

Do classical de Sitter string backgrounds exist?

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Based on arXiv:1902.10093

arXiv:2004.00030 (with N. Cribiori, D. Erkiner)

arXiv:2005.12930, 2006.01848 (with P. Marconnet, T. Wrase)

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13/10/2020

Seminar Series on String Phenomenology

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De Sitter solutions: 4d de Sitter space-time, $\mathcal{R}_4 = 4\Lambda > 0$.

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(Quasi) de Sitter solutions in cosmological models, \checkmark observ.
Appear in periods of accelerated expansion, where
dark energy: (approx.) Λ
Late universe Λ CDM, early univ. (slow roll single field) inflation

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\hookrightarrow de Sitter solutions in fundamental theory/quantum gravity?

In string theory: difficult to get well-controlled de Sitter solutions

U. H. Danielsson, T. Van Riet [[arXiv:1804.01120](#)]

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In string theory: difficult to get well-controlled de Sitter
solutions

U. H. Danielsson, T. Van Riet [arXiv:1804.01120]

Various approaches, perturbative or not

S. Kachru, R. Kallosh, A. D. Linde, S. P. Trivedi [hep-th/0301240],

V. Balasubramanian, P. Berglund, J. P. Conlon, F. Quevedo [hep-th/0502058]

Here: focus on classical regime,
i.e. **classical de Sitter string backgrounds**.

D. A. [arXiv:1902.10093]

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Classical de Sitter string backgrounds

Motivation: “simple” well-defined framework, good chances to control approximations.

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In practice: 10d = 4d de Sitter \times 6d compact space \mathcal{M}
+ fluxes + D_p -branes, orientifold O_p -planes

10d description (\sim type II supergravity) or 4d effective
description with a scalar potential V

\Rightarrow (classical) de Sitter solutions? **Well-posed question.**

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\Rightarrow (classical) de Sitter solutions? **Well-posed question.**

Cost to simplicity: not many ingredients at hand,
very constrained framework, many no-go theorems.

\rightarrow very difficult to find such solutions, none is known
up-to-date, but not excluded

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Do classical de Sitter string backgrounds exist?

Go through attempts to find some, or to constrain more.

Conjectured answer: **no**

Swampland program: characterizing what can be obtained
from string theory

E. Palti [arXiv:1903.06239]

T. D. Brennan, F. Carta, C. Vafa [arXiv:1711.00864]

\hookrightarrow no de Sitter solution, in asymptotic regime, e.g. classical...

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Can one trust these swampland de Sitter conjectures? \rightarrow Test
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Deeper physical reason?

Through relations between swampland conjectures, web of
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\Rightarrow hints at a more fundamental principle...

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Plan:

- Search for classical de Sitter string backgrounds and discuss difficulties faced
- Swampland de Sitter conjectures and no-go theorems: a surprising quantitative match
- (Deeper into the web: relation to the (generalized) swampland distance conjecture and bounds)

Classical de Sitter solutions

Classical (perturb.) string background: sol. of 10d supergravity

4d de Sitter \times 6d compact manifold

+ fluxes, intersecting O_p/D_p sources, curvature ($\mathcal{R}_6 < 0$)

Typically: 6d compact group manifold, constant fluxes,
“smeared” sources

(Ansatz different than in

C. Cordova, G. Bruno De Luca, A. Tomasiello [arXiv:1812.04147],

N. Cribiori, D. Junghans [arXiv:1902.08209],

C. Cordova, G. Bruno De Luca, A. Tomasiello [arXiv:1911.04498],

N. Kim [arXiv:2004.05885]

→ not considered further)

Two steps:

1.

2.

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Two steps:

1. find 10d (type II) supergravity de Sitter solution

Very constrained, no-go theorems

Find some:

C. Caviezel, P. Koerber, S. Kors, D. Lüst, T. Wrase, M. Zagermann [arXiv:0812.3551],

R. Flauger, S. Paban, D. Robbins, T. Wrase [arXiv:0812.3886],

C. Caviezel, T. Wrase, M. Zagermann [arXiv:0912.3287],

U. H. Danielsson, P. Koerber, T. Van Riet [arXiv:1003.3590],

U. H. Danielsson, S. S. Haque, P. Koerber, G. Shiu, T. Van Riet, T. Wrase [arXiv:1103.4858],

C. Roupec, T. Wrase [arXiv:1807.09538],

D. A., P. Marconnet, T. Wrase [arXiv:2005.12930]

with intersecting O_6/D_6 , or O_5 & O_7 , or O_5/D_5 (new).

2.

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2. verify that in classical string regime: small g_s , large volume...

