

– LEO-II –

# A Cooperative Automatic Theorem Prover for Classical Higher-Order Logic<sup>1</sup>

Christoph E. Benzmüller

Microsoft Research, Redmond, October 2, 2008

thanks to: L. Paulson, F. Theiss and A. Fietzke

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<sup>1</sup>Funded by EPSRC grant EP/D070511/1 at Cambridge University.

## 1 Higher-Order Logic (HOL)

The Good Thing: Expressivity

The Bad Thing: Automation is a Challenge

## 2 The LEO-II Prover

Motivation and Architecture

Solving Lightweight Problems: Sets

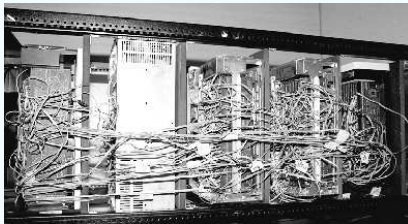
Less Lightweight Problems: Multimodal Logics

More Example Problems: Access Control Logics

## 3 Conclusion and Outlook

# Higher-Order Logic (HOL)

Some people say that HOL is like this:



I don't!

- ▶ Semantics (extensionality) [PhD-99, JSL-04]
- ▶ Proof theory [IJCAR-06, LMCS-08]
- ▶ ATPs LEO and LEO-II [CADE-98, IJCAR-08]

# Higher-Order Logic (HOL)

- on one slide -

## Property

## FOL

## HOL

## Example

### Quantification over

- individuals
- functions
- predicates/sets/relations

✓

✓

$\forall x. P(F(x))$

—

✓

$\forall F. P(F(x))$

—

✓

$\forall P. P(F(x))$

### Unnamed

- functions
- predicates/sets/relations

—

✓

$(\lambda x. x)$

—

✓

$(\lambda x. x \neq 2)$

### Statements about

- functions
- predicates/sets/relations

—

✓

*continuous*  $(\lambda x. x)$

—

✓

*reflexive*  $(=)$

$$A \cup B := \{x \mid x \in A \vee x \in B\}$$

$$A \cup B := (\lambda x. x \in A \vee x \in B)$$

$$\cup := \lambda A. \lambda B. (\lambda x. x \in A \vee x \in B)$$

$$\text{symmetric} := \lambda F. (\forall x, y. F(x, y) = F(y, x))$$

$$\textit{Theorem} : \quad \text{symmetric}(\cup)$$

# Sets and Relations in HOL

$$A \cup B := \{x \mid x \in A \vee x \in B\}$$

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*Theorem :*       $\text{symmetric}(\cup)$

## Sets and Relations in HOL

$\in$	$:=$	$\lambda x. \lambda A. A(x)$
$\emptyset$	$:=$	$\lambda x. \perp$
$\cap$	$:=$	$\lambda A. \lambda B. (\lambda x. x \in A \wedge x \in B)$
$\cup$	$:=$	$\lambda A. \lambda B. (\lambda x. x \in A \vee x \in B)$
$\backslash$	$:=$	$\lambda A. \lambda B. (\lambda x. x \in A \wedge x \notin B)$
...		
$\subseteq$	$:=$	$\lambda A. \lambda B. (\forall x. x \in A \Rightarrow x \in B)$
$\mathcal{P}$	$:=$	$\lambda A. (\lambda B. B \subseteq A)$
...		
reflexive	$:=$	$\lambda R. (\forall x. R(x, x))$
transitive	$:=$	$\lambda R. (\forall x, y, z. (R(x, y) \wedge R(y, z)) \Rightarrow R(x, z))$
...		

## Typed Sets and Relations in HOL

$$\begin{aligned}
 \in & \quad := \quad \lambda x_{\alpha} \lambda A_{\alpha \rightarrow o} A(x) \\
 \emptyset & \quad := \quad \lambda x_{\alpha} \perp \\
 \cap & \quad := \quad \lambda A_{\alpha \rightarrow o} \lambda B_{\alpha \rightarrow o} (\lambda x_{\alpha} x \in A \wedge x \in B) \\
 \cup & \quad := \quad \lambda A_{\alpha \rightarrow o} \lambda B_{\alpha \rightarrow o} (\lambda x_{\alpha} x \in A \vee x \in B) \\
 \backslash & \quad := \quad \lambda A_{\alpha \rightarrow o} \lambda B_{\alpha \rightarrow o} (\lambda x_{\alpha} x \in A \wedge x \notin B) \\
 \dots
 \end{aligned}$$

