Automation of the Principia Metaphysica in HOL: Part I

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1 Introduction

We present a formalisation and partial automation of an initial part of the (third authors) Principia Metaphysica [6] in Isabelle/HOL [5].

The Principia Metaphysica, which is based on and extends the Theory of Abstract Objects [?], employs a modal relational type theory as logical foundation. Arguments defending this choice against a modal functional type theory have been presented before [7]. In a nutshell, the situation is this: functional type theory comes with strong comprehension principles, which, in the context of the Theory of Abstract Objects, have paradoxical implications. When starting off with a relational foundation, however, weaker comprehension principles are provided, and these obstacles can be avoided.

Isabelle/HOL is a proof assistant based on a functional type theory, more precisely, Church's theory of types [4]. Recently, it has been shown that Church's type theory can be elegantly utilized as a meta-logic to encode and automate various quantified non-classical logics, including modal functional type theory [2, 3]. This work has subsequently been employed in a case study in computational metaphysics, in which different variants of Kurt Gdel's ontological argument [1] were verified (respectively, falsified).

The motivating research questions for the work presented below include:

- Can functional type theory, despite the problems as pointed by Zalta and Oppenheimer, be utilized to encode the Theory of Abstract Objects when following the embeddings approach?
- How elegant and user-friendly is the resulting formalization? In other words, to what extend can Isabelle's user interface be facilitated to hide unpleasant technicalities of the (extended) embedding from the user?
- How far can automation be pushed in the approach? How much user interaction can be avoided in the formalization of the (first part) of the Principia Metaphysica?
- Can the consistency of the theory be validated with the available automated reasoning tools?
- Can the reasoners eventually even contribute some new knowledge? ...

The encoding of modal functional type theory in functional type theory as explored in previous work [2, 3] is simple: modal logic formulas are identified with certain functional type theory

```
a_1, a_2, \dots
                                     x_1, x_2, ...
(n \ge 0)
                 \Sigma^n
                                      P_1^n, P_2^n, ...
                                                                                                                                              δ
                                                                                                                                                          individual constants
                                     F_1^n, F_2^n, ...
(n \ge 0)
                                                                                                                                                          individual variables
                                     \nu \mid \Omega^n \ (n \geq 0)
                                                                                                                                              \Sigma^n
                                                                                                                                                          n-place relation constants (n \ge 0)
                                     \delta \mid \nu \mid \imath \nu \varphi
                                                                                                                                              \Omega^n
                                                                                                                                                          n-place relation variables (n \ge 0)
(n \ge 1)
                \Pi^n
                                     \Sigma^n \mid \Omega^n \mid [\lambda \nu_1 \dots \nu_n \varphi^*]
                                                                                                                                                          variables
                                                                                                                                              \alpha
                                     \Sigma^0 \mid \Omega^0 \mid [\lambda \varphi^*] \mid \varphi^*
                                                                                                                                                          individual terms
                                     \Pi^n \kappa_1 \dots \kappa_n \ (n \ge 1) \mid \Pi^0 \mid (\neg \varphi^*) \mid (\varphi^* \to \varphi^*) \mid \forall \alpha \varphi^* \mid
                                                                                                                                              \Pi^n
                                                                                                                                                          n-place relation terms (n \ge 0)
                                     (\Box \varphi^*) \mid (\mathcal{A} \varphi^*)
                                                                                                                                                          propositional formulas
                                                                                                                                              \varphi^*

\kappa_1 \Pi^1 \mid \varphi^* \mid (\neg \varphi) \mid (\varphi \rightarrow \varphi) \mid \forall \alpha \varphi \mid (\Box \varphi) \mid (\mathcal{A} \varphi)

                                                                                                                                              φ
                                                                                                                                                          formulas
                                     \kappa \mid \Pi^n (n \geq 0)
                                                                                                                                                          terms
                                                                                                                                              τ
```

Figure 1: Grammar of Modal Relational Type Theory. Note that two kinds of (complex) formulas are introduced: ones that may have encoding subformulas and ones that dont. The latter are designated as propositional formulas, the former ones simply as formulas.

formulas of predicate type io. Possible worlds are explicitly represented by terms of type i. A modal logic φ formula holds for a world w if and only if the application φ w evaluates to true. The definition of the propositional modal logic connectives is then straightforward and it simply realizes the standard translation as a set of equations in functional type theory. The approach has been successfully extended for quantifiers. A crucial aspect thereby is that in simple type theory quantifiers can be treated as ordinary logical connectives. No extra binding mechanism is needed since the already existing lambda binding mechanism can be elegantly utilized.

The challenge in this work is to appropriately 'restrict' this embedding for modal relational type theory.

To achieve this we provide means to explicitly represents and maintain information and constraints on the syntactical structure of modal relational type theory, in particular, we provide means to distinguish between propositional formulas, formulas, terms and erreneous (disallowed) formations. This clearly creates some technical overhead. However, we exploit facilities in Isabelle/HOL's user interface, and other means, to hide most of these technicalities from the user in applications.

