

6d $\mathcal{N} = (1, 0)$ anomalies on S^1 and F-theory implications

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Based on

arXiv:2005.12935 JHEP 04 (2018) 020 P.C.

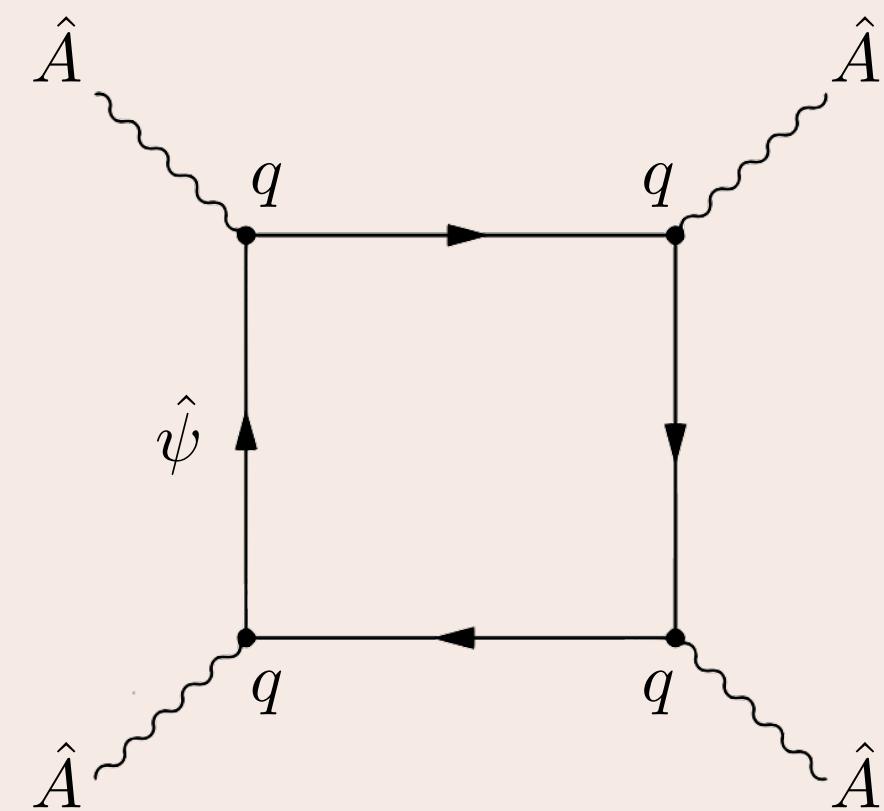
Seminar Series on String Pheno - Zoom

6d Anomalies

6d chiral fermion with **charge q** under **abelian gauge field \hat{A}**

Anomaly

$$q^4 \int \hat{\lambda} \hat{F} \wedge \hat{F} \wedge \hat{F}$$



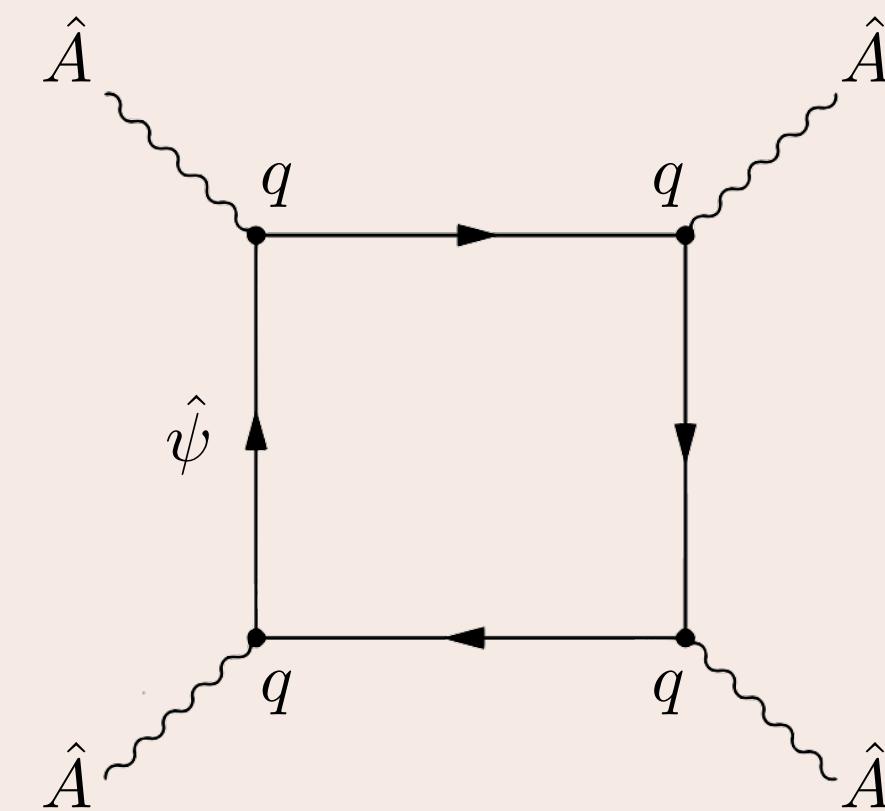
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$$\sum_f q_f^4 = 0$$



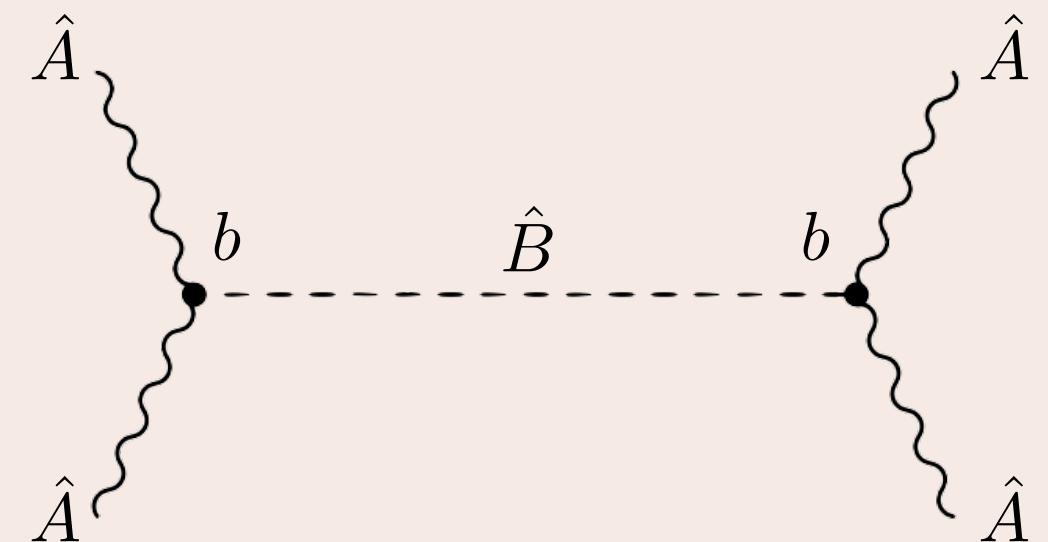
Cannot add fermions with suitable q 's to cancel the anomaly, unlike 4d

Green-Schwarz Mechanism

Need to use the Green-Schwarz mechanism to cancel the anomaly:

Add to the action $-b \hat{B} \wedge \hat{F} \wedge \hat{F}$

\hat{B} is a **2-form** which varies as $\delta \hat{B} = 2b\hat{\lambda}\hat{F}$



