$P = (2x^2 + 3x + 2)Q(x) + 2x + 4$$

(b)  $P(x) = x^{10}$ ,  $Q(x) = x^2 + 1$  in  $\mathbb{Z}_2[x]$ .

Note that  $-1 = 1$  in  $\mathbb{Z}_2$

Thus

$$x^{10} = (x^8 + x^6 + x^4 + x^2 + 1) \times (x^2 + 1) + 1$$

Let  $\mathbb{F}$  be a field and  $P, Q \in \mathbb{F}[x]$  ( $Q \neq 0$ ). Then:

**Def.:** We say that  $Q$  **divides**  $P$  if there exists  $q \in \mathbb{F}[x]$  s.t.

$$P(x) = q(x)Q(x)$$

**Def.:** We say that  $P(x)$  is **irreducible** if any polynomial  $Q$  that divides  $P$  has either  $\deg(Q) = 0$  or  $\deg(Q) = \deg(P)$ .

**Def.:** We say that  $D \in \mathbb{F}[x]$  is the **greatest common divisor** of  $P$  and  $Q$  if  $D|P$  and  $D|Q$ . Besides, if  $h|P$  and  $h|Q$ , then  $\deg(h) \leq \deg(D)$ .

**Lemma of Bezout.** Given  $P, Q \in \mathbb{F}[x]$  and  $D = \gcd(P, Q)$ . Then there exist  $u, v \in \mathbb{F}[x]$  s.t.

$$D(x) = u(x)P(x) + v(x)Q(x)$$

## Euclides algorithm

Let  $P, Q \in \mathbb{F}[x]$  and  $P(x) = q(x)Q(x) + r(x)$  ( $\deg(r) < \deg(Q)$ ).  
Then we construct the table:

$r_i$	$P$	$Q$	$r$
$q_i$		$q$	
$\alpha_i$	1	0	
$\beta_i$	0	1	

where  $r_i$ ,  $\alpha_i$ , and  $\beta_i$  are calculate by:

$$r_i = r_{i-2} - q_{i-1}r_{i-1}$$

Then

$$r_n(x) = \gcd(P, Q) = \alpha_n(x)P(x) + \beta_n(x)Q(x)$$

**Problem 7.10:** Find the greatest common divisor of the following polynomials and write them in the form  $a(x)f(x) + b(x)g(x)$ :

(a)  $f(x) = x^3 - 1$ ,  $g(x) = x^4 - x^3 + x^2 + x - 2$  in  $\mathbb{Q}[x]$

$g$	$f$	$x^2 + 2x - 3$	$7x - 7$	0
	$x - 1$	$x - 2$	$\frac{1}{7}x + \frac{3}{7}$	
1	0	1	$-x + 2$	
0	1	$-x + 1$	$1 + (x - 1)(x - 2)$	

$g = (x - 1)f + x^2 + 2x - 3$ ;  $f = (x - 2)(x^2 + 2x - 3) + 7x - 7$ ;  
 $x^2 + 2x - 3 = (\frac{1}{7}x + \frac{3}{7})(7x - 7)$ . Thus

$$7x - 7 = (-x + 2)(x^4 - x^3 + x^2 + x - 2) + (x^2 - 3x + 3)(x^3 - 1)$$

### Problem 7.11 Find zeros

(a)  $f(x) = x^5 + 3x^3 + x^2 + 2x \in \mathbb{Z}_5[x]$

$$f(x) = x(x^4 + 3x^2 + x + 2)$$

Thus,  $x = 0$  is a root. Now assume  $x \neq 0$  and by using Fermat ( $a^4 = 1$  in  $\mathbb{Z}_5$ ) we get that roots can be found from

$$3x^2 + x + 3 = 0 = (x - \alpha)(3x + c) = 3(x - \alpha)(x - 3c). \text{ Then } 3\alpha c = 1 \Rightarrow 3c = \alpha^{-1}. \text{ Thus, } 3x^2 + x + 3 = 3(x - \alpha)(x - \alpha^{-1}).$$

$$\alpha = 1 \quad \Rightarrow \quad 3 + 1 + 3 = 2 \neq 0$$

$$\alpha = 2 \quad \Rightarrow \quad 12 + 2 + 3 = 2 \neq 0$$

$$\alpha = 3 = -2 \quad \Rightarrow \quad 12 - 2 + 3 = 3 \neq 0$$

$$\alpha = 4 = -1 \quad \Rightarrow \quad 3 - 1 + 3 = 0$$

Thus,  $\alpha = 4$  and  $\alpha^{-1} = 4^{-1} = 4$  are roots (i.e. 4 is a double root).

(b)  $g = x^5 - x \in \mathbb{Z}_5[x]$ .

$$g = x(x^4 - 1)$$

We know that  $x - 1 \mid x^n - 1$  (Ex. 9). Thus by dividing:

$$x^4 - 1 = (x^3 + x^2 + x + 1)(x - 1)$$

Therefore  $g = x(x - 1)(x^3 + x^2 + x + 1)$ . Then we can check that  $x = 1$  is not multiple. For  $x = 2$  we have  $3 + 4 + 2 + 1 = 0$ . Then  $g = x(x - 1)(x - 2)(x^2 + 3x + 2)$ . We now see  $x = -1$  is also a root. Finally:

$$g = x(x - 1)(x - 2)(x - 3)(x - 4).$$

**From the other side:**  $x^4 - 1 = 1 - 1 = 0$  ( $x \neq 0$ ). Thus, for any  $x$  we  $g(x) = 0$ , which means that  $x = 0, 1, 2, 3, 4$  are roots and we get the same result.

