

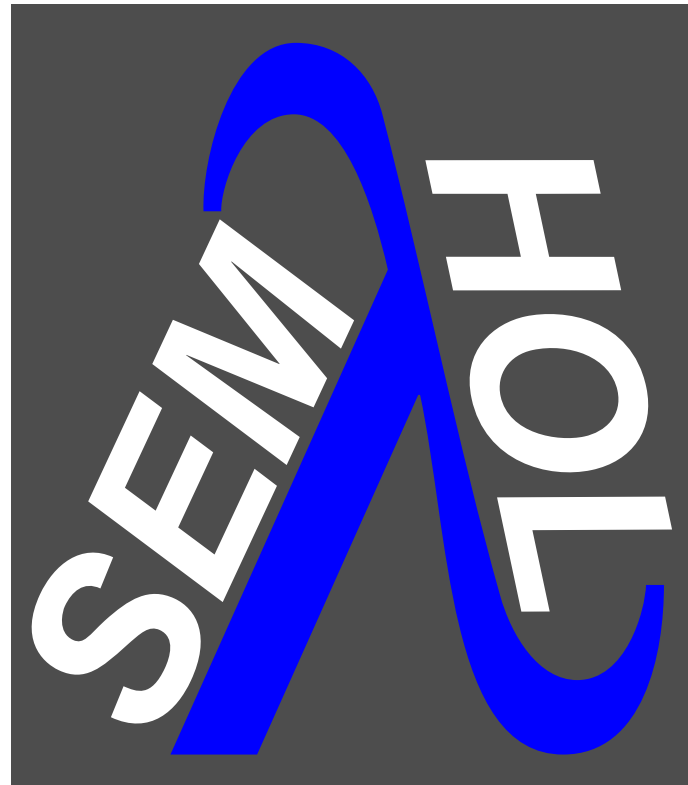
Semantics of Classical Higher-Order Logic

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University of Cambridge & Universität des Saarlandes

Copenhagen, October 2007



Preface and Overview

Overall Scientific Goal _____

Mathematics Assistance Systems



Mathematics Assistance Systems

- Computing



Mathematics Assistance Systems

- Computing
- Proving



Mathematics Assistance Systems

- Computing
- Proving
- Exploring/Inventing



Mathematics Assistance Systems



- Computing
- Proving
- Exploring/Inventing
- Illustrating/Publishing

Mathematics Assistance Systems



- Computing
- Proving
- Exploring/Inventing
- Illustrating/Publishing
- Structuring/Organizing

Mathematics Assistance Systems



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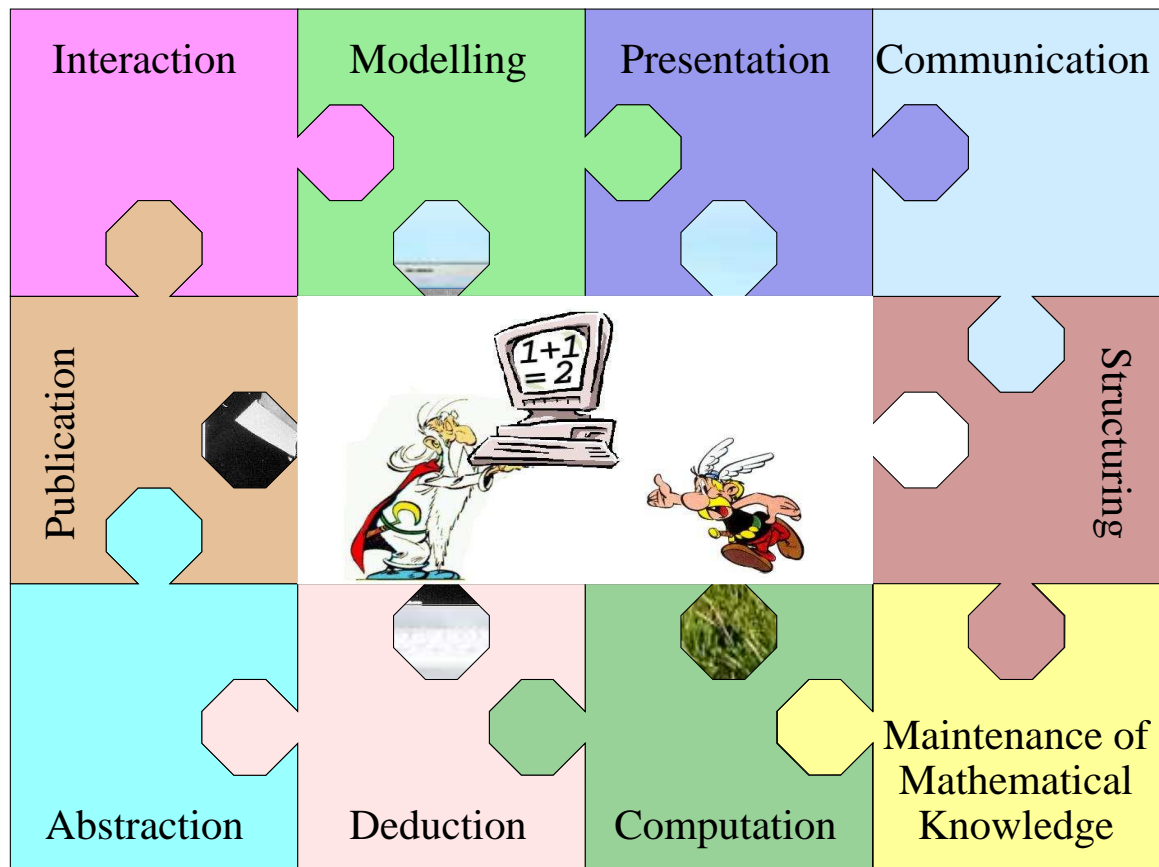
Mathematics Assistance Systems



- Computing
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- ...
- Architecture & HCI & ...

Overall Scientific Goal

Mathematics Assistance Systems



A Benzmüller-Pollé Design



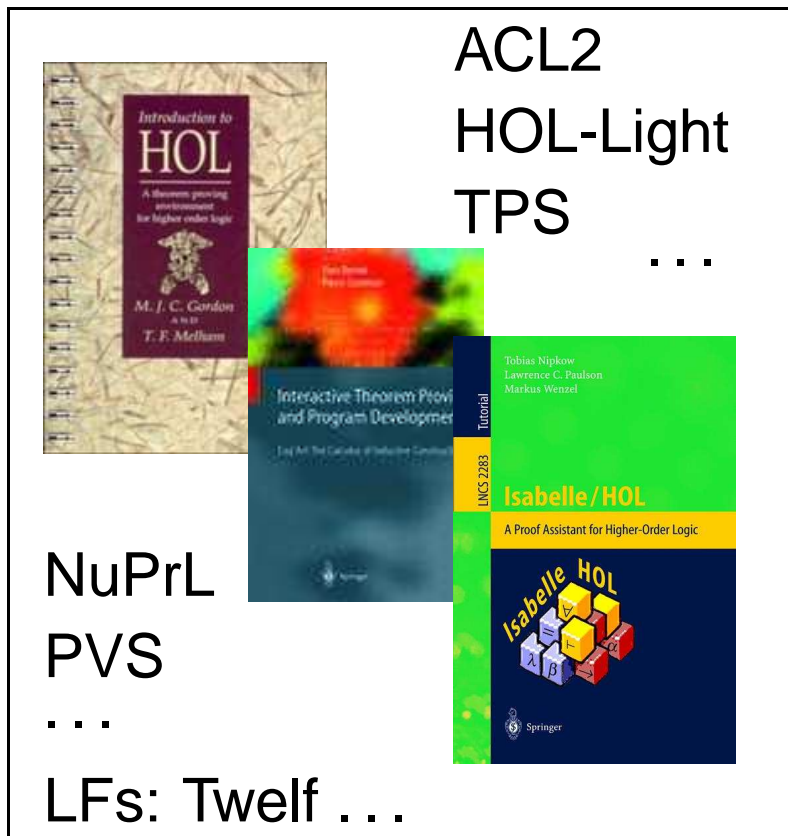
...

Architecture & HCI & ...

Overall Scientific Goal



Mathematics Assistance Systems



- Computing
- Proving
- Exploring/Inventing
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- (Architecture & HCI & ...)

Mathematics Assistance Systems



see publication list

- Computing
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Overall Scientific Goal _____



Applications/Specialisations of Mathematics Assistance Systems

Formal Methods

Mathematics

E-Learning

Overall Scientific Goal



Applications/Specialisations of Mathematics Assistance Systems

Formal Methods

Mathematics

E-Learning

Why HOL?

	textbooks	higher-order logic	first-order logic
$\mathcal{P}(A)$	$\{x x \subseteq A\}$	$\lambda x. x \subseteq A$	$x \in \mathcal{P}(A) \Leftrightarrow x \subseteq A$
	$\mathcal{P}(\emptyset)$ is finite	$finite(\mathcal{P}(\emptyset))$... even less nice ...
$Im(F, A)$	$\{y \exists x. x \in A \wedge y = F(x)\}$	$\lambda y. \exists x. x \in A \wedge y = F(x)$	see TPTP terrible

Overall Scientific Goal



Applications/Specialisations of Mathematics Assistance Systems

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A Big Challenge

Automation of HOL

(research is decades behind)

Own Research in HOL



Automated Theorem Proving

Own Research in HOL



Automated Theorem Proving

- ▶ Extensional Resolution, Equality Reasoning

Own Research in HOL



Semantics



Automated Theorem Proving

- ▶ Extensional Resolution, Equality Reasoning



Semantics

- ▶ Model Classes (different extensionality properties)



Automated Theorem Proving

- ▶ Extensional Resolution, Equality Reasoning



Semantics

- ▶ Model Classes (different extensionality properties)
- ▶ Abstract Consistency Proof Method



Automated Theorem Proving

- ▶ Extensional Resolution, Equality Reasoning



Semantics

- ▶ Model Classes (different extensionality properties)
- ▶ Abstract Consistency Proof Method
- ▶ Test Problems



Automated Theorem Proving

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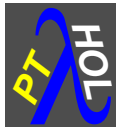
Automated Theorem Proving

- ▶ Extensional Resolution, Equality Reasoning
- ▶ Combination with FO-ATP



Semantics

- ▶ Model Classes (different extensionality properties)
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Proof Theory



Automated Theorem Proving

- ▶ Extensional Resolution, Equality Reasoning
- ▶ Combination with FO-ATP



Semantics

- ▶ Model Classes (different extensionality properties)
- ▶ Abstract Consistency Proof Method
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Proof Theory

- ▶ Cut-simulation



Automated Theorem Proving

- ▶ Extensional Resolution, Equality Reasoning
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Semantics

ESSLLI-06, WS-05/06

- ▶ Model Classes (different extensionality properties) [JSL'04]
- ▶ Abstract Consistency Proof Method [JSL'04]
- ▶ Test Problems [TPHOLs'05]



Proof Theory

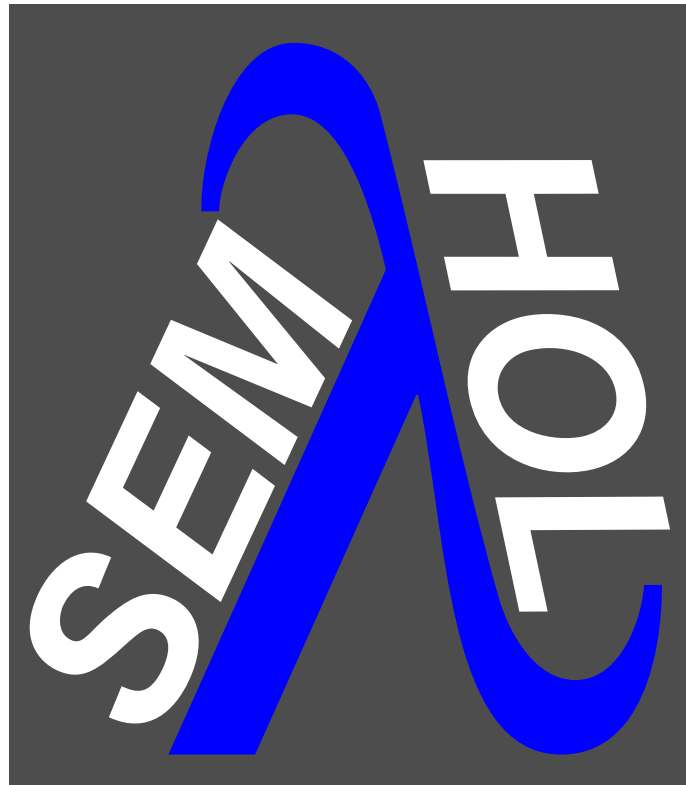
- ▶ Cut-simulation [IJCAR'06]



Automated Theorem Proving

SS-06 (DA), WS-04/05

- ▶ Extensional Resolution, Paramod. [CADE'98/99, Synthese'02]
- ▶ Combination with FO-ATP [LPAR'04]



Syntax

HOL-Syntax: Simple Types



Simple Types \mathcal{T} :

- o (truth values)
- ι (individuals)
- $(\alpha \rightarrow \beta)$ (functions from α to β)

$(\alpha \rightarrow \beta)$ is sometimes written $(\beta\alpha)$

$(\alpha \rightarrow \beta \rightarrow \gamma)$ abbreviates $(\alpha \rightarrow (\beta \rightarrow \gamma))$



Simple Types \mathcal{T} :

- \mathbf{o} (truth values)
- ι (individuals)
- $(\alpha \rightarrow \beta)$ (functions from α to β)

\mathcal{T} is a freely generated, inductive set.

Induction on Types: We can prove a property $\varphi(\alpha)$ holds for all types α by proving

- $\varphi(\mathbf{o})$
- $\varphi(\iota)$
- If $\varphi(\alpha)$ and $\varphi(\beta)$, then $\varphi(\alpha \rightarrow \beta)$.



Simple Types \mathcal{T} :

- (truth values)
- ι (individuals)
- $(\alpha \rightarrow \beta)$ (functions from α to β)

Recursion on Types: We can uniquely define a family \mathcal{D}_α for $\alpha \in \mathcal{T}$ by specifying:

- \mathcal{D}_o
- \mathcal{D}_ι
- A rule for forming $\mathcal{D}_{\alpha \rightarrow \beta}$ given \mathcal{D}_α and \mathcal{D}_β .

HOL-Syntax: Simply Typed λ -Terms



Typed Terms:

X_α Variables (\mathcal{V})

c_α Constants & Parameters (Σ & \mathcal{P})

$(\mathbf{F}_{\alpha \rightarrow \beta} \mathbf{B}_\alpha)_\beta$ Application

$(\lambda Y_\alpha \mathbf{A}_\beta)_{\alpha \rightarrow \beta}$ λ -abstraction

HOL-Syntax: Simply Typed λ -Terms



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Equality of Terms:

α -conversion Changing bound variables

β -reduction $((\lambda Y_\beta \mathbf{A}_\alpha) \mathbf{B}_\beta) \xrightarrow{\beta} [\mathbf{B}/Y] \mathbf{A}$

η -reduction $(\lambda Y_\alpha (\mathbf{F}_{\alpha \rightarrow \beta} Y)) \xrightarrow{\eta} \mathbf{F} \quad (Y_\beta \notin \mathbf{Free}(\mathbf{F}))$

HOL-Syntax: Simply Typed λ -Terms



Typed Terms:

X_α Variables (\mathcal{V})

c_α Constants & Parameters (Σ & \mathcal{P})

$(\mathbf{F}_{\alpha \rightarrow \beta} \mathbf{B}_\alpha)_\beta$ Application

$(\lambda Y_\alpha \mathbf{A}_\beta)_{\alpha \rightarrow \beta}$ λ -abstraction

Equality of Terms:

Every term has a unique $\beta\eta$ -normal form (up to α -conversion).

HOL: Adding Logical Connectives



- \top_o – true
- \perp_o – false
- $\neg_{o \rightarrow o}$ – negation
- $\vee_{o \rightarrow o \rightarrow o}$ – disjunction
- $\wedge_{o \rightarrow o \rightarrow o}$ – conjunction
- $\supset_{o \rightarrow o \rightarrow o}$ – implication
- $\Leftrightarrow_{o \rightarrow o \rightarrow o}$ – equivalence
- $\forall X_\alpha. \dots$ – universal quantification over type α (\forall types α)
- $\exists X_\alpha. \dots$ – existential quantification over type α (\forall types α)
- $=_{\alpha \rightarrow \alpha \rightarrow o}$ – equality at type α (\forall types α)

HOL: Adding Logical Constants to Σ



Our choice for signature Σ :

- $\neg_{o \rightarrow o}$ – negation
- $\vee_{o \rightarrow o \rightarrow o}$ – disjunction
- $\prod_{(\alpha \rightarrow o) \rightarrow o}$ – universal quantification over type α (\forall types α)
- $=_{\alpha \rightarrow \alpha \rightarrow o}$ – equality at type α (\forall types α)

HOL: Adding Logical Constants to Σ



Our choice for signature Σ :

- $\neg_{o \rightarrow o}$ – negation
- $\vee_{o \rightarrow o \rightarrow o}$ – disjunction
- $\Pi_{(\alpha \rightarrow o) \rightarrow o}$ – universal quantification over type α (\forall types α)

Use abbreviations for other logical operators

$A \vee B$ means $(\vee A B)$

$A \wedge B$ means $\neg(\neg A \vee \neg B)$

$A \supset B$ means $\neg A \vee B$

$A \Leftrightarrow B$ means $(A \supset B) \wedge (B \supset A)$

$\forall X A$ means $\Pi(\lambda X A)$

$\exists X A$ means $\neg(\forall X \neg A)$

HOL: Adding Logical Constants to Σ



Our choice for signature Σ :

- $\neg_{o \rightarrow o}$ – negation
- $\vee_{o \rightarrow o \rightarrow o}$ – disjunction
- $\Pi_{(\alpha \rightarrow o) \rightarrow o}$ – universal quantification over type α (\forall types α)

Use Leibniz-equality to encode equality

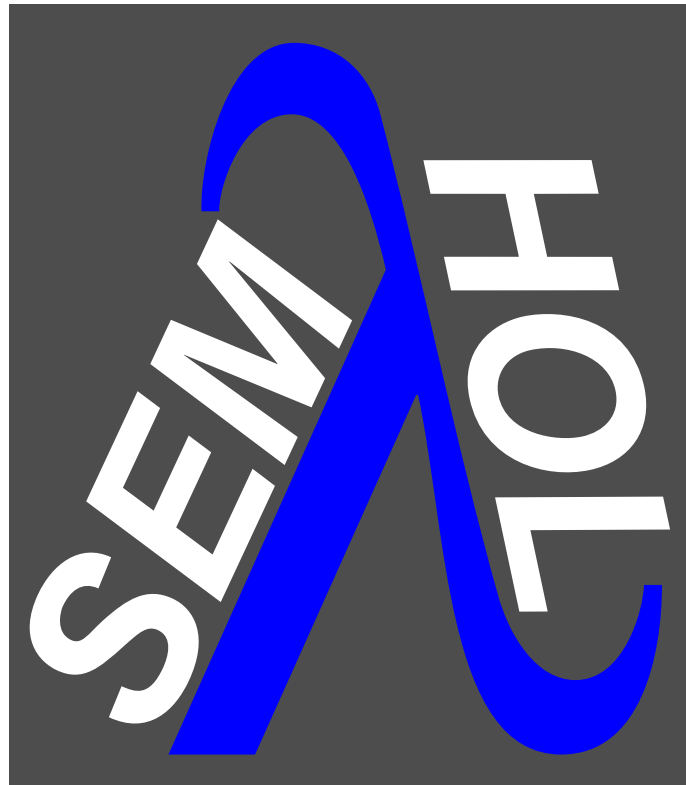
$$\mathbf{A}_\alpha \doteq \mathbf{B}_\alpha$$

means

$$\forall P_{\alpha \rightarrow o} (P \mathbf{A} \supset P \mathbf{B})$$

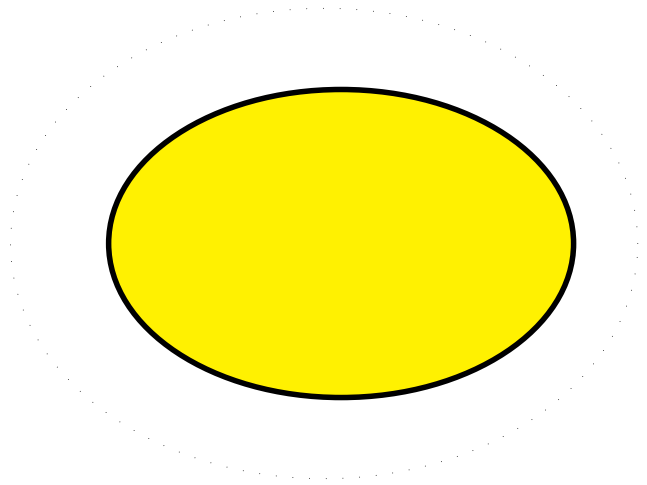
resp.

$$\Pi (\lambda P_{\alpha \rightarrow o} (\neg P \mathbf{A} \vee P \mathbf{B}))$$



Model Classes
(different extensionality
properties)

Model Classes (Extensionality)



Standard Models $\mathfrak{G}\mathfrak{I}(\Sigma)$

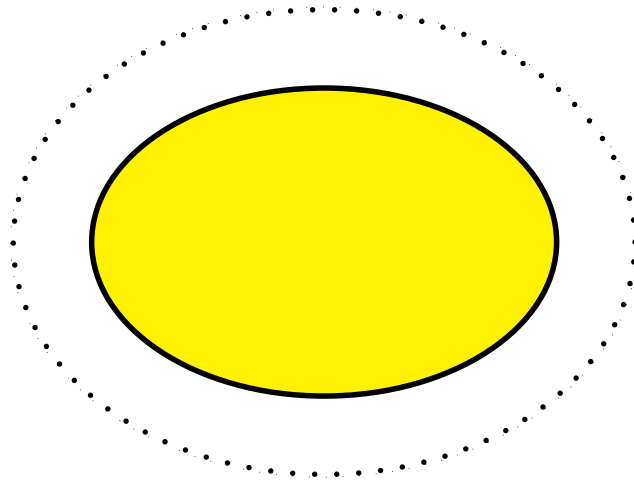
■ Idea of Standard Semantics:

$\iota \longrightarrow \mathcal{D}_\iota$ (choose)

$\circ \longrightarrow \mathcal{D}_\circ = \{\mathbf{T}, \mathbf{F}\}$ (fixed)

$(\alpha \rightarrow \beta) \longrightarrow$
 $\mathcal{D}_{\alpha \rightarrow \beta} = \mathcal{F}(\mathcal{D}_\alpha, \mathcal{D}_\beta)$ (fixed)

Model Classes (Extensionality)



Standard Models $\mathfrak{M}(\Sigma)$

■ Idea of Standard Semantics:

$\iota \longrightarrow \mathcal{D}_\iota$ (choose)

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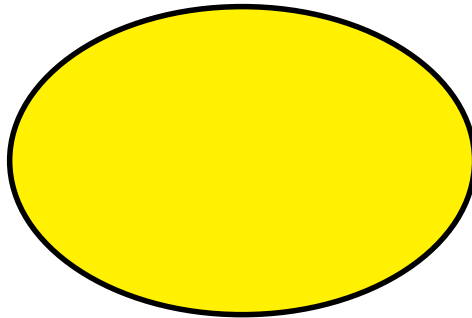
■ Henkin's Generalization:

$\mathcal{D}_{\alpha \rightarrow \beta} \subseteq \mathcal{F}(\mathcal{D}_\alpha, \mathcal{D}_\beta)$ (choose)

but elements are still functions!

[Henkin-50]

Model Classes (Extensionality)

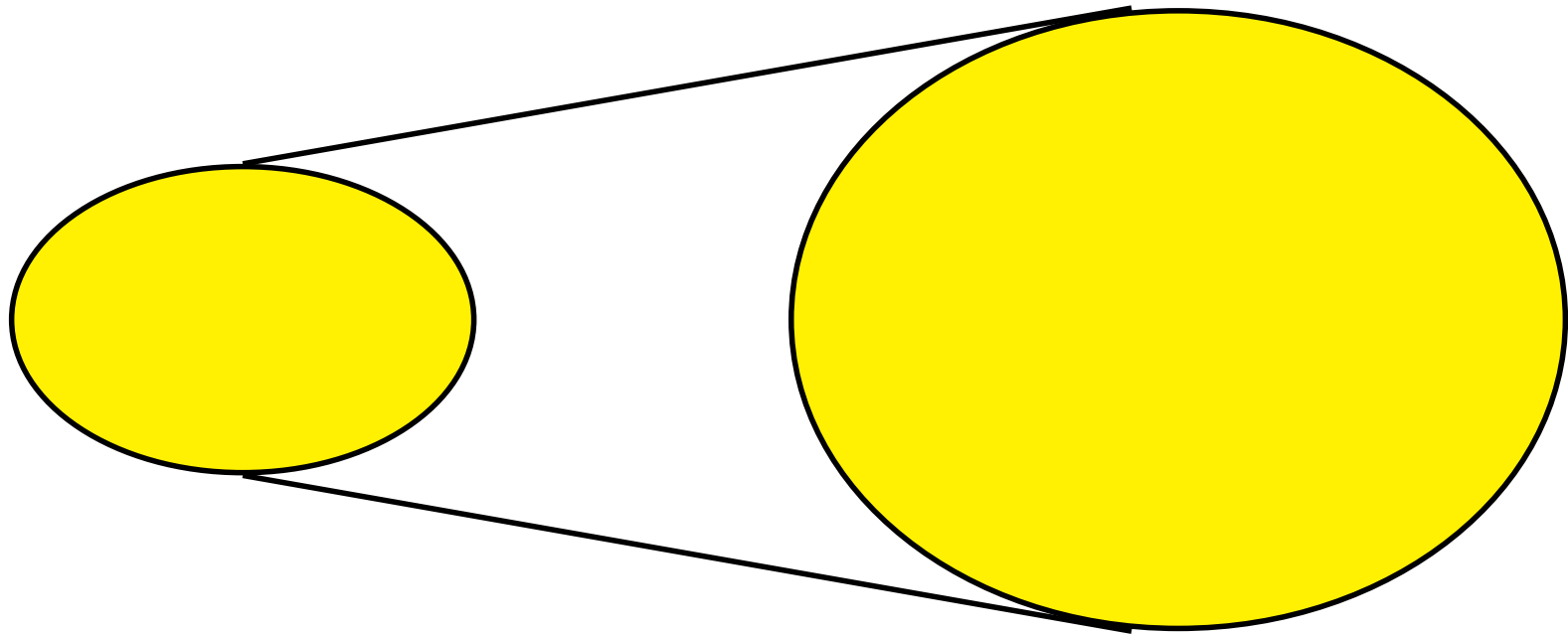


Standard Models $\mathcal{MI}(\Sigma)$

choose: \mathcal{D}_ι

fixed: $\mathcal{D}_o, \mathcal{D}_{\alpha \rightarrow \beta}$, functions

Model Classes (Extensionality)



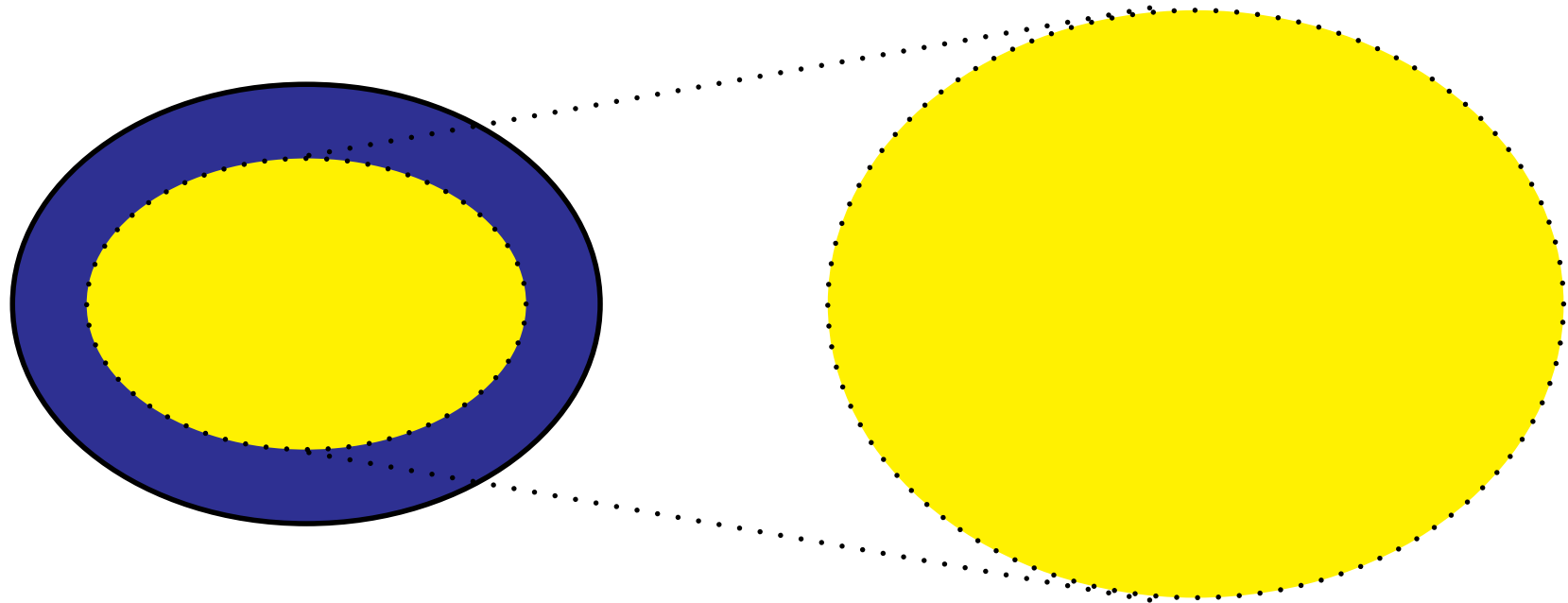
Standard Models $\mathfrak{SI}(\Sigma)$

Formulas valid in $\mathfrak{SI}(\Sigma)$

choose: \mathcal{D}_ι

fixed: $\mathcal{D}_o, \mathcal{D}_{\alpha \rightarrow \beta}$, functions

Model Classes (Extensionality)



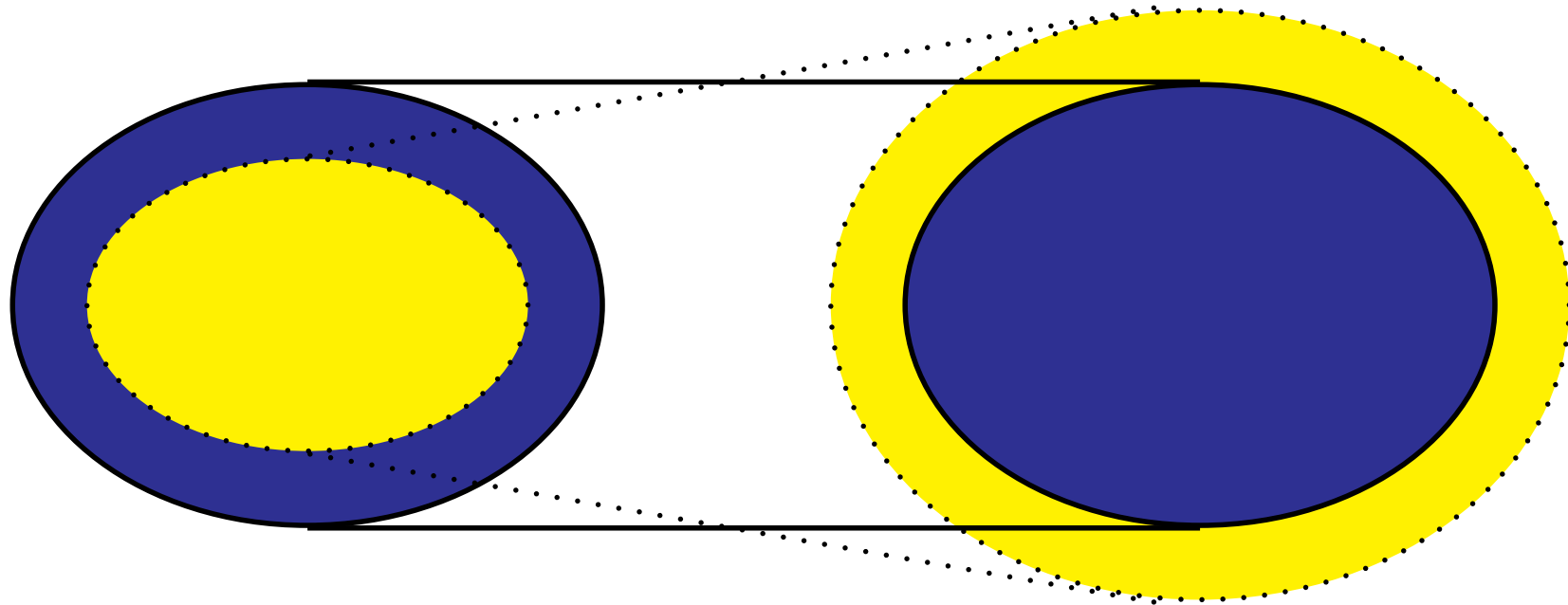
Henkin Models $\mathfrak{H}(\Sigma) = \mathfrak{M}_{\beta\text{fb}}(\Sigma)$

choose: $\mathcal{D}_\iota, \mathcal{D}_{\alpha \rightarrow \beta}$

fixed: \mathcal{D}_o , functions

Formulas valid in $\mathfrak{M}_{\beta\text{fb}}(\Sigma)$?

Model Classes (Extensionality)



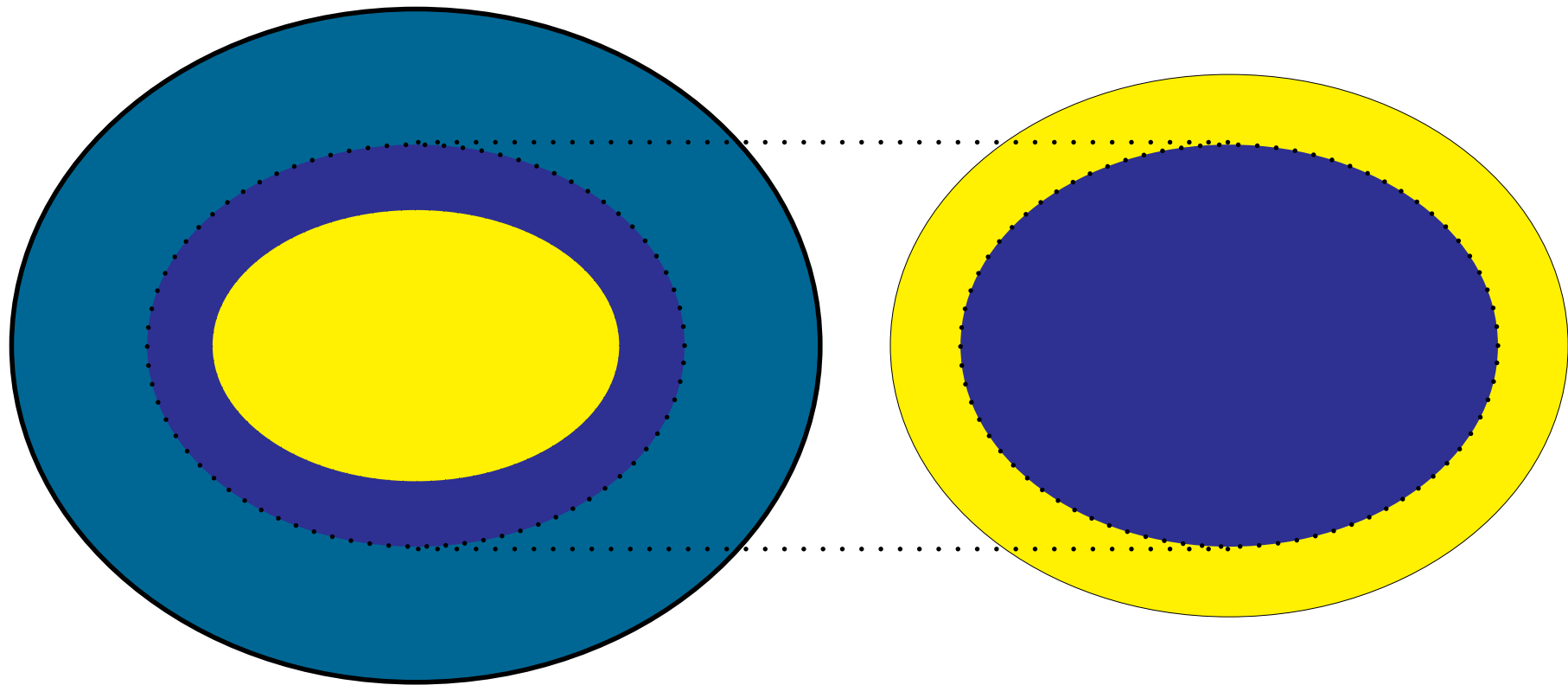
Henkin Models $\mathfrak{H}(\Sigma) = \mathfrak{M}_{\text{fb}}(\Sigma)$

choose: $\mathcal{D}_\iota, \mathcal{D}_{\alpha \rightarrow \beta}$

fixed: \mathcal{D}_o , functions

Formulas valid in $\mathfrak{M}_{\text{fb}}(\Sigma)$

Model Classes (Extensionality)



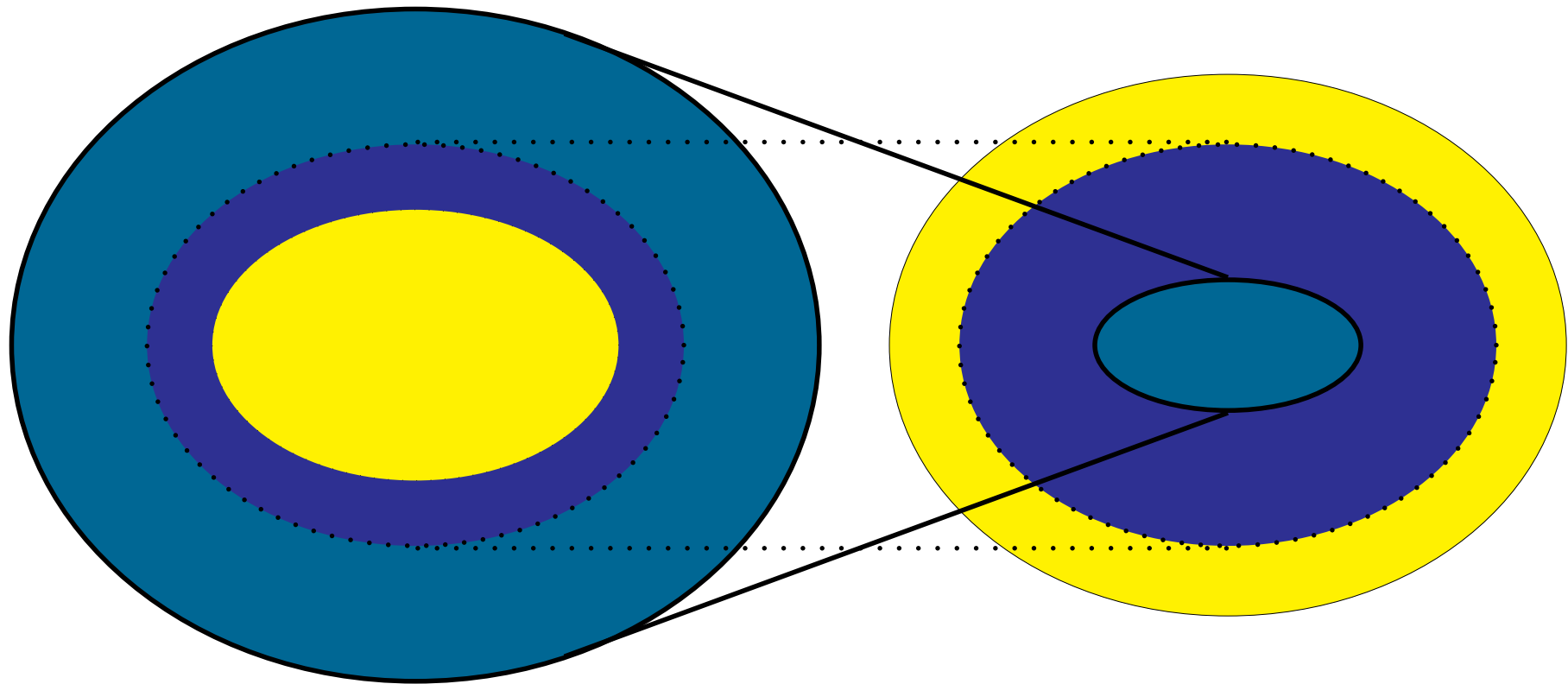
Non-Extensional Models $\mathfrak{M}_\beta(\Sigma)$

choose: $\mathcal{D}_\iota, \mathcal{D}_{\alpha \rightarrow \beta}$, also non-functions, \mathcal{D}_o

fixed:

Formulas valid in $\mathfrak{M}_\beta(\Sigma)$?

Model Classes (Extensionality)



Non-Extensional Models $\mathfrak{M}_\beta(\Sigma)$

choose: $\mathcal{D}_\iota, \mathcal{D}_{\alpha \rightarrow \beta}$, also non-functions, \mathcal{D}_o

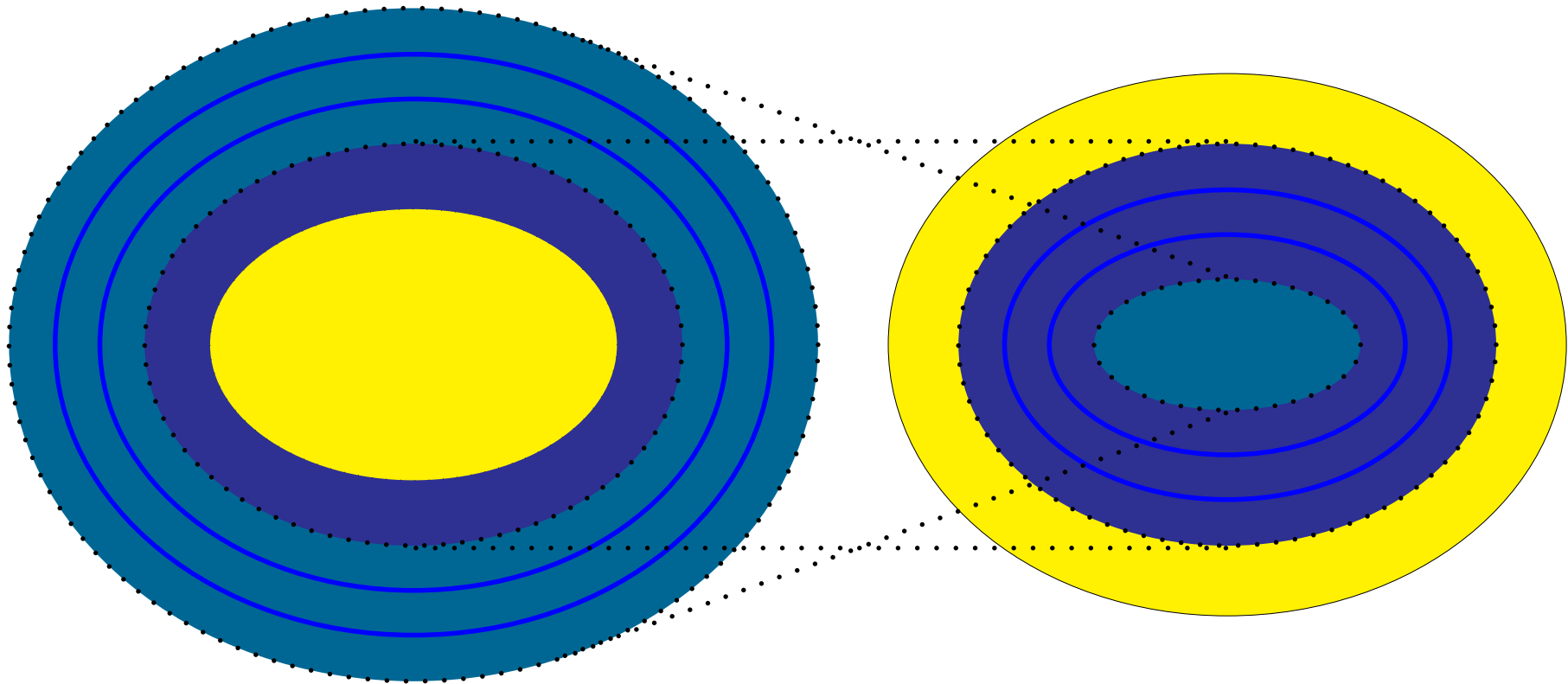
fixed:

Formulas valid in $\mathfrak{M}_\beta(\Sigma)$?

Ex.: $\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$

vs. $\vee \doteq \lambda X. \lambda Y. Y \vee X$

Model Classes (Extensionality)



We additionally studied different model classes with 'varying degrees of extensionality'

$$\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$$

$$\forall X. \forall Y. X \vee Y \doteq Y \vee X$$

$$\lambda X. \lambda Y. X \vee Y \doteq \lambda X. \lambda Y. Y \vee X$$

$$\vee \doteq \lambda X. \lambda Y. Y \vee X$$

Model Classes (Extensionality)



$$\mathfrak{M}_\beta(\Sigma)$$

$\mathfrak{M}_\beta(\Sigma)$ non-extensional Σ -models

\mathfrak{b} : Boolean extensionality, $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$

$\mathfrak{f}(= \eta + \xi)$: functional extensionality

η : η -functional

ξ : ξ -functionality

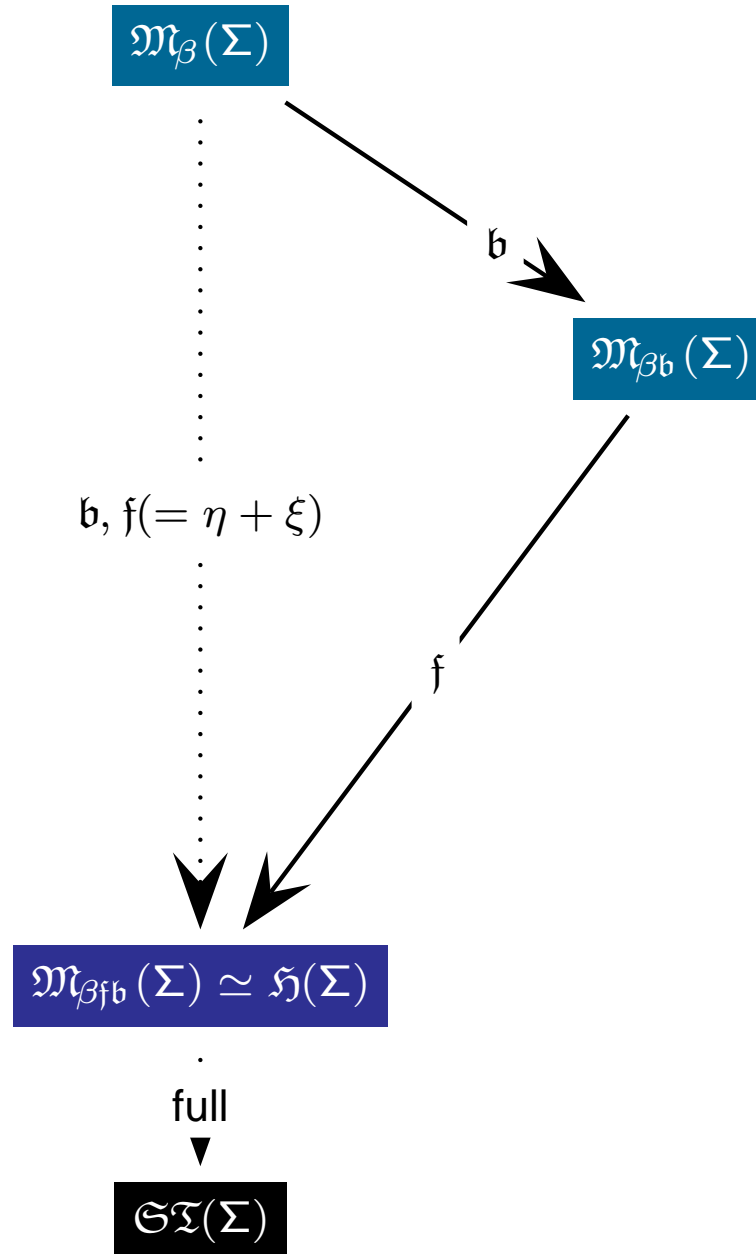
$$\mathfrak{M}_{\beta\mathfrak{fb}}(\Sigma) \simeq \mathfrak{H}(\Sigma)$$

$\mathfrak{M}_{\beta\mathfrak{fb}}(\Sigma) \simeq \mathfrak{H}(\Sigma)$ Henkin models

full

$$\mathfrak{G}\mathfrak{I}(\Sigma)$$

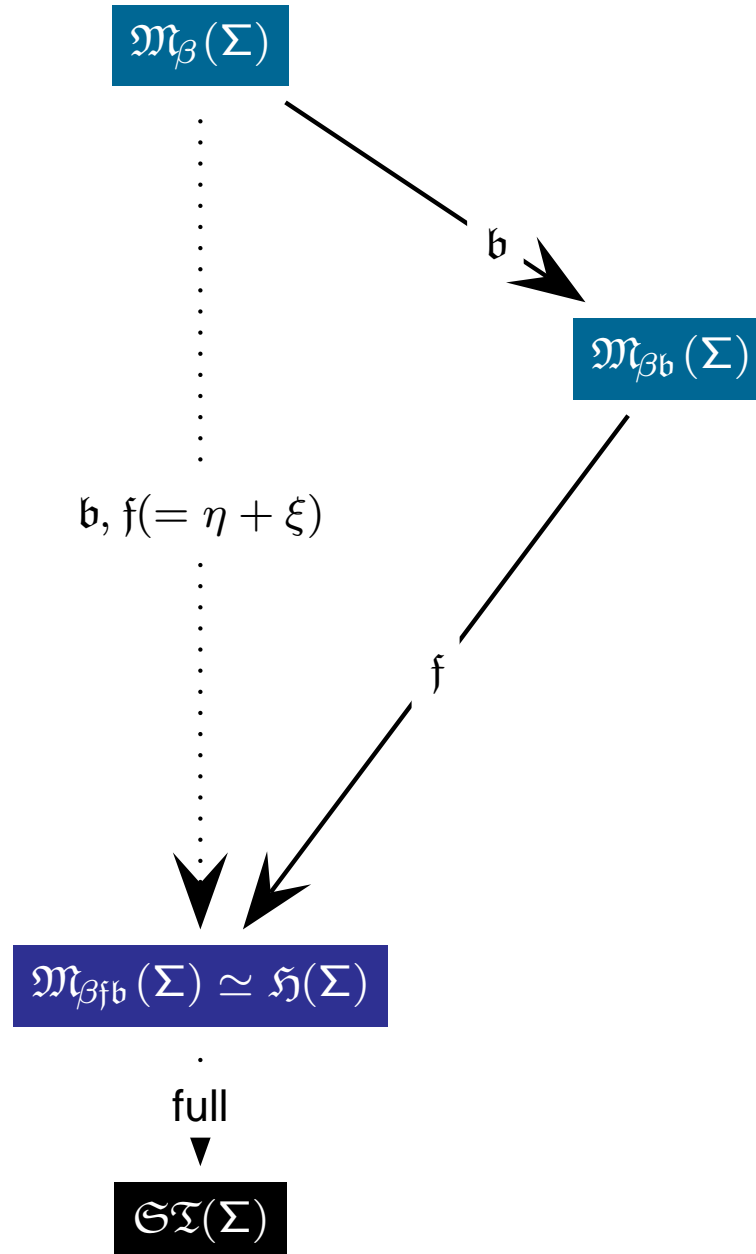
Model Classes (Extensionality)



Motivation for
Models without Functional Extensionality

- modeling programs:
 $p_1 \neq p_2$ even if $p_1 @ a = p_2 @ a$ for
every $a \in \mathcal{D}_\alpha$
- consider, e.g., run-time complexity:
 $p_1 \leftarrow \lambda X.1$
and
 $p_2 \leftarrow \lambda X.1 + (X + 1)^2 - (X^2 + 2X + 1)$

Model Classes (Extensionality)



Motivation for
Models without Boolean Extensionality?

- modeling of intensional concepts like 'knowledge', 'believe', etc.
- example:

$$\mathbf{O} := 2 + 2 = 4$$

$$\mathbf{F} := \forall x, y, z, n > 2. x^n + y^n = z^n \Rightarrow x = y = z = 0$$
 We want to model:

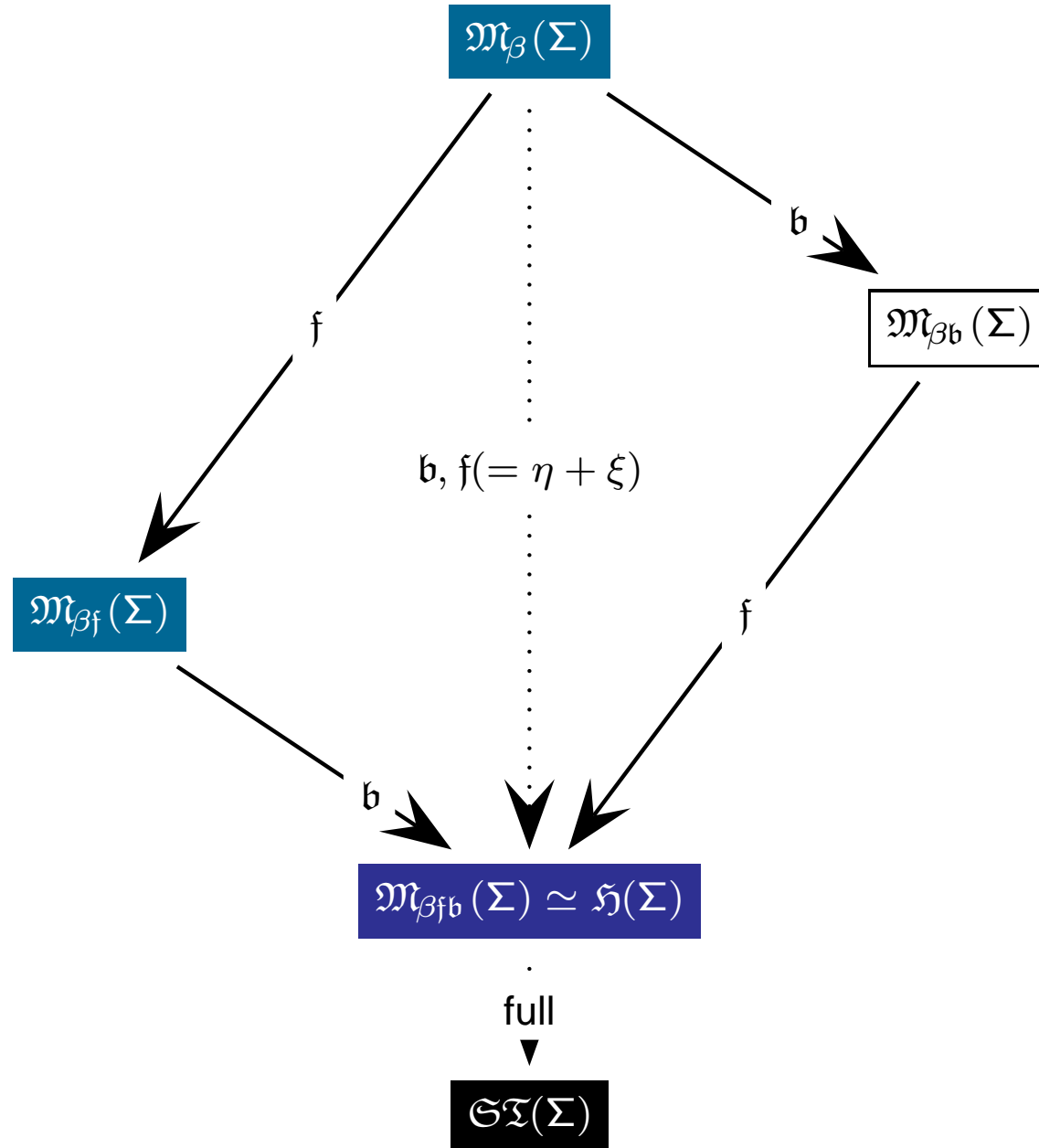
$$\mathbf{O} \Leftrightarrow \mathbf{F} \text{ but }$$

$$\text{john_knows}(\mathbf{F}) \not\Leftrightarrow \text{john_knows}(\mathbf{O})$$
- if we have $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$ then

$$\mathbf{O} \Leftrightarrow \mathbf{F} \text{ implies } \mathbf{O} = \mathbf{F}$$
 which also enforces

$$\text{john_knows}(\mathbf{F}) \Leftrightarrow \text{john_knows}(\mathbf{O})$$

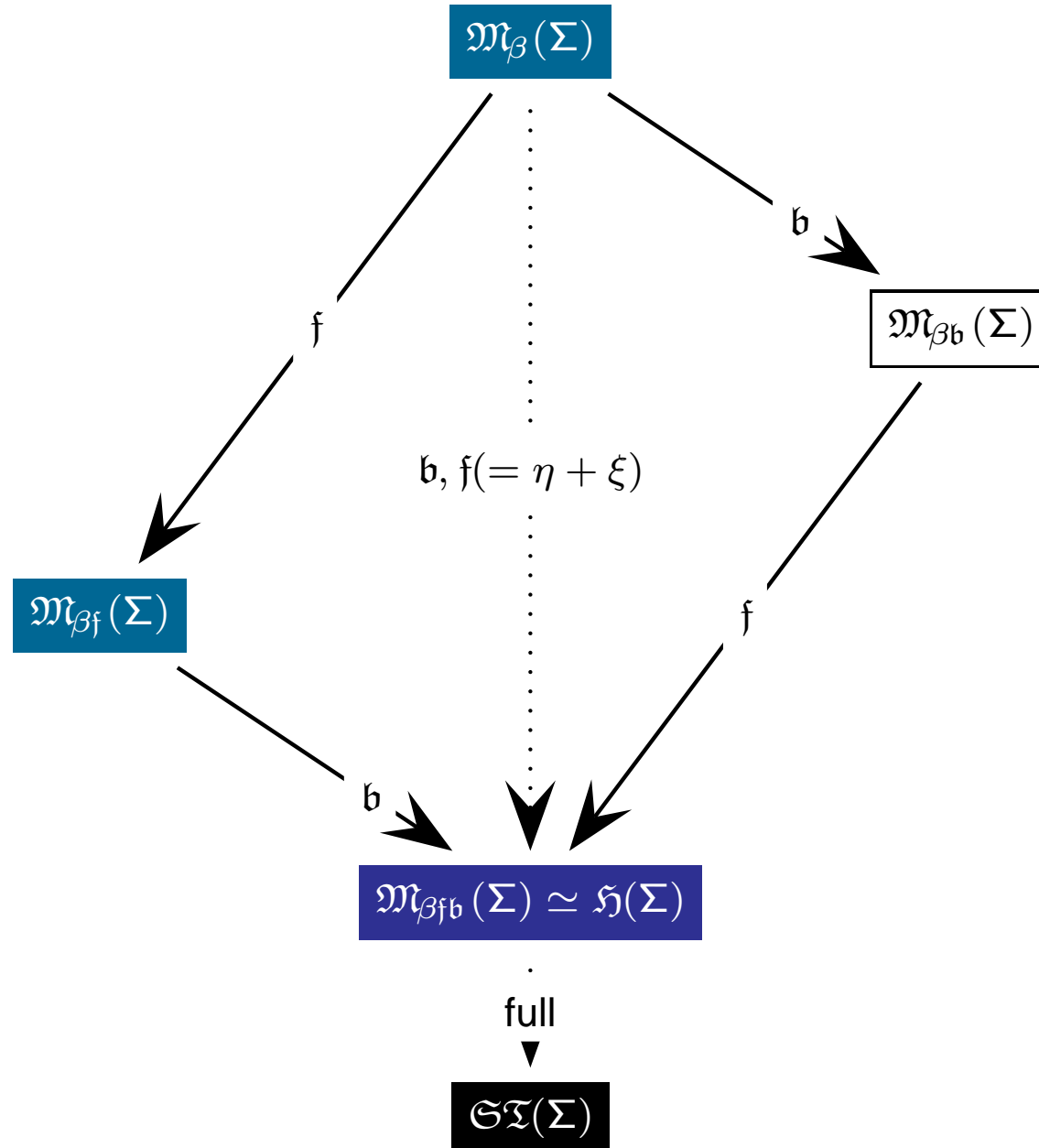
Model Classes (Extensionality)



Models without η

$$\mathcal{E}_\varphi(A) = \mathcal{E}_\varphi(A \downarrow_\eta)$$

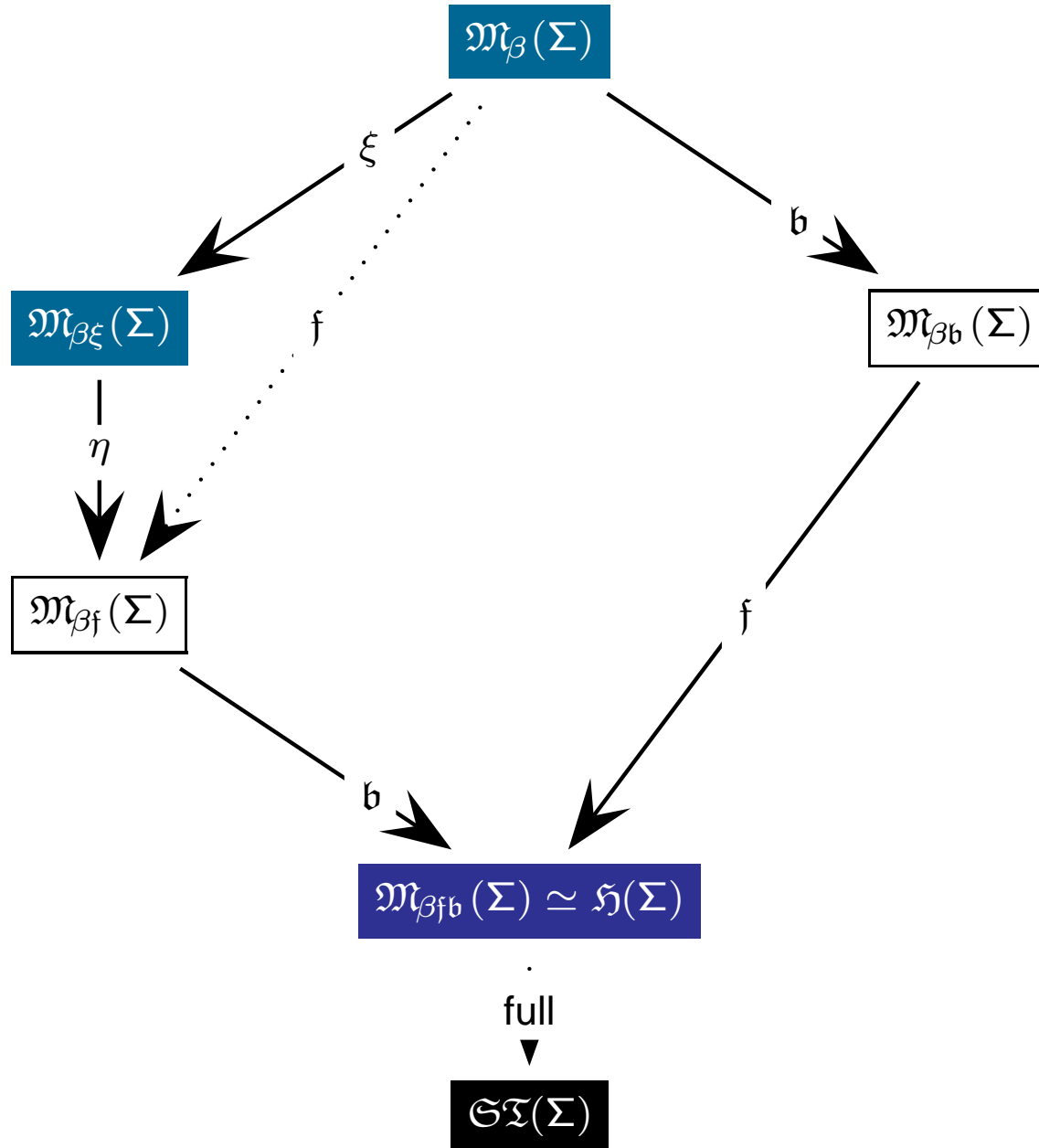
Model Classes (Extensionality)



Models without η

$$\mathcal{E}_{\varphi}(A) = \mathcal{E}_{\varphi}(A \downarrow_{\eta})$$

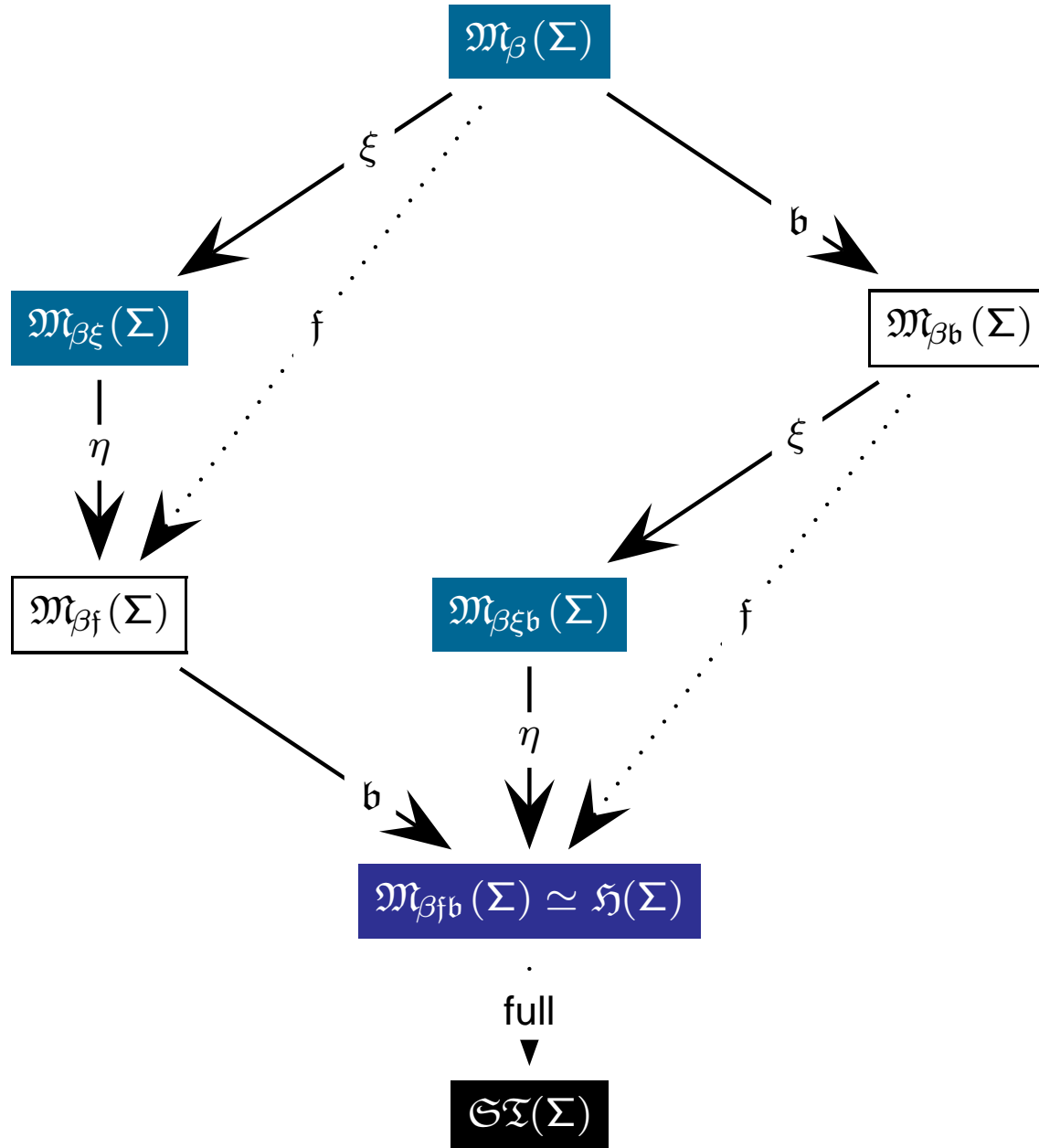
Model Classes (Extensionality)



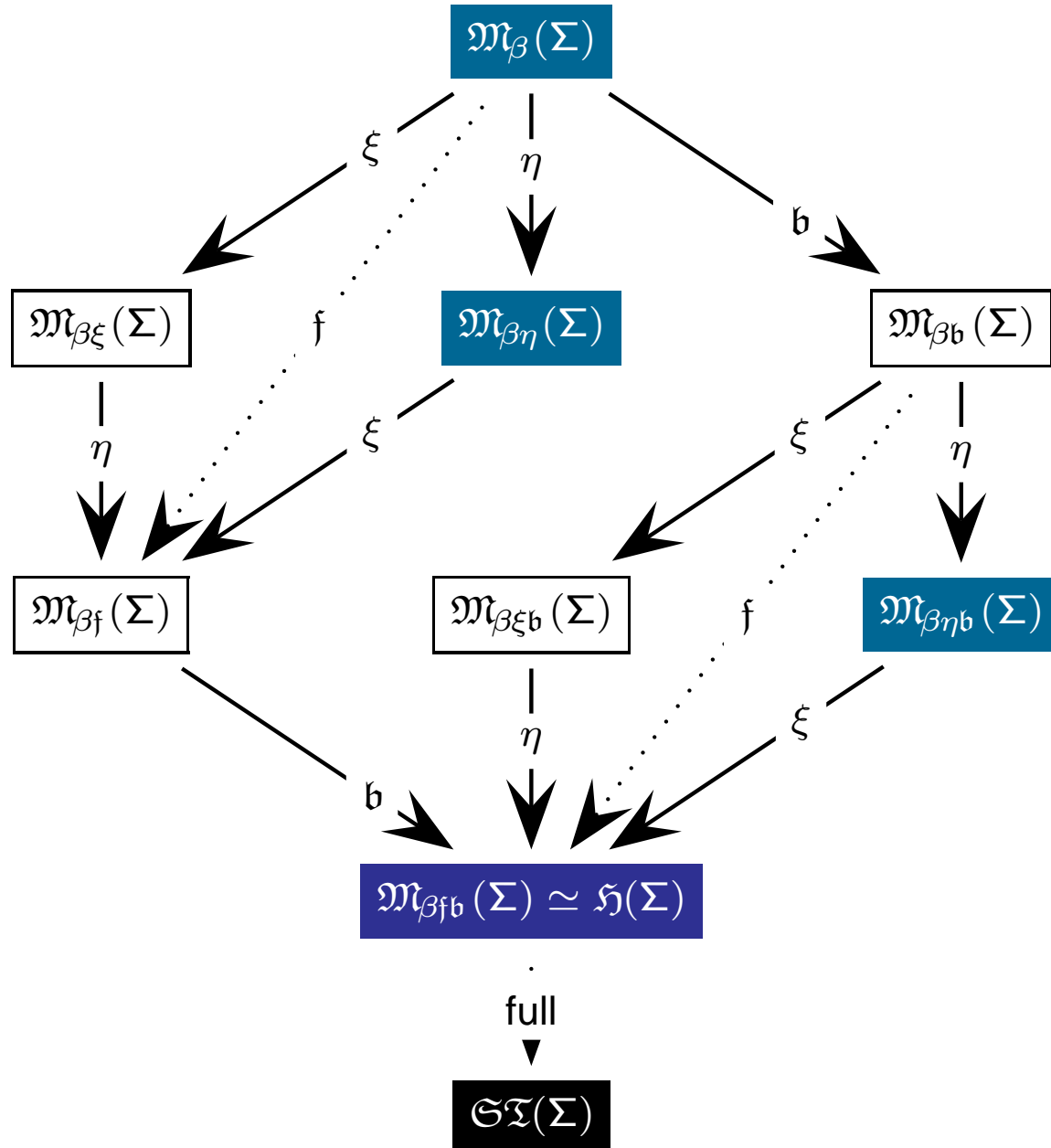
Models without ξ

$$\mathcal{E}_\varphi(\lambda X_\alpha.M_\beta) = \mathcal{E}_\varphi(\lambda X_\alpha.N_\beta) \text{ iff } \mathcal{E}_{\varphi,[a/X]}(M) = \mathcal{E}_{\varphi,[a/X]}(N) \ (\forall a \in \mathcal{D}_\alpha)$$

