

Free Logic and Category Theory in Isabelle/HOL: Experiments

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Abstract

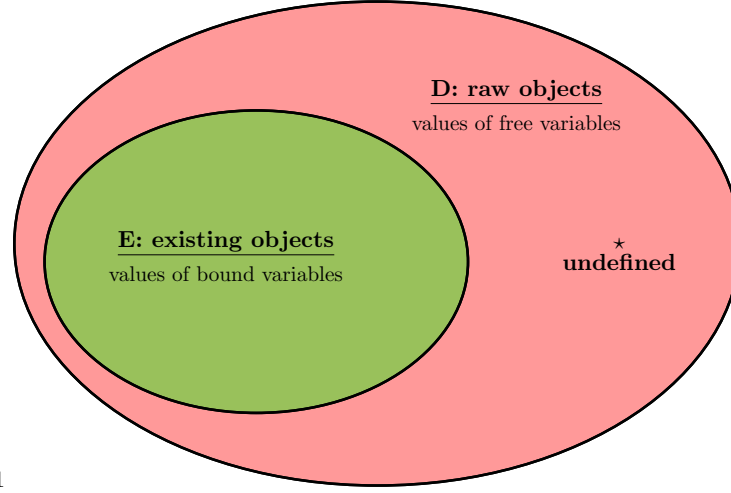
We present a semantic embedding of free logic (and inclusive logic) in classical higher-order logic (HOL). This embedding enables state-of-art theorem provers and model finders for HOL, such as the first author's Leo provers, the proof assistant Isabelle/HOL and the model finder Nitpick, to reason within and about free logic in practical applications.

To illustrate the approach we report on first experiments in which we have analysed axioms systems in category theory. In our experiments theorem provers were able to detect a (presumably unknown) redundancy in the foundational axiom system of the category theory textbook by Freyd and Scedrov.

1 Free Logic

Terms in classical logic denote, without exceptions, entities in a non-empty domain of (existing) objects D , and it are these objects of D the universal and existential quantifiers do range over. Unfortunately, however, these conditions may render classical logic unsuited for handling mathematically relevant issues such as undefinedness and partiality. For example in category theory composition of maps is not always defined.

Free logic (and inclusive logic) has been proposed as an alternative to remedy these shortcomings. It distinguishes between a raw domain of possibly non-existing objects D and a particular subdomain E of D , containing only the "existing" entities. Free variables range over D and quantified variables only over E . Each term denotes in D but not necessarily in E . The particular notion of free logic as exploited below has been introduced by the second author his 1967 article [1]. This notion is graphically illustrated in Figure 1.



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Figure 1: Free Logic

2 Free Logic in HOL

We start out with introducing a type i of individuals. The domain of objects associated with this type will serve as the domain of raw objects D . Moreover, we introduce an existence predicate E on type i . The idea is that E is characterising the subset of existing objects in D . Finally, we declare a constant symbol \star . It denotes a distinguished non-existing element of D .

typedcl i — the type for individuals
consts $fExistence :: i \Rightarrow bool$ (E - [8] 60)
consts $fStar :: i$ (\star)

axiomatization where $fStarDoesNotExist: \neg E(\star)$
axiomatization where $fNonEmptinessOfE: \exists x. E(x)$

Negation and implication in free logic are mapped to negation in HOL.

abbreviation $fNot :: bool \Rightarrow bool$ (\neg - [58] 59)
where $\neg \varphi \equiv \neg \varphi$
abbreviation $fImplies :: bool \Rightarrow bool \Rightarrow bool$ (**infixr** \rightarrow 49)
where $\varphi \rightarrow \psi \equiv \varphi \longrightarrow \psi$

Our embedding of *Free Logic* in HOL exploits and adapts the idea of relativized quantifiers