2 Preliminaries

We start out with some type declarations and type abbreviations. Our formalism explicitly encodes Kripke style semantics. Hence, we introduce a distinguished type i to represent the set of possible worlds. Consequently, terms of this type denote possible worlds. Moreover, modal logic formulas are associated in our approach with predicates (resp. sets) on possible worlds. Hence, modal logic formulas have type $(i \Rightarrow bool)$. To make our representation in the remainder more concise we abbreviate this type as io.

```
typedecl i type-synonym io = (i \Rightarrow bool)
```

Entities in the abstract theory of types are represented in our formalism by the type e. We

call this the raw type of entities resp. objects. Later on we will introduce means to distinguish between abstract and ordinary entities.

typedecle

To explicitly model the syntactical restrictions of modal relational type theory we introduce a datatype 'a opt based on four constructors: ERR 'a (identifies erroneous term constructions), P 'a (identifies propositional formulas), F 'a (identifies formulas), and T 'a (identifies terms, such as lambda abstractions). The embeddings approach will be suitably adapted below so that for each language expression (in the embedded modal relational type theory) the respective datatype is identified and appropriately propagated. The encapsulated expressions (the polymorphic type 'a will be instantiated below) realize the actual modeling of the logic embedding analogous to previous work for modal functional type theory.

```
\mathbf{datatype} \ 'a \ opt = \mathit{ERR} \ 'a \mid P \ 'a \mid F \ 'a \mid T \ 'a
```

The following operators support a concise and elegant superscript annotation with these four syntactical categories for our language constructs.

```
abbreviation mkP::io\Rightarrow io\ opt\ (\ ^P\ 110) where \varphi^P\equiv P\ \varphi abbreviation mkF::io\Rightarrow io\ opt\ (\ ^F\ 110) where \varphi^F\equiv F\ \varphi abbreviation mkT::'a\Rightarrow 'a\ opt\ (\ ^T\ 110) where \varphi^T\equiv T\ \varphi abbreviation mkE::'a\Rightarrow 'a\ opt\ (\ ^E\ 110) where \varphi^E\equiv ERR\ \varphi
```

Some language constructs in the theory of abstract types, e.g. the actuality operator \mathcal{A} ("it is actually the case that"), refer to a (fixed) given world. To model such a global world reference we introduce a constant symbol (name) cw of world type i. Moreover, for technical reasons, which will be clarified below, we introduce further (dummy) constant symbols for various domains. Since we assume that all domains are non-empty, introducing these constant symbols is obviously not harmful.

```
consts cw :: i
consts de::e dio::io da::'a
```

3 Embedding of Modal Relational Type Theory

The language constructs of modal relational type theory are introduced step by step.

The actuality operator \mathcal{A} when applied to a formula or propositional formula φ evaluates φ wrt the fixed given world cw. The compound expression $\mathcal{A} \varphi$ inherits its syntactical category (Form or PropForm) from φ . If the syntactical catagory of φ is Error or Term, then the syntactical catagory of $\mathcal{A} \varphi$ is Error and a dummy entity of appropriate type is returned. This illustrates the very idea of our explicit structure and constraints and this scheme will repeated below for all the other language constructs of modal relational type theory.

```
abbreviation \mathcal{A}::io opt \Rightarrow io opt where \mathcal{A} \varphi \equiv case \varphi of F(\psi) \Rightarrow F(\lambda w. \psi cw) \mid P(\psi) \Rightarrow P(\lambda w. \psi cw) \mid - \Rightarrow ERR(dio)
```

The Principia Metaphysica distinguishes between encoding and exemplifying, ... say more ... Encoding $\kappa_1\Pi^1$ is noted here as $\kappa_1\cdot\Pi^1$. Encoding yields formulas and never propositional formulas. In the embedding encoding is identified with predicate application.

```
abbreviation Enc::e opt\Rightarrow(e\Rightarrowio) opt\Rightarrowio opt(\{-,-\}) where \{x,\Phi\} \equiv case (x,\Phi) of
```

```
(T(y), T(Q)) \Rightarrow F(\lambda w. (Q y) w) \mid - \Rightarrow ERR(dio)
```

Exemplifying formulas $\Pi^1 \kappa_1$ are noted here as $\Pi^1 \cdot \kappa_1$. Exemplification yields propositional formulas and never formulas. In the embedding exemplification is identified with predicate application, just as encoding.

```
abbreviation Exe1::(e\Rightarrow io) opt\Rightarrow e opt\Rightarrow io opt((-,-)) where (\Phi,x) \equiv case (\Phi,x) of (T(Q),T(y)) \Rightarrow P(\lambda w.(Q\ y)\ w) \mid -\Rightarrow ERR(dio)
```