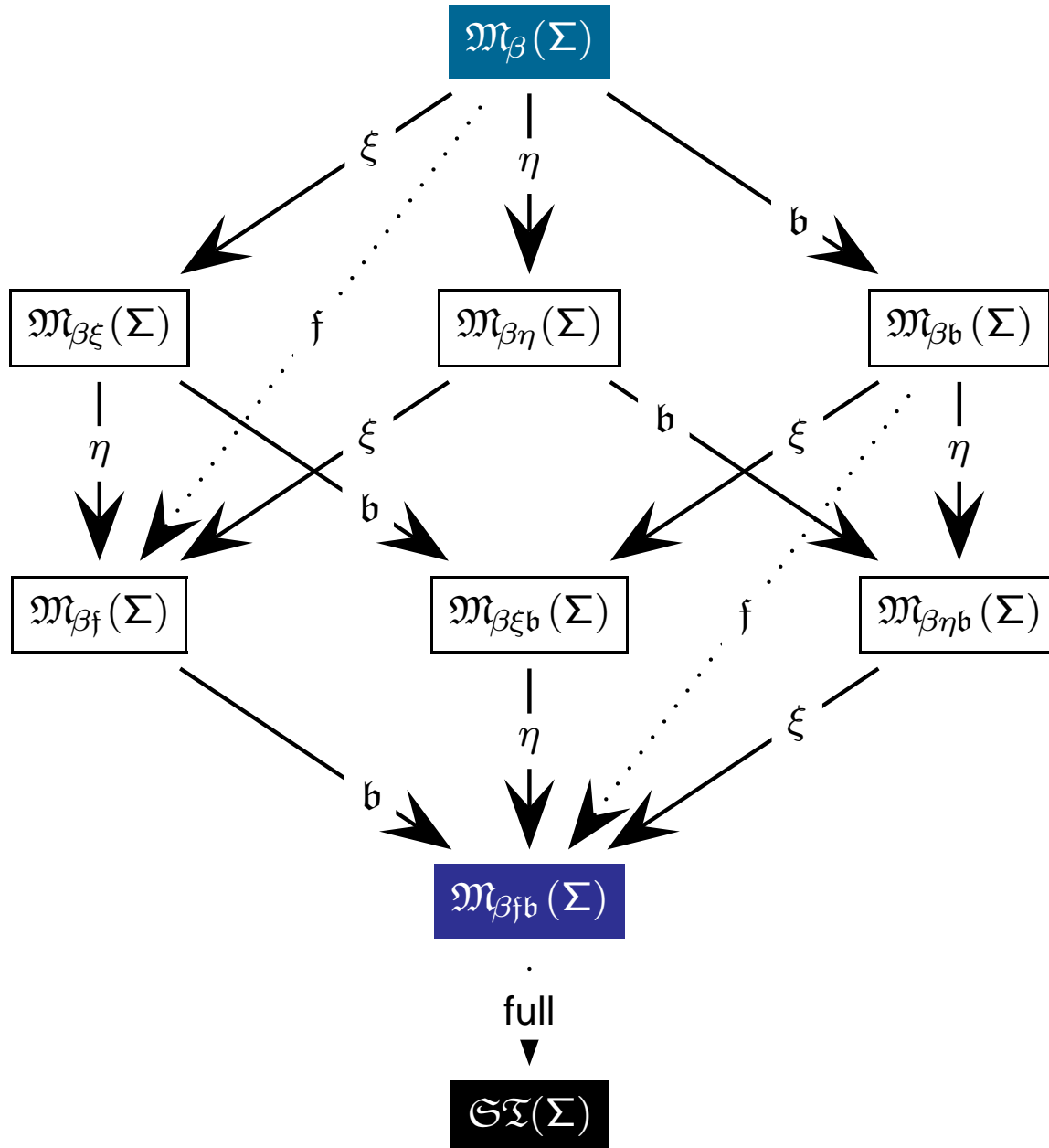
Model Classes (Extensionality)



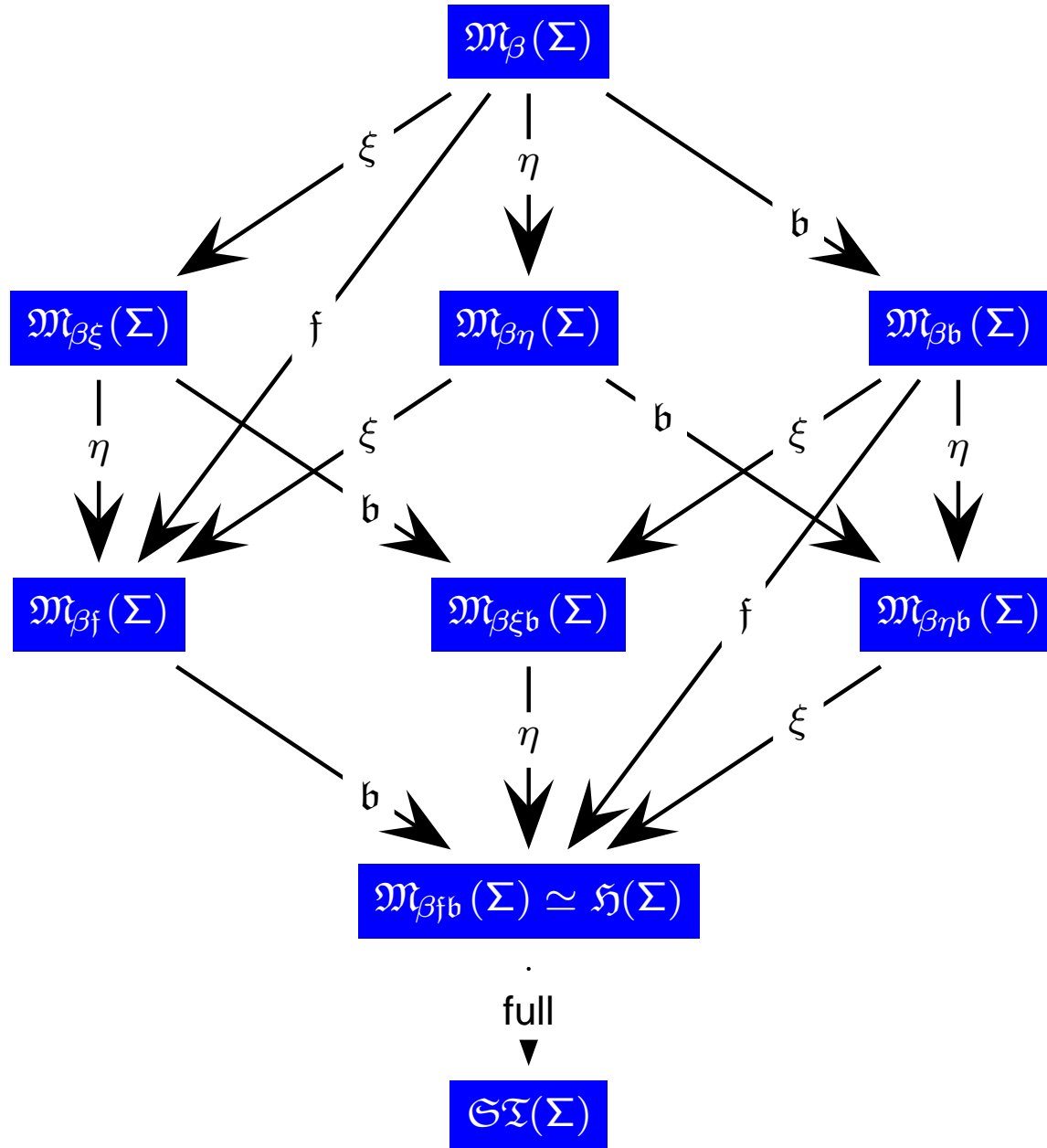
Model Classes (Extensionality)



Model Classes (Extensionality)



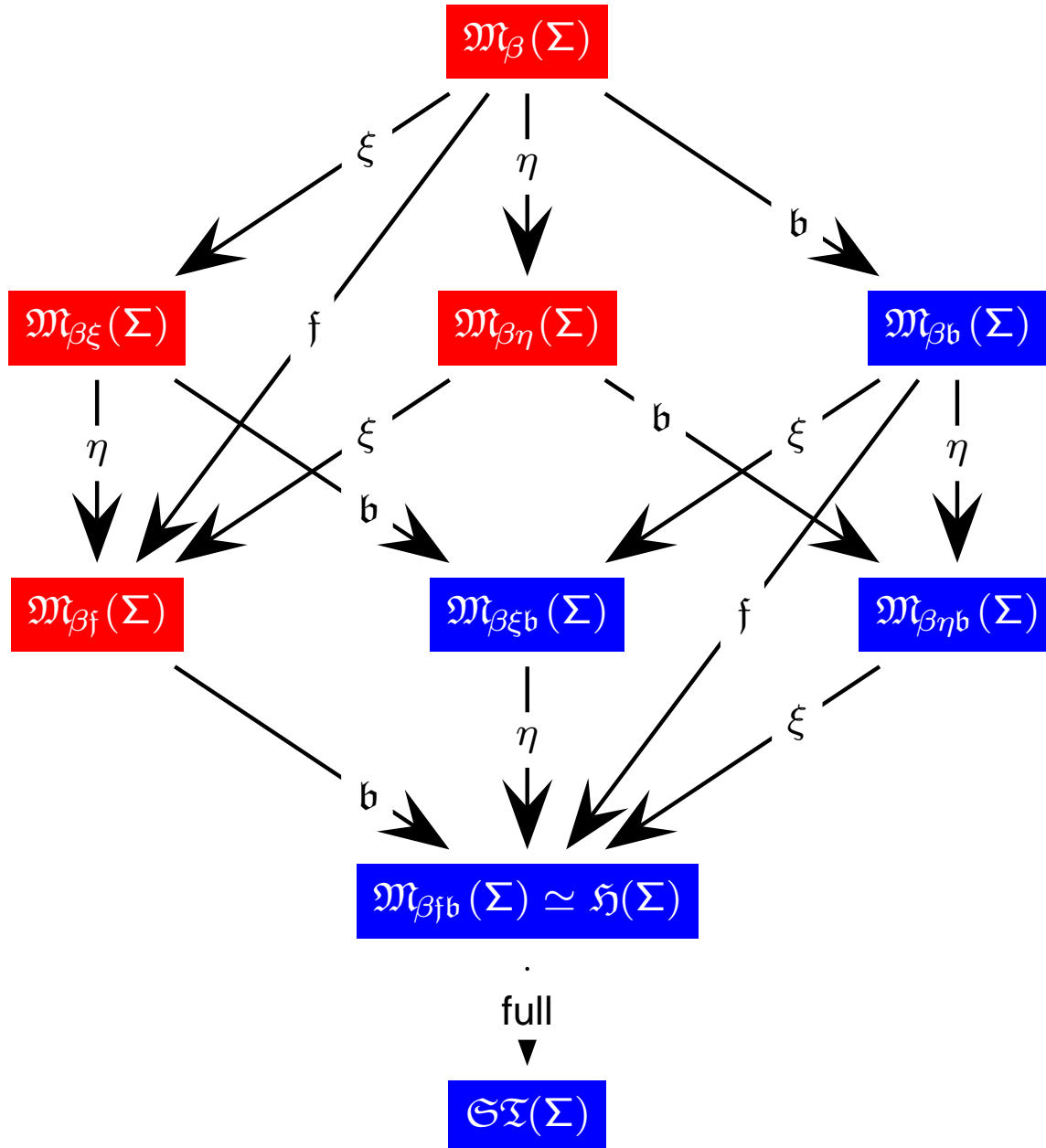
Model Classes (Extensionality)



■ $\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$

valid for all model classes

Model Classes (Extensionality)

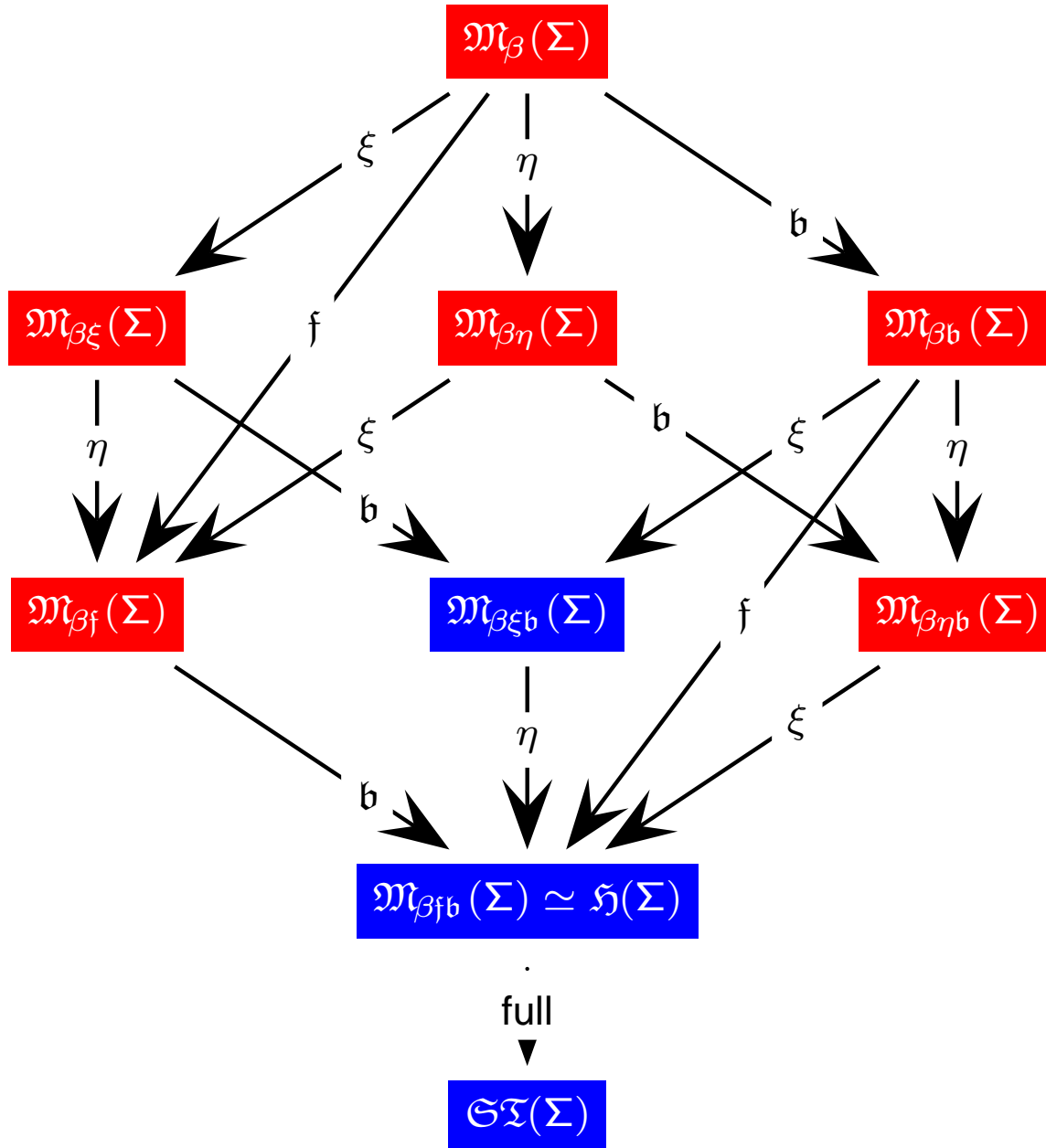


■ $\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$

■ $\forall X. \forall Y. X \vee Y \doteq Y \vee X$

validity requires \mathfrak{b}

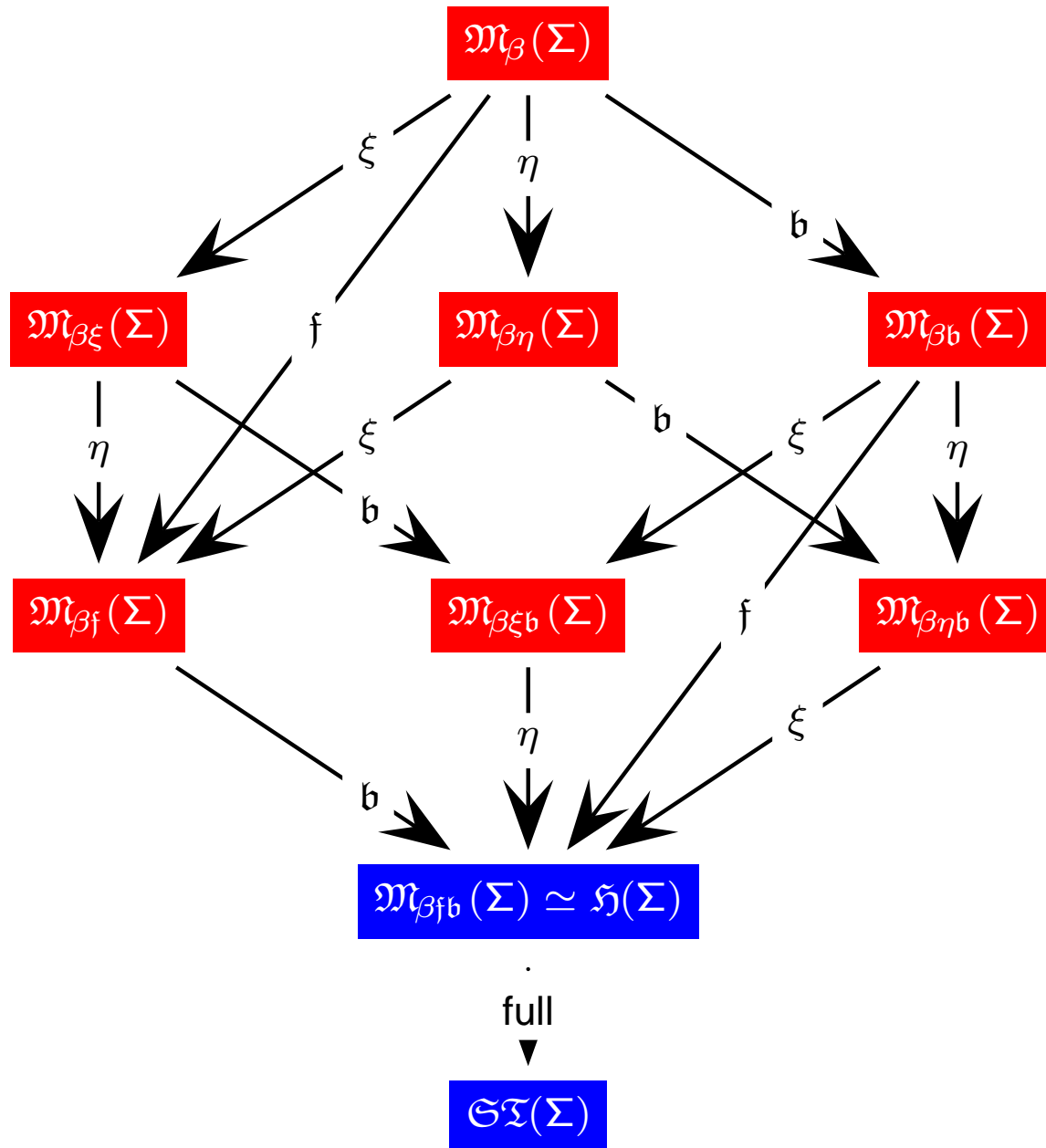
Model Classes (Extensionality)



- $\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$
- $\forall X. \forall Y. X \vee Y \doteq Y \vee X$
- $\lambda X. \lambda Y. X \vee Y \doteq \lambda X. \lambda Y. Y \vee X$

validity requires b and ξ

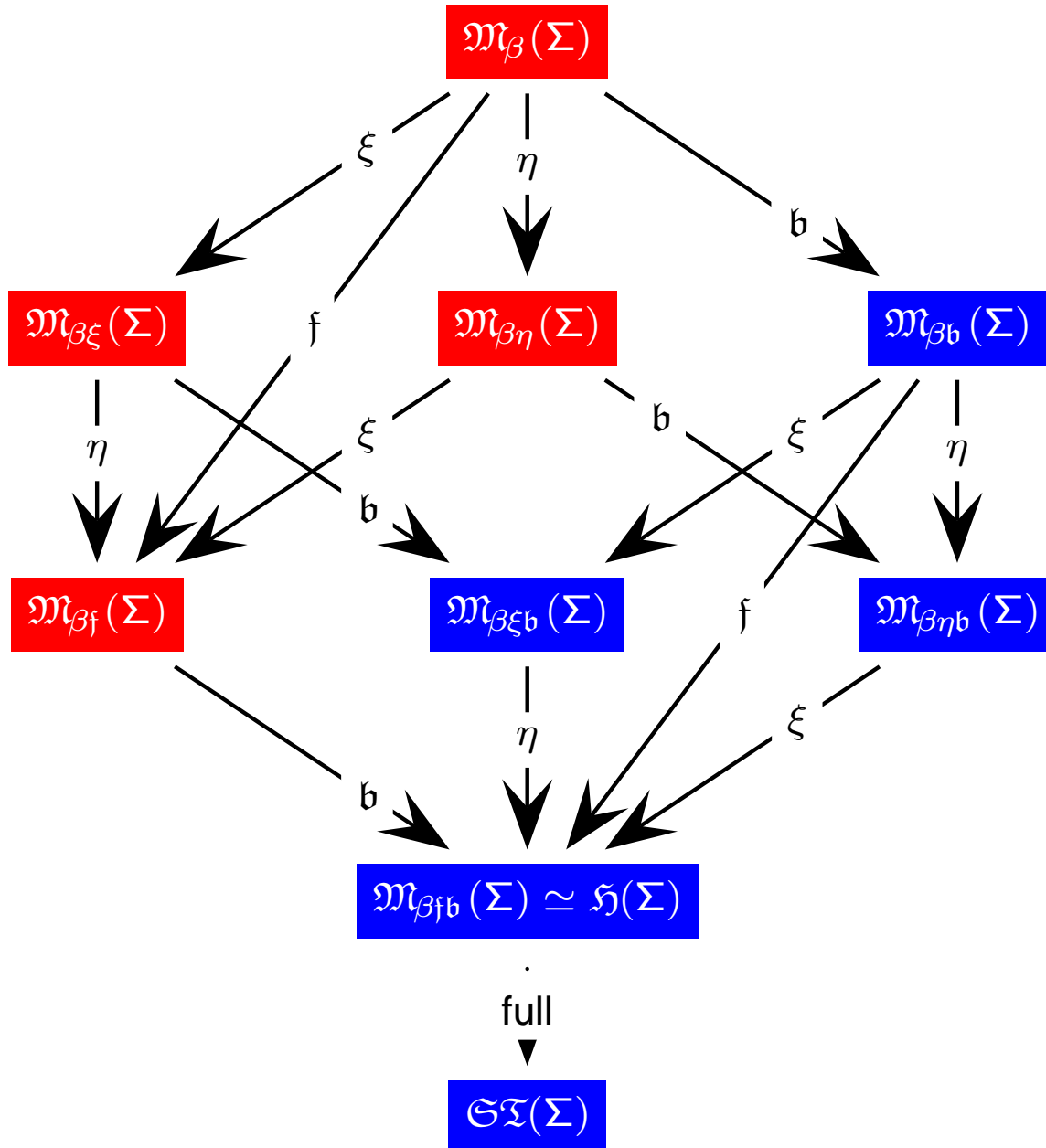
Model Classes (Extensionality)



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- $\vee \doteq \lambda X. \lambda Y. Y \vee X$

validity requires \flat and \flat

Useful: Test Problems for TPs



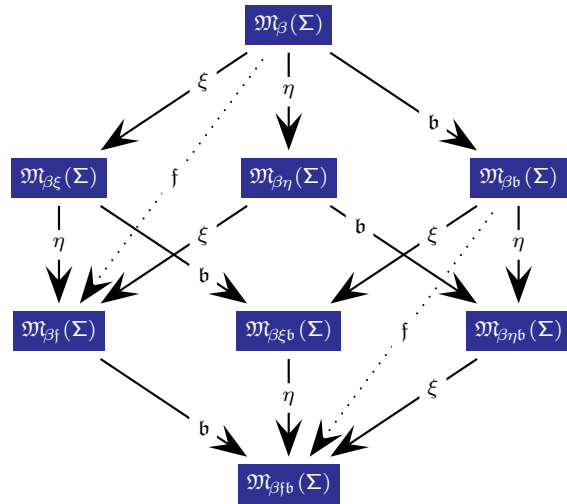
Examples requiring property b

- $(p \ a_o) \wedge (p \ b_o) \Rightarrow (p \ (a \wedge b))$
- $(h_o \rightarrow_{\iota} ((h \top) \doteq (h \perp))) \doteq (h \perp)$

Semantics - Calculi - Abstract Consistency



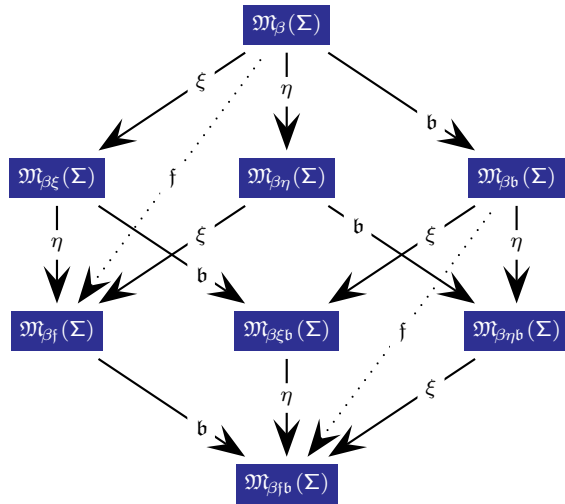
Semantics:
Model Classes (Extensionality)



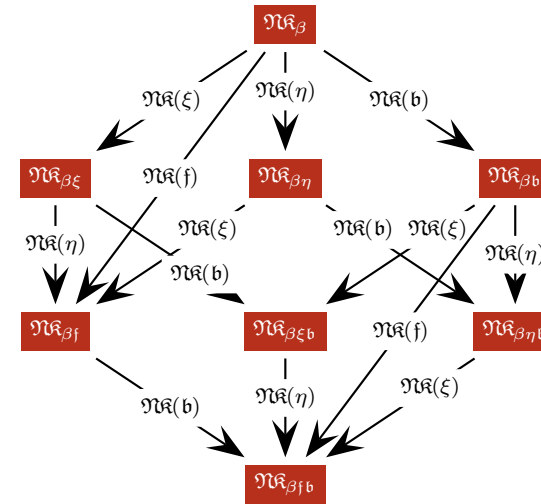
Semantics - Calculi - Abstract Consistency



Semantics:
Model Classes (Extensionality)



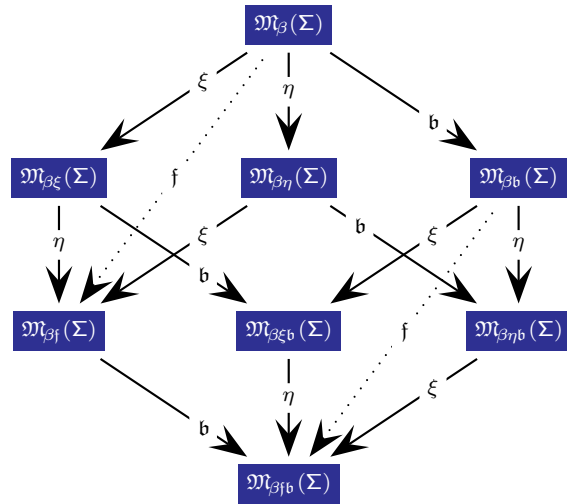
Reference Calculi:
ND (and others)



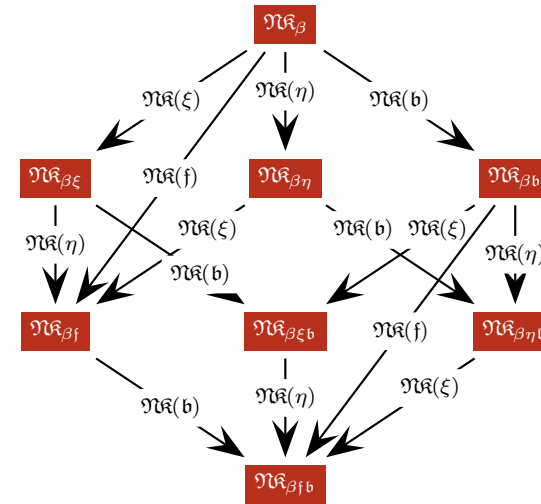
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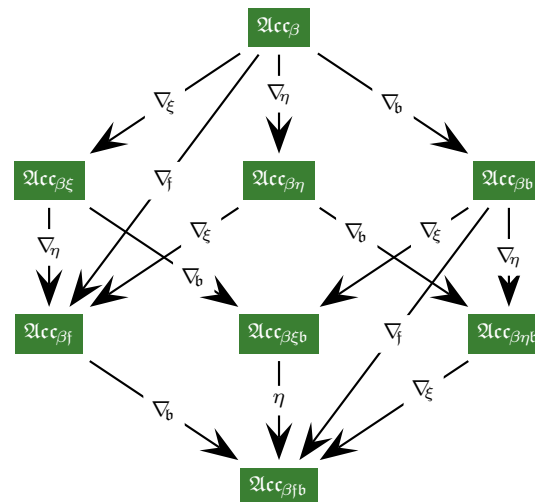
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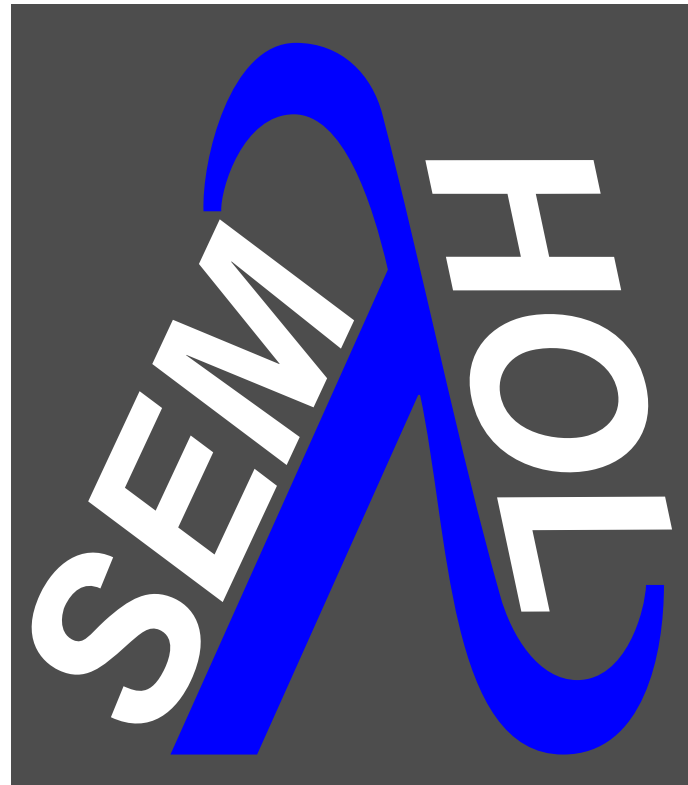


Reference Calculi:
ND (and others)



Abstract Consistency / Unifying Principle:
Extensions of Smullyan-63 and Andrews-71





Introduction

- Cantor's Set Theory – late 1800's
- Frege's Logic – late 1800's
- Russell's Paradox – 1902
- Zermelo's Axiomatic Set Theory – 1908
- Russell's Type Theory – 1908
- Church's Untyped λ -Calculus (Computation) – 1930's
- Church's Type Theory – HOL (Mathematics) – 1940
- Henkin Models and Completeness – 1950
- Cut-Elimination (Takahashi, Prawitz, Andrews) – 1967-1972
- Theorem Proving – 1980's - today
- More Semantics and Cut-Elimination – mid 1990's - today

A Standard Frame



$$\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}.$$

$$\mathcal{D}_\iota = \mathbf{N} \text{ (natural numbers).}$$

$$\mathcal{D}_{\alpha \rightarrow \beta} = \mathcal{D}_\beta^{\mathcal{D}_\alpha}, \text{ all functions from } \mathcal{D}_\alpha \text{ to } \mathcal{D}_\beta.$$

A Standard Frame

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$$\mathcal{D}_{\iota \rightarrow o} \cong \mathcal{P}(\mathbf{N}):$$

$X \subseteq \mathbf{N}$ induces $\chi_X \in \mathcal{D}_{\iota \rightarrow o}$ (characteristic function)

$$\chi_X(x) := \begin{cases} \mathbf{T} & \text{if } x \in X \\ \mathbf{F} & \text{if } x \notin X \end{cases}$$

Every $f \in \mathcal{D}_{\iota \rightarrow o}$ is χ_X where

$$X := \{x \in \mathcal{D}_\iota \mid f(x) = \mathbf{T}\}$$

A Standard Frame

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$$\mathcal{D}_{\alpha \rightarrow \beta} = \mathcal{D}_\beta^{\mathcal{D}_\alpha}, \text{ all functions from } \mathcal{D}_\alpha \text{ to } \mathcal{D}_\beta.$$

$$\mathcal{D}_{\iota \rightarrow \iota \rightarrow o} \cong \mathcal{P}(\mathbf{N} \times \mathbf{N}): \text{ Binary relations on } \mathbf{N}$$

$$\mathcal{D}_{(\iota \rightarrow o) \rightarrow o} \cong \mathcal{P}(\mathcal{P}(\mathbf{N}))$$

\mathcal{D}_o = any nonempty set

\mathcal{D}_ι = any nonempty set

$\mathcal{D}_{\alpha \rightarrow \beta}$ = $(\mathcal{D}_\beta)^{\mathcal{D}_\alpha}$, all functions from \mathcal{D}_α to \mathcal{D}_β .

Standard Frames are Determined by Domains of Base

Type: If \mathcal{D} and \mathcal{E} are standard frames, $\mathcal{D}_o = \mathcal{E}_o$, and $\mathcal{D}_\iota = \mathcal{E}_\iota$, then $\mathcal{D} = \mathcal{E}$.

Proof: Induction on types.

Easy to Encode Peano's Axioms with ι as \mathbb{N} ,
 0_ι a parameter and $S_{\iota \rightarrow \iota}$ a parameter

1. Zero is a natural number.

0 has type ι

2. n natural number \Rightarrow successor of n is a natural number

$[S \ N]$ has type ι for any term N_ι

3. No successor is zero.

$\forall n_\iota. \neg [S \ n] = 0$

4. The successor function is injective.

5. Induction:

Easy to Encode Peano's Axioms with ι as \mathbb{N} ,
 0_ι a parameter and $S_{\iota \rightarrow \iota}$ a parameter

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3. No successor is zero.

$[\prod_{(\iota \rightarrow o) \rightarrow o} [\lambda n_\iota [\neg_{o \rightarrow o} [=^\iota_{\iota \rightarrow \iota \rightarrow o} [S_{\iota \rightarrow \iota} n] 0_\iota]]]]_o$

4. The successor function is injective.

5. Induction:

Easy to Encode Peano's Axioms with ι as \mathbb{N} ,
 0_ι a parameter and $S_{\iota \rightarrow \iota}$ a parameter

1. Zero is a natural number.

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3. No successor is zero.

$\forall n_\iota \neg [[S \ n] = 0]$

4. The successor function is injective.

$\forall n_\iota \forall m_\iota [[S \ n] = [S \ m]] \supset n = m$

5. Induction:

Peano Arithmetic

Easy to Encode Peano's Axioms with ι as \mathbb{N} ,
 0_ι a parameter and $S_{\iota \rightarrow \iota}$ a parameter

1. Zero is a natural number.

0 has type ι

2. n natural number \Rightarrow successor of n is a natural number

$[S\ N]$ has type ι for any term N_ι

3. No successor is zero.

$\forall n_\iota \neg [[S\ n] = 0]$

4. The successor function is injective.

$\forall n_\iota \forall m_\iota [[[S\ n] = [S\ m]] \supset n = m]$

5. Induction: $\forall p_{\iota \rightarrow o} [[p\ 0] \wedge [\forall n_\iota [[p\ n] \supset [p\ [S\ n]]]] \supset [\forall n_\iota [p\ n]]$

Incompleteness wrt Standard Frames



Only ONE standard frame with $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$ satisfies Peano: $\mathcal{D}_i = \mathbb{N}$

Suppose we have a recursively axiomatizable deduction system \vdash for HOL sound and complete for standard models with $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$.

Gödel construction gives: \mathbf{G}_o

\mathbf{G} evaluates to \mathbf{T} in standard frame \mathcal{D} above $\Leftrightarrow \not\vdash [\text{PA} \supset \mathbf{G}]$

$\vdash [\text{PA} \supset \mathbf{G}] \Rightarrow_{\text{Soundness}} \mathbf{G} \text{ evaluates to } \mathbf{T} \text{ in } \mathcal{D} \Rightarrow \not\vdash [\text{PA} \supset \mathbf{G}]$

$\not\vdash [\text{PA} \supset \mathbf{G}] \Rightarrow \mathbf{G} \text{ evaluates to } \mathbf{T} \text{ in } \mathcal{D} \Rightarrow_{\text{Completeness}} \vdash [\text{PA} \supset \mathbf{G}]$

Incompleteness wrt Standard Frames



Only ONE standard frame with $\mathcal{D}_0 = \{\mathbf{T}, \mathbf{F}\}$ satisfies Peano: $\mathcal{D}_\ell = \mathbb{N}$

Suppose we have a recursively axiomatizable deduction system \vdash for HOL sound and complete for standard models with $\mathcal{D}_0 = \{\mathbf{T}, \mathbf{F}\}$.

Gödel construction gives: \mathbf{G}_0

\mathbf{G} evaluates to \mathbf{T} in standard frame \mathcal{D} above $\Leftrightarrow \not\vdash [\text{PA} \supset \mathbf{G}]$

There is no recursively axiomatizable deduction system for HOL sound and complete wrt standard models.

\mathcal{D}_o = any nonempty set

\mathcal{D}_ι = any nonempty set

$\mathcal{D}_{\alpha \rightarrow \beta} \subseteq (\mathcal{D}_\beta)^{\mathcal{D}_\alpha}$ (maybe not all functions)

Frames are NOT Determined by Domains of Base Type.

Henkin Completeness (1950): Church's Deductive System is Complete wrt a Class of General Frames ("Henkin Models")

Theorem Proving in HOL



Interactive systems for constructing formal theories (these use extensions of Church's Type Theory):

- Isabelle-HOL
- HOL-light
- HOL4

Systems performing automated search for proofs in (fragments of) Church's Type Theory:

- TPS
- LEO

Theorem Proving: Extensionality



Consider $[A_o \wedge B_o \wedge [Q_{o \rightarrow o} A]] \supset [Q B]$.

Theorem? Yes, assuming Boolean extensionality.

Idea: A and B true implies A and B are equal.

Theorem Proving: Extensionality

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Theorem? Yes, assuming Boolean extensionality.

Idea: A and B true implies A and B are equal.

Automatic Search? Clauses to Refute:

A

B

$[Q A]$

$\neg[Q B]$

What to resolve?

Theorem Proving: Extensionality

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None Unify Syntactically.

Theorem Proving: Extensionality

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Idea: A and B true implies A and B are equal.

Automatic Search? Clauses to Refute:

A

B

$[Q A]$

$\neg[Q B]$

What to resolve?

None Unify Syntactically.

Idea: Resolve $[Q A]$ and $\neg[Q B]$, then prove $A = B$

Theorem Proving: Extensionality



There are similar examples for functional extensionality

TPS traditionally searches without extensionality.

TPS could not prove such examples

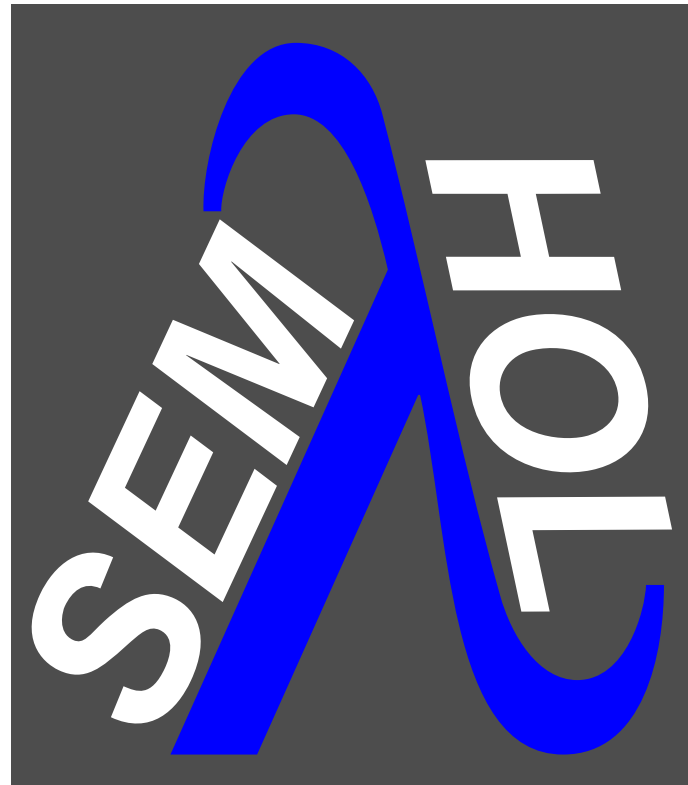
TPS was not “Henkin Complete” (but maybe wrt other model classes)?

LEO (1999) introduced search with extensionality

Coming Attractions



- Semantics without all Logical Constants
- Semantics without full Extensionality



Generalizing the Semantics

More Syntax

- α -conversion: We consider terms “identical” if they are the same up renaming of bound variables.

Example: $[\lambda x_\iota \lambda y_{\iota \rightarrow o} [y x]]$ is identical to $[\lambda y_\iota \lambda z_{\iota \rightarrow o} [z y]]$

- $[A/x]B$ denotes substitution of A for free occurrences of x in B . We rename bound variables to ensure no capture.

Example: $[y/x][\lambda y_\iota [p_{\iota \rightarrow \iota \rightarrow o} x y]]$ is $[\lambda z_\iota [p y z]]$.

- We may also consider simultaneous substitutions θ for a finite number of variables.

More Syntax

- We will consider β and η reduction and conversion.

β : $[[\lambda x_\alpha \mathbf{B}_\beta] \mathbf{A}]$ β -reduces to $[\mathbf{A}/x] \mathbf{B}$

η : $[\lambda x_\alpha [\mathbf{F}_{\alpha \rightarrow \beta} x]]$ η -reduces to \mathbf{F} if $x \notin \text{Free}(\mathbf{F})$

- We write $\mathbf{A} \xrightarrow{\beta}_1 \mathbf{B}$ if \mathbf{B} is obtained by β -reducing in some position in \mathbf{A} .
- We write $\mathbf{A} \xrightarrow{\eta}_1 \mathbf{B}$ if \mathbf{B} is obtained by η -reducing in some position in \mathbf{A} .
- We write $\xrightarrow{\beta}$ to denote the reflexive, transitive closure of $\xrightarrow{\beta}_1$.
- We write $\xrightarrow{\beta\eta}$ to denote the reflexive, transitive closure of $\xrightarrow{\beta}_1 \cup \xrightarrow{\eta}_1$.

More Syntax

- We will consider β and η reduction and conversion.

β : $[[\lambda x_\alpha \mathbf{B}_\beta] \mathbf{A}]$ β -reduces to $[\mathbf{A}/x]\mathbf{B}$

η : $[\lambda x_\alpha [\mathbf{F}_{\alpha \rightarrow \beta} x]]$ η -reduces to \mathbf{F} if $x \notin \text{Free}(\mathbf{F})$

Facts: $\xrightarrow{\beta}$ and $\xrightarrow{\beta\eta}$ satisfy the strong Church-Rosser property:
Every term \mathbf{A} has a unique normal form.

- $\mathbf{A} \downarrow_\beta$ denotes the β -normal (i.e., $\xrightarrow{\beta}$ normal) form of \mathbf{A} .
- $\mathbf{A} \downarrow_{\beta\eta}$ denotes the $\beta\eta$ -normal (i.e., $\xrightarrow{\beta\eta}$ normal) form of \mathbf{A} .

There are two key steps to generalize combinatory frames with evaluations to give nonextensional models.

To obtain non-functional semantics, we allow $\mathcal{D}_{\alpha \longrightarrow \beta}$ to be any nonempty set and include an “application operator”

$$@ : \mathcal{D}_{\alpha \longrightarrow \beta} \times \mathcal{D}_{\alpha} \longrightarrow \mathcal{D}_{\beta}.$$

To generalize from two truth values, we allow \mathcal{D}_o to be any nonempty set and include a “valuation” $v : \mathcal{D}_o \rightarrow \{\mathbf{T}, \mathbf{F}\}$.

Coming Attractions



1. Definition of **applicative structure** generalizing frames
2. Definition of **logical properties** relative to $v : \mathcal{D}_o \rightarrow \{\mathbf{T}, \mathbf{F}\}$.
3. Definition of **evaluations** for interpreting terms in applicative structures
4. Definition of **model** for determining which terms of type o are true
5. Definition of **model classes** varying extensionality

Applicative Structures

Def.: A (typed) applicative structure is a pair $(\mathcal{D}, @)$ where \mathcal{D} is a typed family of nonempty sets and $@^{\alpha \rightarrow \beta} : \mathcal{D}_{\alpha \rightarrow \beta} \times \mathcal{D}_{\alpha} \longrightarrow \mathcal{D}_{\beta}$ for each function type $(\alpha \rightarrow \beta)$.

Write $f@a$ for $f@^{\alpha \rightarrow \beta}a$ when $f \in \mathcal{D}_{\alpha \rightarrow \beta}$ and $a \in \mathcal{D}_{\alpha}$ are clear in context.

Def.: Let $\mathcal{A} := (\mathcal{D}, @)$ be an applicative structure. We say \mathcal{A} is **functional** if for all types α and β and objects $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$, $f = g$ whenever $f@a = g@a$ for every $a \in \mathcal{D}_{\alpha}$.

Logical Properties

Suppose $v : \mathcal{D}_o \rightarrow \{\mathbf{T}, \mathbf{F}\}$ is a function.

Def.: Let $\mathcal{A} := (\mathcal{D}, @)$ be an applicative structure and $v : \mathcal{D}_o \rightarrow \{\mathbf{T}, \mathbf{F}\}$ be a function.

For each logical constant c_α and element $a \in \mathcal{D}_\alpha$, we define the properties $\mathcal{L}_c(a)$ with respect to v given in the following table...

Logical Properties



prop.	where	holds when	for all
$\mathcal{L}_{\neg}(\mathbf{n})$	$\mathbf{n} \in \mathcal{D}_{o \rightarrow o}$	$v(\mathbf{n}@a) = \mathbf{T}$ iff $v(a) = \mathbf{F}$	$a \in \mathcal{D}_o$
$\mathcal{L}_{\vee}(\mathbf{d})$	$\mathbf{d} \in \mathcal{D}_{o \rightarrow o \rightarrow o}$	$v(\mathbf{d}@a@b) = \mathbf{T}$ iff $v(a) = \mathbf{T}$ or $v(b) = \mathbf{T}$	$a, b \in \mathcal{D}_o$
$\mathcal{L}_{\wedge}(\mathbf{c})$	$\mathbf{c} \in \mathcal{D}_{o \rightarrow o \rightarrow o}$	$v(\mathbf{c}@a@b) = \mathbf{T}$ iff $v(a) = \mathbf{T}$ and $v(b) = \mathbf{T}$	$a, b \in \mathcal{D}_o$
$\mathcal{L}_{\Pi^{\alpha}}(\pi)$	$\pi \in \mathcal{D}_{(\alpha \rightarrow o) \rightarrow o}$	$v(\pi@f) = \mathbf{T}$ iff $\forall a \in \mathcal{D}_{\alpha} v(f@a) = \mathbf{T}$	$f \in \mathcal{D}_{\alpha \rightarrow o}$
$\mathcal{L}_{\Sigma^{\alpha}}(\sigma)$	$\sigma \in \mathcal{D}_{(\alpha \rightarrow o) \rightarrow o}$	$v(\sigma@f) = \mathbf{T}$ iff $\exists a \in \mathcal{D}_{\alpha} v(f@a) = \mathbf{T}$	$f \in \mathcal{D}_{\alpha \rightarrow o}$
$\mathcal{L}_{=^{\alpha}}(q)$	$q \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$	$v(q@a@b) = \mathbf{T}$ iff $a = b$	$a, b \in \mathcal{D}_{\alpha}$

Def.: Suppose $(\mathcal{D}, @)$ is an applicative structure and $v : \mathcal{D}_o \rightarrow \{\mathbf{T}, \mathbf{F}\}$ is a function.

We say $(\mathcal{D}, @, v)$ **realizes** a logical constant c_α if there is some $a \in \mathcal{D}_\alpha$ such that $\mathcal{L}_c(a)$ holds with respect to this v . We say $(\mathcal{D}, @, v)$ **realizes** a signature Σ if it realizes every $c \in \Sigma$.

Variable Assignment

Def.: Let $\mathcal{A} := (\mathcal{D}, @)$ be an applicative structure.

A typed function $\varphi: \mathcal{V} \longrightarrow \mathcal{D}$ is called a **variable assignment** into \mathcal{D} .

Given a variable assignment φ , variable x_α , and value $a \in \mathcal{D}_\alpha$, we use $\varphi, [a/x]$ to denote the variable assignment with

$$(\varphi, [a/x])(x) = a \text{ and}$$

$$(\varphi, [a/x])(y) = \varphi(y) \text{ for variables } y \text{ other than } x.$$

Def.: Let $\mathcal{A} = (\mathcal{D}, @)$ be an applicative structure.

An Σ -**evaluation function** \mathcal{E} for \mathcal{A} is a function taking assignments φ and terms \mathbf{A}_α to $\mathcal{E}_\varphi(\mathbf{A}) \in \mathcal{D}_\alpha$ satisfying the following properties:

1. $\mathcal{E}_\varphi(\mathbf{x}) = \varphi(\mathbf{x})$ for $\mathbf{x} \in \mathcal{V}$.
2. $\mathcal{E}_\varphi([\mathbf{F} \mathbf{A}]) = \mathcal{E}_\varphi(\mathbf{F}) @ \mathcal{E}_\varphi(\mathbf{A})$ for any $\mathbf{F}_{\alpha \rightarrow \beta}$ and \mathbf{A}_α and types α and β .
3. $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\psi(\mathbf{A})$ for any type α and \mathbf{A}_α , whenever φ and ψ coincide on $\text{Free}(\mathbf{A})$.
4. $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{A} \downarrow_\beta)$ for all \mathbf{A}_α .

If \mathbf{A} is a closed formula, then $\mathcal{E}_\varphi(\mathbf{A})$ is independent of φ .

Then we write $\mathcal{E}(\mathbf{A})$.

Def.: We call $\mathcal{J} := (\mathcal{D}, @, \mathcal{E})$ an Σ -**evaluation** if
 $(\mathcal{D}, @)$ is an applicative structure and
 \mathcal{E} is an evaluation function for $(\mathcal{D}, @)$.

We call an Σ -evaluation $\mathcal{J} := (\mathcal{D}, @, \mathcal{E})$ **functional** if the
applicative structure $(\mathcal{D}, @)$ is **functional**.

We say \mathcal{J} is a **Σ -evaluation over a frame** if $(\mathcal{D}, @)$ is a frame.

Evaluations Respect β



If A β -converts to B , then they have the same normal form.

Hence

$$\mathcal{E}_\varphi(A) = \mathcal{E}_\varphi(A \downarrow_\beta) = \mathcal{E}_\varphi(B \downarrow_\beta) = \mathcal{E}_\varphi(B)$$

Substitution-Value Lemma



Lemma: Substitution-Value Lemma

$$\mathcal{E}_{\varphi, [\mathcal{E}_{\varphi}(\mathbf{B}_{\beta})/\mathbf{x}_{\beta}]}(\mathbf{A}_{\alpha}) = \mathcal{E}_{\varphi}([\mathbf{B}/\mathbf{x}]\mathbf{A})$$

Substitution-Value Lemma



Lemma: Substitution-Value Lemma

$$\mathcal{E}_{\varphi, [\mathcal{E}_{\varphi}(\mathbf{B}_{\beta})/\mathbf{x}_{\beta}]}(\mathbf{A}_{\alpha}) = \mathcal{E}_{\varphi}([\mathbf{B}/\mathbf{x}]\mathbf{A})$$

Proof:

$$\begin{aligned}\mathcal{E}_{\varphi, [\mathcal{E}_{\varphi}(\mathbf{B})/\mathbf{x}]}(\mathbf{A}) &= \mathcal{E}_{\varphi, [\mathcal{E}_{\varphi}(\mathbf{B})/\mathbf{x}]}([\lambda \mathbf{x} \mathbf{A}] \mathbf{x}) \\ &= \mathcal{E}_{\varphi, [\mathcal{E}_{\varphi}(\mathbf{B})/\mathbf{x}]}([\lambda \mathbf{x} \mathbf{A}]) @ \mathcal{E}_{\varphi, [\mathcal{E}_{\varphi}(\mathbf{B})/\mathbf{x}]}(\mathbf{x}) \\ &= \mathcal{E}_{\varphi}([\lambda \mathbf{x} \mathbf{A}]) @ \mathcal{E}_{\varphi}(\mathbf{B}) \\ &= \mathcal{E}_{\varphi}([\lambda \mathbf{x} \mathbf{A}] \mathbf{B}) \\ &= \mathcal{E}_{\varphi}([\mathbf{B}/\mathbf{x}]\mathbf{A}).\end{aligned}$$

Substitution-Value Lemma



Lemma: Substitution-Value Lemma

$$\mathcal{E}_{\varphi, [\mathcal{E}_{\varphi}(\mathbf{B}_{\beta})/\mathbf{x}_{\beta}]}(\mathbf{A}_{\alpha}) = \mathcal{E}_{\varphi}([\mathbf{B}/\mathbf{x}]\mathbf{A})$$

Proof:

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Proof by Andrei Paskevich

Weak Functionality

Def.: Let $\mathcal{J} = (\mathcal{D}, @, \mathcal{E})$ be an Σ -evaluation.

We say \mathcal{J} is **η -functional** if $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{A} \downarrow_{\beta_\eta})$ for any type α , term \mathbf{A}_α , and assignment φ .

We say \mathcal{J} is **ξ -functional** if for all $\alpha, \beta \in \mathcal{T}$, $\mathbf{M}_\beta, \mathbf{N}_\beta$, assignments φ , and variables x_α , $\mathcal{E}_\varphi([\lambda x_\alpha \mathbf{M}_\beta]) = \mathcal{E}_\varphi([\lambda x_\alpha \mathbf{N}_\beta])$ whenever $\mathcal{E}_{\varphi, [a/x]}(\mathbf{M}) = \mathcal{E}_{\varphi, [a/x]}(\mathbf{N})$ for every $a \in \mathcal{D}_\alpha$.

$$f = \eta + \xi$$

- Lemma:
- ▶ functional $\Rightarrow \eta$ -functional
 - ▶ functional $\Rightarrow \xi$ -functional
 - ▶ η -functional and ξ -functional \Rightarrow functional

Proof: Exercise.

Def.: Let $\mathcal{J} := (\mathcal{D}, @, \mathcal{E})$ be an Σ -evaluation.

A function $v: \mathcal{D}_o \longrightarrow \{\mathbf{T}, \mathbf{F}\}$ is called a Σ -**valuation** for \mathcal{J} if $\mathcal{L}_c(\mathcal{E}(c))$ holds for every $c \in \Sigma$.

In this case, $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ is called an Σ -**model**.

Def.: An assignment φ satisfies a formula A_o in \mathcal{M}
(we write $\mathcal{M} \models_{\varphi} A$)
if $v(\mathcal{E}_{\varphi}(A)) = \mathbf{T}$.

We say that A is valid in \mathcal{M}
(and write $\mathcal{M} \models A$)
if $\mathcal{M} \models_{\varphi} A$ for all assignments φ .

When A_o is closed, we drop φ and write $\mathcal{M} \models A$.

Finally, we say that \mathcal{M} is an Σ -model for a set Φ of closed formulas

(we write $\mathcal{M} \models \Phi$)
if $\mathcal{M} \models A$ for all $A \in \Phi$.

Example

Assume Σ contains \neg and \vee

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model

Claim: $\mathcal{M} \models_{\varphi} [\vee P [\neg P]]$ (i.e., $P \vee \neg P$) where $P \in wff_o(\Sigma)$

Example

Assume Σ contains \neg and \vee

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model

Claim: $\mathcal{M} \models_{\varphi} [\vee P [\neg P]]$ (i.e., $P \vee \neg P$) where $P \in wff_o(\Sigma)$

Show: $v(\mathcal{E}_{\varphi}([\vee P [\neg P]])) = \mathbf{T}$

Example

Assume Σ contains \neg and \vee

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model

Claim: $\mathcal{M} \models_{\varphi} [\vee P [\neg P]]$ (i.e., $P \vee \neg P$) where $P \in wff_o(\Sigma)$

Show: $v(\mathcal{E}_{\varphi}([\vee P [\neg P]])) = \mathbf{T}$

Note: $\mathcal{E}_{\varphi}([\vee P [\neg P]]) = \mathcal{E}_{\varphi}(\vee) @ \mathcal{E}_{\varphi}(P) @ \mathcal{E}_{\varphi}([\neg P])$ (property of \mathcal{E})

Example

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Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model

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Show: $v(\mathcal{E}_{\varphi}([\vee P [\neg P]])) = \mathbf{T}$

Note: $\mathcal{E}_{\varphi}([\vee P [\neg P]]) = \mathcal{E}_{\varphi}(\vee) @ \mathcal{E}_{\varphi}(P) @ \mathcal{E}_{\varphi}([\neg P])$ (property of \mathcal{E})

Use $\mathfrak{L}_{\vee}(\mathcal{E}(\vee))$ – Show: Either $v(\mathcal{E}_{\varphi}(P)) = \mathbf{T}$ or $v(\underbrace{\mathcal{E}_{\varphi}([\neg P])}_{\mathcal{E}_{\varphi}(\neg) @ \mathcal{E}_{\varphi}(P)}) = \mathbf{T}$

Example

Assume Σ contains \neg and \vee

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model

Claim: $\mathcal{M} \models_{\varphi} [\vee P [\neg P]]$ (i.e., $P \vee \neg P$) where $P \in wff_o(\Sigma)$

Show: $v(\mathcal{E}_{\varphi}([\vee P [\neg P]])) = \mathbf{T}$

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Use $\mathfrak{L}_{\neg}(\mathcal{E}(\neg))$ – Show: Either $v(\mathcal{E}_{\varphi}(P)) = \mathbf{T}$ or $v(\mathcal{E}_{\varphi}(P)) = \mathbf{F}$

Example

Assume Σ contains \neg and \vee

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model

Claim: $\mathcal{M} \models_{\varphi} [\vee P [\neg P]]$ (i.e., $P \vee \neg P$) where $P \in wff_o(\Sigma)$

Show: $v(\mathcal{E}_{\varphi}([\vee P [\neg P]])) = \mathbf{T}$

Note: $\mathcal{E}_{\varphi}([\vee P [\neg P]]) = \mathcal{E}_{\varphi}(\vee) @ \mathcal{E}_{\varphi}(P) @ \mathcal{E}_{\varphi}([\neg P])$ (property of \mathcal{E})

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Use $\mathfrak{L}_{\neg}(\mathcal{E}(\neg))$ – Show: Either $v(\mathcal{E}_{\varphi}(P)) = \mathbf{T}$ or $v(\mathcal{E}_{\varphi}(P)) = \mathbf{F}$

OK, since $v : \mathcal{D}_o \rightarrow \{\mathbf{T}, \mathbf{F}\}$.

Properties of Models

Def.: A Σ -model $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ is called **functional** if the applicative structure $(\mathcal{D}, @)$ is **functional**.

Similarly, \mathcal{M} is called **η -functional** [**ξ -functional**] if the evaluation $(\mathcal{D}, @, \mathcal{E})$ is **η -functional** [**ξ -functional**].

We say \mathcal{M} is an Σ -model over a frame if $(\mathcal{D}, @)$ is a frame.

Def.: Given an Σ -model $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$, we say that \mathcal{M} has **property**

- \mathfrak{q} iff for all $\alpha \in \mathcal{T}$ there is some $q^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$ such that $\mathcal{L}_{=\alpha}(q^\alpha)$ holds.
- η iff \mathcal{M} is η -functional.
- ξ iff \mathcal{M} is ξ -functional.
- \mathfrak{f} iff \mathcal{M} is functional. (This is generally associated with functional extensionality.)
- \mathfrak{b} iff v is injective (and so \mathcal{D}_o has at most two elements).

Signature Restriction



Remember: We restrict to the signature Σ being either

$$\{\neg, \vee\} \cup \{\Pi^\alpha \mid \alpha \in \mathcal{T}\} \text{ or } \{\neg, \vee\} \cup \{\Pi^\alpha, =^\alpha \mid \alpha \in \mathcal{T}\}.$$

Unless otherwise noted, other logical “constants” are abbreviations:

- \supset is $[\lambda p_o \lambda q_o [\neg p \vee q]]$
- \wedge is $[\lambda p_o \lambda q_o \neg[\neg p \vee \neg q]]$
- \Leftrightarrow is $[\lambda p_o \lambda q_o [[p \supset q] \wedge [q \supset p]]]$
- Σ^α is $[\lambda p_{\alpha \rightarrow o} \neg[\Pi^\alpha [\lambda x_\alpha \neg[p x]]]]$

We sometimes consider “Leibniz Equality” denoted \doteq^α :

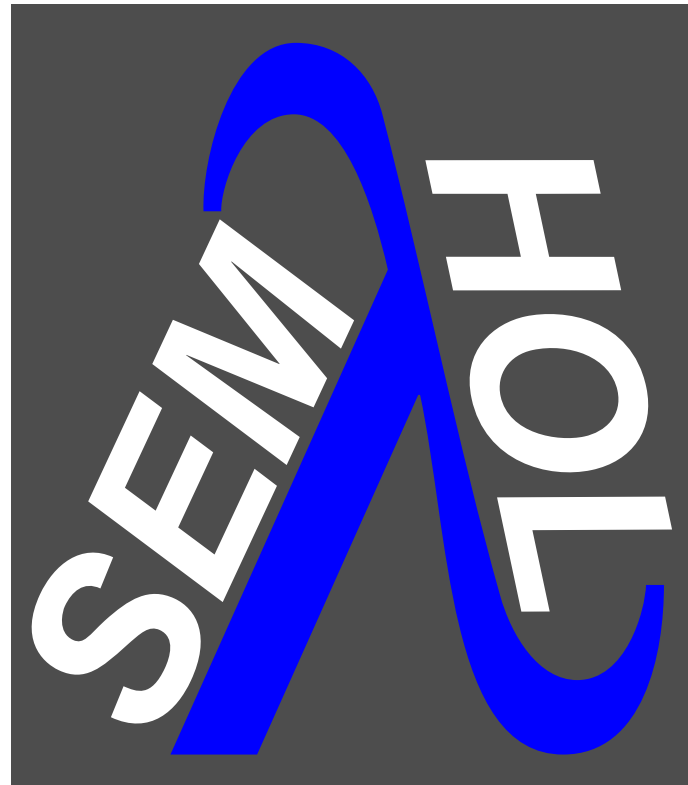
$$[\lambda x_\alpha \lambda y_\alpha \forall p_{\alpha \rightarrow o} [[p x] \supset [p y]]]$$

Denote class of Σ -models that satisfy property q by $\mathfrak{M}_\beta(\Sigma)$.

Specialized subclasses of depending on the validity of the properties η , ξ , f , and b denoted by

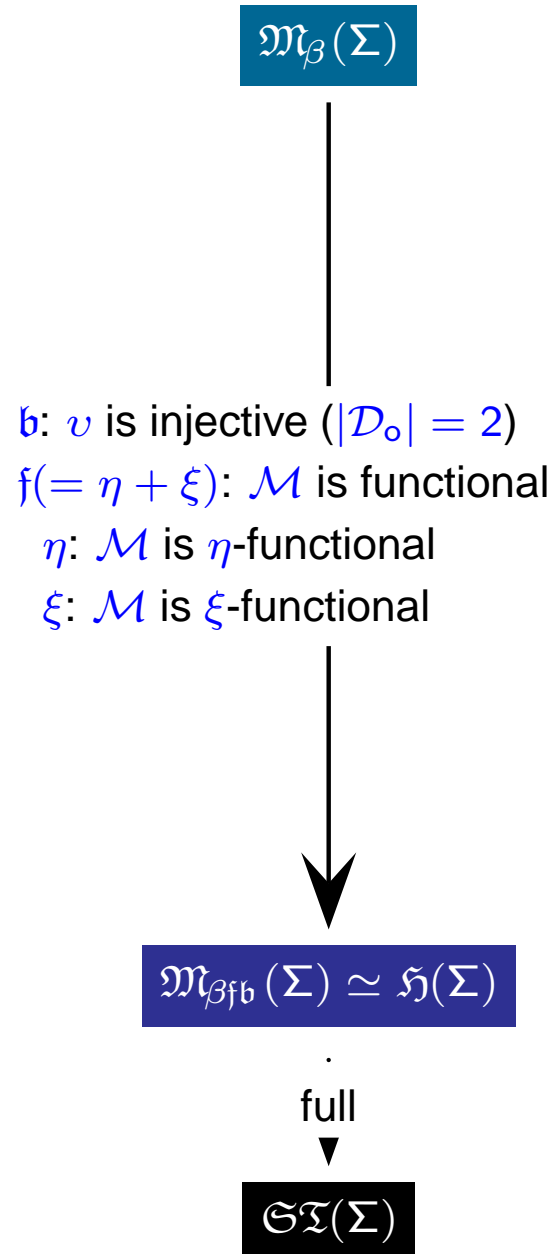
$\mathfrak{M}_{\beta\eta}(\Sigma)$, $\mathfrak{M}_{\beta\xi}(\Sigma)$, $\mathfrak{M}_{\beta f}(\Sigma)$, $\mathfrak{M}_{\beta b}(\Sigma)$,

$\mathfrak{M}_{\beta\eta b}(\Sigma)$, $\mathfrak{M}_{\beta\xi b}(\Sigma)$, and $\mathfrak{M}_{\beta fb}(\Sigma)$.



