

Working with Automated Reasoning Tools – HOL Syntax and Semantics –

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SS08, Block Course at Saarland University, Germany



Syntax

HOL-Syntax: Simple Types



Simple Types \mathcal{T} :

- \circ (truth values)
- ι (individuals)
- $(\alpha \rightarrow \beta)$ (functions from α to β)

HOL-Syntax: Simply Typed λ -Terms



Typed Terms:

X_α Variables (\mathcal{V})

c_α Constants & Parameters (Σ & \mathcal{P})

$(\mathbf{F}_{\alpha \rightarrow \beta} \mathbf{B}_\alpha)_\beta$ Application

$(\lambda Y_\alpha \mathbf{A}_\beta)_{\alpha \rightarrow \beta}$ λ -abstraction

HOL-Syntax: Simply Typed λ -Terms



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Equality of Terms:

α -conversion Changing bound variables

β -reduction $((\lambda Y_\beta \mathbf{A}_\alpha) \mathbf{B}_\beta) \xrightarrow{\beta} [\mathbf{B}/Y] \mathbf{A}$

η -reduction $(\lambda Y_\alpha (\mathbf{F}_{\alpha \rightarrow \beta} Y)) \xrightarrow{\eta} \mathbf{F} \quad (Y_\beta \notin \mathbf{Free}(\mathbf{F}))$

HOL-Syntax: Simply Typed λ -Terms



Typed Terms:

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$(\mathbf{F}_{\alpha \rightarrow \beta} \mathbf{B}_\alpha)_\beta$ Application

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Equality of Terms:

Every term has a unique $\beta\eta$ -normal form (up to α -conversion).

HOL: Adding Logical Connectives



- \top_o – true
- \perp_o – false
- $\neg_{o \rightarrow o}$ – negation
- $\vee_{o \rightarrow o \rightarrow o}$ – disjunction
- $\wedge_{o \rightarrow o \rightarrow o}$ – conjunction
- $\supset_{o \rightarrow o \rightarrow o}$ – implication
- $\Leftrightarrow_{o \rightarrow o \rightarrow o}$ – equivalence
- $\forall X_\alpha. \dots$ – universal quantification over type α (\forall types α)
- $\exists X_\alpha. \dots$ – existential quantification over type α (\forall types α)
- $=_{\alpha \rightarrow \alpha \rightarrow o}$ – equality at type α (\forall types α)

HOL: Adding Logical Constants to Σ



One minimal choice for signature Σ :

- $\neg_{o \rightarrow o}$ – negation
- $\vee_{o \rightarrow o \rightarrow o}$ – disjunction
- $\prod_{(\alpha \rightarrow o) \rightarrow o}$ – universal quantification over type α (\forall types α)

HOL: Adding Logical Constants to Σ



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Use abbreviations for other logical operators

$A \vee B$ means $(\vee A B)$

$A \wedge B$ means $\neg(\neg A \vee \neg B)$

$A \supset B$ means $\neg A \vee B$

$A \Leftrightarrow B$ means $(A \supset B) \wedge (B \supset A)$

$\forall X_\alpha A$ means $\prod(\lambda X_\alpha A)$

$\exists X_\alpha A$ means $\neg \forall X_\alpha \neg A$

T means $(\forall X_o X \vee \neg X)$

F means $\neg T$

HOL: Adding Logical Constants to Σ



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Use Leibniz-equality to encode equality

$$\mathbf{A}_\alpha \doteq \mathbf{B}_\alpha$$

means

$$\forall P_{\alpha \rightarrow o} (P \mathbf{A} \supset P \mathbf{B})$$

resp.

$$\prod (\lambda P_{\alpha \rightarrow o} (\neg P \mathbf{A} \vee P \mathbf{B}))$$

HOL: Adding Logical Constants to Σ



Another minimal choice for signature Σ :

- $=_{\alpha \rightarrow \alpha \rightarrow o}$ – equality

HOL: Adding Logical Constants to Σ



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HOL: Adding Logical Constants to Σ



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Use abbreviations for other logical operators

T means $(\lambda X_o X) = (\lambda X_o X)$

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$\neg A$ means $A = F$

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HOL: Adding Logical Constants to Σ



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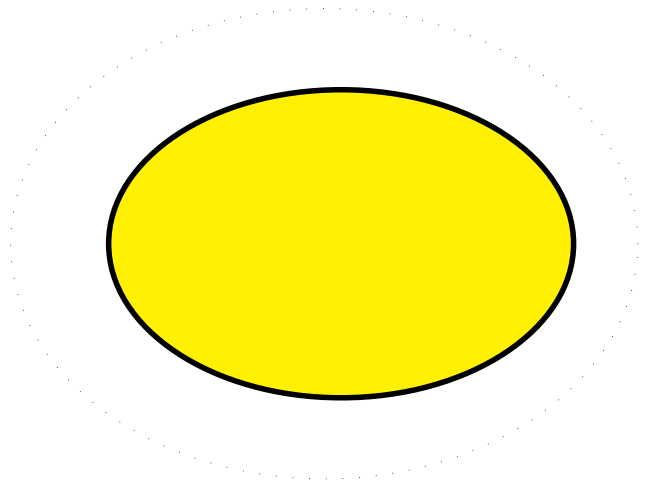
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$\forall X A$	means	$(\lambda X_o A) = (\lambda X_o T)$
$\exists X A$	means	$\neg(\forall X_o \neg A)$



Semantics: Model Classes
(different extensionality
properties)

Model Classes (Extensionality)



Standard Models $\mathfrak{ST}(\Sigma)$

■ Idea of Standard Semantics:

$\iota \longrightarrow \mathcal{D}_\iota$ (choose)

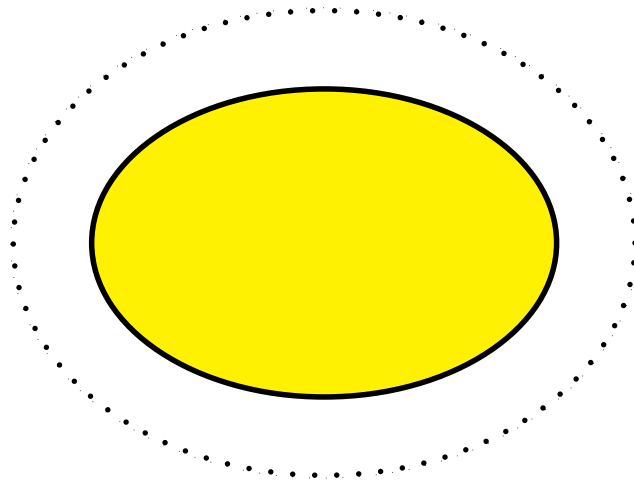
$\circ \longrightarrow \mathcal{D}_\circ = \{\mathbf{T}, \mathbf{F}\}$ (fixed)

$(\alpha \rightarrow \beta) \longrightarrow$

$\mathcal{D}_{\alpha \rightarrow \beta} = \mathcal{F}(\mathcal{D}_\alpha, \mathcal{D}_\beta)$ (fixed)

(undecidable)

Model Classes (Extensionality)



Standard Models $\mathfrak{M}(\Sigma)$

- Idea of Standard Semantics:

$\iota \longrightarrow \mathcal{D}_\iota$ (choose)

$\circ \longrightarrow \mathcal{D}_\circ = \{\text{T}, \text{F}\}$ (fixed)

$(\alpha \rightarrow \beta) \longrightarrow$
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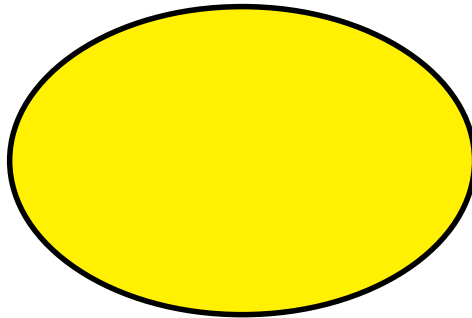
- Henkin's Generalization:

$\mathcal{D}_{\alpha \rightarrow \beta} \subseteq \mathcal{F}(\mathcal{D}_\alpha, \mathcal{D}_\beta)$ (choose)

but elements are still functions
and Denotatspflicht holds
(semi-decidable)

[Henkin-50]

Model Classes (Extensionality)

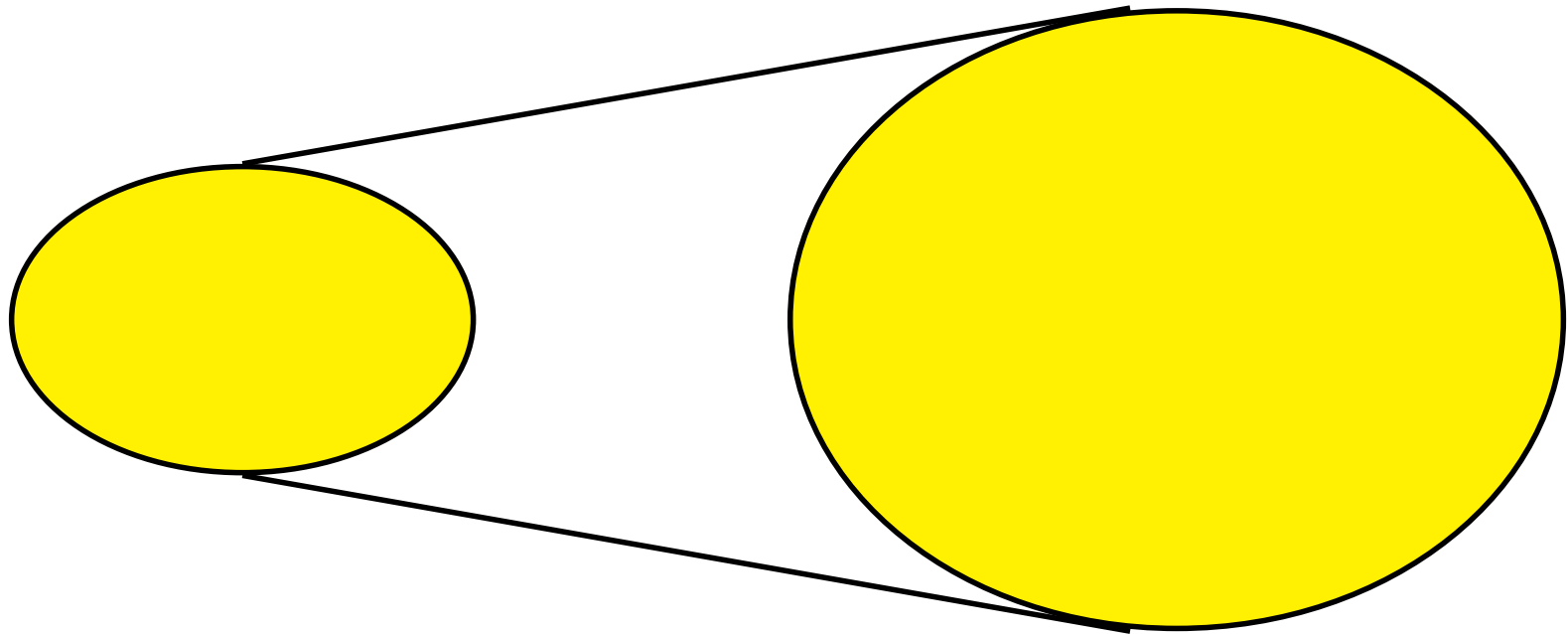


Standard Models $\mathcal{G}\mathcal{I}(\Sigma)$

choose: \mathcal{D}_ι

fixed: $\mathcal{D}_o, \mathcal{D}_{\alpha \rightarrow \beta}$, functions

Model Classes (Extensionality)