The Principia Metaphysica supports n-ary exemplification constructions. For pragmatical reasons we consider here the cases only for $n \leq 3$.

```
abbreviation Exe2::(e\Rightarrow e\Rightarrow io)\ opt\Rightarrow e\ opt\Rightarrow e\ opt\Rightarrow io\ opt((-,-,-))\ \mathbf{where}\ (\Phi,x1,x2)\equiv case\ (\Phi,x1,x2)\ of\ (T(Q),T(y1),T(y2))\Rightarrow P(\lambda w.(Q\ y1\ y2)\ w)\ |\ -\Rightarrow ERR(dio) abbreviation Exe3::(e\Rightarrow e\Rightarrow e\Rightarrow io)\ opt\Rightarrow e\ opt\Rightarrow e\ opt\Rightarrow e\ opt\Rightarrow io\ opt((-,-,-,-))\ \mathbf{where}\ (\Phi,x1,x2,x3) \equiv\ case\ (\Phi,x1,x2,x3)\ of
```

```
(T(Q), T(y1), T(y2), T(y3)) \Rightarrow P(\lambda w. (Q y1 y2 y3) w) \mid - \Rightarrow ERR(dio)
```

Formations with negation and implication are supported for both, formulas and propositional formulas, and their embeddings are straightforward.

```
abbreviation not::io\ opt\Rightarrow io\ opt(\neg) where \neg\ \varphi\equiv case\ \varphi\ of F(\psi)\Rightarrow F(\lambda w.\neg(\psi\ w))\mid P(\psi)\Rightarrow P(\lambda w.\neg(\psi\ w))\mid \neg\Rightarrow ERR(dio) abbreviation implies::io\ opt\Rightarrow io\ opt\Rightarrow io\ opt(\mathbf{infixl}\to 51) where \varphi\to\psi\equiv case\ (\varphi,\psi)\ of\ (F(\alpha),F(\beta))\Rightarrow F(\lambda w.\ \alpha\ w\longrightarrow\beta\ w)\mid (P(\alpha),P(\beta))\Rightarrow P(\lambda w.\ \alpha\ w\longrightarrow\beta\ w)\mid \neg\Rightarrow ERR(dio)
```

Also universal quantification $\forall (\lambda x.\varphi)$ (first-order and higher-order) is supported for formulas and propositional formulas. Following previous work the embedding maps $\forall (\lambda x.\varphi)$ to $(\lambda w.\forall x.\varphi w)$. Note that \forall is introduced as logical connective based on the existing λ -binder. To improve presentation in the remainder we additional introduce binder notation $\forall x.\varphi$ as syntactic sugar for $\forall (\lambda x.\varphi)$.

```
abbreviation forall::('a⇒io opt)⇒io opt(∀) where \forall \Phi \equiv case \ (\Phi \ da) \ of F(\varphi) \Rightarrow F(\lambda w. \forall x. \ case \ (\Phi \ x) \ of F(\psi) \Rightarrow \psi \ w) \ | \ P(\varphi) \Rightarrow P(\lambda w. \forall x. \ case \ (\Phi \ x) \ of \ P(\psi) \Rightarrow \psi \ w) \ | \ - \Rightarrow ERR(dio) abbreviation forallBinder::('a⇒io opt)⇒io opt (binder \forall \ [9] \ 9) where \forall \ x. \ \varphi \ x \equiv \forall \ \varphi
```

The modal \square operator is introduced here for logic S5. Since in an equivalence class of possible worlds each world is reachable from any other world, the guarding accessibility clause in the usual definition of the \square operator can be omitted. This is convenient and should also ease theorem proving. In Section 6.3 we will actually demonstrate that the expected S5 properties are validated by our modeling of \square .

```
abbreviation box::io opt\Rightarrowio opt(\square) where \square \varphi \equiv case \ \varphi of F(\psi) \Rightarrow F(\lambda w. \forall \ v. \ \psi \ v) \mid P(\psi) \Rightarrow P(\lambda w. \forall \ v. \ \psi \ v) \mid - \Rightarrow ERR(dio)
```

n-ary lambda abstraction $\lambda^0, \lambda^1, \lambda^2, \lambda^3, \ldots$, for $n \geq 0$, is supported in the Principia Metaphysica only for propositional formulas. ... say more about λ^0 ... Their embedding is straightforward: λ^0 is mapped to identity and $\lambda^1, \lambda^2, \lambda^3, \ldots$ are mapped to n-ary lambda abstractions, that is, $\lambda^2(\lambda xy.\varphi)$ is e.g. mapped to $(\lambda xy.\varphi)$. For pragmatical reasons we restrict ourselves here to the cases where $n \leq 0$.

