# Free Logic and Category Theory in Isabelle/HOL: Experiments

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#### Abstract

We present a semantic embedding of free logic (and inclusive logic) in classical higher-order logic (HOL). This embedding enables state-of-art theorem provers and model finders for HOL, such as the first author's Leo provers, the proof assistant Isabelle/HOL and the model finder Nitpick, to reason within and about free logic in practical applications.

To illustrate the approach we report on first experiments in which we have analysed axioms systems in category theory. In our experiments theorem provers were able to detect a (presumably unknown) redundancy in the foundational axiom system of the category theory textbook by Freyd and Scedrov.

## 1 Free Logic

Terms in classical logic denote, without exceptions, entities in a non-empty domain of (existing) objects D, and it are these objects of D the universal and existential quantifiers do range over. Unfortunately, however, these conditions may render classical logic unsuited for handling mathematically relevant issues such as undefinedness and partiality. For example in category theory composition of maps is not always defined.

Free logic (and inclusive logic) has been proposed as an alternative to remedy these shortcomings. It distinguishes between a raw domain of possibly non-existing objects D and a particular subdomain E of D, containing only the "existing" entities. Free variables range over D and quantified variables only over E. Each term denotes in D but not necessarily in E. The particular notion of free logic as exploited below has been introduced by the second author his 1967 article [1]. This notion is graphically illustrated in Figure 1.

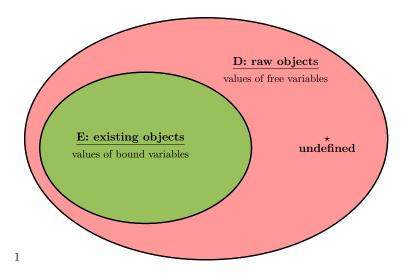


Figure 1: Free Logic

### 2 Free Logic in HOL

We start out with introducing a type i of individuals. The domain of objects associated with this type will serve as the domain of raw objects D. Moreover, we introduce an existence predicate E on type i. The idea is that E is characterising the subset of existing objects in D. Finally, we declare a constant symbol star. It denotes a distinguished non-existing element of D.

```
typedecl i — the type for individuals consts fExistence :: i \Rightarrow bool (E-[8] 60) consts fStar :: i (\star)

axiomatization where fStarDoesNotExist: \neg E(\star)
axiomatization where fNonEmptinessOfE: \exists x. E(x)
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Negation and implication in free logic are mapped to negation in HOl.

```
abbreviation fNot :: bool \Rightarrow bool \ (\neg - [58] 59)
where \neg \varphi \equiv \neg \varphi
abbreviation fImplies :: bool \Rightarrow bool \ (infixr \rightarrow 49)
where \varphi \rightarrow \psi \equiv \varphi \longrightarrow \psi
```

Our embedding of  $Free\ Logic$  in HOL exploits and adapts the idea of relativized quantifiers

Universal quantification in free logic is restricted to the domain of existing objects

```
abbreviation fForall::(i\Rightarrow bool)\Rightarrow bool\ (\forall\ ) where \forall\ \Phi\equiv\forall\ x.\ E(x){\longrightarrow}\Phi(x) abbreviation fForallBinder::(i\Rightarrow bool)\Rightarrow bool\ (\mathbf{binder}\ \forall\ [8]\ 9) where \forall\ x.\ \varphi(x)\equiv\forall\ \varphi
```