Semantics: HOL-CUBE

Semantics: HOL-CUBE



$\mathfrak{M}_\beta(\Sigma)$ elementary type theory (Σ -models)

Assume that logical symbols are

$$\{\neg, \vee\} \cup \{\Pi^\alpha\} \text{ or } \{\neg, \vee\} \cup \{\Pi^\alpha, =^\alpha\}$$

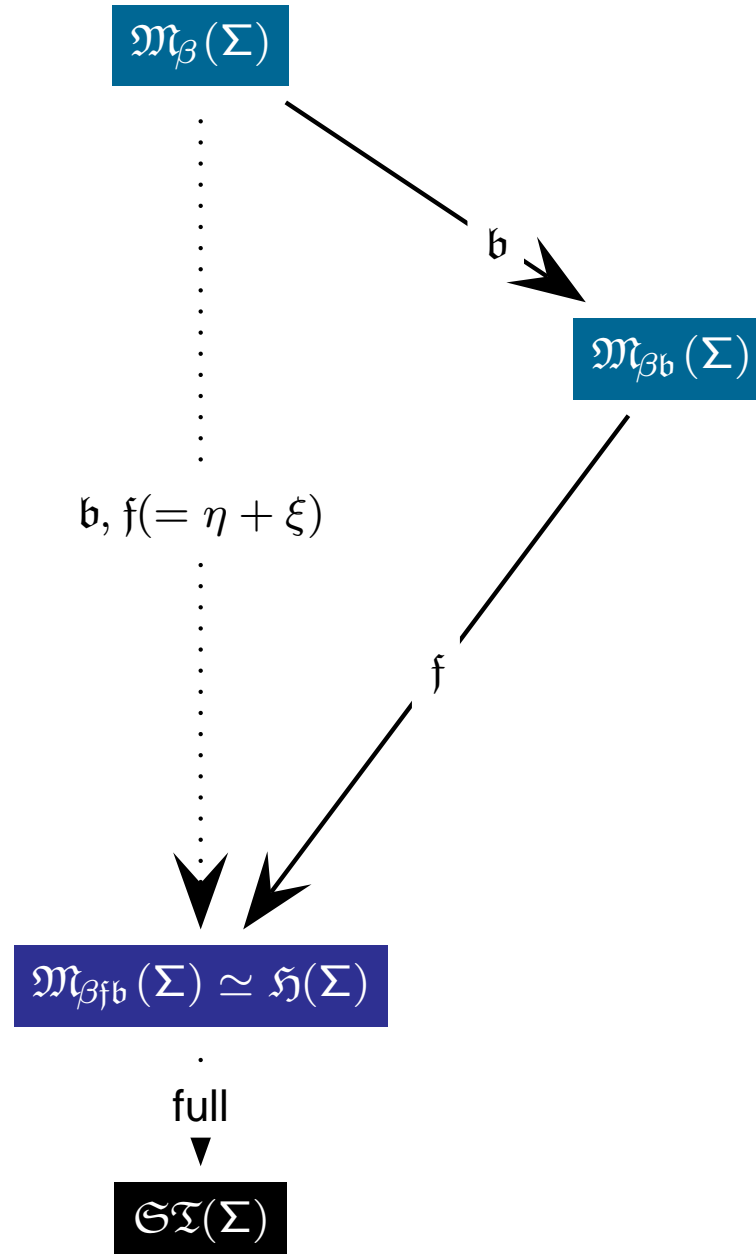
We also require property \mathfrak{q} :

$$\forall \alpha : \text{id} \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$$

without this equality \doteq not necessarily evaluates to identity relation even in Henkin models [Andrews72]

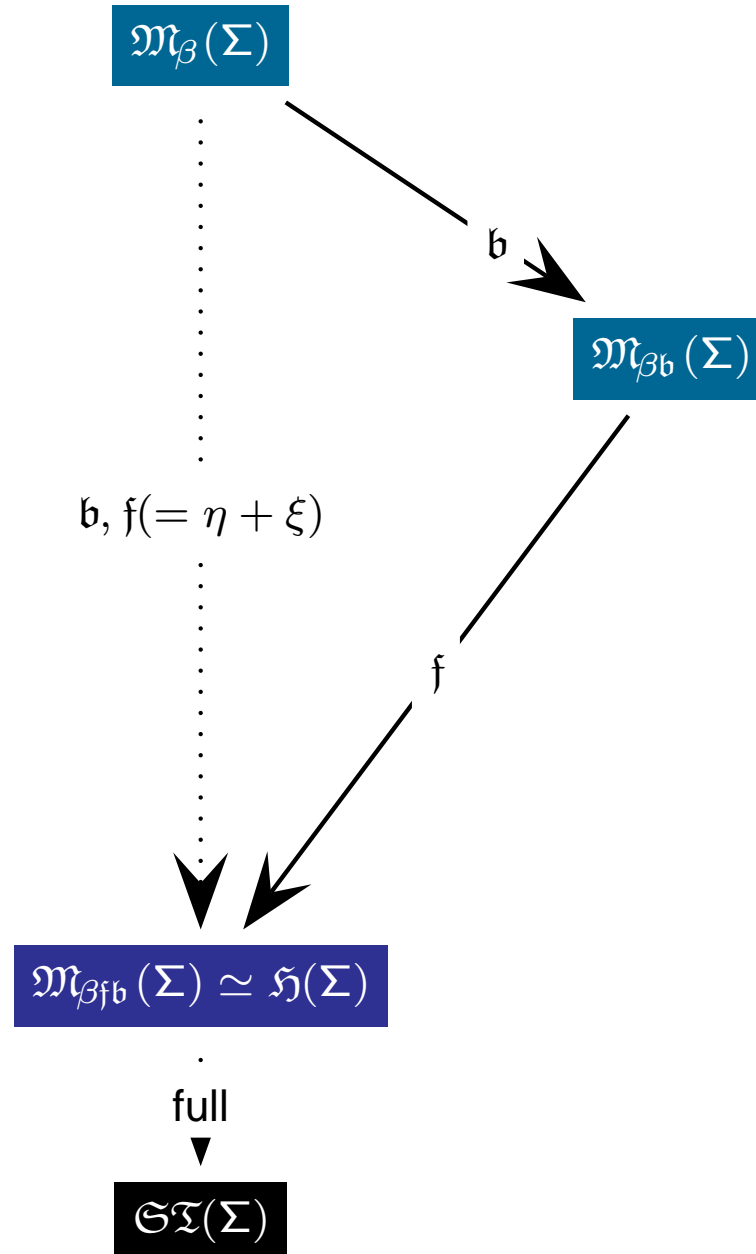
$\mathfrak{M}_{\beta\mathfrak{fb}}(\Sigma) \simeq \mathfrak{H}(\Sigma)$ extensional type theory (Henkin models)

Standard models



Motivation for Models without Functional Extensionality

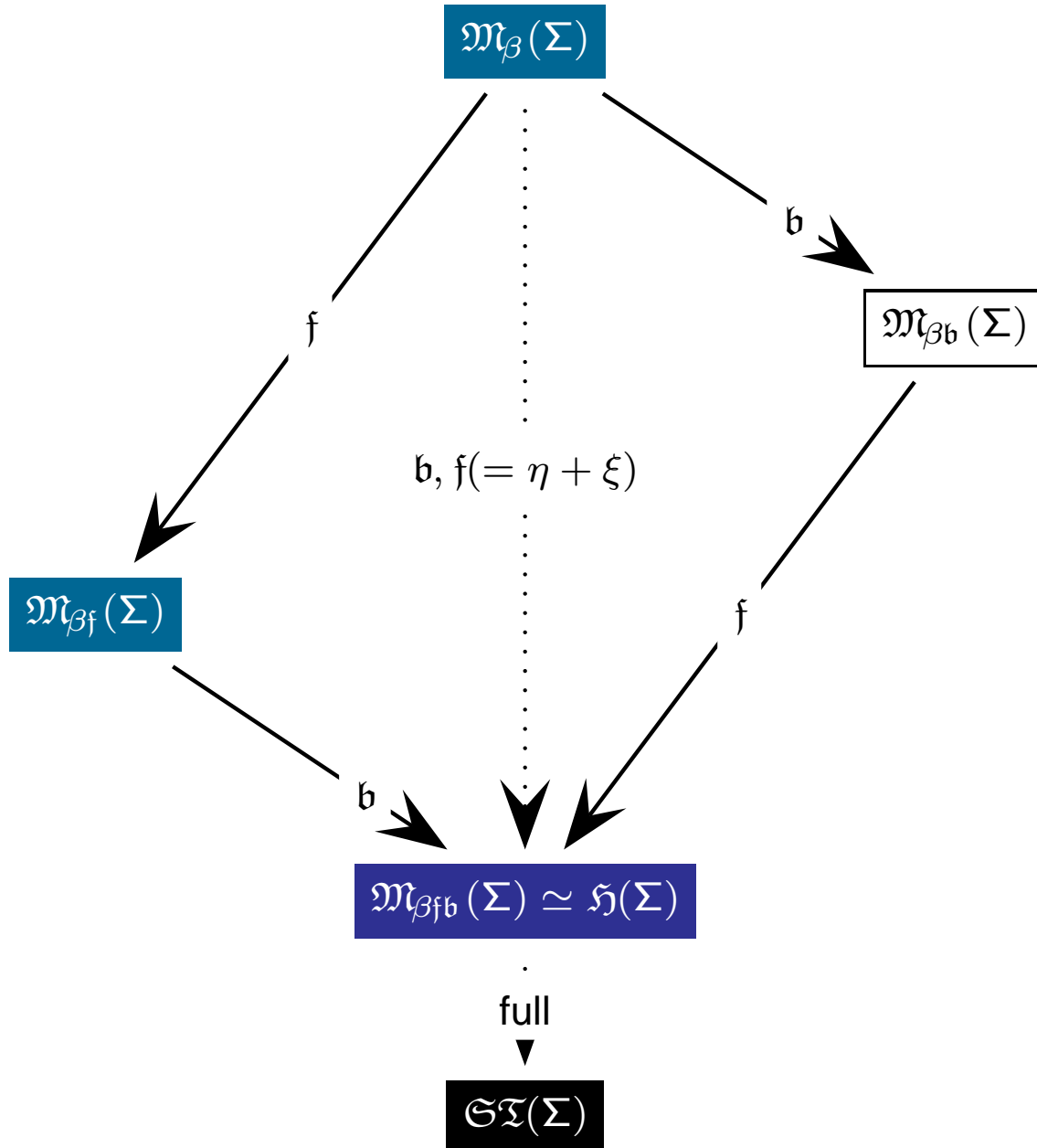
- modeling programs: $p_1 \neq p_2$ even if $f@a = g@a$ for every $a \in \mathcal{D}_\alpha$
- consider properties like run-time complexity:
- $P_1 := \lambda X_{\text{nat}}.1$ and $P_2 := \lambda X_{\text{nat}}.1 + (X + 1)^2 - (X^2 + 2X + 1)$
- P_1 has constant complexity, P_2 has not
- however, P_1 behaves like P_2 on all inputs
- a logic with a functionally extensional model theory (property f) necessarily conflates P_1 and P_2 semantically



How do we account for Models without Functional Extensionality?

- generalized the notion of domains at function types and evaluation functions
- example:
 $(\text{efficient}, K_1) \neq (\text{inefficient}, K_1) \in \mathcal{D}_{\text{nat} \rightarrow \text{nat}}$ where K_1 is the constant-1 function and $(*^1, *^2)@n$ is defined as $*^2(n)$
- we build on the notion of applicative structures to define Σ -evaluations, where the evaluation function is assumed to respect application and β -conversion

Semantics: HOL-CUBE



Motivation for models without Boolean Extensionality?

- modeling of intensional concepts like 'knowledge', 'believe', etc.

- example:

$$\mathbf{O} := 2 + 2 = 4$$

$$\mathbf{F} := \forall x, y, z, n > 2. x^n + y^n = z^n \Rightarrow x = y = z = 0$$

We want to model:

- (1) $\mathbf{O} \Leftrightarrow \mathbf{F}$ is true
- (2)

$$\text{john_knows}(\mathbf{F}) \not\Leftrightarrow \text{john_knows}(\mathbf{O})$$

- if we have $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$ then

- (1) implies $\mathbf{O} = \mathbf{F}$

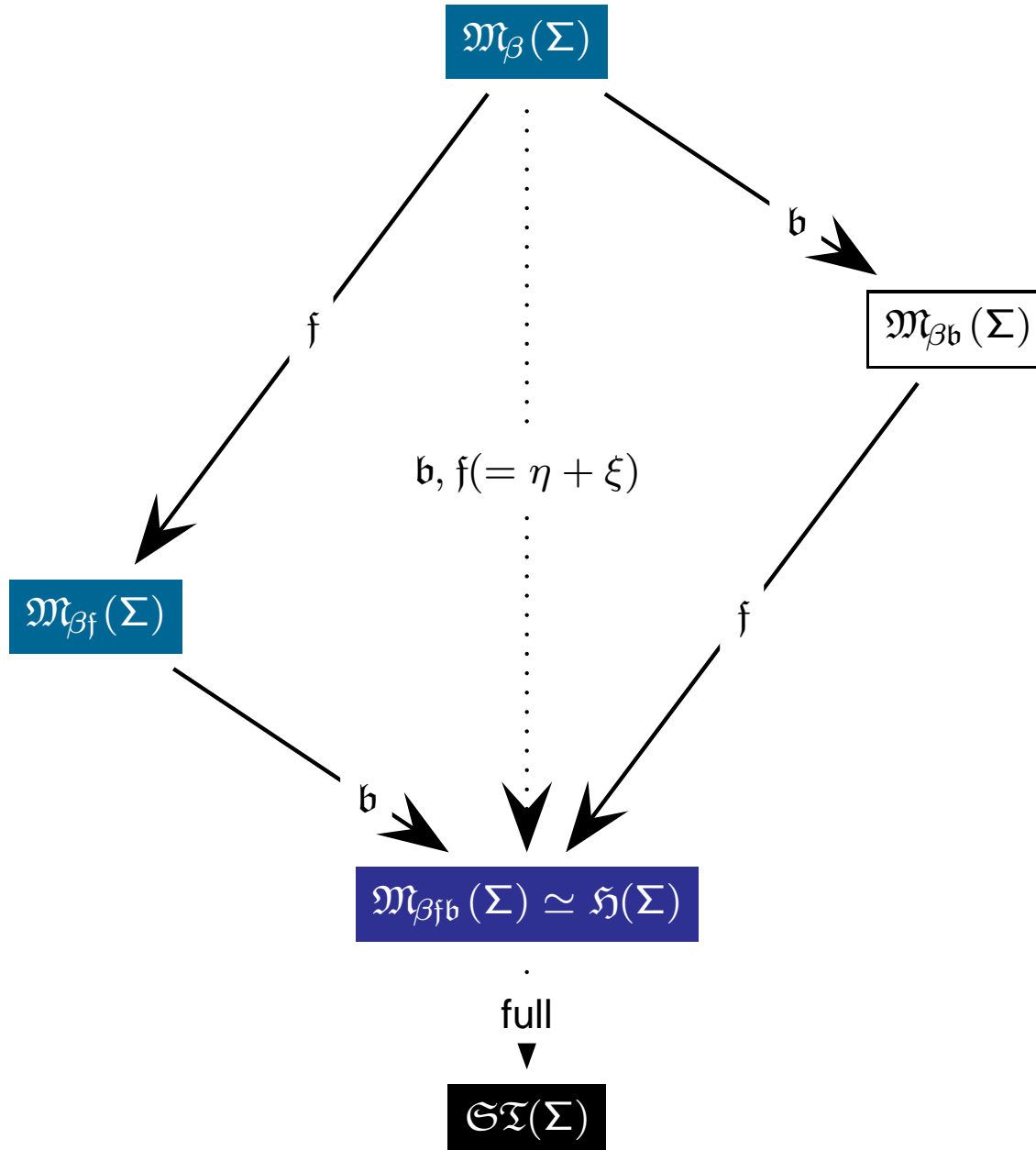
which enforces

$$\text{john_knows}(\mathbf{F}) = \text{john_knows}(\mathbf{O})$$

and

$$\text{john_knows}(\mathbf{F}) \Leftrightarrow \text{john_knows}(\mathbf{O})$$

Semantics: HOL-CUBE



How do we account for models without Boolean Extensionality?

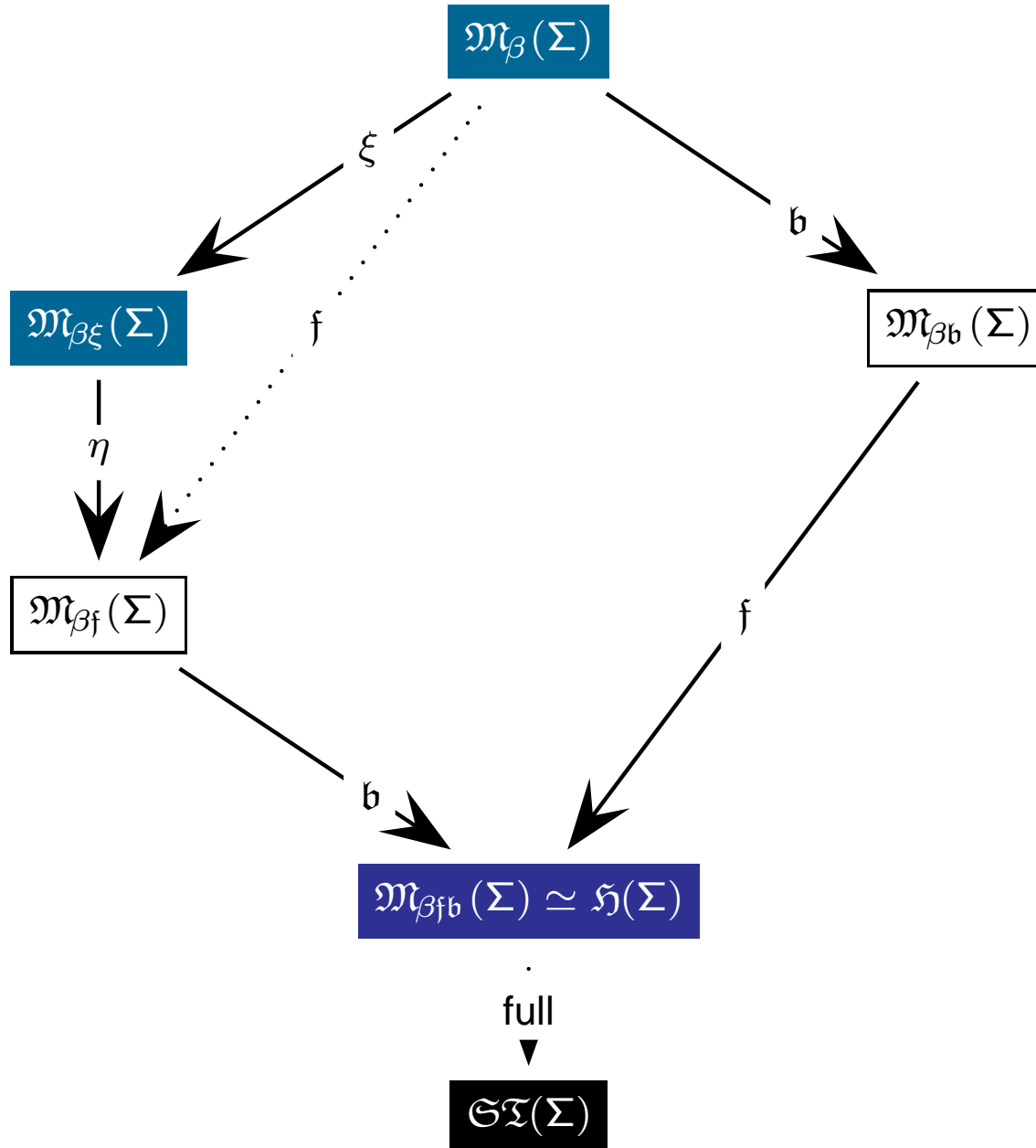
- allow that $|\mathcal{D}_o| > 2$ and use v
- partition $|\mathcal{D}_o|$ into representatives of **T** and **F**;
e.g. $\mathcal{D}_o := \{\perp^1, \perp^2, \top^1, \top^2\}$ with $v(\perp^*) = \mathbf{F}$ and $v(\top^*) = \mathbf{T}$
- now, a predicate like `john_knows` may map:

$\top^1 \longrightarrow \top^1$
 $\top^2 \longrightarrow \perp^1$
 $\perp^1 \longrightarrow \perp^1$
 $\perp^2 \longrightarrow \top^1$

and we may choose:

O evaluates to \top^1
F evaluates to \top^2

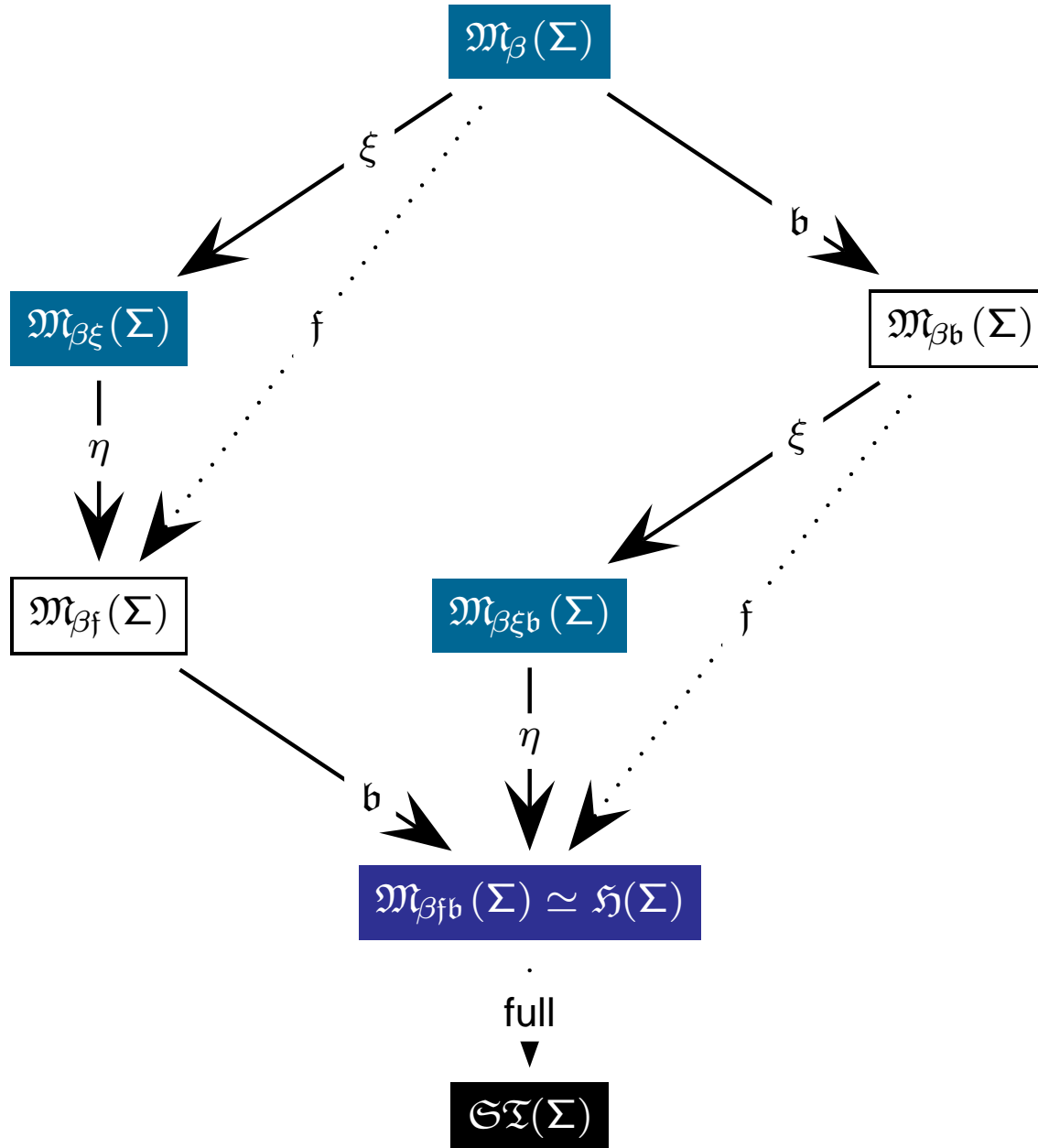
Semantics: HOL-CUBE



Models without η

$$\mathcal{E}_\varphi(A) = \mathcal{E}_\varphi(A \downarrow_{\beta\eta})$$

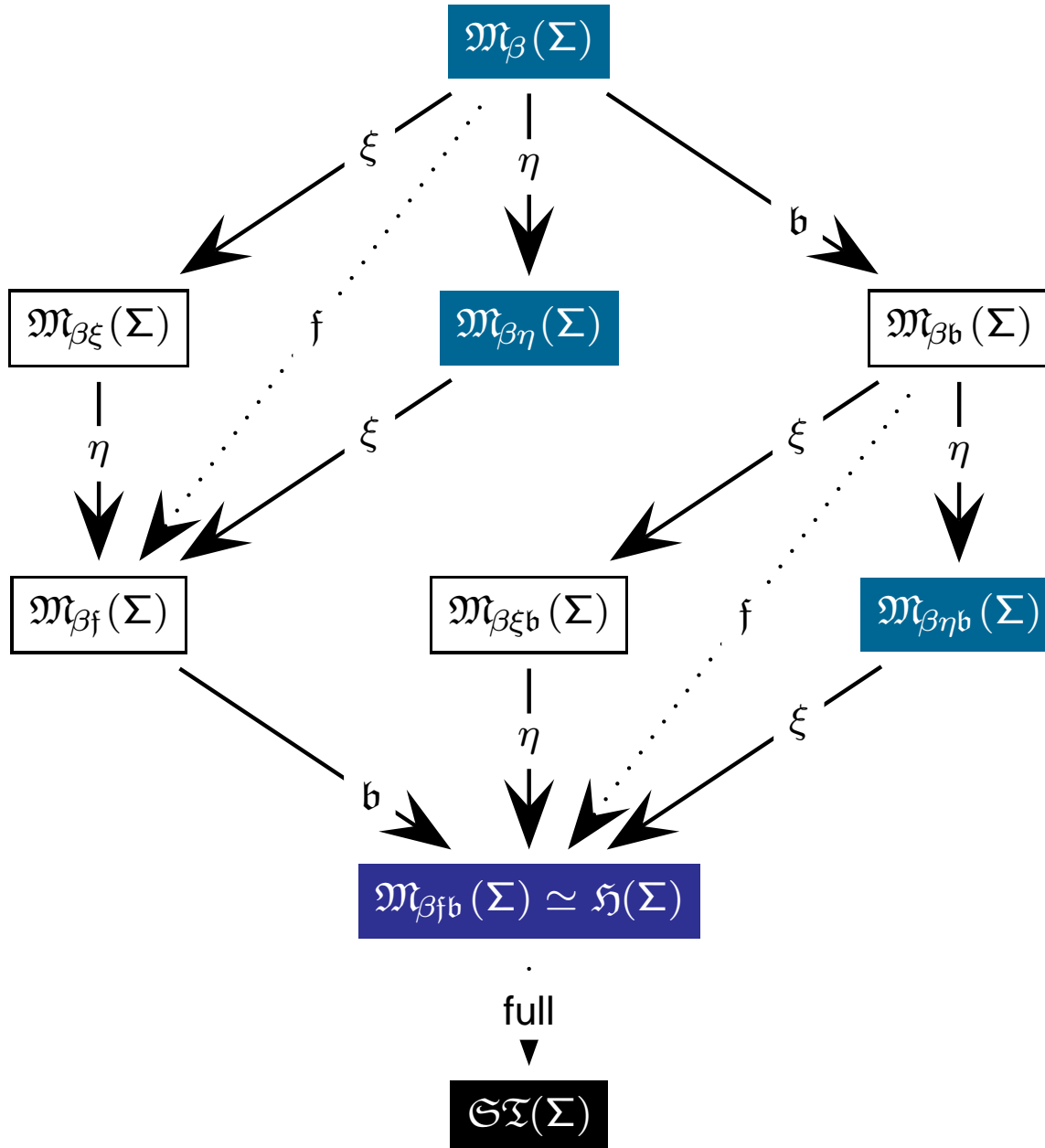
Semantics: HOL-CUBE



Models without η

$$\mathcal{E}_\varphi(A) = \mathcal{E}_\varphi(A \downarrow_{\beta\eta})$$

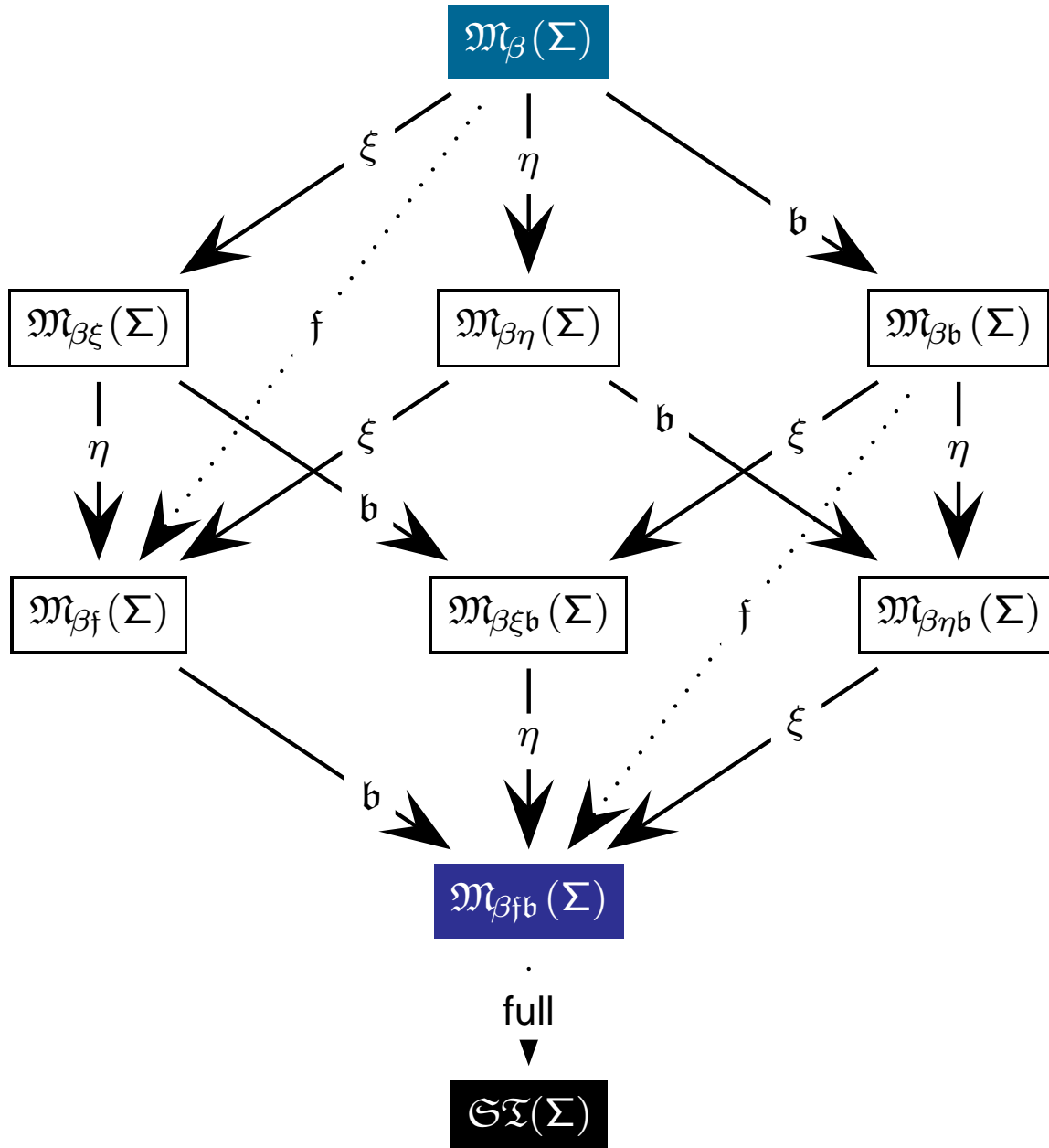
Semantics: HOL-CUBE



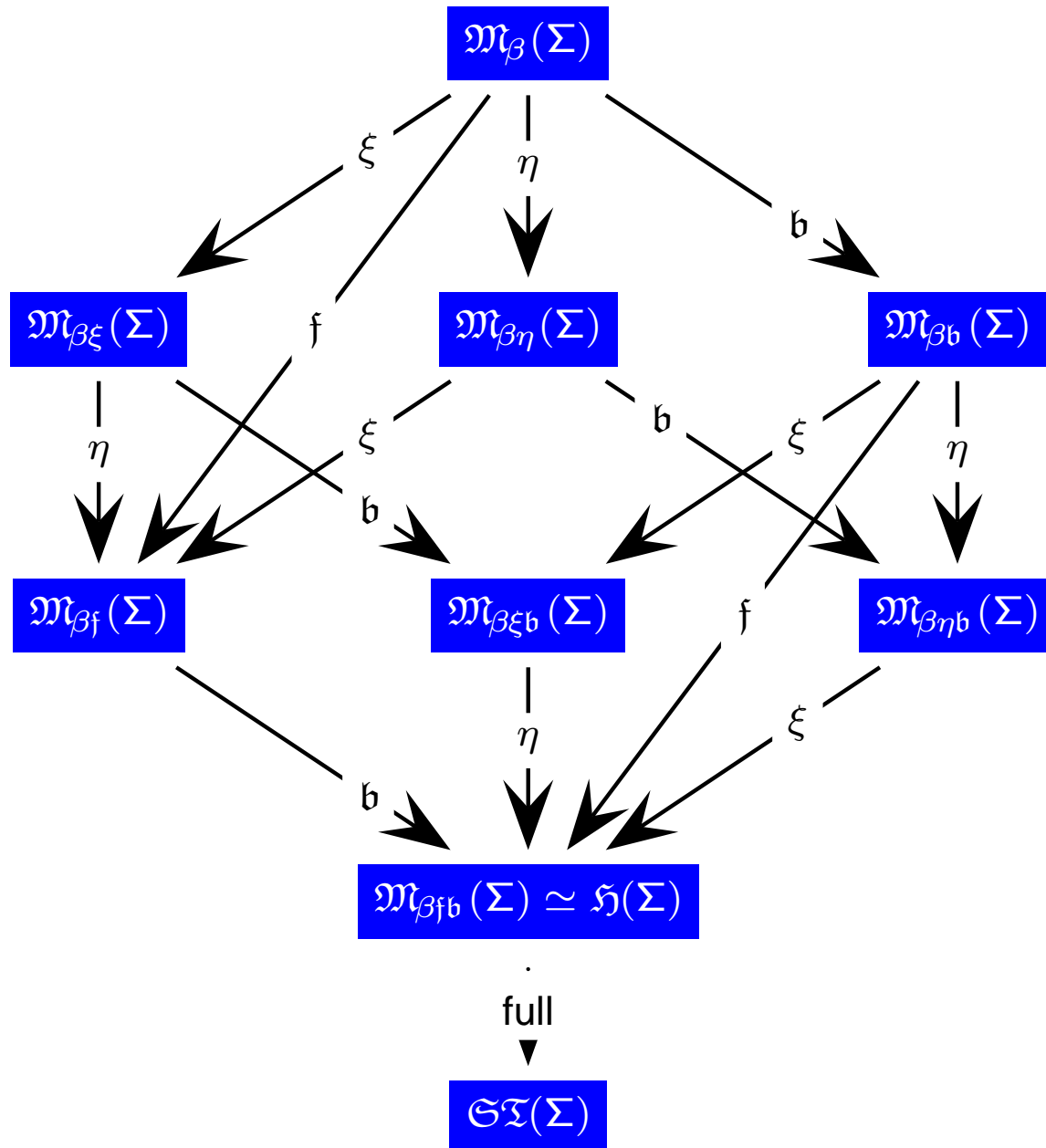
Models without ξ

$$\mathcal{E}_\varphi(\lambda X_\alpha.M_\beta) = \mathcal{E}_\varphi(\lambda X_\alpha.N_\beta) \text{ iff } \mathcal{E}_{\varphi,[a/X]}(M) = \mathcal{E}_{\varphi,[a/X]}(N) \ (\forall a \in \mathcal{D}_\alpha)$$

Semantics: HOL-CUBE



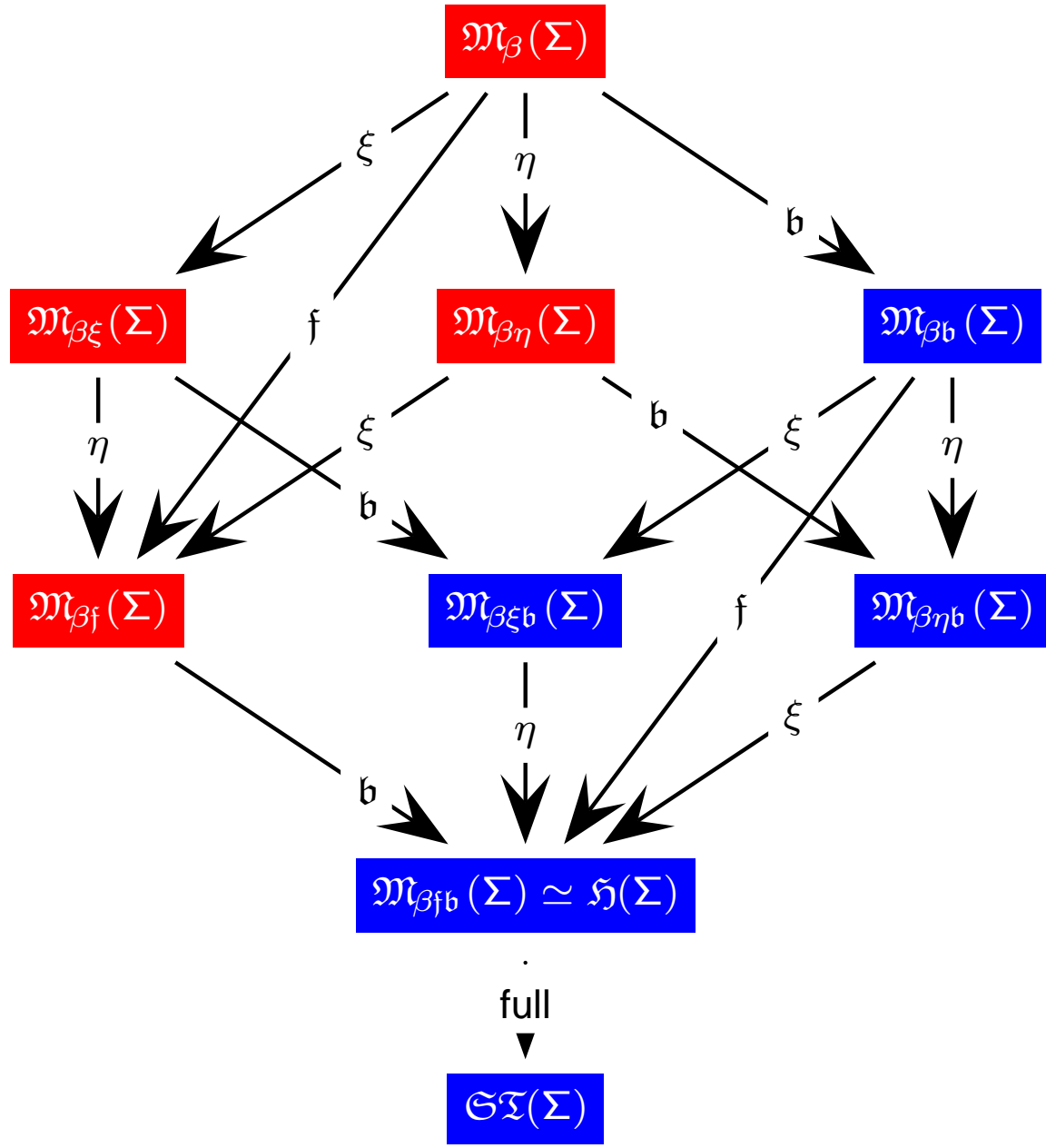
HOL Example Problems



■ $\forall X.\forall Y.X \vee Y \Leftrightarrow Y \vee X$

valid for all model classes

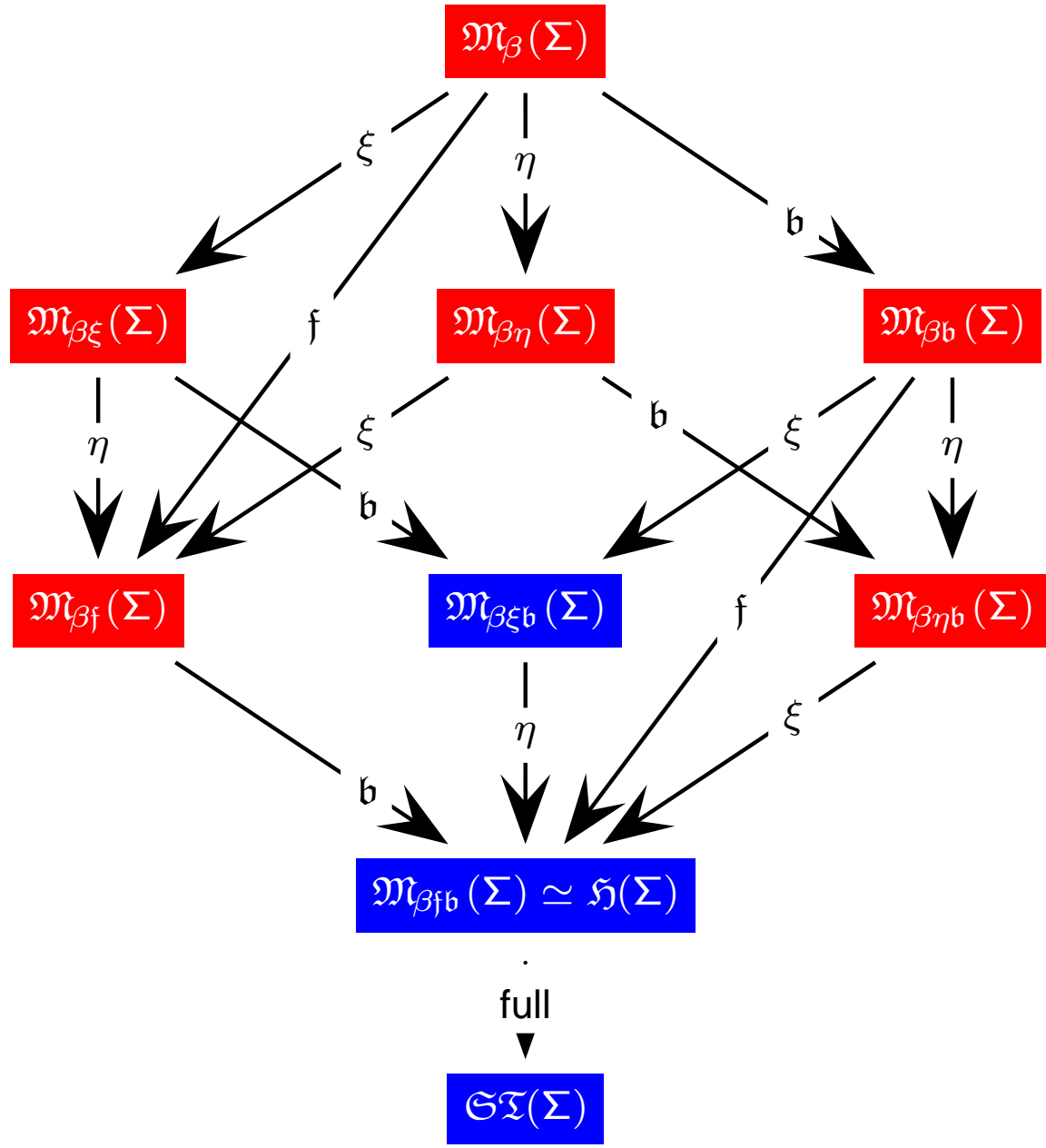
HOL Example Problems



- $\forall X.\forall Y.X \vee Y \Leftrightarrow Y \vee X$
- $\forall X.\forall Y.X \vee Y \doteq Y \vee X$

validity requires b

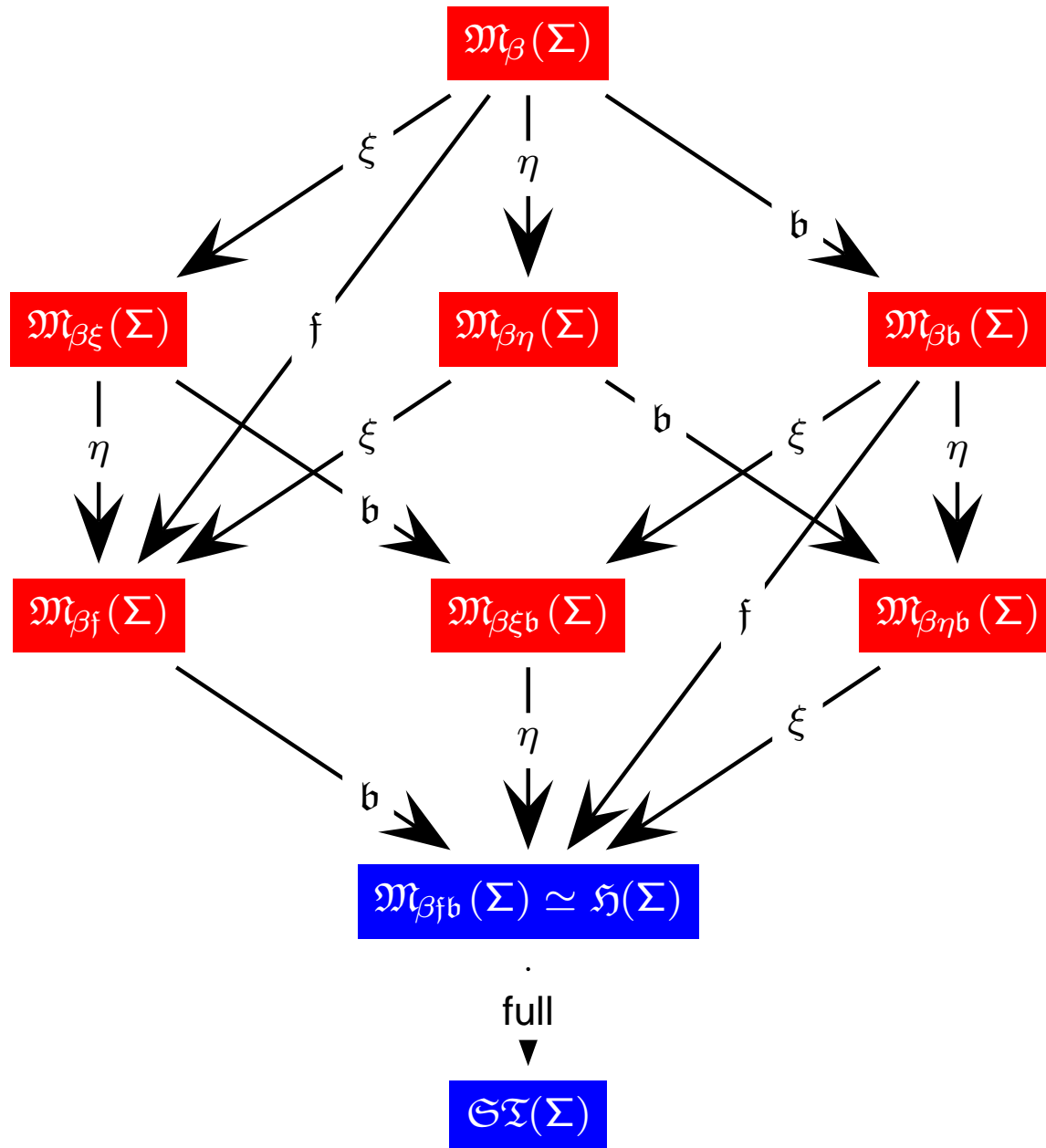
HOL Example Problems



- $\forall X.\forall Y.X \vee Y \Leftrightarrow Y \vee X$
- $\forall X.\forall Y.X \vee Y \doteq Y \vee X$
- $\lambda X.\lambda Y.X \vee Y \doteq \lambda X.\lambda Y.Y \vee X$

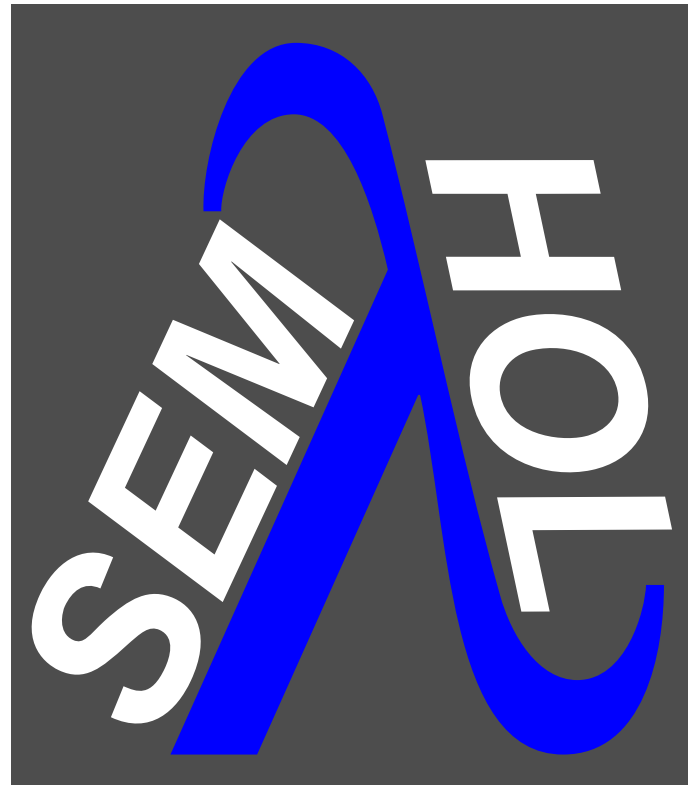
validity requires b and xi

HOL Example Problems



- $\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$
- $\forall X. \forall Y. X \vee Y \doteq Y \vee X$
- $\lambda X. \lambda Y. X \vee Y \doteq \lambda X. \lambda Y. Y \vee X$
- $\vee \doteq \lambda X. \lambda Y. Y \vee X$

validity requires b and f



Defined Logical Connectives
in Σ -Models

Defined Logical Connectives



Lemma: (Truth and Falsity in Σ -Models)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model and φ an assignment.

Let $\mathbf{T}_o := \forall P_o. P \vee \neg P$ and $\mathbf{F}_o := \neg \mathbf{T}_o$.

Then $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\mathbf{F}_o)) = \mathbf{F}$.

Defined Logical Connectives



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Proof: $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \mathbf{T}$

Defined Logical Connectives



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Let $\mathbf{T}_o := \forall P_o. P \vee \neg P$ and $\mathbf{F}_o := \neg \mathbf{T}_o$.

Then $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\mathbf{F}_o)) = \mathbf{F}$.

Proof: $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \mathbf{T}$

► iff $v(\mathcal{E}_{\varphi[p/P]}(P \vee \neg P)) = \mathbf{T}$ for all $p \in \mathcal{D}_o$

Defined Logical Connectives



Lemma: (Truth and Falsity in Σ -Models)

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Let $\mathbf{T}_o := \forall P_o. P \vee \neg P$ and $\mathbf{F}_o := \neg \mathbf{T}_o$.

Then $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\mathbf{F}_o)) = \mathbf{F}$.

Proof: $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \mathbf{T}$

- ▶ iff $v(\mathcal{E}_{\varphi[p/P]}(P \vee \neg P)) = \mathbf{T}$ for all $p \in \mathcal{D}_o$
- ▶ This is equivalent to $v(\mathcal{E}_{\varphi[p/P]}(P)) = \mathbf{T}$ or $v(\mathcal{E}_{\varphi[p/P]}(P)) = \mathbf{F}$.

Defined Logical Connectives



Lemma: (Truth and Falsity in Σ -Models)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model and φ an assignment.

Let $\mathbf{T}_o := \forall P_o. P \vee \neg P$ and $\mathbf{F}_o := \neg \mathbf{T}_o$.

Then $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\mathbf{F}_o)) = \mathbf{F}$.

Proof: $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \mathbf{T}$

- ▶ iff $v(\mathcal{E}_{\varphi[p/P]}(P \vee \neg P)) = \mathbf{T}$ for all $p \in \mathcal{D}_o$
- ▶ This is equivalent to $v(\mathcal{E}_{\varphi[p/P]}(P)) = \mathbf{T}$ or $v(\mathcal{E}_{\varphi[p/P]}(P)) = \mathbf{F}$.
- ▶ This is equivalent to $v(\varphi[p/P](P)) = \mathbf{T}$ or $v(\varphi[p/P](P)) = \mathbf{F}$.

Defined Logical Connectives



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Let $\mathbf{T}_o := \forall P_o. P \vee \neg P$ and $\mathbf{F}_o := \neg \mathbf{T}_o$.

Then $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\mathbf{F}_o)) = \mathbf{F}$.

Proof: $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \mathbf{T}$

- ▶ iff $v(\mathcal{E}_{\varphi[p/P]}(P \vee \neg P)) = \mathbf{T}$ for all $p \in \mathcal{D}_o$
- ▶ This is equivalent to $v(\mathcal{E}_{\varphi[p/P]}(P)) = \mathbf{T}$ or $v(\mathcal{E}_{\varphi[p/P]}(P)) = \mathbf{F}$.
- ▶ This is equivalent to $v(\varphi[p/P](P)) = \mathbf{T}$ or $v(\varphi[p/P](P)) = \mathbf{F}$.
- ▶ Since v maps into $\{\mathbf{T}, \mathbf{F}\}$ this must be true.

Defined Logical Connectives



Rem.: ($|\mathcal{D}_o| \geq 2$ and v surjective)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model. By the previous Lemma, \mathcal{D}_o must have at least the two elements $\mathcal{E}_\varphi(\mathbf{T}_o)$ and $\mathcal{E}_\varphi(\mathbf{F}_o)$, and v must be surjective.

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in wff_o(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Defined Logical Connectives



Lemma: (Equivalence)

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$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof:

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Lemma: (Equivalence)

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$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof: Suppose $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$.

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in wff_o(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof: Suppose $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$.

► This implies $v(\mathcal{E}_\varphi(\neg(\neg(\neg\mathbf{A} \vee \mathbf{B}) \vee \neg(\neg\mathbf{B} \vee \mathbf{A})))) = \mathbf{T}$

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in \text{wff}_0(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof: Suppose $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$.

- ▶ This implies $v(\mathcal{E}_\varphi(\neg(\neg(\neg\mathbf{A} \vee \mathbf{B}) \vee \neg(\neg\mathbf{B} \vee \mathbf{A})))) = \mathbf{T}$
- ▶ This implies $v(\mathcal{E}_\varphi(\neg\mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\neg\mathbf{B} \vee \mathbf{A})) = \mathbf{T}$.

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in \text{wff}_0(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof: Suppose $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$.

- ▶ This implies $v(\mathcal{E}_\varphi(\neg(\neg(\neg\mathbf{A} \vee \mathbf{B}) \vee \neg(\neg\mathbf{B} \vee \mathbf{A})))) = \mathbf{T}$
- ▶ This implies $v(\mathcal{E}_\varphi(\neg\mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\neg\mathbf{B} \vee \mathbf{A})) = \mathbf{T}$.
- ▶ If $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T}$, then $v(\mathcal{E}_\varphi(\neg\mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ implies $v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$, so $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T} = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in \text{wff}_0(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof: Suppose $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$.

- ▶ This implies $v(\mathcal{E}_\varphi(\neg(\neg(\neg\mathbf{A} \vee \mathbf{B}) \vee \neg(\neg\mathbf{B} \vee \mathbf{A})))) = \mathbf{T}$
- ▶ This implies $v(\mathcal{E}_\varphi(\neg\mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\neg\mathbf{B} \vee \mathbf{A})) = \mathbf{T}$.
- ▶ If $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T}$, then $v(\mathcal{E}_\varphi(\neg\mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ implies $v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$, so $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T} = v(\mathcal{E}_\varphi(\mathbf{B}))$.
- ▶ If $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{F}$, then $v(\mathcal{E}_\varphi(\neg\mathbf{B} \vee \mathbf{A})) = \mathbf{T}$ implies $v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$, so $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{F} = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in \text{wff}_0(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof: Suppose $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$.

- ▶ This implies $v(\mathcal{E}_\varphi(\neg(\neg(\neg\mathbf{A} \vee \mathbf{B}) \vee \neg(\neg\mathbf{B} \vee \mathbf{A})))) = \mathbf{T}$
- ▶ This implies $v(\mathcal{E}_\varphi(\neg\mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\neg\mathbf{B} \vee \mathbf{A})) = \mathbf{T}$.
- ▶ If $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T}$, then $v(\mathcal{E}_\varphi(\neg\mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ implies $v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$, so $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T} = v(\mathcal{E}_\varphi(\mathbf{B}))$.
- ▶ If $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{F}$, then $v(\mathcal{E}_\varphi(\neg\mathbf{B} \vee \mathbf{A})) = \mathbf{T}$ implies $v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$, so $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{F} = v(\mathcal{E}_\varphi(\mathbf{B}))$.
- ▶ Since these are the only two possible values for $v(\mathcal{E}_\varphi(\mathbf{A}))$, we have $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in wff_o(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof:

Suppose $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in wff_o(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof:

Suppose $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

- ▶ Either $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$
or $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$.

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in wff_o(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof:

Suppose $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

- ▶ Either $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$
or $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$.
- ▶ An easy consideration of both cases verifies
 $v(\mathcal{E}_\varphi(\neg \mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\neg \mathbf{B} \vee \mathbf{A})) = \mathbf{T}$.

Defined Logical Connectives

Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in wff_o(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof:

Suppose $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

- ▶ Either $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$
or $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$.
- ▶ An easy consideration of both cases verifies
 $v(\mathcal{E}_\varphi(\neg \mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\neg \mathbf{B} \vee \mathbf{A})) = \mathbf{T}$.
- ▶ Hence, $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$.

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in wff_o(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof:

Suppose $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

- ▶ Either $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$
or $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$.
- ▶ An easy consideration of both cases verifies
 $v(\mathcal{E}_\varphi(\neg \mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\neg \mathbf{B} \vee \mathbf{A})) = \mathbf{T}$.
- ▶ Hence, $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$.

q.e.d.

Defined Logical Connectives



Lemma: (Equivalence)

Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ an assignment into \mathcal{M} , and $\mathbf{A}, \mathbf{B} \in wff_o(\Sigma)$.

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ iff $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

Proof:

Suppose $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$.

- ▶ Either $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$
or $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$.
- ▶ An easy consideration of both cases verifies
 $v(\mathcal{E}_\varphi(\neg \mathbf{A} \vee \mathbf{B})) = \mathbf{T}$ and $v(\mathcal{E}_\varphi(\neg \mathbf{B} \vee \mathbf{A})) = \mathbf{T}$.
- ▶ Hence, $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$.

q.e.d.

Extensionality for Leibniz Equality



Def.: (Extensionality for Leibniz Equality)

Extensionality for Leibniz Equality



Def.: (Extensionality for Leibniz Equality)

We call a formula of the form

$$\text{EXT}_{\dot{=}}^{\alpha \rightarrow \beta} := \forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. (\forall X_{\alpha}. FX \dot{=}^{\beta} GX) \Rightarrow F \dot{=}^{\alpha \rightarrow \beta} G$$

an axiom of (strong) functional extensionality for Leibniz equality.

Extensionality for Leibniz Equality



Def.: (Extensionality for Leibniz Equality)

We call a formula of the form

$$\text{EXT}_{\dot{=}}^{\alpha \rightarrow \beta} := \forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. (\forall X_{\alpha}. FX \dot{=}^{\beta} GX) \Rightarrow F \dot{=}^{\alpha \rightarrow \beta} G$$

an **axiom of (strong) functional extensionality for Leibniz equality**.

We refer to the set

$$\text{EXT}_{\dot{=}}^{\rightarrow} := \{ \text{EXT}_{\dot{=}}^{\alpha \rightarrow \beta} \mid \alpha, \beta \in \mathcal{T} \}$$

as the **axioms of (strong) functional extensionality for Leibniz equality**.

Extensionality for Leibniz Equality



Def.: (Extensionality for Leibniz Equality)

Extensionality for Leibniz Equality



Def.: (Extensionality for Leibniz Equality)

We call the formula

$$\text{EXT}_{\dot{=}}^{\circ} \quad := \quad \forall A_o. \forall B_o. (A \Leftrightarrow B) \Rightarrow A \dot{=}^{\circ} B$$

the **axiom of Boolean extensionality**.

Extensionality for Leibniz Equality



Def.: (Extensionality for Leibniz Equality)

We call the formula

$$\text{EXT}_{\underline{=}}^{\circ} \quad := \quad \forall A_o. \forall B_o. (A \Leftrightarrow B) \Rightarrow A \dot{=}^{\circ} B$$

the **axiom of Boolean extensionality**.

We call the set $\text{EXT}_{\underline{=}}^{\rightarrow} \cup \{\text{EXT}_{\underline{=}}^{\circ}\}$ the **axioms of (strong) extensionality for Leibniz equality**.

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \mathbf{T}$.

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof:

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof: Let φ be any assignment into \mathcal{M} .

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof: Let φ be any assignment into \mathcal{M} .

- For the first part, suppose $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Extensionality and Leibniz Equality

Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof:

Let φ be any assignment into \mathcal{M} .

- ▶ For the first part, suppose $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.
- ▶ Given $r \in \mathcal{D}_{\alpha \rightarrow o}$, we have either
 $v(r@ \mathcal{E}_\varphi(\mathbf{A})) = v(r@ \mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$ or
 $v(r@ \mathcal{E}_\varphi(\mathbf{B})) = v(r@ \mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T}$.

Extensionality and Leibniz Equality

Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof:

Let φ be any assignment into \mathcal{M} .

- ▶ For the first part, suppose $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.
- ▶ Given $r \in \mathcal{D}_{\alpha \rightarrow o}$, we have either
 $v(r @ \mathcal{E}_\varphi(\mathbf{A})) = v(r @ \mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$ or
 $v(r @ \mathcal{E}_\varphi(\mathbf{B})) = v(r @ \mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T}$.
- ▶ In either case, for any variable $P_{\alpha \rightarrow o}$ not in $\mathbf{Free}(\mathbf{A}) \cup \mathbf{Free}(\mathbf{B})$, we have $v(\mathcal{E}_{\varphi, [r/P]}(\neg(P\mathbf{A}) \vee P\mathbf{B})) = \mathbf{T}$.

Extensionality and Leibniz Equality

Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof:

Let φ be any assignment into \mathcal{M} .

- ▶ For the first part, suppose $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.
- ▶ Given $r \in \mathcal{D}_{\alpha \rightarrow o}$, we have either
 $v(r@ \mathcal{E}_\varphi(\mathbf{A})) = v(r@ \mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$ or
 $v(r@ \mathcal{E}_\varphi(\mathbf{B})) = v(r@ \mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T}$.
- ▶ In either case, for any variable $P_{\alpha \rightarrow o}$ not in $\mathbf{Free}(\mathbf{A}) \cup \mathbf{Free}(\mathbf{B})$, we have $v(\mathcal{E}_{\varphi, [r/P]}(\neg(P\mathbf{A}) \vee P\mathbf{B})) = \mathbf{T}$.
- ▶ So, we have $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof: To show the second part, suppose $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
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Proof:

To show the second part, suppose $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.

- By property \mathbf{q} , there is some $q^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$ such that for $a, b \in \mathcal{D}_\alpha$ we have $v(q^\alpha @ a @ b) = \mathbf{T}$ iff $a = b$.

Extensionality and Leibniz Equality

Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof:

To show the second part, suppose $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.

- ▶ By property \mathbf{q} , there is some $q^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$ such that for $a, b \in \mathcal{D}_\alpha$ we have $v(q^\alpha @ a @ b) = \mathbf{T}$ iff $a = b$.
- ▶ Let $r = q^\alpha @ \mathcal{E}_\varphi(\mathbf{A})$.

Extensionality and Leibniz Equality

Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof:

To show the second part, suppose $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.

- ▶ By property \mathbf{q} , there is some $q^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$ such that for $a, b \in \mathcal{D}_\alpha$ we have $v(q^\alpha @ a @ b) = \mathbf{T}$ iff $a = b$.
- ▶ Let $r = q^\alpha @ \mathcal{E}_\varphi(\mathbf{A})$.
- ▶ From $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$ we get $v(\mathcal{E}_{\varphi, [r/P]}(\neg P \mathbf{A} \vee P \mathbf{B})) = \mathbf{T}$ (where $P_{\alpha \rightarrow o} \notin \mathbf{Free}(\mathbf{A}) \cup \mathbf{Free}(\mathbf{B})$).

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof:

To show the second part, suppose $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.

- ▶ By property \mathbf{q} , there is some $q^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$ such that for $a, b \in \mathcal{D}_\alpha$ we have $v(q^\alpha @ a @ b) = \mathbf{T}$ iff $a = b$.
- ▶ Let $r = q^\alpha @ \mathcal{E}_\varphi(\mathbf{A})$.
- ▶ From $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$ we get $v(\mathcal{E}_{\varphi, [r/P]}(\neg P \mathbf{A} \vee P \mathbf{B})) = \mathbf{T}$ (where $P_{\alpha \rightarrow o} \notin \mathbf{Free}(\mathbf{A}) \cup \mathbf{Free}(\mathbf{B})$).
- ▶ Since $v(\mathcal{E}_{\varphi, [r/P]}(P \mathbf{A})) = v(q^\alpha @ \mathcal{E}_\varphi(\mathbf{A}) @ \mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T}$, we must have $v(\mathcal{E}_{\varphi, [r/P]}(P \mathbf{B})) = \mathbf{T}$.

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof:

To show the second part, suppose $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.

- ▶ By property \mathbf{q} , there is some $q^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$ such that for $a, b \in \mathcal{D}_\alpha$ we have $v(q^\alpha @ a @ b) = \mathbf{T}$ iff $a = b$.
- ▶ Let $r = q^\alpha @ \mathcal{E}_\varphi(\mathbf{A})$.
- ▶ From $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$ we get $v(\mathcal{E}_{\varphi, [r/P]}(\neg P \mathbf{A} \vee P \mathbf{B})) = \mathbf{T}$ (where $P_{\alpha \rightarrow o} \notin \mathbf{Free}(\mathbf{A}) \cup \mathbf{Free}(\mathbf{B})$).
- ▶ Since $v(\mathcal{E}_{\varphi, [r/P]}(P \mathbf{A})) = v(q^\alpha @ \mathcal{E}_\varphi(\mathbf{A}) @ \mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T}$, we must have $v(\mathcal{E}_{\varphi, [r/P]}(P \mathbf{B})) = \mathbf{T}$.
- ▶ That is, $v(q^\alpha @ \mathcal{E}_\varphi(\mathbf{A}) @ \mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$, hence $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in Σ -models) Let $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model, φ be an assignment, $\alpha \in \mathcal{T}$, and $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$.

1. If $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$, then $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.
2. If \mathcal{M} satisf. \mathbf{q} and $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$, then $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

Proof:

To show the second part, suppose $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$.

- ▶ By property \mathbf{q} , there is some $q^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$ such that for $a, b \in \mathcal{D}_\alpha$ we have $v(q^\alpha @ a @ b) = \mathbf{T}$ iff $a = b$.
- ▶ Let $r = q^\alpha @ \mathcal{E}_\varphi(\mathbf{A})$.
- ▶ From $v(\mathcal{E}_\varphi(\mathbf{A} \dot{=}^\alpha \mathbf{B})) = \mathbf{T}$ we get $v(\mathcal{E}_{\varphi, [r/P]}(\neg P \mathbf{A} \vee P \mathbf{B})) = \mathbf{T}$ (where $P_{\alpha \rightarrow o} \notin \mathbf{Free}(\mathbf{A}) \cup \mathbf{Free}(\mathbf{B})$).
- ▶ Since $v(\mathcal{E}_{\varphi, [r/P]}(P \mathbf{A})) = v(q^\alpha @ \mathcal{E}_\varphi(\mathbf{A}) @ \mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T}$, we must have $v(\mathcal{E}_{\varphi, [r/P]}(P \mathbf{B})) = \mathbf{T}$.
- ▶ That is, $v(q^\alpha @ \mathcal{E}_\varphi(\mathbf{A}) @ \mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$, hence $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$.

q.e.d.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\underline{\cdot}}^{\rightarrow}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\underline{\cdot}}^{\rightarrow}$.
2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\underline{\cdot}}^{\circ}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{\cdot}}}^{\rightarrow}$.
2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{\cdot}}}^{\circ}$.
3. If \mathcal{M} satisfies q and f , then $\mathcal{M} \models \text{EXT}_{\underline{\underline{\cdot}}}^{\rightarrow}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{.}}}^{\rightarrow}$.
2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{.}}}^{\circ}$.
3. If \mathcal{M} satisfies q and f , then $\mathcal{M} \models \text{EXT}_{\underline{\underline{.}}}^{\rightarrow}$.
4. If \mathcal{M} satisfies b , then $\mathcal{M} \models \text{EXT}_{\underline{\underline{.}}}^{\circ}$.

Extensionality and Leibniz Equality

Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\equiv}^{\rightarrow}$.
2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\equiv}^{\circ}$.
3. If \mathcal{M} satisfies q and f , then $\mathcal{M} \models \text{EXT}_{\equiv}^{\rightarrow}$.
4. If \mathcal{M} satisfies b , then $\mathcal{M} \models \text{EXT}_{\equiv}^{\circ}$.

in	$\mathfrak{M}_{\beta}(\Sigma), \mathfrak{M}_{\beta\eta}(\Sigma), \mathfrak{M}_{\beta\xi}(\Sigma)$		$\mathfrak{M}_{\beta f}(\Sigma)$		$\mathfrak{M}_{\beta b}(\Sigma), \mathfrak{M}_{\beta\eta b}(\Sigma), \mathfrak{M}_{\beta\xi b}(\Sigma)$		$\mathfrak{M}_{\beta fb}(\Sigma)$	
formula	valid?	by	valid?	by	valid?	by	valid?	by
$\text{EXT}_{\equiv}^{\rightarrow}$	—	1.	+	3.	—	1.	+	3.
$\text{EXT}_{\equiv}^{\circ}$	—	2.	—	2.	+	4.	+	4.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\underline{\cdot}}^{\rightarrow}$.

Proof: Suppose \mathcal{M} satisfies property q but does not satisfy property f .

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\underline{\cdot}}^{\rightarrow}$.

Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property f .

- ▶ Then there must be types α and β and objects $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ such that $f \neq g$ but $f@a = g@a$ for every $a \in \mathcal{D}_{\alpha}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

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Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property f .

- ▶ Then there must be types α and β and objects $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ such that $f \neq g$ but $f@a = g@a$ for every $a \in \mathcal{D}_{\alpha}$.
- ▶ Let $F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta} \in \mathcal{V}_{\alpha \rightarrow \beta}$ be distinct variables, $X_{\alpha} \in \mathcal{V}_{\alpha}$, and φ be any assignment with $\varphi(F) = f$ and $\varphi(G) = g$.

Extensionality and Leibniz Equality

Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

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Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property f .

- ▶ Then there must be types α and β and objects $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ such that $f \neq g$ but $f@a = g@a$ for every $a \in \mathcal{D}_\alpha$.
- ▶ Let $F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta} \in \mathcal{V}_{\alpha \rightarrow \beta}$ be distinct variables, $X_\alpha \in \mathcal{V}_\alpha$, and φ be any assignment with $\varphi(F) = f$ and $\varphi(G) = g$.
- ▶ For any $a \in \mathcal{D}_\alpha$, $f@a = g@a$ implies $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$ implies $v(\mathcal{E}_{\varphi, [a/X]}(FX \doteq^\beta GX)) = \mathbf{T}$ by Lemma 'Leibniz Equality in Σ -models(1.)'.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{\cdot}}}$.

Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property f .

- ▶ Then there must be types α and β and objects $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ such that $f \neq g$ but $f@a = g@a$ for every $a \in \mathcal{D}_\alpha$.
- ▶ Let $F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta} \in \mathcal{V}_{\alpha \rightarrow \beta}$ be distinct variables, $X_\alpha \in \mathcal{V}_\alpha$, and φ be any assignment with $\varphi(F) = f$ and $\varphi(G) = g$.
- ▶ For any $a \in \mathcal{D}_\alpha$, $f@a = g@a$ implies $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$ implies $v(\mathcal{E}_{\varphi, [a/X]}(FX \dot{=}^\beta GX)) = \mathbf{T}$ by Lemma 'Leibniz Equality in Σ -models(1.)'.
- ▶ Hence, we have $v(\mathcal{E}_\varphi(\forall X.(FX \dot{=}^\beta GX))) = \mathbf{T}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\underline{\cdot}}^{\rightarrow}$.

Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property f .