abbreviation $lam\theta::io\ opt\Rightarrow io\ opt(\lambda^0)$ where $\lambda^0\varphi\equiv case\ \varphi\ of$

```
P(\psi) \Rightarrow P(\psi) \mid - \Rightarrow ERR \ dio
```

```
abbreviation lam1::(e\Rightarrow io\ opt)\Rightarrow (e\Rightarrow io)\ opt(\boldsymbol{\lambda}^1) where (\boldsymbol{\lambda}^1\Phi)\equiv case\ (\Phi\ de)\ of\ P(\varphi)\Rightarrow T(\lambda x.\ case\ (\Phi\ x)\ of\ P(\varphi)\Rightarrow \varphi)\mid -\Rightarrow ERR(\lambda x.\ dio) abbreviation lam1Binder::(e\Rightarrow io\ opt)\Rightarrow (e\Rightarrow io)\ opt\ (binder\ \boldsymbol{\lambda}^1\ [9]\ 9) where \boldsymbol{\lambda}^1\ x.\ \varphi\ x\equiv \boldsymbol{\lambda}^1\ \varphi
```

```
abbreviation lam2::(e\Rightarrow e\Rightarrow io\ opt)\Rightarrow (e\Rightarrow e\Rightarrow io)\ opt(\lambda^2) where \lambda^2\Phi\equiv case\ (\Phi\ de\ de)\ of\ P(\varphi)\Rightarrow T(\lambda x\ y.\ case\ (\Phi\ x\ y)\ of\ P(\varphi)\Rightarrow \varphi)\mid -\Rightarrow ERR(\lambda x\ y.\ dio)
```

```
abbreviation lam3::(e\Rightarrow e\Rightarrow e\Rightarrow io\ opt)\Rightarrow (e\Rightarrow e\Rightarrow e\Rightarrow io)\ opt(\lambda) where \lambda\Phi\equiv case\ (\Phi\ de\ de\ de)\ of\ P(\varphi)\Rightarrow T(\lambda x\ y\ z.\ case\ (\Phi\ x\ y\ z)\ of\ P(\varphi)\Rightarrow \varphi)\mid -\Rightarrow ERR(\lambda x\ y\ z.\ dio)
```

The Principia Metaphysica supports rigid definite descriptions. Our definition maps $\iota(\lambda x.\varphi)$ to $(THE\ x.\ \varphi\ cw)$, that is Isabelle's inbuilt description operator THE is utilized and evaluation is rigidly carried out with respect to the current world cw. Moreover, application of that is allowed only if the body of Φ , computed by clause $(\Phi\ de)$, is a propositional formula. In this case a term is returned and otherwise error reported (for dummy entity de).

```
abbreviation that::(e \Rightarrow io \ opt) \Rightarrow e \ opt(\iota) where \iota \ \Phi \equiv case \ (\Phi \ de) \ of \ P(\varphi) \Rightarrow T(THE \ x. \ case \ (\Phi \ x) \ of \ P \ \psi \Rightarrow \psi \ cw) \mid \neg \Rightarrow ERR(de)
```

4 Further Logical Connectives

Further logical connectives can be defined as usual. For existential quantification we here prefer a native introduction, even though a definition based on \neg and \forall is also possible (but syntactically not more elegant). For pragmatic reasons it eventually makes sense to to prefer native introductions for all connectives.

```
abbreviation conj::io\ opt\Rightarrow io\ opt(infix) \land 53) where \varphi \land \psi \equiv \neg(\varphi \rightarrow \neg \psi) abbreviation or::io\ opt\Rightarrow io\ opt(infix) \lor 52) where \varphi \lor \psi \equiv \neg \varphi \rightarrow \psi abbreviation equivalent::io\ opt\Rightarrow io\ opt(infix) \equiv 52) where \varphi \equiv \psi \equiv (\varphi \rightarrow \psi) \land (\psi \rightarrow \varphi) abbreviation diamond::io\ opt\Rightarrow io\ opt(\diamondsuit) where \diamondsuit \varphi \equiv \neg(\Box(\neg\ \varphi)) abbreviation exists::('a\Rightarrow io\ opt)\Rightarrow io\ opt(\exists) where \exists\ \Phi \equiv case\ (\Phi\ da)\ of P\ \varphi \Rightarrow P(\lambda w. \exists\ x.\ case\ (\Phi\ x)\ of\ P\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w) \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ case\ (\Phi\ x)\ of\ F\ \psi \Rightarrow \psi\ w \mid F\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ \varphi x \equiv \exists\ \varphi \Rightarrow F(\lambda w.\ \exists\ x.\ \varphi x \equiv \exists\
```

5 Meta-Logic

Our approach to rigorously distinguish between proper and improper language constructions and to explicitly maintain respective information is continued also at meta-level. For this we introduce three truth values tt, ff and err, representing truth, falsity and error. These values can also be noted as \top , \bot and *. We could, of course, also introduce respective logical connectives for the meta-level, but in our applications so far (see below) this was not yet relevant.

```
datatype mf = tt (\top) \mid ff (\bot) \mid err (*)
```