C. Roupec, T. Wrase [arXiv:1807.09538],

D. Junghans [arXiv:1811.06990],

A. Banlaki, A. Chowdhury, C. Roupec, T. Wrase [arXiv:1811.07880],

D. A. [arXiv:1902.10093],

T. W. Grimm, C. Li, I. Valenzuela [arXiv:1910.09549],

D. A., P. Marconnet, T. Wrase [arXiv:2006.01848]

↪ no solution left!

No-go theorems and parameter space

Existence of de Sitter solutions

5 supergravity equations (e.o.m., BI) \rightarrow constraints:

T. Wrase, M. Zagermann [arXiv:1003.0029], G. Shiu, Y. Sumitomo [arXiv:1107.2925]

D. A., J. Blåbäck, [arXiv:1609.00385], D. A. [arXiv:1710.08886]

D. A. [arXiv:1807.09698], [arXiv:1902.10093]

p	A de Sitter solution requires $T_{10} > 0$ and	
	$\mathcal{R}_6 \geq 0$	$\mathcal{R}_6 < 0$
3	×	×
4	×	??
5	×	??
6	×	??
7	×	×
8	×	×
9	×	×

Excluded in many cases. Small corner of parameter space left:
 $O_p (T_{10} > 0), \mathcal{R}_6 < 0, p = 4, 5, 6, F_{6-p} \neq 0, +$ more restrictions.

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 O_p ($T_{10} > 0$), $\mathcal{R}_6 < 0$, $p = 4, 5, 6$, $F_{6-p} \neq 0$, + more restrictions.
+ having $N > 1$ sets of intersecting sources O_p/D_p helps,
i.e. wrap different internal directions.

Example: known sol. with intersecting O_6/D_6 , $\mathcal{R}_6 < 0$, $F_0 \neq 0$.

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i.e. wrap different internal directions.

Example: known sol. with intersecting O_6/D_6 , $\mathcal{R}_6 < 0$, $F_0 \neq 0$.
 \hookrightarrow very constrained, but also \checkmark indicates **where to look** to find new solutions.

Looking for de Sitter solutions

↪ Look for IIB de Sitter solutions with intersecting O_5/D_5

D. A., P. Marconnet, T. Wrase [[arXiv:2005.12930](#)]

Motivation + placement of sources: strong analogy between
 $p = 5$ and $p = 6$

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$\hookrightarrow N = 3$ sets ($I = 1, 2, 3$) of O_5/D_5

Space dimensions	1	2	3	4	5	6	7	8	9
$I = 1: O_5, D_5$	\otimes	\otimes	\otimes	\otimes	\otimes				
$I = 2: (O_5), D_5$	\otimes	\otimes	\otimes			\otimes	\otimes		
$I = 3: (D_5)$	\otimes	\otimes	\otimes					\otimes	\otimes

Search on group manifolds, defined by Lie algebra $\{f^a_{bc}\}$

We find (numerically) **17 new de Sitter solutions** in type IIB supergravity with intersecting O_5/D_5 , on group manifolds.

One example: $f^a{}_{bc}, F_1, F_3, H, T_{10}^I$ (units of $2\pi l_s$)

$$\begin{aligned} f^2{}_{35} &= -0.35847, & f^2{}_{45} &= 0.95728, & f^2{}_{46} &= -0.59118, \\ f^3{}_{15} &= 0.21904, & f^3{}_{16} &= 0.18899, & f^4{}_{15} &= 0.11460, \\ f^6{}_{14} &= -0.045686, & f^3{}_{25} &= -f^4{}_{15}, & f^1{}_{45} &= -f^2{}_{35}, \\ g_s F_{1\ 5} &= -0.38308, & g_s F_{3\ 136} &= 0.35228, & g_s F_{3\ 235} &= 0.50883, \\ g_s F_{3\ 236} &= 1.0454, & F_{3\ 246} &= F_{3\ 136}, & H_{125} &= 0.039232, \\ H_{126} &= -0.093956, & H_{345} &= -0.012542, & H_{346} &= 0.29391, \\ g_s T_{10}^1 &= 10, & g_s T_{10}^2 &= 1.0654, & g_s T_{10}^3 &= -0.28655. \end{aligned}$$

For this solution, we have $\mathcal{R}_4 = 0.049845$.

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Compactness of group manifold: existence of a lattice
(constraints on structure constants): proven for 4 solutions.

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Compactness of group manifold: existence of a lattice
(constraints on structure constants): proven for 4 solutions.

Stability: all solutions are pert. unstable. Tools developed...

