

# Elliptic Calabi-Yau manifolds with the largest $h^{1,1}$

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# Papers Mentioned

- ① YNW, On the Elliptic Calabi-Yau Fourfold with Maximal  $h^{1,1}$ , (2001.07258)
- ② Tian, YNW, Elliptic Calabi-Yau fivefolds and 2d (0,2) F-theory landscape, (2009.10668)

# Calabi-Yau manifolds with extremal $h^{1,1}$

- Mathematical question: given any compact irreducible  $d$ -dimensional Calabi-Yau manifold  $X_d$  ( $d \geq 3$ ), what is the value of

$$H_d = \max(h^{1,1}(X_d)) \quad (1)$$

- In physics, consider various string/M-theory on  $X_d$  with the maximal  $h^{1,1}(X_d)$ , give rise to the (currently known) largest number of fields coupled to supergravity in a specific dimension.

(1) M-theory on the CY3 with maximal  $h^{1,1}$ : largest number of vector multiplets in 5d  $\mathcal{N} = 1$  SUGRA landscape

(2) F-theory on the elliptic CY3 with maximal  $h^{1,1}$ : largest number of tensor and vector multiplets in 6d (1,0) SUGRA landscape

(3) F-theory on the elliptic CY4 with maximal  $h^{1,1}$ : largest number of axion scalars and vector multiplets in 4d  $\mathcal{N} = 1$  SUGRA landscape

# Elliptic Calabi-Yau manifolds

- It is conjectured that most of Calabi-Yau manifolds with a moderately large  $h^{1,1}$  is elliptic.

- CY3 case

(1) CICY: over 99% has elliptic structure ([Anderson, Gao, Gray, Lee 16'](#)  
[17'](#))([Anderson, Gray, Hammack 18'](#))

(2) Batyrev construction from reflexive polytopes: ([Huang Taylor 19'](#))

All CY3s with  $h^{1,1} \geq 195$  or  $h^{2,1} \geq 228$  has an elliptic fibration

The fraction of polytopes without a toric fibration structure scales as  $0.1 \times 2^{5-h^{1,1}}$  for  $h^{1,1} \lesssim 20$

- Maximal  $h^{1,1}$  for elliptic CY = maximal  $h^{1,1}$  for CY?
- Not much is known about CY4 or higher cases. Nonetheless, has interesting F-theory applications if an elliptic structure exists on  $X_d$ .

# Calabi-Yau manifolds with extremal Hodge numbers

- The maximal known  $h^{1,1}$  for  $X_d$ : Klemm-Lian-Roan-Yau 97'.
- Define a sequence of integers:

$$m_0 = 1, \quad m_{k+1} = m_k(m_k + 1). \quad (2)$$

- The first a few  $m_i$  are

$$m_1 = 2, \quad m_2 = 6, \quad m_3 = 42, \quad m_4 = 1806, \quad m_5 = 3263442. \quad (3)$$

- We define a reflexive polytope  $\Delta_{d+1}^*$  associated to a  $(d+1)$ -dimensional weighted projective space  $\mathbb{P}^{1,1,d_1,d_2,\dots,d_d}$ .
- The weights are computed as:

$$d_1 = 2 \cdot m_{d-1}, \quad d_2 = (2 + d_1) \cdot m_{d-2}, \quad d_{k+1} = \left(2 + \sum_{i=1}^k d_i\right) \cdot m_{d-k-1}. \quad (4)$$

# Calabi-Yau manifolds with extremal Hodge numbers

- After an  $SL(d+1, \mathbb{Z})$  rotation, the ambient space has the vertices

$$\begin{aligned} &(\vec{0}_{d-1}, 0, 1) \\ &(\vec{0}_{d-1}, 1, 0) \\ &(v_i^*, -2, -3) \quad (i = 1, \dots, d) \end{aligned} \tag{5}$$

- A  $\mathbb{P}^{2,3,1}$  fibration over  $(d-1)$ -dimensional base  $B_{d-1}^*$  with vertices  $v_i^*$ .
- The Calabi-Yau hypersurface  $X_d^*$  is an elliptic fibration over  $B_{d-1}^*$ , with extremal  $h^{d-1,1}$ .