## Multiple roots

If  $\alpha$  is a root of  $p \in \mathbb{F}[x]$  then

$$p(x) = (x - \alpha)q(x)$$

If  $\alpha \in \mathbb{F}$  then  $q \in \mathbb{F}[x]$  (if not then  $q$  belongs to a bigger set).

If  $\deg(p) = n$  then  $p$  at most has  $n$  roots.

**Def.:** We say that  $\alpha$  is a **root** of  $p \in \mathbb{F}[x]$  of **multiplicity**  $k$  if

$$p(x) = (x - \alpha)^k q(x), \quad q \in \mathbb{F}[x], \quad q(\alpha) \neq 0$$

**Def.:** Given  $p \in \mathbb{F}[x]$  of degree  $n$  we introduce its **derivative** as:

$$p'(x) = a_1 + 2a_2x + 3a_3x^2 + \cdots + na_nx^{n-1}$$



Example: [P.7.12(c)]  $p = x^3 + x + 1$  in  $\mathbb{Z}_3[x]$ :

$$p' = [3]_3 x^2 + [1]_3 = 1$$

If  $p' = 0$  then all roots of  $p$  are multiple. Indeed, Let  $\alpha$  be a root of  $p$ , then

$$p = (x - \alpha)q \Rightarrow p' = q + (x - \alpha)q' = 0 \Rightarrow p = (x - \alpha)^2(-q)$$

**Theorem:** Let  $p \in \mathbb{F}[x]$  such that  $p' \neq 0$ . Then the next statements are equivalent: a) There exists a multiple root  $\alpha$ ; b)  $(x - \alpha)$  divides  $p$  and  $p'$ ; c)  $h = \gcd(p, p')$  s.t.  $\deg(h) = n \geq 1$  and  $a_n = 1$ .

## Searching for multiple roots

Let  $\alpha \in \mathbb{F}$  be a multiple root of  $p \in \mathbb{F}[x]$ . Then

$$p(x) = (x - \alpha)^k q(x), \quad q \in \mathbb{F}[x], \quad q(\alpha) \neq 0$$

The derivative:

$$p'(x) = k(x - \alpha)^{k-1}q(x) + (x - \alpha)^k q'(x) = (x - \alpha)^{k-1}[kq(x) + (x - \alpha)q'(x)]$$

Denoting  $h = kq(x) + (x - \alpha)q'(x)$  we observe:

$$p'(x) = (x - \alpha)^{k-1}h(x), \quad h \in \mathbb{F}[x], \quad h(\alpha) = kq(\alpha) \neq 0$$

Thus,

$$(x - \alpha)^{k-1} \mid p(x) \quad \text{and} \quad (x - \alpha)^{k-1} \mid p'(x)$$

We thus have to find the  $\gcd(p, p')$ . If it can be presented in the form  $c(x - \alpha)^n$ , then  $\alpha$  is a root of multiplicity  $n + 1$ .

**Problem 7.12** Which polynomials have multiple roots?

(a) This is horrible. Don't continue.

(b)  $g(x) = x^3 + 2x - i$  in  $\mathbb{C}[x]$

$$g'(x) = 3x^2 + 2$$

Let's find the gcd by the Euclides algorithm:

$$\begin{array}{c|c|c|c|c} g & g' & \frac{4}{3}x - i & \frac{5}{16} & 0 \\ \hline & \frac{x}{3} & \frac{9}{4}x + \frac{27}{16}i & \frac{64}{15}x - \frac{16}{5}i & \end{array}$$

Thus  $\gcd(g, g') = \frac{5}{16} \Rightarrow \deg(\gcd(g, g')) = 0$  and hence there are no multiple roots.

(c)  $f = x^3 + x + 1$  in  $\mathbb{Z}_3[x]$

$$f' = 3x^2 + 1 = 1 \Rightarrow \gcd(f, f') = 1 \Rightarrow \deg(1) = 0$$

Thus,  $f$  has no multiple roots.

$$(g) \ f = x^5 + 5x^4 + 3x^3 + 2x + 1 \text{ in } \mathbb{Z}_7[x]$$

$$f' = 5x^4 - x^3 + 2x^2 + 2$$

Let's find gcd

$$\begin{array}{c|c|c|c|c} f & f' & x^2 + 3x + 2 & 3x + 6 & 0 \\ \hline & 3(x + 1) & 5(x^2 + x + 1) & 5(x + 1) & \end{array}$$

Thus,  $\gcd(f, f') = 3x + 6$ ,  $\deg(3x + 6) = 1$  and therefore there are multiple roots. Namely:

$$3x + 6 = 3(x + 2) = 3(x - 5)$$

Thus,  $x = 5$  is a root with multiplicity 2.