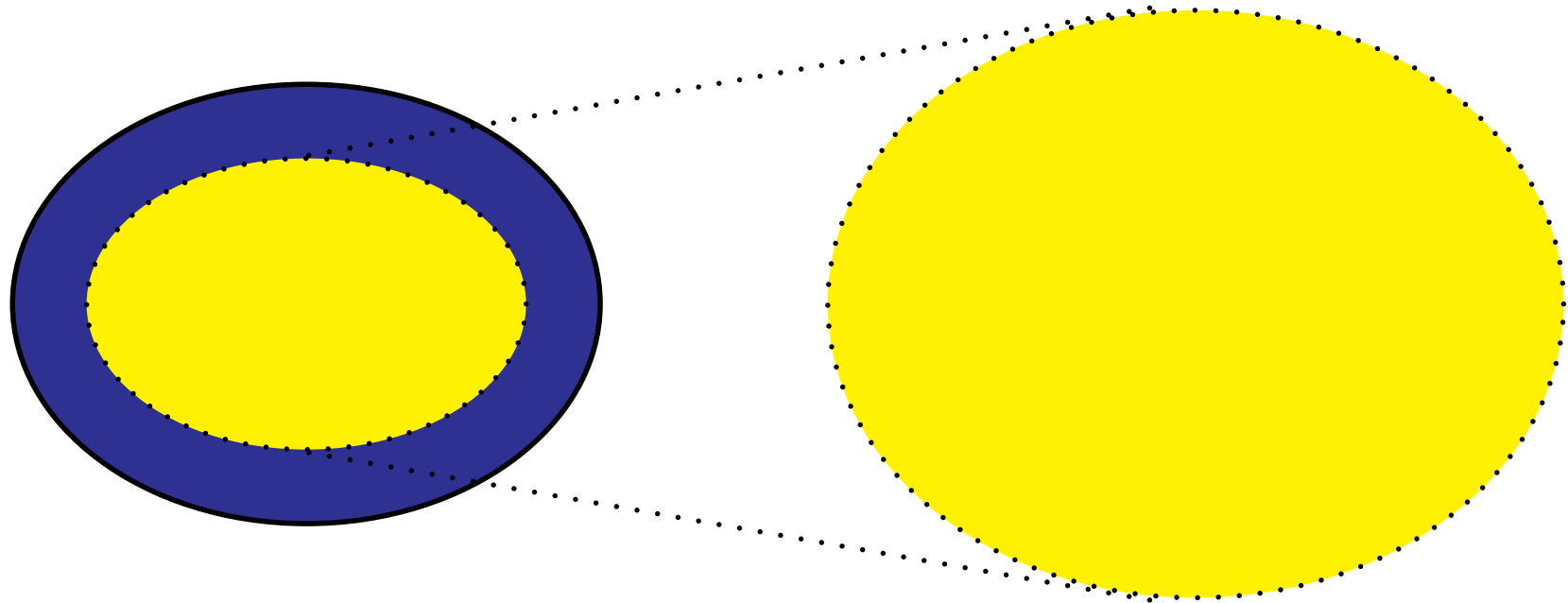
Standard Models $\mathfrak{MI}(\Sigma)$

Formulas valid in $\mathfrak{MI}(\Sigma)$

choose: \mathcal{D}_ι

fixed: $\mathcal{D}_o, \mathcal{D}_{\alpha \rightarrow \beta}$, functions

Model Classes (Extensionality)



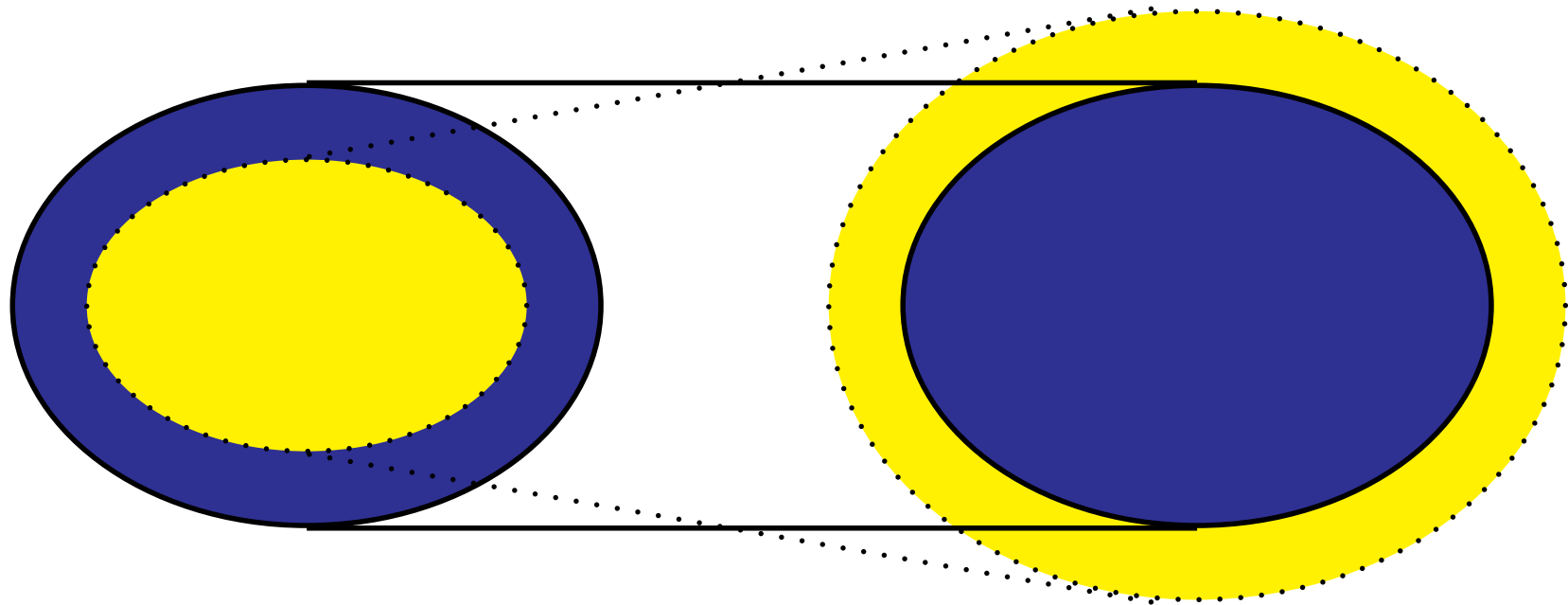
Henkin Models $\mathfrak{H}(\Sigma) = \mathfrak{M}_{\beta\text{fb}}(\Sigma)$

choose: $\mathcal{D}_\iota, \mathcal{D}_{\alpha \rightarrow \beta}$

fixed: \mathcal{D}_o , functions

Formulas valid in $\mathfrak{M}_{\beta\text{fb}}(\Sigma)$?

Model Classes (Extensionality)



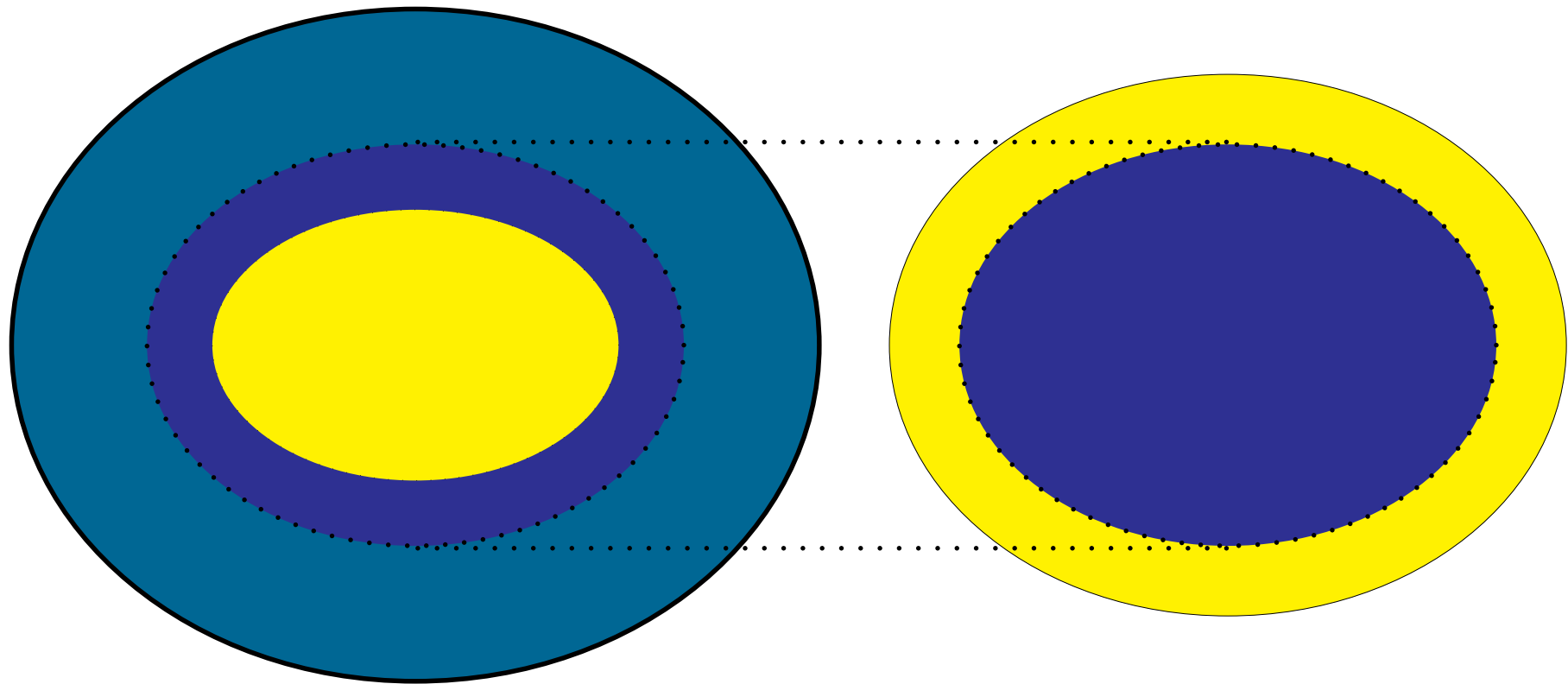
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Formulas valid in $\mathfrak{M}_{\beta\text{fb}}(\Sigma)$

Model Classes (Extensionality)



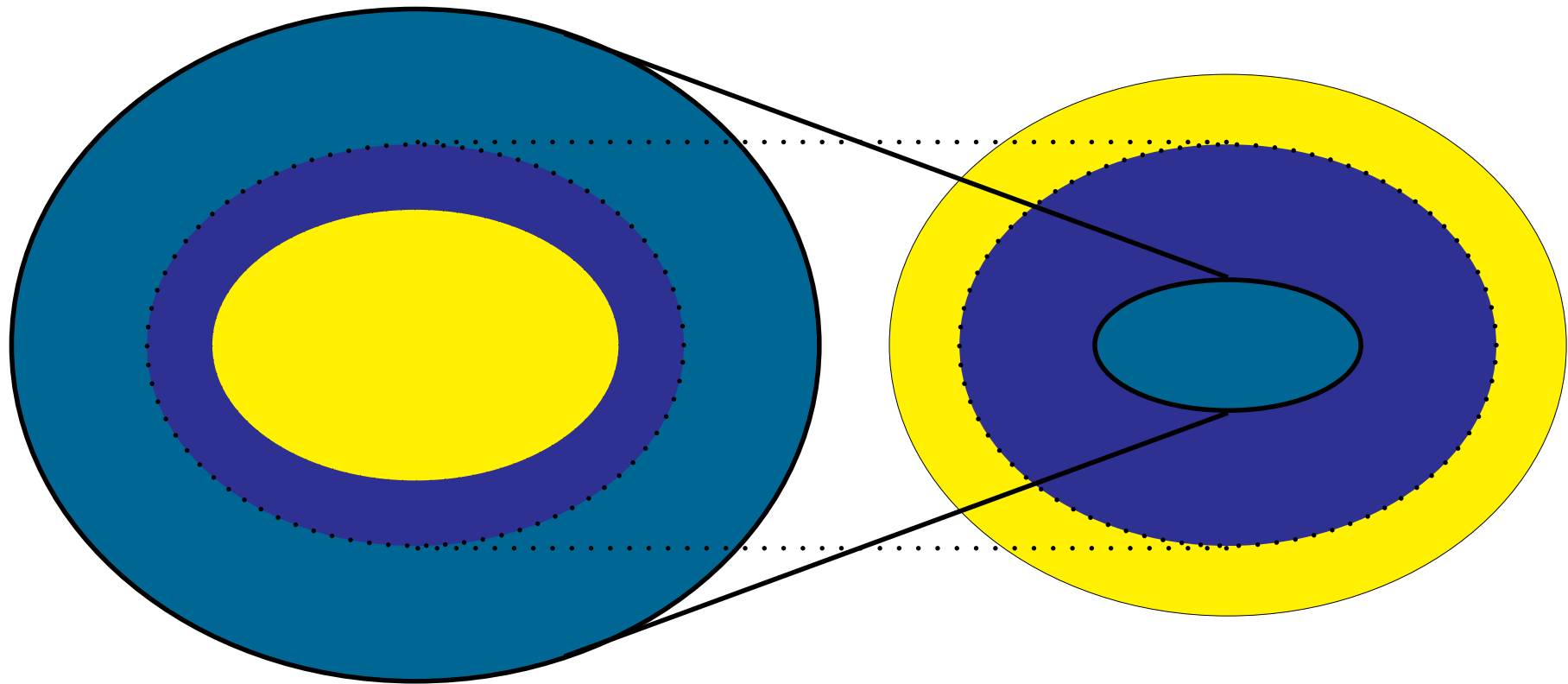
Non-Extensional Models $\mathfrak{M}_\beta(\Sigma)$

