

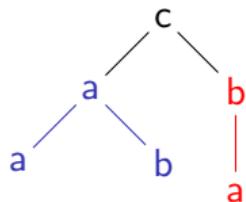
Stackless Processing of Streamed Trees

Corentin Barloy, Filip Murlak, Charles Paperman

LINKS seminar

Processing streamed trees

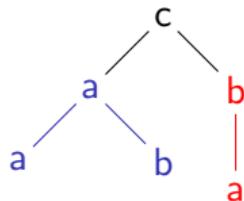
XML encoding of trees:



$\langle c \rangle$
 $\langle a \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle b \rangle \langle /b \rangle$
 $\langle /a \rangle$
 $\langle b \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle /b \rangle$
 $\langle /c \rangle$

Processing streamed trees

XML encoding of trees:



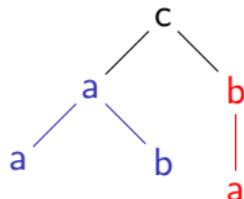
$\langle c \rangle$
 $\langle a \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle b \rangle \langle /b \rangle$
 $\langle /a \rangle$
 $\langle b \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle /b \rangle$
 $\langle /c \rangle$

Two problems:

- ▶ validation
- ▶ querying

Processing streamed trees

XML encoding of trees:



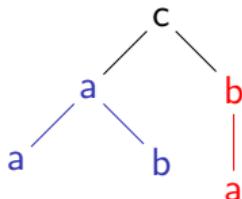
$\langle c \rangle$
 $\langle a \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle b \rangle \langle /b \rangle$
 $\langle /a \rangle$
 $\langle b \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle /b \rangle$
 $\langle /c \rangle$

Two problems:

- ▶ validation - decide whether a tree complies with a given specification.
- ▶ querying

Processing streamed trees

XML encoding of trees:



$\langle c \rangle$
 $\langle a \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle b \rangle \langle /b \rangle$
 $\langle /a \rangle$
 $\langle b \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle /b \rangle$
 $\langle /c \rangle$

Two problems:

- ▶ validation - decide whether a tree complies with a given specification.
- ▶ querying - select all nodes satisfying a given query.

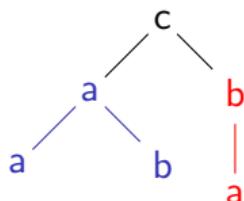
Querying

RPQs: the path from the root belongs to a given regular language.

Querying

RPQs: the path from the root belongs to a given regular language.

Evaluate the RPQ ca^*b :

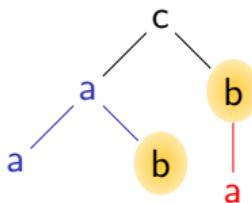


$\langle c \rangle$
 $\langle a \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle b \rangle \langle /b \rangle$
 $\langle /a \rangle$
 $\langle b \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle /b \rangle$
 $\langle /c \rangle$

Querying

RPQs: the path from the root belongs to a given regular language.

Evaluate the RPQ ca^*b :

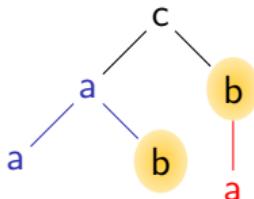


$\langle c \rangle$
 $\langle a \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle b \rangle \langle /b \rangle$
 $\langle /a \rangle$
 $\langle b \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle /b \rangle$
 $\langle /c \rangle$

Querying

RPQs: the path from the root belongs to a given regular language.

Evaluate the RPQ ca^*b :



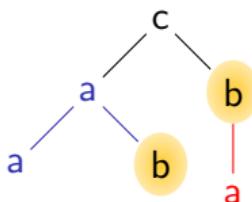
$\langle c \rangle$
 $\langle a \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle b \rangle \langle /b \rangle$
 $\langle /a \rangle$
 $\langle b \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle /b \rangle$
 $\langle /c \rangle$

We do pre-selecting for more flexibility.

Querying

RPQs: the path from the root belongs to a given regular language.

Evaluate the RPQ ca^*b :



$\langle c \rangle$
 $\langle a \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle b \rangle \langle /b \rangle$
 $\langle /a \rangle$
 $\langle b \rangle$
 $\langle a \rangle \langle /a \rangle$
 $\langle /b \rangle$
 $\langle /c \rangle$

We do pre-selecting for more flexibility.

Motivation: Path Queries = Order-invariant Queries.

Evaluation in constant memory

Which RPQs can be evaluated in constant memory?

Theorem (Effective characterisation)

RPQ L can be evaluated in constant memory $\Leftrightarrow L$ is (almost) reversible

Which RPQs can be evaluated in constant memory?