(1)  $d = 3$ :  $(h^{1,1}, h^{2,1}) = (11, 491)$

(2)  $d = 4$ :  $(h^{1,1}, h^{2,1}, h^{3,1}) = (252, 0, 303148)$

(3)  $d = 5$ :

$(h^{1,1}, h^{2,1}, h^{3,1}, h^{4,1}, h^{2,2}) = (151701, 0, 0, 247538602581, 758522)$  (Tian

YNW 20')

- Hodge numbers can be computed by Landau-Ginzburg methods. (Vafa 89')

# Calabi-Yau manifolds with extremal Hodge numbers

- As  $\Delta_{d+1}^*$  is reflexive, the Calabi-Yau hypersurface  $X_d$  of its dual polytope  $\Delta_{d+1}$  gives the extremal  $h^{1,1}$  (mirror symmetry)

$$\begin{aligned}H_3 &= 491 \\H_4 &= 303148 \\H_5 &= 247538602581.\end{aligned}\tag{6}$$

- $\Delta_{d+1}$  can be rotated into the form of  $\mathbb{P}^{2,3,1}$  fibration as well:

$$\begin{aligned}(\vec{0}_{d-1}, 0, 1) \\(\vec{0}_{d-1}, 1, 0) \\(v_i, -2, -3) \quad (i = 1, \dots, d)\end{aligned}\tag{7}$$

- Hence  $X_d$  is an elliptic fibration over the base manifold  $B_{d-1}$  with toric vertices  $v_i$ .
- The monomials in the Tate model correspond to points in  $\Delta_{d+1}^*$ , and we can read off the F-theory gauge group over each  $v_i$ .

# CY3 with largest $h^{1,1}$

- $X_3 : (h^{1,1}, h^{2,1}) = (491, 11)$
  - A generic elliptic fibration over base  $B_2$  (Morrison, Taylor 12')(Taylor 12')
  - The construction of  $B_2$
- (1) start from a toric base with cyclic representation

$$(-12// - 11//(-12//)^{13}, -11// - 12, 0). \quad (8)$$

$$// \equiv -1, -2, -2, -3, -1, -5, -1, -3, -2, -2, -1. \quad (9)$$

(2) Blow up the two  $(-11)$ -curves at generic point to remove cod-2  $(4,6)$  points.  $B_2$  is no longer toric.

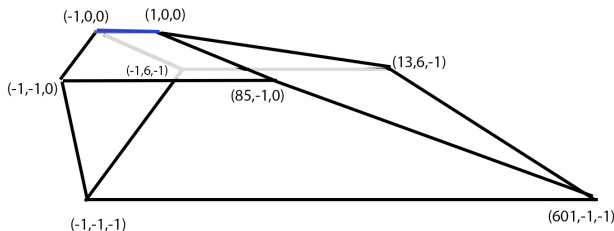
- In the known 6d  $(1,0)$  landscape, this F-theory model has the largest
- (1)  $T = 193$
- (2)  $\text{rk}(G) = 296$ ,  $G = E_8^{17} \times F_4^{16} \times (G_2 \times SU(2))^{32}$ .



# CY4 with largest $h^{1,1}$

- $X_4 : (h^{1,1}, h^{2,1}, h^{3,1}) = (303148, 0, 252)$
- A generic elliptic fibration over base  $B_3$  (Wang 20')
- The construction of  $B_3$

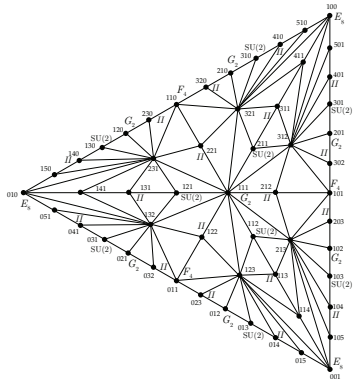
(1) construct a non-compact toric threefold  $B_{E_8}$  given by the following 3d polytope:



- After triangulation, the toric fan has 5016 3d cones, 7576 2d cones and 2561 rays
- Tune an  $E_8$  on each of these rays!