Formulas valid in $\mathfrak{M}_\beta(\Sigma)$?

choose: $\mathcal{D}_\iota, \mathcal{D}_{\alpha \rightarrow \beta}$, also non-functions, \mathcal{D}_o

fixed:

Model Classes (Extensionality)



Non-Extensional Models $\mathfrak{M}_\beta(\Sigma)$

choose: $\mathcal{D}_\iota, \mathcal{D}_{\alpha \rightarrow \beta}$, also non-functions, \mathcal{D}_o

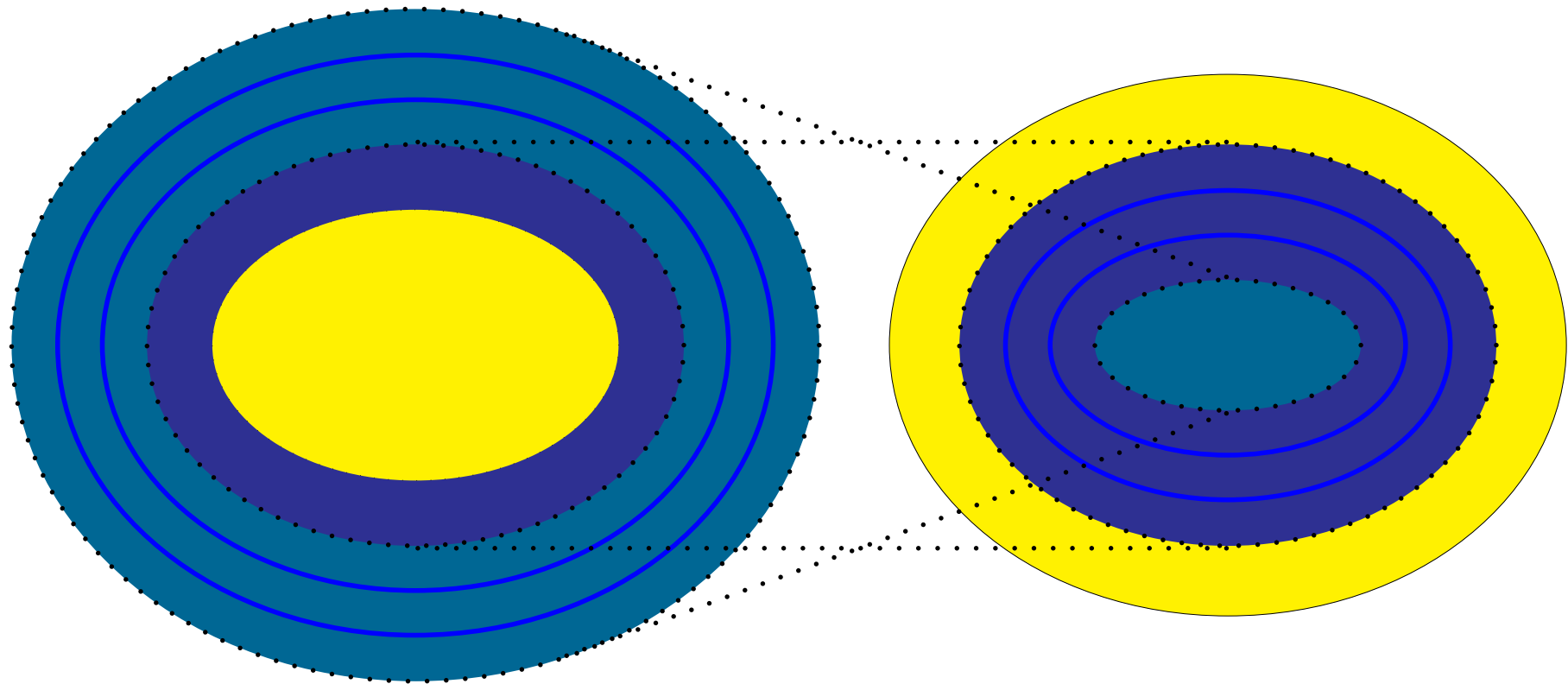
fixed:

Formulas valid in $\mathfrak{M}_\beta(\Sigma)$?

Ex.: $\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$

vs. $\vee \doteq \lambda X. \lambda Y. Y \vee X$

Model Classes (Extensionality)



We additionally studied different model classes with 'varying degrees of extensionality'

$$\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$$

$$\forall X. \forall Y. X \vee Y \doteq Y \vee X$$

$$\lambda X. \lambda Y. X \vee Y \doteq \lambda X. \lambda Y. Y \vee X$$

$$\vee \doteq \lambda X. \lambda Y. Y \vee X$$

Model Classes (Extensionality)



$$\mathfrak{M}_\beta(\Sigma)$$

$\mathfrak{M}_\beta(\Sigma)$ non-extensional Σ -models

\mathfrak{b} : Boolean extensionality, $\mathcal{D}_o = \{\mathsf{T}, \mathsf{F}\}$

$\mathfrak{f}(= \eta + \xi)$: functional extensionality

η : η -functional

ξ : ξ -functionality

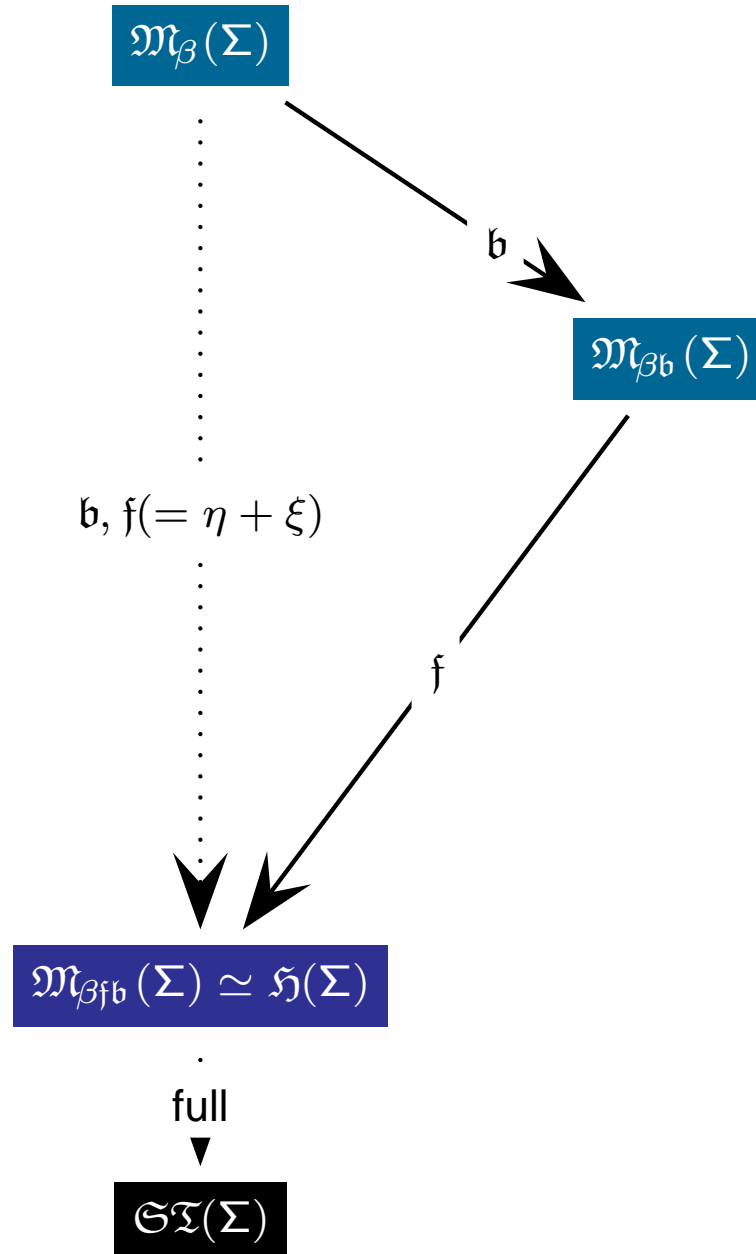
$$\mathfrak{M}_{\beta\mathfrak{fb}}(\Sigma) \simeq \mathfrak{H}(\Sigma)$$

$\mathfrak{M}_{\beta\mathfrak{fb}}(\Sigma) \simeq \mathfrak{H}(\Sigma)$ Henkin models

full

$$\mathfrak{G}\mathfrak{T}(\Sigma)$$

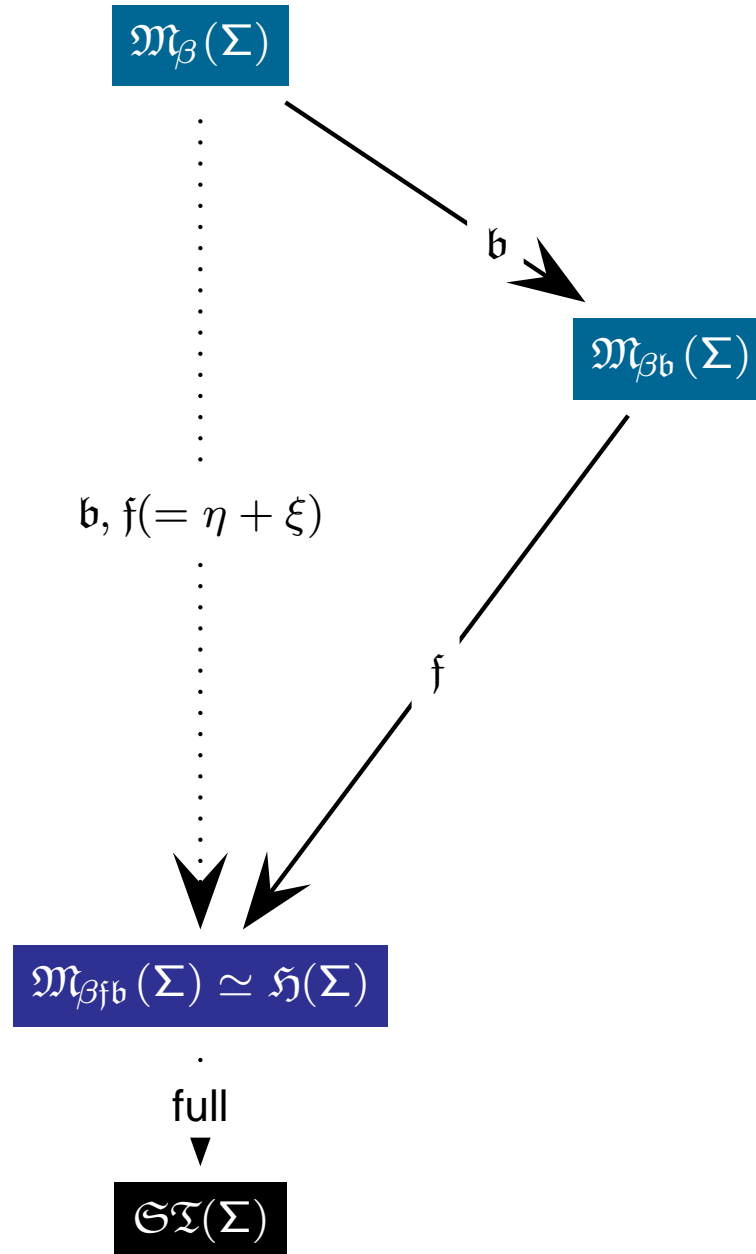
Model Classes (Extensionality)



Motivation for
Models without Functional Extensionality

- modeling programs:
 $p_1 \neq p_2$ even if $p_1 @ a = p_2 @ a$ for
every $a \in \mathcal{D}_\alpha$
- consider, e.g., run-time complexity:
 $p_1 \leftarrow \lambda X.1$
and
 $p_2 \leftarrow \lambda X.1 + (X + 1)^2 - (X^2 + 2X + 1)$

Model Classes (Extensionality)



Motivation for
Models without Boolean Extensionality?