Classical regime of string theory

D. A., P. Marconnet, T. Wrase [[arXiv:2006.01848](#)]

A 10d supergravity solution: a classical string background?

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↔ **5** (sufficient) **requirements**:

- Small g_s
- “Large volume”

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 $\Rightarrow r^a \geq 10$

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 $\Rightarrow r^a \geq 10$
- Quantization of (harmonic components of) **fluxes**:
 $F_{q a_1 \dots a_q} = \frac{N_{q a_1 \dots a_q}}{r^{a_1} \dots r^{a_q}}$ (units of $2\pi l_s$) $\Rightarrow N_{q a_1 \dots a_q} \in \mathbb{Z}$

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- Number of orientifolds $N_{O_5}^I$ finite, fixed by geometry
 \Rightarrow number of sources $N_s^I = N_{O_5}^I - N_{D_5}^I$ bounded.

$$T_{10}^I = \frac{6 N_s^I}{r^{a_{1\perp}} \dots r^{a_{4\perp}}} \text{ (units of } 2\pi l_s) \Rightarrow N_s^I \in \mathbb{Z}, \quad N_s^I \leq N_{O_5}^I$$

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- Number of orientifolds $N_{O_5}^I$ finite, fixed by geometry
 \Rightarrow number of **sources** $N_s^I = N_{O_5}^I - N_{D_5}^I$ bounded.
 $T_{10}^I = \frac{6 N_s^I}{r^{a_1} \dots r^{a_4}}$ (units of $2\pi l_s$) $\Rightarrow N_s^I \in \mathbb{Z}$, $N_s^I \leq N_{O_5}^I$
- **Lattice** quantization conditions: $f^a_{bc} = \frac{r^a N_a}{r^b r^c}$ (units $2\pi l_s$)
 $\Rightarrow N_a$ quantization conditions

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 $T_{10}^I = \frac{6 N_s^I}{r^{a_1} \dots r^{a_4}}$ (units of $2\pi l_s$) $\Rightarrow N_s^I \in \mathbb{Z}$, $N_s^I \leq N_{O_5}^I$
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Last 3 conditions: need a detailed knowledge of 6d geometry...

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Full program only carried out for 2 solutions:
 \Rightarrow **not classical de Sitter backgrounds!**

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Full program only carried out for 2 solutions:

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Example: solution 14:

- $g_s = 0.068818$ ✓
- $r^1 = 86.658$, $r^2 = 272.28$, $r^3 = 10.834$,
 $r^4 = 18.142$, $r^5 = 198.25$, $r^6 = 10.562$ ✓
- Fluxes: $N_{15} = -1$, $N_{3\omega_1} = 38$, $N_{3\omega_2} = 135$ ✓
- Sources: $N_{O_5} = 16$, $N_s^1 = 16$, $N_s^2 = -17$, $N_s^3 = -14$ ✓
- Lattice: $\sqrt{N_2 N_3} \in \mathbb{N}^*$, $\sqrt{N_1 N_6} \in \mathbb{N}^*$: ✗
 $N_3 = 0.084801 = (0.015659)^2 / N_2$
 $N_6 = 0.077905 = (0.012107)^2 / N_1$

Full program only carried out for 2 solutions:

⇒ **not classical de Sitter backgrounds!**

Other solutions: partial checks of requirements: successful for 2 other solutions.

Example: solution 14:

- $g_s = 0.068818$ ✓
- $r^1 = 86.658$, $r^2 = 272.28$, $r^3 = 10.834$,
 $r^4 = 18.142$, $r^5 = 198.25$, $r^6 = 10.562$ ✓
- Fluxes: $N_{15} = -1$, $N_{3\omega_1} = 38$, $N_{3\omega_2} = 135$ ✓
- Sources: $N_{O_5} = 16$, $N_s^1 = 16$, $N_s^2 = -17$, $N_s^3 = -14$ ✓
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 $N_3 = 0.084801 = (0.015659)^2 / N_2$
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Similarly, can satisfy all requirements except the orientifold bound: $N_s^1 = 50960$.

Or satisfy first conditions on fluxes, sources, lattices, then study g_s and r^a : get small g_s , not large radius (substringy).

Comments:

- Analysis very complete.
Goes beyond what has been done before in literature.

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Why not working? A general property of string theory?

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↔ classical de Sitter sol. live *at best* in **bounded region**
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Summary:

- No-gos
- Remaining region → find de Sitter supergravity solutions
- Classical regime analysis

Testing swampland de Sitter conjectures

David
ANDRIOT

Several swampland conjectures that forbid (classical) de Sitter solutions.