Theorem (Effective characterisation)

RPQ L can be evaluated in constant memory $\Leftrightarrow L$ is (almost) reversible

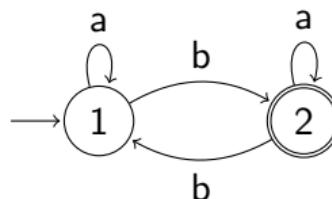
The minimal automaton is co-deterministic, that is, after reversing the arrows it is deterministic.

Which RPQs can be evaluated in constant memory?

Theorem (Effective characterisation)

RPQ L can be evaluated in constant memory $\Leftrightarrow L$ is (almost) reversible

The minimal automaton is **co-deterministic**, that is, after reversing the arrows it is deterministic.



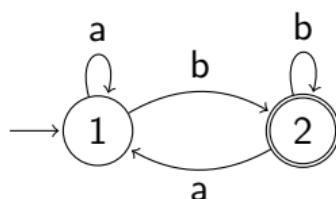
$(a^*ba^*ba^*)^*$ is doable.

Which RPQs can be evaluated in constant memory?

Theorem (Effective characterisation)

RPQ L can be evaluated in constant memory $\Leftrightarrow L$ is (almost) reversible

The minimal automaton is co-deterministic, that is, after reversing the arrows it is deterministic.



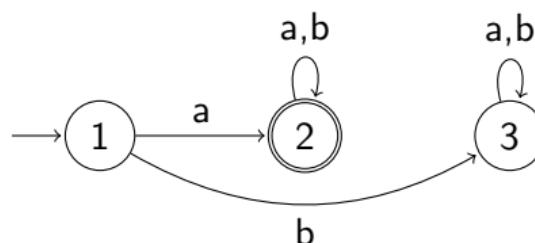
We can check last letters for free: $\frac{\{a, b\}^* b}{//b}$ is doable.

Which RPQs can be evaluated in constant memory?

Theorem (Effective characterisation)

RPQ L can be evaluated in constant memory $\Leftrightarrow L$ is (almost) reversible

The minimal automaton is co-deterministic, that is, after reversing the arrows it is deterministic.



We can check the root for free: $\frac{a\{a, b\}^*}{a//}$ is doable.

Proof sketch

\Leftarrow : If L is reversible then it can be evaluated in constant memory.

Proof sketch

\Leftarrow : If L is reversible then it can be evaluated in constant memory.

Algorithm:

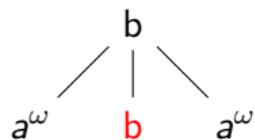
- ▶ When an opening tag is read, follow the transition in the [automaton](#).
- ▶ When a closing tag is read, follow the transition in the [reverse automaton](#).

Proof sketch

$\Rightarrow: \frac{(a + b + c)^* ab}{//a/b}$ cannot be evaluated in constant memory.

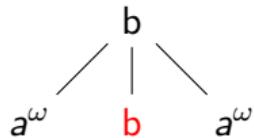
Proof sketch

$\Rightarrow: \frac{(a + b + c)^* ab}{//a/b}$ cannot be evaluated in constant memory.



Proof sketch

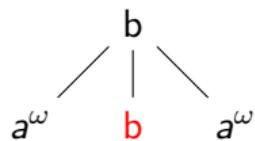
$\Rightarrow: \frac{(a + b + c)^* ab}{//a/b}$ cannot be evaluated in constant memory.



$\langle b \rangle \quad \langle a \rangle \cdots \langle a \rangle \langle /a \rangle \cdots \langle /a \rangle \quad \langle b \rangle \langle /b \rangle \quad \langle a \rangle \cdots \langle a \rangle \langle /a \rangle \cdots \langle /a \rangle \quad \langle /b \rangle$

Proof sketch

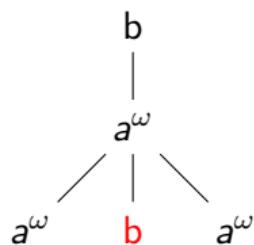
$\Rightarrow: \frac{(a + b + c)^* ab}{//a/b}$ cannot be evaluated in constant memory.



$\langle b \rangle \quad \langle a \rangle \dots \langle a \rangle \langle /a \rangle \dots \langle /a \rangle \quad \langle b \rangle \langle /b \rangle \quad \langle a \rangle \dots \langle a \rangle \langle /a \rangle \dots \langle /a \rangle \quad \langle /b \rangle$

Proof sketch

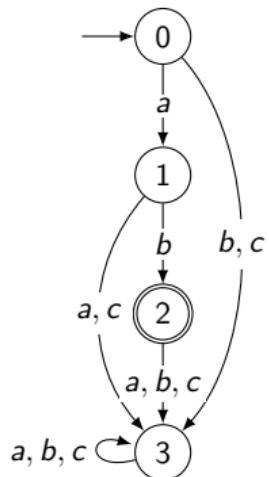
$\Rightarrow: \frac{(a + b + c)^* ab}{//a/b}$ cannot be evaluated in constant memory.