Universal quantification in free logic is restricted to the domain of existing objects

abbreviation $fForall :: (i \Rightarrow bool) \Rightarrow bool$ (\forall)
where $\forall \Phi \equiv \forall x. E(x) \longrightarrow \Phi(x)$
abbreviation $fForallBinder :: (i \Rightarrow bool) \Rightarrow bool$ (**binder** \forall [8] 9)
where $\forall x. \varphi(x) \equiv \forall \varphi$

abbreviation $fThat :: (i \Rightarrow bool) \Rightarrow i$ (**I**)
where **I** $\Phi \equiv$ *if* $\exists x. E(x) \wedge \Phi(x) \wedge (\forall y. (E(y) \wedge \Phi(y)) \longrightarrow (y = x))$
then $THE\ x. E(x) \wedge \Phi(x)$
else \star
abbreviation $fThatBinder :: (i \Rightarrow bool) \Rightarrow i$ (**binder I** [8] 9)
where **I** $x. \varphi(x) \equiv$ **I**(φ)

abbreviation fOr (**infixr** \vee 51) **where** $\varphi \vee \psi \equiv (\neg \varphi) \rightarrow \psi$
abbreviation $fAnd$ (**infixr** \wedge 52) **where** $\varphi \wedge \psi \equiv \neg(\neg \varphi \vee \neg \psi)$
abbreviation $fEquiv$ (**infixr** \leftrightarrow 50) **where** $\varphi \leftrightarrow \psi \equiv (\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi)$
abbreviation $fEquals$ (**infixr** $=$ 56) **where** $x = y \equiv x = y$
abbreviation $fExists$ (\exists) **where** $\exists \Phi \equiv \neg \forall (\lambda y. \neg (\Phi\ y))$
abbreviation $fExistsBinder$ (**binder** \exists [8] 9) **where** $\exists x. \varphi(x) \equiv \exists \varphi$

3 Some Introductory Tests

— See Scott, Existence and Description in Formal Logic, 1967, pages 183-184

consts $f-r :: i \Rightarrow i \Rightarrow bool$ (**infixr** r 70)

lemma $x\ r\ x \rightarrow x\ r\ x$ **by** *simp*

lemma $\exists y. y\ r\ y \rightarrow y\ r\ y$ **nitpick oops**

lemma $(x\ r\ x \rightarrow x\ r\ x) \rightarrow (\exists y. y\ r\ y \rightarrow y\ r\ y)$ **nitpick oops**

lemma $((x\ r\ x \rightarrow x\ r\ x) \wedge (\exists y::i. y = y)) \rightarrow (\exists y. y\ r\ y \rightarrow y\ r\ y)$ **by** *simp*

— See Scott 1967, page 185

lemma $S1-inst : (\forall x. \Phi(x) \rightarrow \Psi(x)) \rightarrow ((\forall x. \Phi(x)) \rightarrow (\forall x. \Psi(x)))$ **by** *auto*

lemma $S2 : \forall y. \exists x. x = y$ **by** *auto*

lemma $S3 : \alpha = \alpha$ **by** *auto*

lemma $S4-inst : (\Phi(\alpha) \wedge (\alpha = \beta)) \rightarrow \Phi(\beta)$ **by** *auto*

lemma $UI-inst : ((\forall x. \Phi(x)) \wedge (\exists x. x = \alpha)) \rightarrow \Phi(\alpha)$ **by** *auto*

lemma $UI-test : (\forall x. \Phi(x)) \rightarrow \Phi(\alpha)$ **nitpick** [*user-axioms*] **oops** — Counter-model

lemma $UI-cor1 : \forall y. ((\forall x. \Phi(x)) \rightarrow \Phi(y))$ **by** *auto*

lemma $UI-cor2 : \forall y. ((\forall x. \neg(x = y)) \rightarrow \neg(y = y))$ **by** *auto*

lemma $UI-cor3 : \forall y. ((y = y) \rightarrow (\exists x. x = y))$ **by** *auto*

lemma $UI-cor4 : (\forall y. y = y) \rightarrow (\forall y. \exists x. x = y)$ **by** *simp*

lemma $(\exists x. x = \alpha) \longrightarrow E(\alpha)$ **by** *simp*

lemma $I1-inst : \forall y. ((y = (\mathbf{I}x. \Phi(x))) \leftrightarrow (\forall x. ((x = y) \leftrightarrow \Phi(x))))$ **by** (*smt*)