Classically non-invariant + $\delta(1\text{-loop}) = 0$

Cancellation condition

$$\sum_f q_f^4 = \frac{1}{3}b^2$$

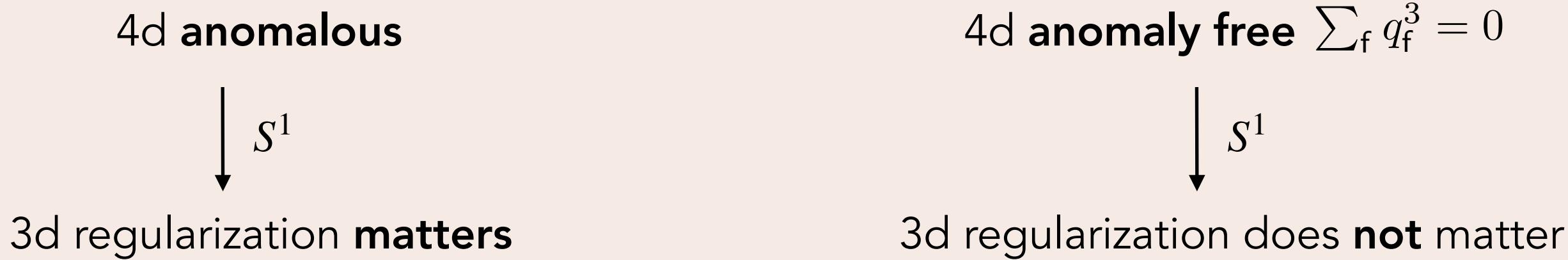
Circle reduction

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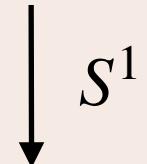


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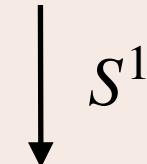
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4d **anomalous**



3d regularization **matters**

4d **anomaly free** $\sum_f q_f^3 = 0$



3d regularization does **not** matter

6d anomaly free: 1PI variation



GS term classically non-invariant

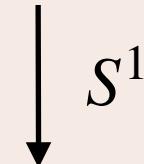
→ Expect 5d regularization to always matter

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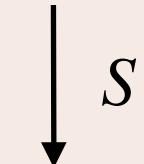
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Implications for F-theory on Calabi-Yau threefolds. Focus on susy theories

6d $\mathcal{N}=(1,0)$ anomalies

Gravity multiplet + n_T tensors + n_V vectors + n_H hypers

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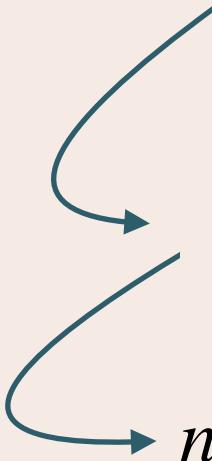


n_V abelian gauge fields $\hat{A}^i, \quad i = 1, \dots, n_V$

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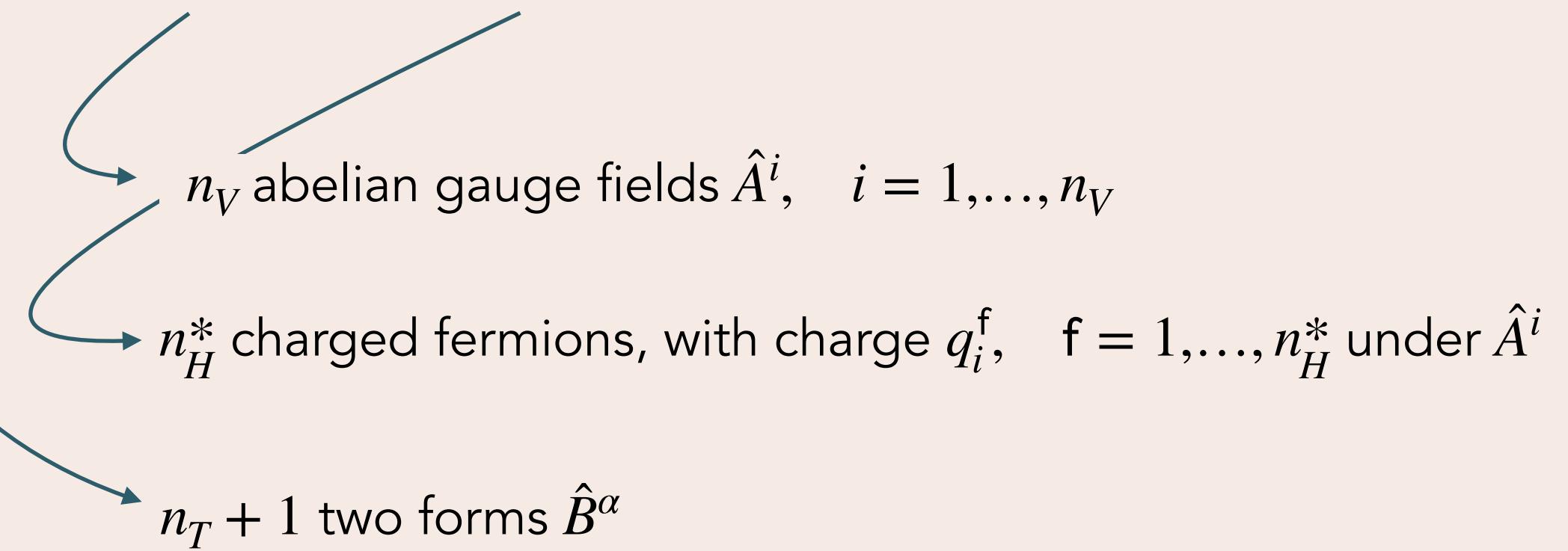
Anomaly
 $\propto \sum_f q_i^f q_j^f q_k^f q_l^f$


 n_V abelian gauge fields $\hat{A}^i, i = 1, \dots, n_V$
 n_H^* charged fermions, with charge $q_i^f, f = 1, \dots, n_H^*$ under \hat{A}^i

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Gravity multiplet + n_T tensors + n_V vectors + n_H hypers

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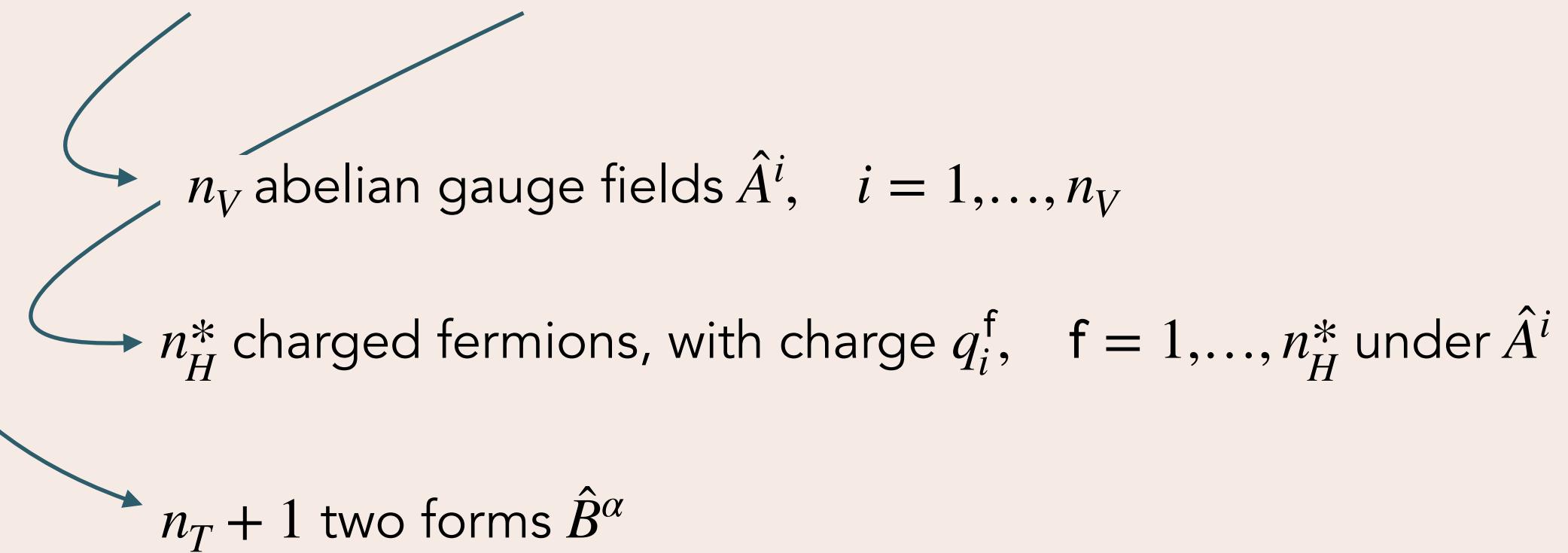