De Sitter swampland conjecture: (initial version)

G. Obied, H. Ooguri, L. Spodyneiko, C. Vafa, [[arXiv:1806.08362](#)]

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De Sitter swampland conjecture: (initial version)

G. Obied, H. Ooguri, L. Spodyneiko, C. Vafa, [arXiv:1806.08362]

Consider a 4d theory of minimally coupled scalars ϕ^i

$$\mathcal{S} = \int d^4x \sqrt{|G_4|} \left(\frac{M_p^2}{2} \mathcal{R}_4 - \frac{1}{2} g_{ij}(\phi) \partial_\mu \phi^i \partial^\mu \phi^j - V(\phi) \right)$$

Criterion: if NOT in the swampland, one has:

- $|\nabla V| \geq \frac{c}{M_p} V$ with $|\nabla V| = \sqrt{g^{ij} \partial_{\phi^i} V \partial_{\phi^j} V}$
- $c \sim O(1)$

\Rightarrow no de Sitter solution (extremum) from string theory.

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Refined de Sitter conjectures

Various criticisms:

- example based (e.g. no-go theorems)/deeper physical reason?
- what is c ?
- allow for maxima!

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Various criticisms:

- example based (e.g. no-go theorems)/deeper physical reason?
- what is c ?
- allow for maxima!

↪ refinements:

- To include the notion of stability, i.e. V'' or $\eta_V \dots$

D. A. [arXiv:1806.10999],

S. K. Garg, C. Krishnan [arXiv:1807.05193],

H. Ooguri, E. Palti, G. Shiu, C. Vafa [arXiv:1810.05506],

D. A., C. Roupec [arXiv:1811.08889],

T. Rudelius [arXiv:1905.05198]

- No de Sitter solution in asymptotics of moduli space,
e.g. classical regime(?)

H. Ooguri, E. Palti, G. Shiu, C. Vafa [arXiv:1810.05506]

Reminiscent/generalization of Dine-Seiberg argument.

M. Dine, N. Seiberg *Phys. Lett. B* **162** (1985) 299

$$\frac{|\nabla V|}{V} \geq \frac{c}{M_p} \text{ for } \varphi \rightarrow \infty.$$

TCC: Trans-Planckian Censorship Conjecture

A. Bedroya, C. Vafa [arXiv:1909.11063]

Conjectured physical argument on trans-Planckian modes

\hookrightarrow scalar field φ and potential, in 4d (with $M_p = 1$):

$$0 < V(\varphi) < A e^{-c_0 \varphi} \quad \Rightarrow \quad \left\langle \frac{|V'|}{V} \right\rangle_{\varphi \rightarrow \infty} \geq c_0 = \sqrt{\frac{2}{3}}$$

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Compare to $\frac{|\nabla V|}{V} \geq c$:

\Rightarrow gives a **physical motivation** for such an inequality

\Rightarrow gives a **number**!

\Rightarrow **asymptotic limit** is crucial

$$\text{TCC bound: } c \geq c_0 = \sqrt{\frac{2}{3}} \quad \text{in 4d}$$

Testing conjectures with no-go theorems

“Parameter space” for classical de Sitter solutions:

p	A de Sitter solution requires $T_{10} > 0$ and	
	$\mathcal{R}_6 \geq 0$	$\mathcal{R}_6 < 0$
3	×	×
4	×	??
5	×	??
6	×	??
7	×	×
8	×	×
9	×	×

In remaining corner, reason preventing us from accessing classical regime?

No clear no-go theorem formulation of this...

↔ focus on all other no-go theorems

9 no-go theorems (for parallel D_p/O_p)

p	A de Sitter solution requires $T_{10} > 0$ (1.) and	
	$\mathcal{R}_6 \geq 0$	$\mathcal{R}_6 < 0$
3	(4.)	
4	(3.)	F_{6-p} (2.),
5		$f^{\parallel}_{\perp\perp}$ (5.), (6.), (9.), $f^{\perp}_{\perp\parallel}$ (7.), (8.),
6		linear combi (5.), (6.)
7	(2.), (3.)	(2.)
8		
9		

(number.) = no-go theorem;
entry = necessary ingredient

\Rightarrow put them in swampland conjecture format!