## Polymorphism is a Challenge for Automation

- ▶ Another source of indeterminism / blind guessing

[TPHOLs-WP-07]

# Automation of HOL: A Nightmare?

## Undecidable and Infinitary Unification

$$\exists F_{\iota \rightarrow \iota}. F(g(x)) = g(F(x))$$

$$(1) F \leftarrow \lambda y_i. y$$

$$(2) F \leftarrow \lambda y_i. g(y)$$

$$(3) F \leftarrow \lambda y_i. g(g(y))$$

$$(4) \dots$$



# Automation of HOL: A Nightmare?

## Primitive Substitution

Example Theorem:  $\exists S. \text{reflexive}(S)$

Negation and Expansion of Definitions:

$$\neg \exists S. (\forall x. S(x, x))$$

Clause Normalisation ( $a(S)$  Skolem term):

$$\neg S(a(S), a(S))$$

**Guess** some suitable instances for  $S$

$$S \leftarrow \lambda y. \lambda z. \textcolor{red}{T}$$

$$\rightsquigarrow \neg \textcolor{red}{T}$$

$$S \leftarrow \lambda y. \lambda z. \textcolor{blue}{V}(y, z) = \textcolor{blue}{W}(y, z)$$

$$\rightsquigarrow \textcolor{blue}{V}(a(S), a(S)) \neq \textcolor{blue}{W}(a(S), a(S))$$

$$S \leftarrow \dots$$



# Automation of HOL: A Nightmare?

## Cut rule

$$\frac{A \Rightarrow C \quad C \Rightarrow B}{A \Rightarrow B}$$

considered as bad in ATP

## Calculi that avoid axioms

- ▶ Axiom of excluded middle ✓
- ▶ Comprehension axioms ✓
- ▶ Functional and Boolean extensionality ✓ [CADE-98, PhD-99]
- ▶ Leibniz and other definitions of equality ✓ [CADE-99, PhD-99]
- ▶ Axiom of induction ?
- ▶ Axiom of choice —
- ▶ Axiom of description —

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## [LMCS-08]: Axioms that imply Cut    Calculi that avoid axioms

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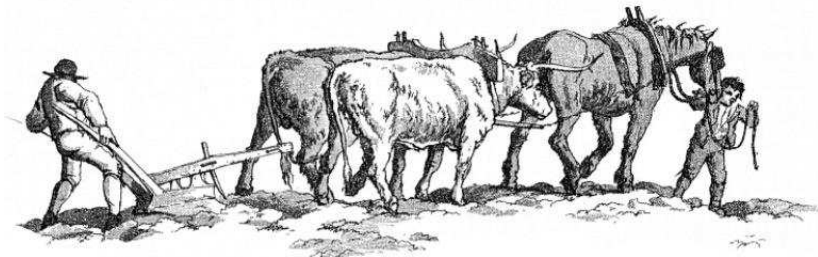


# LEO-II

UNIVERSITY OF  
CAMBRIDGE

UNIVERSITÄT  
DES  
SAARLANDES

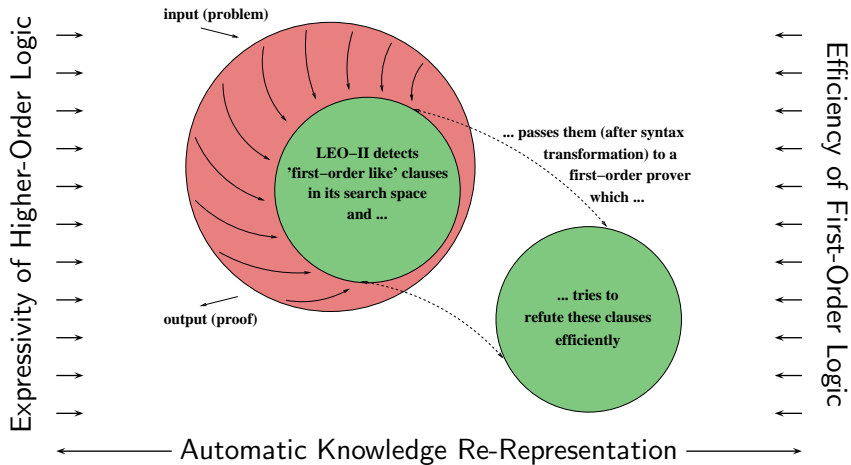
An Effective Higher-Order Theorem Prover