CY4 with largest  $h^{1,1}$

(2) blow up the 5016  $(E_8, E_8, E_8)$  non-minimal loci and 7576  $(E_8, E_8)$  non-minimal loci.



(3) Blow up 619 non-toric cod-2 (4,6) curves on divisors that support  $E_8$

(4) add two more rays into  $B_{E_8}$  to make it compact

## CY4 with largest $h^{1,1}$

- In the known 4d  $\mathcal{N} = 1$  landscape, the F-theory model has the largest
  - (1)  $G = E_8^{2561} \times F_4^{7576} \times G_2^{20168} \times SU(2)^{30200}$  (Candelas, Perevalov, Rajesh 97')
  - (2)  $N_{\text{axion}} = h^{1,1}(B_3) + 1 = 181820$
- Comments on moduli stabilization:

$$\frac{\chi(X_4)}{24} \approx 75000 \gg h^{3,1}(X_4) = 252, \quad (10)$$

exceeds the bound in (Bena, Blåbäck, Graña, Lüst 20')

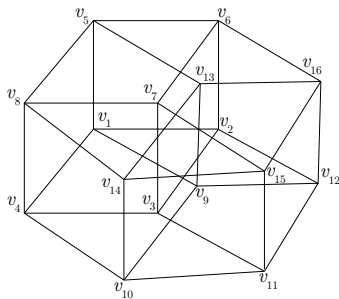
- Complex structure moduli can be stabilized
- Kähler moduli stabilization? As most of the divisors come from blow-ups, one expects most of the Kähler moduli can be stabilized (Halverson, Plesser, Ruehle, Tian 19')
- Construct models with a standard model sector in this regime, such that all the moduli can be stabilized?

# CY5 and 2d F-theory

- F-theory on elliptic CY5  $\rightarrow$  2d (0,2) SUGRA (Weigand, Schafer-Nameki 16')(Lawrie, Schafer-Nameki, Weigand 16')(Weigand, Xu 18')
- M-theory on CY5  $\rightarrow$  1d  $\mathcal{N} = 2$  SQM (Haupt, Lukas, Stelle 09')
- Why study 2d F-theory?
  - (1) Gravitational anomaly can be checked
  - (2) Potential relation to other 2d theories like GLSM
- The supermultiplet structure of 2d (0,2) theory:
  - (1) Vector multiplet:  $(A_\mu, \eta_-, D)$
  - (2) Chiral multiplet:  $(\varphi, \chi_+)$
  - (3) Fermi multiplet:  $(\rho_-, G)$
  - (4) Tensor multiplet:  $(\phi, \psi_-)$
  - (5) Supergravity multiplet
- Rank of gauge group  $= h^{1,1}(X_5) - h^{1,1}(B_4) - 1$ .

# CY5 with largest $h^{1,1}$

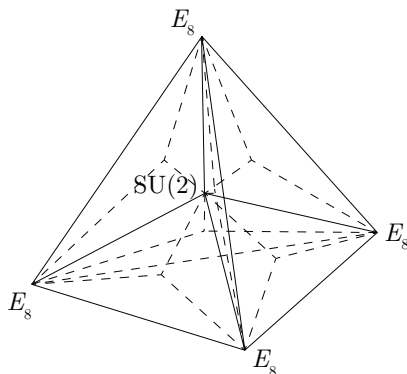
- $(h^{1,1}, h^{2,1}, h^{3,1}, h^{4,1}) = (247538602581, 0, 0, 151701)$
- Generic fibration over  $B_4$ , constructed as:
  - (1) Start with a (non-compact) toric fourfold, triangulate it



- (2) Tune an  $E_8$  on each toric divisor
- (3) Blow up all the  $(E_8, E_8, E_8, E_8)$ ,  $(E_8, E_8, E_8)$ ,  $(E_8, E_8)$  collisions

# CY5 with largest $h^{1,1}$

- New cod-4 blow up:



(4) Blow up 167873112 non-toric cod-2 (4,6) loci on  $E_8$  divisors

(5) Add three toric rays back in to make  $B_4$  compact.