- ▶ Then there must be types α and β and objects $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ such that $f \neq g$ but $f@a = g@a$ for every $a \in \mathcal{D}_{\alpha}$.
- ▶ Let $F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta} \in \mathcal{V}_{\alpha \rightarrow \beta}$ be distinct variables, $X_{\alpha} \in \mathcal{V}_{\alpha}$, and φ be any assignment with $\varphi(F) = f$ and $\varphi(G) = g$.
- ▶ For any $a \in \mathcal{D}_{\alpha}$, $f@a = g@a$ implies $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$ implies $v(\mathcal{E}_{\varphi, [a/X]}(FX \dot{=}^{\beta} GX)) = \mathbf{T}$ by Lemma 'Leibniz Equality in Σ -models(1.)'.
- ▶ Hence, we have $v(\mathcal{E}_{\varphi}(\forall X.(FX \dot{=}^{\beta} GX))) = \mathbf{T}$.
- ▶ On the other hand, since $f \neq g$ and \mathcal{M} satisfies property q , we have $v(\mathcal{E}_{\varphi}(F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{F}$ by contraposition of Lemma 'Leibniz Equality in Σ -models(2.)'.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\dot{=}}$.

Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property f .

- ▶ Then there must be types α and β and objects $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ such that $f \neq g$ but $f@a = g@a$ for every $a \in \mathcal{D}_{\alpha}$.
- ▶ Let $F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta} \in \mathcal{V}_{\alpha \rightarrow \beta}$ be distinct variables, $X_{\alpha} \in \mathcal{V}_{\alpha}$, and φ be any assignment with $\varphi(F) = f$ and $\varphi(G) = g$.
- ▶ For any $a \in \mathcal{D}_{\alpha}$, $f@a = g@a$ implies $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$ implies $v(\mathcal{E}_{\varphi, [a/X]}(FX \dot{=}^{\beta} GX)) = \mathbf{T}$ by Lemma 'Leibniz Equality in Σ -models(1.)'.
- ▶ Hence, we have $v(\mathcal{E}_{\varphi}(\forall X.(FX \dot{=}^{\beta} GX))) = \mathbf{T}$.
- ▶ On the other hand, since $f \neq g$ and \mathcal{M} satisfies property q , we have $v(\mathcal{E}_{\varphi}(F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{F}$ by contraposition of Lemma 'Leibniz Equality in Σ -models(2.)'.
- ▶ This implies $\mathcal{M} \not\models \text{EXT}_{\dot{=}}^{\alpha \rightarrow \beta}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{\cdot}}}^{\circ}$.

Proof: Suppose \mathcal{M} satisfies property q but does not satisfy property b .

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{\cdot}}}^{\circ}$.

Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property b .

- ▶ Then, there must be at least three elements in \mathcal{D}_o . Since v maps into a two element set, there must be two distinct elements $a, b \in \mathcal{D}_o$ such that $v(a) = v(b)$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{}}}$.

Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property b .

- ▶ Then, there must be at least three elements in \mathcal{D}_o . Since v maps into a two element set, there must be two distinct elements $a, b \in \mathcal{D}_o$ such that $v(a) = v(b)$.
- ▶ Let $A_o, B_o \in \mathcal{V}_o$ be distinct variables and φ be any assignment into \mathcal{M} with $\varphi(A) = a$ and $\varphi(B) = b$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{}}}$.

Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property b .

- ▶ Then, there must be at least three elements in \mathcal{D}_o . Since v maps into a two element set, there must be two distinct elements $a, b \in \mathcal{D}_o$ such that $v(a) = v(b)$.
- ▶ Let $A_o, B_o \in \mathcal{V}_o$ be distinct variables and φ be any assignment into \mathcal{M} with $\varphi(A) = a$ and $\varphi(B) = b$.
- ▶ By Lemma 'Equivalence', we know $v(\mathcal{E}_{\varphi}(A \Leftrightarrow B)) = \mathbf{T}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}^\circ$.

Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property b .

- ▶ Then, there must be at least three elements in \mathcal{D}_o . Since v maps into a two element set, there must be two distinct elements $a, b \in \mathcal{D}_o$ such that $v(a) = v(b)$.
- ▶ Let $A_o, B_o \in \mathcal{V}_o$ be distinct variables and φ be any assignment into \mathcal{M} with $\varphi(A) = a$ and $\varphi(B) = b$.
- ▶ By Lemma 'Equivalence', we know $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = \mathbf{T}$.
- ▶ Since $a \neq b$ and property q holds, by contraposition of Lemma 'Leibniz Equality in Σ -models(2.)', we know $v(\mathcal{E}_\varphi(A \doteq^\circ B)) = \mathbf{F}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{.}}}^{\circ}$.

Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property b .

- ▶ Then, there must be at least three elements in \mathcal{D}_o . Since v maps into a two element set, there must be two distinct elements $a, b \in \mathcal{D}_o$ such that $v(a) = v(b)$.
- ▶ Let $A_o, B_o \in \mathcal{V}_o$ be distinct variables and φ be any assignment into \mathcal{M} with $\varphi(A) = a$ and $\varphi(B) = b$.
- ▶ By Lemma 'Equivalence', we know $v(\mathcal{E}_{\varphi}(A \Leftrightarrow B)) = \mathbf{T}$.
- ▶ Since $a \neq b$ and property q holds, by contraposition of Lemma 'Leibniz Equality in Σ -models(2.)', we know $v(\mathcal{E}_{\varphi}(A \doteq^{\circ} B)) = \mathbf{F}$.
- ▶ It follows that $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{.}}}^{\circ}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{}}}$.

Proof:

Suppose \mathcal{M} satisfies property q but does not satisfy property b .

- ▶ Then, there must be at least three elements in \mathcal{D}_o . Since v maps into a two element set, there must be two distinct elements $a, b \in \mathcal{D}_o$ such that $v(a) = v(b)$.
- ▶ Let $A_o, B_o \in \mathcal{V}_o$ be distinct variables and φ be any assignment into \mathcal{M} with $\varphi(A) = a$ and $\varphi(B) = b$.
- ▶ By Lemma 'Equivalence', we know $v(\mathcal{E}_{\varphi}(A \Leftrightarrow B)) = \mathbf{T}$.
- ▶ Since $a \neq b$ and property q holds, by contraposition of Lemma 'Leibniz Equality in Σ -models(2.)', we know $v(\mathcal{E}_{\varphi}(A \doteq^{\circ} B)) = \mathbf{F}$.
- ▶ It follows that $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{}}}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

3. If \mathcal{M} satisfies q and f , then $\mathcal{M} \models \text{EXT}_{\underline{\underline{\rightarrow}}}$.

Proof: Let φ be any assignment into \mathcal{M} .

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

3. If \mathcal{M} satisfies q and f , then $\mathcal{M} \models \text{EXT}_{\underline{\underline{\rightarrow}}}$.

Proof:

Let φ be any assignment into \mathcal{M} .

- From $v(\mathcal{E}_{\varphi}(\forall X_{\alpha}. FX \doteq GX)) = \mathbf{T}$ we know $v(\mathcal{E}_{\varphi, [a/X]}(FX \doteq GX)) = \mathbf{T}$ holds for all $a \in \mathcal{D}_{\alpha}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

3. If \mathcal{M} satisfies \mathfrak{q} and \mathfrak{f} , then $\mathcal{M} \models \text{EXT}_{\underline{\underline{\rightarrow}}}$.

Proof:

Let φ be any assignment into \mathcal{M} .

- ▶ From $v(\mathcal{E}_{\varphi}(\forall X_{\alpha}. FX \dot{=} GX)) = \mathbf{T}$ we know $v(\mathcal{E}_{\varphi, [a/X]}(FX \dot{=} GX)) = \mathbf{T}$ holds for all $a \in \mathcal{D}_{\alpha}$.
- ▶ By Lemma 'Leibniz Equality in Σ -models(2.)' we can conclude that $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$ for all $a \in \mathcal{D}_{\alpha}$ and hence $\mathcal{E}_{\varphi, [a/X]}(F)@_{\mathcal{E}_{\varphi, [a/X]}}(X) = \mathcal{E}_{\varphi, [a/X]}(G)@_{\mathcal{E}_{\varphi, [a/X]}}(X)$ for all $a \in \mathcal{D}_{\alpha}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

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- ▶ By Lemma 'Leibniz Equality in Σ -models(2.)' we can conclude that $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$ for all $a \in \mathcal{D}_{\alpha}$ and hence $\mathcal{E}_{\varphi, [a/X]}(F)@_{\mathcal{E}_{\varphi, [a/X]}(X)} = \mathcal{E}_{\varphi, [a/X]}(G)@_{\mathcal{E}_{\varphi, [a/X]}(X)}$ for all $a \in \mathcal{D}_{\alpha}$.
- ▶ That is, $\mathcal{E}_{\varphi, [a/X]}(F)@a = \mathcal{E}_{\varphi, [a/X]}(G)@a$ for all $a \in \mathcal{D}_{\alpha}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

3. If \mathcal{M} satisfies \mathfrak{q} and \mathfrak{f} , then $\mathcal{M} \models \text{EXT}_{\underline{\rightarrow}}$.

Proof:

Let φ be any assignment into \mathcal{M} .

- ▶ From $v(\mathcal{E}_{\varphi}(\forall X_{\alpha}. FX \doteq GX)) = \mathbf{T}$ we know $v(\mathcal{E}_{\varphi, [a/X]}(FX \doteq GX)) = \mathbf{T}$ holds for all $a \in \mathcal{D}_{\alpha}$.
- ▶ By Lemma 'Leibniz Equality in Σ -models(2.)' we can conclude that $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$ for all $a \in \mathcal{D}_{\alpha}$ and hence $\mathcal{E}_{\varphi, [a/X]}(F)@_{\mathcal{E}_{\varphi, [a/X]}(X)} = \mathcal{E}_{\varphi, [a/X]}(G)@_{\mathcal{E}_{\varphi, [a/X]}(X)}$ for all $a \in \mathcal{D}_{\alpha}$.
- ▶ That is, $\mathcal{E}_{\varphi, [a/X]}(F)@a = \mathcal{E}_{\varphi, [a/X]}(G)@a$ for all $a \in \mathcal{D}_{\alpha}$.
- ▶ Since X does not occur free in F or G , by property \mathfrak{f} and Definition of Σ -evaluations we obtain $\mathcal{E}_{\varphi}(F) = \mathcal{E}_{\varphi}(G)$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

3. If \mathcal{M} satisfies \mathfrak{q} and \mathfrak{f} , then $\mathcal{M} \models \text{EXT}_{\underline{\rightarrow}}$.

Proof:

Let φ be any assignment into \mathcal{M} .

- ▶ From $v(\mathcal{E}_{\varphi}(\forall X_{\alpha}. FX \dot{=} GX)) = \mathbf{T}$ we know $v(\mathcal{E}_{\varphi, [a/X]}(FX \dot{=} GX)) = \mathbf{T}$ holds for all $a \in \mathcal{D}_{\alpha}$.
- ▶ By Lemma 'Leibniz Equality in Σ -models(2.)' we can conclude that $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$ for all $a \in \mathcal{D}_{\alpha}$ and hence $\mathcal{E}_{\varphi, [a/X]}(F)@_{\mathcal{E}_{\varphi, [a/X]}(X)} = \mathcal{E}_{\varphi, [a/X]}(G)@_{\mathcal{E}_{\varphi, [a/X]}(X)}$ for all $a \in \mathcal{D}_{\alpha}$.
- ▶ That is, $\mathcal{E}_{\varphi, [a/X]}(F)@a = \mathcal{E}_{\varphi, [a/X]}(G)@a$ for all $a \in \mathcal{D}_{\alpha}$.
- ▶ Since X does not occur free in F or G , by property \mathfrak{f} and Definition of Σ -evaluations we obtain $\mathcal{E}_{\varphi}(F) = \mathcal{E}_{\varphi}(G)$.
- ▶ This finally gives us that $v(\mathcal{E}_{\varphi}(F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{T}$ with Lemma 'Leibniz Equality in Σ -models(1.)'.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

3. If \mathcal{M} satisfies \mathfrak{q} and \mathfrak{f} , then $\mathcal{M} \models \text{EXT}_{\underline{\underline{\rightarrow}}}$.

Proof:

Let φ be any assignment into \mathcal{M} .

- ▶ From $v(\mathcal{E}_{\varphi}(\forall X_{\alpha}. \text{FX} \dot{=} \text{GX})) = \mathbf{T}$ we know $v(\mathcal{E}_{\varphi, [a/X]}(\text{FX} \dot{=} \text{GX})) = \mathbf{T}$ holds for all $a \in \mathcal{D}_{\alpha}$.
- ▶ By Lemma 'Leibniz Equality in Σ -models(2.)' we can conclude that $\mathcal{E}_{\varphi, [a/X]}(\text{FX}) = \mathcal{E}_{\varphi, [a/X]}(\text{GX})$ for all $a \in \mathcal{D}_{\alpha}$ and hence $\mathcal{E}_{\varphi, [a/X]}(\text{F})@_{\mathcal{E}_{\varphi, [a/X]}(\text{X})} = \mathcal{E}_{\varphi, [a/X]}(\text{G})@_{\mathcal{E}_{\varphi, [a/X]}(\text{X})}$ for all $a \in \mathcal{D}_{\alpha}$.
- ▶ That is, $\mathcal{E}_{\varphi, [a/X]}(\text{F})@a = \mathcal{E}_{\varphi, [a/X]}(\text{G})@a$ for all $a \in \mathcal{D}_{\alpha}$.
- ▶ Since X does not occur free in F or G , by property \mathfrak{f} and Definition of Σ -evaluations we obtain $\mathcal{E}_{\varphi}(\text{F}) = \mathcal{E}_{\varphi}(\text{G})$.
- ▶ This finally gives us that $v(\mathcal{E}_{\varphi}(\text{F} \dot{=}^{\alpha \rightarrow \beta} \text{G})) = \mathbf{T}$ with Lemma 'Leibniz Equality in Σ -models(1.)'.
- ▶ It follows that $\mathcal{M} \models \text{EXT}_{\underline{\underline{\rightarrow}}}^{\alpha \rightarrow \beta}$ and $\mathcal{M} \models \text{EXT}_{\underline{\underline{\rightarrow}}}$, since α and β were chosen arbitrarily.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

4. If \mathcal{M} satisfies \mathfrak{b} , then $\mathcal{M} \models \text{EXT}_{\underline{=}}^{\circ}$.

Proof: Let $A_o, B_o \in \mathcal{V}_o$ be distinct variables and φ be any assignment into \mathcal{M} .

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

4. If \mathcal{M} satisfies \mathfrak{b} , then $\mathcal{M} \models \text{EXT}_{\underline{=}}^{\circ}$.

Proof:

Let $A_o, B_o \in \mathcal{V}_o$ be distinct variables and φ be any assignment into \mathcal{M} .

- ▶ Since property \mathfrak{b} holds, we can assume $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$ and v is the identity function.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

4. If \mathcal{M} satisfies \mathfrak{b} , then $\mathcal{M} \models \text{EXT}_{\underline{=}}^{\circ}$.

Proof:

Let $A_o, B_o \in \mathcal{V}_o$ be distinct variables and φ be any assignment into \mathcal{M} .

- ▶ Since property \mathfrak{b} holds, we can assume $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$ and v is the identity function.
- ▶ Suppose $v(\mathcal{E}_{\varphi}(A \Leftrightarrow B)) = \mathbf{T}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

4. If \mathcal{M} satisfies \mathfrak{b} , then $\mathcal{M} \models \text{EXT}_{\underline{=}}^{\circ}$.

Proof:

Let $A_o, B_o \in \mathcal{V}_o$ be distinct variables and φ be any assignment into \mathcal{M} .

- ▶ Since property \mathfrak{b} holds, we can assume $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$ and v is the identity function.
- ▶ Suppose $v(\mathcal{E}_{\varphi}(A \Leftrightarrow B)) = \mathbf{T}$.
- ▶ By Lemma 'Equivalence', we have $\mathcal{E}_{\varphi}(A) = v(\mathcal{E}_{\varphi}(A)) = v(\mathcal{E}_{\varphi}(B)) = \mathcal{E}_{\varphi}(B)$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

4. If \mathcal{M} satisfies \mathfrak{b} , then $\mathcal{M} \models \text{EXT}_{\underline{=}}^{\circ}$.

Proof:

Let $A_o, B_o \in \mathcal{V}_o$ be distinct variables and φ be any assignment into \mathcal{M} .

- ▶ Since property \mathfrak{b} holds, we can assume $\mathcal{D}_o = \{\mathbf{T}, \mathbf{F}\}$ and v is the identity function.
- ▶ Suppose $v(\mathcal{E}_{\varphi}(A \Leftrightarrow B)) = \mathbf{T}$.
- ▶ By Lemma 'Equivalence', we have $\mathcal{E}_{\varphi}(A) = v(\mathcal{E}_{\varphi}(A)) = v(\mathcal{E}_{\varphi}(B)) = \mathcal{E}_{\varphi}(B)$.
- ▶ By Lemma 'Leibniz Equality in Σ -models(1.)', we have $v(\mathcal{E}_{\varphi}(A \doteq^{\circ} B)) = \mathbf{T}$.

Extensionality and Leibniz Equality



Thm.: (Extensionality in Σ -models)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ be a Σ -model.

1. If \mathcal{M} satisfies q but not property f , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{\cdot}}}^{\rightarrow}$.
2. If \mathcal{M} satisfies q but not property b , then $\mathcal{M} \not\models \text{EXT}_{\underline{\underline{\cdot}}}^{\circ}$.
3. If \mathcal{M} satisfies q and f , then $\mathcal{M} \models \text{EXT}_{\underline{\underline{\cdot}}}^{\rightarrow}$.
4. If \mathcal{M} satisfies b , then $\mathcal{M} \models \text{EXT}_{\underline{\underline{\cdot}}}^{\circ}$.

Proof:

q.e.d.

Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in Σ -Models)

Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in Σ -Models)

1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$.

Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in Σ -Models)

1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$.

2. If \mathcal{M} satisfies \mathfrak{q} , then

$$\mathcal{M} \models \forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \dot{=}^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \dot{=}^\beta GX)$$

Extensionality and Leibniz Equality



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1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$

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Proof: (1.)

$$v(\mathcal{E}_\varphi(\forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B))) = \mathbf{T}$$

Extensionality and Leibniz Equality



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1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$

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Proof: (1.)

$$v(\mathcal{E}_\varphi(\forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B))) = \mathbf{T}$$

► iff (for all $a, b \in \mathcal{D}_\alpha$)

$$v(\mathcal{E}_{\varphi[a/A][b/B]}(A \dot{=}^o B)) = \mathbf{F} \text{ or } v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = \mathbf{T}$$

Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in Σ -Models)

1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$

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► assume $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \dot{=}^o B)) = \mathbf{T}$

Extensionality and Leibniz Equality

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1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$
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Proof: (1.)

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- ▶ iff (for all $a, b \in \mathcal{D}_\alpha$)

$$v(\mathcal{E}_{\varphi[a/A][b/B]}(A \dot{=}^o B)) = \mathbf{F} \text{ or } v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = \mathbf{T}$$

- ▶ assume $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \dot{=}^o B)) = \mathbf{T}$

- ▶ then by Lemma 'Leibniz Equality in Σ -models(2.)':

$$\mathcal{E}_{\varphi[a/A][b/B]}(A) = \mathcal{E}_{\varphi[a/A][b/B]}(B)$$

Extensionality and Leibniz Equality

Thm.: (Trivial Extensionality Directions in Σ -Models)

1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$
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- ▶ assume $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \dot{=}^o B)) = \mathbf{T}$

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- ▶ then by Lemma 'Equivalence': $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = \mathbf{T}$

Extensionality and Leibniz Equality

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Proof: (1.)

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- ▶ then by Lemma 'Equivalence': $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = \mathbf{T}$

q.e.d.

Extensionality and Leibniz Equality



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1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$

2. If \mathcal{M} satisfies \mathfrak{q} , then

$$\mathcal{M} \models \forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \dot{=}^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \dot{=}^\beta GX)$$

Proof: (2.) $v(\mathcal{E}_\varphi(\forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \dot{=}^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \dot{=}^\beta GX))) = \mathbf{T}$

Extensionality and Leibniz Equality

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► iff (for all $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$)

$$v(\mathcal{E}_{\varphi[f/F][g/G]}(F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{F} \text{ or } v(\mathcal{E}_{\varphi[f/F][g/G]}(\forall X_{\alpha}. FX \dot{=}^{\beta} GX)) = \mathbf{T}$$

Extensionality and Leibniz Equality

Thm.: (Trivial Extensionality Directions in Σ -Models)

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Proof: (2.) $v(\mathcal{E}_{\varphi}(\forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \dot{=}^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_{\alpha}. FX \dot{=}^{\beta} GX))) = \mathbf{T}$

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► assume $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{T}$

Extensionality and Leibniz Equality

Thm.: (Trivial Extensionality Directions in Σ -Models)

1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$

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Proof: (2.) $v(\mathcal{E}_{\varphi}(\forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \dot{=}^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_{\alpha}. FX \dot{=}^{\beta} GX))) = \mathbf{T}$

► iff (for all $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$)

$$v(\mathcal{E}_{\varphi[f/F][g/G]}(F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{F} \text{ or } v(\mathcal{E}_{\varphi[f/F][g/G]}(\forall X_{\alpha}. FX \dot{=}^{\beta} GX)) = \mathbf{T}$$

► assume $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{T}$

► by Lemma 'Leibniz Equality in Σ -models(2.)': $\mathcal{E}_{\varphi[f/F][g/G]}(F) = \mathcal{E}_{\varphi[f/F][g/G]}(G)$

Extensionality and Leibniz Equality

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1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$
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Proof: (2.) $v(\mathcal{E}_\varphi(\forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \dot{=}^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \dot{=}^\beta GX))) = \mathbf{T}$

- ▶ iff (for all $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$)
 $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{F}$ or $v(\mathcal{E}_{\varphi[f/F][g/G]}(\forall X_\alpha. FX \dot{=}^\beta GX)) = \mathbf{T}$
- ▶ assume $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{T}$
- ▶ by Lemma 'Leibniz Equality in Σ -models(2.)': $\mathcal{E}_{\varphi[f/F][g/G]}(F) = \mathcal{E}_{\varphi[f/F][g/G]}(G)$
- ▶ X not free in G or F (for any $a \in \mathcal{D}_\alpha$): $\mathcal{E}_{\varphi[f/F][g/G][a/X]}(F) = \mathcal{E}_{\varphi[f/F][g/G][a/X]}(G)$

Extensionality and Leibniz Equality

Thm.: (Trivial Extensionality Directions in Σ -Models)

1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
2. If \mathcal{M} satisfies \mathfrak{q} , then
$$\mathcal{M} \models \forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \doteq^\beta GX)$$

Proof: (2.) $v(\mathcal{E}_\varphi(\forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \doteq^\beta GX))) = \mathbf{T}$

- ▶ iff (for all $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$)
 $v(\mathcal{E}_\varphi[f/F][g/G](F \doteq^{\alpha \rightarrow \beta} G)) = \mathbf{F}$ or $v(\mathcal{E}_\varphi[f/F][g/G](\forall X_\alpha. FX \doteq^\beta GX)) = \mathbf{T}$
- ▶ assume $v(\mathcal{E}_\varphi[f/F][g/G](F \doteq^{\alpha \rightarrow \beta} G)) = \mathbf{T}$
- ▶ by Lemma 'Leibniz Equality in Σ -models(2.)': $\mathcal{E}_\varphi[f/F][g/G](F) = \mathcal{E}_\varphi[f/F][g/G](G)$
- ▶ X not free in G or F (for any $a \in \mathcal{D}_\alpha$): $\mathcal{E}_\varphi[f/F][g/G][a/X](F) = \mathcal{E}_\varphi[f/F][g/G][a/X](G)$
- ▶ furthermore

$$\mathcal{E}_\varphi[f/F][g/G][a/X](F) @ \mathcal{E}_\varphi[f/F][g/G][a/X](X) = \mathcal{E}_\varphi[f/F][g/G](G) @ \mathcal{E}_\varphi[f/F][g/G][a/X](X)$$

Extensionality and Leibniz Equality

Thm.: (Trivial Extensionality Directions in Σ -Models)

1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$

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Proof: (2.) $v(\mathcal{E}_\varphi(\forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \dot{=}^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \dot{=}^\beta GX))) = \mathbf{T}$

► iff (for all $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$)

$$v(\mathcal{E}_\varphi[f/F][g/G](F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{F} \text{ or } v(\mathcal{E}_\varphi[f/F][g/G](\forall X_\alpha. FX \dot{=}^\beta GX)) = \mathbf{T}$$

► assume $v(\mathcal{E}_\varphi[f/F][g/G](F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{T}$

► by Lemma 'Leibniz Equality in Σ -models(2.)': $\mathcal{E}_\varphi[f/F][g/G](F) = \mathcal{E}_\varphi[f/F][g/G](G)$

► X not free in G or F (for any $a \in \mathcal{D}_\alpha$): $\mathcal{E}_\varphi[f/F][g/G][a/X](F) = \mathcal{E}_\varphi[f/F][g/G][a/X](G)$

► furthermore

$$\mathcal{E}_\varphi[f/F][g/G][a/X](F) @ \mathcal{E}_\varphi[f/F][g/G][a/X](X) = \mathcal{E}_\varphi[f/F][g/G](G) @ \mathcal{E}_\varphi[f/F][g/G][a/X](X)$$

► thus $\mathcal{E}_\varphi[f/F][g/G][a/X](FX) = \mathcal{E}_\varphi[f/F][g/G][a/X](GX)$

Extensionality and Leibniz Equality

Thm.: (Trivial Extensionality Directions in Σ -Models)

1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$
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Proof: (2.) $v(\mathcal{E}_\varphi(\forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \dot{=}^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \dot{=}^\beta GX))) = \mathbf{T}$

- ▶ iff (for all $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$)
 $v(\mathcal{E}_\varphi[f/F][g/G](F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{F}$ or $v(\mathcal{E}_\varphi[f/F][g/G](\forall X_\alpha. FX \dot{=}^\beta GX)) = \mathbf{T}$
- ▶ assume $v(\mathcal{E}_\varphi[f/F][g/G](F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{T}$
- ▶ by Lemma 'Leibniz Equality in Σ -models(2.)': $\mathcal{E}_\varphi[f/F][g/G](F) = \mathcal{E}_\varphi[f/F][g/G](G)$
- ▶ X not free in G or F (for any $a \in \mathcal{D}_\alpha$): $\mathcal{E}_\varphi[f/F][g/G][a/X](F) = \mathcal{E}_\varphi[f/F][g/G][a/X](G)$
- ▶ furthermore
 $\mathcal{E}_\varphi[f/F][g/G][a/X](F) @ \mathcal{E}_\varphi[f/F][g/G][a/X](X) = \mathcal{E}_\varphi[f/F][g/G](G) @ \mathcal{E}_\varphi[f/F][g/G][a/X](X)$
- ▶ thus $\mathcal{E}_\varphi[f/F][g/G][a/X](FX) = \mathcal{E}_\varphi[f/F][g/G][a/X](GX)$
- ▶ thus $v(\mathcal{E}_\varphi[f/F][g/G][a/X](FX \dot{=}^\beta GX)) = \mathbf{T}$ by Lemma ...

Extensionality and Leibniz Equality

Thm.: (Trivial Extensionality Directions in Σ -Models)

1. If \mathcal{M} satisfies \mathfrak{q} , then $\mathcal{M} \models \forall A_o. \forall B_o. A \dot{=}^o B \Rightarrow (A \Leftrightarrow B)$
2. If \mathcal{M} satisfies \mathfrak{q} , then

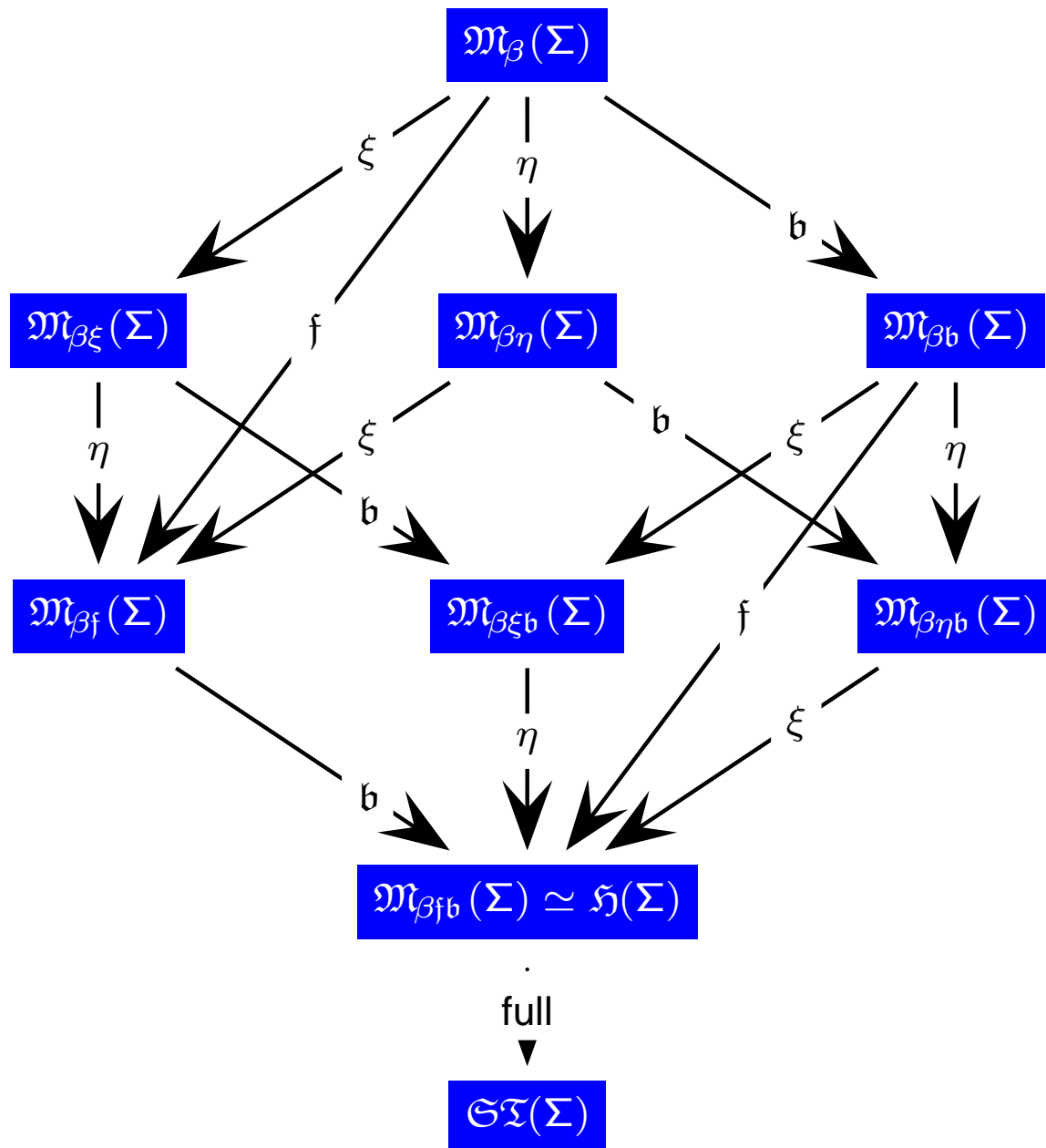
$$\mathcal{M} \models \forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \dot{=}^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \dot{=}^\beta GX)$$

Proof: (2.) $v(\mathcal{E}_\varphi(\forall F_{\alpha \rightarrow \beta}. \forall G_{\alpha \rightarrow \beta}. F \dot{=}^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \dot{=}^\beta GX))) = \mathbf{T}$

- ▶ iff (for all $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$)
 $v(\mathcal{E}_\varphi[f/F][g/G](F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{F}$ or $v(\mathcal{E}_\varphi[f/F][g/G](\forall X_\alpha. FX \dot{=}^\beta GX)) = \mathbf{T}$
- ▶ assume $v(\mathcal{E}_\varphi[f/F][g/G](F \dot{=}^{\alpha \rightarrow \beta} G)) = \mathbf{T}$
- ▶ by Lemma 'Leibniz Equality in Σ -models(2.)': $\mathcal{E}_\varphi[f/F][g/G](F) = \mathcal{E}_\varphi[f/F][g/G](G)$
- ▶ X not free in G or F (for any $a \in \mathcal{D}_\alpha$): $\mathcal{E}_\varphi[f/F][g/G][a/X](F) = \mathcal{E}_\varphi[f/F][g/G][a/X](G)$
- ▶ furthermore
 $\mathcal{E}_\varphi[f/F][g/G][a/X](F) @ \mathcal{E}_\varphi[f/F][g/G][a/X](X) = \mathcal{E}_\varphi[f/F][g/G](G) @ \mathcal{E}_\varphi[f/F][g/G][a/X](X)$
- ▶ thus $\mathcal{E}_\varphi[f/F][g/G][a/X](FX) = \mathcal{E}_\varphi[f/F][g/G][a/X](GX)$
- ▶ thus $v(\mathcal{E}_\varphi[f/F][g/G][a/X](FX \dot{=}^\beta GX)) = \mathbf{T}$ by Lemma ...
- ▶ thus $v(\mathcal{E}_\varphi[f/F][g/G](\forall X_\alpha. FX \dot{=}^\beta GX)) = \mathbf{T}$

q.e.d.

Leibniz Equality in Σ -Models



\doteq is equivalence relation

■ $\forall X_{\alpha}. X \doteq X$

Proof:

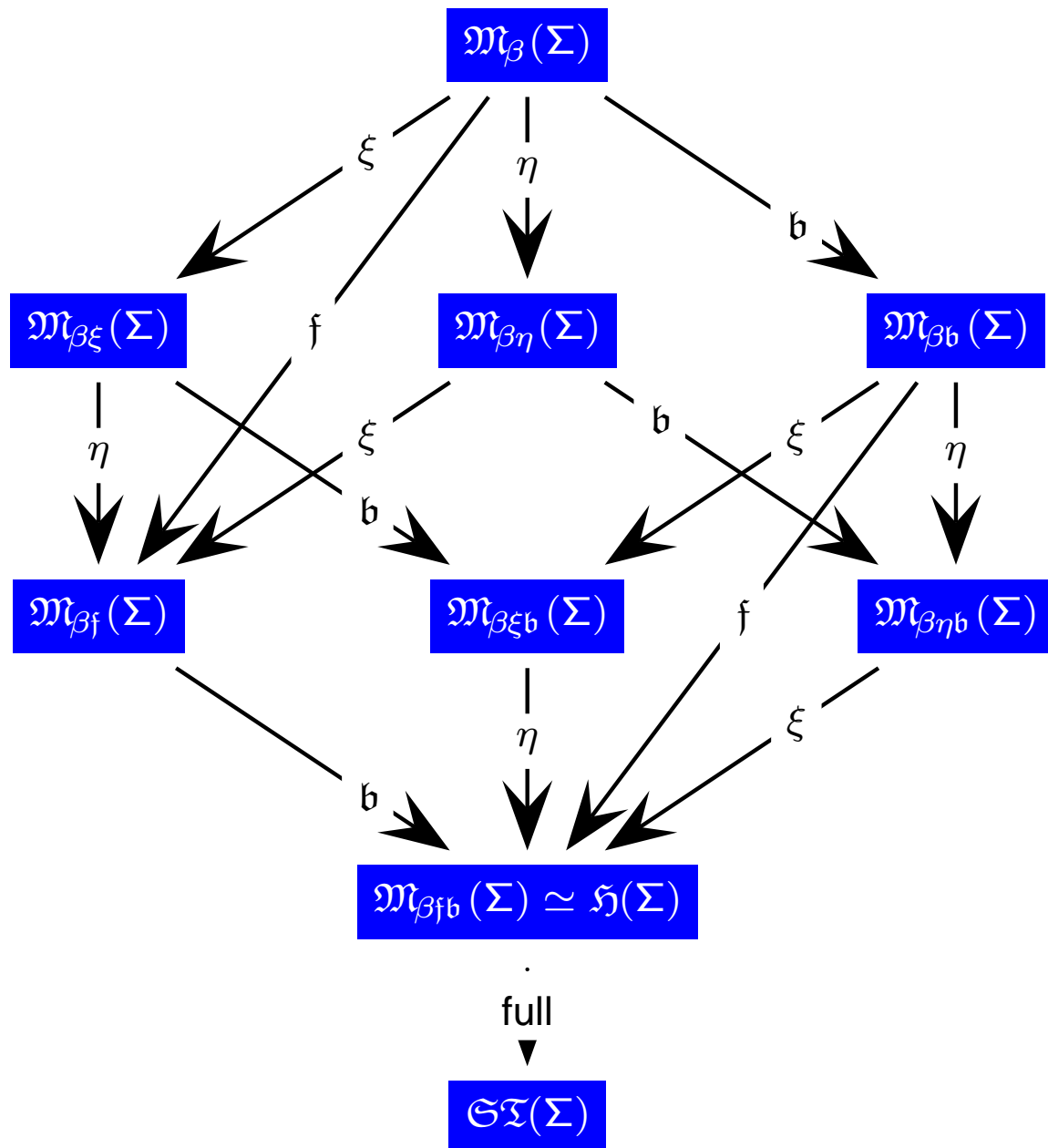
$$v(\mathcal{E}_{\varphi}(\forall X_{\alpha}. X \doteq X)) = \mathbf{T}$$

$$\text{iff } v(\mathcal{E}_{\varphi[a/X]}(X \doteq X)) = \mathbf{T} \text{ for all } a \in D_{\alpha}$$

holds by Lemma 'Leibniz Equality in Σ -models(1.)'

since $\mathcal{E}_{\varphi[a/X]}(X) = \mathcal{E}_{\varphi[a/X]}(X)$ for all $a \in D_{\alpha}$.

Leibniz Equality in Σ -Models



\doteq is equivalence relation

- $\forall X_\alpha. X \doteq X$
- $\forall X_\alpha, Y_\alpha. X \doteq Y \Rightarrow Y \doteq X$

Proof:

$v(\mathcal{E}_\varphi(\forall X_\alpha, Y_\alpha. X \doteq Y \Leftrightarrow Y \doteq X)) = \mathbf{T}$
 iff $v(\mathcal{E}_\varphi[a/X][b/X](X \doteq Y)) = \mathbf{F}$ or
 $v(\mathcal{E}_\varphi[a/X][b/X](Y \doteq X)) = \mathbf{T}$ for all $a, b \in \mathbf{D}_\alpha$

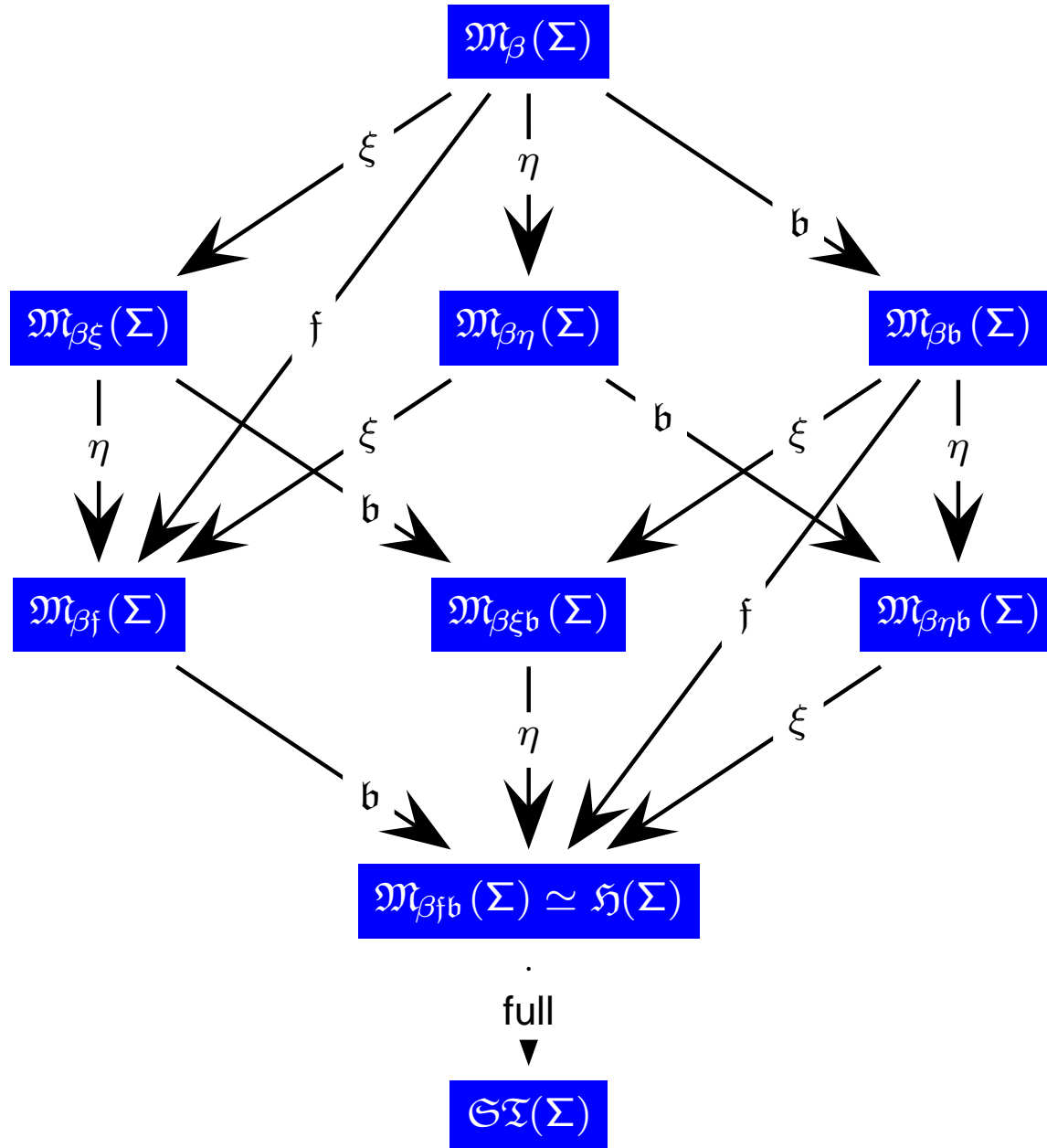
assume $v(\mathcal{E}_\varphi[a/X][b/X](X \doteq Y)) = \mathbf{T}$

by Lemma 'Leibniz Equality in Σ -models(2.)'

$\mathcal{E}_\varphi[a/X](X) = \mathcal{E}_\varphi[a/X](Y)$ for all $a, b \in \mathbf{D}_\alpha$
 hence $\mathcal{E}_\varphi[a/X](Y) = \mathcal{E}_\varphi[a/X](X)$ for all
 $a, b \in \mathbf{D}_\alpha$

hence $v(\mathcal{E}_\varphi[a/X][b/X](X \doteq Y)) = \mathbf{T}$ for all
 $a, b \in \mathbf{D}_\alpha$ by Lemma 'Leibniz Equality in Σ -
 models(2.)'

Leibniz Equality in Σ -Models



\doteq is equivalence relation

- $\forall X_{\alpha}. X \doteq X$
- $\forall X_{\alpha}, Y_{\alpha}. X \doteq Y \Rightarrow Y \doteq X$
- $\forall X_{\alpha}, Y_{\alpha}, Z_{\alpha}. (X \doteq Y \wedge Y \doteq Z) \Rightarrow X \doteq Z$

Proof:

analogous with

$$\mathcal{E}_{\varphi[a/X][b/Y][c/Z]}(X) = \mathcal{E}_{\varphi[a/X][b/Y][c/Z]}(Y)$$

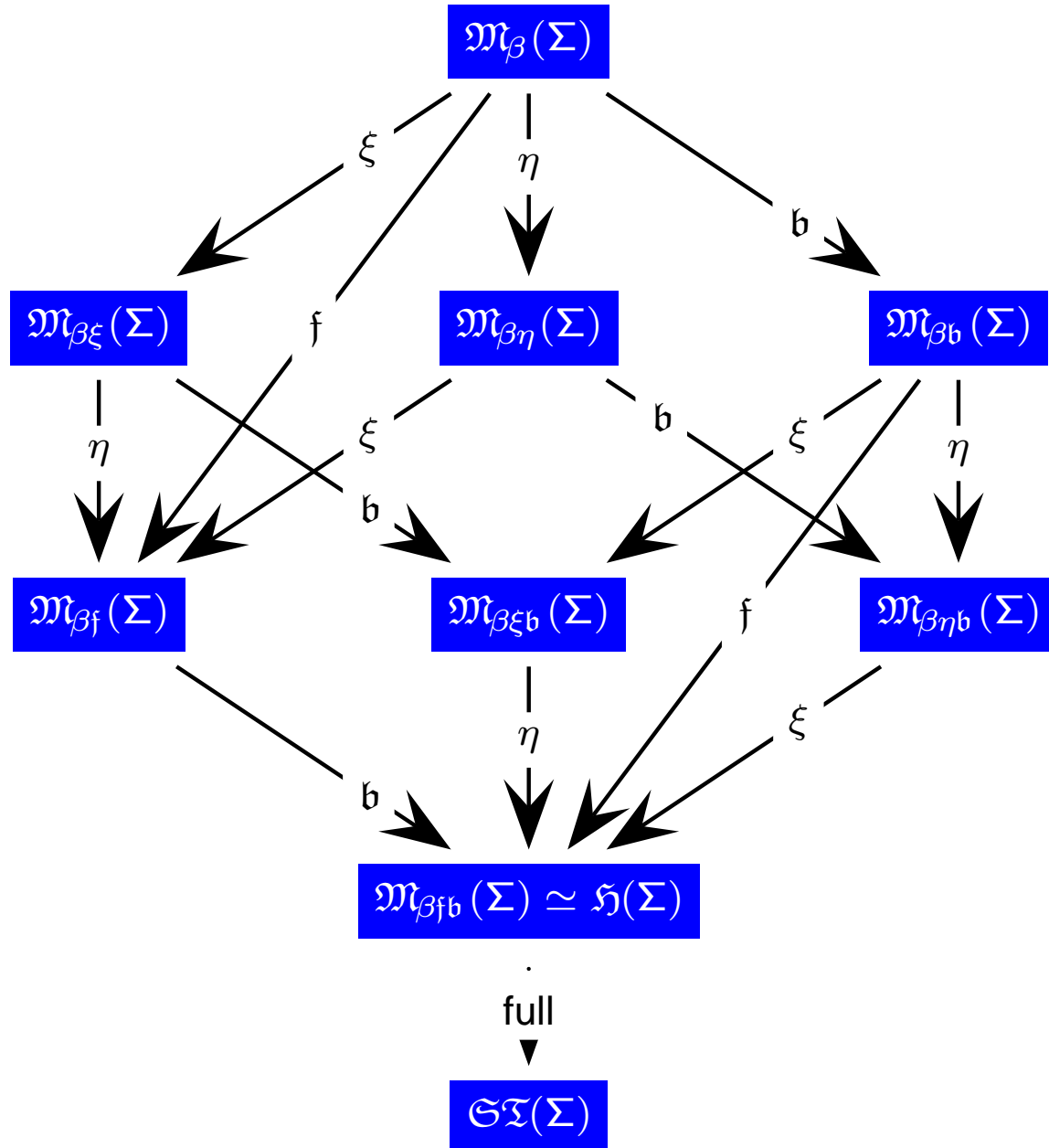
and

$$\mathcal{E}_{\varphi[a/X][b/Y][c/Z]}(Y) = \mathcal{E}_{\varphi[a/X][b/Y][c/Z]}(Z)$$

implies

$$\mathcal{E}_{\varphi[a/X][b/Y][c/Z]}(X) = \mathcal{E}_{\varphi[a/X][b/Y][c/Z]}(Z)$$

Leibniz Equality in Σ -Models



\doteq is equivalence relation

- $\forall X_\alpha. X \doteq X$
- $\forall X_\alpha, Y_\alpha. X \doteq Y \Rightarrow Y \doteq X$
- $\forall X_\alpha, Y_\alpha, Z_\alpha. (X \doteq Y \wedge Y \doteq Z) \Rightarrow X \doteq Z$

\doteq is congruence relation

- $\forall X_\alpha, Y_\alpha, F_{\alpha \rightarrow \beta}. X \doteq Y \Rightarrow (FX) \doteq (FY)$

Proof:

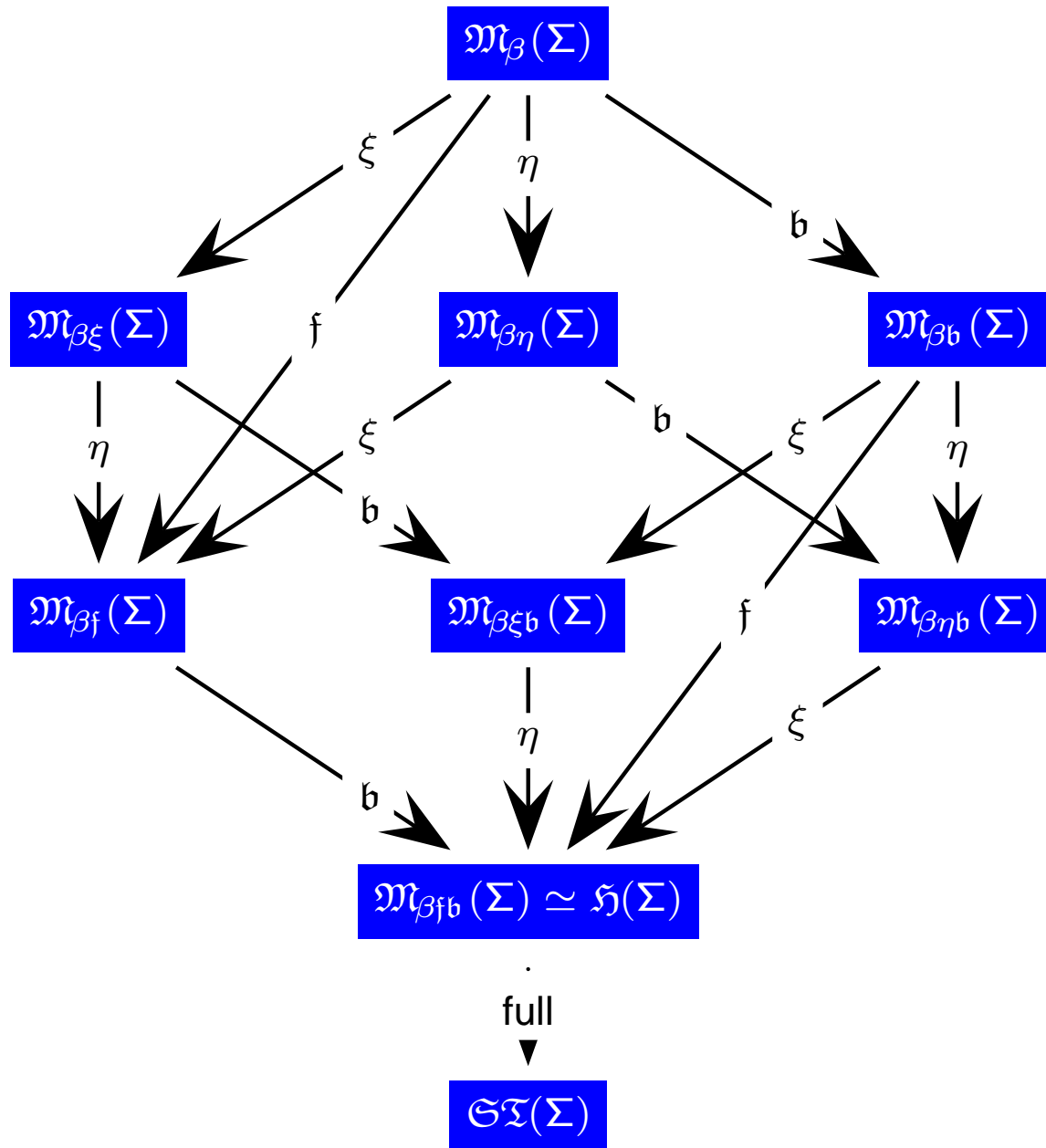
analogous with

$$\mathcal{E}_\varphi[a/X][b/Y][f/F](X) = \mathcal{E}_\varphi[a/X][b/Y][f/F](Y)$$

implies

$$\mathcal{E}_\varphi[a/X][b/Y][f/F](F) @ \mathcal{E}_\varphi[a/X][b/Y][f/F](X) = \mathcal{E}_\varphi[a/X][b/Y][f/F](F) @ \mathcal{E}_\varphi[a/X][b/Y][f/F](Y)$$

Leibniz Equality in Σ -Models



\doteq is equivalence relation

- $\forall X_{\alpha}. X \doteq X$
- $\forall X_{\alpha}, Y_{\alpha}. X \doteq Y \Rightarrow Y \doteq X$
- $\forall X_{\alpha}, Y_{\alpha}, Z_{\alpha}. (X \doteq Y \wedge Y \doteq Z) \Rightarrow X \doteq Z$

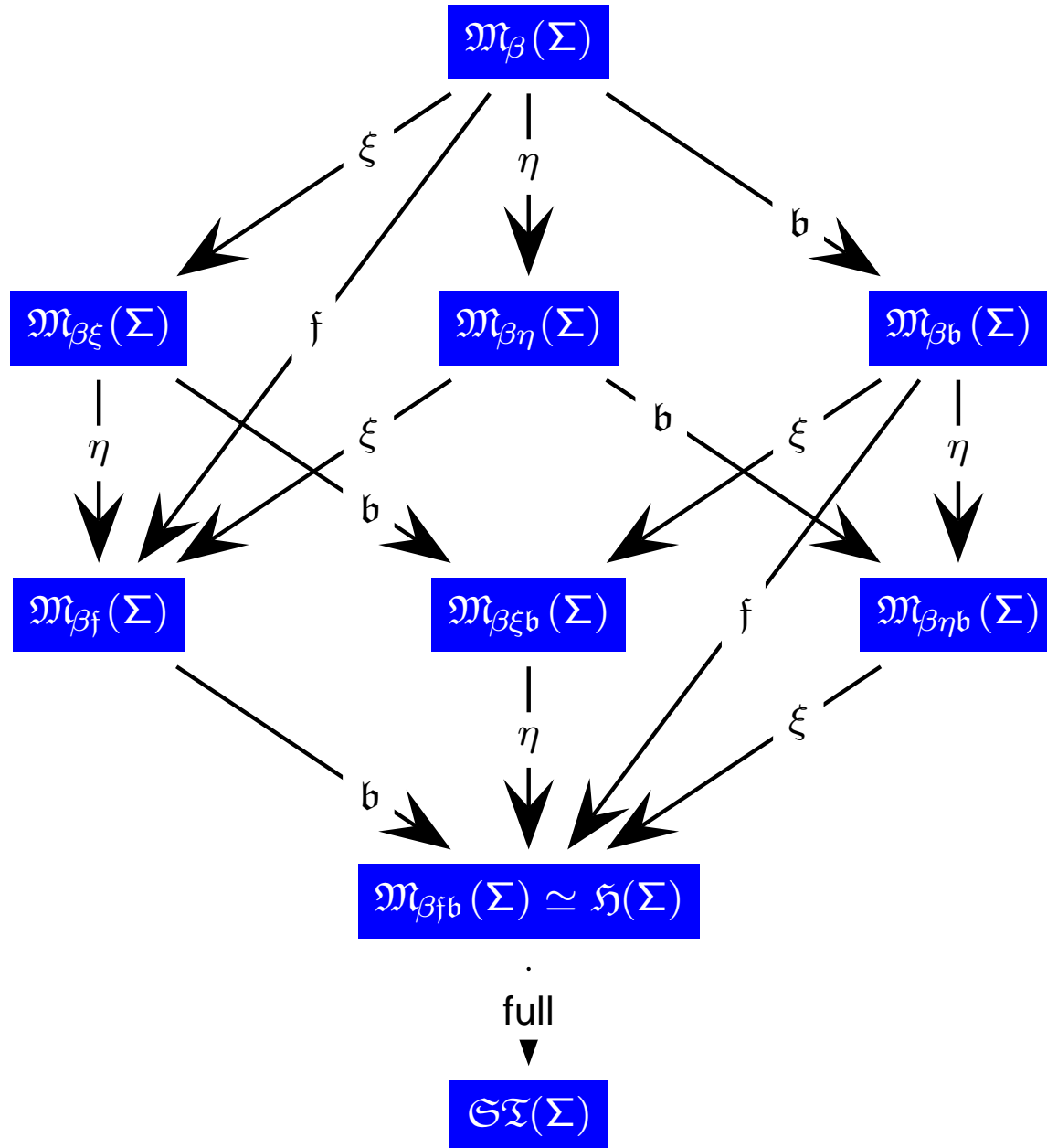
\doteq is congruence relation

- $\forall X_{\alpha}, Y_{\alpha}, F_{\alpha \rightarrow \beta}. X \doteq Y \Rightarrow (FX) \doteq (FY)$
- $\forall X_{\alpha}, Y_{\alpha}, P_{\alpha \rightarrow o}. X \doteq Y \wedge (PX) \Rightarrow (PY)$

Proof:

analogous

Leibniz Equality in Σ -Models



\doteq is equivalence relation

- $\forall X_{\alpha}. X \doteq X$
- $\forall X_{\alpha}, Y_{\alpha}. X \doteq Y \Rightarrow Y \doteq X$
- $\forall X_{\alpha}, Y_{\alpha}, Z_{\alpha}. (X \doteq Y \wedge Y \doteq Z) \Rightarrow X \doteq Z$

\doteq is congruence relation

- $\forall X_{\alpha}, Y_{\alpha}, F_{\alpha \rightarrow \beta}. X \doteq Y \Rightarrow (FX) \doteq (FY)$
- $\forall X_{\alpha}, Y_{\alpha}, P_{\alpha \rightarrow o}. X \doteq Y \wedge (PX) \Rightarrow (PY)$

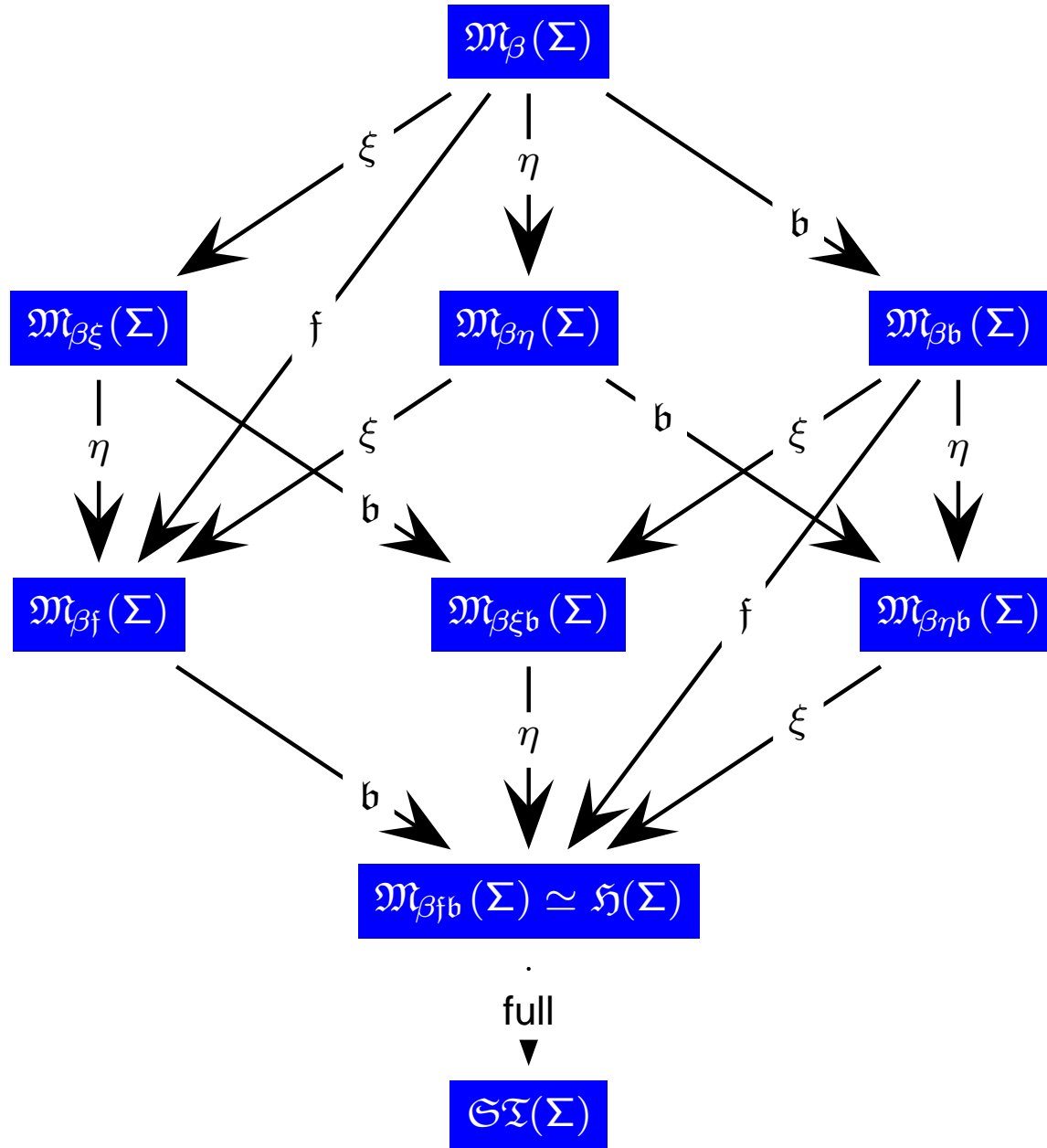
Trivial directions of Boolean and functional extensionality

- $\forall A_o, B_o. A \doteq B \Rightarrow (A \Leftrightarrow B)$

Proof:

by Theorem 'Trivial Extensionality Directions'

Leibniz Equality in Σ -Models



\doteq is equivalence relation

- $\forall X_{\alpha}. X \doteq X$
- $\forall X_{\alpha}, Y_{\alpha}. X \doteq Y \Rightarrow Y \doteq X$
- $\forall X_{\alpha}, Y_{\alpha}, Z_{\alpha}. (X \doteq Y \wedge Y \doteq Z) \Rightarrow X \doteq Z$

\doteq is congruence relation

- $\forall X_{\alpha}, Y_{\alpha}, F_{\alpha \rightarrow \beta}. X \doteq Y \Rightarrow (FX) \doteq (FY)$
- $\forall X_{\alpha}, Y_{\alpha}, P_{\alpha \rightarrow o}. X \doteq Y \wedge (PX) \Rightarrow (PY)$

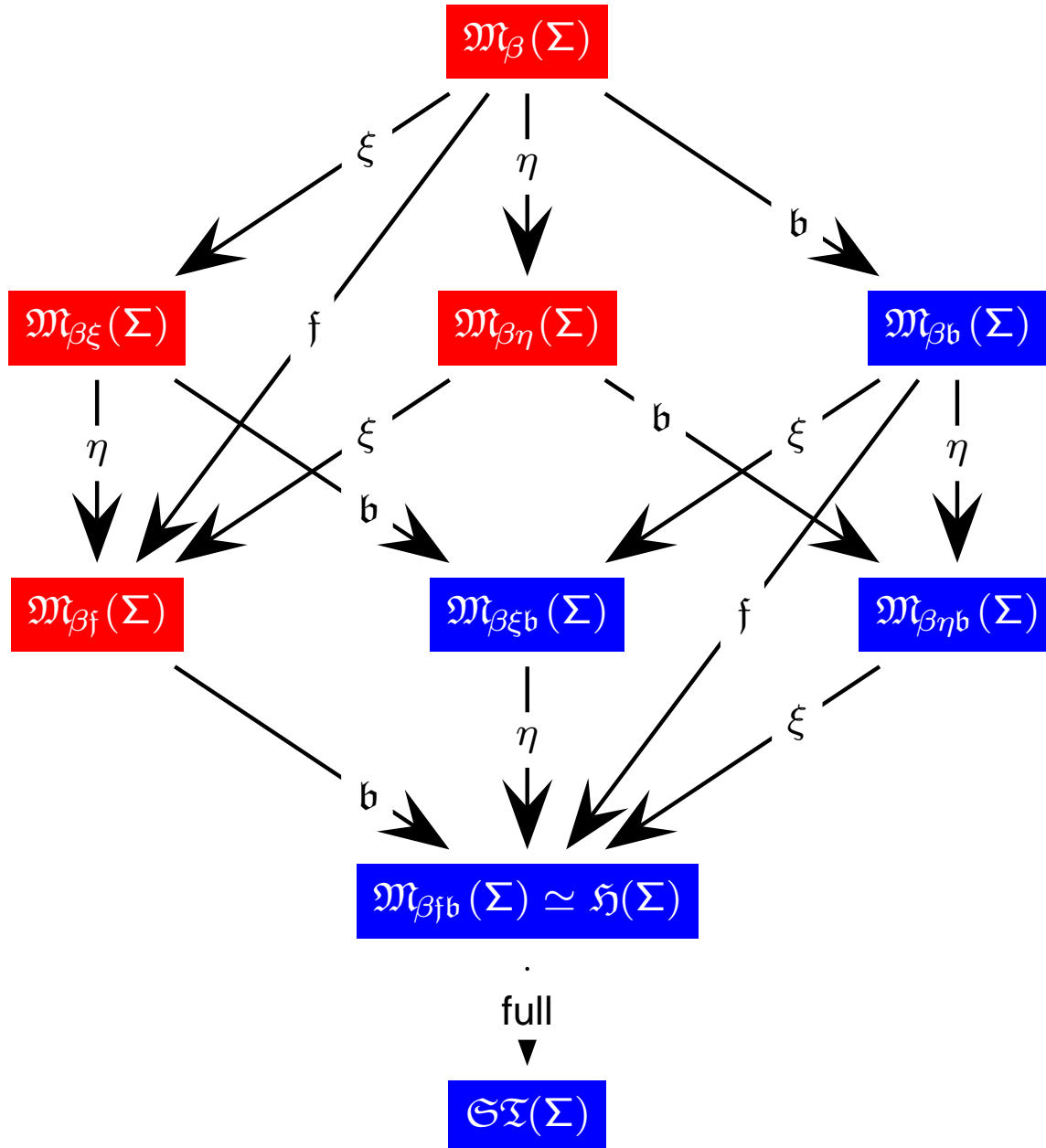
Trivial directions of Boolean and functional extensionality

- $\forall A_o, B_o. A \doteq B \Rightarrow (A \Leftrightarrow B)$
- $\forall F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta}. F \doteq G \Rightarrow (\forall X_{\alpha}. FX \doteq GX)$

Proof:

by Theorem 'Trivial Extensionality Directions'

Leibniz Equality in Σ -Models



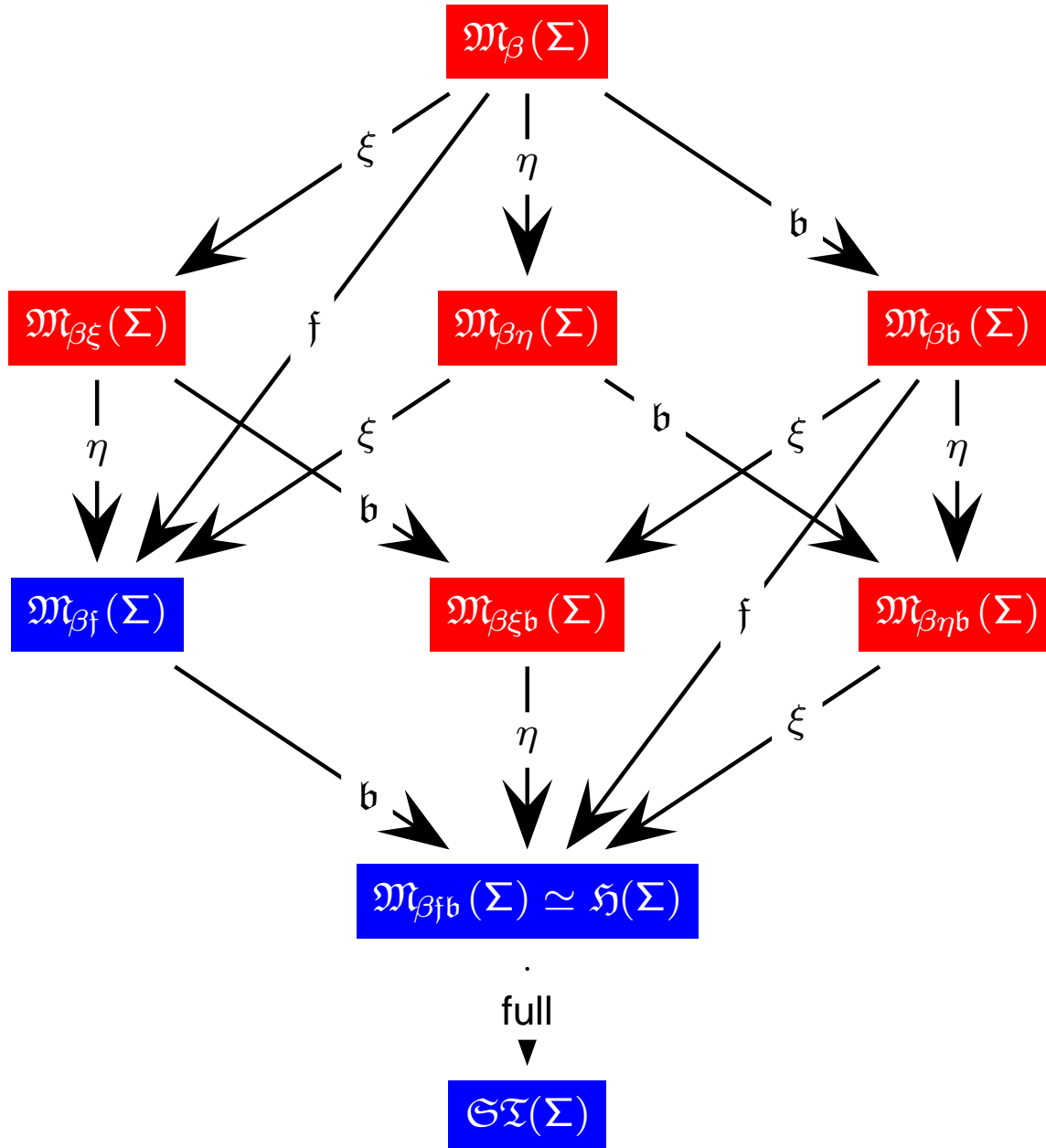
Non-trivial direction of Boolean extensionality

$$\blacksquare \forall A_o, B_o. (A \Leftrightarrow B) \Rightarrow A \doteq B$$

Proof:

by Theorem 'Extensionality in Σ -Models'