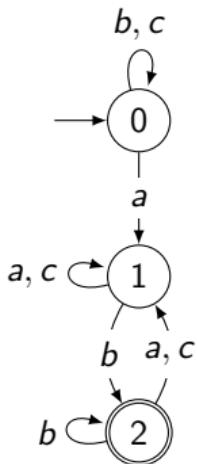
$\langle b \rangle \quad \langle a \rangle \dots \langle a \rangle \langle /a \rangle \dots \langle /a \rangle \quad \langle b \rangle \langle /b \rangle \quad \langle a \rangle \dots \langle a \rangle \langle /a \rangle \dots \langle /a \rangle \quad \langle /b \rangle$

Limitations of constant memory evaluation

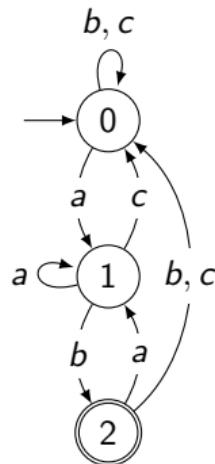
Many queries cannot be evaluated in constant memory.



$$\begin{array}{c} ab \\ /a/b \end{array}$$



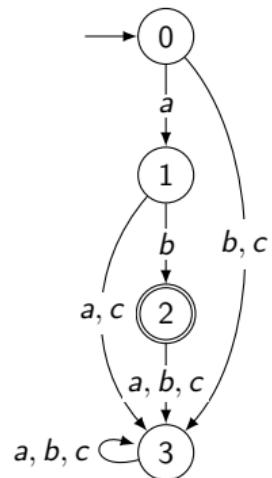
$$\begin{array}{c} (a+b+c)^*a(a+b+c)^*b \\ //a//b \end{array}$$



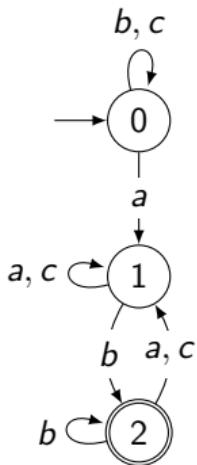
$$\begin{array}{c} (a+b+c)^*ab \\ //a/b \end{array}$$

Limitations of constant memory evaluation

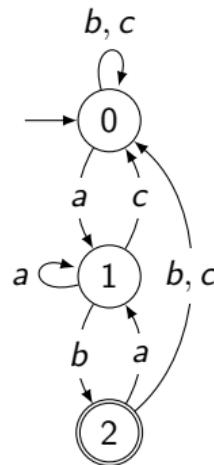
Many queries cannot be evaluated in constant memory.



$$\begin{array}{l} ab \\ /a/b \end{array}$$



$$\begin{array}{l} (a+b+c)^*a(a+b+c)^*b \\ //a//b \end{array}$$



$$\begin{array}{l} (a+b+c)^*ab \\ //a/b \end{array}$$

They can be evaluated using a **stack**, but this is costly (memory linear in the depth).

Stackless queries (Evaluation in logarithmic memory)

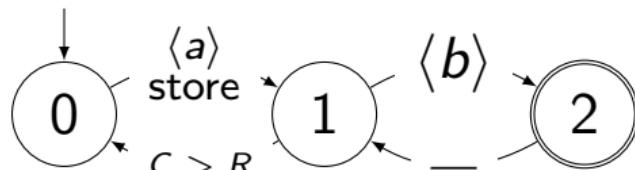
Stackless automata

- Main ingredients:
- a finite state machine,
 - a counter that stores the current depth in the tree,
 - a finite number of registers where the counter values can be stored,
 - can compare register values with the current depth.

Stackless automata

- Main ingredients:
- a finite state machine,
 - a counter that stores the current depth in the tree,
 - a finite number of registers where the counter values can be stored,
 - can compare register values with the current depth.

Evaluating:

$$(a+b+c)^*a(a+b+c)^*b$$
$$//a//b$$


Effective characterisation of stackless RPQs

Theorem

The RPQ L is stackless $\Leftrightarrow L$ is hierarchically (almost) reversible

Effective characterisation of stackless RPQs

Theorem

The RPQ L is stackless $\Leftrightarrow L$ is hierarchically (almost) reversible

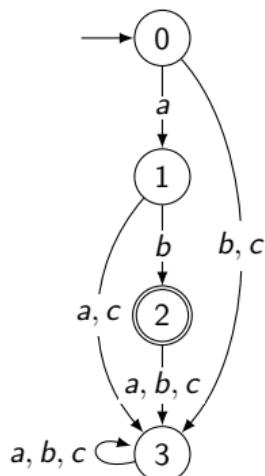
Each **strongly connected component** is (almost) reversible:

Effective characterisation of stackless RPQs

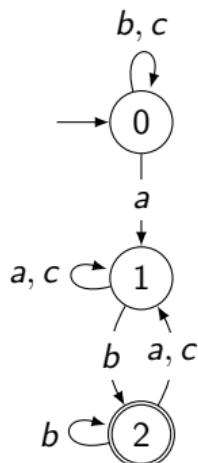
Theorem

The RPQ L is stackless $\Leftrightarrow L$ is hierarchically (almost) reversible

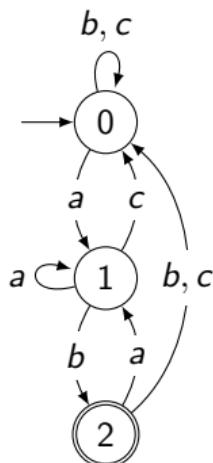
Each **strongly connected component** is (almost) reversible:



/a/b
is HAR



//a//b
is HAR



//a/b
is **not** HAR

Proof sketch

\Leftarrow : If L is hierarchically reversible then it can be evaluated stacklessly.

Proof sketch

\Leftarrow : If L is hierarchically reversible then it can be evaluated stacklessly.

Algorithm:

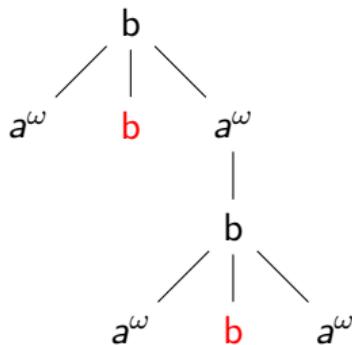
- ▶ When an opening tag is read, follow the transition in the [automaton](#).
If there is a change of SCC, store the depth in a register.
- ▶ When a closing tag is read, follow the transition in the [reverse automaton](#).
If the depth reached is stored, resume the computation in the previous SCC instead.

Proof sketch

$\Rightarrow: \frac{(a + b + c)^* ab}{//a/b}$ cannot be evaluated stacklessly.

Proof sketch

$\Rightarrow: (a + b + c)^*ab$
 $//a/b$ cannot be evaluated stacklessly.



Expressivity outside of RPQs

There are stackless languages that are not [regular](#):

The set of trees such that all a's are at the same depth.

Expressivity outside of RPQs

There are stackless languages that are not [regular](#):

The set of trees such that all a's are at the same depth.

We can ensure regularity by [restricting](#) the use of the registers.

Expressivity outside of RPQs

There are stackless languages that are not [regular](#):

The set of trees such that all a's are at the same depth.

We can ensure regularity by [restricting](#) the use of the registers.

Trees with a given [descendant pattern](#) is stackless.

Expressivity outside of RPQs

There are stackless languages that are not [regular](#):

The set of trees such that all a's are at the same depth.

We can ensure regularity by [restricting](#) the use of the registers.

Trees with a given [descendant pattern](#) is stackless.

Finding a [sequence of consecutive siblings](#) is not stackless.

When can we validate a document in constant memory?

Validation

Check if the tree conforms to the given [schema](#), modelled as a regular tree language.

When can we validate a document in constant memory?

Validation

Check if the tree conforms to the given schema, modelled as a regular tree language.

Weak validation [Segoufin, Vianu PODS'02]

Assume that the input is a correct encoding of some tree.

When can we validate a document in constant memory?

Validation

Check if the tree conforms to the given schema, modelled as a regular tree language.

Weak validation [Segoufin, Vianu PODS'02]

Assume that the input is a correct encoding of some tree.

Characterize the schemas that can be weakly validated in constant memory.

When can we validate a document in constant memory?

Validation

Check if the tree conforms to the given schema, modelled as a regular tree language.

Weak validation [Segoufin, Vianu PODS'02]

Assume that the input is a correct encoding of some tree.

Characterize the schemas that can be weakly validated in constant memory.

Segoufin & Vianu solved it for fully recursive DTDs.

We solve it for tree languages of the form: each branch is in language L .

General problem still open, both for constant-memory and our stackless model.

Conclusion

- ▶ Similar characterisations hold for **JSON-like** encoding, where closing tags carry no information on the letters.

Conclusion

- ▶ Similar characterisations hold for **JSON-like** encoding, where closing tags carry no information on the letters.
- ▶ Ongoing work on leveraging **schemas** for querying streamed trees.

Conclusion

- ▶ Similar characterisations hold for **JSON-like** encoding, where closing tags carry no information on the letters.
- ▶ Ongoing work on leveraging **schemas** for querying streamed trees.
- ▶ Ongoing work on **vectorization**.