```
abbreviation fThat :: (i \Rightarrow bool) \Rightarrow i (I)
 where I \Phi \equiv if \exists x. \ E(x) \land \Phi(x) \land (\forall y. \ (E(y) \land \Phi(y)) \longrightarrow (y = x))
                  then THE x. E(x) \wedge \Phi(x)
abbreviation fThatBinder :: (i \Rightarrow bool) \Rightarrow i (binder I [8] 9)
 where Ix. \varphi(x) \equiv \mathbf{I}(\varphi)
abbreviation fOr :: bool \Rightarrow bool \Rightarrow bool \text{ (infixr} \lor 51)
 where \varphi \lor \psi \equiv (\neg \varphi) \rightarrow \psi
abbreviation fAnd :: bool \Rightarrow bool (infixr \land 52)
 where \varphi \wedge \psi \equiv \neg (\neg \varphi \vee \neg \psi)
abbreviation fEquiv :: bool \Rightarrow bool \Rightarrow bool (infixr \leftrightarrow 50)
 where \varphi \leftrightarrow \psi \equiv (\varphi \rightarrow \psi) \land (\psi \rightarrow \varphi)
abbreviation fEquals :: i \Rightarrow i \Rightarrow bool (infixr = 56)
 where x=y \equiv x=y
abbreviation fExists :: (i \Rightarrow bool) \Rightarrow bool (\exists)
 where \exists \Phi \equiv \neg \forall (\lambda y. \neg (\Phi y))
abbreviation fExistsBinder :: (i \Rightarrow bool) \Rightarrow bool \text{ (binder } \exists [8]9)
 where \exists x. \varphi(x) \equiv \exists \varphi
3
        Some Introductory Tests
— See Scott, Existence and Description in Formal Logic, 1967, pages 183-184
consts f-r :: i \Rightarrow i \Rightarrow bool (infixr r 70)
lemma x \mathbf{r} x \to x \mathbf{r} x by simp
lemma \exists y. y \mathbf{r} y \rightarrow y \mathbf{r} y nitpick oops
lemma (x \mathbf{r} x \to x \mathbf{r} x) \to (\exists y. y \mathbf{r} y \to y \mathbf{r} y) nitpick oops
lemma ((x \mathbf{r} x \to x \mathbf{r} x) \land (\exists y :: i. y = y)) \to (\exists y. y \mathbf{r} y \to y \mathbf{r} y) by simp
— See Scott 1967, page 185
lemma S1-inst : (\forall x. \ \Phi(x) \to \Psi(x)) \to ((\forall x. \ \Phi(x)) \to (\forall x. \ \Psi(x))) by auto
lemma S2:
                         \forall y. \exists x. x = y \text{ by } auto
lemma S3:
                          \alpha = \alpha by auto
lemma S4-inst : (\Phi(\alpha) \land (\alpha = \beta)) \rightarrow \Phi(\beta) by auto
lemma UI-inst : ((\forall x. \ \Phi(x)) \land (\exists x. \ x = \alpha)) \rightarrow \Phi(\alpha) by auto
lemma UI\text{-}test: (\forall x. \ \Phi(x)) \rightarrow \Phi(\alpha) \text{ nitpick } [user\text{-}axioms] \text{ oops } -- Counter-
model
lemma UI-cor1 : \forall y.((\forall x. \Phi(x)) \rightarrow \Phi(y)) by auto
lemma UI-cor2: \forall y.((\forall x. \neg (x=y)) \rightarrow \neg (y=y)) by auto
lemma UI-cor3: \forall y.((y = y) \rightarrow (\exists x. \ x = y)) by auto
```

lemma *UI-cor* $4: (\forall y. y = y) \rightarrow (\forall y. \exists x. x = y)$  by simp

**lemma**  $(\exists x. \ x = \alpha) \longrightarrow E(\alpha)$  by simp

**lemma** I1-inst :  $\forall y$ .  $((y = (\mathbf{I}x. \Phi(x))) \leftrightarrow (\forall x. ((x = y) \leftrightarrow \Phi(x))))$  **by**  $(smt fStarDoesNotExist\ the\text{-equality})$ 

abbreviation  $star(\bigotimes)$  where  $\bigotimes \equiv \mathbf{I}y. \neg (y = y)$ 

lemma  $test : \bigotimes = \star by simp$ 

**lemma** I2-inst:  $\neg(\exists y. y = (\mathbf{I}x. \Phi(x))) \rightarrow (\bigotimes = (\mathbf{I}x. \Phi(x)))$  by (metis (no-types, lifting) the-equality)

**lemma** Ext-inst :  $(\forall x. \ \Phi(x) \leftrightarrow \Psi(x)) \rightarrow ((\mathbf{I}x. \ \Phi(x)) = (\mathbf{I}x. \ \Psi(x)))$  **by**  $(smt\ the 1-equality)$ 

lemma  $I3: (\bigotimes = \alpha \vee \bigotimes = \beta) \rightarrow \neg(\alpha \mathbf{r} \beta) \text{ nitpick } [user-axioms] \text{ oops}$ 

 $\mathbf{lemma}\ \mathit{Russel-inst}:$ 

$$\begin{array}{l} ((\bigotimes = \alpha \vee \bigotimes = \beta) \rightarrow \neg (\alpha \ \mathbf{r} \ \beta)) \\ \rightarrow \\ ((\alpha \ \mathbf{r} \ (\mathbf{I}x. \ \Phi(x))) \leftrightarrow (\exists \ y. ((\forall \ x. \ ((x = y) \leftrightarrow \Phi(x))) \wedge (\alpha \ \mathbf{r} \ y)))) \\ \mathbf{nitpick} \ [user-axioms] \ \mathbf{oops} \end{array}$$

lemma  $\neg(\exists x. (x = (\mathbf{I}y. \neg (y = y))))$  using fStarDoesNotExist by auto lemma  $(\exists x. x = a) \rightarrow E(a)$  by simp

 $\mathbf{consts}\ ca{::}i\ cb{::}i$ 

**axiomatization where**  $ax1: A(ca) \land A(cb) \land \neg (ca = cb) \land \neg (ca = \bigotimes) \land \neg (cb = \bigotimes)$ 

lemma test2:  $\bigotimes = (\mathbf{I} (\lambda x. \ x = ca \lor x = cb))$  by  $(metis \ ax1)$ 

 $\quad \text{end} \quad$ 

theory  $\mathit{Freyd}$  imports  $\mathit{FreeFOL}$ 