- $h^{1,1}(B_4) = 181\,299\,558\,192$

- $G = E_8^{482\,632\,421} \times F_4^{3\,224\,195\,728} \times G_2^{11\,927\,989\,964} \times SU(2)^{25\,625\,222\,180}$

# A list of elliptic CY5s

- We studied the CY5 hypersurfaces of reflexive  $\mathbb{P}^{1,d_1,d_2,d_3,d_4,d_5,d_6}$  with a  $\mathbb{P}^{2,3,1}$  fibration structure, with degree

$$1 + d_1 + d_2 + d_3 + d_4 + d_5 + d_6 \leq 150 \quad (11)$$

- A sublist of (Kreuzer, Riegler, Sahakyan 01')
- In total 140 cases, including
  - (1) Smooth bases, e. g.  $\mathbb{P}^4$
  - (2) Singular bases, e. g.  $\mathbb{P}^{1,1,1,1,2}$
- Checked the 2d gravitational anomaly cancellation for a number of examples without gauge group or with non-Higgsable gauge groups.

## 2d Gravitational anomaly cancellations

- In 2d, the anomaly polynomial is a 4-form:  $I_4$
- While Green-Schwarz mechanism can be used to cancel the gauge anomaly, the pure gravitational anomaly needs to be cancelled by itself  
(Lawrie, Schafer-Nameki, Weigand 16')(Weigand, Xu 18')

$$I_{4,\text{grav}} = \frac{1}{24} p_1(T) (\mathcal{A}_{\text{moduli}} + \mathcal{A}_{\text{universal}} + \mathcal{A}_{3-7} + \mathcal{A}_{7-7}) \quad (12)$$

(1)  $\mathcal{A}_{\text{moduli}}$ : bulk moduli fields

2d multiplet	Multiplicity
Vector	$h^{1,1}(X_5) - h^{1,1}(B_4) - 1$
Chiral	$h^{2,1}(X_5) + h^{4,1}(X_5) - (-h^{1,1}(B_4) + h^{2,1}(B_4) - h^{3,1}(B_4)) - 1$
Fermi	$h^{2,1}(B_4) - h^{3,1}(B_4) + h^{3,1}(X_5)$
Tensor	$\tau(B_4)$

- Chiral multiplet has +1 contribution
- Vector, Fermi and tensor multiplets have  $(-1)$  contribution

$$\mathcal{A}_{\text{moduli}} = -\tau(B_4) + \chi_1(X_5) - 2\chi_1(B_4) \quad (13)$$



## 2d Gravitational anomaly cancellations

(2)  $\mathcal{A}_{\text{universal}} = 24$ : contribution from the single gravity multiplet

(3)  $\mathcal{A}_{3-7}$ : contribution from supermultiplets in the 3-7 sector:

In 2d F-theory, there are forced D3-branes wrapping 2-cycle  $C$  on  $B_4$ :

$$C = \frac{1}{24} \pi_* c_4(X_5) - \frac{1}{2} \pi_*(G_4 \cdot G_4) \quad (14)$$