Next we define the meta-logical notions of validity, satisfiability, countersatisfiability and invalidity for our embedded modal relational type theory. To support concise formula representations in the remainder we introduce the following notations: $[\varphi]$ (for φ is valid), $[\varphi]^{sat}$ (φ is satisfiability), $[\varphi]^{csat}$ (φ is countersatisfiability) and $[\varphi]^{inv}$ (φ is invalid).

```
abbreviation valid :: io opt\Rightarrow mf ([-]) where [\varphi] \equiv case \ \varphi \ of
P(\psi) \Rightarrow if \ \forall w.(\psi \ w) \longleftrightarrow True \ then \ \top \ else \ \bot \ | \ F(\psi) \Rightarrow if \ \forall w.(\psi \ w) \longleftrightarrow True \ then \ \top \ else \ \bot \ |
\Rightarrow *
abbreviation satisfiable :: io opt\Rightarrow mf ([-]^{sat}) where [\varphi]^{sat} \equiv case \ \varphi \ of
P(\psi) \Rightarrow if \ \exists w.(\psi \ w) \longleftrightarrow True \ then \ \top \ else \ \bot \ | \ F(\psi) \Rightarrow if \ \exists w.(\psi \ w) \longleftrightarrow True \ then \ \top \ else \ \bot \ |
\Rightarrow *
abbreviation countersatisfiable :: io opt\Rightarrow mf ([-]^{csat}) where [\varphi]^{csat} \equiv case \ \varphi \ of
P(\psi) \Rightarrow if \ \exists w.\neg(\psi \ w) \longleftrightarrow True \ then \ \top \ else \ \bot \ | \ F(\psi) \Rightarrow if \ \exists w.\neg(\psi \ w) \longleftrightarrow True \ then \ \top \ else \ \bot \ | \ - \Rightarrow *
abbreviation invalid :: io \ opt\Rightarrow mf ([-]^{inv}) where [\varphi]^{inv} \equiv case \ \varphi \ of
P(\psi) \Rightarrow if \ \forall w.\neg(\psi \ w) \longleftrightarrow True \ then \ \top \ else \ \bot \ | \ F(\psi) \Rightarrow if \ \forall w.\neg(\psi \ w) \longleftrightarrow True \ then \ \top \ else \ \bot \ | \ - \Rightarrow *
```

6 Some Basic Tests

6.1 Verifying Necessitation

The next two lemmata show that necessitation holds for arbitrary formulas and arbitrary propositional formulas. We present the lemma in both variants.

```
lemma necessitation F \colon [\varphi^F] = \top \longrightarrow [\Box(\varphi^F)] = \top apply simp done lemma necessitation P \colon [\varphi^P] = \top \longrightarrow [\Box(\varphi^P)] = \top apply simp done
```

6.2 Modal Collapse is Countersatisfiable

The modelfinder Nitpick constructs a finite countermodel to the assertion that modal collaps is valid. Nitpicks countermodel consists of four worlds i1, i2, i3 and i4. Moreover, it defines φ to hold only in world i3 and it suggests i3 as the actual world in which to evaluate the conjecture formula. This countermodel is clearly not minimal.

lemma $modalCollapseF \colon [\varphi^F \to \Box(\varphi^F)] = \top$ apply simp nitpick oops — Countermodel by Nitpick

lemma $modalCollapseP: [\varphi^P \to \Box(\varphi^P)] = \top$ apply simp nitpick oops — Countermodel by Nitpick

We also tried to prove the statement $[\varphi^F \to \Box(\varphi^F)]^{csat} = \top$ with automated reasoners. This was however not successful ... (check again and check why).

6.3 Verifying S5 Principles

 \Box could have been modeled by employing an equivalence relation r in a guarding clause. This has been done in previous work. In a simpler, alternative approach we omitted this clause here (since all worlds are reachable from any world in an equivalence relation). The following lemmata, which check various conditions for S5, ensure that we have indeed modeled S5 correctly.

```
lemma axiom-T-P: [\Box(\varphi^P) \to (\varphi^P)] = \top apply simp done lemma axiom-T-F: [\Box(\varphi^F) \to (\varphi^F)] = \top apply simp done
```

lemma
$$axiom$$
-B-P: $[\varphi^P \to \Box(\Diamond(\varphi^P))] = \top$ apply $simp$ done lemma $axiom$ -B-F: $[\varphi^F \to \Box(\Diamond(\varphi^F))] = \top$ apply $simp$ done

lemma axiom-4-P: $[\Box(\varphi^P) \to \Diamond(\varphi^P)] = \top$ apply simp by auto

lemma axiom-4- $F: [\Box(\varphi^F) \to \Diamond(\varphi^F)] = \top$ apply simp by auto

lemma axiom-D-P: $[\Box(\varphi^P) \to \Box(\Box(\varphi^P))] = \top$ apply simp done lemma axiom-D-F: $[\Box(\varphi^F) \to \Box(\Box(\varphi^F))] = \top$ apply simp done