LEO-II employs FO-ATPs:

E, Spass, Vampire

# Architecture of LEO-II



# Solving Lightweight Problems



# Example: TPTP Problem SET171+3

## Axiomatization in FO Set Theory

### Assumptions:

$$\forall B, C, x. (x \in (B \cup C) \Leftrightarrow x \in B \vee x \in C)$$

$$\forall B, C, x. (x \in (B \cap C) \Leftrightarrow x \in B \wedge x \in C)$$

$$\forall B, C. (B \subseteq C \Leftrightarrow \forall x. x \in B \Rightarrow x \in C)$$

$$\forall B, C. (B \cup C = C \cup B)$$

$$\forall B, C. (B \cap C = C \cap B)$$

$$\forall B, C. (B = C \Leftrightarrow B \subseteq C \wedge C \subseteq B)$$

$$\forall B, C. (B = C \Leftrightarrow \forall x. x \in B \Leftrightarrow x \in C)$$

### Proof Goal:

$$\forall B, C, D.$$

$$B \cup (C \cap D) = (B \cup C) \cap (B \cup D)$$

## Performance: FO-ATPs

```
% SPASS---3.0
% Problem : SET171+3
% SPASS beiseite: Ran out of time.

% E---0.999
% Problem : SET171+3
% Failure: Resource limit exceeded
(time)

% Vampire---9.0
% Problem : SET171+3
% Result : Theorem 68.6s
```

## Performance: LEO-II + E

```
Eureka --- Thanks to Corina!
Total Reasoning Time: 0.03s
LEO-II (Proof Found!)
```

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$$\forall B, C. (B = C \Leftrightarrow \forall x. x \in B \Leftrightarrow x \in C)$$

Proof Goal:

$$\forall B, C, D.$$

$$B \cup (C \cap D) = (B \cup C) \cap (B \cup D)$$

## Performance: FO-ATPs

% SPASS---3.0

% Problem : SET171+3

% SPASS beiseite: **Ran out of time.**

% E---0.999

% Problem : SET171+3

% Failure: **Resource limit exceeded (time)**

% Vampire---9.0

% Problem : SET171+3

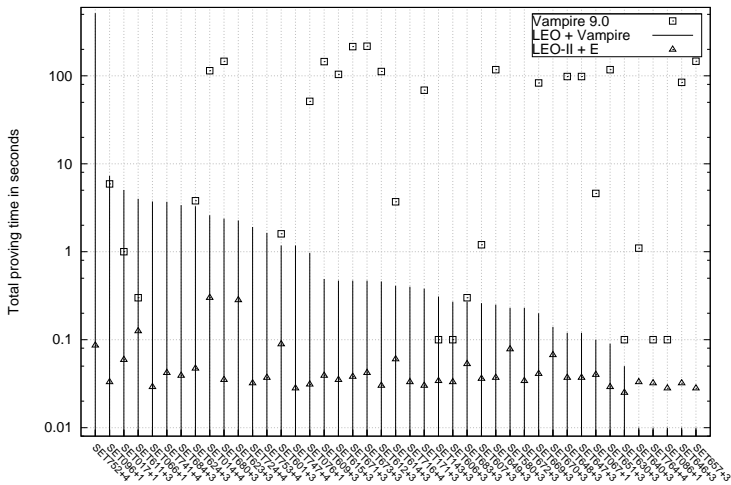
% Result : **Theorem 68.6s**

## Performance: LEO-II + E

Eureka --- Thanks to Corina!

Total Reasoning Time: **0.03s**

LEO-II (Proof Found!)