Green-Schwarz term $-b_{ij} \cdot \hat{B} \wedge \hat{F}^i \wedge \hat{F}^j$ where \cdot means contraction with $SO(1, n_T)$ metric $\Omega_{\alpha\beta}$

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Anomaly cancellation condition reads

$$\sum_f q_i^f q_j^f q_k^f q_l^f = b_{ijkl}$$

where $b_{ijkl} \equiv b_{ij} \cdot b_{kl} + b_{ik} \cdot b_{jl} + b_{il} \cdot b_{jk}$

6d $\mathcal{N}=(1,0)$ anomalies

Pure gauge

$$\sum_{\mathbf{f}} q_i^{\mathbf{f}} q_j^{\mathbf{f}} q_k^{\mathbf{f}} q_l^{\mathbf{f}} = b_{ijkl}$$

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$$\sum_f q_i^f q_j^f q_k^f q_l^f = b_{ijkl}$$

Mixed

$$-\frac{1}{6} \sum_f q_i^f q_j^f = a \cdot b_{ij}$$

Pure gravitational

$$9 - n_T = a \cdot a$$

$$n_H - n_V = 273 - 29 n_T$$

Green-Schwarz coefficient

$$-\frac{1}{2} a \cdot \hat{B} \wedge \text{tr } \hat{R}$$

Erler '93

Park, Taylor '11

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$$\begin{aligned} S_6 = & \frac{1}{2} M_{\text{pl},6}^4 \int_{\mathcal{M}_6} R_6 \hat{\star} 1 - h_{UV} d\hat{q}^U \wedge \hat{\star} d\hat{q}^V - \hat{g}_{\alpha\beta} \left(dj^\alpha \wedge \hat{\star} dj^\beta + \frac{1}{2} \hat{G}^\alpha \wedge \hat{\star} \hat{G}^\beta \right) \\ & - 2 b_{ij} \cdot j \hat{F}^i \wedge \hat{\star} \hat{F}^j - \hat{B} \wedge \cdot \left(\frac{1}{2} a \text{ tr } \hat{\mathcal{R}}^2 + 2 b_{ij} \hat{F}^i \wedge \hat{F}^j \right) \end{aligned}$$

Reduce it on S^1

Classical S^1 reduction

Reduction Ansätze

$$\hat{A}^i = A^i + \zeta^i (\mathrm{d}y - A^0)$$

A^0 is the graviphoton

$$\hat{B}^\alpha = 4 B^\alpha - (4 A^\alpha - 2 b_{ij}^\alpha \zeta^i A^j) \wedge (\mathrm{d}y - A^0)$$

ζ^i are the Wilson lines

5d: dualize B^α into A^α

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Anomalies  focus on the **Chern-Simons terms**

$$\mathcal{L}^{\text{gi}} = -\frac{1}{4}A^0 \wedge F \wedge \star F + \frac{1}{4}b_{ij} \cdot A \wedge F^i \wedge F^j \quad \text{gauge invariant}$$

$$\mathcal{L}^{\text{ngi}} = -\frac{1}{16}b_{ijkl} \left(\zeta^i A^j \wedge F^k \wedge F^l - \zeta^i \zeta^j A^k \wedge F^l \wedge F^0 + \frac{1}{3}\zeta^i \zeta^j \zeta^k A^l \wedge F^0 \wedge F^0 \right)$$

Non gauge invariant: come from the GS terms

Canonical form

Expect $\mathcal{N} = 1$ (8 supercharges) in 5d. Canonical form (vector part)

$$S_5^{\text{can}} = -G_{IJ} \left(d\phi^I \wedge \star d\phi^J + \bar{F}^I \wedge \star \bar{F}^J \right) - \frac{1}{6} k_{IJK} \bar{A}^I \wedge \bar{F}^J \wedge \bar{F}^K$$

Prepotential

$$\mathcal{F} = \frac{1}{3!} k_{IJK} \phi^I \phi^J \phi^K$$

$$G_{IJ} = -\frac{1}{2} \frac{\partial^2 \log \mathcal{F}}{\partial \phi^I \partial \phi^J} \Bigg|_{\mathcal{F}=1} \quad k_{IJK} = \partial_I \partial_J \partial_K \mathcal{F}$$

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$$\phi^0 = c r^{-4/3}$$

$$\phi^\alpha = \frac{c}{4} r^{2/3} \left(j^\alpha + 2 r^{-2} b_{ij}^\alpha \zeta^i \zeta^j \right) \quad \mathcal{F} = \frac{1}{2} \phi^0 \phi \cdot \phi - \frac{1}{2} b_{ij} \cdot \phi \phi^i \phi^j + \frac{1}{24} b_{ijkl} \frac{\phi^i \phi^j \phi^k \phi^l}{\phi^0},$$