Leibniz Equality in Σ -Models



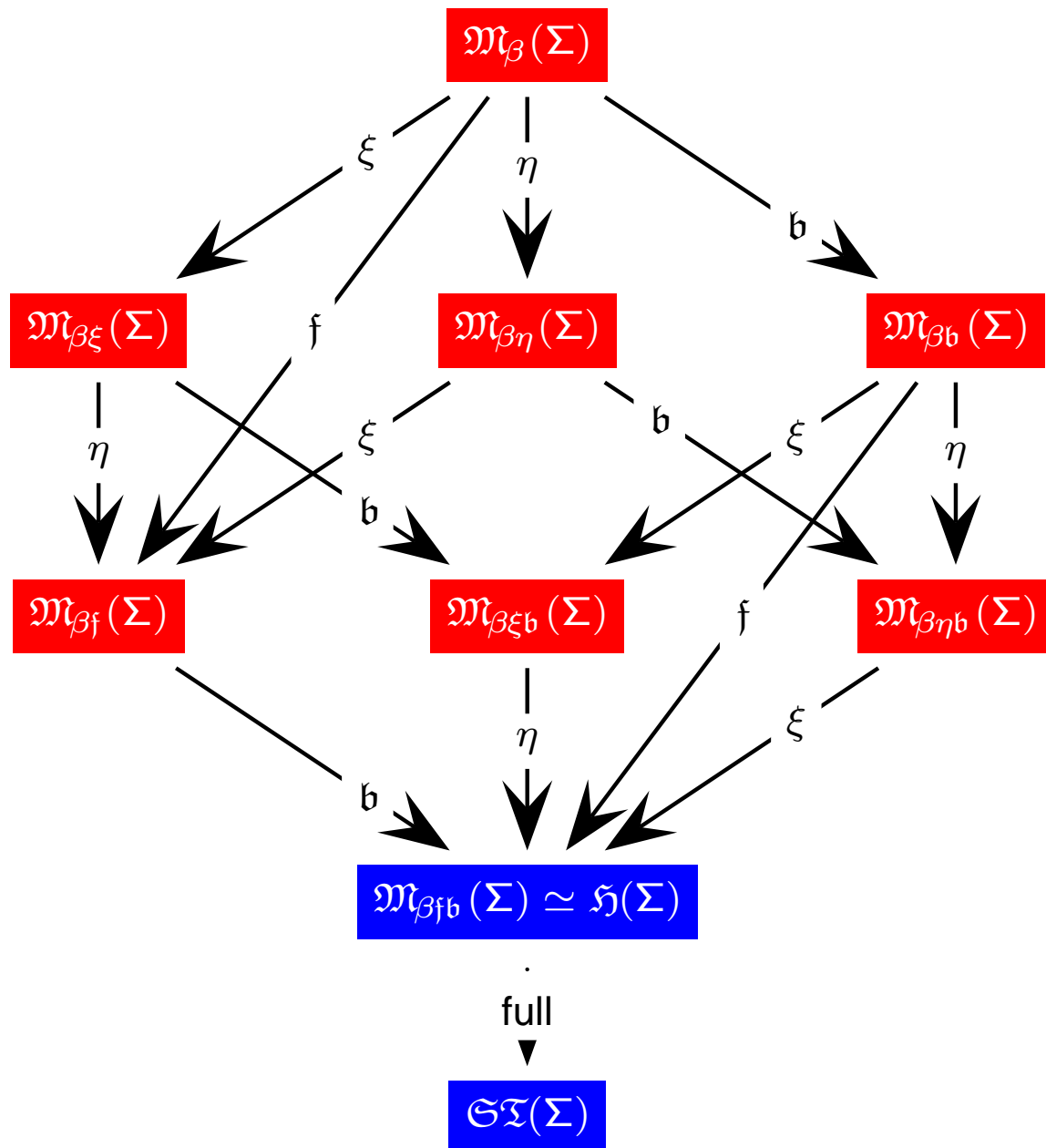
Non-trivial direct. of functional extensionality

$$\blacksquare \quad \forall F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta}. (\forall X_{\alpha}. FX \doteq GX) \Rightarrow F \doteq G$$

Proof:

by Theorem 'Extensionality in Σ -Models'

Further Examples

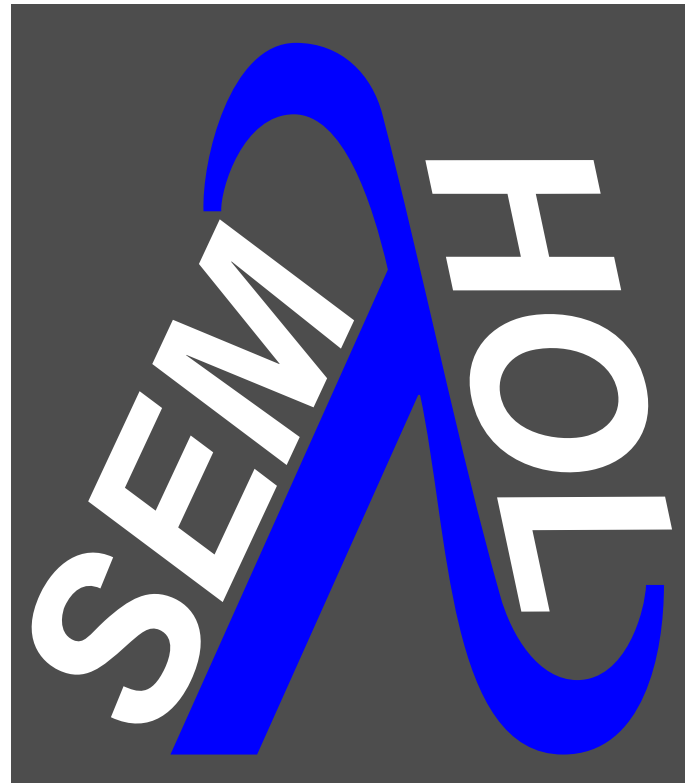


- $\forall X. \forall Y. X \vee Y \Leftrightarrow Y \vee X$
- $\forall X. \forall Y. X \vee Y \doteq Y \vee X$
- $\lambda X. \lambda Y. X \vee Y \doteq \lambda X. \lambda Y. Y \vee X$
- $\vee \doteq \lambda X. \lambda Y. Y \vee X$

validity requires \flat and \sharp

Proof:

Exercise

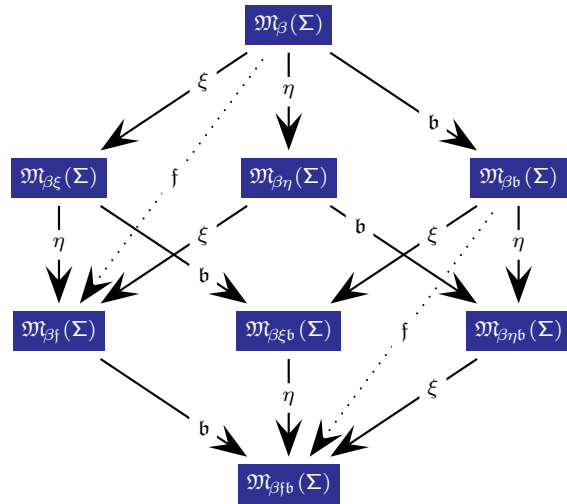


Calculi: ND for HOL

Semantics - Calculi - Abstract Consistency



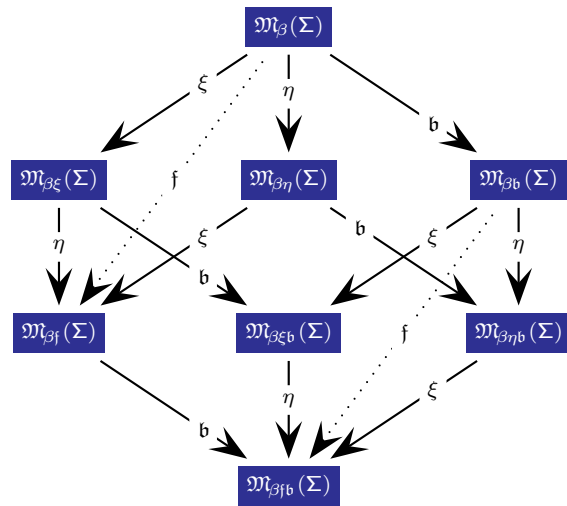
Semantics:
Model Classes (Extensionality)



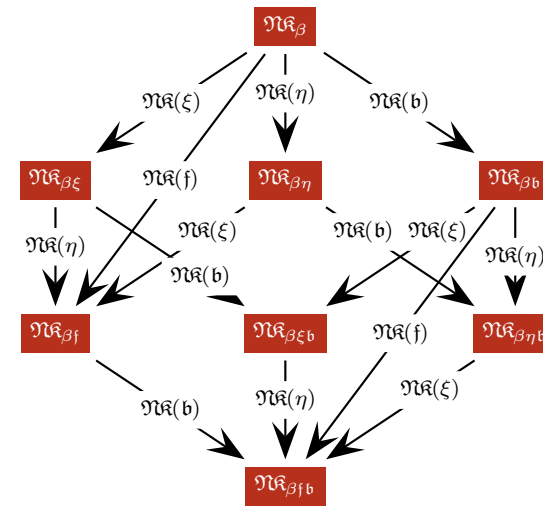
Semantics - Calculi - Abstract Consistency



Semantics:
Model Classes (Extensionality)



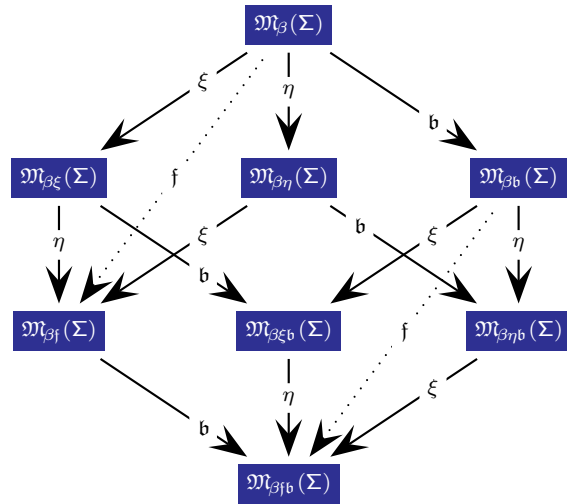
Reference Calculi:
ND (and others)



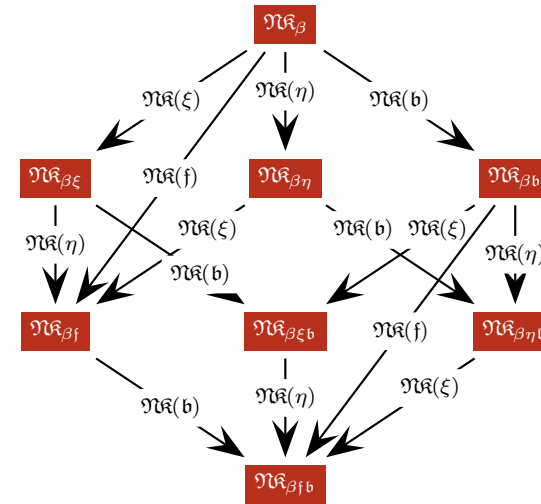
Semantics - Calculi - Abstract Consistency



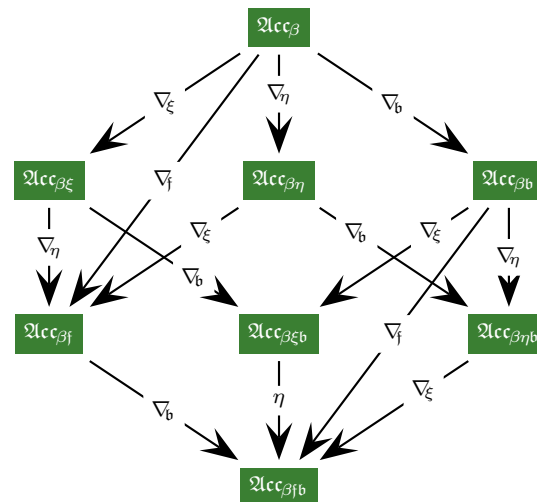
Semantics:
Model Classes (Extensionality)



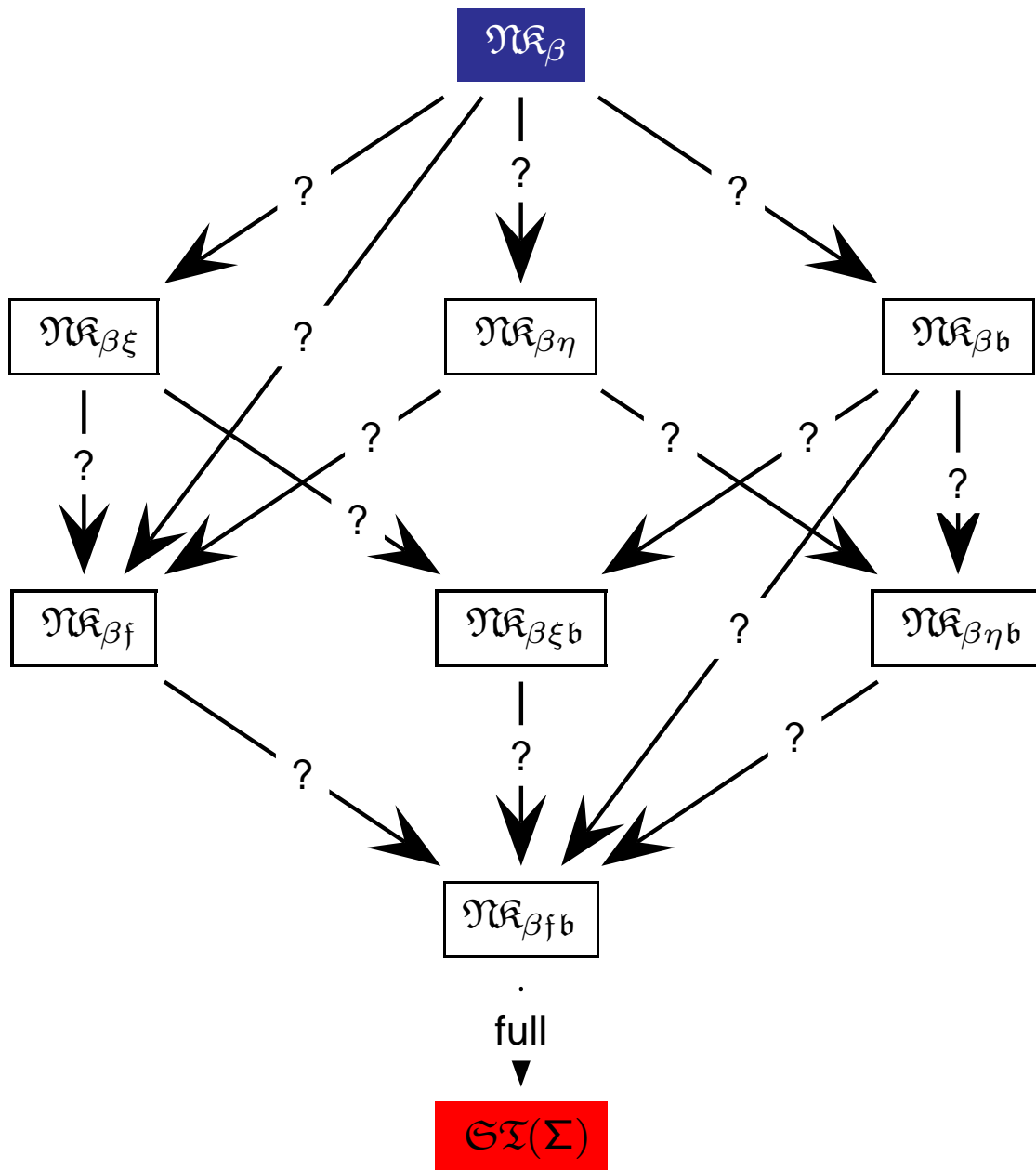
Reference Calculi:
ND (and others)



Abstract Consistency / Unifying Principle:
Extensions of Smullyan-63 and Andrews-71

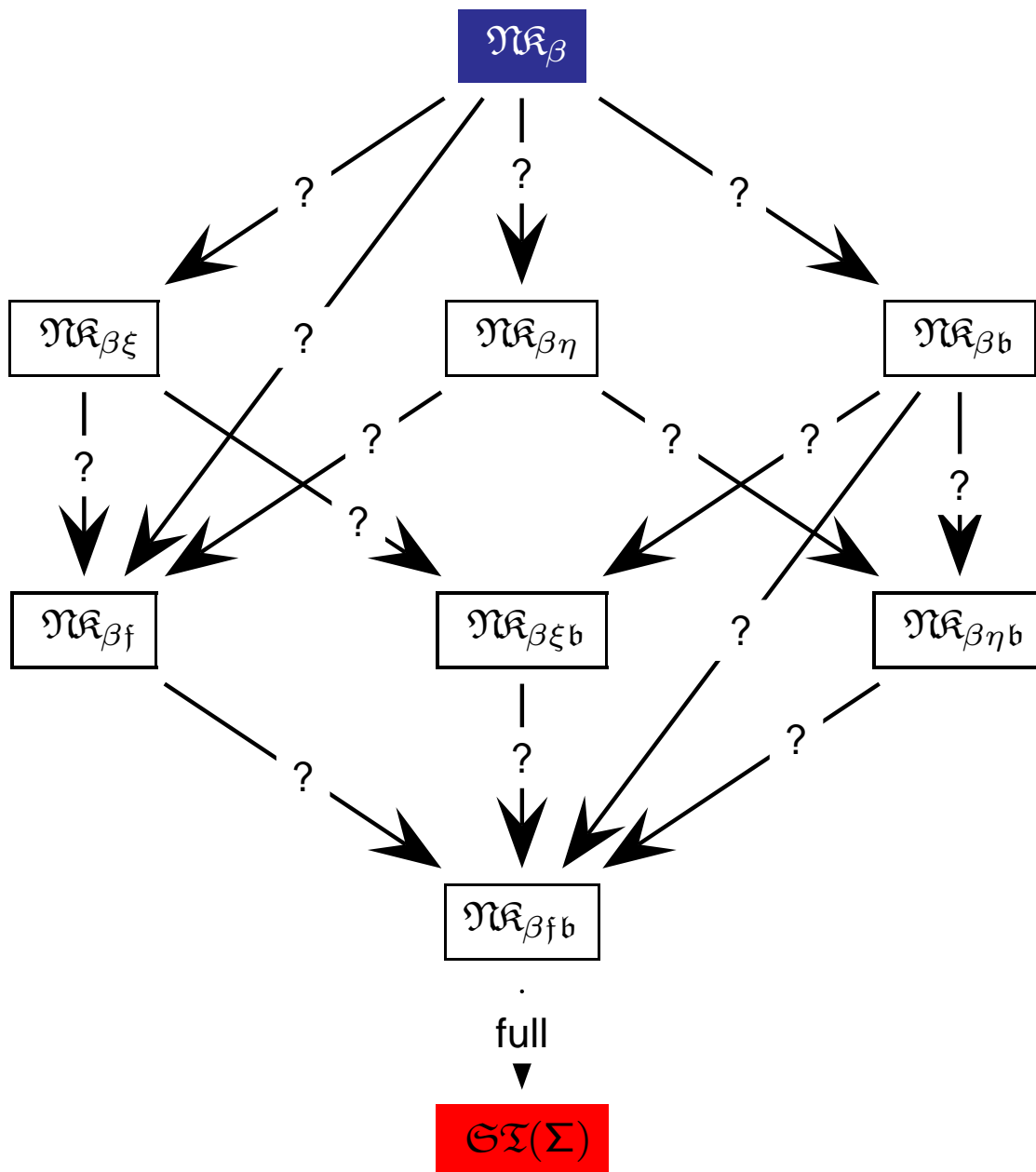


ND for HOL: Base Calculus $\mathcal{N}\mathcal{K}_\beta$



ND for HOL: Base Calculus $\mathcal{N}\mathcal{K}_\beta$

Base Calculus $\mathcal{N}\mathcal{K}_\beta$



$\text{--- } \mathcal{N}\mathcal{K}(Hyp) \quad \text{--- } \mathcal{N}\mathcal{K}(\beta)$
 $\text{--- } \mathcal{N}\mathcal{K}(\neg I) \quad \text{--- } \mathcal{N}\mathcal{K}(\neg E)$
 $\text{--- } \mathcal{N}\mathcal{K}(\vee I_L) \quad \text{--- } \mathcal{N}\mathcal{K}(\vee I_R)$
 $\text{--- } \mathcal{N}\mathcal{K}(\vee E)$
 $\text{--- } \mathcal{N}\mathcal{K}(\Pi I)^w$
 $\text{--- } \mathcal{N}\mathcal{K}(\Pi E) \quad \text{--- } \mathcal{N}\mathcal{K}(Contr)$

ND for HOL: Base Calculus \mathcal{NK}_β



\mathcal{NK}_β :

$$\frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathcal{NK}(Hyp)$$

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

ND for HOL: Base Calculus $\mathfrak{N}\mathfrak{K}_\beta$

$\mathfrak{N}\mathfrak{K}_\beta$:

$$\frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(Hyp) \qquad \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\beta)$$

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

ND for HOL: Base Calculus $\mathfrak{N}\mathfrak{K}_\beta$



$\mathfrak{N}\mathfrak{K}_\beta$:

$$\begin{array}{c} \frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(Hyp) \qquad \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\beta) \\[2ex] \frac{\Phi * \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \neg \mathbf{A}} \mathfrak{N}\mathfrak{K}(\neg I) \end{array}$$

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

ND for HOL: Base Calculus $\mathfrak{N}\mathfrak{K}_\beta$

$\mathfrak{N}\mathfrak{K}_\beta$:

$\frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(Hyp)$	$\frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\beta)$
$\frac{\Phi * \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \neg \mathbf{A}} \mathfrak{N}\mathfrak{K}(\neg I)$	$\frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathfrak{K}(\neg E)$

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

ND for HOL: Base Calculus $\mathfrak{N}\mathfrak{K}_\beta$

$\mathfrak{N}\mathfrak{K}_\beta$:

$$\begin{array}{c}
 \frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(Hyp) \qquad \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\beta) \\
 \\
 \frac{\Phi * \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \neg \mathbf{A}} \mathfrak{N}\mathfrak{K}(\neg I) \qquad \frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathfrak{K}(\neg E) \\
 \\
 \frac{\Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}\mathfrak{K}(\vee I_L)
 \end{array}$$

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

ND for HOL: Base Calculus $\mathcal{N}\mathcal{K}_\beta$

$\mathcal{N}\mathcal{K}_\beta$:

$\frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathcal{N}\mathcal{K}(Hyp)$	$\frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathcal{N}\mathcal{K}(\beta)$
$\frac{\Phi * \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \neg \mathbf{A}} \mathcal{N}\mathcal{K}(\neg I)$	$\frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathcal{N}\mathcal{K}(\neg E)$
$\frac{\Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathcal{N}\mathcal{K}(\vee I_L)$	$\frac{\Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathcal{N}\mathcal{K}(\vee I_R)$

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

ND for HOL: Base Calculus $\mathcal{N}\mathcal{K}_\beta$

$\mathcal{N}\mathcal{K}_\beta$:

$\frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathcal{N}\mathcal{K}(Hyp)$	$\frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathcal{N}\mathcal{K}(\beta)$
$\frac{\Phi * \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \neg \mathbf{A}} \mathcal{N}\mathcal{K}(\neg I)$	$\frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathcal{N}\mathcal{K}(\neg E)$
$\frac{\Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathcal{N}\mathcal{K}(\vee I_L)$	$\frac{\Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathcal{N}\mathcal{K}(\vee I_R)$
$\frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathcal{N}\mathcal{K}(\vee E)$	

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

ND for HOL: Base Calculus $\mathcal{N}\mathcal{K}_\beta$

$\mathcal{N}\mathcal{K}_\beta$:

$$\begin{array}{c}
 \frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathcal{N}\mathcal{K}(Hyp) \qquad \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathcal{N}\mathcal{K}(\beta) \\
 \\
 \frac{\Phi * \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \neg \mathbf{A}} \mathcal{N}\mathcal{K}(\neg I) \qquad \frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathcal{N}\mathcal{K}(\neg E) \\
 \\
 \frac{\Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathcal{N}\mathcal{K}(\vee I_L) \qquad \frac{\Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathcal{N}\mathcal{K}(\vee I_R) \\
 \\
 \frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathcal{N}\mathcal{K}(\vee E) \\
 \\
 \frac{\Phi \Vdash \mathbf{G}w_\alpha \quad \text{w new parameter}}{\Phi \Vdash \Pi^\alpha \mathbf{G}} \mathcal{N}\mathcal{K}(\Pi I)^w
 \end{array}$$

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

ND for HOL: Base Calculus $\mathfrak{N}\mathfrak{K}_\beta$

$\mathfrak{N}\mathfrak{K}_\beta$:

$\frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(Hyp)$	$\frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\beta)$
$\frac{\Phi * \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \neg \mathbf{A}} \mathfrak{N}\mathfrak{K}(\neg I)$	$\frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathfrak{K}(\neg E)$
$\frac{\Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}\mathfrak{K}(\vee I_L)$	$\frac{\Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}\mathfrak{K}(\vee I_R)$
$\frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathfrak{K}(\vee E)$	
$\frac{\Phi \Vdash \mathbf{G}w_\alpha \quad w \text{ new parameter}}{\Phi \Vdash \Pi^\alpha \mathbf{G}} \mathfrak{N}\mathfrak{K}(\Pi I)^w$	
$\frac{\Phi \Vdash \Pi^\alpha \mathbf{G}}{\Phi \Vdash \mathbf{G}\mathbf{A}} \mathfrak{N}\mathfrak{K}(\Pi E)$	

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

ND for HOL: Base Calculus $\mathfrak{N}\mathfrak{K}_\beta$

$\mathfrak{N}\mathfrak{K}_\beta$:

$\frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(Hyp)$	$\frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\beta)$
$\frac{\Phi * \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \neg \mathbf{A}} \mathfrak{N}\mathfrak{K}(\neg I)$	$\frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathfrak{K}(\neg E)$
$\frac{\Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}\mathfrak{K}(\vee I_L)$	$\frac{\Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}\mathfrak{K}(\vee I_R)$
$\frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathfrak{K}(\vee E)$	
$\frac{\Phi \Vdash \mathbf{G}w_\alpha \quad w \text{ new parameter}}{\Phi \Vdash \Pi^\alpha \mathbf{G}} \mathfrak{N}\mathfrak{K}(\Pi I)^w$	
$\frac{\Phi \Vdash \Pi^\alpha \mathbf{G}}{\Phi \Vdash \mathbf{G}\mathbf{A}} \mathfrak{N}\mathfrak{K}(\Pi E)$	$\frac{\Phi * \neg \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(Contr)$

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

ND for HOL: Rules for Richer Signatures



Inference rules for $\mathfrak{N}\mathfrak{K}_\beta$ (for richer signatures)

$$\frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(\wedge E_L)$$

ND for HOL: Rules for Richer Signatures



Inference rules for $\mathfrak{N}\mathfrak{K}_\beta$ (for richer signatures)

$$\frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(\wedge E_L) \quad \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge E_R)$$

ND for HOL: Rules for Richer Signatures



Inference rules for $\mathfrak{N}\mathfrak{K}_\beta$ (for richer signatures)

$$\frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(\wedge E_L) \quad \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge E_R) \quad \frac{\Phi \Vdash \mathbf{A} \quad \Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge I)$$

ND for HOL: Rules for Richer Signatures



Inference rules for $\mathfrak{N}\mathfrak{K}_\beta$ (for richer signatures)

$$\begin{array}{c} \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(\wedge E_L) \quad \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge E_R) \quad \frac{\Phi \Vdash \mathbf{A} \quad \Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge I) \\[1em] \frac{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow E) \end{array}$$

ND for HOL: Rules for Richer Signatures



Inference rules for $\mathfrak{N}\mathfrak{K}_\beta$ (for richer signatures)

$$\begin{array}{c} \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(\wedge E_L) \quad \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge E_R) \quad \frac{\Phi \Vdash \mathbf{A} \quad \Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge I) \\[1em] \frac{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow E) \quad \frac{\Phi, \mathbf{A} \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow I) \end{array}$$

ND for HOL: Rules for Richer Signatures



Inference rules for $\mathfrak{N}\mathfrak{K}_\beta$ (for richer signatures)

$$\begin{array}{c} \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(\wedge E_L) \quad \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge E_R) \quad \frac{\Phi \Vdash \mathbf{A} \quad \Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge I) \\[10pt] \frac{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow E) \quad \frac{\Phi, \mathbf{A} \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow I) \\[10pt] \frac{\Phi \Vdash \mathbf{GT}_\alpha}{\Phi \Vdash \Sigma^\alpha \mathbf{G}} \mathfrak{N}\mathfrak{K}(\Sigma I) \end{array}$$

ND for HOL: Rules for Richer Signatures



Inference rules for $\mathfrak{N}\mathfrak{K}_\beta$ (for richer signatures)

$$\begin{array}{c}
 \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(\wedge E_L) \quad \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge E_R) \quad \frac{\Phi \Vdash \mathbf{A} \quad \Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge I) \\
 \\
 \frac{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow E) \quad \frac{\Phi, \mathbf{A} \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow I) \\
 \\
 \frac{\Phi \Vdash \mathbf{G}\mathbf{T}_\alpha}{\Phi \Vdash \Sigma^\alpha \mathbf{G}} \mathfrak{N}\mathfrak{K}(\Sigma I) \quad \frac{\Phi \Vdash \Sigma^\alpha \mathbf{G} \quad \Phi * \mathbf{G}w_\alpha \Vdash \mathbf{C} \quad w \text{ new parameter}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathfrak{K}(\Sigma E)
 \end{array}$$

ND for HOL: Rules for Richer Signatures



Inference rules for $\mathfrak{N}\mathfrak{K}_\beta$ (for richer signatures)

$$\begin{array}{c}
 \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(\wedge E_L) \quad \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge E_R) \quad \frac{\Phi \Vdash \mathbf{A} \quad \Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge I) \\
 \\
 \frac{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow E) \quad \frac{\Phi, \mathbf{A} \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow I) \\
 \\
 \frac{\Phi \Vdash \mathbf{G}\mathbf{T}_\alpha}{\Phi \Vdash \Sigma^\alpha \mathbf{G}} \mathfrak{N}\mathfrak{K}(\Sigma I) \quad \frac{\Phi \Vdash \Sigma^\alpha \mathbf{G} \quad \Phi * \mathbf{G}w_\alpha \Vdash \mathbf{C} \quad w \text{ new parameter}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathfrak{K}(\Sigma E) \\
 \\
 \frac{\Phi \Vdash \mathbf{T} =^\alpha \mathbf{W} \quad \Phi \Vdash \mathbf{A}[\mathbf{T}]}{\Phi \Vdash \mathbf{A}[\mathbf{W}]} \mathfrak{N}\mathfrak{K}(= \textit{Subst})
 \end{array}$$

ND for HOL: Rules for Richer Signatures



Inference rules for $\mathfrak{N}\mathfrak{K}_\beta$ (for richer signatures)

$$\begin{array}{c}
 \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(\wedge E_L) \quad \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge E_R) \quad \frac{\Phi \Vdash \mathbf{A} \quad \Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge I) \\
 \\
 \frac{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow E) \quad \frac{\Phi, \mathbf{A} \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow I) \\
 \\
 \frac{\Phi \Vdash \mathbf{G}\mathbf{T}_\alpha}{\Phi \Vdash \Sigma^\alpha \mathbf{G}} \mathfrak{N}\mathfrak{K}(\Sigma I) \quad \frac{\Phi \Vdash \Sigma^\alpha \mathbf{G} \quad \Phi * \mathbf{G}w_\alpha \Vdash \mathbf{C} \quad w \text{ new parameter}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathfrak{K}(\Sigma E) \\
 \\
 \frac{\Phi \Vdash \mathbf{T} =^\alpha \mathbf{W} \quad \Phi \Vdash \mathbf{A}[\mathbf{T}]}{\Phi \Vdash \mathbf{A}[\mathbf{W}]} \mathfrak{N}\mathfrak{K}(= Subst) \quad \frac{}{\Phi \Vdash \mathbf{A} = \mathbf{A}} \mathfrak{N}\mathfrak{K}(= Refl)
 \end{array}$$

ND for HOL: Rules for Richer Signatures



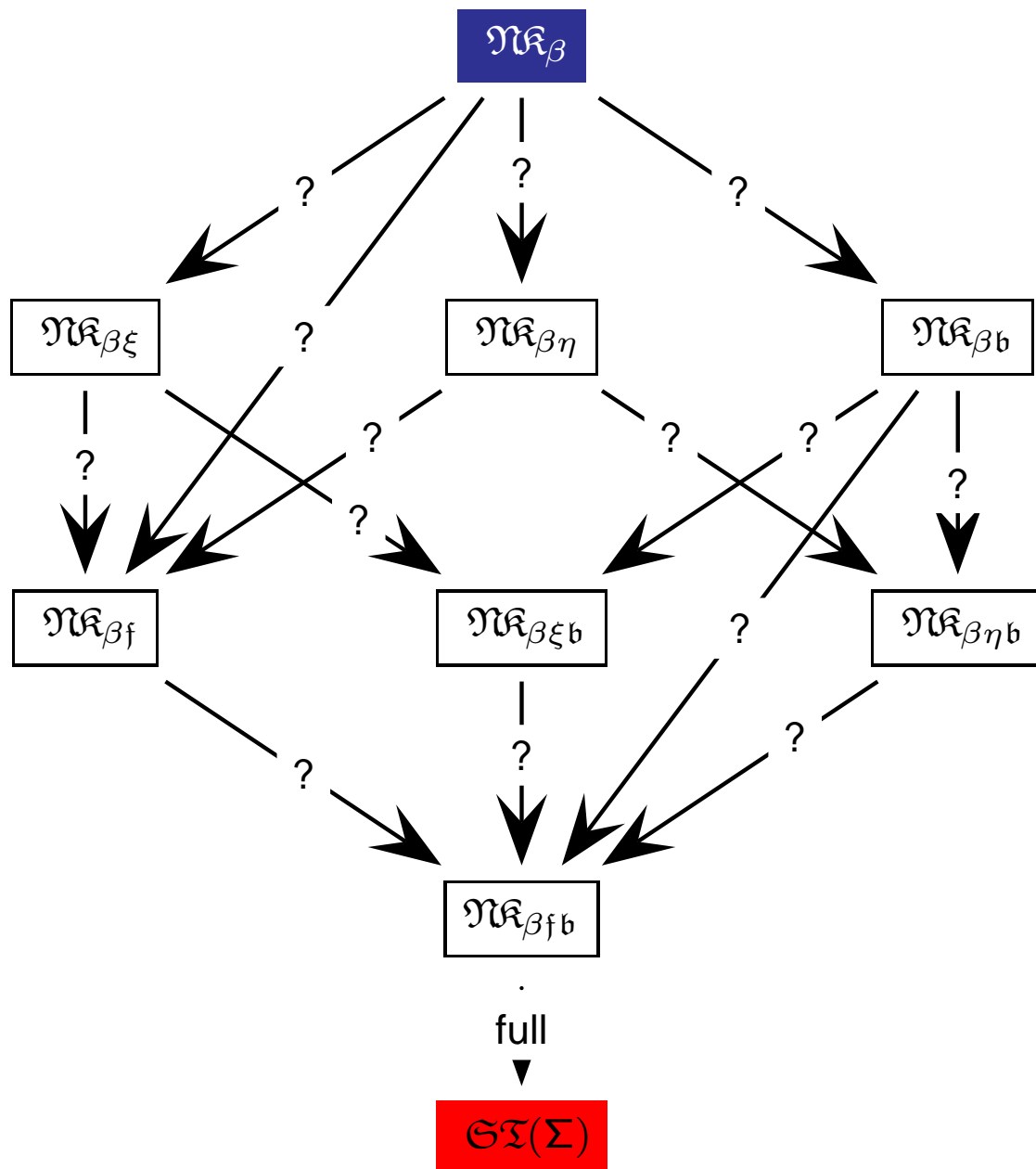
Inference rules for $\mathfrak{N}\mathfrak{K}_\beta$ (for richer signatures)

$$\begin{array}{c}
 \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{A}} \mathfrak{N}\mathfrak{K}(\wedge E_L) \quad \frac{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge E_R) \quad \frac{\Phi \Vdash \mathbf{A} \quad \Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \wedge \mathbf{B}} \mathfrak{N}\mathfrak{K}(\wedge I) \\
 \\
 \frac{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow E) \quad \frac{\Phi, \mathbf{A} \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \Rightarrow \mathbf{B}} \mathfrak{N}\mathfrak{K}(\Rightarrow I) \\
 \\
 \frac{\Phi \Vdash \mathbf{G}\mathbf{T}_\alpha}{\Phi \Vdash \Sigma^\alpha \mathbf{G}} \mathfrak{N}\mathfrak{K}(\Sigma I) \quad \frac{\Phi \Vdash \Sigma^\alpha \mathbf{G} \quad \Phi * \mathbf{G}w_\alpha \Vdash \mathbf{C} \quad w \text{ new parameter}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathfrak{K}(\Sigma E) \\
 \\
 \frac{\Phi \Vdash \mathbf{T} =^\alpha \mathbf{W} \quad \Phi \Vdash \mathbf{A}[\mathbf{T}]}{\Phi \Vdash \mathbf{A}[\mathbf{W}]} \mathfrak{N}\mathfrak{K}(= Subst) \quad \frac{}{\Phi \Vdash \mathbf{A} = \mathbf{A}} \mathfrak{N}\mathfrak{K}(= Refl)
 \end{array}$$

Here: we define logical constants $\wedge, \Rightarrow, \Sigma$, etc. in terms of \neg, \vee, Π as usual and strictly use Leibniz equality instead of primitive equality; then the above rules are not needed

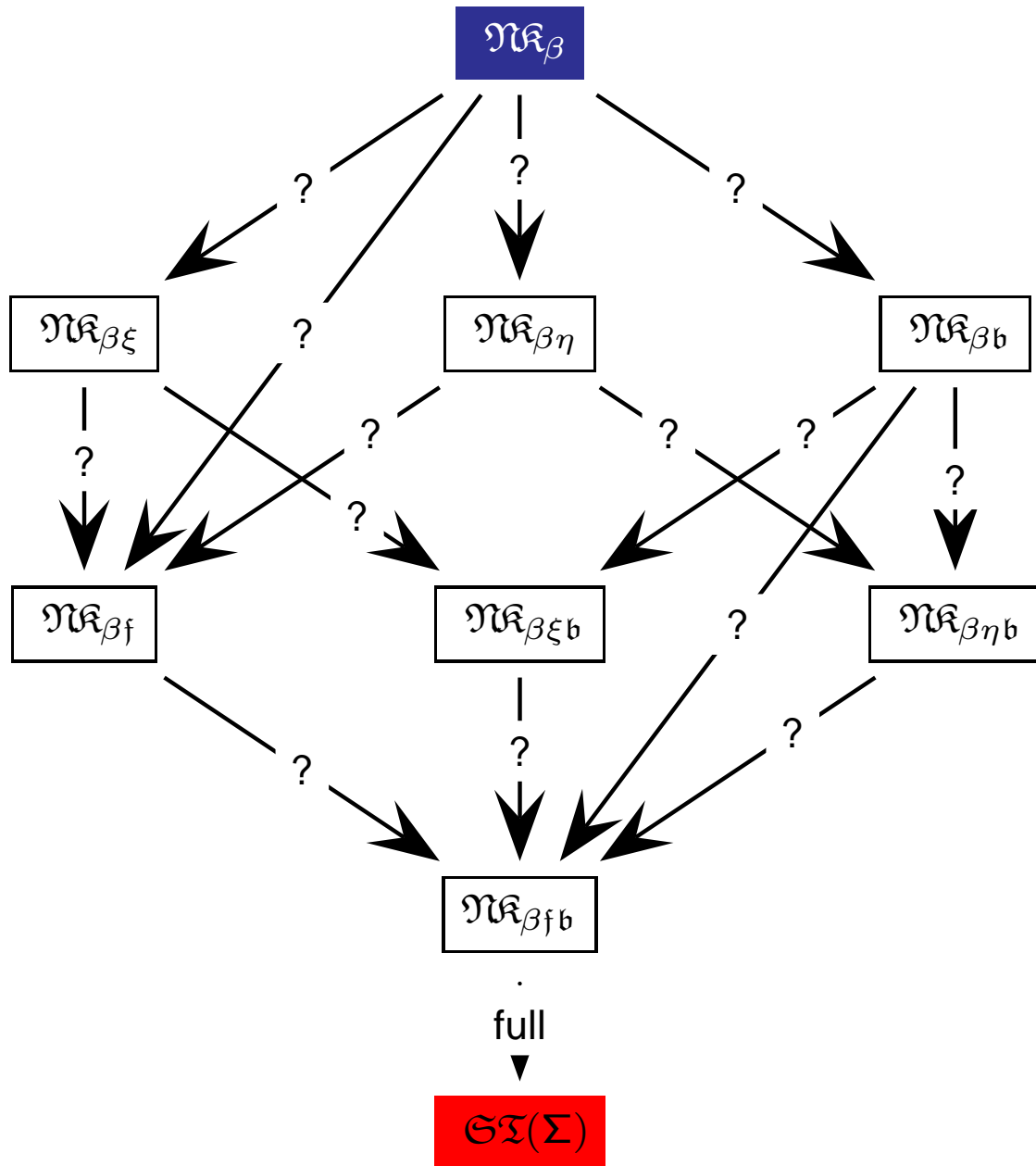
ND for HOL: Extensionality Rules

Base Calculus $\mathcal{N}\mathcal{K}_\beta$

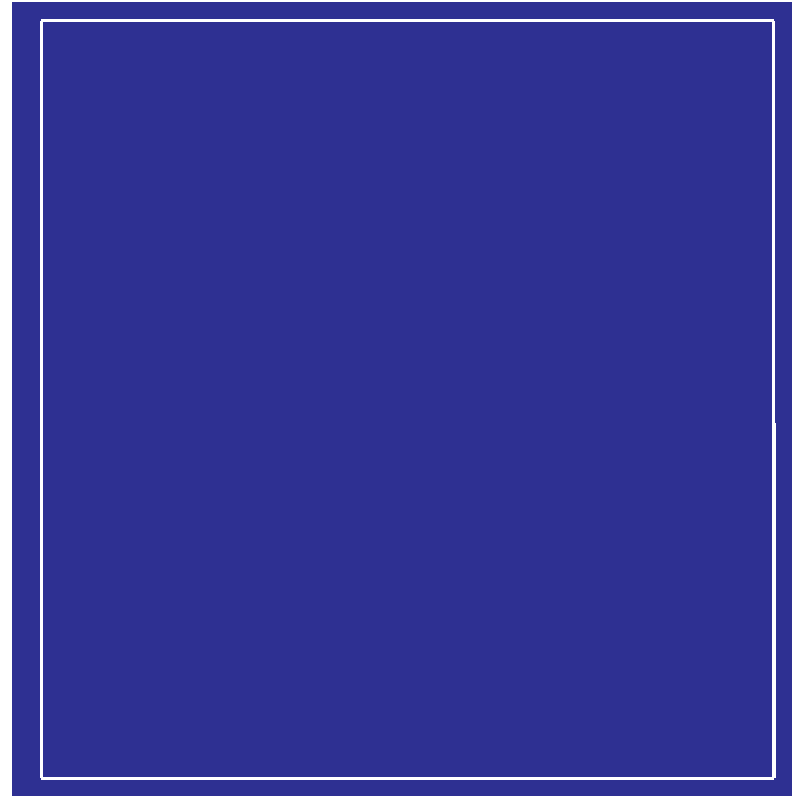


$\text{--- } \mathcal{N}\mathcal{K}(Hyp) \quad \text{--- } \mathcal{N}\mathcal{K}(\beta)$
 $\text{--- } \mathcal{N}\mathcal{K}(\neg I) \quad \text{--- } \mathcal{N}\mathcal{K}(\neg E)$
 $\text{--- } \mathcal{N}\mathcal{K}(\vee I_L) \quad \text{--- } \mathcal{N}\mathcal{K}(\vee I_R)$
 $\text{--- } \mathcal{N}\mathcal{K}(\vee E)$
 $\text{--- } \mathcal{N}\mathcal{K}(\Pi I)^w$
 $\text{--- } \mathcal{N}\mathcal{K}(\Pi E) \quad \text{--- } \mathcal{N}\mathcal{K}(Contr)$

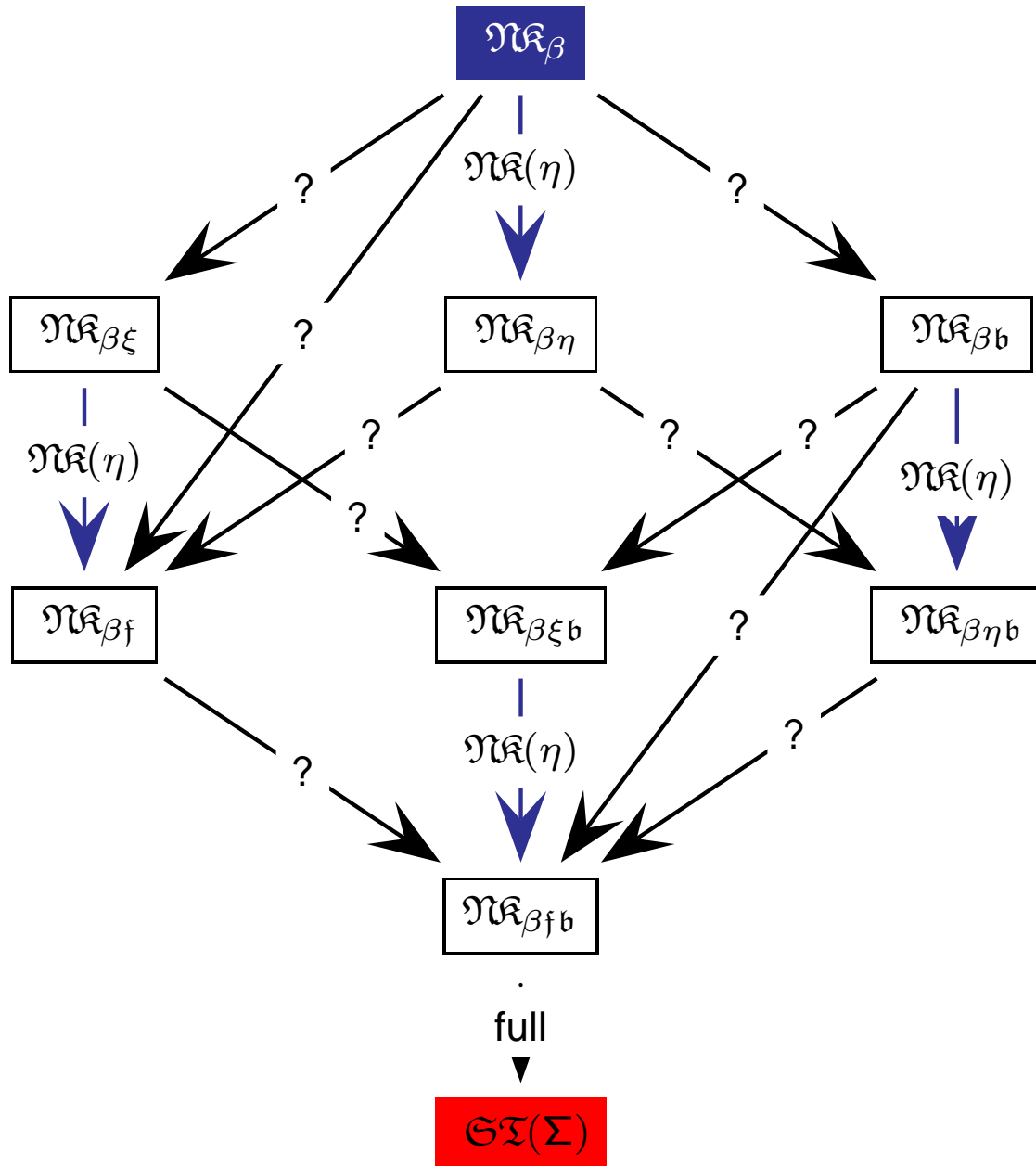
ND for HOL: Extensionality Rules



Optional Extensionality Rules



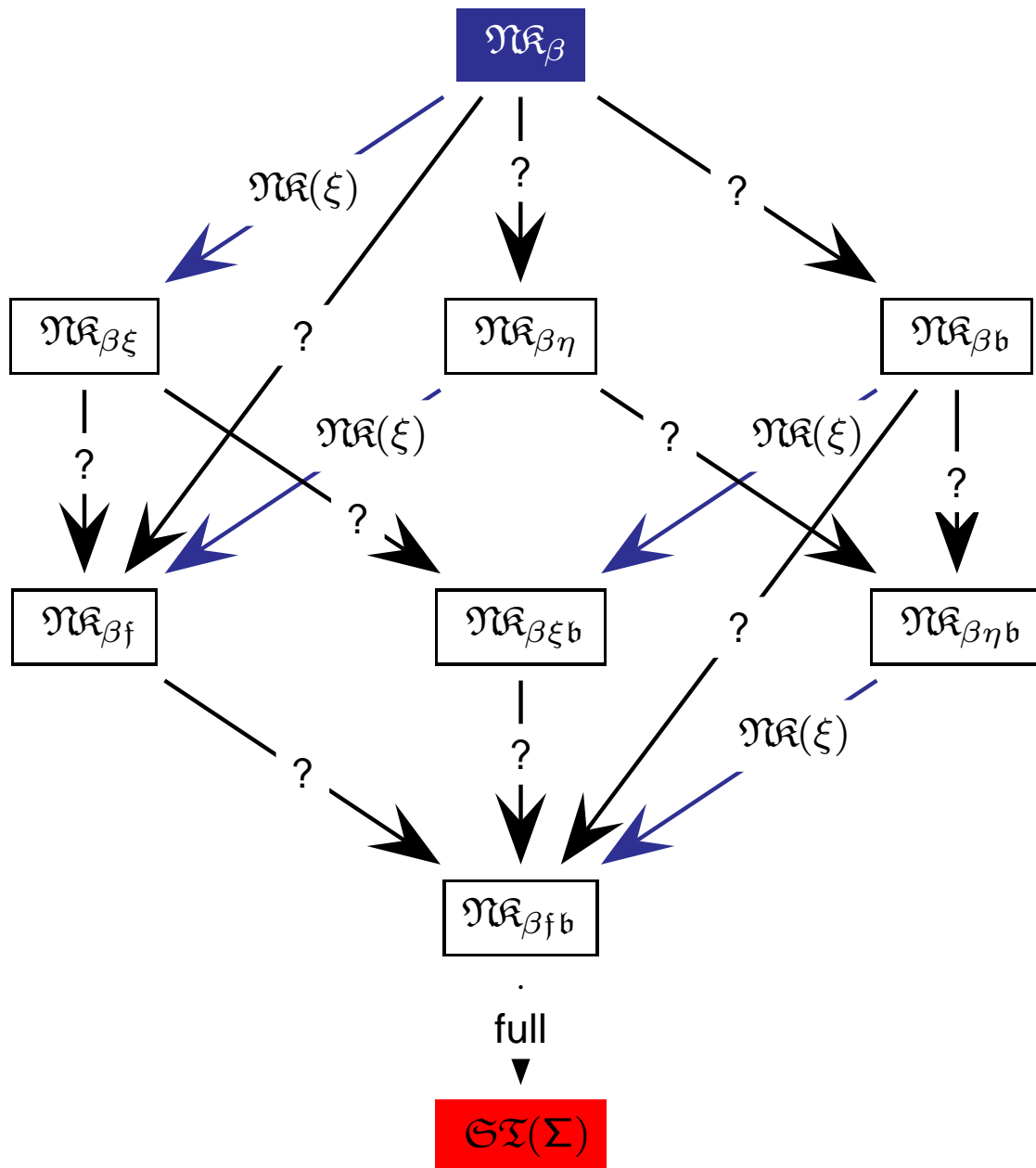
ND for HOL: Extensionality Rules



Optional Extensionality Rules

$$\frac{A \stackrel{\beta\eta}{=} B \quad \phi \Vdash A}{\phi \Vdash B} \mathfrak{K}(\eta)$$

ND for HOL: Extensionality Rules

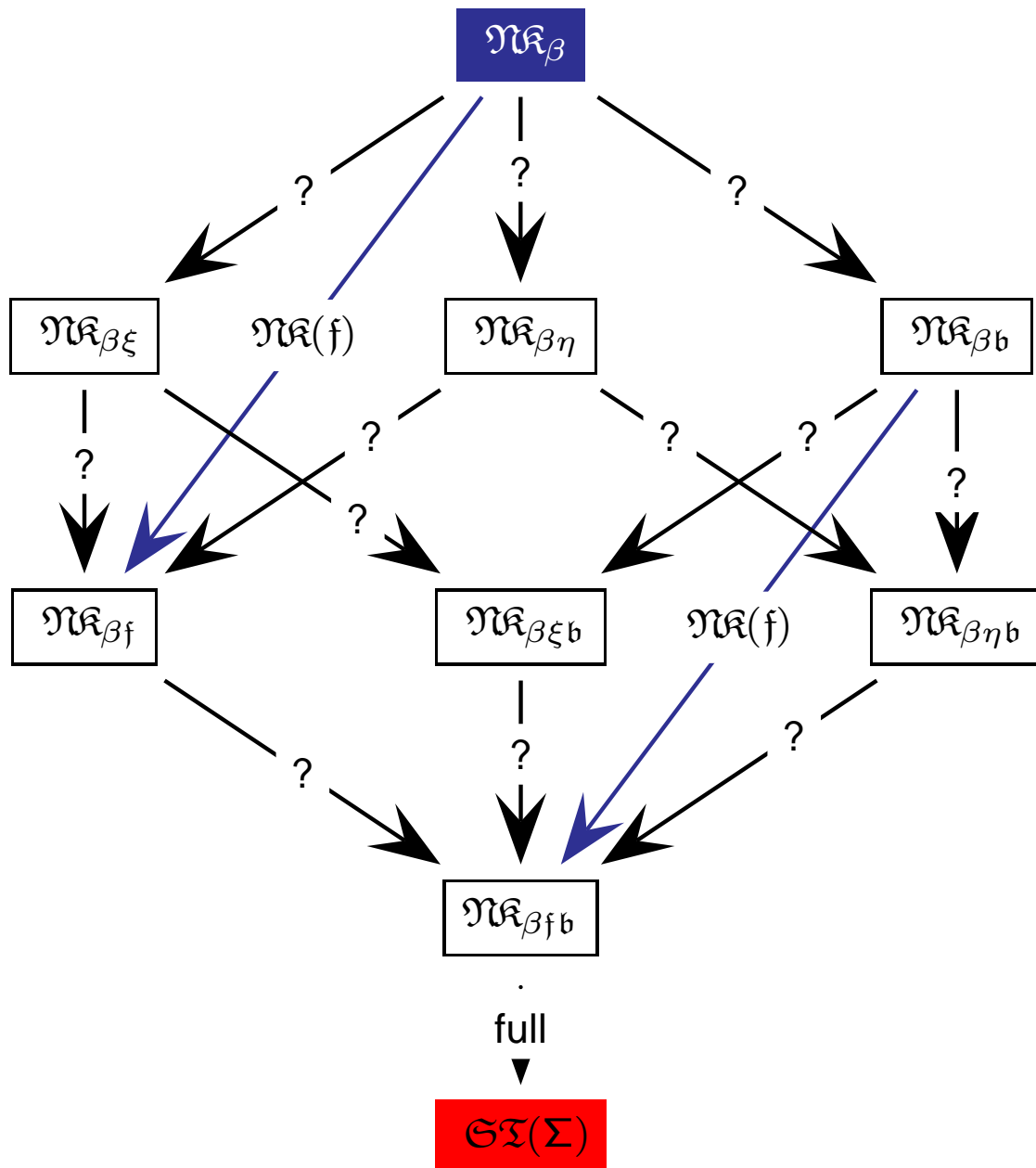


Optional Extensionality Rules

$$\frac{A \stackrel{\beta\eta}{=} B \quad \Phi \Vdash A}{\Phi \Vdash B} \mathcal{K}(\eta)$$

$$\frac{\Phi \Vdash \forall x_\alpha. M \doteq^\beta N}{\Phi \Vdash (\lambda x_\alpha. M) \doteq^{\beta\alpha} (\lambda x_\alpha. N)} \mathcal{K}(\xi)$$

ND for HOL: Extensionality Rules



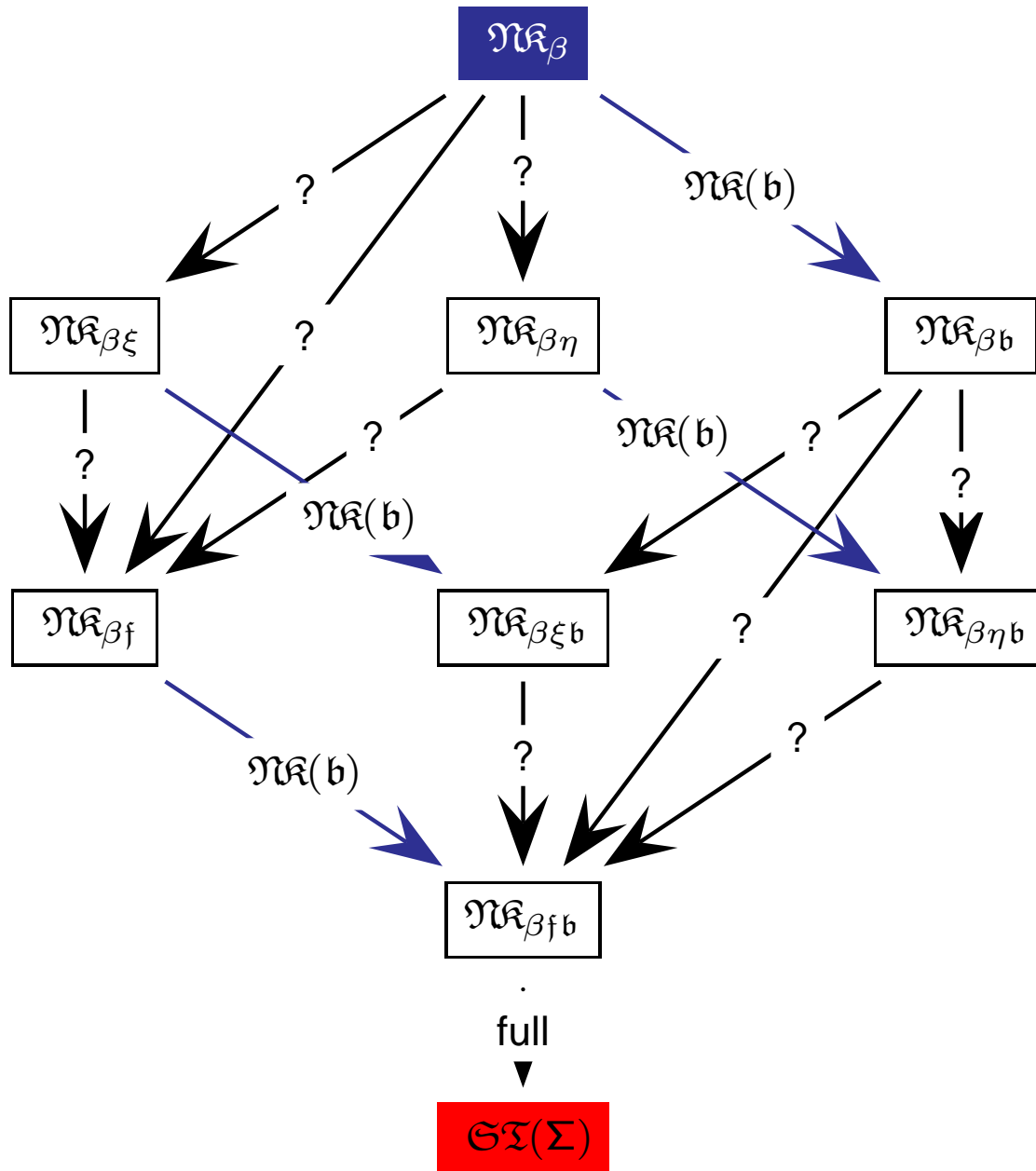
Optional Extensionality Rules

$$\frac{A \stackrel{\beta\eta}{=} B \quad \Phi \Vdash A}{\Phi \Vdash B} \mathfrak{K}(\eta)$$

$$\frac{\Phi \Vdash \forall x_\alpha. M \doteq^\beta N}{\Phi \Vdash (\lambda x_\alpha. M) \doteq^{\beta\alpha} (\lambda x_\alpha. N)} \mathfrak{K}(\xi)$$

$$\frac{\Phi \Vdash \forall x_\alpha. Gx \doteq^\beta Hx}{\Phi \Vdash G \doteq^{\beta\alpha} H} \mathfrak{K}(f)$$

ND for HOL: Extensionality Rules



Optional Extensionality Rules

$$\begin{array}{c}
 \frac{A \stackrel{\beta\eta}{=} B \quad \Phi \Vdash A}{\Phi \Vdash B} \mathfrak{K}(\eta) \\
 \\
 \frac{\Phi \Vdash \forall x_\alpha. M \doteq^\beta N}{\Phi \Vdash (\lambda x_\alpha. M) \doteq^{\beta\alpha} (\lambda x_\alpha. N)} \mathfrak{K}(\xi) \\
 \\
 \frac{\Phi \Vdash \forall x_\alpha. Gx \doteq^\beta Hx}{\Phi \Vdash G \doteq^{\beta\alpha} H} \mathfrak{K}(f) \\
 \\
 \frac{\Phi * A \Vdash B \quad \Phi * B \Vdash A}{\Phi \Vdash A \doteq^\circ B} \mathfrak{K}(b)
 \end{array}$$

ND Calculi for HOL



Defn.: The Calculi $\mathcal{N}\mathcal{K}_*$

Defn.: The Calculi \mathcal{NK}_*

- ▶ The calculus \mathcal{NK}_β consists of the inference rules for \mathcal{NK}_β for the provability judgment \Vdash between sets of sentences Φ and sentences A .

Defn.: The Calculi \mathcal{NK}_*

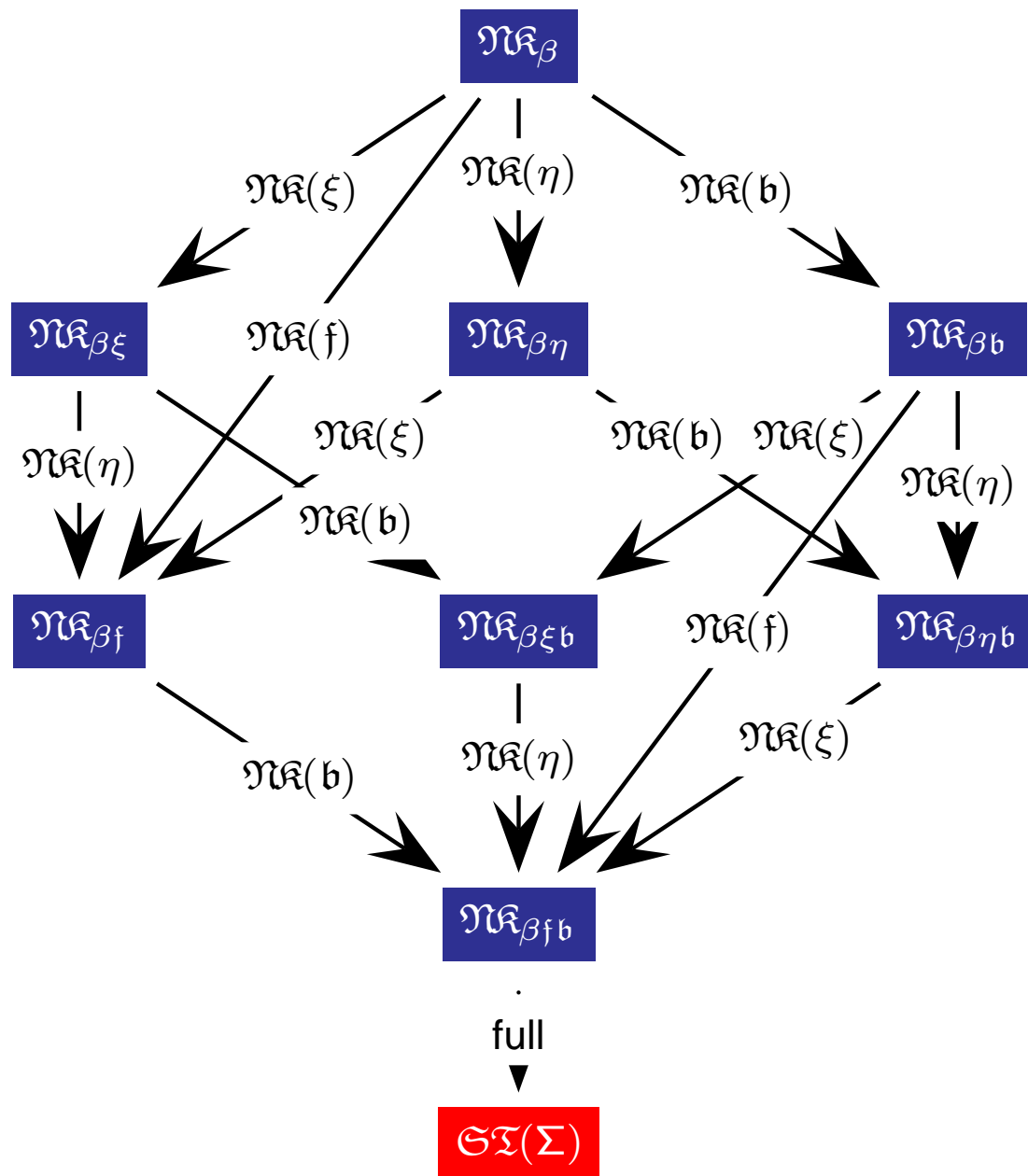
- ▶ The calculus \mathcal{NK}_β consists of the inference rules for \mathcal{NK}_β for the provability judgment \vdash between sets of sentences Φ and sentences A .
- ▶ We write $\vdash A$ for $\emptyset \vdash A$.