```
begin
type-synonym e = i — raw type of morphisms
abbreviation OrdinaryEquality :: e \Rightarrow e \Rightarrow bool (infix\approx 60)
 where x \approx y \equiv ((E x) \leftrightarrow (E y)) \land x = y
consts source :: e \Rightarrow e \ (\Box - [108]109)
        target :: e \Rightarrow e (-\Box [110]111)
        composition :: e \Rightarrow e \Rightarrow e (infix · 110)
axiomatization FreydsAxioms where
 A1: (E x \cdot y) \leftrightarrow ((x \square) \approx (\square y)) and
 A2b: \Box(x\Box) \approx \Box x and
 A3a: (\Box x) \cdot x \approx x and
 A3b: x \cdot (x \square) \approx x and
 A4a: \Box(x\cdot y) \approx \Box(x\cdot (\Box y)) and
 A4b: (x \cdot y) \square \approx ((x \square) \cdot y) \square and
 A5: x \cdot (y \cdot z) \approx (x \cdot y) \cdot z
lemma A2a: (\Box x)\Box \approx \Box x
 proof -
 have L1: \forall x. (\Box \Box x) \cdot ((\Box x) \cdot x) \approx ((\Box \Box x) \cdot (\Box x)) \cdot x using A5 by metis
 hence L2: \forall x. (\Box \Box x) \cdot x \approx ((\Box \Box x) \cdot (\Box x)) \cdot x
                                                                            using A3a by metis
 hence L3: \forall x. (\Box \Box x) \cdot x \approx (\Box x) \cdot x
                                                                        using A3a by metis
 hence L_4: \forall x. (\Box \Box x) \cdot x \approx x
                                                                       using A3a by metis
 have L5: \forall x. \Box((\Box\Box x)\cdot x) \approx \Box((\Box\Box x)\cdot (\Box x))
                                                                             using A4a by auto
 hence L6: \forall x . \Box((\Box \Box x) \cdot x) \approx \Box \Box x
                                                                          using A3a by metis
 hence L7: \forall x. \Box \Box (x\Box) \approx \Box (\Box \Box (x\Box)) \cdot (x\Box)
                                                                             by auto
                                                                          using L4 by metis
 hence L8: \ \forall x. \ \Box\Box(x\Box) \approx \Box(x\Box)
 hence L9: \ \forall x. \ \Box\Box(x\Box) \approx \Box x
                                                                         using A2b by metis
 hence L10: \forall x. \Box \Box x \approx \Box x
                                                                        using A2b by metis
 hence L11: \forall x. \Box \Box ((\Box x)\Box) \approx \Box \Box (x\Box)
                                                                             using A2b by metis
 hence L12: \forall x. \Box \Box ((\Box x)\Box) \approx \Box x
                                                                           using L9 by metis
 have L13: \forall x. (\Box \Box ((\Box x) \Box)) \cdot ((\Box x) \Box) \approx ((\Box x) \Box) using L4 by auto
 hence L14: \forall x. (\Box x) \cdot ((\Box x) \Box) \approx (\Box x) \Box
                                                                            using L12 by metis
 hence L15: \forall x. (\Box x) \Box \approx (\Box x) \cdot ((\Box x) \Box)
                                                                            using L14 by auto
 then show ?thesis using A3b by metis
qed
abbreviation DirectedEquality :: e \Rightarrow e \Rightarrow bool (infix \geq 60)
 where x \gtrsim y \equiv ((E x) \rightarrow (E y)) \land x = y
lemma L1-13: ((\Box(x\cdot y)) \approx (\Box(x\cdot (\Box y)))) \leftrightarrow ((\Box(x\cdot y)) \gtrsim \Box x)
by (metis A1 A2a A3a)
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```
lemma (\exists x. \ e \approx (\Box x)) \leftrightarrow (\exists x. \ e \approx (x\Box)) by (metis\ A1\ A2b\ A3b)
lemma (\exists x. \ e \approx (x\square)) \leftrightarrow e \approx (\square e)
                                                               by (metis A1 A2b A3a A3b)
lemma e \approx (\Box e) \leftrightarrow e \approx (e\Box)
                                                             by (metis A1 A2b A3a A3b A4a)
lemma e \approx (e\Box) \leftrightarrow (\forall x. e \cdot x \gtrsim x) by (metis\ A1\ A2b\ A3a\ A3b\ A4a)
lemma (\forall x. \ e \cdot x \gtrsim x) \leftrightarrow (\forall x. \ x \cdot e \gtrsim x) by (metis \ A1 \ A2b \ A3a \ A3b)
abbreviation IdentityMorphism :: e \Rightarrow bool (IdM - [100]60) where IdM x \equiv x \approx
(\Box x)
lemma (IdM\ e \leftrightarrow (\exists\ x.\ e \approx (\Box x))) \land
        (IdM\ e \leftrightarrow (\exists\ x.\ e \approx (x\square))) \land
        (IdM\ e \leftrightarrow e \approx (\Box e)) \land
        (IdM\ e \leftrightarrow e \approx (e\square)) \land
        by (smt A1 A2a A3a A3b)
end
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#### References

[1] D. Scott. Existence and description in formal logic. In R. Schoenman, editor, Bertrand Russell: Philosopher of the Century, pages 181–200. George Allen & Unwin, London, 1967. (Reprinted with additions in: Philosophical Application of Free Logic, edited by K. Lambert. Oxford Universitry Press, 1991, pp. 28 - 48).