$$\phi^i = c r^{-4/3} \zeta^i$$

$$c = 32^{1/3}$$

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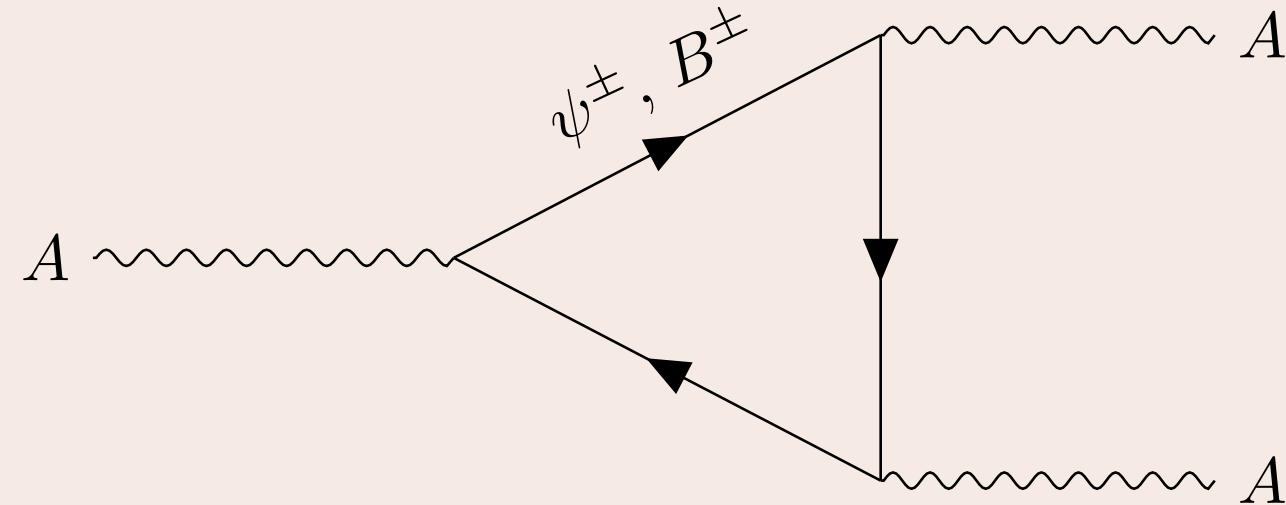
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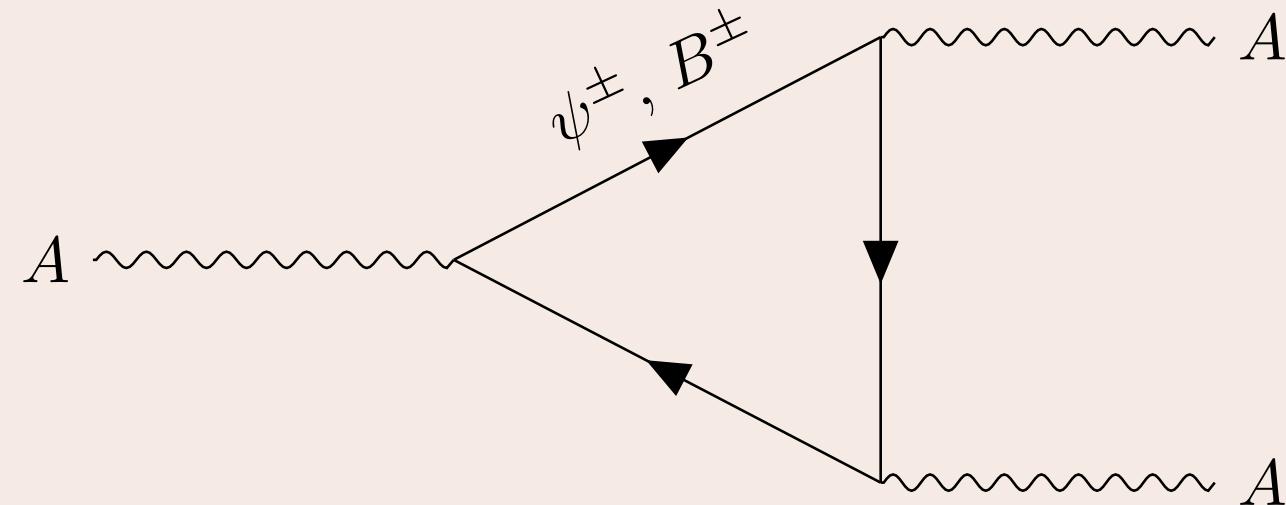
cubic ✓

Not cubic ✗

One-loop corrections



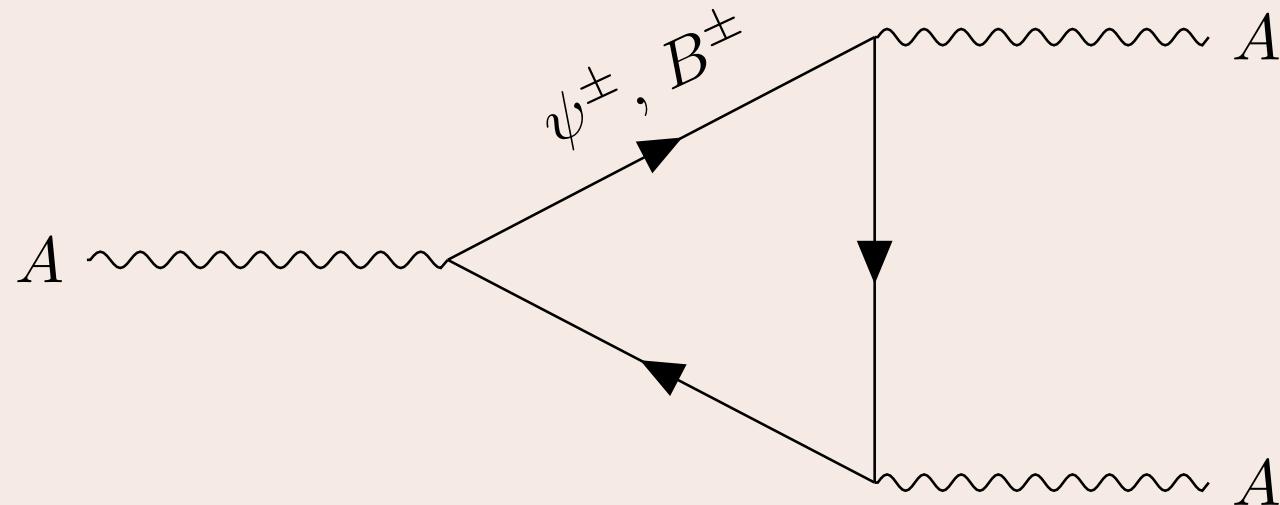
One-loop corrections



Single spin 1/2 fermion mass m and charge q

$$\mathcal{L}_{\text{CS}}^{\text{1-loop}} = \frac{1}{24} q^3 \text{ sign}(m) A \wedge F \wedge F$$

One-loop corrections

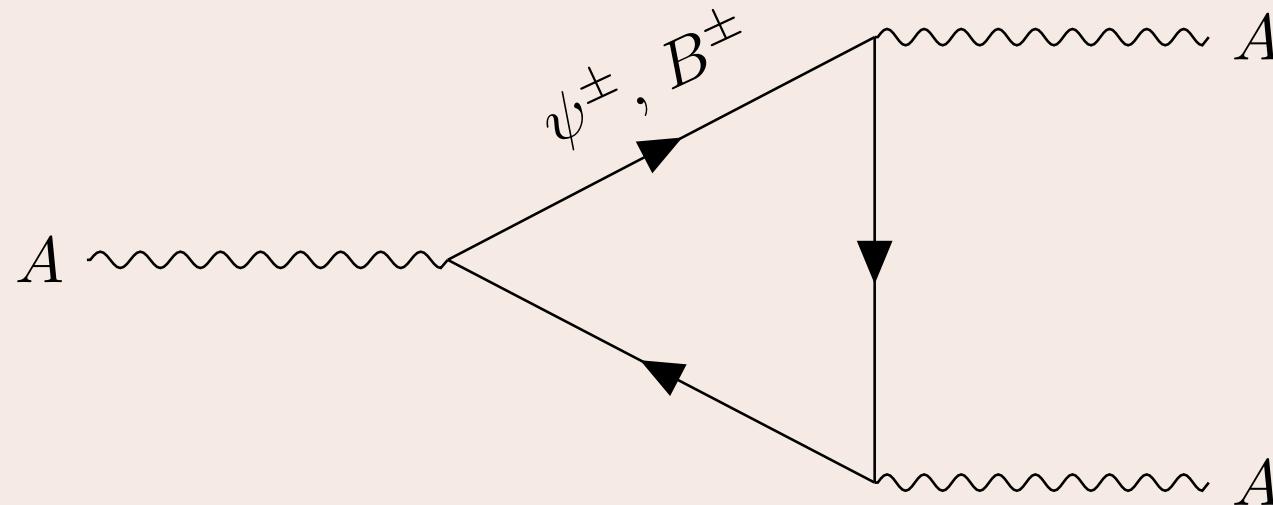


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Contributions of spin 3/2 and tensors computed in Bonetti, Grimm, Hohenegger '13

One-loop corrections



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Contributions of spin 3/2 and tensors computed in [Bonetti, Grimm, Hohenegger '13](#)