lemma axiom-5-P: $[\lozenge(\varphi^P) \to \square(\lozenge(\varphi^P))] = \top$ apply simp done lemma axiom-5-F: $[\lozenge(\varphi^F) \to \square(\lozenge(\varphi^F))] = \top$ apply simp done

lemma test-A-P: $[\Box(\Diamond(\varphi^P)) \to \Diamond(\varphi^P)] = \top$ apply simp done lemma test-A-F: $[\Box(\Diamond(\varphi^F)) \to \Diamond(\varphi^F)] = \top$ apply simp done

lemma test-B-P: $[\lozenge(\Box(\varphi^P)) \to \lozenge(\varphi^P)] = \top$ apply simp by auto lemma test-B-F: $[\lozenge(\Box(\varphi^F)) \to \lozenge(\varphi^F)] = \top$ apply simp by auto

lemma test-C-P: $[\Box(\Diamond(\varphi^P)) \to \Box(\varphi^P)] = \top$ apply simp nitpick oops — Countermodel by Nitpick

lemma test-C-F: $[\Box(\Diamond(\varphi^F)) \to \Box(\varphi^F)] = \top$ apply simp nitpick oops — Countermodel by Nitpick

lemma test-D-P: $[\lozenge(\square(\varphi^P)) \to \square(\varphi^P)] = \top$ apply simp done lemma test-D-F: $[\lozenge(\square(\varphi^F)) \to \square(\varphi^F)] = \top$ apply simp done

6.4 Relations between Meta-Logical Notions

 $\begin{array}{ll} \mathbf{lemma} & [\varphi^P] = \top \longleftrightarrow [\varphi^P]^{csat} = \bot \ \mathbf{apply} \ simp \ \mathbf{done} \\ \mathbf{lemma} & [\varphi^P]^{sat} = \top \longleftrightarrow [\varphi^P]^{inv} = \bot \ \mathbf{apply} \ simp \ \mathbf{done} \\ \mathbf{lemma} & [\varphi^F] = \top \longleftrightarrow [\varphi^F]^{csat} = \bot \ \mathbf{apply} \ simp \ \mathbf{done} \\ \mathbf{lemma} & [\varphi^F]^{sat} = \top \longleftrightarrow [\varphi^F]^{inv} = \bot \ \mathbf{apply} \ simp \ \mathbf{done} \\ \end{array}$

However, for terms we have

 $\begin{array}{ll} \text{lemma} & [\varphi^T] = * \text{ apply } \textit{simp } \text{ done} \\ \text{lemma} & [\varphi^T]^{sat} = * \text{ apply } \textit{simp } \text{ done} \\ \text{lemma} & [\varphi^T]^{csat} = * \text{ apply } \textit{simp } \text{ done} \\ \text{lemma} & [\varphi^T]^{inv} = * \text{ apply } \textit{simp } \text{ done} \\ \end{array}$

6.5 Testing for the Correct Propagation of Syntactical Category Information

 $\begin{array}{l} \mathbf{lemma} \ \exists \ X. \ (\|R^T,a^T\| = (X^P) \ \land \ \neg (\exists \ X. \ (\|R^T,a^T\| = (X^F)) \ \land \ \neg (\exists \ X. \ (\|R^T,a^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,a^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,a^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,a^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,R^T\| = (X^T)) \ \land \ \neg (\exists \ X. \ (\|R^T,$

Most importantly, we have that the following language constructing is evaluated as erroneous at validity level.

lemma $[(\lambda^1 x, (R^T, x^T)) \rightarrow \{x^T, R^T\}, a^T)] = *$ apply simp done

This is also confirmed as follows in Isabelle: Isabelle simplifies the following expression to $dio^E = X$ (simply move the curse on simp to see this).

Todo: ... select, adapt, and explain some of examples below ...

lemma $(\lambda^1 x. (R^T, x^T)) \rightarrow (x^T, R^T), a^T) = X$ apply simp oops

lemma $\exists X. \ (|\lambda^1 x. \ (|R^T, x^T|) \to (|R^T, x^T|), a^T|) = (X^P)$ apply $simp \ done \ --$ Solution: $(\lambda w. \ True) = X$

lemma $[(\lambda^1 x. (R^T, x^T)) \rightarrow (R^T, x^T), a^T)] = \top$ apply simp done

lemma $(\lambda^1 x. (R^T, x^T)) \to (R^T, x^T), a^T) = (X^P)$ apply simp oops lemma $(\lambda^1 x. (R^T, x^T)) \to (x^T, R^T) = X$ apply simp oops

lemma $[(\forall x. (R^T, x^T) \rightarrow (R^T, x^T))] = \top$ apply simp done lemma $[(\forall R. \forall (\lambda x. (R^T, x^T) \rightarrow (R^T, x^T)))] = \top$ apply simp done lemma $(\forall x. (R^T, x^T) \rightarrow (R^T, x^T)) = X$ apply simp oops