Defn.: The Calculi \mathcal{NK}_*

- ▶ The calculus \mathcal{NK}_β consists of the inference rules for \mathcal{NK}_β for the provability judgment \vdash between sets of sentences Φ and sentences A .
- ▶ We write $\vdash A$ for $\emptyset \vdash A$.
- ▶ For $* \in \{\beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$ we obtain the calculus \mathcal{NK}_* by adding the respective extensionality rules when specified in $*$:

$$\mathcal{NK}_{\beta\eta}, \mathcal{NK}_{\beta\xi}, \mathcal{NK}_{\beta f}, \mathcal{NK}_{\beta b}, \mathcal{NK}_{\beta\eta b}, \mathcal{NK}_{\beta\xi b}, \mathcal{NK}_{\beta f b}$$

ND for HOL: The Complete Picture



Base Calculus $\mathcal{N}\mathcal{R}_\beta$

$\text{--- } \mathcal{N}\mathcal{R}(Hyp) \quad \text{--- } \mathcal{N}\mathcal{R}(\beta)$
 $\text{--- } \mathcal{N}\mathcal{R}(\neg I) \quad \text{--- } \mathcal{N}\mathcal{R}(\neg E)$
 $\text{--- } \mathcal{N}\mathcal{R}(\vee I_L) \quad \text{--- } \mathcal{N}\mathcal{R}(\vee I_R)$
 $\text{--- } \mathcal{N}\mathcal{R}(\vee E)$
 $\text{--- } \mathcal{N}\mathcal{R}(\Pi I)^w$
 $\text{--- } \mathcal{N}\mathcal{R}(\Pi E) \quad \text{--- } \mathcal{N}\mathcal{R}(Contr)$

Optional Extensionality Rules

$\text{--- } \mathcal{N}\mathcal{R}(\eta) \quad \text{--- } \mathcal{N}\mathcal{R}(\xi)$
 $\text{--- } \mathcal{N}\mathcal{R}(f) \quad \text{--- } \mathcal{N}\mathcal{R}(b)$

ND Example Proof in \mathcal{NK}_β



Derivation of:

$$(A \doteq^\alpha A)$$

ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\frac{}{\Vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \dot{=}^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA)))} \mathfrak{N}\mathfrak{K}(III)$$

Derivation of:

$$(A \dot{=}^\alpha A)$$

ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\frac{\frac{}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)} \mathfrak{N}\mathfrak{K}(\beta)}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \doteq^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA)))} \mathfrak{N}\mathfrak{K}(III)$$

Derivation of:

$$(A \doteq^\alpha A)$$

ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\frac{\frac{\frac{}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)} \mathfrak{N}\mathfrak{K}(\beta)}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \doteq^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA)))} \mathfrak{N}\mathfrak{K}(Contr) \mathfrak{N}\mathfrak{K}(III)$$

Derivation of:

$$(A \doteq^\alpha A)$$

ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\begin{array}{c}
 \hline
 \Phi^1 := \{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \mathbf{F}_o \quad \mathfrak{N}\mathfrak{K}(\neg E) \\
 \hline
 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \quad \mathfrak{N}\mathfrak{K}(Contr) \\
 \hline
 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q) \quad \mathfrak{N}\mathfrak{K}(\beta) \\
 \hline
 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \doteq^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA))) \quad \mathfrak{N}\mathfrak{K}(III)
 \end{array}$$

Derivation of:

$$(A \doteq^\alpha A)$$

ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\begin{array}{c}
 \Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \\
 \hline
 \Phi^1 := \{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \mathbf{F}_o \quad \mathfrak{N}\mathfrak{K}(\neg E) \\
 \hline
 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \quad \mathfrak{N}\mathfrak{K}(Contr) \\
 \hline
 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q) \quad \mathfrak{N}\mathfrak{K}(\beta) \\
 \hline
 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \doteq^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA))) \quad \mathfrak{N}\mathfrak{K}(III)
 \end{array}$$

Derivation of:

$$(A \doteq^\alpha A)$$

ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\begin{array}{c}
 \frac{\Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \quad \frac{}{\Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \{\neg(\neg(qA) \vee (qA))\}} \mathfrak{N}\mathfrak{K}(Hyp)}{\Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \{\neg(\neg(qA) \vee (qA))\} \mathbf{F}_o} \mathfrak{N}\mathfrak{K}(\neg E) \\
 \frac{}{\Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)} \mathfrak{N}\mathfrak{K}(Contr) \\
 \frac{}{\Vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)} \mathfrak{N}\mathfrak{K}(\beta) \\
 \frac{}{\Vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \doteq^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA)))} \mathfrak{N}\mathfrak{K}(III)
 \end{array}$$

Derivation of:

$$(A \doteq^\alpha A)$$

ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\begin{array}{c}
 \frac{\Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \quad \frac{}{\Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \{\neg(\neg(qA) \vee (qA))\}} \mathfrak{N}\mathfrak{K}(Hyp)}{\Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \{\neg(\neg(qA) \vee (qA))\} \mathbf{F}_o} \mathfrak{N}\mathfrak{K}(\neg E) \\
 \frac{}{\Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)} \mathfrak{N}\mathfrak{K}(Contr) \\
 \frac{}{\Vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)} \mathfrak{N}\mathfrak{K}(\beta) \\
 \frac{}{\Vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \doteq^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA)))} \mathfrak{N}\mathfrak{K}(III)
 \end{array}$$

Derivation of:

$$(A \doteq^\alpha A)$$

ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\begin{array}{c}
 \text{See Next Slide} \quad \frac{}{\mathfrak{N}\mathfrak{K}(Hyp)} \\
 \frac{\Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \quad \Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \{\neg(\neg(qA) \vee (qA))\}}{\mathfrak{N}\mathfrak{K}(\neg E)} \\
 \frac{\Phi^1 := \{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \mathbf{F}_o}{\mathfrak{N}\mathfrak{K}(Contr)} \\
 \frac{\Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)}{\mathfrak{N}\mathfrak{K}(\beta)} \\
 \frac{\Vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)}{\mathfrak{N}\mathfrak{K}(III)} \\
 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \doteq^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA)))
 \end{array}$$

Derivation of:

$$(A \doteq^\alpha A)$$

ND Example Proof in \mathfrak{NA}_β



Derivation of:

$$\{\neg(\neg p \vee p)\} \Vdash_{\mathfrak{NA}_\beta} \neg p \vee p$$

resp. $\{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathfrak{NA}_\beta} \neg(qA) \vee (qA)$

ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\frac{}{\{\neg(\neg p \vee p)\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p} \mathfrak{N}\mathfrak{K}(\vee I_L)$$

Derivation of:

$$\{\neg(\neg p \vee p)\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p$$

resp. $\{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)$

ND Example Proof in \mathcal{NK}_β



$$\frac{\frac{\frac{}{\{ \neg(\neg p \vee p) \} \Vdash_{\mathcal{NK}_\beta} \neg p} \quad \mathcal{NK}(\neg I)}{\{ \neg(\neg p \vee p) \} \Vdash_{\mathcal{NK}_\beta} \neg p \vee p} \quad \mathcal{NK}(\vee I_L)}{\{ \neg(\neg p \vee p) \} \Vdash_{\mathcal{NK}_\beta} \neg p \vee p}$$

Derivation of:

$$\{ \neg(\neg p \vee p) \} \Vdash_{\mathcal{NK}_\beta} \neg p \vee p$$

resp. $\{ \neg(\neg(qA) \vee (qA)) \} \Vdash_{\mathcal{NK}_\beta} \neg(qA) \vee (qA)$

ND Example Proof in \mathcal{NK}_β



$$\begin{array}{c}
 \hline
 \Phi^2 := \{\neg(\neg p \vee p), p\} \Vdash_{\mathcal{NK}_\beta} \mathbf{F}_o \\
 \hline
 \{\neg(\neg p \vee p)\} \Vdash_{\mathcal{NK}_\beta} \neg p \quad \mathcal{NK}(\neg I) \\
 \hline
 \{\neg(\neg p \vee p)\} \Vdash_{\mathcal{NK}_\beta} \neg p \vee p \quad \mathcal{NK}(\vee I_L) \\
 \hline
 \end{array}
 \quad \mathcal{NK}(\neg E)$$

Derivation of:

$$\begin{array}{c}
 \{\neg(\neg p \vee p)\} \Vdash_{\mathcal{NK}_\beta} \neg p \vee p \\
 \text{resp. } \{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathcal{NK}_\beta} \neg(qA) \vee (qA)
 \end{array}$$

ND Example Proof in \mathcal{NK}_β



$$\begin{array}{c}
 \frac{}{\Phi^2 \Vdash_{\mathcal{NK}_\beta} \neg(\neg p \vee p)} \mathcal{NK}(Hyp) \\
 \hline
 \frac{}{\Phi^2 \Vdash_{\mathcal{NK}_\beta} \neg(\neg p \vee p)} \mathcal{NK}(\neg E) \\
 \frac{\Phi^2 := \{\neg(\neg p \vee p), p\} \Vdash_{\mathcal{NK}_\beta} \mathbf{F}_o}{\{\neg(\neg p \vee p)\} \Vdash_{\mathcal{NK}_\beta} \neg p} \mathcal{NK}(\neg I) \\
 \hline
 \frac{\{\neg(\neg p \vee p)\} \Vdash_{\mathcal{NK}_\beta} \neg p}{\{\neg(\neg p \vee p)\} \Vdash_{\mathcal{NK}_\beta} \neg p \vee p} \mathcal{NK}(\vee I_L)
 \end{array}$$

Derivation of:

$$\begin{array}{c}
 \{\neg(\neg p \vee p)\} \Vdash_{\mathcal{NK}_\beta} \neg p \vee p \\
 \text{resp. } \{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathcal{NK}_\beta} \neg(qA) \vee (qA)
 \end{array}$$

ND Example Proof in \mathcal{NK}_β



$$\begin{array}{c}
 \frac{}{\Phi^2 \Vdash_{\mathcal{NK}_\beta} \neg(\neg p \vee p)} \mathcal{NK}(Hyp) \qquad \frac{}{\Phi^2 \Vdash_{\mathcal{NK}_\beta} \neg p \vee p} \mathcal{NK}(\vee I_R) \\
 \hline
 \frac{}{\Phi^2 \Vdash_{\mathcal{NK}_\beta} \neg(\neg p \vee p)} \mathcal{NK}(\neg E) \\
 \frac{}{\Phi^2 := \{\neg(\neg p \vee p), p\} \Vdash_{\mathcal{NK}_\beta} \mathbf{F}_o} \mathcal{NK}(\neg I) \\
 \frac{}{\{\neg(\neg p \vee p)\} \Vdash_{\mathcal{NK}_\beta} \neg p} \mathcal{NK}(\vee I_L) \\
 \hline
 \{\neg(\neg p \vee p)\} \Vdash_{\mathcal{NK}_\beta} \neg p \vee p
 \end{array}$$

Derivation of:

$$\begin{array}{c}
 \{\neg(\neg p \vee p)\} \Vdash_{\mathcal{NK}_\beta} \neg p \vee p \\
 \text{resp. } \{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathcal{NK}_\beta} \neg(qA) \vee (qA)
 \end{array}$$

ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\begin{array}{c}
 \frac{}{\Phi^2 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(\neg p \vee p)} \mathfrak{N}\mathfrak{K}(Hyp) \qquad \frac{}{\Phi^2 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} p} \mathfrak{N}\mathfrak{K}(Hyp) \\
 \frac{}{\Phi^2 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p} \mathfrak{N}\mathfrak{K}(\vee I_R) \\
 \frac{}{\Phi^2 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(\neg p \vee p)} \mathfrak{N}\mathfrak{K}(\neg E) \\
 \frac{}{\Phi^2 := \{\neg(\neg p \vee p), p\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \mathbf{F}_o} \mathfrak{N}\mathfrak{K}(\neg I) \\
 \frac{}{\{\neg(\neg p \vee p)\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p} \mathfrak{N}\mathfrak{K}(\vee I_L) \\
 \frac{}{\{\neg(\neg p \vee p)\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p}
 \end{array}$$

Derivation of:

$$\begin{array}{c}
 \{\neg(\neg p \vee p)\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p \\
 \text{resp. } \{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)
 \end{array}$$

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \vdash_{\mathcal{NK}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Soundness of $\mathcal{N}\mathcal{K}_*$

Thm.: $\mathcal{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{N}\mathcal{K}_*} C$ is derivable, then $\mathcal{M} \models C$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: By induction on the derivation of $\Phi \Vdash_{\mathcal{N}\mathcal{K}_*} C$

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{NK}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (base case)

$$\frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \mathcal{NK}(Hyp)$$

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{NK}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (base case)

$$\frac{\mathbf{C} \in \Phi}{\Phi \Vdash \mathbf{C}} \mathcal{NK}(Hyp)$$

$\mathcal{M} \models \mathbf{C}$ whenever $\mathcal{M} \models \Phi$ and $\mathbf{C} \in \Phi$.

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{NK}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\mathbf{A} =_{\beta} \mathbf{C} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathcal{NK}(\beta)$$

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\mathbf{A} =_{\beta} \mathbf{C} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\beta)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash \mathbf{A}$ and $\mathbf{A} =_{\beta} \mathbf{C}$. Let $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ be a model of Φ .

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\mathbf{A} =_{\beta} \mathbf{C} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\beta)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash \mathbf{A}$ and $\mathbf{A} =_{\beta} \mathbf{C}$. Let $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . By induction, we know $\mathcal{M} \models \mathbf{A}$ and so $\mathcal{M} \models \mathbf{C}$ since Σ -evaluations respect β -equality.

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{NK}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi * \neg \mathbf{C} \Vdash \mathbf{F}_o}{\Phi \Vdash \mathbf{C}} \mathcal{NK}(\text{Contr})$$

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi * \neg\mathbf{C} \Vdash \mathbf{F}_o}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\text{Contr})$$

Suppose $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$, $\mathcal{M} \models \Phi$ and $\Phi \Vdash \mathbf{C}$ follows from $\Phi * \neg\mathbf{C} \Vdash \mathbf{F}_o$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi * \neg\mathbf{C} \Vdash \mathbf{F}_o}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\text{Contr})$$

Suppose $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$, $\mathcal{M} \models \Phi$ and $\Phi \Vdash \mathbf{C}$ follows from $\Phi * \neg\mathbf{C} \Vdash \mathbf{F}_o$. By a previous Lemma, $\mathcal{M} \not\models \mathbf{F}_o$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi * \neg\mathbf{C} \Vdash \mathbf{F}_o}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\text{Contr})$$

Suppose $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$, $\mathcal{M} \models \Phi$ and $\Phi \Vdash \mathbf{C}$ follows from $\Phi * \neg\mathbf{C} \Vdash \mathbf{F}_o$. By a previous Lemma, $\mathcal{M} \not\models \mathbf{F}_o$. So, we must have $\mathcal{M} \not\models \neg\mathbf{C}$ and, hence, $\mathcal{M} \models \mathbf{C}$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi * \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \neg \mathbf{A}} \mathfrak{N}\mathcal{K}(\neg I)$$

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi * \mathbf{A} \Vdash \mathbf{F}_o}{\Phi \Vdash \neg \mathbf{A}} \mathfrak{N}\mathcal{K}(\neg I)$$

Analogous to $\mathfrak{N}\mathcal{K}(\textit{Contr})$

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\neg E)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash \neg \mathbf{A}$ and $\Phi \Vdash \mathbf{A}$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\neg E)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash \neg \mathbf{A}$ and $\Phi \Vdash \mathbf{A}$. By induction, any model in $\mathfrak{M}_*(\Sigma)$ of Φ would have to model both \mathbf{A} and $\neg \mathbf{A}$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\neg E)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash \neg \mathbf{A}$ and $\Phi \Vdash \mathbf{A}$. By induction, any model in $\mathfrak{M}_*(\Sigma)$ of Φ would have to model both \mathbf{A} and $\neg \mathbf{A}$. So, there is no such model of Φ and we are done.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}\mathcal{K}(\vee I_L)$$

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}\mathcal{K}(\vee I_L)$$

Suppose $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$, $\mathcal{M} \models \Phi$, and $\Phi \Vdash (\mathbf{A} \vee \mathbf{B})$ follows from $\Phi \Vdash \mathbf{A}$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}\mathcal{K}(\vee I_L)$$

Suppose $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$, $\mathcal{M} \models \Phi$, and $\Phi \Vdash (\mathbf{A} \vee \mathbf{B})$ follows from $\Phi \Vdash \mathbf{A}$. By induction, $\mathcal{M} \models \mathbf{A}$ and so $\mathcal{M} \models (\mathbf{A} \vee \mathbf{B})$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}\mathcal{K}(\vee I_R)$$

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}\mathcal{K}(\vee I_R)$$

Analogous to $\mathfrak{N}\mathcal{K}(\vee I_L)$

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\vee E)$$

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\vee E)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash (\mathbf{A} \vee \mathbf{B})$, $\Phi * \mathbf{A} \Vdash \mathbf{C}$ and $\Phi * \mathbf{B} \Vdash \mathbf{C}$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\vee E)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash (\mathbf{A} \vee \mathbf{B})$, $\Phi * \mathbf{A} \Vdash \mathbf{C}$ and $\Phi * \mathbf{B} \Vdash \mathbf{C}$. Let $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ be a model of Φ .

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\vee E)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash (\mathbf{A} \vee \mathbf{B})$, $\Phi * \mathbf{A} \Vdash \mathbf{C}$ and $\Phi * \mathbf{B} \Vdash \mathbf{C}$. Let $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . By induction, $\mathcal{M} \models \mathbf{A} \vee \mathbf{B}$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\vee E)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash (\mathbf{A} \vee \mathbf{B})$, $\Phi * \mathbf{A} \Vdash \mathbf{C}$ and $\Phi * \mathbf{B} \Vdash \mathbf{C}$. Let $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . By induction, $\mathcal{M} \models \mathbf{A} \vee \mathbf{B}$. If $\mathcal{M} \models \mathbf{A}$, then by induction $\mathcal{M} \models \mathbf{C}$ since $\Phi * \mathbf{A} \Vdash \mathbf{C}$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\vee E)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash (\mathbf{A} \vee \mathbf{B})$, $\Phi * \mathbf{A} \Vdash \mathbf{C}$ and $\Phi * \mathbf{B} \Vdash \mathbf{C}$. Let $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . By induction, $\mathcal{M} \models \mathbf{A} \vee \mathbf{B}$. If $\mathcal{M} \models \mathbf{A}$, then by induction $\mathcal{M} \models \mathbf{C}$ since $\Phi * \mathbf{A} \Vdash \mathbf{C}$. If $\mathcal{M} \models \mathbf{B}$, then by induction $\mathcal{M} \models \mathbf{C}$ since $\Phi * \mathbf{B} \Vdash \mathbf{C}$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}\mathcal{K}(\vee E)$$

Suppose $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash (\mathbf{A} \vee \mathbf{B})$, $\Phi * \mathbf{A} \Vdash \mathbf{C}$ and $\Phi * \mathbf{B} \Vdash \mathbf{C}$. Let $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . By induction, $\mathcal{M} \models \mathbf{A} \vee \mathbf{B}$. If $\mathcal{M} \models \mathbf{A}$, then by induction $\mathcal{M} \models \mathbf{C}$ since $\Phi * \mathbf{A} \Vdash \mathbf{C}$. If $\mathcal{M} \models \mathbf{B}$, then by induction $\mathcal{M} \models \mathbf{C}$ since $\Phi * \mathbf{B} \Vdash \mathbf{C}$. In either case, $\Phi \Vdash \mathbf{C}$.

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{NK}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{G}w_\alpha \quad \text{w new parameter}}{\Phi \Vdash \Pi^\alpha \mathbf{G}} \mathcal{NK}(III)^w$$

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \vdash_{\mathcal{NK}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \vdash \mathbf{G}w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha \mathbf{G}} \mathcal{NK}(III)^w$$

Suppose $\Phi \vdash (\Pi^\alpha \mathbf{G})$ follows from $\Phi \vdash \mathbf{G}w$ where w_α is a fresh parameter.

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$.

That is, if $\Phi \vdash_{\mathcal{NK}_*} C$ is derivable, then $\mathcal{M} \models C$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \vdash Gw_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha G} \mathcal{NK}(III)^w$$

Suppose $\Phi \vdash (\Pi^\alpha G)$ follows from $\Phi \vdash Gw$ where w_α is a fresh parameter. Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ .

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$.

That is, if $\Phi \vdash_{\mathcal{NK}_*} C$ is derivable, then $\mathcal{M} \models C$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \vdash Gw_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha G} \mathcal{NK}(III)^w$$

Suppose $\Phi \vdash (\Pi^\alpha G)$ follows from $\Phi \vdash Gw$ where w_α is a fresh parameter. Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ .

Assume $\mathcal{M} \not\models \Pi^\alpha G$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \mathbf{G}w_\alpha \quad w \text{ new parameter}}{\Phi \Vdash \Pi^\alpha \mathbf{G}} \mathfrak{N}\mathcal{K}(III)^w$$

Suppose $\Phi \Vdash (\Pi^\alpha \mathbf{G})$ follows from $\Phi \Vdash \mathbf{G}w$ where w_α is a fresh parameter. Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ .

Assume $\mathcal{M} \not\models \Pi^\alpha \mathbf{G}$. Then there must be some $a \in \mathcal{D}_\alpha$ such that $v(\mathcal{E}(\mathbf{G})@a) = \mathbf{F}$.

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \vdash_{\mathcal{NK}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \vdash \mathbf{G}w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha \mathbf{G}} \mathcal{NK}(III)^w$$

Suppose $\Phi \vdash (\Pi^\alpha \mathbf{G})$ follows from $\Phi \vdash \mathbf{G}w$ where w_α is a fresh parameter. Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ .

Assume $\mathcal{M} \not\models \Pi^\alpha \mathbf{G}$. Then there must be some $a \in \mathcal{D}_\alpha$ such that $v(\mathcal{E}(\mathbf{G})@a) = \mathbf{F}$. From \mathcal{E} , one can define \mathcal{E}' such that $\mathcal{E}'(w) = a$ and $\mathcal{E}'_\varphi(\mathbf{A}_\alpha) = \mathcal{E}_\varphi(\mathbf{A}_\alpha)$ if w does not occur in \mathbf{A} .

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)
$$\frac{\Phi \vdash \mathbf{G}w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha \mathbf{G}} \mathfrak{N}\mathcal{K}(\text{III})^w$$

Suppose $\Phi \vdash (\Pi^\alpha \mathbf{G})$ follows from $\Phi \vdash \mathbf{G}w$ where w_α is a fresh parameter. Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ .

Assume $\mathcal{M} \not\models \Pi^\alpha \mathbf{G}$. Then there must be some $a \in \mathcal{D}_\alpha$ such that $v(\mathcal{E}(\mathbf{G})@a) = \mathbf{F}$. From \mathcal{E} , one can define \mathcal{E}' such that

$\mathcal{E}'(w) = a$ and $\mathcal{E}'_\varphi(\mathbf{A}_\alpha) = \mathcal{E}_\varphi(\mathbf{A}_\alpha)$ if w does not occur in \mathbf{A} . Let

$\mathcal{M}' := (\mathcal{D}, @, \mathcal{E}', v)$. One can check $\mathcal{M}' \in \mathfrak{M}_*(\Sigma)$ using the fact that $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)
$$\frac{\Phi \vdash \mathbf{G}w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha \mathbf{G}} \mathfrak{N}\mathcal{K}(\text{III})^w$$

Suppose $\Phi \vdash (\Pi^\alpha \mathbf{G})$ follows from $\Phi \vdash \mathbf{G}w$ where w_α is a fresh parameter. Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . Assume $\mathcal{M} \not\models \Pi^\alpha \mathbf{G}$. Then there must be some $a \in \mathcal{D}_\alpha$ such that $v(\mathcal{E}(\mathbf{G})@a) = \mathbf{F}$. From \mathcal{E} , one can define \mathcal{E}' such that $\mathcal{E}'(w) = a$ and $\mathcal{E}'_\varphi(\mathbf{A}_\alpha) = \mathcal{E}_\varphi(\mathbf{A}_\alpha)$ if w does not occur in \mathbf{A} . Let $\mathcal{M}' := (\mathcal{D}, @, \mathcal{E}', v)$. One can check $\mathcal{M}' \in \mathfrak{M}_*(\Sigma)$ using the fact that $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$. Since $\mathcal{M}' \models \Phi$, by induction we have $\mathcal{M}' \models \mathbf{G}w$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)
$$\frac{\Phi \vdash \mathbf{G}w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha \mathbf{G}} \mathfrak{N}\mathcal{K}(III)^w$$

Suppose $\Phi \vdash (\Pi^\alpha \mathbf{G})$ follows from $\Phi \vdash \mathbf{G}w$ where w_α is a fresh parameter. Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ .

Assume $\mathcal{M} \not\models \Pi^\alpha \mathbf{G}$. Then there must be some $a \in \mathcal{D}_\alpha$ such that $v(\mathcal{E}(\mathbf{G})@a) = \mathbf{F}$. From \mathcal{E} , one can define \mathcal{E}' such that

$\mathcal{E}'(w) = a$ and $\mathcal{E}'_\varphi(\mathbf{A}_\alpha) = \mathcal{E}_\varphi(\mathbf{A}_\alpha)$ if w does not occur in \mathbf{A} . Let

$\mathcal{M}' := (\mathcal{D}, @, \mathcal{E}', v)$. One can check $\mathcal{M}' \in \mathfrak{M}_*(\Sigma)$ using the fact that $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$. Since $\mathcal{M}' \models \Phi$, by induction we have

$\mathcal{M}' \models \mathbf{G}w$. This contradicts $v(\mathcal{E}'(\mathbf{G})@a) = v(\mathcal{E}(\mathbf{G})@a) = \mathbf{F}$.

Thus, $\mathcal{M} \models \Pi^\alpha \mathbf{G}$.

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{NK}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \Pi^\alpha \mathbf{G}}{\Phi \Vdash \mathbf{GA}} \mathcal{NK}(IE)$$

Soundness of \mathcal{NK}_*

Thm.: \mathcal{NK}_* is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{NK}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \Pi^\alpha \mathbf{G}}{\Phi \Vdash \mathbf{GA}} \mathcal{NK}(IE)$$

Suppose \mathbf{C} is (\mathbf{GA}) and $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash (\Pi^\alpha \mathbf{G})$.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \Pi^\alpha \mathbf{G}}{\Phi \Vdash \mathbf{GA}} \mathfrak{N}\mathcal{K}(IE)$$

Suppose \mathbf{C} is (\mathbf{GA}) and $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash (\Pi^\alpha \mathbf{G})$. Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ .

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \Pi^\alpha \mathbf{G}}{\Phi \Vdash \mathbf{GA}} \mathfrak{N}\mathcal{K}(IE)$$

Suppose \mathbf{C} is (\mathbf{GA}) and $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash (\Pi^\alpha \mathbf{G})$. Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . By induction, $\mathcal{M} \models (\Pi^\alpha \mathbf{G})$ and thus $v(\mathcal{E}(\mathbf{G}))@a = \mathbf{T}$ for every $a \in \mathcal{D}_\alpha$.

Soundness of $\mathfrak{N}\mathfrak{K}_*$

Thm.: $\mathfrak{N}\mathfrak{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathfrak{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \Pi^\alpha \mathbf{G}}{\Phi \Vdash \mathbf{GA}} \mathfrak{N}\mathfrak{K}(IE)$$

Suppose \mathbf{C} is (\mathbf{GA}) and $\Phi \Vdash \mathbf{C}$ follows from $\Phi \Vdash (\Pi^\alpha \mathbf{G})$. Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . By induction, $\mathcal{M} \models (\Pi^\alpha \mathbf{G})$ and thus $v(\mathcal{E}(\mathbf{G}))@a = \mathbf{T}$ for every $a \in \mathcal{D}_\alpha$. In particular, $\mathcal{M} \models \mathbf{GA}$.

q.e.d.

Soundness of $\mathfrak{N}\mathcal{K}_*$

Thm.: $\mathfrak{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\mathbf{A} \stackrel{\beta\eta}{=} \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}\mathcal{K}(\eta)$$

(In this case $*$ contains property η)

Analogous to $\mathfrak{N}\mathcal{K}(\beta)$ using property η

q.e.d.

Soundness of $\mathcal{N}\mathcal{K}_*$

Thm.: $\mathcal{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \forall x_\alpha. \mathbf{M} \dot{=}^\beta \mathbf{N}}{\Phi \Vdash (\lambda x_\alpha. \mathbf{M}) \dot{=}^{\beta\alpha} (\lambda x_\alpha. \mathbf{N})} \mathcal{N}\mathcal{K}(\xi)$$

(In this case $*$ contains property ξ)

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . By induction, we have $\mathcal{M} \models \forall X_\alpha. \mathbf{M} \dot{=}^\beta \mathbf{N}$. So, for any assignment φ and $a \in \mathcal{D}_\alpha$, $\mathcal{M} \models_{\varphi, [a/X]} \mathbf{M} \dot{=}^\beta \mathbf{N}$. Since property \mathfrak{q} holds, by a previous Lemma we have $\mathcal{E}_{\varphi, [a/X]}(\mathbf{M}) = \mathcal{E}_{\varphi, [a/X]}(\mathbf{N})$. By property ξ , $\mathcal{E}_\varphi(\lambda X_\alpha. \mathbf{M}) = \mathcal{E}_\varphi(\lambda X_\alpha. \mathbf{N})$ and thus $\mathcal{M} \models \mathbf{C}$ by a previous Lemma.

Soundness of $\mathcal{N}\mathcal{K}_*$

Thm.: $\mathcal{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi \Vdash \forall x_\alpha. \mathbf{G}x \dot{=}^\beta \mathbf{H}x}{\Phi \Vdash \mathbf{G} \dot{=}^{\beta\alpha} \mathbf{H}} \mathcal{N}\mathcal{K}(\mathfrak{f})$$

(In this case $*$ contains property \mathfrak{f})

Let $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . By induction, we know

$\mathcal{M} \models \forall X_\alpha. \mathbf{G}X \dot{=}^\beta \mathbf{H}X$. Note that property \mathfrak{q} holds for \mathcal{M} since

$\mathcal{M} \in \mathfrak{M}_*(\Sigma)$. By a previous theorem, we must have

$\mathcal{M} \models (\mathbf{G} \dot{=}^{\alpha \rightarrow \beta} \mathbf{H})$.

q.e.d.

Soundness of $\mathcal{N}\mathcal{K}_*$

Thm.: $\mathcal{N}\mathcal{K}_*$ is sound for $\mathfrak{M}_*(\Sigma)$ for $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$.

That is, if $\Phi \Vdash_{\mathcal{N}\mathcal{K}_*} \mathbf{C}$ is derivable, then $\mathcal{M} \models \mathbf{C}$ for all models $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$ in $\mathfrak{M}_*(\Sigma)$ such that $\mathcal{M} \models \Phi$.

Proof: (step cases)

$$\frac{\Phi * \mathbf{A} \Vdash \mathbf{B} \quad \Phi * \mathbf{B} \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \doteq^\circ \mathbf{B}} \mathcal{N}\mathcal{K}(\mathfrak{b})$$

(In this case $*$ contains property \mathfrak{b})

Let $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$ be a model of Φ . If $\mathcal{M} \models \mathbf{A}$, then $\mathcal{M} \models \mathbf{B}$ by induction. If $\mathcal{M} \models \mathbf{B}$, then $\mathcal{M} \models \mathbf{A}$ by induction. These facts imply $v(\mathcal{E}(\mathbf{A})) = v(\mathcal{E}(\mathbf{B}))$. By a previous lemma, we have $\mathcal{M} \models (\mathbf{A} \Leftrightarrow \mathbf{B})$. By a previous theorem, we must have $\mathcal{M} \models (\mathbf{A} \doteq^\circ \mathbf{B})$.

q.e.d.

Completeness of $\mathfrak{N}\mathcal{K}_*$



Thm.: Let Φ be a sufficiently Σ -pure set of sentences, A be a sentence, and $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$. If A is valid in all models $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ that satisfy Φ , then $\Phi \Vdash_{\mathfrak{N}\mathcal{K}_*} A$.

Completeness of \mathfrak{M}_*



Thm.: Let Φ be a sufficiently Σ -pure set of sentences, A be a sentence, and $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$. If A is valid in all models $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ that satisfy Φ , then $\Phi \Vdash_{\mathfrak{M}_*} A$.

Completeness of \mathfrak{M}_*



Thm.: Let Φ be a sufficiently Σ -pure set of sentences, A be a sentence, and $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$. If A is valid in all models $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ that satisfy Φ , then $\Phi \Vdash_{\mathfrak{M}_*} A$.

Proof:

Completeness of \mathfrak{M}_*



Thm.: Let Φ be a sufficiently Σ -pure set of sentences, A be a sentence, and $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$. If A is valid in all models $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ that satisfy Φ , then $\Phi \Vdash_{\mathfrak{M}_*} A$.

Proof:

How can we easily prove this?

Completeness (of $\mathcal{N}\mathcal{K}_*$)



- Completeness can be proven rather easily for propositional logic calculi.

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- Here we will introduce a **strong proof tool** that uniformly supports completeness proofs (and many other things): **abstract consistency**.

Completeness (of $\mathcal{N}\mathcal{K}_*$)



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Completeness (of $\mathcal{N}\mathcal{K}_*$)



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- This proof tool is based on a strong theorem which connects syntax and semantics: **model existence theorem**.

HOL Test Problems



Recommendation:

- if you develop provers/calculi for simple type theory then



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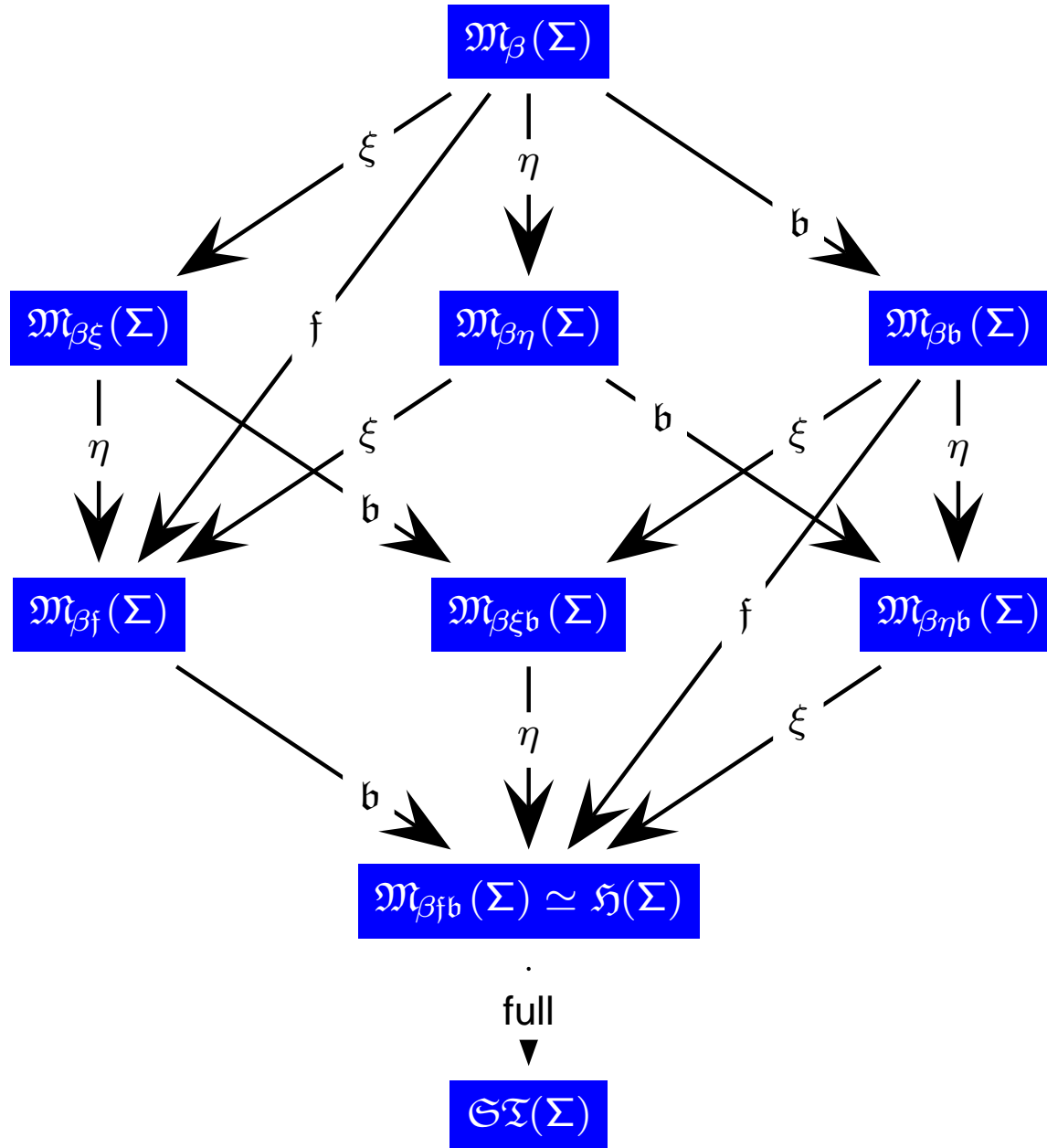
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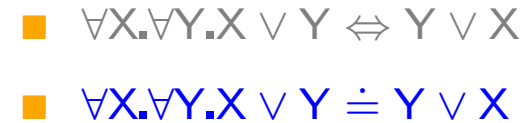
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- with the help of the abstract consistency proof method (published in [\[JSL-04\]](#) and [\[Unpublished-04\]](#))

HOL Test Problems (from before)

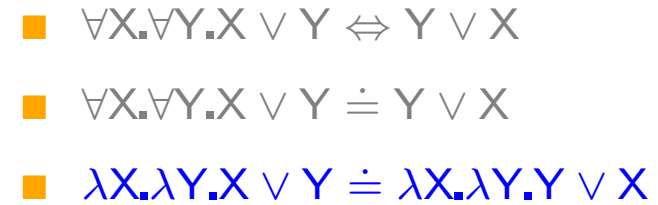


■ $\forall X. \forall y. X \vee y \Leftrightarrow y \vee X$

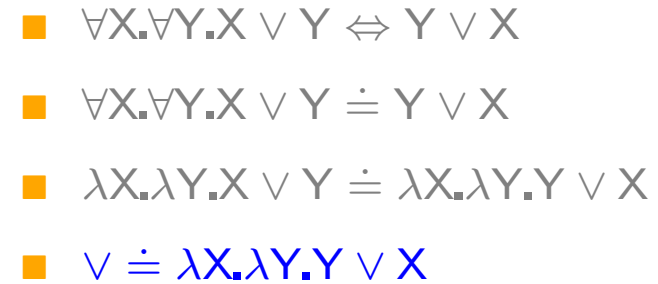
valid for all model classes



validity requires \mathfrak{b}

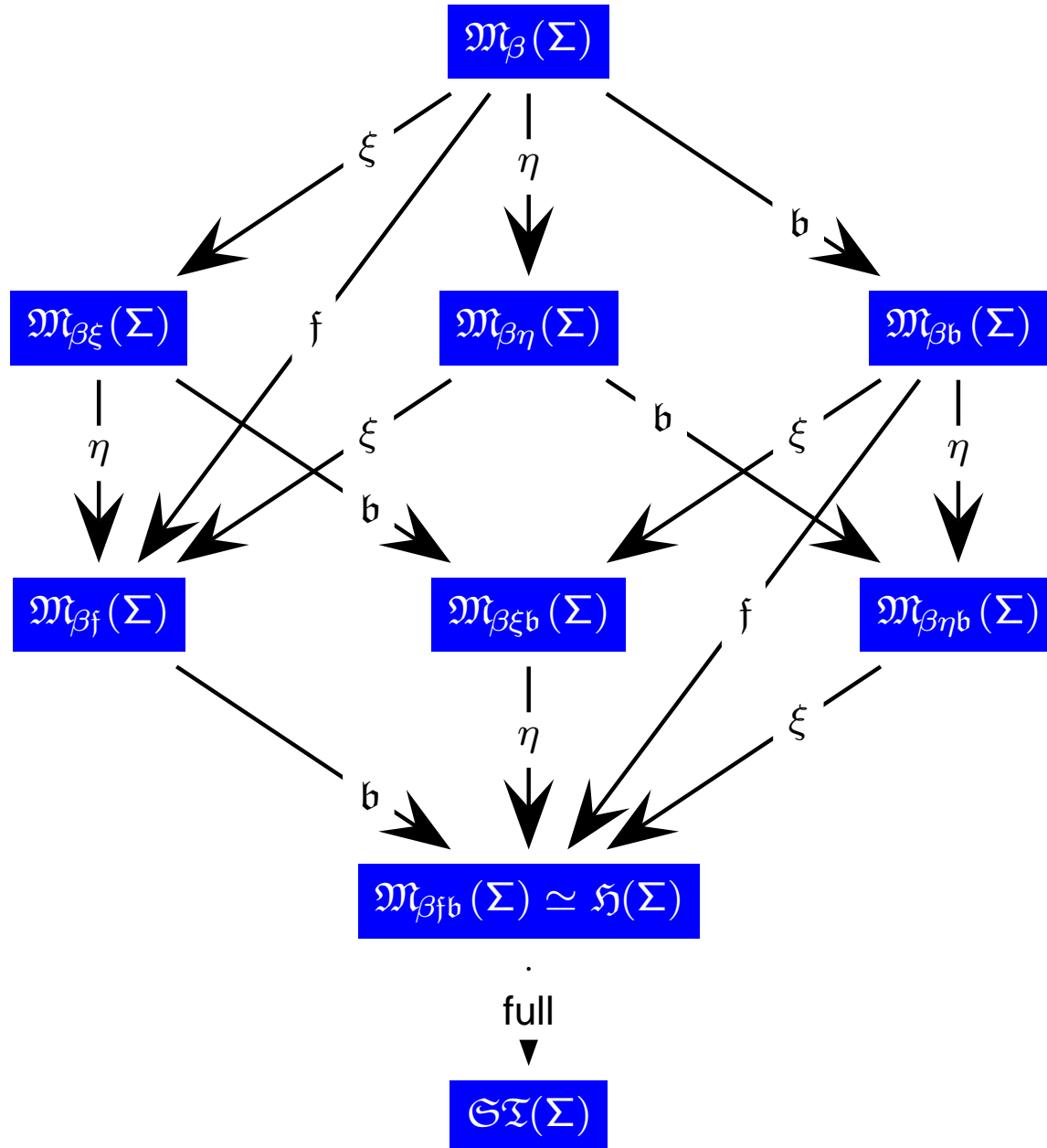


validity requires \mathfrak{b} and ξ



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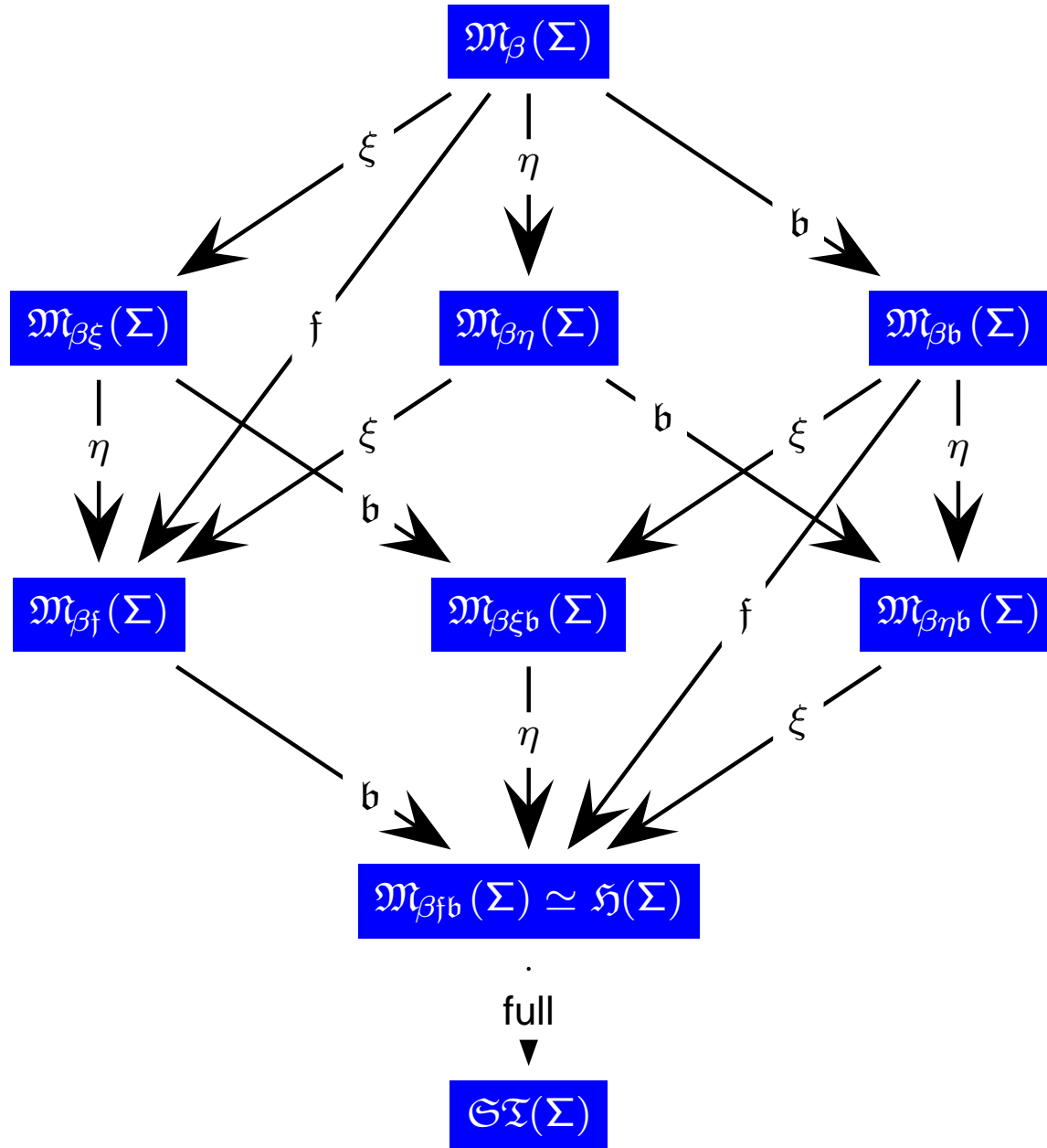
Other HOL Test Problems: β



\equiv^* is equivalence relation

- $\forall X_\alpha. X \equiv^* X$
- $\forall X_\alpha, Y_\alpha. X \equiv^* Y \supset Y \equiv^* X$
- $\forall X_\alpha, Y_\alpha, Z_\alpha. (X \equiv^* Y \wedge Y \equiv^* Z) \supset X \equiv^* Z$

Other HOL Test Problems: β



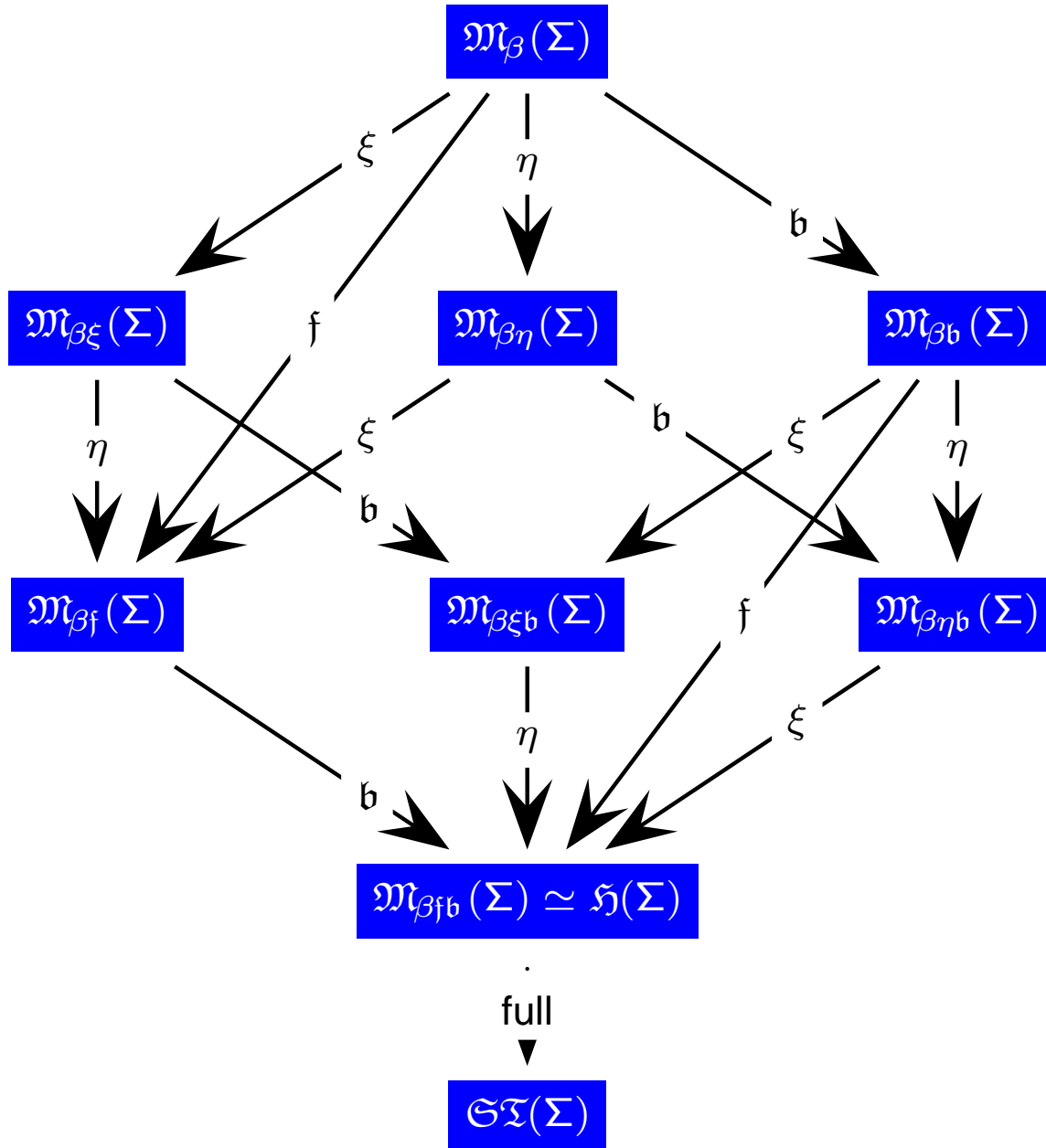
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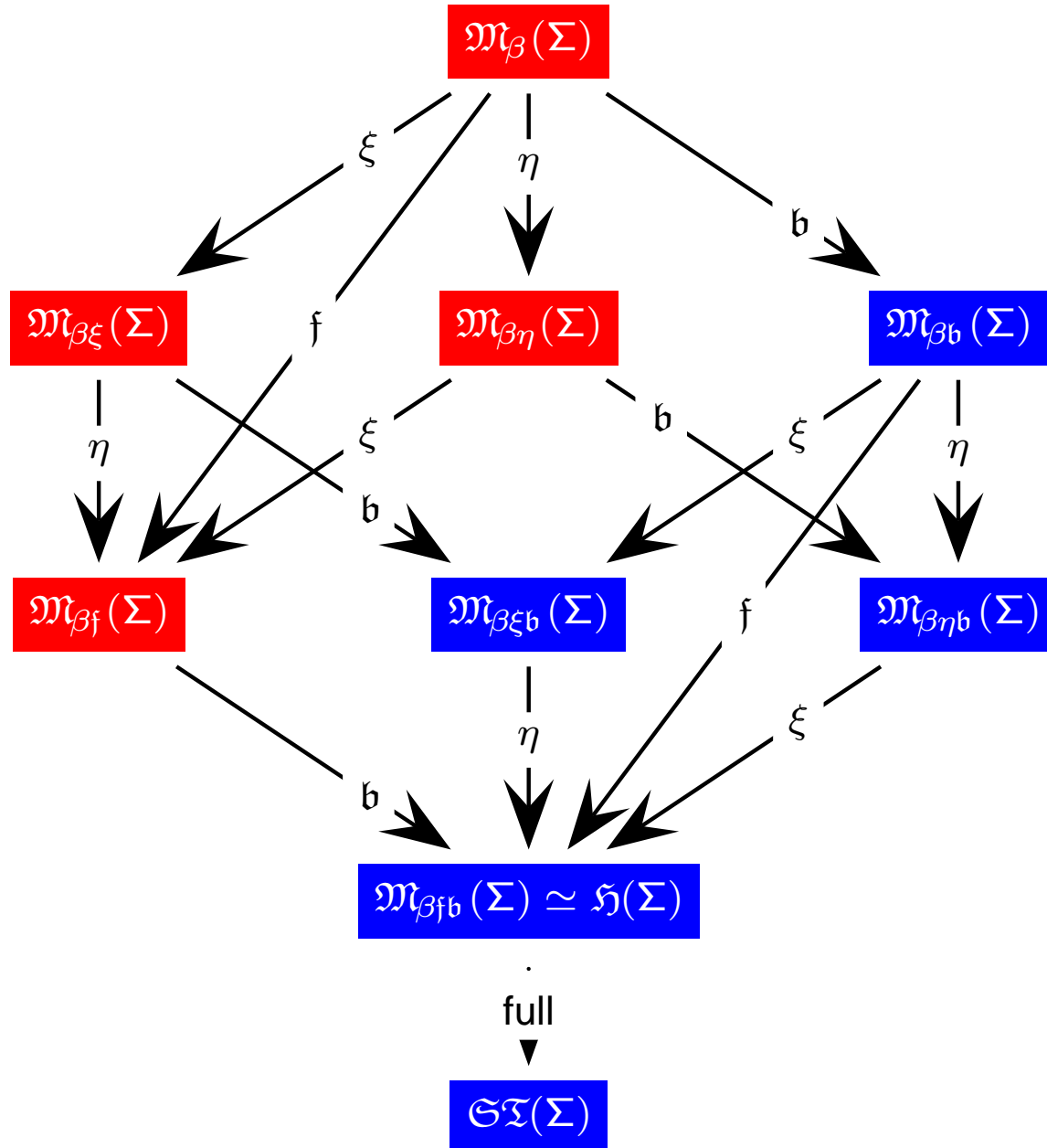
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Trivial directions of Boolean and functional extensionality

- $\forall A_o, B_o. A \equiv^* B \supset (A \Leftrightarrow B)$
- $\forall F_{\beta\alpha}, G_{\beta\alpha}. F \equiv^* G \supset (\forall X_\alpha. FX \equiv^* GX)$

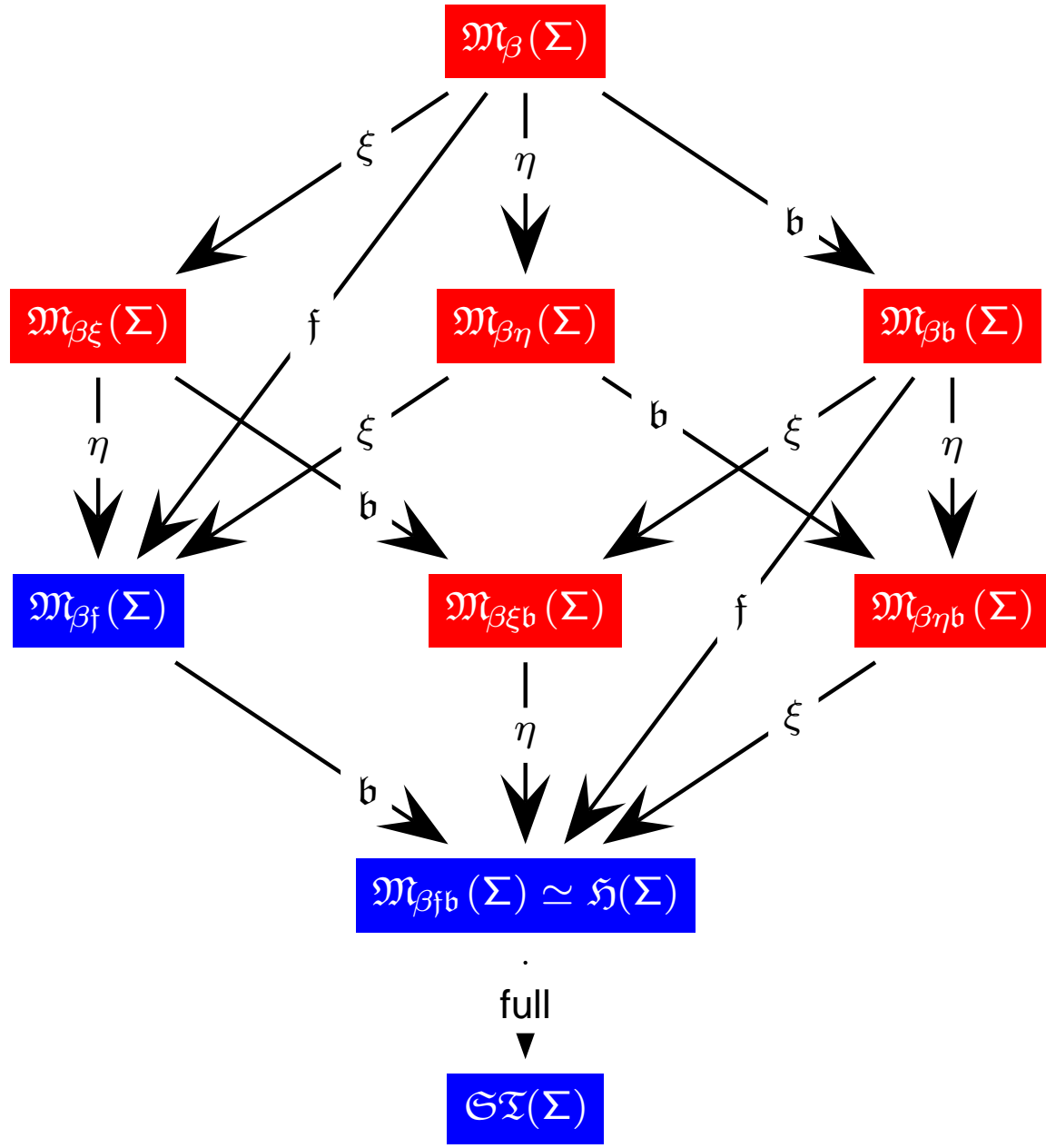
Other HOL Test Problems: \flat



Non-trivial direction of Boolean extensionality

■ $\forall A_o, B_o. (A \Leftrightarrow B) \supset A \stackrel{*}{=} B$

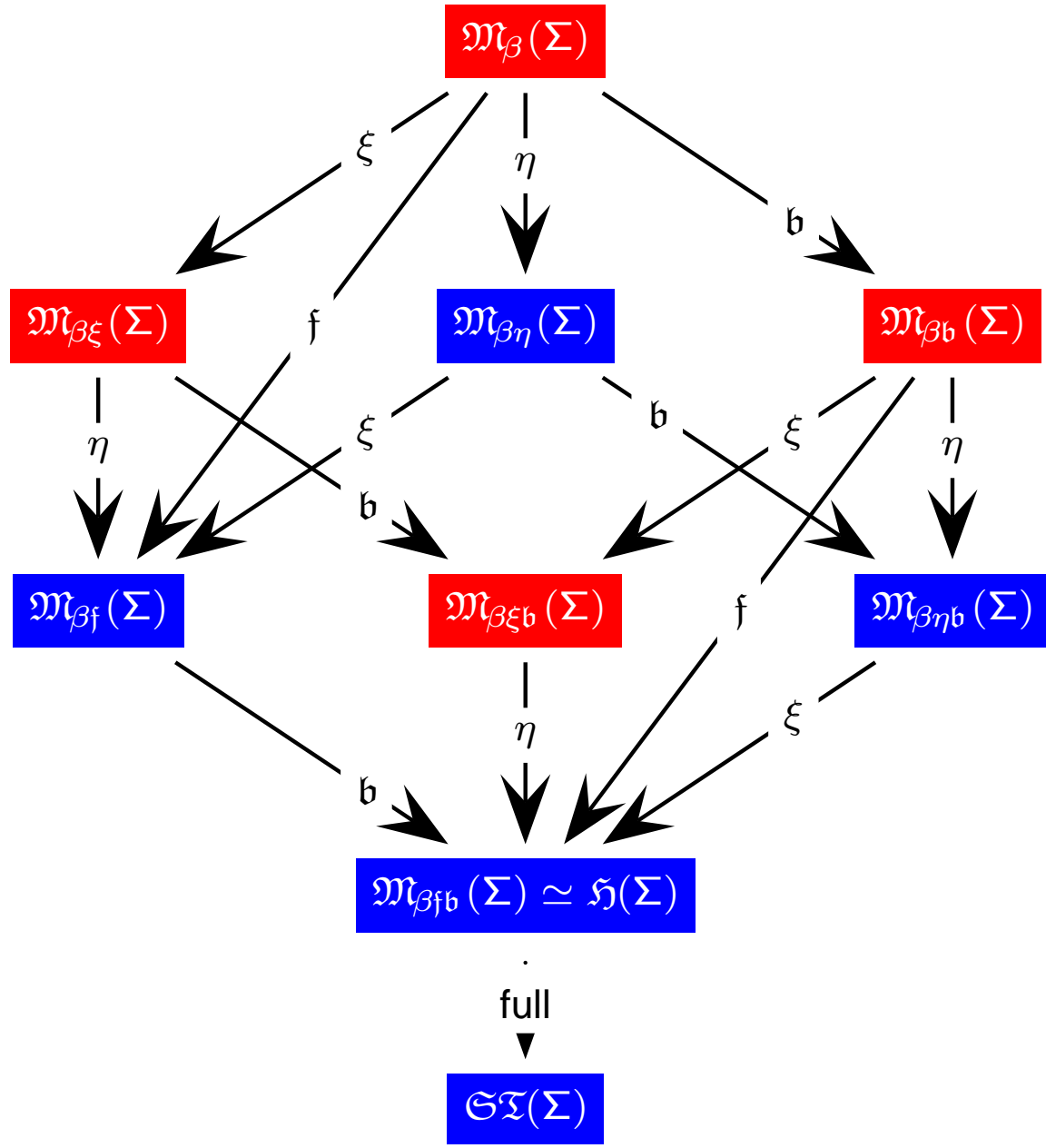
Other HOL Test Problems: f



Non-trivial direct. of functional extensionality

■ $\forall F_{\beta\alpha}, G_{\beta\alpha}. (\forall X_\alpha. FX \stackrel{*}{=} GX) \supset F \stackrel{*}{=} G$

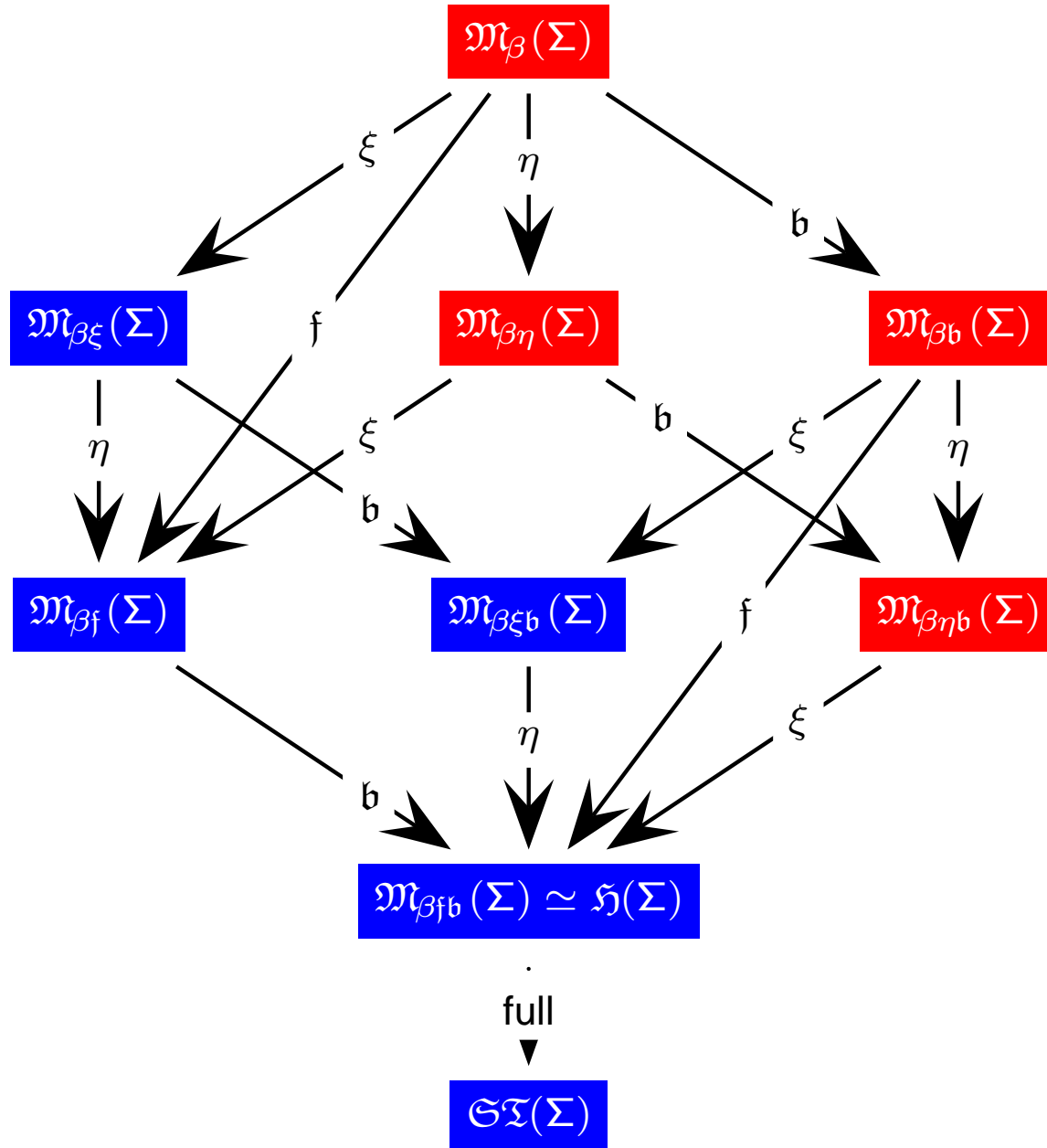
Other HOL Test Problems: η



Example requiring property η

■ $(p_{o(\iota\iota)}(\lambda X_{\iota}\mathfrak{f}_{\iota\iota}X)) \supset (p \mathfrak{f})$

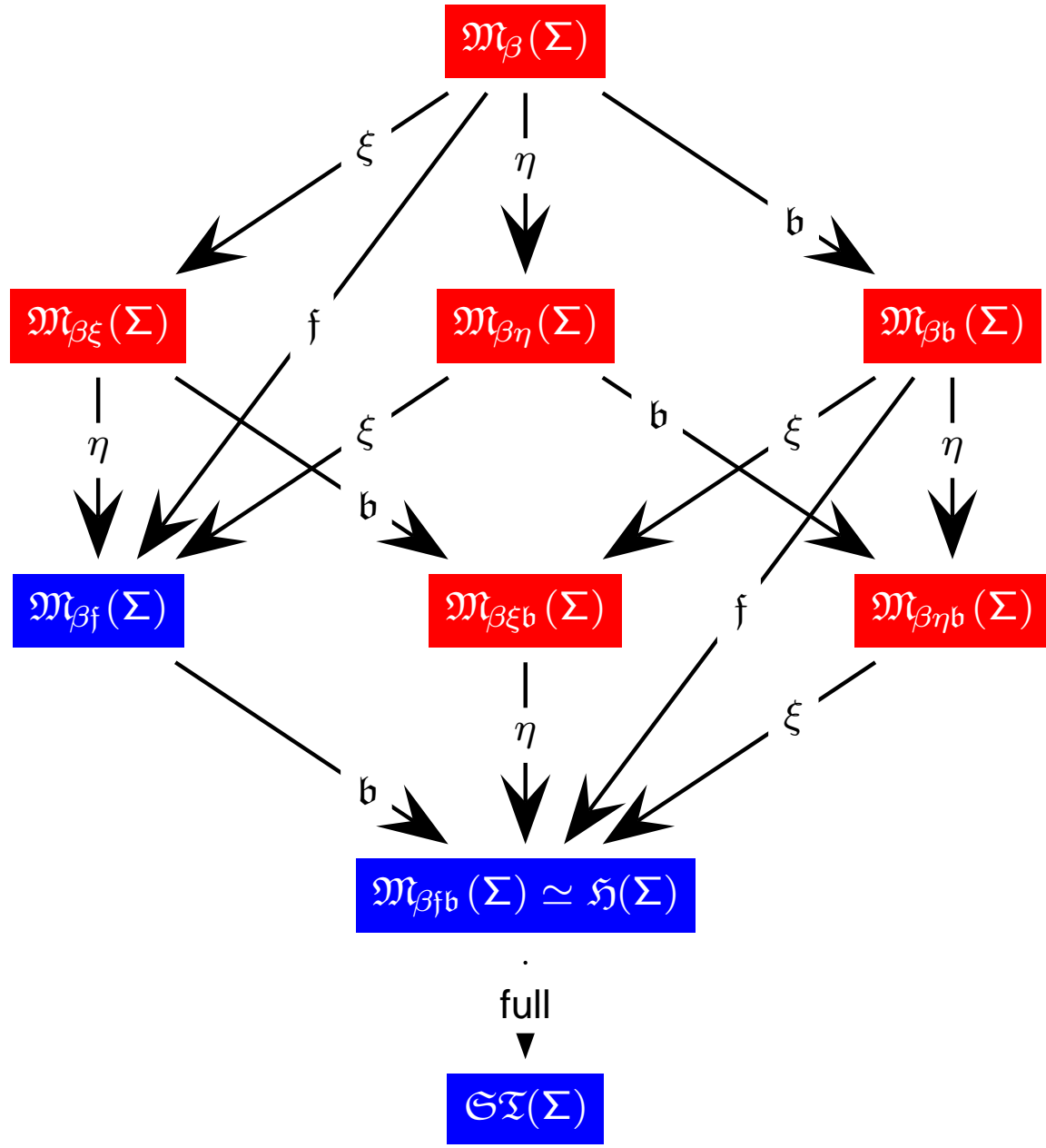
Other HOL Test Problems: ξ



Example requiring property ξ (and q !)

$$\begin{aligned} & \blacksquare (\forall X_{\iota}. (f_{\iota\iota} X) \stackrel{*}{=} X) \wedge p_{o(\iota\iota)}(\lambda X_{\iota}. X) \\ & \supset p(\lambda X_{\iota}. fX) \end{aligned}$$

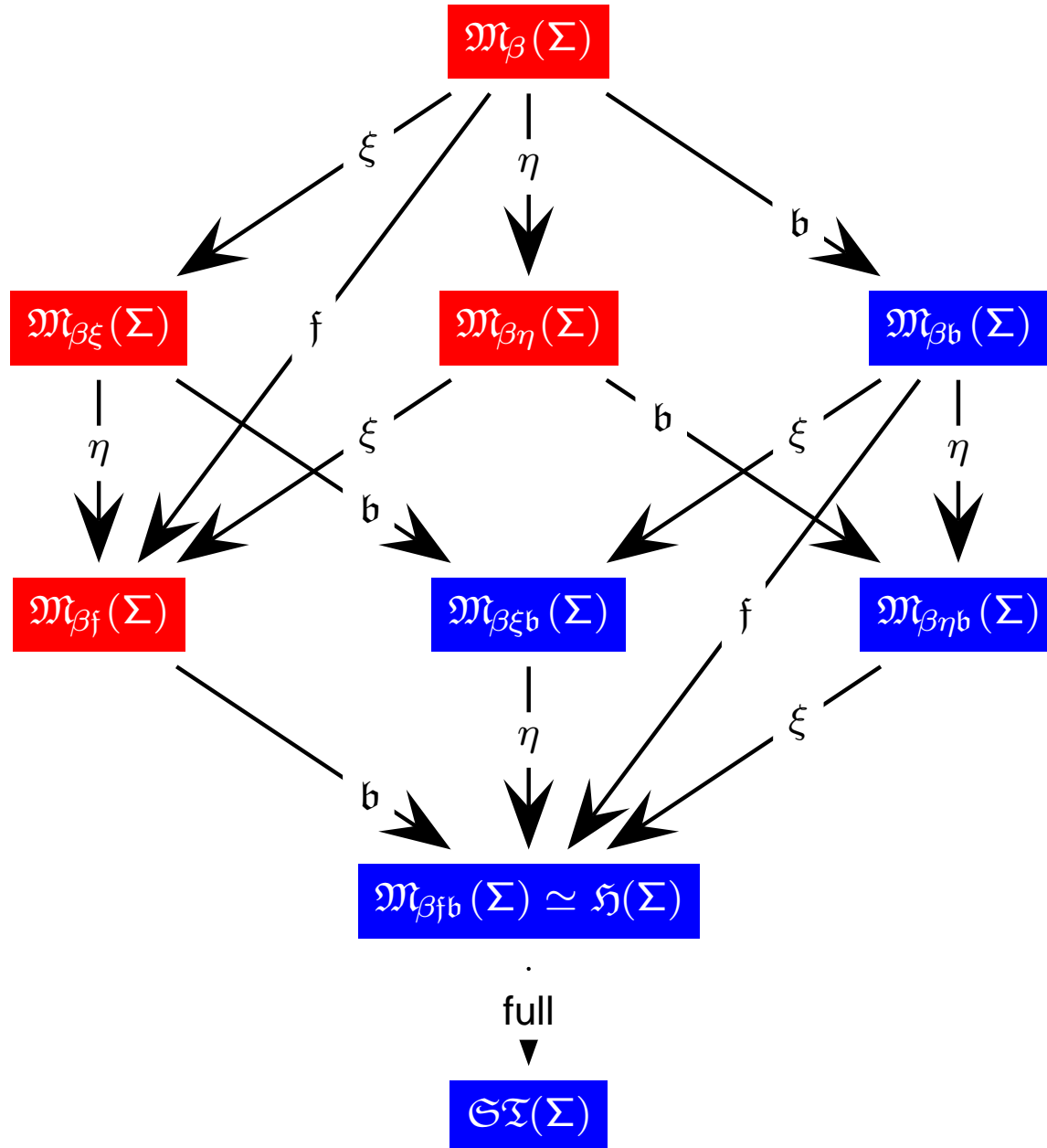
Other HOL Test Problems: f



Example requiring property f (and q !)