- If all ext gauge fields are A^0 , all KK-modes of spin 1/2, 3/2 and tensors run in the loop
- If at least one ext gauge field is A^i , only charged hyperini run in the loop

One-loop corrections

$$\mathcal{L}_{\text{CS}}^{\text{1-loop}} = -\frac{1}{12} \left(k_{ijk} A^i F^j F^k + 3 k_{0ij} A^i F^j F^0 + 3 k_{00i} A^i F^0 F^0 + k_{000} A^0 F^0 F^0 \right)$$

$$k_{ijk} = \frac{1}{2} \sum_{n \in \mathbb{Z}} \sum_{\mathbf{f}} q_i^{\mathbf{f}} q_j^{\mathbf{f}} q_k^{\mathbf{f}} \text{sign}(m_n^{\mathbf{f}})$$

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$$k_{000} = \frac{1}{2} \sum_{n \in \mathbb{Z}} n^3 \left[\sum_{\mathbf{f}} \text{sign}(m_n^{\mathbf{f}}) + \left(n_H^0 + n_T - n_V + 2(1 - n_T) - 5 \right) \text{sign}(m_{\text{KK}}) \right]$$

$$m_{\text{KK}} = \frac{n}{r} \left(\frac{r_0}{r} \right)^{1/3}$$

$$m_n^{\mathbf{f}} = \frac{1}{r} \left(\frac{r_0}{r} \right)^{1/3} \left(n + q_i^{\mathbf{f}} \langle \zeta^i \rangle \right)$$

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Sums ill-defined \longrightarrow need to regularize

ζ -function regularization

$$k_{ijk}^{\text{reg}} = \sum_{\mathbf{f}} q_i^{\mathbf{f}} q_j^{\mathbf{f}} q_k^{\mathbf{f}} \left(\frac{1}{2} + S_1 - q_l^{\mathbf{f}} \langle \zeta^l \rangle \right)$$

$$k_{0ij}^{\text{reg}} = \sum_{\mathbf{f}} q_i^{\mathbf{f}} q_j^{\mathbf{f}} \left(-\frac{1}{12} + S_2 + \frac{1}{2} q_k^{\mathbf{f}} q_l^{\mathbf{f}} \langle \zeta^k \rangle \langle \zeta^l \rangle \right)$$

$$k_{00i}^{\text{reg}} = \sum_{\mathbf{f}} q_i^{\mathbf{f}} \left(S_3 - \frac{1}{3} q_j^{\mathbf{f}} q_k^{\mathbf{f}} q_l^{\mathbf{f}} \langle \zeta^j \rangle \langle \zeta^k \rangle \langle \zeta^l \rangle \right)$$

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S_i : some integer functions
of $q_i^{\mathbf{f}}$ and $\langle \zeta^i \rangle$

ζ -function regularization

$$\begin{aligned}
 k_{ijk}^{\text{reg}} &= \sum_f q_i^f q_j^f q_k^f \left(\frac{1}{2} + S_1 - q_l^f \langle \zeta^l \rangle \right) && \text{Not properly quantized } \propto \sum_f q_i^f q_j^f q_k^f q_l^f \\
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Invariant under and $\delta A^i = d\lambda^i$ and $\delta \zeta^i = n^i$. **Should not** be the case: 1PI should vary.

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Regularization taking place in the UV \longrightarrow Need to use regularization
preserving **6d Lorentz invariance**, e.g. start from 6d Pauli-Villars

6d Lorentz invariant regularization

$$\begin{aligned} k_{ijk}^{\text{reg}} &= \sum_f q_i^f q_j^f q_k^f \left(\frac{1}{2} + S_1 - \frac{3}{4} q_l^f \zeta^l \right) \\ k_{0ij}^{\text{reg}} &= \sum_f q_i^f q_j^f \left(-\frac{1}{12} + S_2 + \frac{1}{4} q_k^f q_l^f \zeta^k \zeta^l \right) \\ k_{00i}^{\text{reg}} &= \sum_f q_i^f \left(S_3 - \frac{1}{12} q_j^f q_k^f q_l^f \zeta^j \zeta^k \zeta^l \right) \\ k_{000}^{\text{reg}} &= \sum_f S_4 + \frac{1}{120} (n_H - n_V - n_T - 3) \end{aligned}$$

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6d Lorentz invariant regularization

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$$k_{000}^{\text{reg}} = \sum_f S_4 + \frac{1}{120} (n_H - n_V - n_T - 3)$$



Full scalar field, not just vev

Coefficients changed

S_i : some integer functions
of q_i^f and $\langle \zeta^i \rangle$

6d Lorentz invariant regularization

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Full scalar field, not just vev

Coefficients changed

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**"Anomaly preserved
under circle reduction"**

Varies as **expected** under $\delta A^i = d\lambda^i$ and $\delta \zeta^i = n^i$

$$\mathcal{L}^{\text{ngi, quant}} = -\frac{1}{16} \left(b_{ijkl} - \sum_f q_i^f q_j^f q_k^f q_l^f \right) \left(\zeta^i A^j F^k F^l - \zeta^i \zeta^j A^k F^l F^0 + \frac{1}{3} \zeta^i \zeta^j \zeta^k A^l F^0 F^0 \right)$$

= 0 if anomaly canceled

no field-dep CS terms
gauge invariant

CS for an anomaly free theory

Assuming **all** anomalies cancel

$$\mathcal{L}^{\text{CS}} = -\frac{1}{4} A^0 F \cdot F + \frac{1}{4} b_{ij} \cdot A F^i F^j - \frac{1}{24} \sum_f q_i^f q_j^f q_k^f A^i F^j F^k - \frac{1}{8} a \cdot b_{ij} A^i F^j F^0 - \frac{1}{48} a \cdot a A^0 F^0 F^0$$

Infer the **fully corrected prepotential**

$$\mathcal{F}_{S^1}^{\text{quant}} = \frac{1}{2} \phi^0 \phi \cdot \phi - \frac{1}{2} b_{ij} \cdot \phi \phi^i \phi^j + \frac{1}{12} \sum_f q_i^f q_j^f q_k^f \phi^i \phi^j \phi^k + \frac{1}{4} a \cdot b_{ij} \phi^0 \phi^i \phi^j + \frac{1}{24} a \cdot a (\phi^0)^3$$

CS for an anomaly free theory

Assuming **all** anomalies cancel

$$\mathcal{L}^{\text{CS}} = -\frac{1}{4} A^0 F \cdot F + \frac{1}{4} b_{ij} \cdot A F^i F^j - \frac{1}{24} \sum_f q_i^f q_j^f q_k^f A^i F^j F^k - \frac{1}{8} a \cdot b_{ij} A^i F^j F^0 - \frac{1}{48} a \cdot a A^0 F^0 F^0$$

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Corrected coordinates $\phi^0 = c r^{-4/3}$

$$\begin{aligned} \phi^\alpha &= \frac{c}{4} r^{2/3} \left(\sqrt{1 - \Delta} j^\alpha + 2 r^{-2} b_{ij}^\alpha \zeta^i \zeta^j \right) \\ \phi^i &= c r^{-4/3} \zeta^i \end{aligned}$$

$$\Delta = 32 r^{-4} \left(\frac{1}{24} a \cdot a + \frac{1}{4} a \cdot b_{ij} \zeta^i \zeta^j + \frac{1}{12} \sum_f q_i^f q_j^f q_k^f \zeta^i \zeta^j \zeta^k - \frac{1}{24} b_{ijkl} \zeta^i \zeta^j \zeta^k \zeta^l \right)$$