fStarDoesNotExist the-equality)

abbreviation *star* (\otimes) **where** $\otimes \equiv \mathbf{I}y. \neg (y = y)$

lemma *test* : $\otimes = \star$ **by** *simp*

lemma *I2-inst* : $\neg(\exists y. y = (\mathbf{I}x. \Phi(x))) \rightarrow (\otimes = (\mathbf{I}x. \Phi(x)))$ **by** (*metis* (*no-types*, *lifting*) *the-equality*)

lemma *Ext-inst* : $(\forall x. \Phi(x) \leftrightarrow \Psi(x)) \rightarrow ((\mathbf{I}x. \Phi(x)) = (\mathbf{I}x. \Psi(x)))$ **by** (*smt* *the1-equality*)

lemma *I3* : $(\otimes = \alpha \vee \otimes = \beta) \rightarrow \neg(\alpha \mathbf{r} \beta)$ **nitpick** [*user-axioms*] **oops**

lemma *Russel-inst* :
 $((\otimes = \alpha \vee \otimes = \beta) \rightarrow \neg(\alpha \mathbf{r} \beta))$
 \rightarrow
 $((\alpha \mathbf{r} (\mathbf{I}x. \Phi(x))) \leftrightarrow (\exists y. ((\forall x. ((x = y) \leftrightarrow \Phi(x))) \wedge (\alpha \mathbf{r} y))))$
nitpick [*user-axioms*] **oops**

lemma $\neg(\exists x. (x = (\mathbf{I}y. \neg (y = y))))$ **using** *fStarDoesNotExist* **by** *auto*

lemma $(\exists x. x = a) \rightarrow E(a)$ **by** *simp*

consts *ca::i cb::i*

axiomatization **where** *ax1*: $\mathcal{A}(ca) \wedge \mathcal{A}(cb) \wedge \neg (ca = cb) \wedge \neg (ca = \otimes) \wedge \neg (cb = \otimes)$

lemma *test2*: $\otimes = (\mathbf{I} (\lambda x. x = ca \vee x = cb))$ **by** (*metis* *ax1*)

end

theory *Freyd* **imports** *FreeFOL*

begin

type-synonym $e = i$ — raw type of morphisms

abbreviation *OrdinaryEquality* :: $e \Rightarrow e \Rightarrow \text{bool}$ (**infix** ≈ 60)

where $x \approx y \equiv ((E x) \leftrightarrow (E y)) \wedge x = y$

consts *source* :: $e \Rightarrow e$ (\square - [108]109)

target :: $e \Rightarrow e \text{ } (-\Box [110]111)$
composition :: $e \Rightarrow e \Rightarrow e \text{ } (\mathbf{infix} \cdot 110)$

axiomatization *FreydsAxioms* where

A1: $(E \ x \cdot y) \leftrightarrow ((x\Box) \approx (\Box y))$ and

A2b: $\Box(x\Box) \approx \Box x$ and

A3a: $(\Box x) \cdot x \approx x$ and

A3b: $x \cdot (x\Box) \approx x$ and

A4a: $\Box(x \cdot y) \approx \Box(x \cdot (\Box y))$ and

A4b: $(x \cdot y)\Box \approx ((x\Box) \cdot y)\Box$ and

A5: $x \cdot (y \cdot z) \approx (x \cdot y) \cdot z$

lemma A2a: $(\Box x)\Box \approx \Box x$

proof –

have L1: $\forall x. (\Box\Box x) \cdot ((\Box x) \cdot x) \approx ((\Box\Box x) \cdot (\Box x)) \cdot x$ **using** A5 **by** *metis*

hence L2: $\forall x. (\Box\Box x) \cdot x \approx ((\Box\Box x) \cdot (\Box x)) \cdot x$ **using** A3a **by** *metis*

hence L3: $\forall x. (\Box\Box x) \cdot x \approx (\Box x) \cdot x$ **using** A3a **by** *metis*

hence L4: $\forall x. (\Box\Box x) \cdot x \approx x$ **using** A3a **by** *metis*