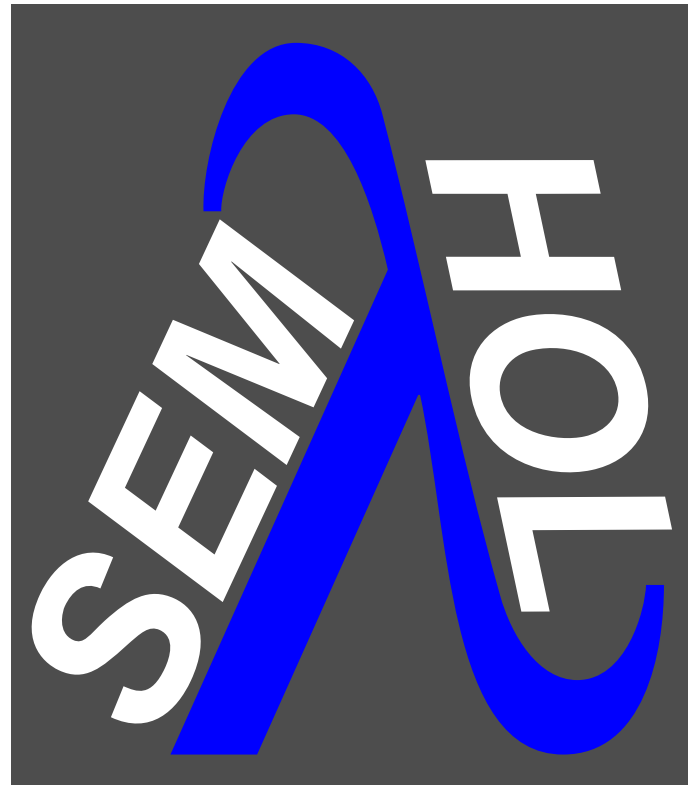
$$\begin{aligned} & \blacksquare (\forall X_{\iota}. (f_{\iota\iota} X) \stackrel{*}{=} X) \wedge p_{o(\iota\iota)}(\lambda X_{\iota}. X) \\ & \supset (p \ f) \end{aligned}$$

Other HOL Test Problems: \flat



Examples requiring property \flat

- $(p_{oo} a_o) \wedge (p b_o) \Rightarrow (p (a \wedge b))$
- $\neg(a \stackrel{*}{=} \neg a)$ (in particular $\neg(a = \neg a)$)
- $(h_{\iota o}((h \top) \stackrel{*}{=} (h \perp))) \stackrel{*}{=} (h \perp)$

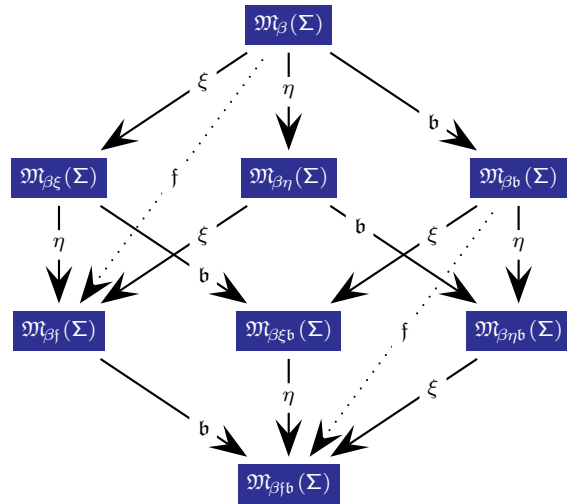


Abstract Consistency

Semantics - Calculi - Abstract Consistency



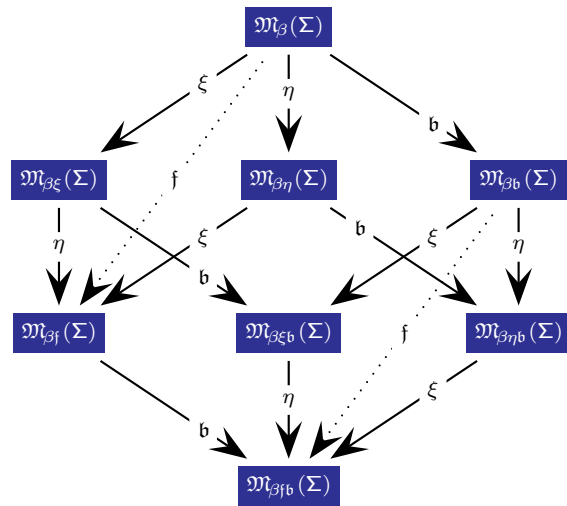
Semantics:
Model Classes (Extensionality)



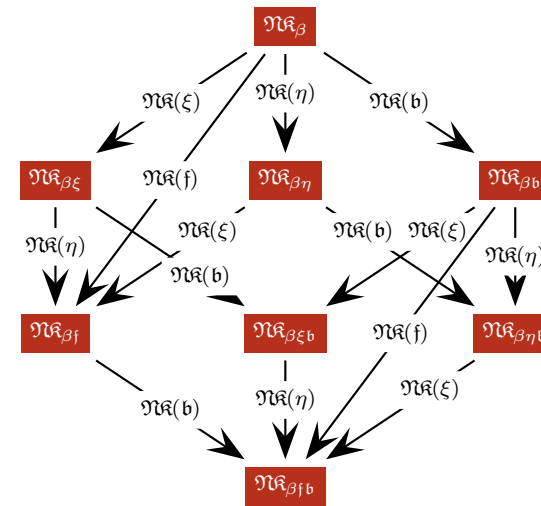
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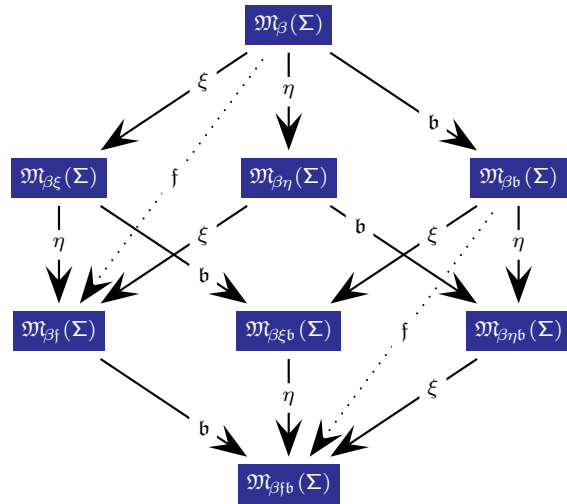
Reference Calculi:
ND (and others)



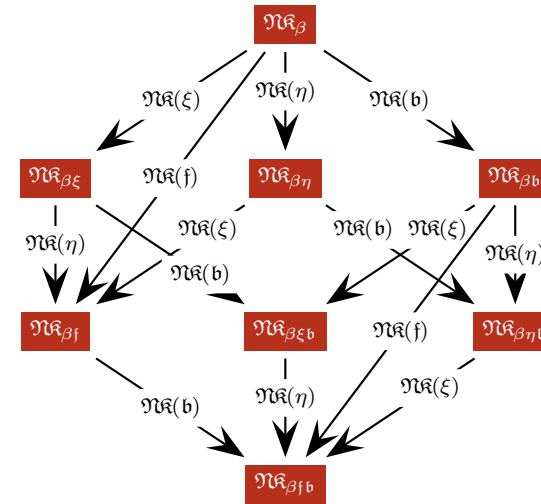
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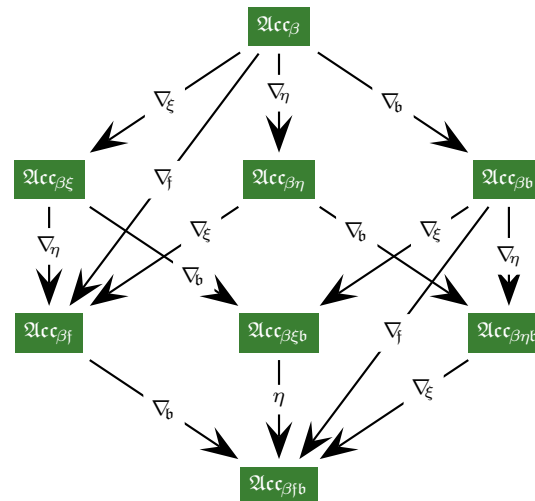
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Abstract Consistency / Unifying Principle:
Extensions of Smullyan-63 and Andrews-71



Abstract Consistency: History



- Technique was developed for first-order logic by Jaakko Hintikka and Raymond Smullyan [[Hintikka55](#),[Smullyan63](#),[Smullyan68](#)]. It is well explained in Fitting's textbook [[Fitting96](#)].

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- The technique has been extended to our landscape of HOL model classes in [Chris-PhD-99,Chad-PhD-04,JSL-04].

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 - ▶ For many calculi C , this also shows A is provable, thus establishing completeness of C .

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 - ▶ C is closed under subsets but **not** compact.
 - ▶ D is closed under subsets **and** compact.

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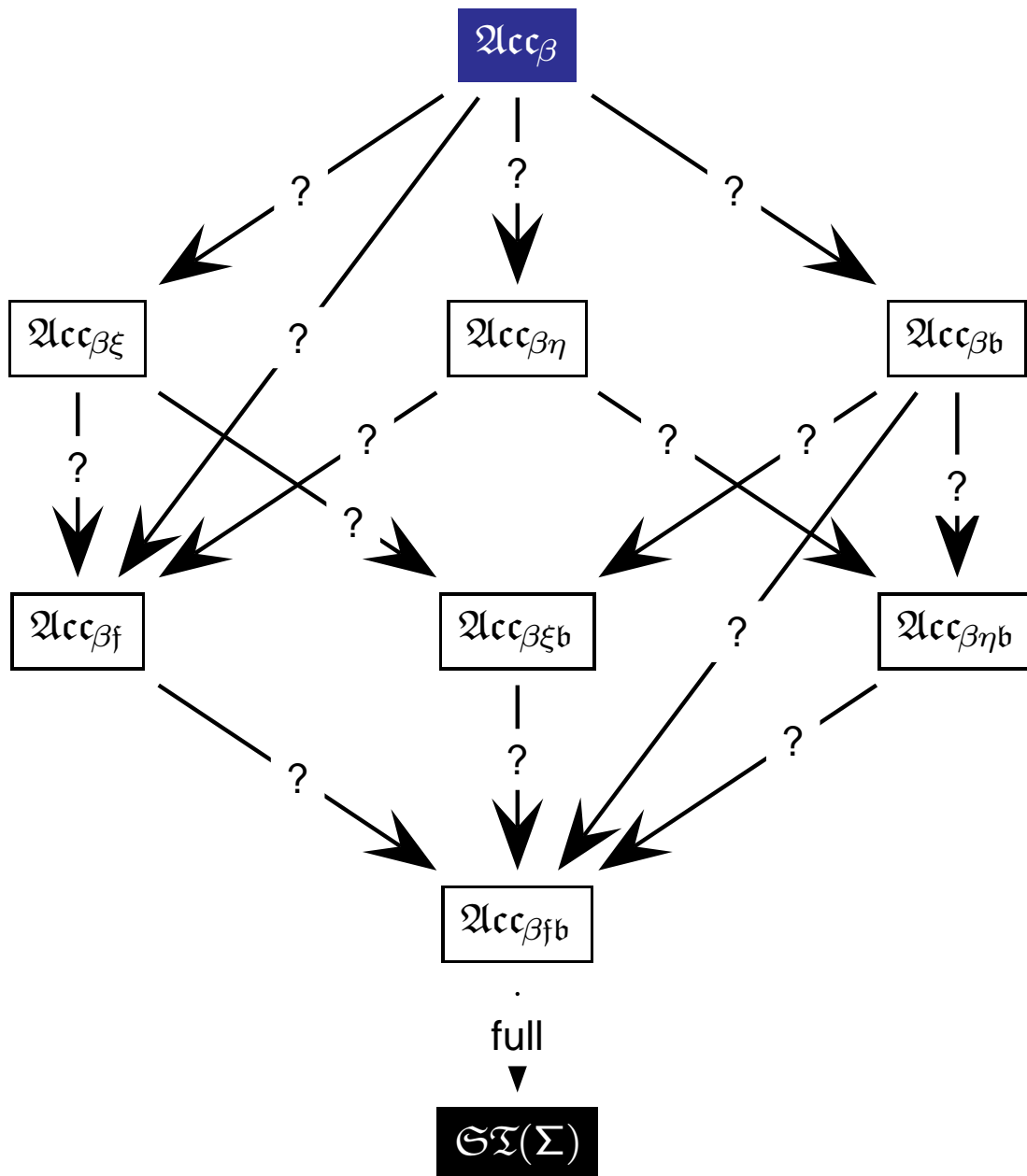
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Thus, $S \in C$ by compactness.

Basic Abstract Consistency Properties



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Defn.: Let Γ_Σ be a class of sets of Σ -sentences. We define (where $\Phi \in \Gamma_\Sigma$, $\alpha, \beta \in \mathcal{T}$, $\mathbf{A}, \mathbf{B} \in \text{cwff}_o(\Sigma)$, $\mathbf{F} \in \text{cwff}_{\alpha \rightarrow o}(\Sigma)$ are arbitrary):

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∇_\wedge If $\neg(\mathbf{A} \vee \mathbf{B}) \in \Phi$, then $\Phi * \neg \mathbf{A} * \neg \mathbf{B} \in \Gamma_\Sigma$.

Basic Abstract Consistency Properties



Defn.: Let Γ_Σ be a class of sets of Σ -sentences. We define (where $\Phi \in \Gamma_\Sigma$, $\alpha, \beta \in \mathcal{T}$, $\mathbf{A}, \mathbf{B} \in \text{cwff}_o(\Sigma)$, $\mathbf{F} \in \text{cwff}_{\alpha \rightarrow o}(\Sigma)$ are arbitrary):

∇_c If \mathbf{A} is atomic, then $\mathbf{A} \notin \Phi$ or $\neg \mathbf{A} \notin \Phi$.

∇_{\neg} If $\neg \neg \mathbf{A} \in \Phi$, then $\Phi * \mathbf{A} \in \Gamma_\Sigma$.

∇_β If $\mathbf{A} =_\beta \mathbf{B}$ and $\mathbf{A} \in \Phi$, then $\Phi * \mathbf{B} \in \Gamma_\Sigma$.

∇_{\vee} If $\mathbf{A} \vee \mathbf{B} \in \Phi$, then $\Phi * \mathbf{A} \in \Gamma_\Sigma$ or $\Phi * \mathbf{B} \in \Gamma_\Sigma$.

∇_{\wedge} If $\neg(\mathbf{A} \vee \mathbf{B}) \in \Phi$, then $\Phi * \neg \mathbf{A} * \neg \mathbf{B} \in \Gamma_\Sigma$.

∇_{\forall} If $\Pi^\alpha \mathbf{F} \in \Phi$, then $\Phi * \mathbf{F} \mathbf{W} \in \Gamma_\Sigma$ for each $\mathbf{W} \in \text{cwff}_\alpha(\Sigma)$.

Basic Abstract Consistency Properties



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∇_β If $\mathbf{A} =_\beta \mathbf{B}$ and $\mathbf{A} \in \Phi$, then $\Phi * \mathbf{B} \in \Gamma_\Sigma$.

∇_{\vee} If $\mathbf{A} \vee \mathbf{B} \in \Phi$, then $\Phi * \mathbf{A} \in \Gamma_\Sigma$ or $\Phi * \mathbf{B} \in \Gamma_\Sigma$.

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∇_{\forall} If $\Pi^\alpha \mathbf{F} \in \Phi$, then $\Phi * \mathbf{F}\mathbf{W} \in \Gamma_\Sigma$ for each $\mathbf{W} \in \text{cwff}_\alpha(\Sigma)$.

∇_{\exists} If $\neg \Pi^\alpha \mathbf{F} \in \Phi$, then $\Phi * \neg(\mathbf{F}\mathbf{w}) \in \Gamma_\Sigma$ for any parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

Basic Abstract Consistency Properties



Defn.: Let Γ_Σ be a class of sets of Σ -sentences. We define (where $\Phi \in \Gamma_\Sigma$, $\alpha, \beta \in \mathcal{T}$, $\mathbf{A}, \mathbf{B} \in \text{cwff}_0(\Sigma)$, $\mathbf{F} \in \text{cwff}_{\alpha \rightarrow o}(\Sigma)$ are arbitrary):

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∇_{\neg} If $\neg \neg \mathbf{A} \in \Phi$, then $\Phi * \mathbf{A} \in \Gamma_\Sigma$.

∇_β If $\mathbf{A} =_\beta \mathbf{B}$ and $\mathbf{A} \in \Phi$, then $\Phi * \mathbf{B} \in \Gamma_\Sigma$.

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∇_{\wedge} If $\neg(\mathbf{A} \vee \mathbf{B}) \in \Phi$, then $\Phi * \neg \mathbf{A} * \neg \mathbf{B} \in \Gamma_\Sigma$.

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∇_{\exists} If $\neg \Pi^\alpha \mathbf{F} \in \Phi$, then $\Phi * \neg(\mathbf{F}\mathbf{w}) \in \Gamma_\Sigma$ for any parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

(These properties are going back to Hintikka, Smullyan, and Andrews)

Abstract Consistency Class \mathcal{Acc}_β



Defn.: Let Σ be a signature and Γ_Σ be a class of sets of Σ -sentences that is closed under subsets.

Abstract Consistency Class \mathcal{Acc}_β



Defn.: Let Σ be a signature and Γ_Σ be a class of sets of Σ -sentences that is closed under subsets.

- If $\nabla_c, \nabla_{\neg}, \nabla_\beta, \nabla_{\forall}, \nabla_{\wedge}, \nabla_{\vee}$ and ∇_{\exists} are valid for Γ_Σ , then Γ_Σ is called an **abstract consistency class** for Σ -models.

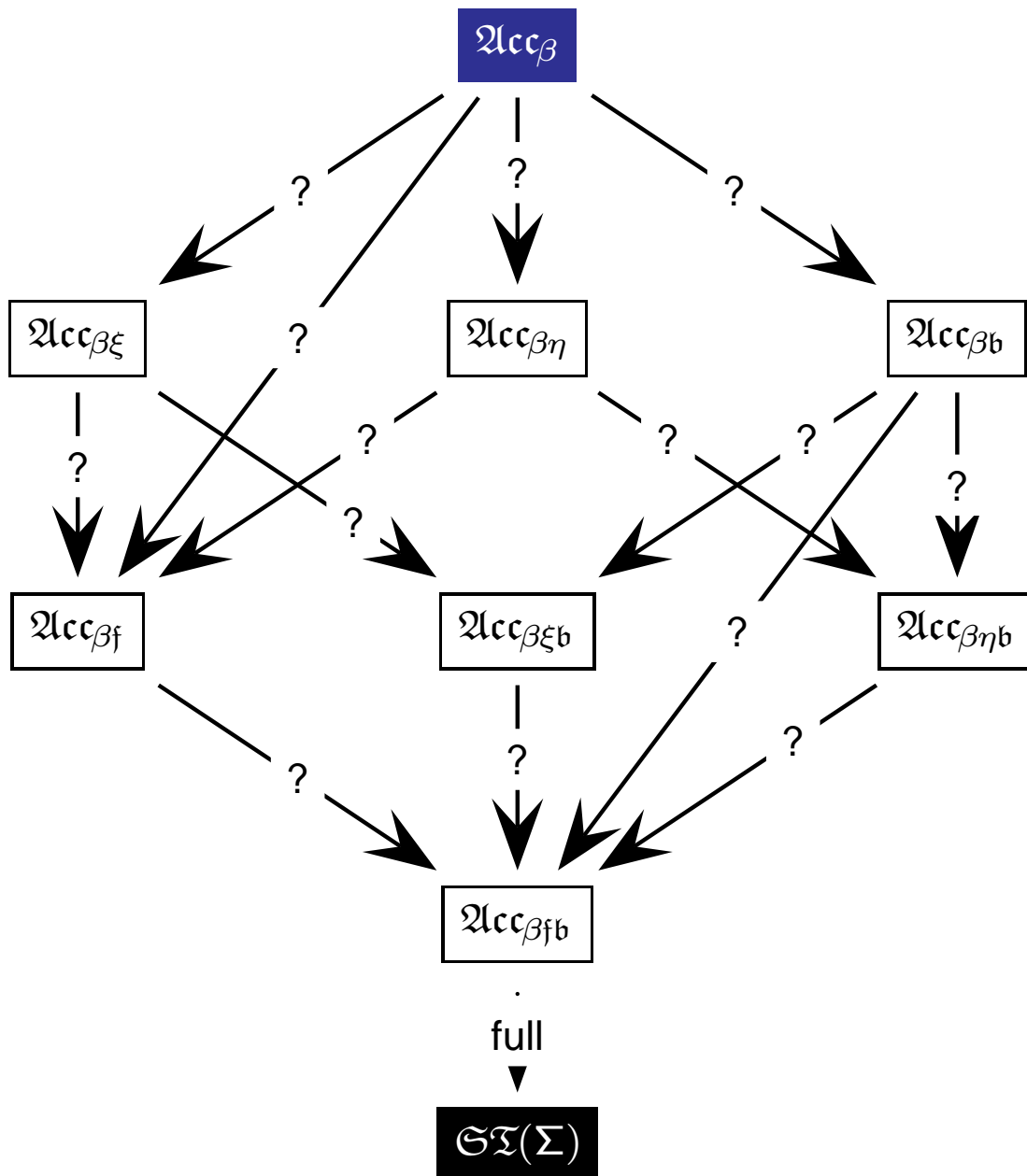
Abstract Consistency Class \mathcal{Acc}_β



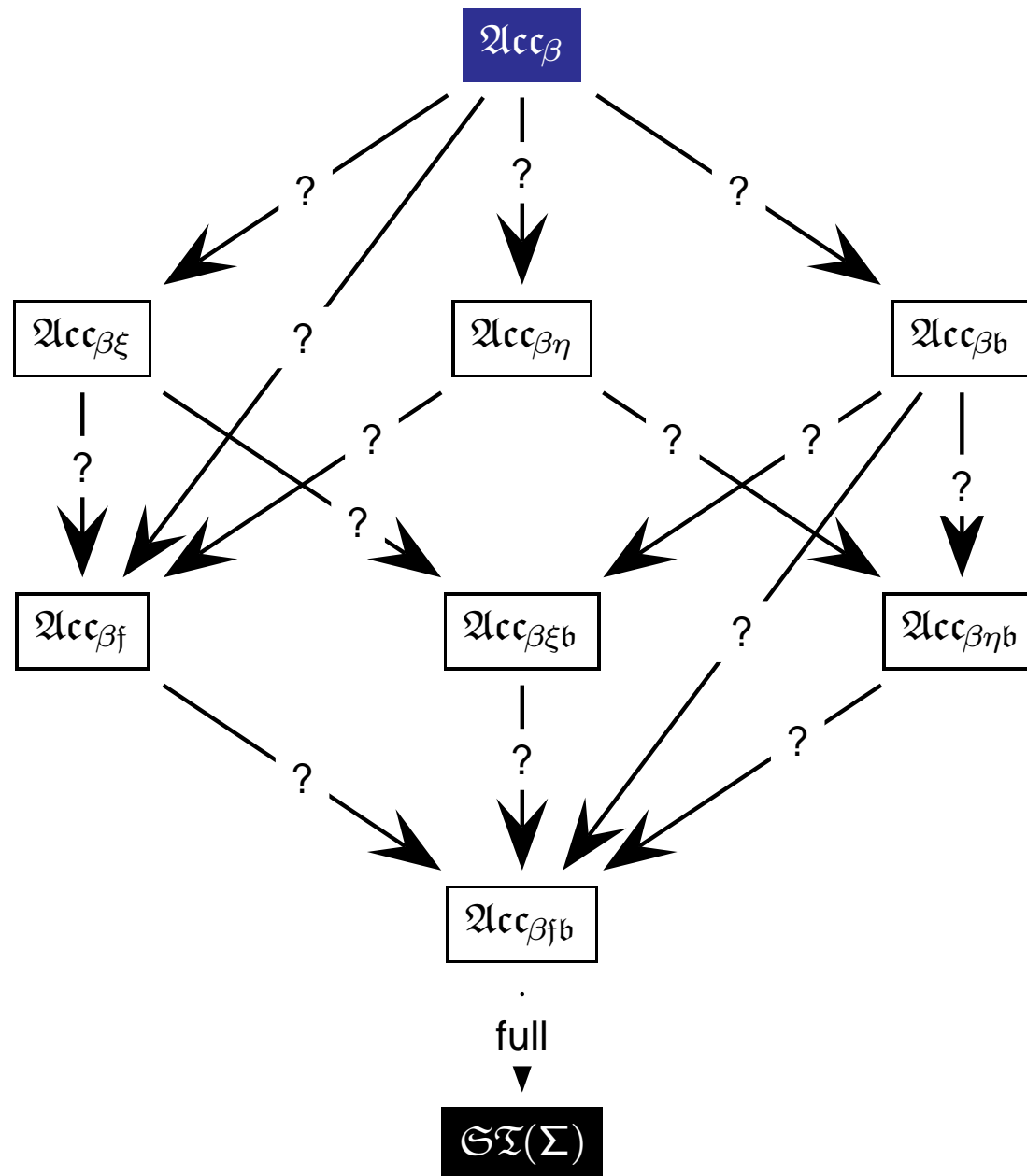
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- If $\nabla_c, \nabla_{\neg}, \nabla_\beta, \nabla_{\forall}, \nabla_{\wedge}, \nabla_{\vee}$ and ∇_{\exists} are valid for Γ_Σ , then Γ_Σ is called an **abstract consistency class** for Σ -models.
- We will denote the collection of abstract consistency classes by \mathcal{Acc}_β .

Basic Abstract Consistency Properties



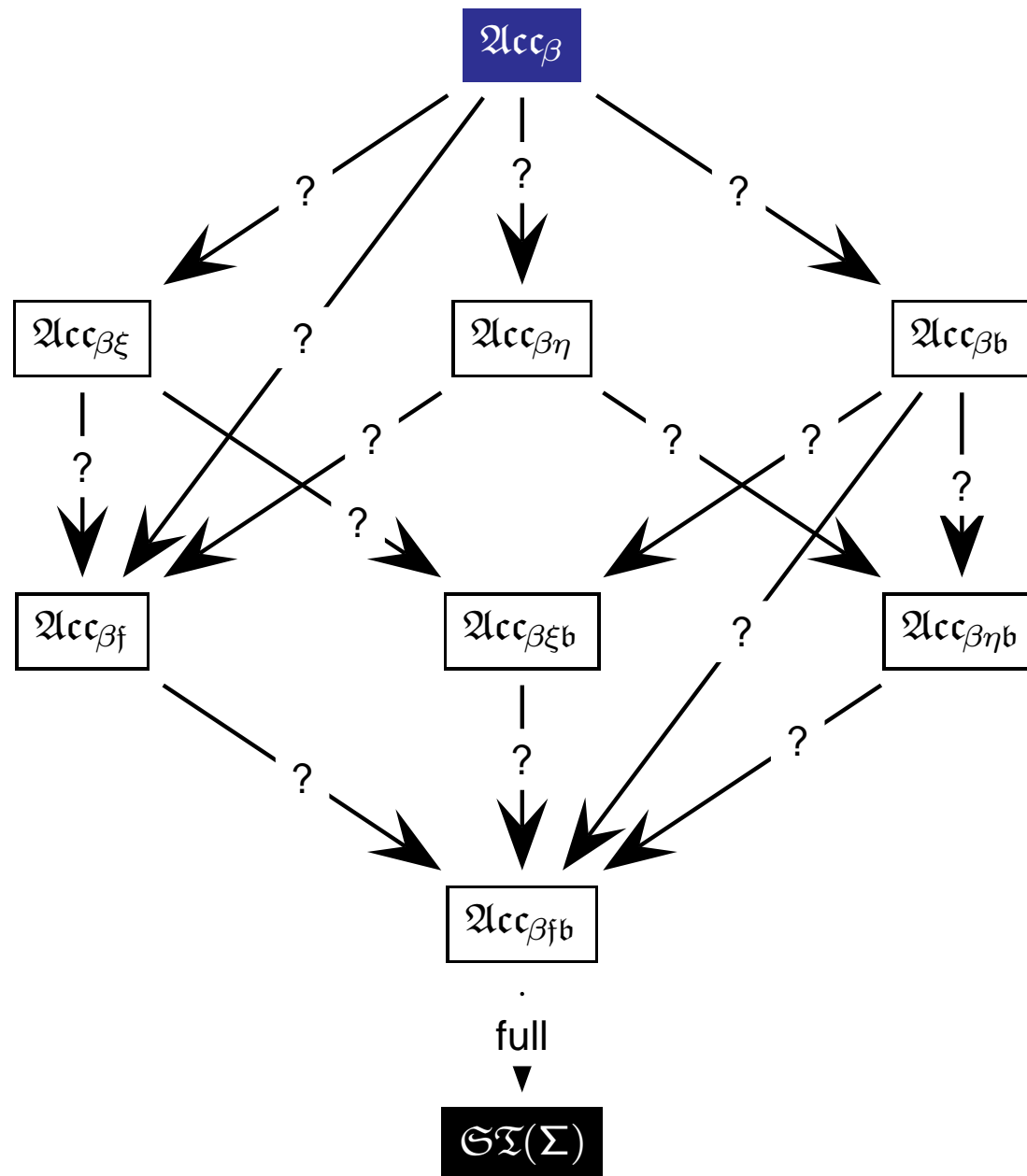
Basic Abstract Consistency Properties



Properties for Acc_β

∇_c If A is atomic, then $A \notin \Phi$ or $\neg A \notin \Phi$.

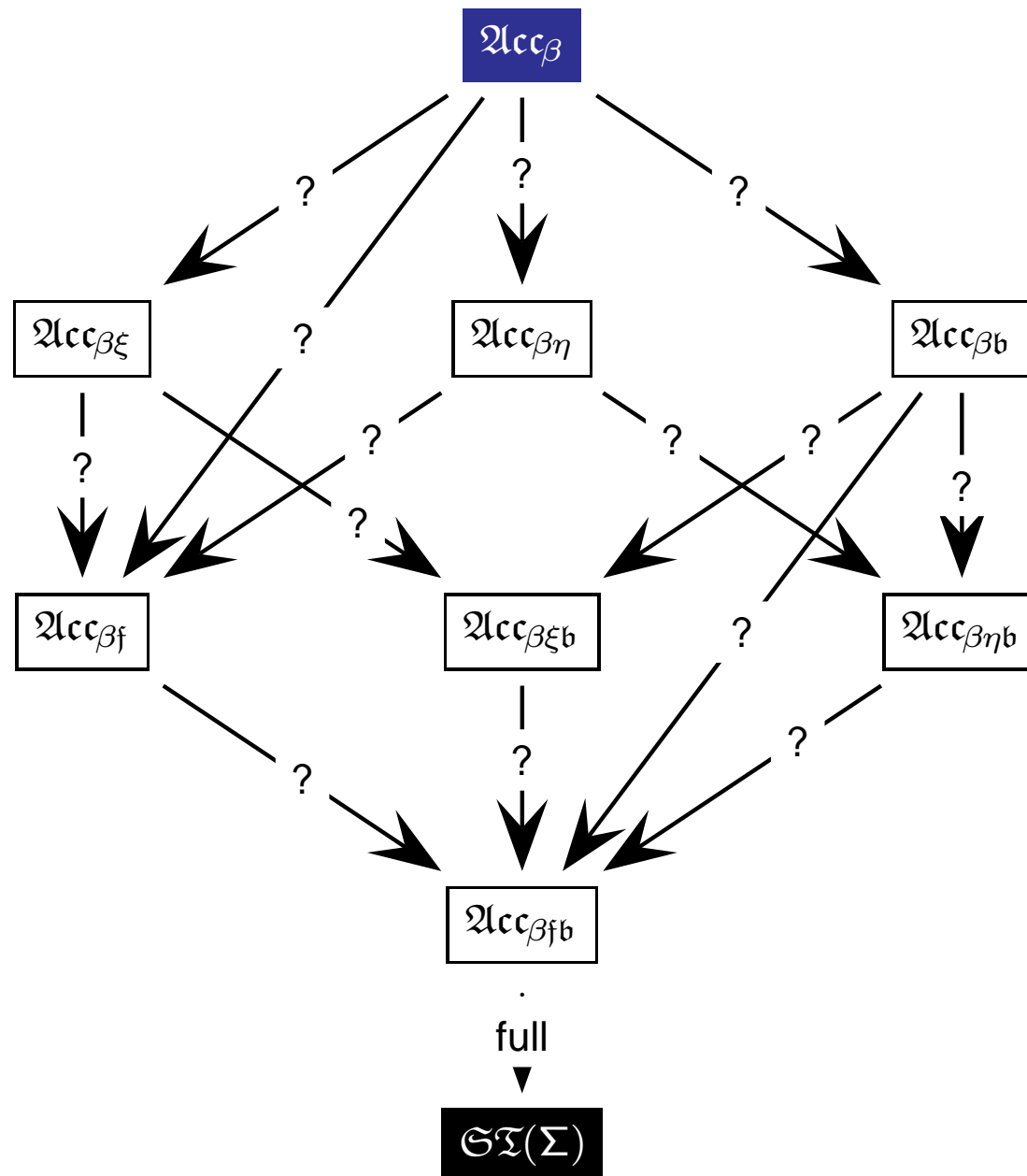
Basic Abstract Consistency Properties



Properties for Acc_β

- ∇_c If A is atomic, then $A \notin \Phi$ or $\neg A \notin \Phi$.
- ∇_\neg If $\neg\neg A \in \Phi$, then $\Phi * A \in \Gamma_\Sigma$.

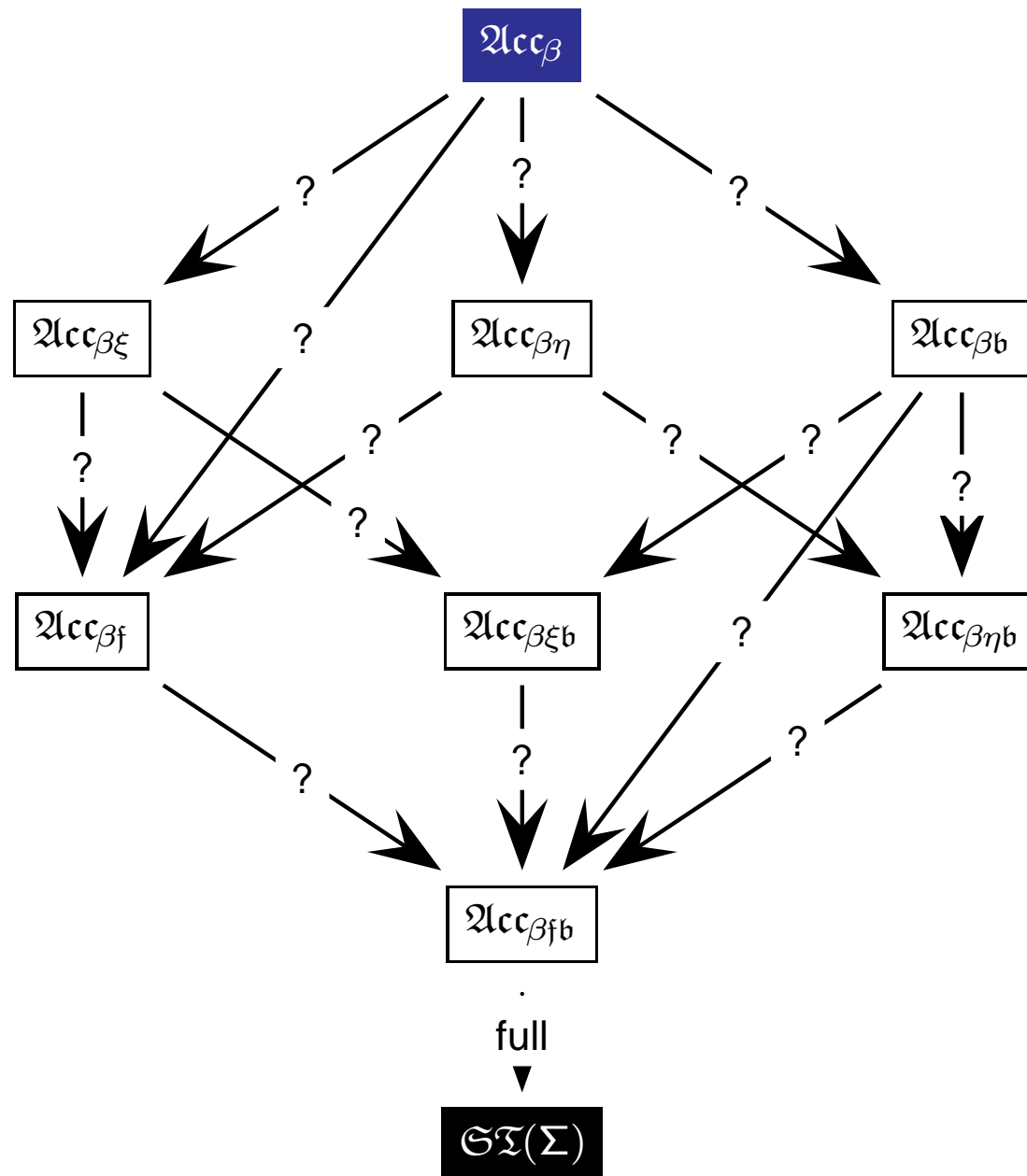
Basic Abstract Consistency Properties



Properties for Acc_β

- ∇_c If A is atomic, then $A \notin \Phi$ or $\neg A \notin \Phi$.
- ∇_\neg If $\neg\neg A \in \Phi$, then $\Phi * A \in \Gamma_\Sigma$.
- ∇_β If $A =_\beta B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_\Sigma$.

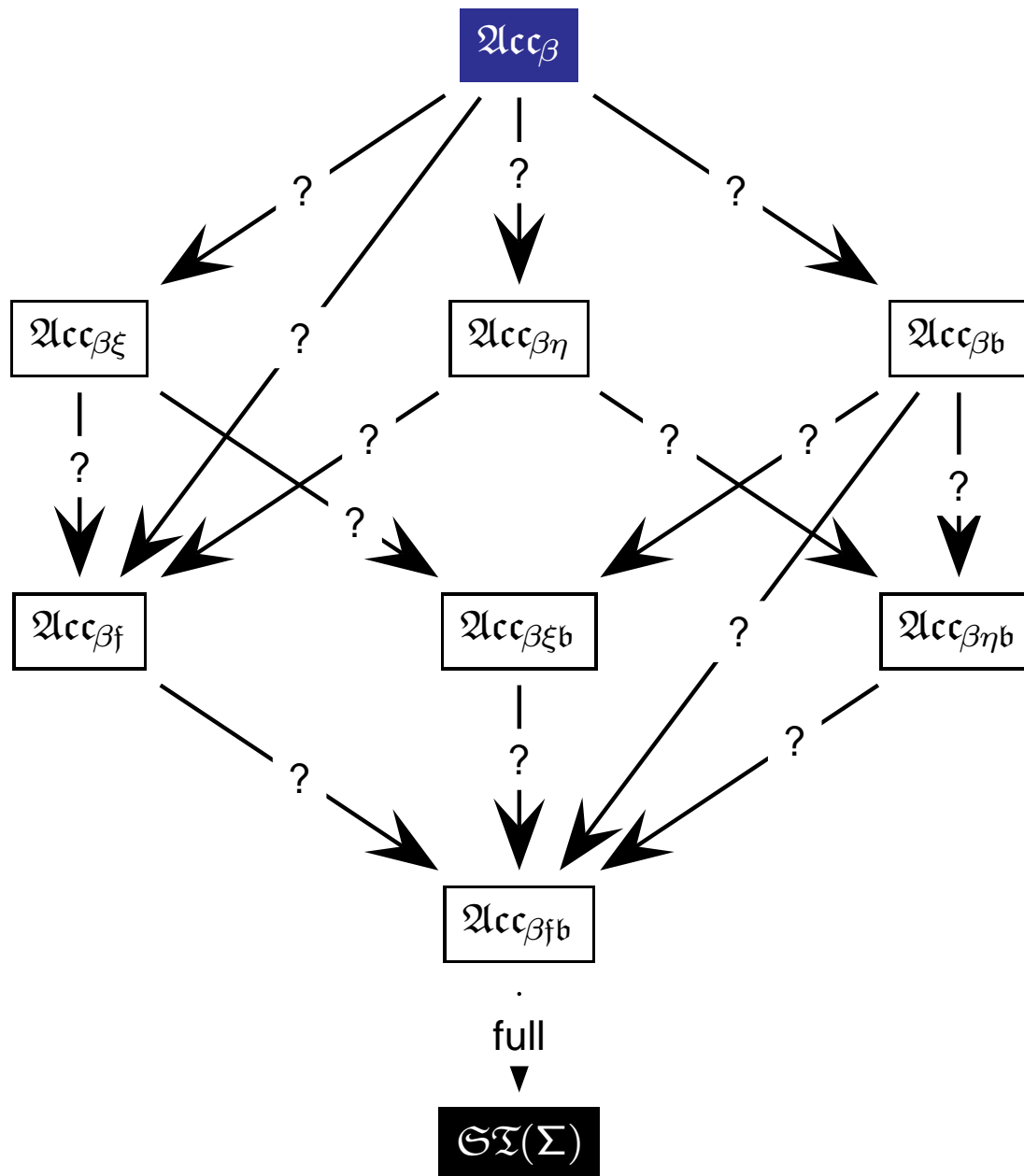
Basic Abstract Consistency Properties



Properties for Acc_β

- ∇_c If A is atomic, then $A \notin \Phi$ or $\neg A \notin \Phi$.
- ∇_{\neg} If $\neg\neg A \in \Phi$, then $\Phi * A \in \Gamma_\Sigma$.
- ∇_β If $A =_\beta B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_\Sigma$.
- ∇_\vee If $A \vee B \in \Phi$, then $\Phi * A \in \Gamma_\Sigma$ or $\Phi * B \in \Gamma_\Sigma$.

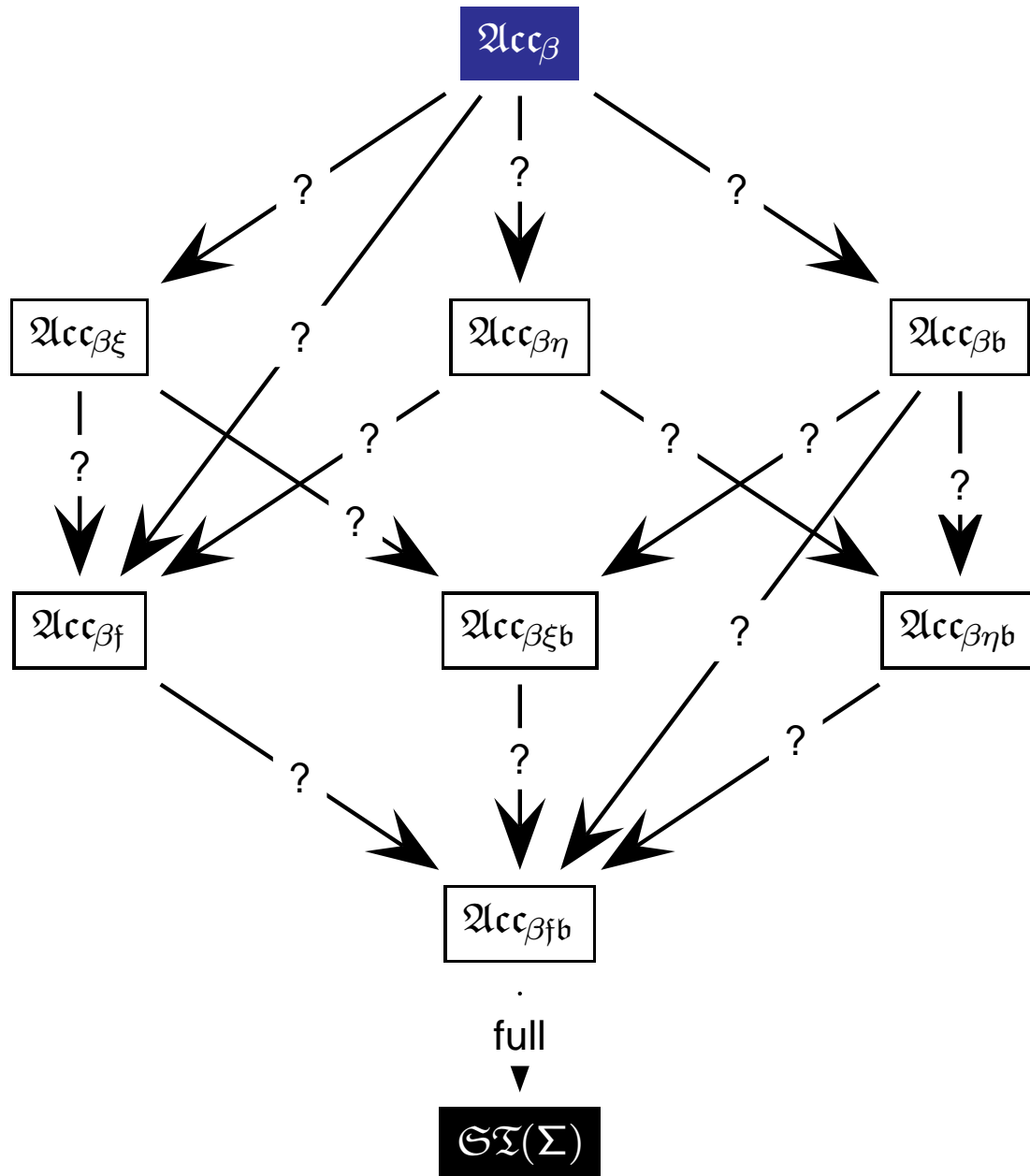
Basic Abstract Consistency Properties



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- ∇_\vee If $A \vee B \in \Phi$, then $\Phi * A \in \Gamma_\Sigma$ or $\Phi * B \in \Gamma_\Sigma$.
- ∇_\wedge If $\neg(A \vee B) \in \Phi$, then $\Phi * \neg A * \neg B \in \Gamma_\Sigma$.

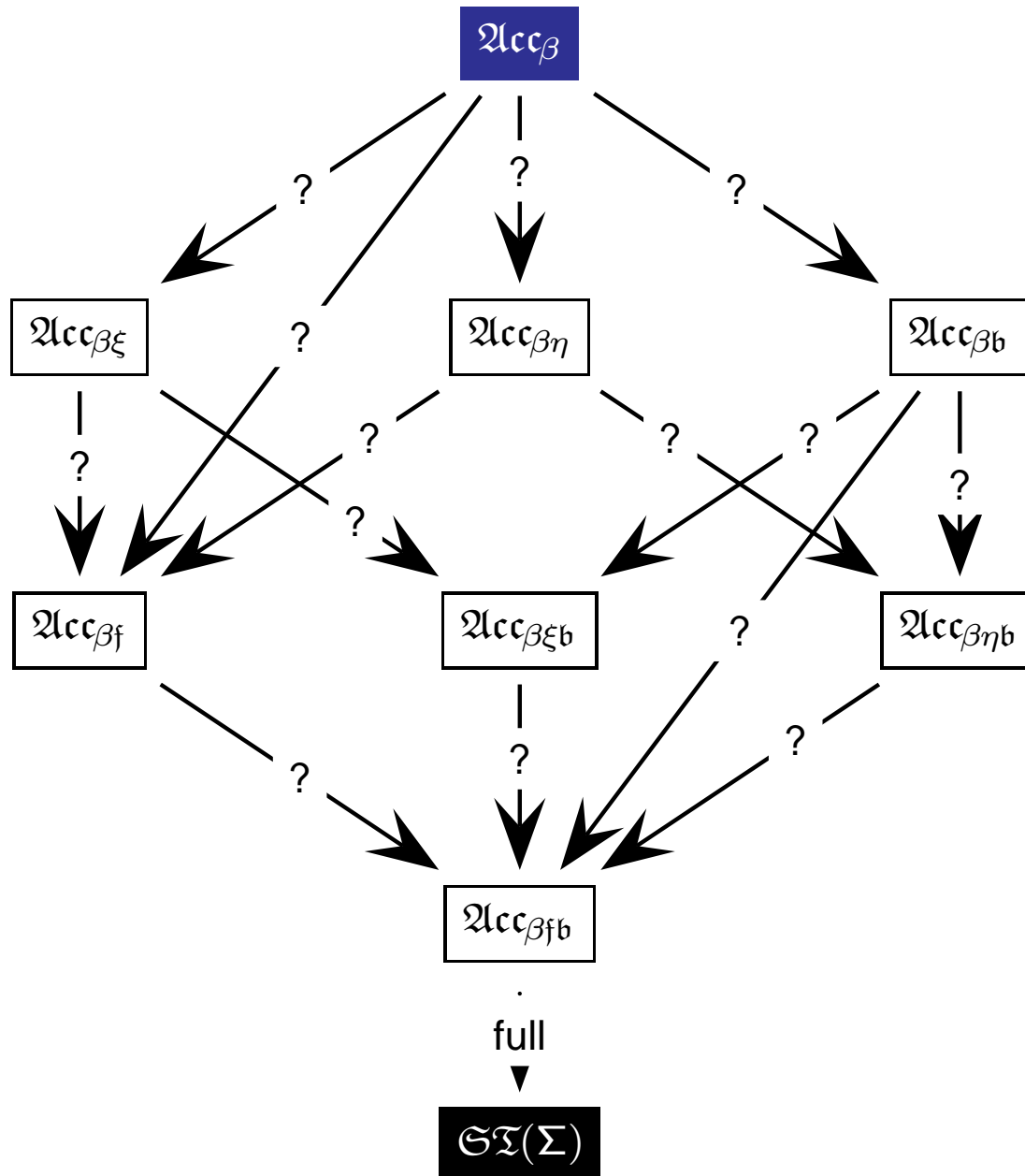
Basic Abstract Consistency Properties



Properties for Acc_β

- ∇_c If A is atomic, then $A \notin \Phi$ or $\neg A \notin \Phi$.
- ∇_{\neg} If $\neg\neg A \in \Phi$, then $\Phi * A \in \Gamma_\Sigma$.
- ∇_β If $A =_\beta B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_\Sigma$.
- ∇_{\vee} If $A \vee B \in \Phi$, then $\Phi * A \in \Gamma_\Sigma$ or $\Phi * B \in \Gamma_\Sigma$.
- ∇_{\wedge} If $\neg(A \vee B) \in \Phi$, then $\Phi * \neg A * \neg B \in \Gamma_\Sigma$.
- ∇_{\forall} If $\Pi^\alpha F \in \Phi$, then $\Phi * FW \in \Gamma_\Sigma$ for each $W \in \text{cwff}_\alpha(\Sigma)$.

Basic Abstract Consistency Properties



Properties for Acc_β

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- ∇_\vee If $A \vee B \in \Phi$, then $\Phi * A \in \Gamma_\Sigma$ or $\Phi * B \in \Gamma_\Sigma$.
- ∇_\wedge If $\neg(A \vee B) \in \Phi$, then $\Phi * \neg A * \neg B \in \Gamma_\Sigma$.
- ∇_{\forall} If $\Pi^\alpha F \in \Phi$, then $\Phi * FW \in \Gamma_\Sigma$ for each $W \in \text{cwff}_\alpha(\Sigma)$.
- ∇_{\exists} If $\neg\Pi^\alpha F \in \Phi$, then $\Phi * \neg(Fw) \in \Gamma_\Sigma$ for any parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

Extens. Abstract Consistency Properties



Let Γ_Σ be a class of sets of Σ -sentences. We define (where $\Phi \in \Gamma_\Sigma$, $\alpha, \beta \in \mathcal{T}$, $\mathbf{A}, \mathbf{B} \in \text{cwff}_0(\Sigma)$, $\mathbf{G}, \mathbf{H}, (\lambda X_\alpha.\mathbf{M}), (\lambda X_\alpha.\mathbf{N}) \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$ are arbitrary):

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∇_b If $\neg(\mathbf{A} \doteq^\circ \mathbf{B}) \in \Phi$, then $\Phi * \mathbf{A} * \neg \mathbf{B} \in \Gamma_\Sigma$ or $\Phi * \neg \mathbf{A} * \mathbf{B} \in \Gamma_\Sigma$.

Extens. Abstract Consistency Properties



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∇_η If $\mathbf{A} \stackrel{\beta\eta}{=} \mathbf{B}$ and $\mathbf{A} \in \Phi$, then $\Phi * \mathbf{B} \in \Gamma_\Sigma$.

Extens. Abstract Consistency Properties



Let Γ_Σ be a class of sets of Σ -sentences. We define (where $\Phi \in \Gamma_\Sigma$, $\alpha, \beta \in \mathcal{T}$, $\mathbf{A}, \mathbf{B} \in \text{cwff}_0(\Sigma)$, $\mathbf{G}, \mathbf{H}, (\lambda X_\alpha.\mathbf{M}), (\lambda X_\alpha.\mathbf{N}) \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$ are arbitrary):

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∇_ξ If $\neg(\lambda X_\alpha.\mathbf{M} \doteq^{\alpha \rightarrow \beta} \lambda X_\alpha.\mathbf{N}) \in \Phi$, then
 $\Phi * \neg([w/X]\mathbf{M} \doteq^\beta [w/X]\mathbf{N}) \in \Gamma_\Sigma$ for any parameter $w_\alpha \in \Sigma_\alpha$
which does not occur in any sentence of Φ .

Extens. Abstract Consistency Properties



Let Γ_Σ be a class of sets of Σ -sentences. We define (where $\Phi \in \Gamma_\Sigma$, $\alpha, \beta \in \mathcal{T}$, $\mathbf{A}, \mathbf{B} \in \text{cwff}_0(\Sigma)$, $\mathbf{G}, \mathbf{H}, (\lambda X_\alpha.\mathbf{M}), (\lambda X_\alpha.\mathbf{N}) \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$ are arbitrary):

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∇_η If $\mathbf{A} \stackrel{\beta\eta}{=} \mathbf{B}$ and $\mathbf{A} \in \Phi$, then $\Phi * \mathbf{B} \in \Gamma_\Sigma$.

∇_ξ If $\neg(\lambda X_\alpha.\mathbf{M} \dot{=}^{\alpha \rightarrow \beta} \lambda X_\alpha.\mathbf{N}) \in \Phi$, then
 $\Phi * \neg([\mathbf{w}/\mathbf{X}]\mathbf{M} \dot{=}^\beta [\mathbf{w}/\mathbf{X}]\mathbf{N}) \in \Gamma_\Sigma$ for any parameter $w_\alpha \in \Sigma_\alpha$
which does not occur in any sentence of Φ .

∇_f If $\neg(\mathbf{G} \dot{=}^{\alpha \rightarrow \beta} \mathbf{H}) \in \Phi$, then $\Phi * \neg(\mathbf{G}w \dot{=}^\beta \mathbf{H}w) \in \Gamma_\Sigma$ for any
parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

Extens. Abstract Consistency Properties



Let Γ_Σ be a class of sets of Σ -sentences. We define (where $\Phi \in \Gamma_\Sigma$, $\alpha, \beta \in \mathcal{T}$, $\mathbf{A}, \mathbf{B} \in \text{cwff}_0(\Sigma)$, $\mathbf{G}, \mathbf{H}, (\lambda X_\alpha.\mathbf{M}), (\lambda X_\alpha.\mathbf{N}) \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$ are arbitrary):

∇_b If $\neg(\mathbf{A} \dot{=}^0 \mathbf{B}) \in \Phi$, then $\Phi * \mathbf{A} * \neg\mathbf{B} \in \Gamma_\Sigma$ or $\Phi * \neg\mathbf{A} * \mathbf{B} \in \Gamma_\Sigma$.

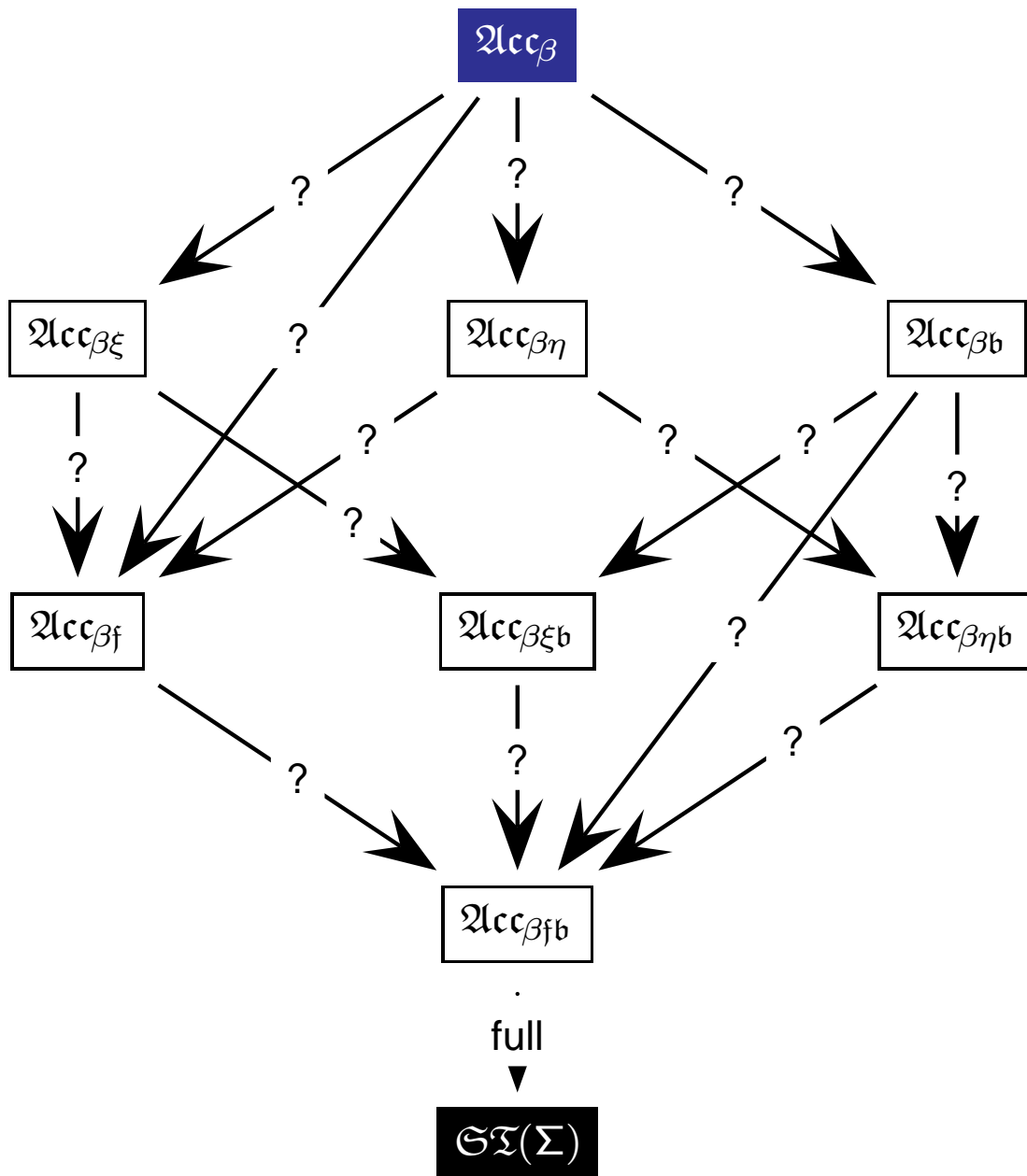
∇_η If $\mathbf{A} \stackrel{\beta\eta}{=} \mathbf{B}$ and $\mathbf{A} \in \Phi$, then $\Phi * \mathbf{B} \in \Gamma_\Sigma$.

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 $\Phi * \neg([\mathbf{w}/\mathbf{X}]\mathbf{M} \dot{=}^\beta [\mathbf{w}/\mathbf{X}]\mathbf{N}) \in \Gamma_\Sigma$ for any parameter $w_\alpha \in \Sigma_\alpha$
which does not occur in any sentence of Φ .

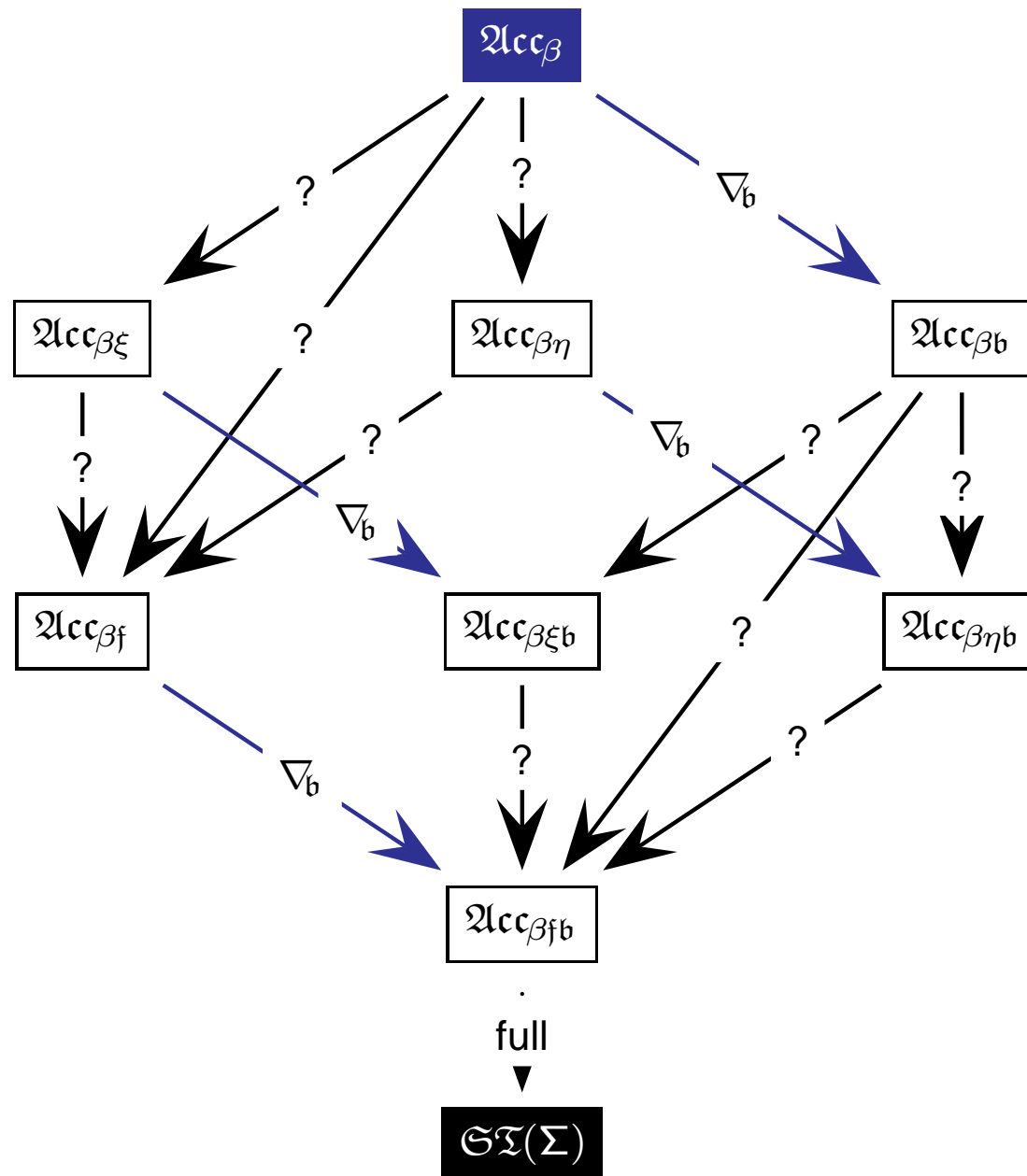
∇_f If $\neg(\mathbf{G} \dot{=}^{\alpha \rightarrow \beta} \mathbf{H}) \in \Phi$, then $\Phi * \neg(\mathbf{G}w \dot{=}^\beta \mathbf{H}w) \in \Gamma_\Sigma$ for any
parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

(These properties are new in [Chris-PhD-99, Chad-PhD-04, JSL-04])

Extens. Abstract Consistency Properties



Extens. Abstract Consistency Properties



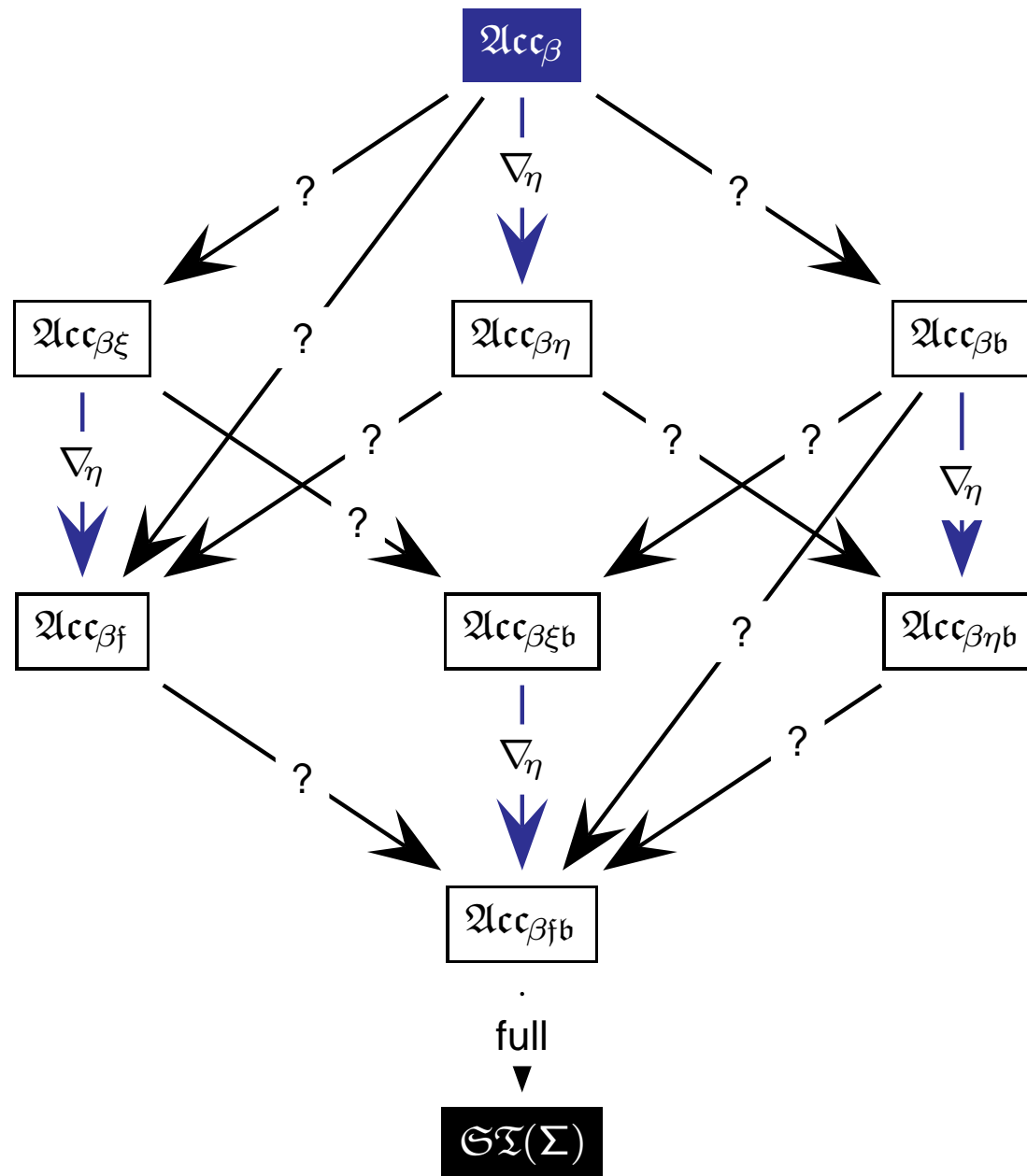
Basic AC Properties for $\mathcal{A}cc_{\beta}$

∇_c	...	∇_{\vee}	...
∇_{\neg}	...	∇_{\wedge}	...
∇_{β}	...	∇_{\forall}	...
		∇_{\exists}	...

Extens. AC Properties

∇_b If $\neg(A \doteq^{\circ} B) \in \Phi$, then $\Phi * A * \neg B \in \Gamma_{\Sigma}$ or $\Phi * \neg A * B \in \Gamma_{\Sigma}$.

Extens. Abstract Consistency Properties



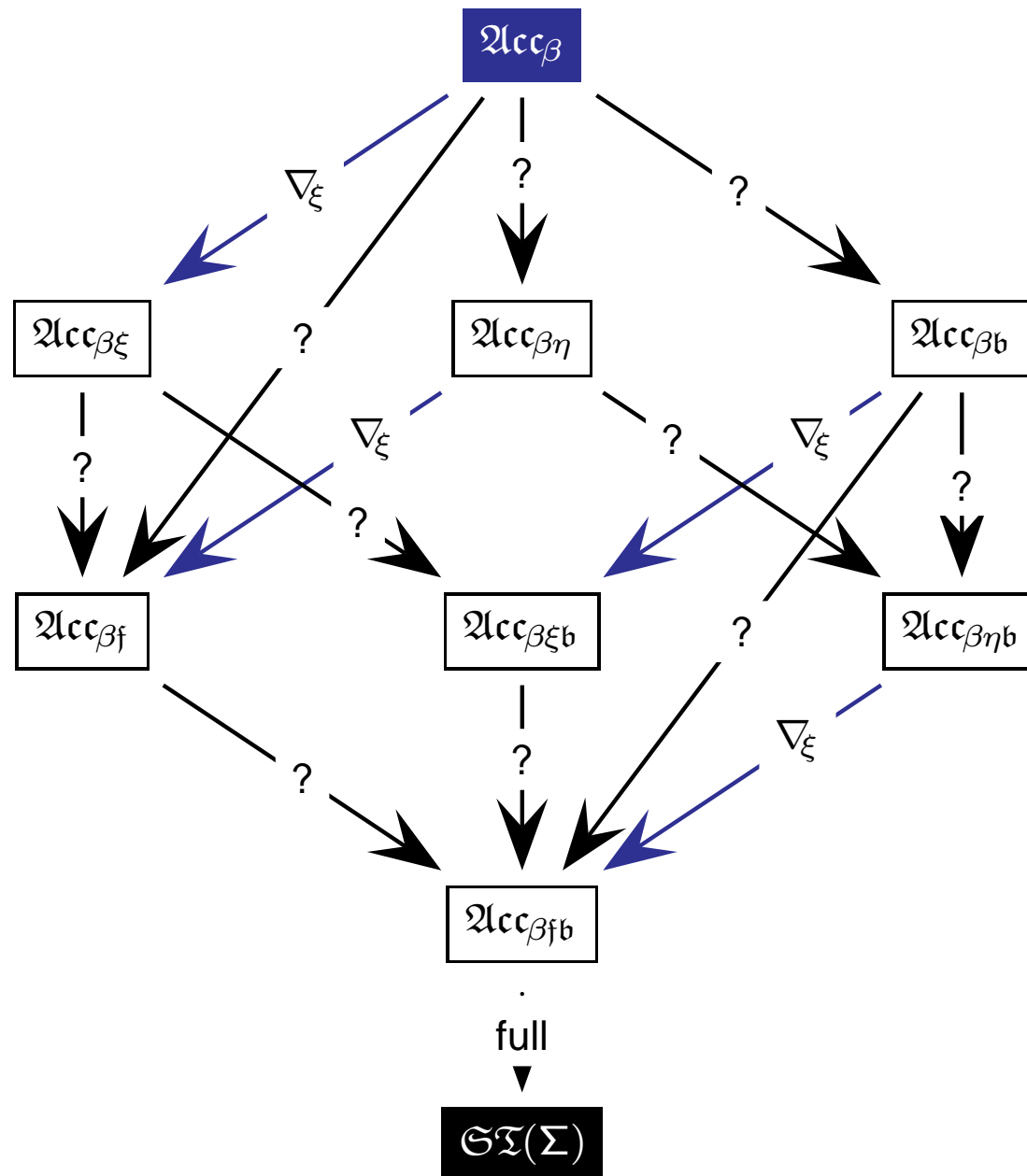
Basic AC Properties for \mathcal{Acc}_β

∇_c	...	∇_{\vee}	...
∇_{\neg}	...	∇_{\wedge}	...
∇_β	...	∇_{\forall}	...
		∇_{\exists}	...