lemma $[(\forall x. \{x^T, R^T\} \rightarrow \{x^T, R^T\})] = \top$ apply simp done lemma $(\forall x. \{x^T, R^T\} \rightarrow \{x^T, R^T\}) = X$ apply simp oops

lemma $[(\forall x. (R^T, x^T) \rightarrow \{x^T, R^T\})] = *$ apply simp done lemma $[(\forall x. (R^T, x^T) \rightarrow \{x^T, R^T\})] = X$ apply simp oops lemma $(\forall x. (R^T, x^T) \rightarrow \{x^T, R^T\}) = X$ apply simp oops lemma $[(\forall R. (R^T, x^T) \rightarrow \{x^T, R^T\})] = *$ apply simp done lemma $(\forall R. (R^T, x^T) \rightarrow \{x^T, R^T\}) = X$ apply simp oops

6.6 Are Priorities Defined Correctly?

lemma $(\varphi^P) \land (\psi^P) \rightarrow (\chi^P) \equiv ((\varphi^P) \land (\psi^P)) \rightarrow (\chi^P)$ apply simp done lemma $(\varphi^P) \land (\psi^P) \rightarrow (\chi^P) \equiv (\varphi^P) \land ((\psi^P) \rightarrow (\chi^P))$ apply simp nitpick oops — Countermodel by Nitpick

lemma $((\varphi^P) \land (\psi^P) \equiv (\varphi^P) \land (\psi^P)) \equiv (((\varphi^P) \land (\psi^P)) \equiv ((\varphi^P) \land (\psi^P)))$ apply simp done lemma $((\varphi^P) \land (\psi^P) \equiv (\varphi^P) \land (\psi^P)) \equiv ((\varphi^P) \land ((\psi^P) \equiv (\varphi^P)) \land (\psi^P))$ apply simp nitpick oops — Countermodel by Nitpick

7 E!, O!, A! and =E

We introduce the distinguished 1-place relation constant: E (read: being concrete or concreteness)

consts $E::(e \Rightarrow io)$

Being ordinary is defined as being possibly concrete.

abbreviation ordinaryObject:: $(e \Rightarrow io)$ opt(O!) where $O! \equiv \lambda^1 x$. $\Diamond (E^T, x^T)$

Being abstract is is defined as not possibly being concrete.

abbreviation abstractObject:: $(e \Rightarrow io)$ opt(A!) where $A! \equiv \lambda^1 x$. $\neg (\lozenge (E^T, x^T))$

Identity $=_e$ is defined as Subsequenty, the infix operator $=_E$ is introduced.

abbreviation
$$identity::(e \Rightarrow e \Rightarrow io)$$
 $opt(=_e)$ **where** $=_e \equiv \lambda^2(\lambda x \ y. ((O!, x^T) \land (O!, y^T)) \land \Box(\forall (\lambda F. ((F^T, x^T)) \equiv ((F^T, y^T))))$

abbreviation identity E:: e opt \Rightarrow e opt \Rightarrow io opt(infix) =_E 63) where $x =_E y \equiv (=_e, x, y)$

8 Further Test Examples

Todo: ... select, adapt, and explain some of examples below

lemma
$$[(\forall x. \exists (\lambda R. \{x^T, R^T\} \rightarrow \{x^T, R^T\}))] = \top$$
 apply $simp$ done lemma $[(\exists x. \forall (\lambda R. \{x^T, R^T\} \rightarrow \{x^T, R^T\}))] = \top$ apply $simp$ done

lemma $[(a^T) =_E (a^T)] = \top$ apply simp nitpick oops — Countermodel by Nitpick

lemma
$$[(O!, a^T)] \rightarrow (a^T) =_E (a^T) = \top$$
 apply $simp$ done

lemma
$$[(\forall F. (F^T, x^T) \equiv (F^T, x^T))] = \top$$
 apply $simp$ done lemma $[(O!, a^T) \rightarrow ((\lambda^1 x. (x^T) =_E (a^T)), a^T)] = \top$ apply $simp$ done

lemma $[(\exists F. \{a^T, F^T\})] = \top$ apply simp by auto

lemma
$$[(\exists \varphi. (\varphi^P))] = \top$$
 apply $simp$ by $auto$ lemma $[(\exists \varphi. (\varphi^P))] = \top$ apply $simp$ by $auto$