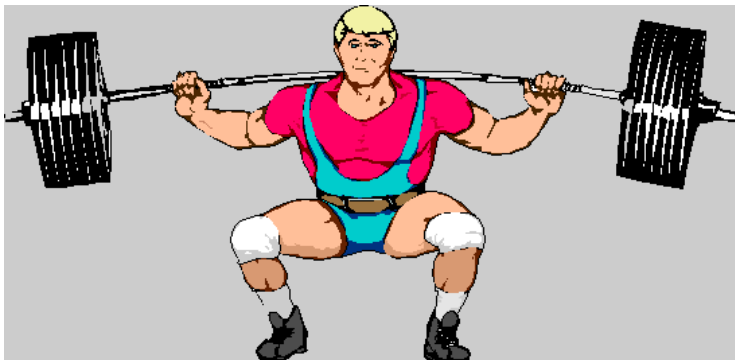




Problem	Vamp. 9.0	LEO+Vamp.	LEO-II+E
014+4	114.5	2.60	0.300
017+1	1.0	5.05	0.059
066+1	–	3.73	0.029
067+1	4.6	0.10	0.040
076+1	51.3	0.97	0.031
086+1	0.1	0.01	0.028
096+1	5.9	7.29	0.033
143+3	0.1	0.31	0.034
171+3	68.6	0.38	0.030
580+3	0.0	0.23	0.078
601+3	1.6	1.18	0.089
606+3	0.1	0.27	0.033
607+3	1.2	0.26	0.036
609+3	145.2	0.49	0.039
611+3	0.3	4.00	0.125
612+3	111.9	0.46	0.030
614+3	3.7	0.41	0.060
615+3	103.9	0.47	0.035
623+3	–	2.27	0.282
624+3	3.8	3.29	0.047
630+3	0.1	0.05	0.025
640+3	1.1	0.01	0.033
646+3	84.4	0.01	0.032
647+3	98.2	0.12	0.037

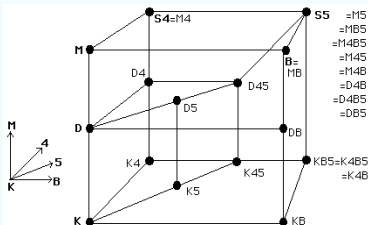
Problem	Vamp. 9.0	LEO+Vamp.	LEO-II+E
648+3	98.2	0.12	0.037
649+3	117.5	0.25	0.037
651+3	117.5	0.09	0.029
657+3	146.6	0.01	0.028
669+3	83.1	0.20	0.041
670+3	–	0.14	0.067
671+3	214.9	0.47	0.038
672+3	–	0.23	0.034
673+3	217.1	0.47	0.042
680+3	146.3	2.38	0.035
683+3	0.3	0.27	0.053
684+3	–	3.39	0.039
716+4	–	0.40	0.033
724+4	–	1.91	0.032
741+4	–	3.70	0.042
747+4	–	1.18	0.028
752+4	–	516.00	0.086
753+4	–	1.64	0.037
764+4	0.1	0.01	0.032

**Vamp. 9.0:** 2.80GHz, 1GB memory, 600s time limit  
**LEO+Vamp.:** 2.40GHz, 4GB memory, 120s time limit  
**LEO-II+E:** 1.60GHz, 1GB memory, 60s time limit



## Multimodal Logics

## Modal Logics Challenge



John Halleck (U Utah):  
<http://www.cc.utah.edu/~nahaj/>  
 \$100 Modal Logic Challenge:  
[www.tptp.org](http://www.tptp.org)

## Example

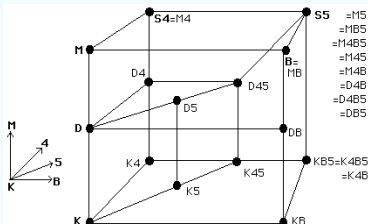
$$\begin{aligned}
 S4 &= K \\
 &+ M(T) : \Box_R A \Rightarrow A \\
 &+ 4 : \Box_R A \Rightarrow \Box_R \Box_R A
 \end{aligned}$$

## Theorems:

$$\begin{aligned}
 S4 &\not\subseteq K & (1) \\
 (M \wedge 4) &\Leftrightarrow (refl.(R) \wedge trans.(R)) & (2)
 \end{aligned}$$

## Experiments

	FO-ATPs [SutcliffeEtal-07]	LEO-II + E [BePa-08]
(1)	16min + 2710s	17.3s
(2)	???	2.4s



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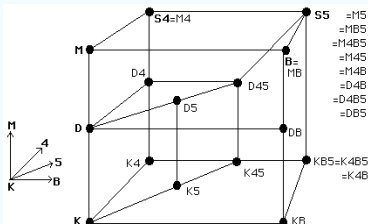
$$\begin{aligned} S4 &= K \\ &+ M(T): \quad \Box_R A \Rightarrow A \\ &+ 4: \quad \Box_R A \Rightarrow \Box_R \Box_R A \end{aligned}$$