have L5: $\forall x. \Box((\Box\Box x) \cdot x) \approx \Box((\Box\Box x) \cdot (\Box x))$ **using** A4a **by** *auto*

hence L6: $\forall x. \Box((\Box\Box x) \cdot x) \approx \Box\Box x$ **using** A3a **by** *metis*

hence L7: $\forall x. \Box\Box(x\Box) \approx \Box(\Box\Box(x\Box)) \cdot (x\Box)$ **by** *auto*

hence L8: $\forall x. \Box\Box(x\Box) \approx \Box(x\Box)$ **using** L4 **by** *metis*

hence L9: $\forall x. \Box\Box(x\Box) \approx \Box x$ **using** A2b **by** *metis*

hence L10: $\forall x. \Box\Box x \approx \Box x$ **using** A2b **by** *metis*

hence L11: $\forall x. \Box\Box((\Box x)\Box) \approx \Box\Box(x\Box)$ **using** A2b **by** *metis*

hence L12: $\forall x. \Box\Box((\Box x)\Box) \approx \Box x$ **using** L9 **by** *metis*

have L13: $\forall x. (\Box\Box((\Box x)\Box)) \cdot ((\Box x)\Box) \approx ((\Box x)\Box)$ **using** L4 **by** *auto*

hence L14: $\forall x. (\Box x) \cdot ((\Box x)\Box) \approx (\Box x)\Box$ **using** L12 **by** *metis*

hence L15: $\forall x. (\Box x)\Box \approx (\Box x) \cdot ((\Box x)\Box)$ **using** L14 **by** *auto*

then show *?thesis* **using** A3b **by** *metis*

qed

abbreviation *DirectedEquality* :: $e \Rightarrow e \Rightarrow \text{bool}$ (**infix** \gtrsim 60)

where $x \gtrsim y \equiv ((E \ x) \rightarrow (E \ y)) \wedge x = y$

lemma L1-13: $((\Box(x \cdot y)) \approx (\Box(x \cdot (\Box y)))) \leftrightarrow ((\Box(x \cdot y)) \gtrsim \Box x)$

by (*metis* A1 A2a A3a)

lemma $(\exists x. e \approx (\Box x)) \leftrightarrow (\exists x. e \approx (x\Box))$ **by** (*metis* A1 A2b A3b)

lemma $(\exists x. e \approx (x\Box)) \leftrightarrow e \approx (\Box e)$ **by** (*metis* A1 A2b A3a A3b)

lemma $e \approx (\Box e) \leftrightarrow e \approx (e\Box)$ **by** (*metis* A1 A2b A3a A3b A4a)

lemma $e \approx (e\Box) \leftrightarrow (\forall x. e \cdot x \gtrsim x)$ **by** (*metis* A1 A2b A3a A3b A4a)

lemma $(\forall x. e \cdot x \gtrsim x) \leftrightarrow (\forall x. x \cdot e \gtrsim x)$ **by** (*metis* A1 A2b A3a A3b)

abbreviation *IdentityMorphism* :: $e \Rightarrow \text{bool}$ (*IdM*- [100]60) **where** $\text{IdM } x \equiv x \approx (\Box x)$

lemma ($\text{IdM } e \leftrightarrow (\exists x. e \approx (\Box x))$) \wedge
 $(\text{IdM } e \leftrightarrow (\exists x. e \approx (x\Box))) \wedge$
 $(\text{IdM } e \leftrightarrow e \approx (\Box e)) \wedge$
 $(\text{IdM } e \leftrightarrow e \approx (e\Box)) \wedge$
 $(\text{IdM } e \leftrightarrow (\forall x. e \cdot x \gtrsim x)) \wedge$
 $(\text{IdM } e \leftrightarrow (\forall x. x \cdot e \gtrsim x))$
by (*smt A1 A2a A3a A3b*)
end

References

- [1] D. Scott. Existence and description in formal logic. In R. Schoenman, editor, *Bertrand Russell: Philosopher of the Century*, pages 181–200. George Allen & Unwin, London, 1967. (Reprinted with additions in: *Philosophical Application of Free Logic*, edited by K. Lambert. Oxford University Press, 1991, pp. 28 - 48).