9 Axioms

9.1 Axioms for Negations and Conditionals

lemma a21-1-P: $[(\varphi^P) \to ((\varphi^P) \to (\varphi^P))] = \top$ apply simp done lemma a21-1-F: $[(\varphi^F) \to ((\varphi^F) \to (\varphi^F))] = \top$ apply simp done lemma a21-2-P: $[((\varphi^P) \to ((\psi^P) \to (\chi^P))) \to (((\varphi^P) \to (\psi^P)) \to ((\varphi^P) \to (\chi^P)))] = \top$ apply simp done lemma a21-2-F: $[((\varphi^F) \to ((\psi^F) \to (\chi^F))) \to (((\varphi^F) \to (\psi^F)) \to ((\varphi^F) \to (\chi^F)))] = \top$ apply simp done

lemma a21-3-P: $[(\neg(\varphi^P) \to \neg(\psi^P)) \to ((\neg(\varphi^P) \to (\psi^P)) \to (\varphi^P))] = \top$ apply simp done lemma a21-3-F: $[(\neg(\varphi^F) \to \neg(\psi^F)) \to ((\neg(\varphi^F) \to (\psi^F)) \to (\varphi^F))] = \top$ apply simp done

9.2Axioms of Identity

todo

9.3**Axioms of Quantification**

todo

9.4**Axioms of Actuality**

lemma a31-1-P: $[\mathcal{A}(\neg(\varphi^P)) \equiv \neg(\mathcal{A}(\varphi^P))] = \top$ apply simp done lemma a31-1-F: $[\mathcal{A}(\neg(\varphi^F)) \equiv \neg(\mathcal{A}(\varphi^F))] = \top$ apply simp done lemma a31-2-P: $[\mathcal{A}(\varphi^P \to (\psi^P)) \equiv (\mathcal{A}(\varphi^P) \to \mathcal{A}(\psi^P))] = \top$ apply simp done lemma a31-2-F: $[\mathcal{A}(\varphi^F \to (\psi^F)) \equiv (\mathcal{A}(\varphi^F) \to \mathcal{A}(\psi^F))] = \top$ apply simp done lemma a31-3-P: $[\mathcal{A}(\forall x. (\varphi^P)) \equiv (\forall x. \mathcal{A}(\varphi^P))] = \top$ apply simp done lemma a31-3-F: $[(\mathcal{A}(\forall x.(\varphi^F)) \equiv (\forall x. \mathcal{A}(\varphi^F)))] = \top$ apply simp done lemma a31-4-P: $[\mathcal{A}(\varphi^P) \equiv \mathcal{A}(\mathcal{A}(\varphi^P))] = \top$ apply simp done lemma a31-4-F: $[\mathcal{A}(\varphi^F) \equiv \mathcal{A}(\mathcal{A}(\varphi^F))] = \top$ apply simp done

9.5 Axioms of Necessity

```
lemma a32\text{-}1\text{-}P: [(\Box(\varphi^P \to (\varphi^P))) \to (\Box(\varphi^P) \to \Box(\varphi^P))] = \top apply simp done lemma a32\text{-}1\text{-}F: [(\Box(\varphi^F \to (\varphi^F))) \to (\Box(\varphi^F) \to \Box(\varphi^F))] = \top apply simp done lemma a32\text{-}2\text{-}P: [\Box(\varphi^P) \to (\varphi^P)] = \top apply simp done lemma a32\text{-}2\text{-}F: [\Box(\varphi^F) \to (\varphi^F)] = \top apply simp done lemma a32\text{-}3\text{-}P: [\Box(\Diamond(\varphi^F)) \to \Diamond(\varphi^F)] = \top apply simp done lemma a32\text{-}3\text{-}F: [\Box(\Diamond(\varphi^F)) \to \Diamond(\varphi^F)] = \top apply simp done lemma a32\text{-}4\text{-}P: [(\forall x. \Box(\varphi^P)) \to \Box((\forall x. (\varphi^F)))] = \top apply simp done lemma a32\text{-}4\text{-}F: [(\forall x. \Box(\varphi^F)) \to \Box((\forall x. (\varphi^F)))] = \top apply simp done
```

The following needs to be an axiom; it does not follow for free: it is possible that there are contingently concrete individuals and it is possible that there are not:

axiomatization where

```
a32\text{-}5\text{-}P\colon [\lozenge(\exists\,x.\,\,(\!(E^T,\!x^T)\!)\,\,\land\,\, \lozenge(\neg(\!(E^T,\!x^T)\!)))\,\,\land\,\, \lozenge(\neg(\exists\,x.\,\,(\!(E^T,\!x^T)\!)\,\,\land\,\, \lozenge(\neg(\!(E^T,\!x^T)\!))))] = \top
```

9.6 Axioms of Necessity and Actuality

```
lemma a33\text{-}1\text{-}P: [\mathcal{A}(\varphi^P) \to \Box(\mathcal{A}(\varphi^P))] = \top apply simp done lemma a33\text{-}1\text{-}F: [\mathcal{A}(\varphi^F) \to \Box(\mathcal{A}(\varphi^F))] = \top apply simp done lemma a33\text{-}2\text{-}P: [\Box(\varphi^P) \equiv (\mathcal{A}(\Box(\varphi^P)))] = \top apply simp done lemma a33\text{-}2\text{-}F: [\Box(\varphi^F) \equiv (\mathcal{A}(\Box(\varphi^F)))] = \top apply simp done
```

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