Theorems:

$$S4 \not\subseteq K \quad (1)$$

$$(M \wedge 4) \Leftrightarrow (refl.(R) \wedge trans.(R)) \quad (2)$$

	FO-ATP <sub>s</sub> [SutcliffeEtal-07]	LEO-II + E [BePa-08]
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### Example

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Theorems:

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## Experiments

	FO-ATPs [SutcliffeEtal-07]	LEO-II + E [BePa-08]
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# Even simpler: Reasoning within Multimodal Logics

Problem	LEO-II + E
$\text{valid}(\Box_r \top)$	0.025s
$\text{valid}(\Box_r a \Rightarrow \Box_r a)$	0.026s
$\text{valid}(\Box_r a \Rightarrow \Box_s a)$	—
$\text{valid}(\Box_s (\Box_r a \Rightarrow \Box_r a))$	0.026s
$\text{valid}(\Box_r (a \wedge b) \Leftrightarrow (\Box_r a \wedge \Box_r b))$	0.044s
$\text{valid}(\Diamond_r (a \Rightarrow b) \Rightarrow \Box_r a \Rightarrow \Diamond_r b)$	0.030s
$\text{valid}(\neg \Diamond_r a \Rightarrow \Box_r (a \Rightarrow b))$	0.029s
$\text{valid}(\Box_r b \Rightarrow \Box_r (a \Rightarrow b))$	0.026s
$\text{valid}((\Diamond_r a \Rightarrow \Box_r b) \Rightarrow \Box_r (a \Rightarrow b))$	0.027s
$\text{valid}((\Diamond_r a \Rightarrow \Box_r b) \Rightarrow (\Box_r a \Rightarrow \Box_r b))$	0.029s
$\text{valid}((\Diamond_r a \Rightarrow \Box_r b) \Rightarrow (\Diamond_r a \Rightarrow \Diamond_r b))$	0.030s

# (Normal) Multimodal Logic in HOL

## Simple, Straightforward Encoding of Multimodal Logic

- ▶ base type  $\iota$ : set of possible worlds
- certain terms of type  $\iota \rightarrow o$ : multimodal logic formulas
- ▶ multimodal logic operators:

$$\begin{aligned}
 \neg A_{\iota \rightarrow o} &= (\lambda x_{\iota}. \neg A(x)) \\
 A_{\iota \rightarrow o} \vee B_{\iota \rightarrow o} &= (\lambda x_{\iota}. A(x) \vee B(x)) \\
 \Box_R A_{\iota \rightarrow o} &= (\lambda x_{\iota}. \forall y_{\iota}. R(x, y) \Rightarrow A(y))
 \end{aligned}$$

## Related Work

[Gallin-73], [Carpenter-98], [Merz-99],  
[\[Brown-05\]](#), [Hardt&Smolka-07], [Kaminski&Smolka-07]

# (Normal) Multimodal Logic in HOL

## Simple, Straightforward Encoding of Multimodal Logic

- ▶ base type  $\iota$ : set of possible worlds
- ▶ certain terms of type  $\iota \rightarrow o$ : multimodal logic formulas
- ▶ multimodal logic operators:

$$\begin{aligned}
 \neg_{(\iota \rightarrow o) \rightarrow (\iota \rightarrow o)} &= \lambda A_{\iota \rightarrow o}. \lambda x_{\iota}. \neg A(x) \\
 \vee_{(\iota \rightarrow o) \rightarrow (\iota \rightarrow o) \rightarrow (\iota \rightarrow o)} &= \lambda A_{\iota \rightarrow o}. \lambda B_{\iota \rightarrow o}. \lambda x_{\iota}. A(x) \vee B(x) \\
 \Box_{(\iota \rightarrow \iota \rightarrow o) \rightarrow (\iota \rightarrow o) \rightarrow (\iota \rightarrow o)} &= \lambda R_{\iota \rightarrow \iota \rightarrow o}. \lambda A_{\iota \rightarrow o}. \\
 &\quad (\lambda x_{\iota}. \forall y_{\iota}. R(x, y) \Rightarrow A(y))
 \end{aligned}$$

## Related Work

[Gallin-73], [Carpenter-98], [Merz-99],  
[\[Brown-05\]](#), [Hardt&Smolka-07], [Kaminski&Smolka-07]