Bonus: Quantum corrections to the kinetic terms

Thanks to susy infer quantum corrections to kinetic terms

$$G_{IJ} = -\frac{1}{2} \frac{\partial^2 \log \mathcal{F}}{\partial \phi^I \partial \phi^J} \Big|_{\mathcal{F}=1}$$

Before corrections

$$\begin{aligned} \mathcal{L}^{\text{kin, class}} = & -\frac{2}{3} r^{-2} dr \wedge \star dr - \frac{1}{4} r^{8/3} \tilde{F}^0 \wedge \star \tilde{F}^0 - h_{UV} dq^U \wedge \star dq^V \\ & - \frac{1}{2} g_{\alpha\beta} \left(dj^\alpha \wedge \star dj^\beta + r^{-4/3} \tilde{F}^\alpha \wedge \star \tilde{F}^\beta \right) - 2 j \cdot b_{ij} \left(r^{-2} d\zeta^i \wedge \star d\zeta^j + r^{2/3} \tilde{F}^i \wedge \star \tilde{F}^j \right), \end{aligned}$$

Bonus: Quantum corrections to the kinetic terms

Thanks to susy infer quantum corrections to kinetic terms

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After corrections

$$\begin{aligned} \mathcal{L}^{\text{kin, quant}} = & -\frac{2}{3} r^{-2} \frac{1-4\Delta}{1-\Delta} dr \wedge \star dr + r^{8/3} \left(-\frac{1}{4} + \frac{1}{2} \Delta - \Delta^2 \right) \tilde{F}^0 \wedge \star \tilde{F}^0 \\ & - \left(\frac{1}{2} - \frac{3}{2} \Delta + \Delta^2 \right) g_{\alpha\beta} dj^\alpha \wedge \star dj^\beta + r^{-4/3} \left(-\frac{1}{2} + \Delta \right) g_{\alpha\beta} \tilde{F}^\alpha \wedge \star \tilde{F}^\beta \\ & - \left[2r^{-2} j \cdot b_{ij} \sqrt{1-\Delta} - \frac{1}{16} \frac{(1-4\Delta) \Delta_{ij} + 2\Delta_i \Delta_j}{1-\Delta} \right] d\zeta^i \wedge \star d\zeta^j \\ & - \left[2r^{2/3} j \cdot b_{ij} \sqrt{1-\Delta} - \frac{1}{4} r^{8/3} (\Delta_{ij} - \Delta_i \Delta_j) \right] \tilde{F}^i \wedge \star \tilde{F}^j \\ & - r^{-1} \frac{\Delta_i}{1-\Delta} dr \wedge \star d\zeta^i + r^{8/3} \left(\frac{1}{2} - \Delta \right) \Delta_i \tilde{F}^0 \wedge \star \tilde{F}^i \\ & + r^{2/3} j_\alpha \sqrt{1-\Delta} \left(\Delta_i \tilde{F}^i \wedge \star \tilde{F}^\alpha - 2\Delta \tilde{F}^0 \wedge \star \tilde{F}^\alpha \right) \end{aligned}$$

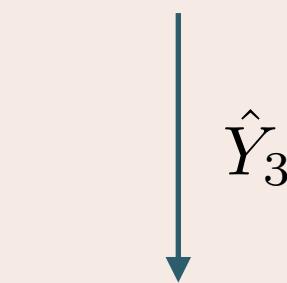
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F-theory implications

F-theory effective actions: use the **F/M-theory duality**:

F-Theory 12d

M-Theory 11d



5d $\mathcal{N} = 1$



6d $\mathcal{N} = (1, 0)$

Y_3

F-theory implications

F-theory effective actions: use the **F/M-theory duality**:

F-Theory 12d

M-Theory 11d

classical

5d $\mathcal{N} = 1$

\hat{Y}_3

\hat{Y}_3

compare

6d $\mathcal{N} = (1, 0)$

5d $\mathcal{N} = 1$

Y_3

S^1 quantum

Morrison, Vafa '96
Bonetti, Grimm '11

lift

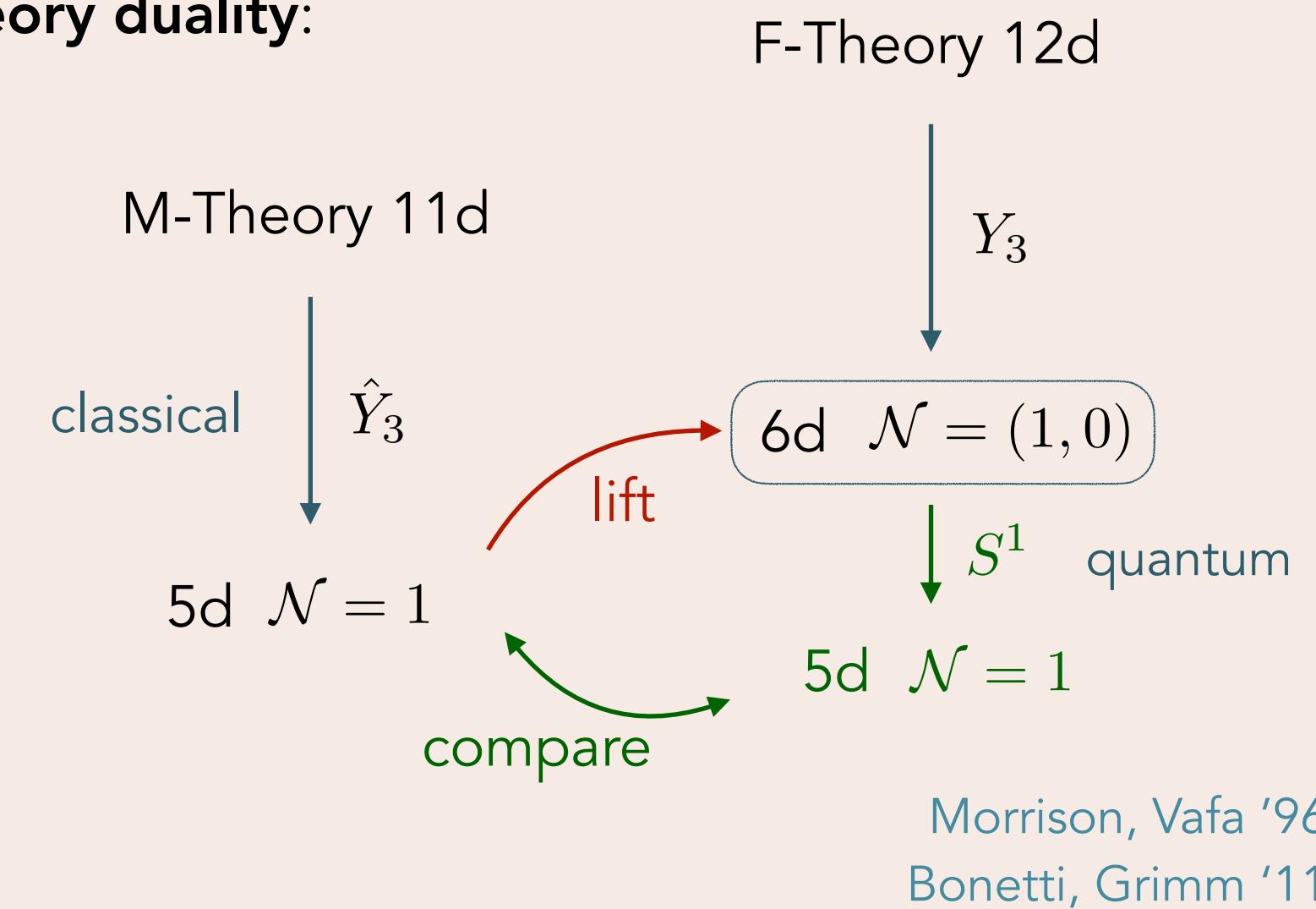
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F-theory implications