- modeling of intensional concepts like 'knowledge', 'believe', etc.
- example:

$$\mathbf{O} := 2 + 2 = 4$$

$$\mathbf{F} := \forall x, y, z, n > 2. x^n + y^n = z^n \Rightarrow x = y = z = 0$$
 We want to model:

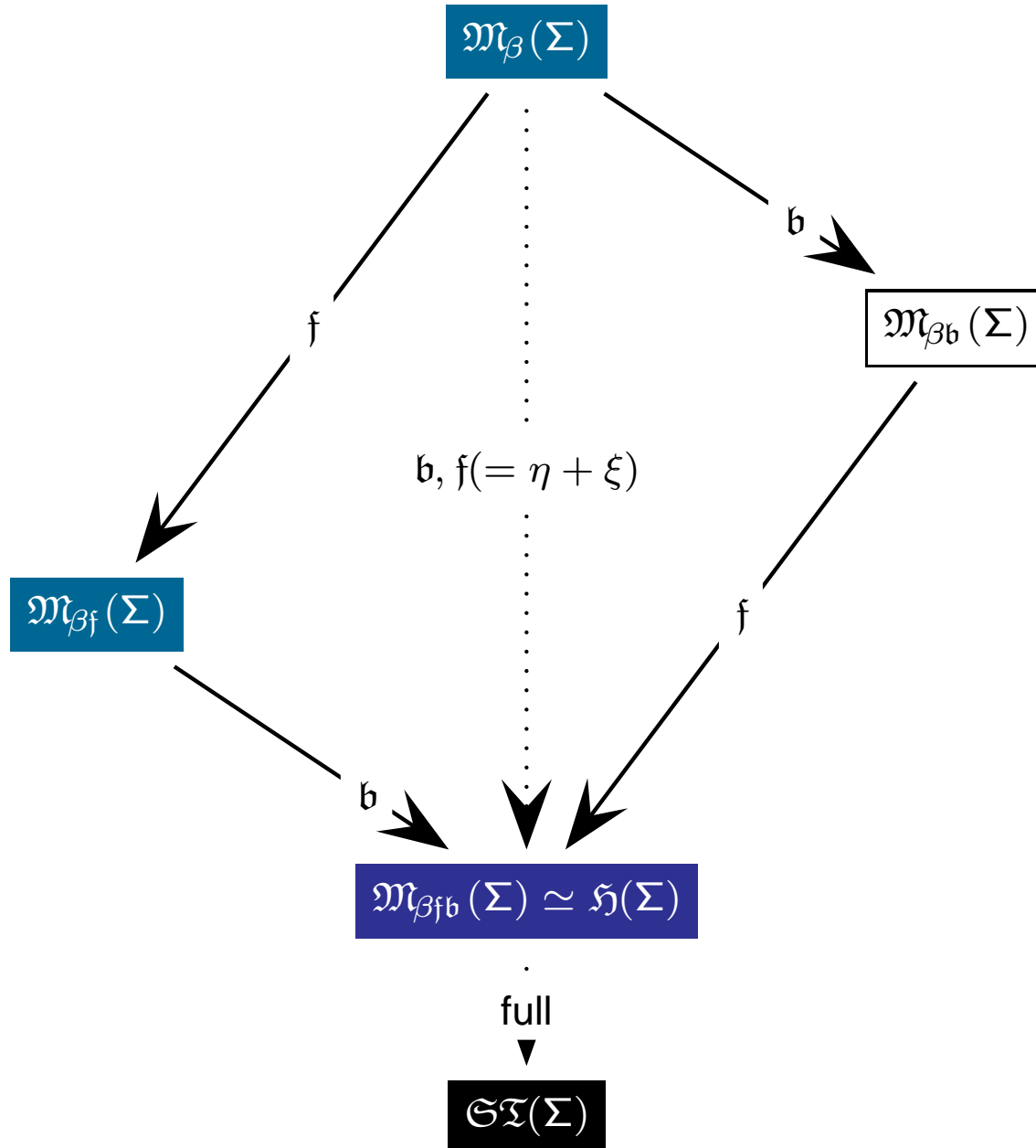
$$\mathbf{O} \Leftrightarrow \mathbf{F} \text{ but}$$

$$\text{john_knows}(\mathbf{F}) \not\Leftrightarrow \text{john_knows}(\mathbf{O})$$
- if we have $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$ then

$$\mathbf{O} \Leftrightarrow \mathbf{F} \text{ implies } \mathbf{O} = \mathbf{F}$$
 which also enforces

$$\text{john_knows}(\mathbf{F}) \Leftrightarrow \text{john_knows}(\mathbf{O})$$

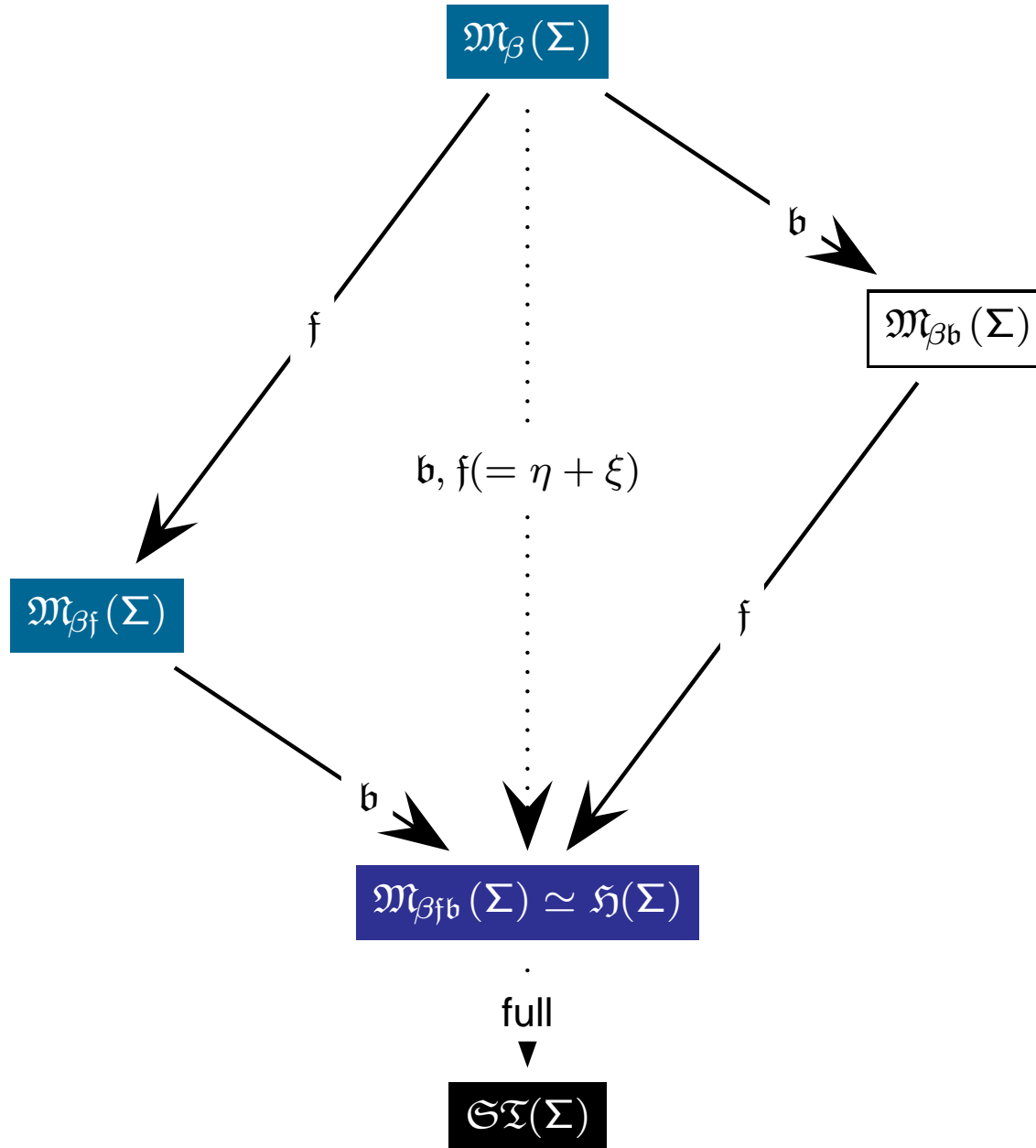
Model Classes (Extensionality)



Models without η

$$\mathcal{E}_\varphi(A) = \mathcal{E}_\varphi(A \downarrow_\eta)$$

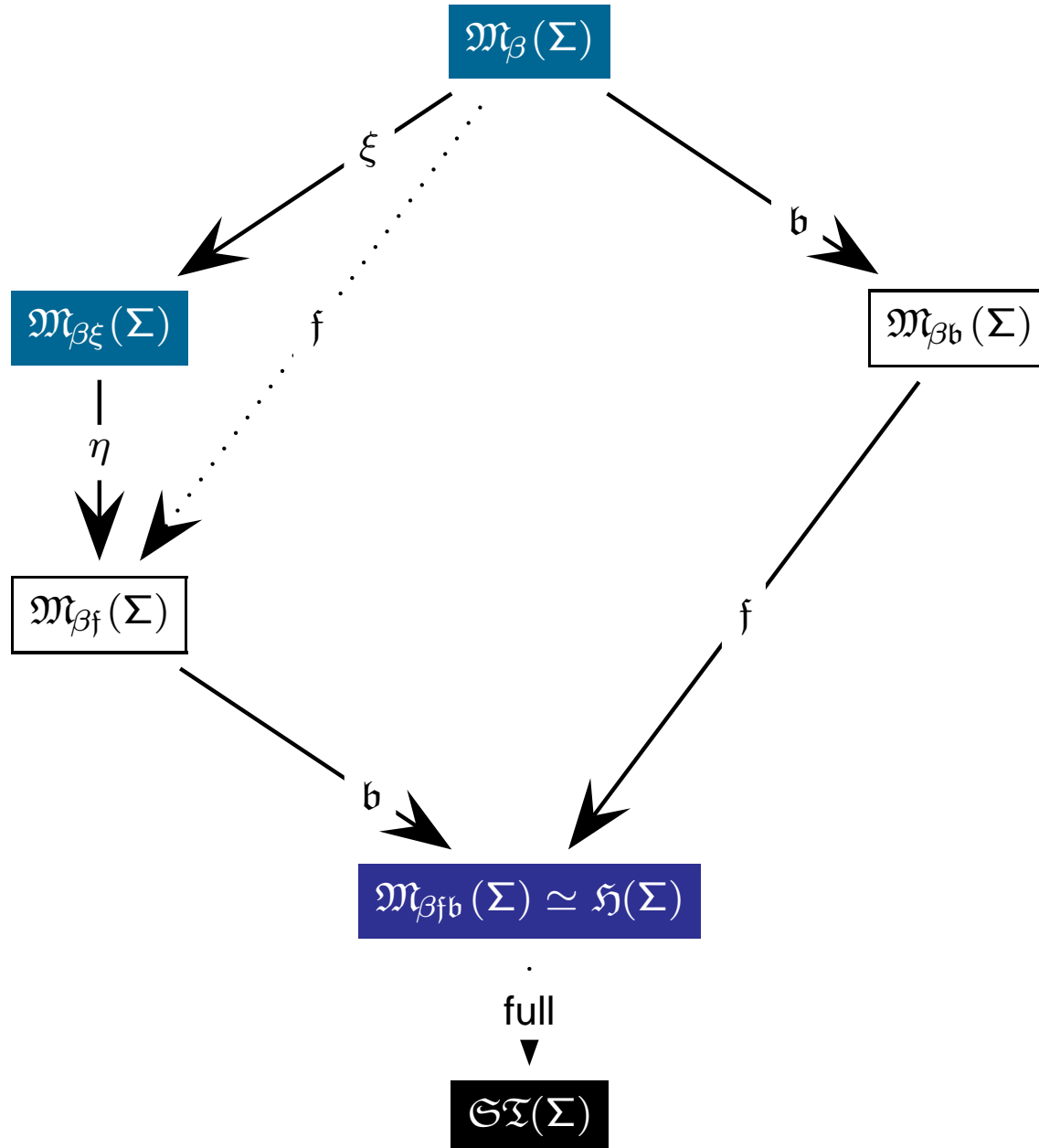
Model Classes (Extensionality)