# (Normal) Multimodal Logic in HOL

## Encoding of Validity

$$\text{valid } A_{\ell \rightarrow o} = (\forall w_{\ell}. A(w))$$

# (Normal) Multimodal Logic in HOL

## Encoding of Validity

$$\text{valid} = \lambda A_{\iota \rightarrow o} (\forall w_{\iota} . A(w))$$

# Example Proof:

**valid**( $\Box_s (\Box_r a \Rightarrow \Box_r a)$ )

## Initialisation of problem

$$\neg \text{valid}(\Box_s (\Box_r a \Rightarrow \Box_r a))$$

## Definition expansion

$$\neg(\forall x_{\iota} \forall y_{\iota} \neg s(x, y) \vee ((\neg(\forall u_{\iota} \neg r(y, u) \vee a(u))) \vee (\forall v_{\iota} \neg r(y, v) \vee a(v)))$$

## Normalisation ( $x, y, u$ are now Skolem constants, $V$ is a variable)

$$\begin{array}{ll} s(x, y) & \neg a(u) \\ r(y, u) & a(V) \vee \neg r(y, V) \end{array}$$

## Translation to first-order logic [Kerber-94], [Hurd-02], [MengPaulson-04]

$$\begin{array}{ll} @_{(io)\perp} (@_{(i(io))\perp} (s, x), y) & \neg @_{(lo)\perp} (a, u) \\ @_{(io)\perp} (@_{(i(io))\perp} (r, y), u) & @_{(lo)\perp} (a, V) \vee \neg @_{(io)\perp} (@_{(i(io))\perp} (r, y), V) \end{array}$$

# Example Proof:

**valid**( $\Box_s (\Box_r a \Rightarrow \Box_r a)$ )

## Initialisation of problem

$$\neg \text{valid}(\Box_s (\Box_r a \Rightarrow \Box_r a))$$

## Definition expansion

$$\neg(\forall x_\iota. \forall y_\iota. \neg s(x, y) \vee ((\neg(\forall u_\iota. \neg r(y, u) \vee a(u))) \vee (\forall v_\iota. \neg r(y, v) \vee a(v)))$$

Normalisation ( $x, y, u$  are now Skolem constants,  $V$  is a variable)

$$\begin{array}{ll} s(x, y) & \neg a(u) \\ r(y, u) & a(V) \vee \neg r(y, V) \end{array}$$

Translation to first-order logic [Kerber-94], [Hurd-02], [MengPaulson-04]

$$\begin{array}{ll} @_{(io)\perp} (@_{(i(io))\perp} (s, x), y) & \neg @_{(lo)\perp} (a, u) \\ @_{(io)\perp} (@_{(i(io))\perp} (r, y), u) & @_{(lo)\perp} (a, V) \vee \neg @_{(io)\perp} (@_{(i(io))\perp} (r, y), V) \end{array}$$

# Example Proof:

**valid**( $\Box_s (\Box_r a \Rightarrow \Box_r a)$ )

Initialisation of problem

$$\neg \text{valid}(\Box_s (\Box_r a \Rightarrow \Box_r a))$$

Definition expansion

$$\neg(\forall x_{\iota}. \forall y_{\iota}. \neg s(x, y) \vee ((\neg(\forall u_{\iota}. \neg r(y, u) \vee a(u))) \vee (\forall v_{\iota}. \neg r(y, v) \vee a(v)))$$

Normalisation ( $x, y, u$  are now Skolem constants,  $V$  is a variable)

$$\begin{array}{ll} s(x, y) & \neg a(u) \\ r(y, u) & a(V) \vee \neg r(y, V) \end{array}$$

Translation to first-order logic [Kerber-94], [Hurd-02], [MengPaulson-04]

$$\begin{array}{ll} @_{(io)\neg} (@_{(i(io))\neg} (s, x), y) & \neg @_{(lo)\neg} (a, u) \\ @_{(io)\neg} (@_{(i(io))\neg} (r, y), u) & @_{(lo)\neg} (a, V) \vee \neg @_{(io)\neg} (@_{(i(io))\neg} (r, y), V) \end{array}$$

# Example Proof:

**valid**( $\Box_s (\Box_r a \Rightarrow \Box_r a)$ )

Initialisation of problem

$$\neg \text{valid}(\Box_s (\Box_r a \Rightarrow \Box_r a))$$

Definition expansion

$$\neg(\forall x_{\iota}. \forall y_{\iota}. \neg s(x, y) \vee ((\neg(\forall u_{\iota}. \neg r(y, u) \vee a(u))) \vee (\forall v_{\iota}. \neg r(y, v) \vee a(v)))$$