F-theory effective actions: use the **F/M-theory duality**:

Vectors come from expanding
 \hat{C}_3 along harmonic (1,1) forms

$$\hat{C}_3 = A^I \wedge \omega_I + \dots \quad I = 1, \dots, h^{1,1}(\hat{Y}_3)$$



F-theory implications

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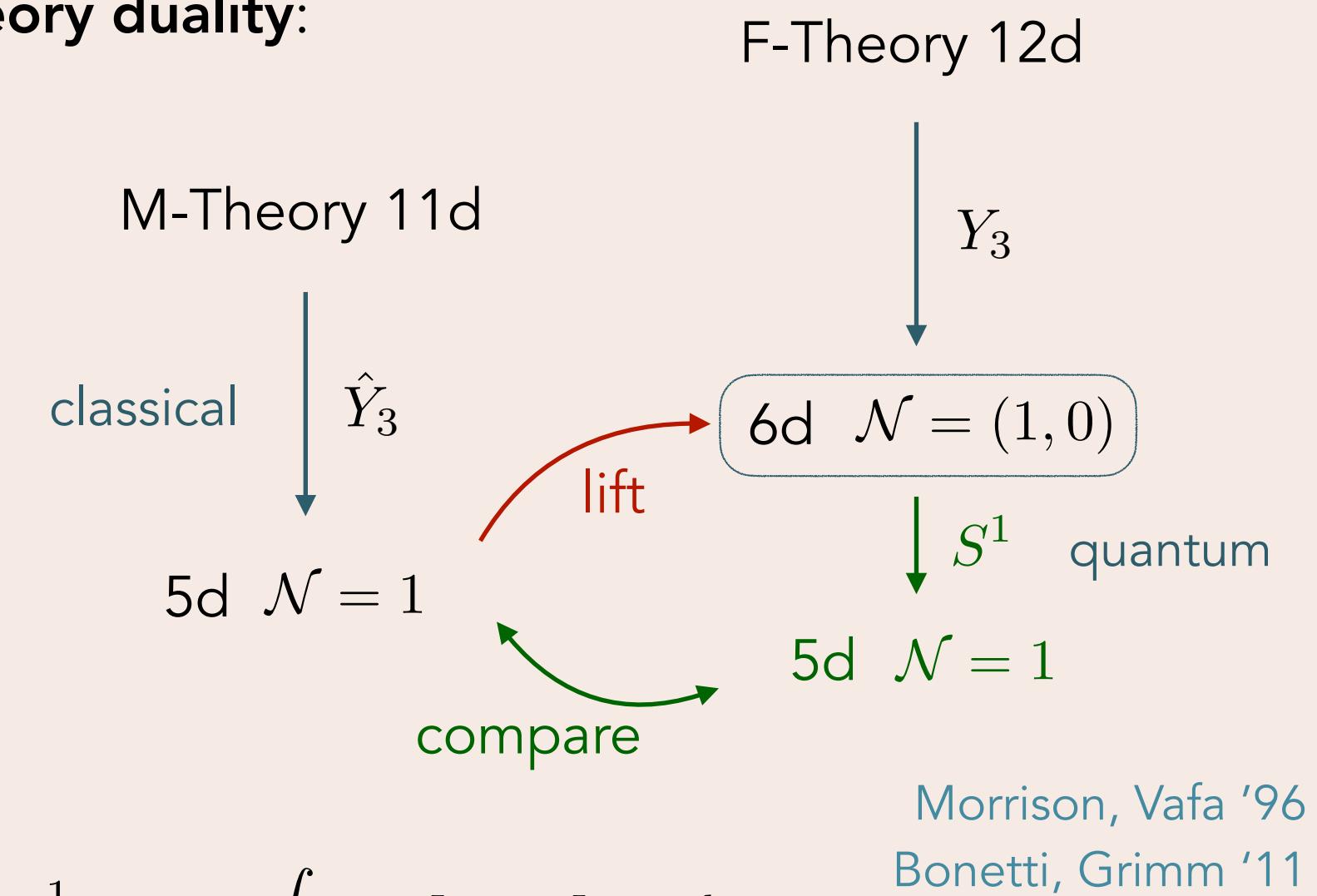
$$\hat{C}_3 = A^I \wedge \omega_I + \dots \quad I = 1, \dots, h^{1,1}(\hat{Y}_3)$$

Chern-Simon terms

$$S^{\text{CS}} = -\frac{1}{12} \int_{\mathcal{M}_5 \times Y_3} C_3 \wedge G_4 \wedge G_4 = -\frac{1}{12} \mathcal{K}_{IJK} \int_{\mathcal{M}_5} A^I \wedge F^J \wedge F^K ,$$

Intersection numbers

$$\mathcal{K}_{IJK} = \int_{Y_3} \omega_I \wedge \omega_J \wedge \omega_K = D_I \cap D_J \cap D_K .$$