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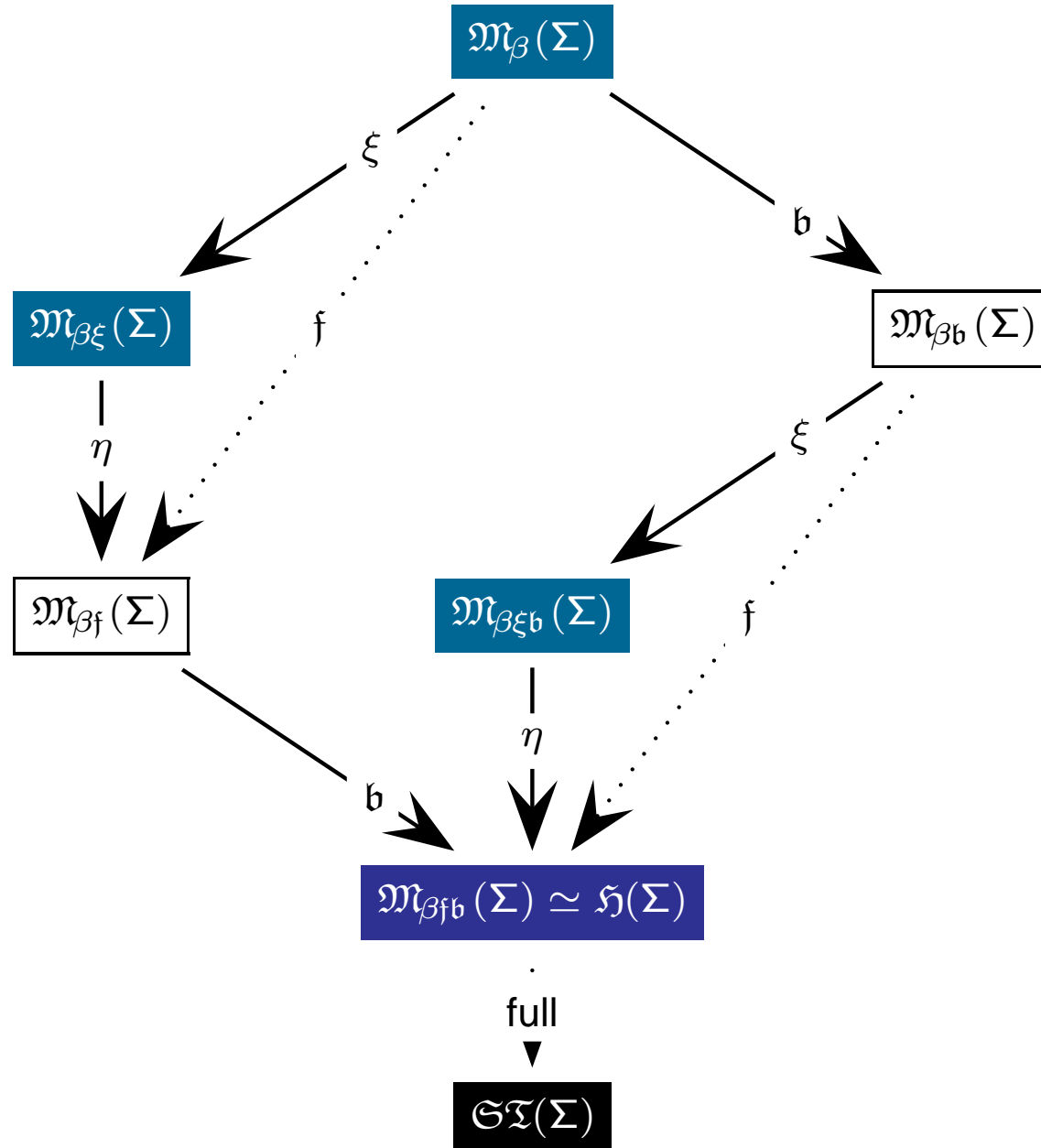
Model Classes (Extensionality)



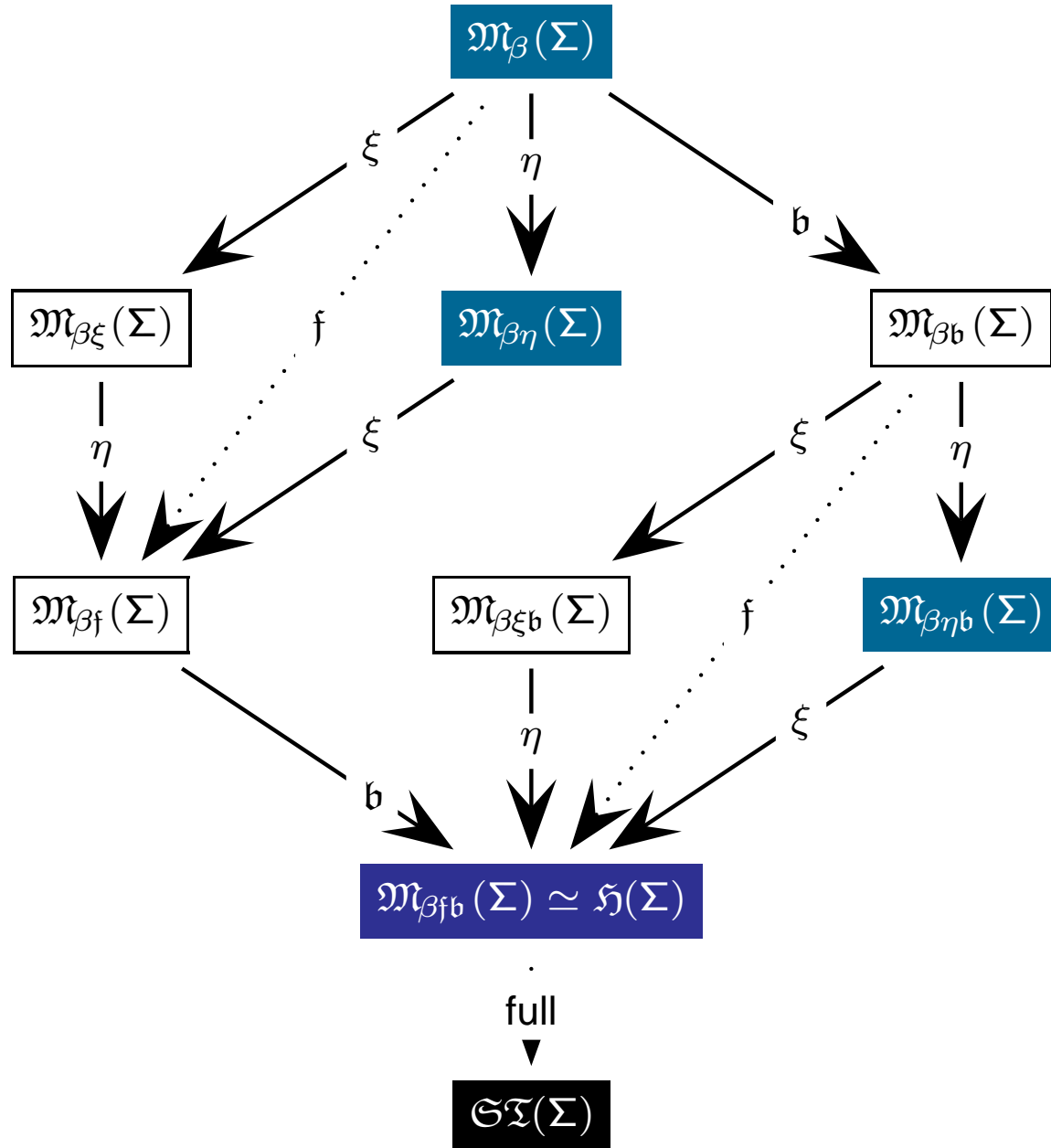
Models without ξ

$$\mathcal{E}_\varphi(\lambda X_\alpha.M_\beta) = \mathcal{E}_\varphi(\lambda X_\alpha.N_\beta) \text{ iff } \mathcal{E}_{\varphi, [a/X]}(M) = \mathcal{E}_{\varphi, [a/X]}(N) \ (\forall a \in \mathcal{D}_\alpha)$$

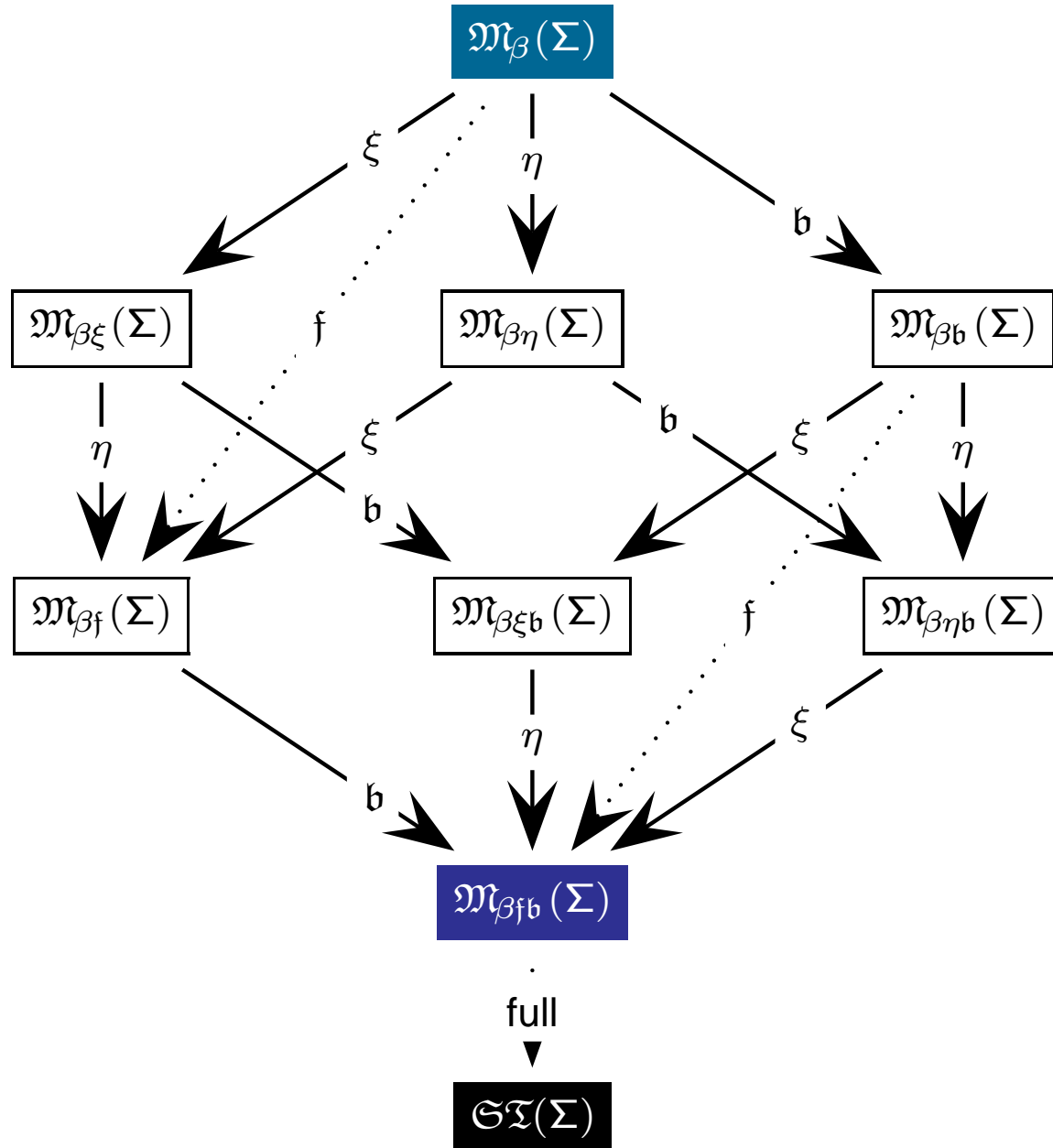
Model Classes (Extensionality)