Multiplet	Multiplicity
Chiral	$h^0(C, N_{C/B_4}) + g(C) - 1 + c_1(B_4) \cdot C$
Fermi	$h^0(C, N_{C/B_4}) + g(C) - 1 + 7c_1(B_4) \cdot C$

$$\mathcal{A}_{3-7} = -6c_1(B_4) \cdot C|_{B_4} \quad (15)$$

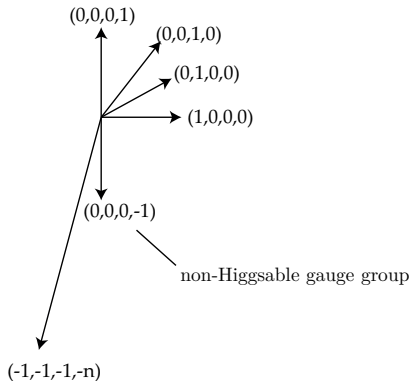
(4)  $\mathcal{A}_{7-7}$ : contribution from the 7-7 sector

$$\mathcal{A}_{7-7} = \sum_R \dim(R) \chi(R) - \text{rk}(G) \chi(\text{adj}) \quad (16)$$

- For non-Higgsable gauge groups,  $\chi(\text{adj}) = -\frac{1}{24} \int_S c_1(S) c_2(S)$ .

# Examples

- 6d ambient space:  $\mathbb{P}^{1,1,1,1,n,2n+8,3n+12}$
- For  $n \geq 4$ , the base is “generalized Hirzebruch fourfold”  $B_{n,4}$ , analogous to Hirzebruch surfaces  $\mathbb{F}_n$  in 6d F-theory



# Examples

Base	$G_{nH}$	$\chi_1(X_5)$	$\xi_1(B_4)$	$\tau(B_4)$	$\mathcal{A}_{\text{grav} 3-7}$	$\mathcal{A}_{\text{grav} 7-7}$
$B_{4,4}$	-	93 188	-2	0	-93 216	0
$B_{6,4}$	$SU(3)$	151 466	-2	0	-151 488	-6
$B_{8,4}$	$SO(8)$	235 292	-2	0	-235 296	-24
$B_{12,4}$	$E_6$	494 924	-2	0	-494 880	-72
$B_{24,4}$	$E_8$	2 314 868	-2	0	-2 314 656	-240

- Gravitational anomaly is cancelled, independent of  $G_4$  flux choice!
- In fact, these holds for any vertical flux  $G_4 \in H_V^{2,2}(X_5)$  that

(1) Satisfies transversality conditions:

$$\int G_4 \wedge S_0 \wedge \omega_4 = 0, \int G_4 \wedge \omega_6 = 0, \forall \omega_4 \in H^4(B_4), \omega_6 \in H^6(B_4). \quad (17)$$

(2) Does not break gauge groups

$$\int G_4 \wedge E_i \wedge \omega_4 = 0, \forall \omega_4 \in H^4(B_4). \quad (18)$$

# Singular bases

- Consider  $B_4 = \mathbb{P}^{1,1,1,1,n}$ , has a  $\mathbb{C}^4/\mathbb{Z}_n$  orbifold singularity.
- The hyperplane class  $H$  has the self-intersection number

$$H^4 = \frac{1}{n}. \quad (19)$$

The various Chern classes of  $\mathbb{P}^{1,1,1,1,n}$  are

$$\begin{aligned} c_1 &= (n+4)H \\ c_2 &= (4n+6)H^2 \\ c_3 &= (6n+4)H^3 \\ c_4 &= 4 + \frac{1}{n}. \end{aligned} \quad (20)$$

- In this case,  $\tau(B_4)$  and  $\chi_1(B_4)$  are still well-defined: (Maxim, Schürmann 15')

$$\begin{aligned} \tau(\mathbb{P}^{1,1,1,1,n}) &= 1 \\ \chi_1(\mathbb{P}^{1,1,1,1,n}) &= -1. \end{aligned} \quad (21)$$

# Singular bases

- The total gravitational anomaly  $I_4$  does not cancel, needs to add a new contribution from the base singularity:

$\mathbb{Z}_n$	$\mathcal{A}_{\text{orbifold}}$
$\mathbb{Z}_2$	-1
$\mathbb{Z}_3$	1
$\mathbb{Z}_4$	3
$\mathbb{Z}_6$	9
$\mathbb{Z}_8$	15
$\mathbb{Z}_{12}$	27
$\mathbb{Z}_{24}$	63

- What is the physical origin? localized 2d (0,2) SCFT?