Extens. AC Properties

- ∇_b If $\neg(A \doteq^\circ B) \in \Phi$, then $\Phi * A * \neg B \in \mathbb{I}_\Sigma$ or $\Phi * \neg A * B \in \mathbb{I}_\Sigma$.
- ∇_η If $A \stackrel{\beta\eta}{=} B$ and $A \in \Phi$, then $\Phi * B \in \mathbb{I}_\Sigma$.

Extens. Abstract Consistency Properties



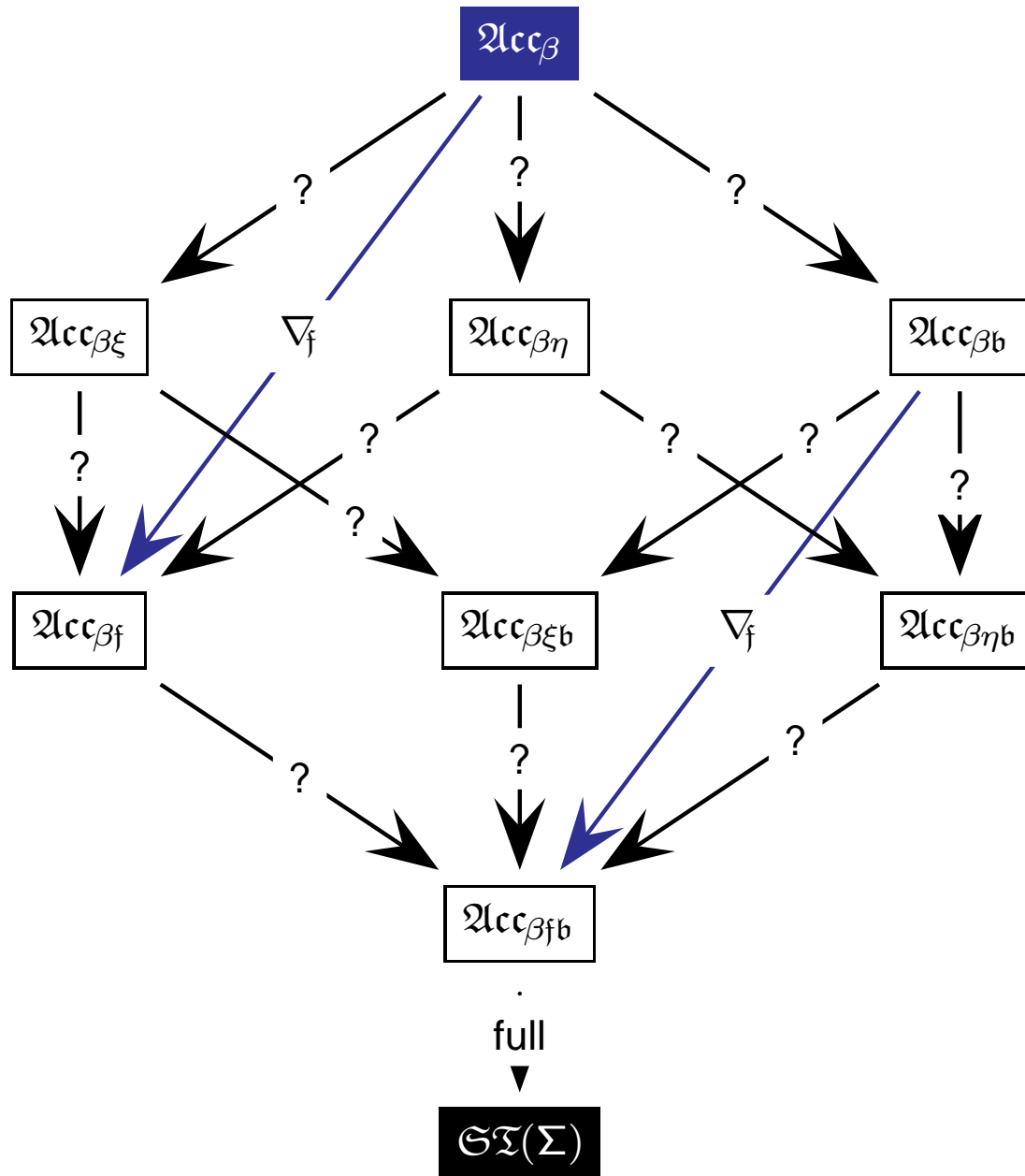
Basic AC Properties for \mathcal{Acc}_β

∇_c	...	∇_{\vee}	...
∇_{\neg}	...	∇_{\wedge}	...
∇_β	...	∇_{\forall}	...
		∇_{\exists}	...

Extens. AC Properties

- ∇_b If $\neg(A \dot{=}^\circ B) \in \Phi$, then $\Phi * A * \neg B \in \Gamma_\Sigma$ or $\Phi * \neg A * B \in \Gamma_\Sigma$.
- ∇_η If $A \stackrel{\beta\eta}{=} B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_\Sigma$.
- ∇_ξ If $\neg(\lambda X_\alpha.M \stackrel{\alpha \rightarrow \beta}{=} \lambda X_\alpha.N) \in \Phi$, then $\Phi * \neg([w/X]M \stackrel{\beta}{=} [w/X]N) \in \Gamma_\Sigma$ for any new $w_\alpha \in \Sigma_\alpha$.

Extens. Abstract Consistency Properties



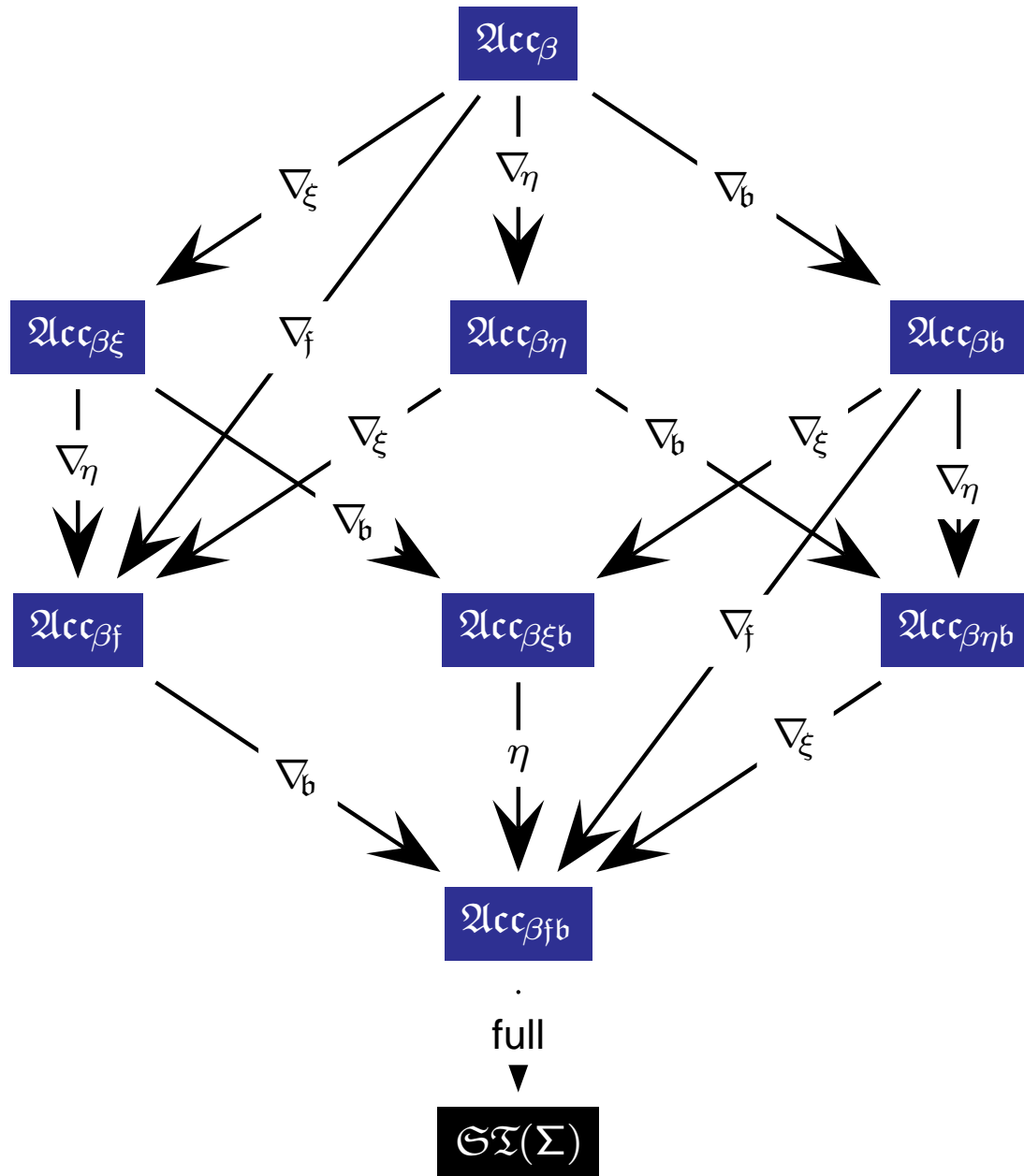
Basic AC Properties for $\mathcal{A}cc_\beta$

∇_c	...	∇_{\vee}	...
∇_{\neg}	...	∇_{\wedge}	...
∇_β	...	∇_{\forall}	...
		∇_{\exists}	...

Extens. AC Properties

- ∇_b If $\neg(A \dot{=}^\circ B) \in \Phi$, then $\Phi * A * \neg B \in \Gamma_\Sigma$ or $\Phi * \neg A * B \in \Gamma_\Sigma$.
- ∇_η If $A \stackrel{\beta\eta}{=} B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_\Sigma$.
- ∇_ξ If $\neg(\lambda X_\alpha.M \dot{=}^{\alpha \rightarrow \beta} \lambda X_\alpha.N) \in \Phi$, then $\Phi * \neg([w/X]M \dot{=}^\beta [w/X]N) \in \Gamma_\Sigma$ for any new $w_\alpha \in \Sigma_\alpha$.
- ∇_f If $\neg(G \dot{=}^{\alpha \rightarrow \beta} H) \in \Phi$, then $\Phi * \neg(Gw \dot{=}^\beta Hw) \in \Gamma_\Sigma$ for any new $w_\alpha \in \Sigma_\alpha$.

Extens. Abstract Consistency Properties



Basic AC Properties for $\mathcal{A}cc_{\beta}$

∇_c	...	∇_{\vee}	...
∇_{\neg}	...	∇_{\wedge}	...
∇_{β}	...	∇_{\forall}	...
		∇_{\exists}	...

Extens. AC Properties

- ∇_b If $\neg(A \dot{=}^{\circ} B) \in \Phi$, then $\Phi * A * \neg B \in \Gamma_{\Sigma}$ or $\Phi * \neg A * B \in \Gamma_{\Sigma}$.
- ∇_{η} If $A \stackrel{\beta\eta}{=} B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_{\Sigma}$.
- ∇_{ξ} If $\neg(\lambda X_{\alpha}.M \dot{=}^{\alpha \rightarrow \beta} \lambda X_{\alpha}.N) \in \Phi$, then $\Phi * \neg([w/X]M \dot{=}^{\beta} [w/X]N) \in \Gamma_{\Sigma}$ for any new $w_{\alpha} \in \Sigma_{\alpha}$.
- ∇_f If $\neg(G \dot{=}^{\alpha \rightarrow \beta} H) \in \Phi$, then $\Phi * \neg(Gw \dot{=}^{\beta} Hw) \in \Gamma_{\Sigma}$ for any new $w_{\alpha} \in \Sigma_{\alpha}$.

Abstract Consistency Class \mathcal{Acc}_β



Defn.: (Contd.) Let Σ be a signature and Γ_Σ be a class of sets of Σ -sentences that is closed under subsets.

Abstract Consistency Class \mathcal{Acc}_β



Defn.: (Contd.) Let Σ be a signature and Γ_Σ be a class of sets of Σ -sentences that is closed under subsets.

- If $\nabla_c, \nabla_{\neg}, \nabla_\beta, \nabla_{\forall}, \nabla_{\wedge}, \nabla_{\vee}$ and ∇_{\exists} are valid for Γ_Σ , then Γ_Σ is called an **abstract consistency class** for Σ -models.

Abstract Consistency Class \mathcal{Acc}_β



Defn.: (Contd.) Let Σ be a signature and Γ_Σ be a class of sets of Σ -sentences that is closed under subsets.

- If $\nabla_c, \nabla_{\neg}, \nabla_\beta, \nabla_{\forall}, \nabla_{\wedge}, \nabla_{\vee}$ and ∇_{\exists} are valid for Γ_Σ , then Γ_Σ is called an **abstract consistency class** for Σ -models.
- We will denote the collection of abstract consistency classes by \mathcal{Acc}_β .

Abstract Consistency Class \mathcal{Acc}_β



Defn.: (Contd.) Let Σ be a signature and Γ_Σ be a class of sets of Σ -sentences that is closed under subsets.

- If $\nabla_c, \nabla_{\neg}, \nabla_\beta, \nabla_{\forall}, \nabla_{\wedge}, \nabla_{\vee}$ and ∇_{\exists} are valid for Γ_Σ , then Γ_Σ is called an **abstract consistency class** for Σ -models.
- We will denote the collection of abstract consistency classes by \mathcal{Acc}_β .
- Similarly, we introduce the following collections of specialized abstract consistency classes (with primitive equality): $\mathcal{Acc}_{\beta\eta}, \mathcal{Acc}_{\beta\xi}, \mathcal{Acc}_{\beta f}, \mathcal{Acc}_{\beta b}, \mathcal{Acc}_{\beta\eta b}, \mathcal{Acc}_{\beta\xi b}, \mathcal{Acc}_{\beta fb}$, where we indicate by indices which additional properties from $\{\nabla_\eta, \nabla_\xi, \nabla_f, \nabla_b\}$ are required.

Ex.: Abstract Consistency Class



- not an abstract consistency class:

$\{\{\neg(A \vee B), \neg A\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\}\}$

Ex.: Abstract Consistency Class

- not an abstract consistency class:

$\{\{\neg(A \vee B), \neg A\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\}\}$

- still not:

$\{\{\neg(A \vee B), \neg A, \neg B\}, \{\neg(A \vee B), \neg A\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\}\}$

Ex.: Abstract Consistency Class

- not an abstract consistency class:

$$\{\{\neg(A \vee B), \neg A\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\}\}$$

- still not:

$$\{\{\neg(A \vee B), \neg A, \neg B\}, \{\neg(A \vee B), \neg A\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\}\}$$

- how about this one:

$$\Gamma := \{\{\neg(A \vee B), \neg A, \neg B\}, \{\neg(A \vee B), \neg A\}, \{\neg(A \vee B), \neg B\}, \{\neg A, \neg B\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\neg B\}, \{\}\}$$

Ex.: Abstract Consistency Class

- not an abstract consistency class:

$$\{\{\neg(A \vee B), \neg A\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\}\}$$

- still not:

$$\{\{\neg(A \vee B), \neg A, \neg B\}, \{\neg(A \vee B), \neg A\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\}\}$$

- how about this one:

$$\Gamma := \{\{\neg(A \vee B), \neg A, \neg B\}, \{\neg(A \vee B), \neg A\}, \{\neg(A \vee B), \neg B\}, \{\neg A, \neg B\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\neg B\}, \{\}\}$$

- and how about this:

$$\Gamma_0 := \Gamma$$

$$\Phi \in \Gamma_i \wedge A \in \Phi \wedge B =_{\beta\eta} A \wedge B \neq A \wedge (\Phi * B) \notin \Gamma_i \longrightarrow$$

$$\Gamma_{i+1} := \text{close-under-subsets}(\Gamma_i * (\Phi * B))$$

$$\Gamma^* := \Gamma_\infty$$

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δ -case If $\delta \in \Phi$, then $\Phi * \delta w \in \Gamma_\Sigma$ for any parameter $w_\alpha \in \Sigma$ which does not occur in any sentence of Φ .

Def.: Sufficiently Σ -Pure



We introduce a technical side-condition that ensures that we always have enough witness constants.

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Let Σ be a signature and ϕ be a set of Σ -sentences. ϕ is called **sufficiently Σ -pure** if for each type α there is a set $\mathcal{P}_\alpha \subseteq \Sigma_\alpha$ of parameters with equal cardinality to $wff_\alpha(\Sigma)$, such that the elements of \mathcal{P}_α do not occur in the sentences of ϕ .

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This can be obtained in practice by enriching the signature with spurious parameters.

Saturation



Defn.: Consider the following property (where $\phi \in \Gamma_\Sigma$, $A \in \text{cwff}_o(\Sigma)$):

Saturation



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∇_{sat} Either $\phi * A \in \Gamma_\Sigma$ or $\phi * \neg A \in \Gamma_\Sigma$.

- We call an abstract consistency class Γ_Σ **saturated** if ∇_{sat} holds for all A .

Ex.: Saturated

- consider Γ (and Γ^*) from before:

$\{\{\neg(A \vee B), \neg A, \neg B\}, \{\neg(A \vee B), \neg A\}, \{\neg(A \vee B), \neg B\}, \{\neg A, \neg B\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\neg B\}, \{\}\}$

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- Γ (and Γ^*) is not saturated: for instance, it does not provide information on the formulas $(\neg A \vee B) \vee A$ and $\Pi^\circ(\lambda X_o.X)$

Def.: Saturated Extension

Def.: (Saturated Extension)

Let $\Gamma_\Sigma, \Gamma'_\Sigma \in \mathcal{Acc}_*$ be abstract consistency classes. We say Γ'_Σ is an **extension** of Γ_Σ if $\phi \in \Gamma'_\Sigma$ for every (sufficiently Σ -pure) $\phi \in \Gamma_\Sigma$. We say Γ'_Σ is a **saturated extension** of Γ_Σ if Γ'_Σ is saturated and an extension of Γ_Σ .

Ex.: ACC without Saturated Extension



There exist abstract consistency classes Γ in $\mathcal{ACC}_{\beta fb}$ which have no saturated extension.

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Example:

Let $a_o, b_o, q_{o \rightarrow o} \in \Sigma$ and $\Phi := \{a, b, (qa), \neg(qb)\}$. We construct an abstract consistency class Γ_Σ from Φ by first building the closure Φ' of Φ under relation $=_\beta$ and then taking the power set of Φ' . It is easy to check that this Γ_Σ is in $\mathcal{ACC}_{\beta\text{fb}}$.

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Model Existence Theorem



Thm.: Let Γ_Σ be a saturated abstract consistency class and let $\Phi \in \Gamma_\Sigma$ be a sufficiently Σ -pure set of sentences.

For all $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$ we have:

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Proof: (Sketch)

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- Then there is a Henkin Model that satisfies Φ .

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- Furthermore, each domain of the model has cardinality at most \aleph_s (where \aleph_s is the cardinality of $wff_\alpha(\Sigma)$ and $wff_\alpha(\Sigma)$).

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Model Existence for Henkin Models

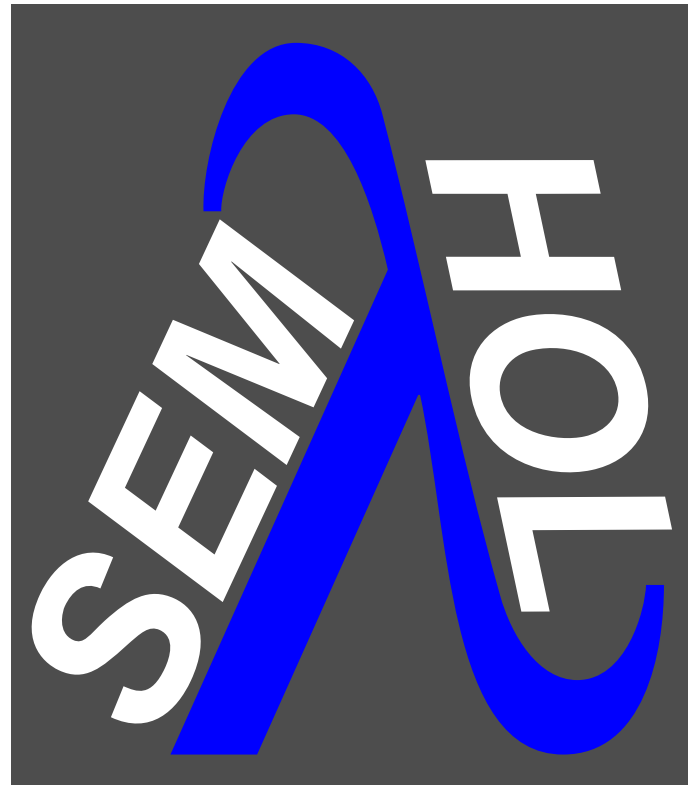


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Proof: (Sketch)

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Completeness of \mathcal{N}_* via
Abstract Consistency

\mathcal{N}_* -Consistent/Inconsistent



Def.: A set of sentences ϕ is \mathcal{N}_* -inconsistent if $\phi \Vdash_{\mathcal{N}_*} \mathbf{F}_o$, and \mathcal{N}_* -consistent otherwise.

$\mathcal{N}\mathcal{K}_*$ -Consistent/Inconsistent



Def.: A set of sentences Φ is $\mathcal{N}\mathcal{K}_*$ -inconsistent if $\Phi \vdash_{\mathcal{N}\mathcal{K}_*} \mathbf{F}_o$, and $\mathcal{N}\mathcal{K}_*$ -consistent otherwise.

- We will now consider the class

$$\Gamma_\Sigma^* := \{ \Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \text{ is } \mathcal{N}\mathcal{K}_*\text{-consistent} \}$$

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- i.e.

$$\Gamma_{\Sigma}^* := \{ \Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \not\Vdash_{\mathcal{N}\mathcal{K}_*} \mathbf{F}_o \}$$

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: (We first show: Γ_Σ^* is closed under subsets)

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Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\vdash_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: (We first show: Γ_Σ^* is closed under subsets)

Obviously Γ_Σ^* is closed under subsets, since any subset of an $\mathcal{N}\mathcal{K}_*$ -consistent set is $\mathcal{N}\mathcal{K}_*$ -consistent. (If $\Psi \subseteq \Phi$ and $\Psi \vdash_{\mathcal{N}\mathcal{K}_*} F_0$ then clearly $\Phi \vdash_{\mathcal{N}\mathcal{K}_*} F_0$)

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We now show: $\nabla_c, \nabla_{\neg}, \nabla_\beta, \nabla_\vee, \nabla_\wedge, \nabla_\forall, \nabla_\eta, \nabla_\xi, \nabla_f, \nabla_b, \nabla_{\text{sat}}$)

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

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We now check the remaining conditions. We prove all the properties by proving their contrapositive.

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Proof: (We show: ∇_c)

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∇_c If A is atomic, then $A \notin \Phi$ or $\neg A \notin \Phi$.

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_c)

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Suppose $A, \neg A \in \Phi$.

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} \mathbf{F}_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_c)

∇_c If \mathbf{A} is atomic, then $\mathbf{A} \notin \Phi$ or $\neg \mathbf{A} \notin \Phi$.

Suppose $\mathbf{A}, \neg \mathbf{A} \in \Phi$.

$$\frac{\frac{}{\Phi \Vdash \mathbf{A}} \mathcal{NK}(\text{Hyp}) \quad \frac{}{\Phi \Vdash \neg \mathbf{A}} \mathcal{NK}(\text{Hyp})}{\Phi \Vdash \mathbf{F}_0} \mathcal{NK}(\neg E)$$

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} \mathbf{F}_o\}$ is a saturated $\mathcal{A}\text{cc}_*$.

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Suppose $\mathbf{A}, \neg\mathbf{A} \in \Phi$.

$$\frac{\frac{}{\Phi \Vdash \mathbf{A}} \mathcal{N}\mathcal{K}(\text{Hyp}) \quad \frac{}{\Phi \Vdash \neg\mathbf{A}} \mathcal{N}\mathcal{K}(\text{Hyp})}{\Phi \Vdash \mathbf{F}_o} \mathcal{N}\mathcal{K}(\neg E)$$

Hence Φ is $\mathcal{N}\mathcal{K}_*$ -inconsistent.

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \Vdash_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

Proof: (We show: ∇_β)

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: (We show: ∇_β)

∇_β If $A =_\beta B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_\Sigma^*$.

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

Proof: (We show: ∇_β)

∇_β If $A =_\beta B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_\Sigma^*$.

Let $A \in \Phi$, $A =_\beta B$ and $\Phi * B$ be $\mathcal{N}\mathcal{K}_*$ -inconsistent.

Class of Sets of \mathcal{NA}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NA}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_β)

∇_β If $A =_\beta B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_\Sigma^*$.

Let $A \in \Phi$, $A =_\beta B$ and $\Phi * B$ be \mathcal{NA}_* -inconsistent. That is, $\Phi * B \models F_0$.

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_β)

∇_β If $A =_\beta B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_\Sigma^*$.

Let $A \in \Phi$, $A =_\beta B$ and $\Phi * B$ be \mathcal{NR}_* -inconsistent. That is, $\Phi * B \models F_0$.

$$\boxed{\nabla_\beta} \quad \frac{\frac{\Phi * B \models F_0}{\Phi \models \neg B} \text{nk}(\neg I) \quad \frac{\frac{\frac{}{\Phi \models A} \text{nk}(\text{Hyp})}{\Phi \models B} \text{nk}(\beta)}{\Phi \models \neg B} \text{nk}(\neg E)}{\Phi \models F_0}$$

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

Proof: (We show: ∇_{\neg})

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_{\neg})

∇_{\neg} If $\neg\neg A \in \Phi$, then $\Phi * A \in \Gamma_\Sigma^*$.

Class of Sets of \mathcal{NA}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{NA}_*} F_o\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_{\neg})

∇_{\neg} If $\neg\neg A \in \Phi$, then $\Phi * A \in \Gamma_\Sigma^*$.

Suppose $\neg\neg A \in \Phi$ and $\Phi * A$ is \mathcal{NA}_* -inconsistent.

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_{\neg})

∇_{\neg} If $\neg\neg A \in \Phi$, then $\Phi * A \in \Gamma_\Sigma^*$.

Suppose $\neg\neg A \in \Phi$ and $\Phi * A$ is \mathcal{NR}_* -inconsistent.



$$\begin{array}{c}
 \frac{\Phi * A \models F_0}{\Phi \models \neg A} \text{nk}(\neg I) \qquad \frac{}{\Phi \models \neg\neg A} \text{nk}(Hyp) \\
 \hline
 \Phi \models F_0 \qquad \Phi \models \neg\neg A \\
 \hline
 \Phi \models F_0 \qquad \text{nk}(\neg E)
 \end{array}$$

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

Proof: (We show: ∇_V)

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_V)

∇_V If $A \vee B \in \Phi$, then $\Phi * A \in \Gamma_\Sigma^*$ or $\Phi * B \in \Gamma_\Sigma^*$.

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_V)

∇_V If $A \vee B \in \Phi$, then $\Phi * A \in \Gamma_\Sigma^*$ or $\Phi * B \in \Gamma_\Sigma^*$.

Suppose $(A \vee B) \in \Phi$ and both $\Phi * A$ and $\Phi * B$ are \mathcal{NR}_* -inconsistent.

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_V)

∇_V If $A \vee B \in \Phi$, then $\Phi * A \in \Gamma_\Sigma^*$ or $\Phi * B \in \Gamma_\Sigma^*$.

Suppose $(A \vee B) \in \Phi$ and both $\Phi * A$ and $\Phi * B$ are \mathcal{NR}_* -inconsistent.

$$\boxed{\nabla_V} \quad \frac{\frac{\Phi \Vdash A \vee B}{\Phi * A \Vdash F_0} \text{nk(Hyp)} \quad \Phi * B \Vdash F_0}{\Phi \Vdash F_0} \text{nk(VE)}$$

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_\wedge)

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} \mathbf{F}_o\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_\wedge)

∇_\wedge If $\neg(\mathbf{A} \vee \mathbf{B}) \in \Phi$, then $\Phi * \neg\mathbf{A} * \neg\mathbf{B} \in \Gamma_\Sigma^*$.

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} \mathbf{F}_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_\wedge)

∇_\wedge If $\neg(\mathbf{A} \vee \mathbf{B}) \in \Phi$, then $\Phi * \neg\mathbf{A} * \neg\mathbf{B} \in \Gamma_\Sigma^*$.

Suppose $\neg(\mathbf{A} \vee \mathbf{B}) \in \Phi$ and $\Phi * \neg\mathbf{A} * \neg\mathbf{B}$ is \mathcal{NR}_* -inconsistent.

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} \mathbf{F}_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_\wedge)

∇_\wedge If $\neg(\mathbf{A} \vee \mathbf{B}) \in \Phi$, then $\Phi * \neg\mathbf{A} * \neg\mathbf{B} \in \Gamma_\Sigma^*$.

Suppose $\neg(\mathbf{A} \vee \mathbf{B}) \in \Phi$ and $\Phi * \neg\mathbf{A} * \neg\mathbf{B}$ is \mathcal{NR}_* -inconsistent.

$$\begin{array}{c}
 \frac{\Phi * \neg\mathbf{A} * \neg\mathbf{B} \Vdash \mathbf{F}_0}{\text{nk}(\text{Contr})} \\
 \frac{\Phi * \neg\mathbf{A} \Vdash \mathbf{B}}{\text{nk}(\vee\text{I}_R)} \\
 \frac{\Phi * \neg\mathbf{A} \Vdash \mathbf{A} \vee \mathbf{B}}{\text{nk}(\neg\text{E})} \quad \frac{\Phi * \neg\mathbf{A} \Vdash \neg(\mathbf{A} \vee \mathbf{B})}{\text{nk}(\text{Hyp})} \\
 \hline
 \frac{\Phi * \neg\mathbf{A} \Vdash \mathbf{F}_0}{\text{nk}(\text{Contr})} \\
 \frac{\Phi \Vdash \mathbf{A}}{\text{nk}(\vee\text{I}_L)} \\
 \frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B}}{\text{nk}(\neg\text{E})} \quad \frac{\Phi \Vdash \neg(\mathbf{A} \vee \mathbf{B})}{\text{nk}(\text{Hyp})} \\
 \hline
 \Phi \Vdash \mathbf{F}_0
 \end{array}$$

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

Proof: (We show: ∇_V)

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_o\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_V)

∇_V If $\Box^\alpha F \in \Phi$, then $\Phi * FW \in \Gamma_\Sigma^*$ for each $W \in cwff_\alpha(\Sigma)$.

Class of Sets of $\mathfrak{N}\mathfrak{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathfrak{N}\mathfrak{K}_*} F_o\}$ is a saturated $\mathfrak{A}cc_*$.

Proof: (We show: ∇_V)

∇_V If $\Box^\alpha F \in \Phi$, then $\Phi * FW \in \Gamma_\Sigma^*$ for each $W \in cwff_\alpha(\Sigma)$.

Suppose $(\Box^\alpha G) \in \Phi$ and $\Phi * (GA)$ is $\mathfrak{N}\mathfrak{K}_*$ -inconsistent.

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_V)

∇_V If $\Pi^\alpha F \in \Phi$, then $\Phi * FW \in \Gamma_\Sigma^*$ for each $W \in \text{cwff}_\alpha(\Sigma)$.

Suppose $(\Pi^\alpha G) \in \Phi$ and $\Phi * (GA)$ is \mathcal{NR}_* -inconsistent.

$$\boxed{\nabla_V} \quad \frac{\frac{\Phi \Vdash \neg(GA) \quad \text{wk(Hyp)}}{\Phi \Vdash \neg(GA)} \quad \frac{\frac{\Phi \Vdash \Pi^\alpha G \quad \text{wk(Hyp)}}{\Phi \Vdash \Pi^\alpha G} \quad \frac{\Phi \Vdash \neg(GA) \quad \Phi \Vdash \Pi^\alpha G \quad \text{wk}(\Pi E)}{\Phi \Vdash \neg(GA)} \quad \text{wk}(\neg E)}{\Phi \Vdash F_0}$$

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: $\nabla \exists$)

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} \mathbf{F}_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_{\exists})

∇_{\exists} If $\neg \Pi^\alpha \mathbf{F} \in \Phi$, then $\Phi * \neg(\mathbf{F}_w) \in \Gamma_\Sigma^*$ for any parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

Class of Sets of $\mathfrak{N}\mathfrak{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \not\models_{\mathfrak{N}\mathfrak{K}_*} \mathbf{F}_o\}$ is a saturated $\mathfrak{A}\mathfrak{C}\mathfrak{C}_*$.

Proof: (We show: ∇_\exists)

∇_\exists If $\neg\Pi^\alpha \mathbf{F} \in \Phi$, then $\Phi * \neg(\mathbf{F}_w) \in \Gamma_\Sigma^*$ for any parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

Suppose $\neg(\Pi^\alpha \mathbf{G}) \in \Phi$, w_α is a parameter which does not occur in Φ , and $\Phi * \neg(\mathbf{G}_w)$ is $\mathfrak{N}\mathfrak{K}_*$ -inconsistent.

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_\exists)

∇_\exists If $\neg \Pi^\alpha F \in \Phi$, then $\Phi * \neg(Fw) \in \Gamma_\Sigma^*$ for any parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

Suppose $\neg(\Pi^\alpha G) \in \Phi$, w_α is a parameter which does not occur in Φ , and $\Phi * \neg(Gw)$ is \mathcal{NR}_* -inconsistent.

$$\boxed{\nabla_\exists} \quad \frac{\frac{\frac{\Phi * \neg(Gw_\alpha) \vdash F_0}{\vdash (Gw_\alpha)} \text{wk}(Gw)}{\Phi \vdash Gw_\alpha} \text{wk}(\Pi I)^{w_\alpha} \quad \frac{\Phi \vdash \neg(\Pi^\alpha G)}{\Phi \vdash \neg(\Pi^\alpha G)} \text{wk}(\neg E)}{\Phi \vdash F_0} \text{wk}(\text{Hyp})$$

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \Vdash_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: (We show: ∇_{sat})

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_o\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_{sat})

∇_{sat} Either $\Phi * A \in \Gamma_\Sigma^*$ or $\Phi * \neg A \in \Gamma_\Sigma^*$.

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_{sat})

∇_{sat} Either $\Phi * A \in \Gamma_\Sigma^*$ or $\Phi * \neg A \in \Gamma_\Sigma^*$.

Let $\Phi * A$ and $\Phi * \neg A$ be \mathcal{NR}_* -inconsistent.

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_{sat})

∇_{sat} Either $\Phi * A \in \Gamma_\Sigma^*$ or $\Phi * \neg A \in \Gamma_\Sigma^*$.

Let $\Phi * A$ and $\Phi * \neg A$ be \mathcal{NR}_* -inconsistent.

$$\begin{array}{c}
 \boxed{\nabla_{\text{sat}}} \quad \frac{\frac{\Phi * A \Vdash F_0}{\Phi \Vdash \neg A} \text{nk}(\neg I) \quad \frac{\Phi * \neg A \Vdash F_0}{\Phi \Vdash \neg \neg A} \text{nk}(\neg I)}{\Phi \Vdash F_0} \text{nk}(\neg E)
 \end{array}$$

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: Thus we have shown that Γ_Σ^β is saturated and in $\mathcal{A}\text{cc}_\beta$.

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: Thus we have shown that Γ_Σ^β is saturated and in $\mathcal{A}\text{cc}_\beta$.

Now let us check the conditions for the additional properties η , ξ , \mathfrak{f} , and \mathfrak{b} .

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: Thus we have shown that Γ_Σ^β is saturated and in $\mathcal{A}\text{cc}_\beta$.

Now let us check the conditions for the additional properties η , ξ , \mathfrak{f} , and \mathfrak{b} .

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

Proof: (We show: ∇_η)

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_η)

∇_η If $\mathbf{A} \stackrel{\beta_\eta}{=} \mathbf{B}$ and $\mathbf{A} \in \Phi$, then $\Phi * \mathbf{B} \in \Gamma_\Sigma^*$.

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_η)

∇_η If $\mathbf{A} \stackrel{\beta_\eta}{=} \mathbf{B}$ and $\mathbf{A} \in \Phi$, then $\Phi * \mathbf{B} \in \Gamma_\Sigma^*$.

Suppose $*$ includes η , and let $\mathbf{A} \in \Phi$, $\mathbf{A} \stackrel{\beta_\eta}{=} \mathbf{B}$ and $\Phi * \mathbf{B}$ be \mathcal{NK}_* -inconsistent.

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_η)

∇_η If $A \stackrel{\beta_\eta}{=} B$ and $A \in \Phi$, then $\Phi * B \in \Gamma_\Sigma^*$.

Suppose $*$ includes η , and let $A \in \Phi$, $A \stackrel{\beta_\eta}{=} B$ and $\Phi * B$ be \mathcal{NK}_* -inconsistent.

$$\boxed{\nabla_\eta} \quad \frac{\frac{\Phi * B \Vdash F_0}{\Phi \Vdash \neg B} \text{wk}(\neg I) \quad \frac{\frac{\frac{}{\Phi \Vdash A} \text{wk}(\text{Hyp})}{\Phi \Vdash B} \text{wk}(\eta)}}{\Phi \Vdash F_0} \text{wk}(\neg E)$$

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

Proof: (We show: ∇_ξ)

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: (We show: ∇_ξ)

∇_ξ If $\neg(\lambda X_\alpha. \mathbf{M} \dot{=}^{\alpha \rightarrow \beta} \lambda X_\alpha. \mathbf{N}) \in \Phi$, then

$\Phi * \neg([w/X]\mathbf{M} \dot{=}^\beta [w/X]\mathbf{N}) \in \Gamma_\Sigma^*$ for any parameter $w_\alpha \in \Sigma_\alpha$
which does not occur in any sentence of Φ .

Class of Sets of $\mathfrak{N}\mathfrak{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathfrak{N}\mathfrak{K}_*} F_0\}$ is a saturated $\mathfrak{A}\mathfrak{C}\mathfrak{C}_*$.

Proof: (We show: ∇_ξ)

∇_ξ If $\neg(\lambda X_\alpha.M \dot{=}^{\alpha \rightarrow \beta} \lambda X_\alpha.N) \in \Phi$, then

$\Phi * \neg([w/X]M \dot{=}^\beta [w/X]N) \in \Gamma_\Sigma^*$ for any parameter $w_\alpha \in \Sigma_\alpha$
which does not occur in any sentence of Φ .

Suppose $*$ includes

$\xi, \neg(\lambda X.M \dot{=}^{\alpha \rightarrow \beta} \lambda X.N) \in \Phi$, and
 $\Phi * \neg([w/X]M \dot{=}^\beta [w/X]N)$ is $\mathfrak{N}\mathfrak{K}_*$ -
inconsistent for some
parameter w_α which
does not occur in any
sentence of Φ .

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: (We show: ∇_ξ)

∇_ξ If $\neg(\lambda X_\alpha.M \dot{=}^{\alpha \rightarrow \beta} \lambda X_\alpha.N) \in \Phi$, then

$\Phi * \neg([w/X]M \dot{=}^\beta [w/X]N) \in \Gamma_\Sigma^*$ for any parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

Suppose $*$ includes

ξ , $\neg(\lambda X.M \dot{=}^{\alpha \rightarrow \beta} \lambda X.N) \in \Phi$, and $\Phi * \neg([w/X]M \dot{=}^\beta [w/X]N)$ is $\mathcal{N}\mathcal{K}_*$ -inconsistent for some parameter w_α which does not occur in any sentence of Φ .

$$\begin{array}{c}
 \Phi * \neg([w/X]M \dot{=} [w/X]N) \vdash \neg \tau_\alpha \\
 \hline
 \Phi \vdash ([w/X]M \dot{=} [w/X]N) \quad \text{nk(Contr)} \\
 \hline
 \Phi \vdash ([w/X]M \dot{=} [w/X]N) \quad \text{nk}(\beta) \\
 \hline
 \Phi \vdash (\lambda X.M \dot{=} N) w \\
 \hline
 \Phi \vdash (\forall X.M \dot{=} N) \quad \text{nk}(\Pi I) \\
 \hline
 \Phi \vdash (\lambda X.M \dot{=} \lambda X.N) \quad \text{nk}(\xi) \\
 \hline
 \Phi \vdash \neg(\lambda X.M \dot{=} \lambda X.N) \quad \text{nk}(\neg I) \\
 \hline
 \Phi \vdash F_0
 \end{array}$$

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \Vdash_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

Proof: (We show: ∇_f)

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_o\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_f)

∇_f If $\neg(\mathbf{G} \dot{=}^{\alpha \rightarrow \beta} \mathbf{H}) \in \Phi$, then $\Phi * \neg(\mathbf{G}w \dot{=}^\beta \mathbf{H}w) \in \Gamma_\Sigma^*$ for any parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_f)

∇_f If $\neg(\mathbf{G} \dot{=}^{\alpha \rightarrow \beta} \mathbf{H}) \in \Phi$, then $\Phi * \neg(\mathbf{G}w \dot{=}^\beta \mathbf{H}w) \in \Gamma_\Sigma^*$ for any parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

Suppose $*$ includes f ,
 $\neg(\mathbf{G} \dot{=}^{\alpha \rightarrow \beta} \mathbf{H}) \in \Phi$,
and $\Phi * \neg(\mathbf{G}w \dot{=}^\beta \mathbf{H}w)$
is \mathcal{NR}_* -inconsistent for
some parameter w_α
which does not occur
in any sentence of Φ .

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NK}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_f)

∇_f If $\neg(\mathbf{G} \doteq^{\alpha \rightarrow \beta} \mathbf{H}) \in \Phi$, then $\Phi * \neg(\mathbf{G}w \doteq^\beta \mathbf{H}w) \in \Gamma_\Sigma^*$ for any parameter $w_\alpha \in \Sigma_\alpha$ which does not occur in any sentence of Φ .

Suppose $*$ includes f ,
 $\neg(\mathbf{G} \doteq^{\alpha \rightarrow \beta} \mathbf{H}) \in \Phi$,
 and $\Phi * \neg(\mathbf{G}w \doteq^\beta \mathbf{H}w)$
 is \mathcal{NK}_* -inconsistent for
 some parameter w_α
 which does not occur
 in any sentence of Φ .

$$\begin{array}{c}
 \Phi * \neg(\mathbf{G}w \doteq^\beta \mathbf{H}w) \vdash F_0 \\
 \hline
 \Phi \vdash \mathbf{G}w \doteq^\beta \mathbf{H}w \quad \text{nk}(\neg E) \\
 \hline
 \Phi \vdash \mathbf{G}w \doteq^\beta \mathbf{H}w \quad \text{nk}(\beta) \\
 \hline
 \Phi \vdash (\lambda x. \mathbf{G}x \doteq^\beta \mathbf{H}x)w \quad \text{nk}(\Pi I) \\
 \hline
 \Phi \vdash (\forall x. \mathbf{G}x \doteq^\beta \mathbf{H}x) \quad \text{nk}(f) \\
 \hline
 \Phi \vdash \mathbf{G} \doteq^\beta \mathbf{H} \quad \text{nk}(f) \\
 \hline
 \Phi \vdash \neg(\mathbf{G} \doteq^\beta \mathbf{H}) \quad \text{nk}(\text{Hyp}) \\
 \hline
 \Phi \vdash F_0 \quad \text{nk}(\neg E)
 \end{array}$$

Class of Sets of \mathcal{NK}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \Vdash_{\mathcal{NK}_*} F_o\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_b)

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: (We show: ∇_b)

∇_b If $\neg(A \dot{=}^\circ B) \in \Phi$, then $\Phi * A * \neg B \in \Gamma_\Sigma^*$ or
 $\Phi * \neg A * B \in \Gamma_\Sigma^*$.

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_0\}$ is a saturated $\mathcal{A}\text{cc}_*$.

Proof: (We show: ∇_b)

∇_b If $\neg(A \dot{=}^\circ B) \in \Phi$, then $\Phi * A * \neg B \in \Gamma_\Sigma^*$ or
 $\Phi * \neg A * B \in \Gamma_\Sigma^*$.

Suppose $*$ includes b .

Class of Sets of \mathcal{NR}_* -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_0(\Sigma) \mid \Phi \not\models_{\mathcal{NR}_*} F_0\}$ is a saturated \mathcal{Acc}_* .

Proof: (We show: ∇_b)

∇_b If $\neg(A \dot{=}^o B) \in \Phi$, then $\Phi * A * \neg B \in \Gamma_\Sigma^*$ or
 $\Phi * \neg A * B \in \Gamma_\Sigma^*$.

Suppose $*$ includes b . Assume that $\neg(A \dot{=}^o B) \in \Phi$ and that both $\Phi * \neg A * B$ and $\Phi * A * \neg B$ are \mathcal{NR}_* -inconsistent.

$$\boxed{\nabla_b} \quad \frac{\frac{\Phi * A * \neg B \Vdash F_0}{\Phi * A \Vdash B} \text{w/o (contr)} \quad \frac{\Phi * B * \neg A \Vdash F_0}{\Phi * B \Vdash A} \text{w/o (contr)}}{\Phi \Vdash A \dot{=} B} \text{w/o (b)} \quad \frac{\Phi \Vdash \neg(A \dot{=} B)}{\Phi \Vdash F_0} \text{w/o (Hyp)}$$

Class of Sets of $\mathcal{N}\mathcal{K}_*$ -consistent Formulas



Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

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Lemma: $\Gamma_\Sigma^* := \{\Phi \subseteq cwff_o(\Sigma) \mid \Phi \not\models_{\mathcal{N}\mathcal{K}_*} F_o\}$ is a saturated $\mathcal{A}cc_*$.

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This completes the proof of the lemma.

q.e.d.

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Henkin's Theorem for \mathfrak{N}_*



Thm.: Let $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$. Every sufficiently Σ -pure \mathfrak{N}_* -consistent set of sentences has an $\mathfrak{M}_*(\Sigma)$ -model.

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Proof: Let Φ be a sufficiently Σ -pure \mathfrak{N}_* -consistent set of sentences.

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Proof: Let Φ be a sufficiently Σ -pure \mathfrak{N}_* -consistent set of sentences. By the previous lemma we know that the class of sets of \mathfrak{N}_* -consistent sentences constitute a saturated \mathfrak{Acc}_* ,

Henkin's Theorem for $\mathcal{N}\mathcal{K}_*$



Thm.: Let $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$. Every sufficiently Σ -pure $\mathcal{N}\mathcal{K}_*$ -consistent set of sentences has an $\mathfrak{M}_*(\Sigma)$ -model.

Proof: Let Φ be a sufficiently Σ -pure $\mathcal{N}\mathcal{K}_*$ -consistent set of sentences. By the previous lemma we know that the class of sets of $\mathcal{N}\mathcal{K}_*$ -consistent sentences constitute a saturated $\mathcal{A}cc_*$, thus the Model Existence Theorem guarantees an $\mathfrak{M}_*(\Sigma)$ model for Φ .

Completeness Theorem for $\mathfrak{N}\mathfrak{K}_*$



Thm.: Let Φ be a sufficiently Σ -pure set of sentences, A be a sentence, and $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$. If A is valid in all models $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ that satisfy Φ , then $\Phi \Vdash_{\mathfrak{N}\mathfrak{K}_*} A$.

Completeness Theorem for \mathfrak{M}_*



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Proof: Let A be given such that A is valid in all $\mathfrak{M}_*(\Sigma)$ models that satisfy Φ .

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Proof: Let A be given such that A is valid in all $\mathfrak{M}_*(\Sigma)$ models that satisfy Φ . So, $\Phi * \neg A$ is unsatisfiable in $\mathfrak{M}_*(\Sigma)$.

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Proof: Let A be given such that A is valid in all $\mathfrak{M}_*(\Sigma)$ models that satisfy Φ . So, $\Phi * \neg A$ is unsatisfiable in $\mathfrak{M}_*(\Sigma)$. Since only finitely many constants occur in $\neg A$, $\Phi * \neg A$ is sufficiently Σ -pure.

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Compactness Theorem for $\mathfrak{N}\mathcal{K}_*$



We can use the completeness theorems obtained so far to prove a compactness theorem for $\mathfrak{N}\mathcal{K}_*$:

Thm.: Let Φ be a sufficiently Σ -pure set of sentences and $* \in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta fb\}$. Φ has an $\mathfrak{M}_*(\Sigma)$ -model iff every finite subset of Φ has an $\mathfrak{M}_*(\Sigma)$ -model.

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If Φ has no $\mathfrak{M}_*(\Sigma)$ -model, then by the previous Henkin Theorem Φ is $\mathcal{N}\mathcal{K}_*$ -inconsistent. Since every $\mathcal{N}\mathcal{K}_*$ -proof is finite, this means some finite subset Ψ of Φ is $\mathcal{N}\mathcal{K}_*$ -inconsistent. Hence, Ψ has no $\mathfrak{M}_*(\Sigma)$ -model.

Note on the Saturation Condition



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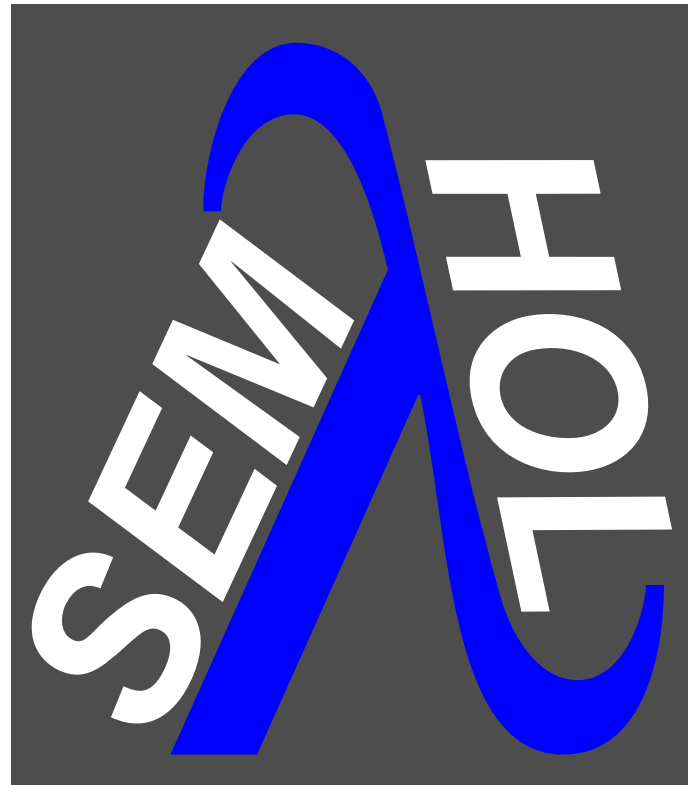


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- in fact, as we show in [Unpublished-04] and [IJCAR-06], proving ∇_{sat} is as hard as showing admissibility of cut
- if time permits, we will hear more about this later



Model Existence Theorems

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- If Γ_Σ is an \mathcal{Acc}_* , then there exists a $\mathcal{M} \in \mathfrak{M}_*$ that satisfies Φ .
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Proof: The proof combines the following three ingredients:

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Proof: The proof combines the following three ingredients:

Lemma (Compactness of ACC's): For each ACC Γ_Σ there exists a compact ACC Γ'_Σ satisfying the same ∇_* properties such that $\Gamma_\Sigma \subseteq \Gamma'_\Sigma$.

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Lemma (Compactness of ACC's):

Lemma (Abstract Extension Lemma): Let Σ be a signature, Γ_Σ be a compact ACC in \mathcal{Acc}_* , and let $\Phi \in \Gamma_\Sigma$ be sufficiently pure. Then there exists a Σ -Hintikka set $\mathcal{H} \in \mathfrak{Hint}_*$, such that $\Phi \subseteq \mathcal{H}$. Furthermore, if Γ_Σ is saturated, then \mathcal{H} is saturated.

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Lemma (Compactness of ACC's):

Lemma (Abstract Extension Lemma):

Thm (Model Existence Theorem for Saturated Hintikka Sets):

For all $*$ we have: If \mathcal{H} is a saturated Hintikka set in \mathcal{Hint}_* , then there exists a model $\mathcal{M} \in \mathfrak{M}_*$ that satisfies \mathcal{H} .

Furthermore, each domain \mathcal{D}_α of \mathcal{M} has cardinality at most \aleph_s .

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Thm (Model Existence Theorem for Saturated Hintikka Sets):

- ... now we sketch the proofs of these ingredients ...

Compactness of ACC's



Lemma: For each abstract consistency class Γ_Σ there exists a compact abstract consistency class Γ'_Σ satisfying the same ∇_* properties such that $\Gamma_\Sigma \subseteq \Gamma'_\Sigma$.

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- ▶ Show $\Gamma_\Sigma \subseteq \Gamma'_\Sigma$: Suppose $\Phi \in \Gamma_\Sigma$. Γ_Σ is closed under subsets, so every finite subset of Φ is in Γ_Σ and thus $\Phi \in \Gamma'_\Sigma$.

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- ▶ Show $\Gamma_\Sigma \subseteq \Gamma'_\Sigma$:
- ▶ Show Γ'_Σ is compact: Suppose $\Phi \in \Gamma'_\Sigma$ and Ψ is an arbitrary finite subset of Φ . By definition of Γ'_Σ all finite subsets of Φ are in Γ_Σ and therefore $\Psi \in \Gamma_\Sigma$. Thus all finite subsets of Φ are in Γ'_Σ whenever Φ is in Γ'_Σ .

On the other hand, suppose all finite subsets of Φ are in Γ'_Σ . Then by the definition of Γ'_Σ the finite subsets of Φ are also in Γ_Σ , so $\Phi \in \Gamma'_\Sigma$.

Thus Γ'_Σ is compact (and closed under subsets).

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 - ▶ Show $\Gamma_\Sigma \subseteq \Gamma'_\Sigma$:
 - ▶ Show Γ'_Σ is compact:
 - ▶ Show that Γ'_Σ satisfies ∇_* whenever Γ_Σ satisfies ∇_* :
- ∇_c Let $\Phi \in \Gamma'_\Sigma$ and suppose there is an atom A , such that $\{A, \neg A\} \subseteq \Phi$. $\{A, \neg A\}$ is clearly a finite subset of Φ and hence $\{A, \neg A\} \in \Gamma_\Sigma$ contradicting ∇_c for Γ_Σ .

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 - ▶ Show $\Gamma_\Sigma \subseteq \Gamma'_\Sigma$:
 - ▶ Show Γ'_Σ is compact:
 - ▶ Show that Γ'_Σ satisfies ∇_* whenever Γ_Σ satisfies ∇_* :
- ∇_{\neg} Let $\Phi \in \Gamma'_\Sigma$, $\neg\neg\mathbf{A} \in \Phi$, Ψ be any finite subset of $\Phi * \mathbf{A}$, and $\Theta := (\Psi \setminus \{\mathbf{A}\}) * \neg\neg\mathbf{A}$. Θ is a finite subset of Φ , so $\Theta \in \Gamma_\Sigma$. Since Γ_Σ is an abstract consistency class and $\neg\neg\mathbf{A} \in \Theta$, we get $\Theta * \mathbf{A} \in \Gamma_\Sigma$ by ∇_{\neg} for Γ_Σ . We know that $\Psi \subseteq \Theta * \mathbf{A}$ and Γ_Σ is closed under subsets, so $\Psi \in \Gamma_\Sigma$. Thus every finite subset Ψ of $\Phi * \mathbf{A}$ is in Γ_Σ and therefore by definition $\Phi * \mathbf{A} \in \Gamma'_\Sigma$.

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For $\nabla_\beta, \nabla_\eta, \nabla_\vee, \nabla_\wedge, \nabla_{\forall}, \nabla_{\exists}, \nabla_\xi, \nabla_f, \nabla_b, \nabla_{\text{sat}}$ see the lecture notes.

Abstract Extension Lemma

Lemma: Let Σ be a signature, Γ_Σ be a compact ACC in $\mathcal{A}cc_*$, where $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$, and let $\Phi \in \Gamma_\Sigma$ be sufficiently pure. Then there exists a Σ -Hintikka set $\mathcal{H} \in \mathcal{H}int_*$, such that $\Phi \subseteq \mathcal{H}$. Furthermore, if Γ_Σ is saturated, then \mathcal{H} is saturated.

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Proof: (We only give the simplified idea; see lecture notes for details)

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 - If $\mathcal{H}^n * \mathbf{A}^n \in \Gamma_\Sigma$, then $\mathcal{H}^{n+1} := \mathcal{H}^n * \mathbf{A}^n * \mathbf{E}^n * \mathbf{X}^n$, where

Abstract Extension Lemma

Lemma: Let Σ be a signature, Γ_Σ be a compact ACC in \mathcal{Acc}_* , where $*$ $\in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$, and let $\Phi \in \Gamma_\Sigma$ be sufficiently pure. Then there exists a Σ -Hintikka set $\mathcal{H} \in \mathcal{Hint}_*$, such that $\Phi \subseteq \mathcal{H}$. Furthermore, if Γ_Σ is saturated, then \mathcal{H} is saturated.

Proof: (We only give the simplified idea; see lecture notes for details)

- ▶ Construct \mathcal{H} as follows: $\mathcal{H} := \bigcup_{n \geq 0} \mathcal{H}^n$.
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 - If $\mathcal{H}^n * \mathbf{A}^n \in \Gamma_\Sigma$, then $\mathcal{H}^{n+1} := \mathcal{H}^n * \mathbf{A}^n * \mathbf{E}^n * \mathbf{X}^n$, where
- \mathbf{E}^n : $\mathbf{E}^n := \neg(\mathbf{B}w_\alpha^n)$ if \mathbf{A}^n is of the form $\neg(\Pi^\alpha \mathbf{B})$, and let $\mathbf{E}^n := \mathbf{A}^n$ otherwise

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 - \mathbf{X}^n : If $*$ $\in \{\beta f, \beta fb\}$ and \mathbf{A}^n is of the form $\neg(\mathbf{F} \doteq^{\alpha \rightarrow \beta} \mathbf{G})$, let $\mathbf{X}^n := \neg(\mathbf{F} w_\alpha^n \doteq^\beta \mathbf{G} w_\alpha^n)$.
 - If $*$ $\in \{\beta\xi, \beta\xi b\}$ and \mathbf{A}^n is of the form $\neg((\lambda X_\alpha. \mathbf{M}) \doteq^{\alpha \rightarrow \beta} (\lambda X. \mathbf{N}))$, let $\mathbf{X}^n := \neg([w_\alpha^n / X] \mathbf{M} \doteq^\beta [w_\alpha^n / X] \mathbf{N})$. Otherwise, let $\mathbf{X}^n := \mathbf{A}^n$.

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params w_α^n : need to prove that always fresh parameters exists

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generalize: the above only works for the countable case; in the lecture notes we use transfinite induction for the general case

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 - Then we show by induction that $\mathcal{H}^n \in \Gamma_\Sigma$ for all n .
 - Since Γ_Σ is compact, we also have $\mathcal{H} \in \Gamma_\Sigma$.
 - Hence $\Phi \subseteq \mathcal{H}$ and $\mathcal{H} \in \Gamma_\Sigma$.
 - Remains to show that \mathcal{H} is (subset) maximal in Γ_Σ and that \mathcal{H} is indeed a Hintikka set.

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- We have defined eight different notions of abstract consistency classes by first defining properties ∇_* , then specifying which should hold in \mathcal{Acc}_* .
- Similarly, we define Hintikka sets by first defining the desired properties.

Hintikka Properties



Defn.: Let \mathcal{H} be a set of sentences. We define the following properties which \mathcal{H} may satisfy, where $\mathbf{A}, \mathbf{B} \in \text{cwff}_o(\Sigma)$, $\mathbf{C}, \mathbf{D} \in \text{cwff}_\alpha(\Sigma)$, $\mathbf{F} \in \text{cwff}_{\alpha \rightarrow o}(\Sigma)$, and $(\lambda X_\alpha.\mathbf{M}), (\lambda X.\mathbf{N}), \mathbf{G}, \mathbf{H} \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$:

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$\vec{\nabla}_c$ $\mathbf{A} \notin \mathcal{H}$ or $\neg \mathbf{A} \notin \mathcal{H}$.

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$\vec{\nabla}_b$ If $\neg(\mathbf{A} \doteq^\circ \mathbf{B}) \in \mathcal{H}$, then $\{\mathbf{A}, \neg\mathbf{B}\} \subseteq \mathcal{H}$ or $\{\neg\mathbf{A}, \mathbf{B}\} \subseteq \mathcal{H}$.

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$\vec{\nabla}_\eta$ If $\mathbf{A} \in \mathcal{H}$ and $\mathbf{A} \stackrel{\beta\eta}{=} \mathbf{B}$, then $\mathbf{B} \in \mathcal{H}$.

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$\vec{\nabla}_\eta$ If $\mathbf{A} \in \mathcal{H}$ and $\mathbf{A} \stackrel{\beta\eta}{=} \mathbf{B}$, then $\mathbf{B} \in \mathcal{H}$.

$\vec{\nabla}_\xi$ If $\neg(\lambda X_\alpha.\mathbf{M} \doteq^{\alpha \rightarrow \beta} \lambda X.\mathbf{N}) \in \mathcal{H}$, then there is a parameter $w_\alpha \in \Sigma_\alpha$ such that $\neg([w/X]\mathbf{M} \doteq^\beta [w/X]\mathbf{N}) \in \mathcal{H}$.

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$\vec{\nabla}_\eta$ If $\mathbf{A} \in \mathcal{H}$ and $\mathbf{A} \doteq^{\beta\eta} \mathbf{B}$, then $\mathbf{B} \in \mathcal{H}$.

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$\vec{\nabla}_f$ If $\neg(\mathbf{G} \doteq^{\alpha \rightarrow \beta} \mathbf{H}) \in \mathcal{H}$, then there is a parameter $w_\alpha \in \Sigma_\alpha$ such that $\neg(\mathbf{G}w \doteq^\beta \mathbf{H}w) \in \mathcal{H}$.

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$\vec{\nabla}_\xi$ If $\neg(\lambda X_\alpha.\mathbf{M} \doteq^{\alpha \rightarrow \beta} \lambda X.\mathbf{N}) \in \mathcal{H}$, then there is a parameter $w_\alpha \in \Sigma_\alpha$ such that $\neg([\mathbf{w}/X]\mathbf{M} \doteq^\beta [\mathbf{w}/X]\mathbf{N}) \in \mathcal{H}$.

$\vec{\nabla}_f$ If $\neg(\mathbf{G} \doteq^{\alpha \rightarrow \beta} \mathbf{H}) \in \mathcal{H}$, then there is a parameter $w_\alpha \in \Sigma_\alpha$ such that $\neg(\mathbf{G}w \doteq^\beta \mathbf{H}w) \in \mathcal{H}$.

$\vec{\nabla}_{\text{sat}}$ Either $\mathbf{A} \in \mathcal{H}$ or $\neg\mathbf{A} \in \mathcal{H}$.

Σ -Hintikka Set

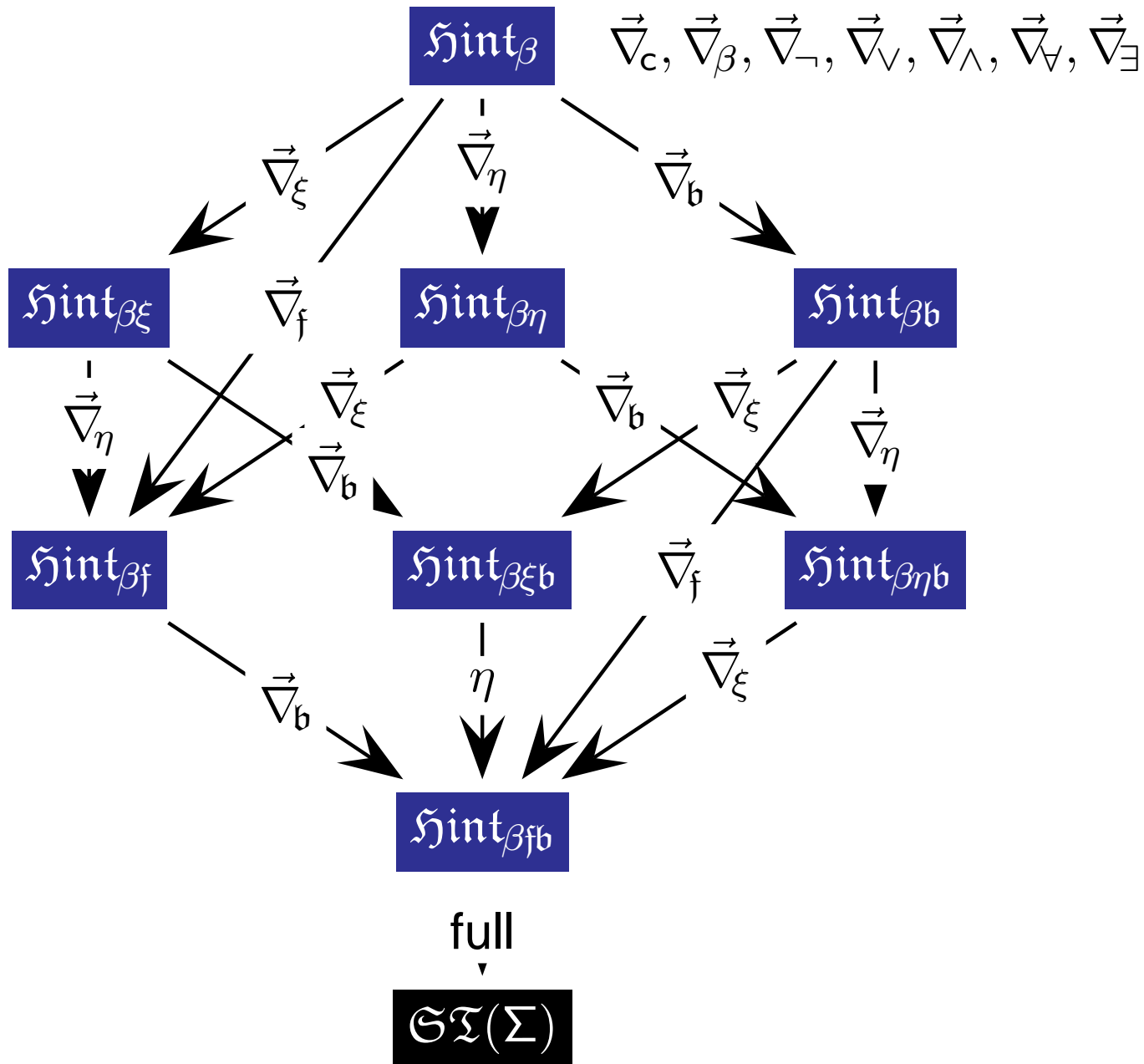


Defn.: A set \mathcal{H} of sentences is called a Σ -**Hintikka set** if it satisfies $\vec{\nabla}_c$,
 $\vec{\nabla}_{\neg}$, $\vec{\nabla}_{\beta}$, $\vec{\nabla}_V$, $\vec{\nabla}_{\wedge}$, $\vec{\nabla}_{\vee}$ and $\vec{\nabla}_{\exists}$.

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- We define the following collections of Hintikka sets: $\mathcal{H}int_{\beta}$, $\mathcal{H}int_{\beta\eta}$, $\mathcal{H}int_{\beta\xi}$, $\mathcal{H}int_{\beta f}$, $\mathcal{H}int_{\beta b}$, $\mathcal{H}int_{\beta\eta b}$, $\mathcal{H}int_{\beta\xi b}$, and $\mathcal{H}int_{\beta fb}$, where we indicate by indices which additional properties from $\{\vec{\nabla}_{\eta}, \vec{\nabla}_{\xi}, \vec{\nabla}_f, \vec{\nabla}_b\}$ are required.

Σ -Hintikka Sets



Model Ex. Thm for Saturated H.-Sets



Thm.: (Model Existence Theorem for Saturated Hintikka Sets)

For all $*$ $\in \{\dots\}$ we have: If \mathcal{H} is a saturated Hintikka set in \mathcal{Hint}_* , then there exists a model $\mathcal{M} \in \mathfrak{M}_*$ that satisfies \mathcal{H} .

Furthermore, each domain \mathcal{D}_α of \mathcal{M} has cardinality at most \aleph_s .

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- ▶ $\mathcal{M}_1^{\mathcal{H}}$ is based on term evaluation
 $\mathcal{TE}(\Sigma)^\beta := (\text{cwff}(\Sigma) \downarrow_\beta, @^\beta, \mathcal{E}^\beta)$ where
 - $\text{cwff}(\Sigma) \downarrow_\beta$: closed well-formed formulae in β -normal form
 - $\mathbf{A} @^\beta \mathbf{B} := (\mathbf{AB}) \downarrow_\beta$
 - $\mathcal{E}_\varphi^\beta(\mathbf{A}) := \sigma(\mathbf{A}) \downarrow_\beta$

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► $v(\mathbf{A}) := \begin{cases} \mathbf{T} & \text{if } \mathbf{A} \in \mathcal{H} \\ \mathbf{F} & \text{if } \mathbf{A} \notin \mathcal{H} \end{cases}$

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$$\mathbf{A}_\alpha \sim \mathbf{B}_\alpha \text{ iff } v(\mathcal{E}_\varphi(\mathbf{A} \doteq \mathbf{B})) = \mathbf{T}$$

to construct $\mathcal{M} := \mathcal{M}_1^{\mathcal{H}} / \sim$

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- ▶ then show that \mathcal{M} 'does the job'

Further Reading



- [\[Chris-PhD-99\]](#) C. Benz Müller: Equality and Extensionality in Higher-Order Theorem Proving. Doctoral Thesis, Computer Science, Saarland University, 1999.

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(see also: C. Benz Müller, C. E. Brown, M. Kohlhas e: Cut-Simulation in Impredicative Logics (Extended Version). Seki-Report SR-2006-01 (ISSN 1437-4447), Saarland University, 2004.)

Thank You!