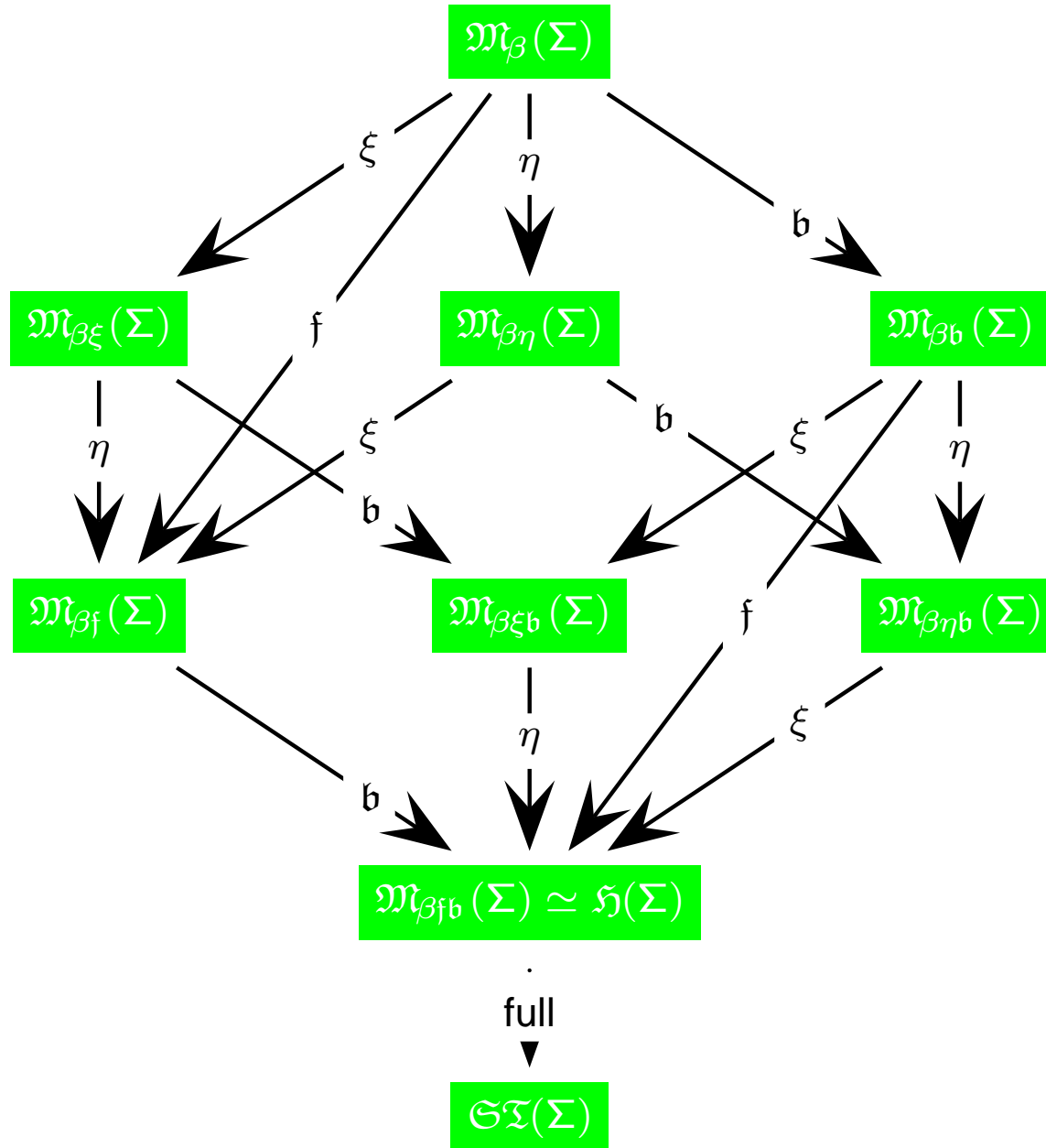
Model Classes (Extensionality)



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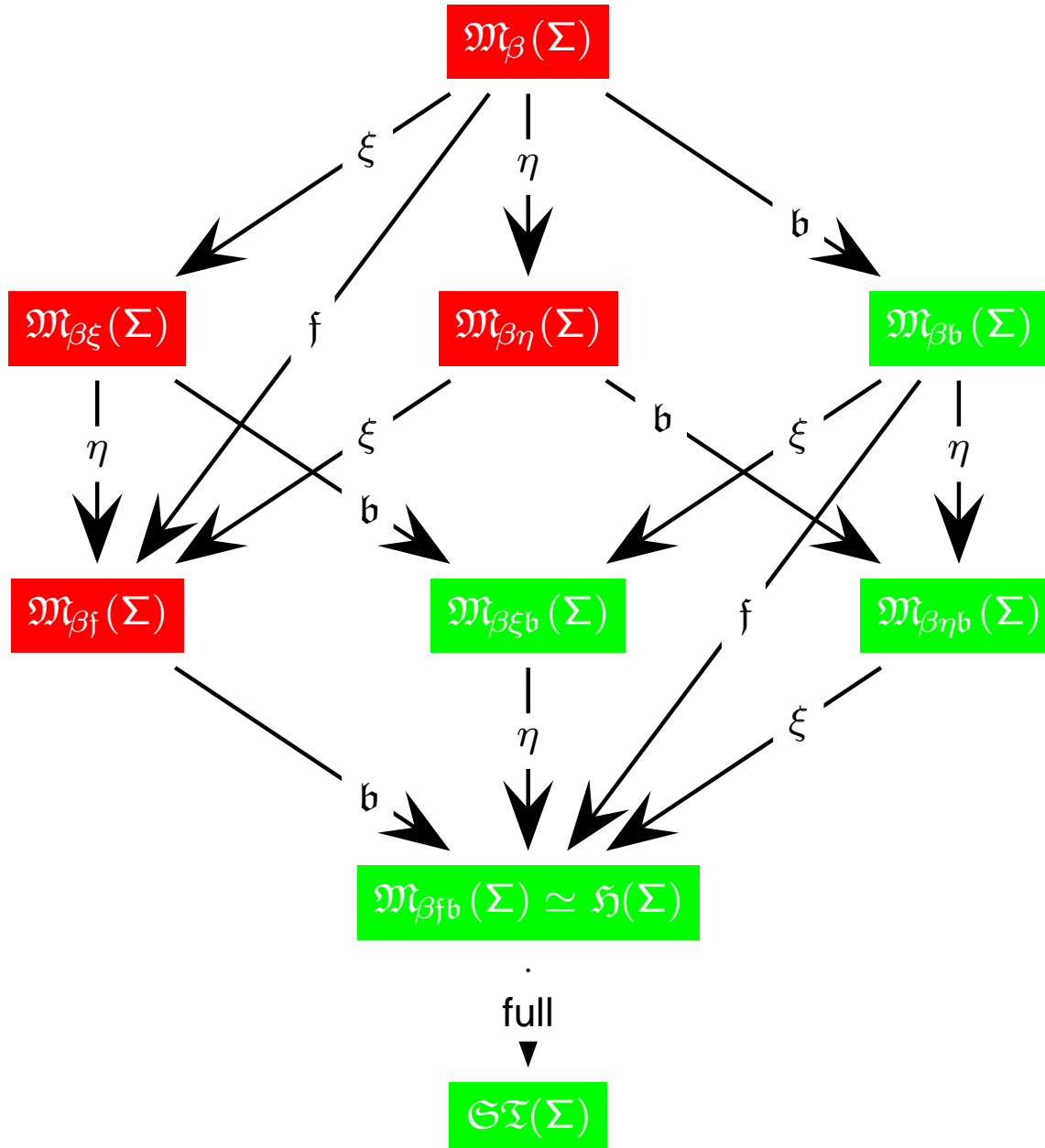
Model Classes (Extensionality)



■ $\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$

valid for all model classes

Model Classes (Extensionality)