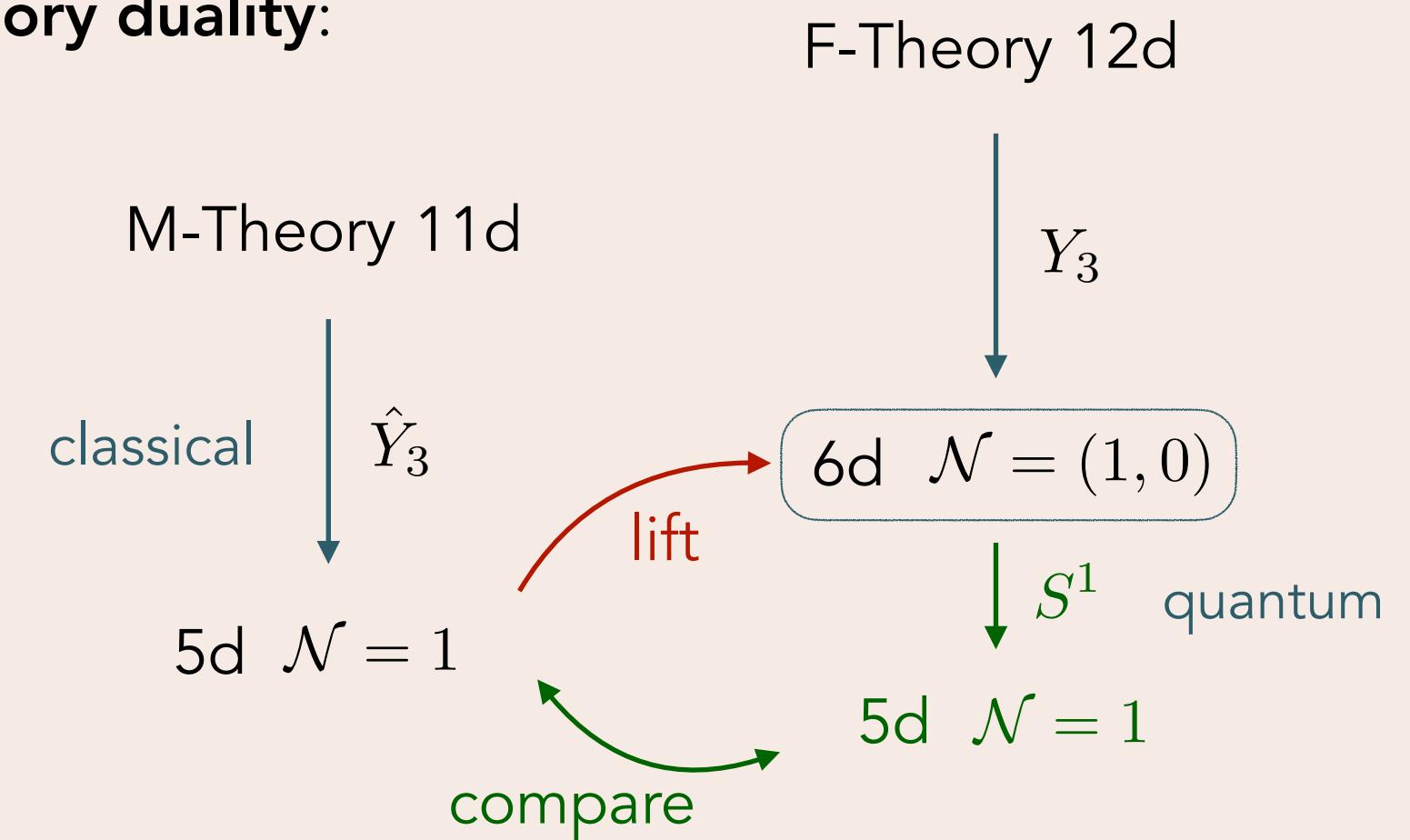


F-theory implications

F-theory effective actions: use the **F/M-theory duality**:

$$\mathcal{L}^{\text{CS}} = -\frac{1}{12} \mathcal{K}_{IJK} A^I \wedge F^J \wedge F^K$$

$$\mathcal{K}_{IJK} = \int_{Y_3} \omega_I \wedge \omega_J \wedge \omega_K = D_I \cap D_J \cap D_K .$$



Intersection numbers are **field-independent**

- Can only be matched with circle reduction of an anomaly free theory
- **EFTs from F-theory** obtained via M-theory are automatically **anomaly free**

F-theory basis

We take Y_3 **elliptically fibered**, smooth, with **extra sections** σ_i

The correct basis to lift to F-theory $D_I = (D_0, D_\alpha, D_i)$

- The **vectors** A^α lifting to two-forms (tensors multiplets) come from **vertical divisors**

$$D_\alpha = \pi^*(D_\alpha^b), \quad \alpha = 1, \dots, h^{1,1}(B_2)$$

- **KK-photon** A^0 comes from the **zero section** σ_0 **shifted as**

$$D_0 = \sigma_0 - \frac{1}{2} (\sigma_0 \cap \sigma_0 \cap D^\alpha) D_\alpha,$$

Park '11

Grimm, Savelli '11

Bonetti, Grimm '11

- **$U(1)$ vectors** come from the **extra sections** σ_i 's **shifted as**

$$D_i = \sigma_i - \sigma_0 - [(\sigma_i - \sigma_0) \cap \sigma_i \cap D^\alpha] D_\alpha$$

Park '11

Morisson Park '11

Prepotential

Scalars $\varphi^I = \frac{v^I}{\mathcal{V}^{1/3}}$ come from the Kähler form $J = v^I \omega_I$

Prepotential

$$\mathcal{F}_M = \frac{1}{3!} \mathcal{K}_{IJK} \varphi^I \varphi^J \varphi^K$$

For the basis $D_I = (D_0, D_\alpha, D_i)$, compute the intersection numbers, find

$$\begin{aligned} \mathcal{F}_M = & \frac{1}{2} \varphi^0 \varphi \circ \varphi + \frac{1}{2} \pi(D_i \cap D_j) \circ \varphi \varphi^i \varphi^j \\ & + \frac{1}{6} \mathcal{K}_{ijk} \varphi^i \varphi^j \varphi^k - \frac{1}{4} \pi(D_i \cap D_i) \circ K \varphi^0 \varphi^i \varphi^j + \frac{1}{24} K \circ K (\varphi^0)^3 \end{aligned}$$

where

Grimm, Kapfer, Keitel '11

◦ product with $\eta_{\alpha\beta} = D_\alpha^\text{b} \cap D_\beta^\text{b}$ intersection matrix on the base

π projection onto the base

K coefficients of $K_{B_2}^{-1} = K^\alpha D_\alpha^\text{b}$

Match

Matches with the prepotential from the circle reduction

$$\mathcal{F}_{S^1}^{\text{quant}} = \frac{1}{2} \phi^0 \phi \cdot \phi - \frac{1}{2} b_{ij} \cdot \phi \phi^i \phi^j + \frac{1}{12} \sum_f q_i^f q_j^f q_k^f \phi^i \phi^j \phi^k + \frac{1}{4} a \cdot b_{ij} \phi^0 \phi^i \phi^j + \frac{1}{24} a \cdot a (\phi^0)^3$$

if one identifies

$$\phi^I = \varphi^I$$

$$\eta_{\alpha\beta} = \Omega_{\alpha\beta}$$

$$a^\alpha = K^\alpha$$

$$b_{ij}^\alpha = -\pi(D_i \cap D_j)^\alpha$$

$$\mathcal{K}_{ijk} = \frac{1}{2} \sum_f q_i^f q_j^f q_k^f ,$$

Match

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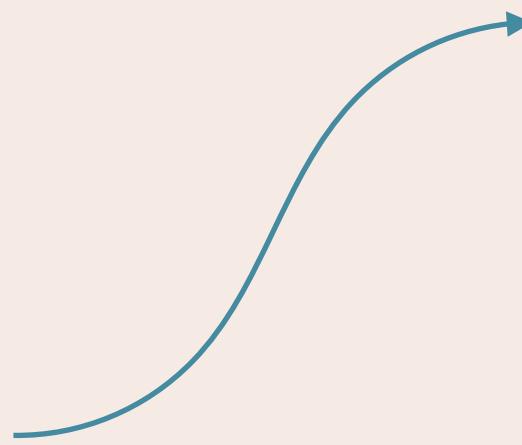
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Match with literature

Sadov '96

Park '11

Grimm, Kapfer, Keitel '13

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Match

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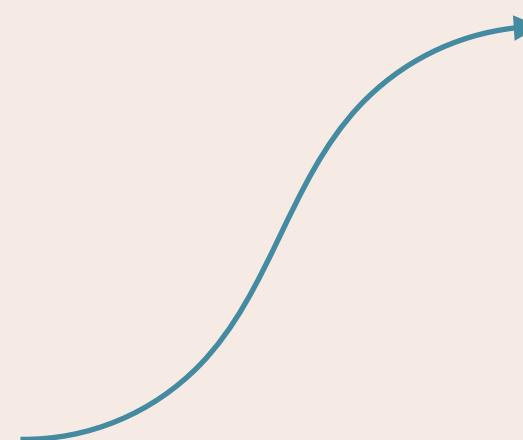
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Match only possible if

- All anomalies cancel
- Adequate regularization

Summary

- **6d $\mathcal{N}=(1,0)$ anomalies on a circle**
 - ▶ Regularization preserving 6d Lorentz invariance
 - ▶ Field-dependent Chern-Simons terms
 - ▶ Vanish when anomaly cancel via Green-Schwarz mechanism
 - ▶ 5d $\mathcal{N}=1$ cubic prepotential for anomaly free theories
 - ▶ Read off quantum corrections to the kinetic terms
- **F-theory implications**
 - ▶ CS coeff are intersection numbers \longrightarrow EFTs from F-theory are **anomaly free**
 - ▶ Perfect match of circle and M-theory prepotentials
 - All anomalies cancel
 - Adequate regularization
 - ▶ Correct identification of the coordinates

Thank you!