No-go theorem (2.): for $p = 7, 8$, or $p = 4, 5, 6$ & $F_{6-p} = 0$

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No-go theorem (2.): for $p = 7, 8$, or $p = 4, 5, 6$ & $F_{6-p} = 0$

10d type II supergravities e.o.m.:

$$(p-3) \mathcal{R}_4 = -2|H|^2 - g_s^2 \sum_{q=0}^6 (q+p-8)|F_q|^2$$

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$$\begin{aligned} & 4(p-3) \mathbf{V} + 2(p-4) \tau \partial_\tau \mathbf{V} + 4 \rho \partial_\rho \mathbf{V} \\ &= -\tau^{-2} \rho^{-3} 2|H|^2 - g_s^2 \sum_{q=0}^6 \tau^{-4} \rho^{3-q} (q+p-8)|F_q|^2 \leq 0 \end{aligned}$$

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$$\Rightarrow \frac{|\nabla V|}{V} \geq \mathbf{c} = \sqrt{\frac{2(p-3)^2}{3 + (p-4)^2}}$$

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\hookrightarrow **TCC bound**?!

(no quantum gravity argument, no limit, no average... except in a swampland perspective...)

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\hookrightarrow **TCC bound**?!

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\rightarrow all 9 no-go theorems...

No-go number	Condition for the no-go	c
(1.)	$T_{10} \leq 0$	$\sqrt{2}$
(2.)	$p = 7, 8$, or $p = 4, 5, 6$ & $F_{6-p} = 0$	$\sqrt{\frac{2(p-3)^2}{3+(p-4)^2}} \geq \sqrt{\frac{2}{3}}$
(3.)	$\mathcal{R}_6 \geq 0, p \geq 4$	$\sqrt{\frac{2(p+3)^2}{3+p^2}} > 1$
(4.)	$p = 3$	$2\sqrt{\frac{2}{3}}$
(5.)	$\mathcal{R}_{ } + \mathcal{R}_{ }^{\perp} + \frac{\sigma^{-12}}{2} f^{\perp}_{ \perp} ^2 \leq 0, p \geq 4$	$\sqrt{\frac{2(p-3)}{p-1}} \geq \sqrt{\frac{2}{3}}$
(6.)	$-2\rho^2 \sigma^{2(p-6)} (\mathcal{R}_{ } + \mathcal{R}_{ }^{\perp}) + H^{(2)} ^2 \leq 0$	$2\sqrt{\frac{2}{3}}$
(7.)	$\lambda \leq 0, p \geq 4$	$\sqrt{\frac{2}{3}}$
(9.)	$\exists a_{ } \text{ s.t. } f^{a_{ }}_{ij} = 0 \quad \forall i, j \neq a_{ }, p \geq 4$	$\sqrt{\frac{2}{3}}$

TCC bound always satisfied! Sometimes with saturation.

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Surprising quantitative verification of de Sitter swampland conjectures (in this part of parameter space).

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Confidence in swampland conjectures: hint at a deeper reason?
All swampland conjectures are related: a **web of conjectures**.
Translate the impossibility of getting classical de Sitter to
another conjecture?

↪ the **distance conjecture**: also involves large field
distances, and a parameter $\lambda \sim \mathcal{O}(1)$...

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↪ the **distance conjecture**: also involves large field
distances, and a parameter $\lambda \sim \mathcal{O}(1)$...

Inspired by examples in literature + new quantitative tests of
conj., **we proposed a bound**

$$4d : \quad \lambda \geq \lambda_0 = \frac{1}{2} \sqrt{\frac{2}{3}} , \quad \lambda_0 = \frac{1}{2} c_0$$

To justify bound on λ : **generalization** of distance conj.

To justify relation to c_0 : **relation** between conj. $\frac{m}{m_i} \simeq \left| \frac{V}{V_i} \right|^{\frac{1}{2}}$.

↪ translates the “no de Sitter” into asymptotic bound on m .

A new perspective.

Conclusion

- Connection to cosmology \rightarrow (quasi) **de Sitter string backgrounds**? \rightarrow **classical** ones (simplicity, well-controlled)
- Constrained by **no-go theorems** \rightarrow **a corner** of parameter space remains \rightarrow we look there and find new de Sitter solutions of 10d supergravity
- Thorough analysis of classical regime for these solutions \rightarrow failure, but close.
- Intuition of a **bounded region** of parameter space for classical de Sitter backgrounds, not asymptotics.

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- A surprisingly good quantitative check with no-go theorems \rightarrow confidence in swampland conjectures?
- Connection to the distance conjecture? First observe/**propose a bound** $\lambda \geq \lambda_0 = \frac{1}{2}c_0$.
- To justify it: **generalize** swampland distance conjecture, and propose a map/**relation to de Sitter conjecture** \rightarrow translation of the obstruction

Do classical de Sitter string backgrounds exist?

Tendency towards “no”, but not established.

Reason is not clear.

Highlighted many directions where to make progress on this important matter.

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Thank you for your attention!