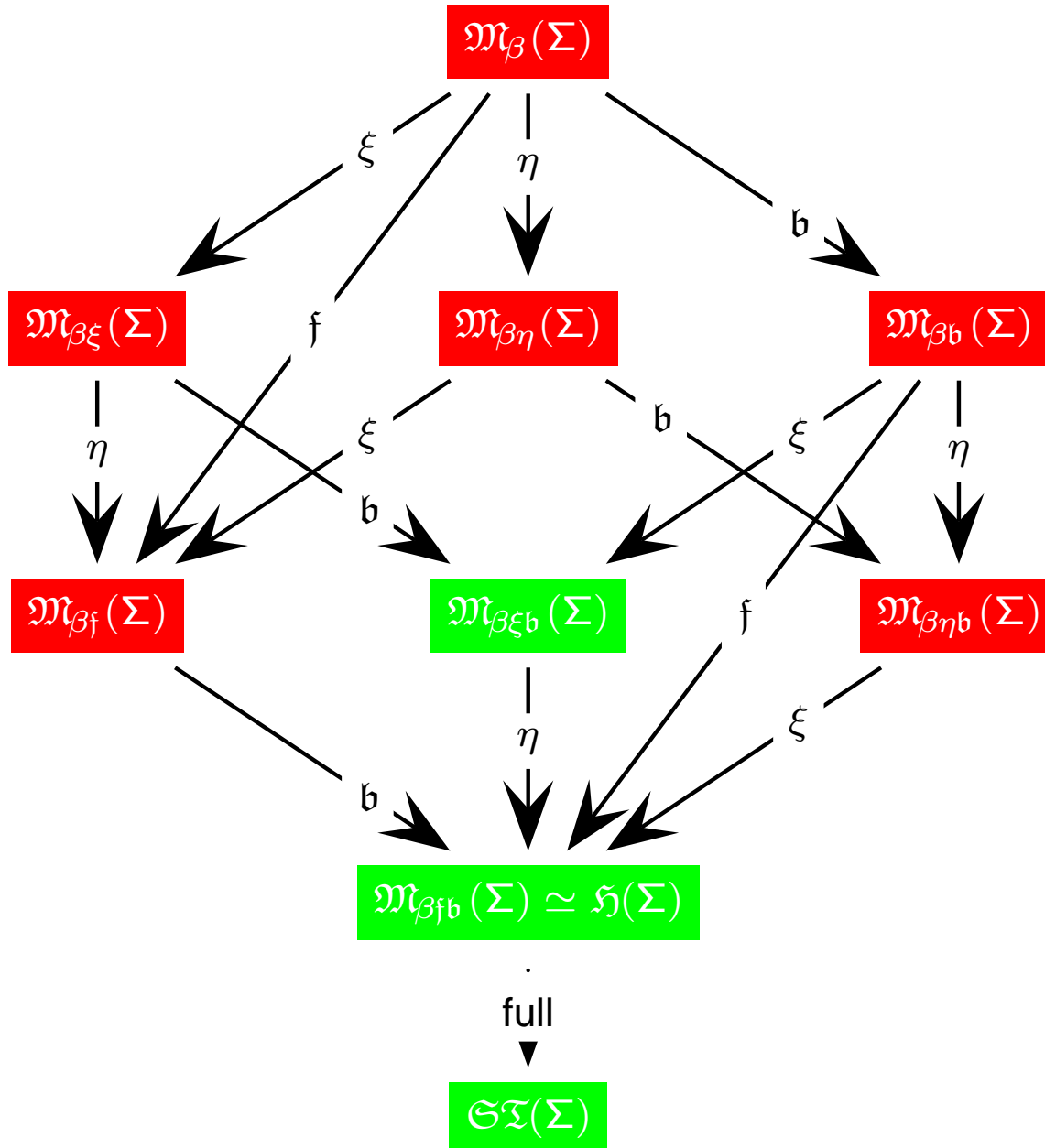


■ $\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$

■ $\forall X. \forall Y. X \vee Y \doteq Y \vee X$

validity requires b

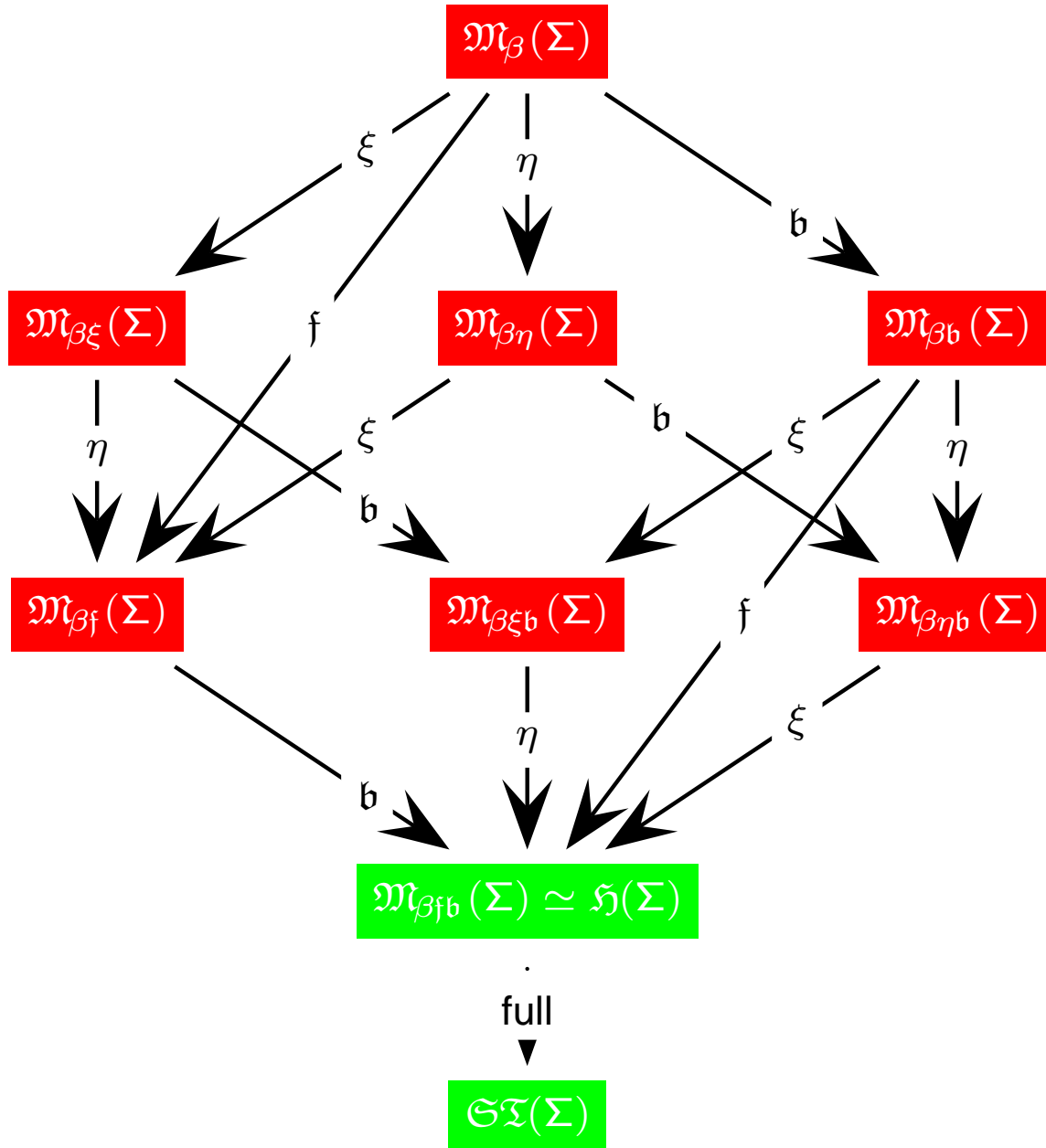
Model Classes (Extensionality)



- $\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$
- $\forall X. \forall Y. X \vee Y \doteq Y \vee X$
- $\lambda X. \lambda Y. X \vee Y \doteq \lambda X. \lambda Y. Y \vee X$

validity requires b and ξ

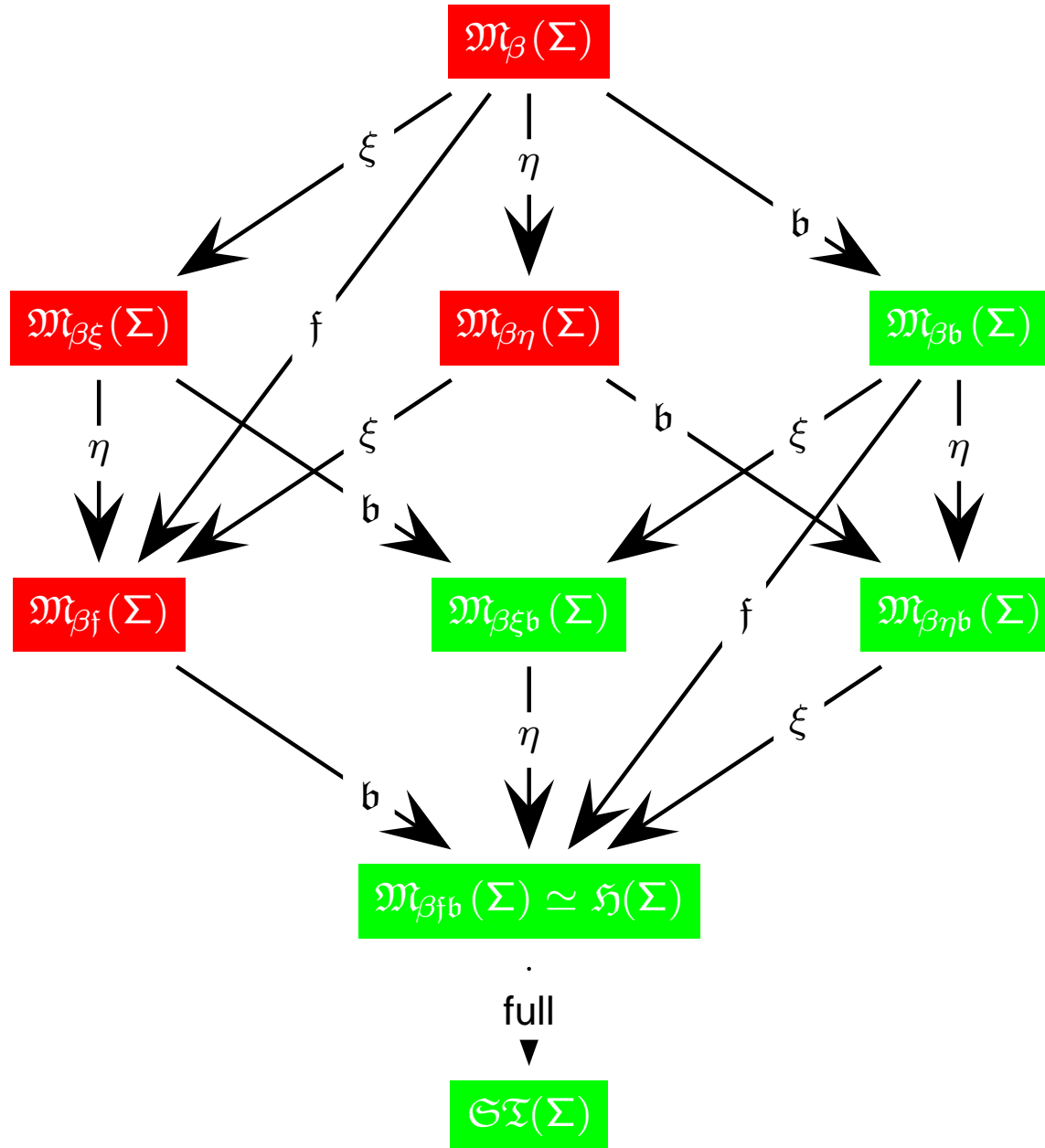
Model Classes (Extensionality)



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validity requires \flat and f

Useful: Test Problems for TPs



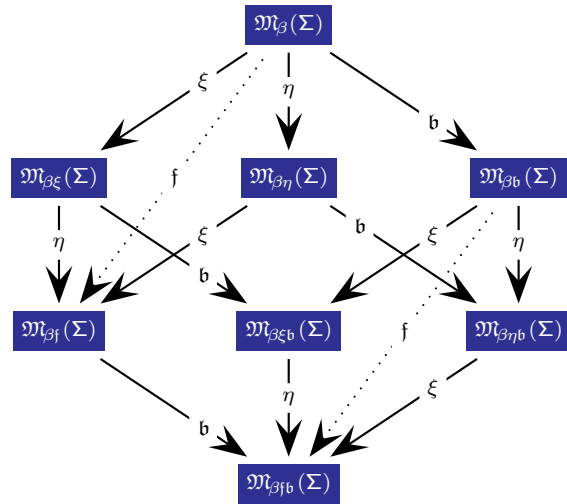
Examples requiring property b

- $(p a_o) \wedge (p b_o) \Rightarrow (p (a \wedge b))$
- $(h_o \rightarrow_{\iota} ((h \top) \doteq (h \perp))) \doteq (h \perp)$

Semantics - Calculi - Abstract Consistency



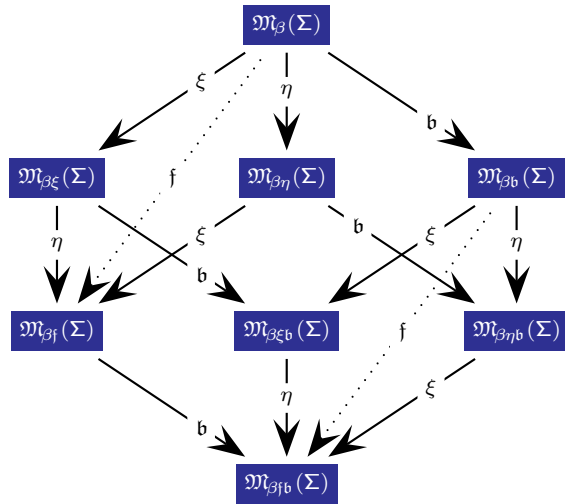
Semantics:
Model Classes (Extensionality)



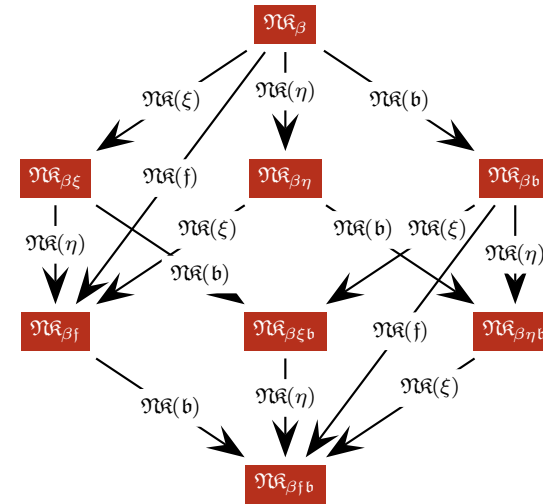
Semantics - Calculi - Abstract Consistency



Semantics:
Model Classes (Extensionality)



Reference Calculi:
ND (and others)

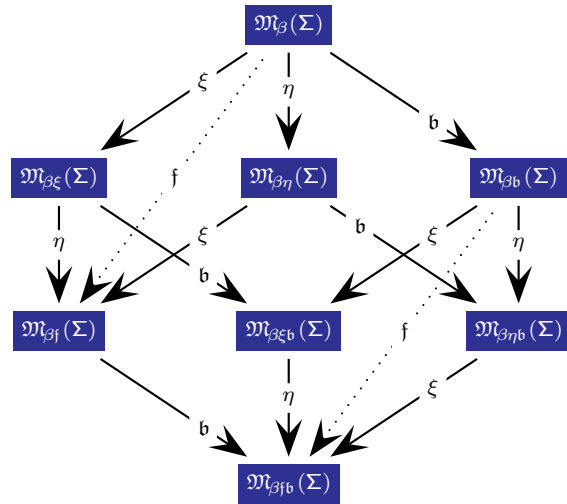


Semantics - Calculi - Abstract Consistency



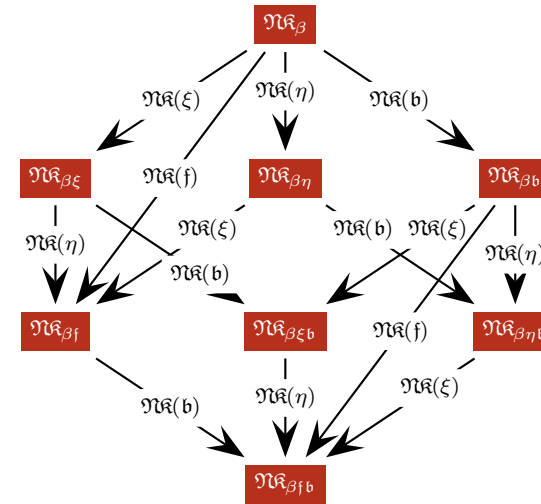
Semantics:

Model Classes (Extensionality)

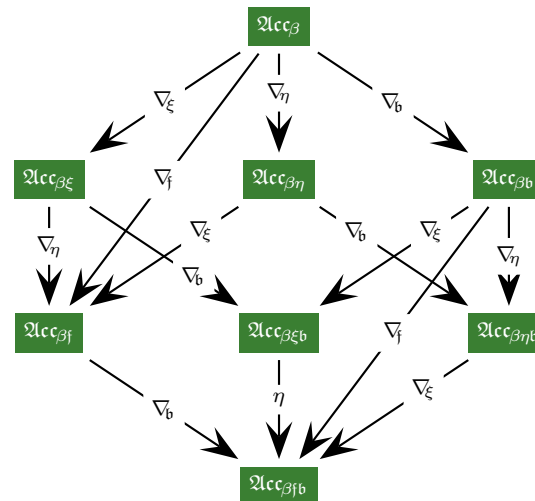


Reference Calculi:

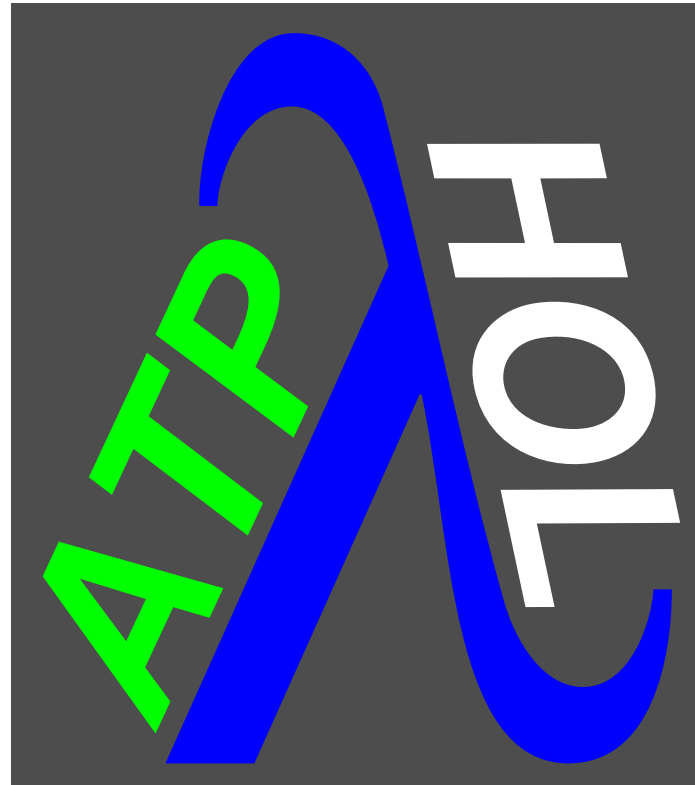
ND (and others)



Abstract Consistency / Unifying Principle:
Extensions of Smullyan-63 and Andrews-71



Automated Theorem Proving



Extensional Resolution

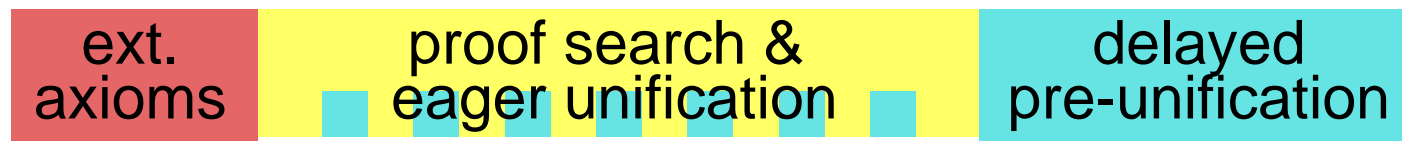
Extensional HO Resolution \mathcal{ER}



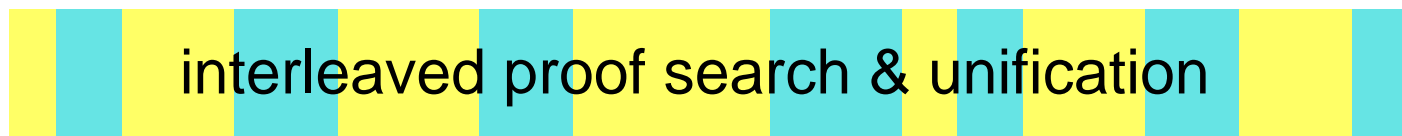
- [Andrews-71] Higher-order resolution (without unification)



- [Huet-73/75] Higher-order constrained resolution



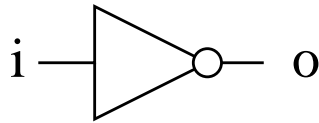
- [Benzmüller-99] Extensional higher-order resolution



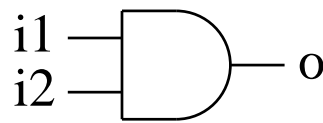
HOL Application: Hardware Verification



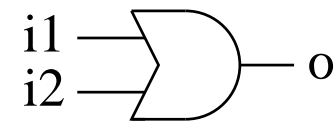
■ Some Basic Devices



$$\text{NOT}(i, o) =$$
$$(o = \neg i)$$



$$\text{AND}(i_1, i_2, o) =$$
$$(o = (i_1 \wedge i_2))$$



$$\text{OR}(i_1, i_2, o) =$$
$$(o = (i_1 \vee i_2))$$

$$\text{NOT}'(i, o) =$$
$$(\forall t. o(t) = \neg i(t))$$

$$\text{AND}'(i_1, i_2, o) =$$
$$(\forall t. o(t) = (i_1(t) \wedge i_2(t)))$$

$$\text{OR}'(i_1, i_2, o) =$$
$$(\forall t. o(t) = (i_1(t) \vee i_2(t)))$$



- Specification of NAND Device



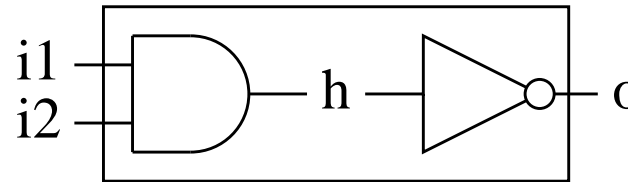
$$\text{NAND-SPEC}(i_1, i_2, o) = \\ (o = \neg(i_1 \wedge i_2))$$

$$\text{NAND-SPEC}'(i_1, i_2, o) = \\ (\forall t. o(t) = \neg(i_1(t) \wedge i_2(t)))$$

HOL Application: Hardware Verification



- Implementation of NAND Device



$$\text{NAND-IMP}(i_1, i_2, o) = \\ \exists h_o. \text{AND}(i_1, i_2, h) \wedge \text{NOT}(h, o)$$

$$\text{NAND-IMP}'(i_1, i_2, o) = \\ \exists h_{\iota \rightarrow o}. \text{AND}(i_1, i_2, h) \wedge \text{NOT}(h, o)$$

HOL Application: Hardware Verification



- Implementation is correct

$$\text{NAND-IMP}(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}(i_1, i_2, o)$$
$$\text{NAND-IMP}'(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}'(i_1, i_2, o)$$

HOL Application: Hardware Verification



- Implementation is correct

$\text{NAND-IMP}(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}(i_1, i_2, o)$

$\text{NAND-IMP}'(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}'(i_1, i_2, o)$

- Definition expansion

HOL Application: Hardware Verification



- Implementation is correct

$$\text{NAND-IMP}(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}(i_1, i_2, o)$$

$$\text{NAND-IMP}'(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}'(i_1, i_2, o)$$

- Definition expansion

$$(o = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_o. \text{AND}(i_1, i_2, h) \wedge \text{NOT}(h, o))$$

HOL Application: Hardware Verification



- Implementation is correct

$$\text{NAND-IMP}(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}(i_1, i_2, o)$$

$$\text{NAND-IMP}'(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}'(i_1, i_2, o)$$

- Definition expansion

$$(o = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_o. \text{AND}(i_1, i_2, h) \wedge \text{NOT}(h, o))$$

$$(o = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_o. (h = (i_1 \wedge i_2)) \wedge (o = \neg h))$$

HOL Application: Hardware Verification



- Implementation is correct

$$\text{NAND-IMP}(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}(i_1, i_2, o)$$

$$\text{NAND-IMP}'(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}'(i_1, i_2, o)$$

- Definition expansion

$$(o = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_o. \text{AND}(i_1, i_2, h) \wedge \text{NOT}(h, o))$$

$$(o = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_o. (h = (i_1 \wedge i_2)) \wedge (o = \neg h))$$

$$(\text{out} = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_{\ell \rightarrow o}. \text{AND}(i_1, i_2, h) \wedge \text{NOT}(h, o))$$

HOL Application: Hardware Verification



- Implementation is correct

$$\text{NAND-IMP}(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}(i_1, i_2, o)$$

$$\text{NAND-IMP}'(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}'(i_1, i_2, o)$$

- Definition expansion

$$(o = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_o. \text{AND}(i_1, i_2, h) \wedge \text{NOT}(h, o))$$

$$(o = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_o. (h = (i_1 \wedge i_2)) \wedge (o = \neg h))$$

$$(\text{out} = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_{t \rightarrow o}. \text{AND}(i_1, i_2, h) \wedge \text{NOT}(h, o))$$

$$(\text{out} = \neg(i_1 \wedge i_2)) \Rightarrow \\ (\exists h_{t \rightarrow o}. (\forall t_i. (h(t) = (i_1(t) \wedge i_2(t)))) \wedge (\forall t_i. (o(t) = \neg h(t))))$$

HOL Application: Hardware Verification



- Implementation is correct

$$\text{NAND-IMP}(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}(i_1, i_2, o)$$

$$\text{NAND-IMP}'(i_1, i_2, o) \Rightarrow \text{NAND-SPEC}'(i_1, i_2, o)$$

- Definition expansion

$$(o = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_o. \text{AND}(i_1, i_2, h) \wedge \text{NOT}(h, o))$$

$$(o = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_o. (h = (i_1 \wedge i_2)) \wedge (o = \neg h))$$

$$(\text{out} = \neg(i_1 \wedge i_2)) \Rightarrow (\exists h_{t \rightarrow o}. \text{AND}(i_1, i_2, h) \wedge \text{NOT}(h, o))$$

$$(\text{out} = \neg(i_1 \wedge i_2)) \Rightarrow \\ (\exists h_{t \rightarrow o}. (\forall t_i. (h(t) = (i_1(t) \wedge i_2(t)))) \wedge (\forall t_i. (o(t) = \neg h(t))))$$

- LEO-II's proofs: approx. 240ms