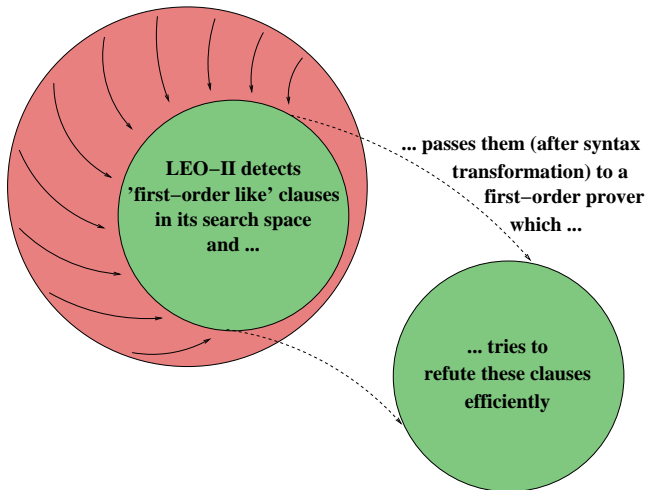
Normalisation ( $x, y, u$  are now Skolem constants,  $V$  is a variable)

$$\begin{array}{ll} s(x, y) & \neg a(u) \\ r(y, u) & a(V) \vee \neg r(y, V) \end{array}$$

Translation to first-order logic [Kerber-94], [Hurd-02], [MengPaulson-04]

$$\begin{array}{ll} @_{(io)\neg} (@_{(i(io))\neg} (s, x), y) & \neg @_{(lo)\neg} (a, u) \\ @_{(io)\neg} (@_{(i(io))\neg} (r, y), u) & @_{(lo)\neg} (a, V) \vee \neg @_{(io)\neg} (@_{(i(io))\neg} (r, y), V) \end{array}$$

# Architecture of LEO-II



## More Examples ...

A simple equation between modal logic formulas

$$\forall R. \forall A. \forall B. (\Box_R (A \vee B)) = (\Box_R (B \vee A))$$

- initialisation, definition expansion and normalisation:

$$\begin{aligned} & (\lambda X_\ell. \forall Y_\ell. \neg((r X) Y) \vee (a Y) \vee (b Y)) \\ & \neq \\ & (\lambda X_\ell. \forall Y_\ell. \neg((r X) Y) \vee (b Y) \vee (a Y)) \end{aligned}$$



## More Examples ...

A simple equation between modal logic formulas

$$\forall R. \forall A. \forall B. (\Box_R (A \vee B)) = (\Box_R (B \vee A))$$

► functional extensionality :

$$\begin{aligned} & (\forall Y. \neg((r \ w) \ Y) \vee (a \ Y) \vee (b \ Y)) \\ & \neq \\ & (\forall Y. \neg((r \ w) \ Y) \vee (b \ Y) \vee (a \ Y)) \end{aligned}$$

## More Examples ...

A simple equation between modal logic formulas

$$\forall R. \forall A. \forall B. (\Box_R (A \vee B)) = (\Box_R (B \vee A))$$

- functional extensionality and Boolean extensionality:

$$(\forall Y. \neg((r \ w) \ Y) \vee (a \ Y) \vee (b \ Y))$$

$\not\Rightarrow$

$$(\forall Y. \neg((r \ w) \ Y) \vee (b \ Y) \vee (a \ Y))$$

## More Examples ...

A simple equation between modal logic formulas

$$\forall R. \forall A. \forall B. (\Box_R (A \vee B)) = (\Box_R (B \vee A))$$

► normalisation:

40 :  $(b \vee) \vee (a \vee) \vee \neg((r \ w) \vee) \vee \neg((r \ w) \ W) \vee (b \ W) \vee (a \ W)$

41 :  $((r \ w) \ z) \vee ((r \ w) \ v)$

42 :  $\neg(a \ z) \vee ((r \ w) \ v)$

43 :  $\neg(b \ z) \vee ((r \ w) \ v)$

44 :  $((r \ w) \ z) \vee \neg(a \ v)$

45 :  $\neg(a \ z) \vee \neg(a \ v)$

46 :  $\neg(b \ z) \vee \neg(a \ v)$

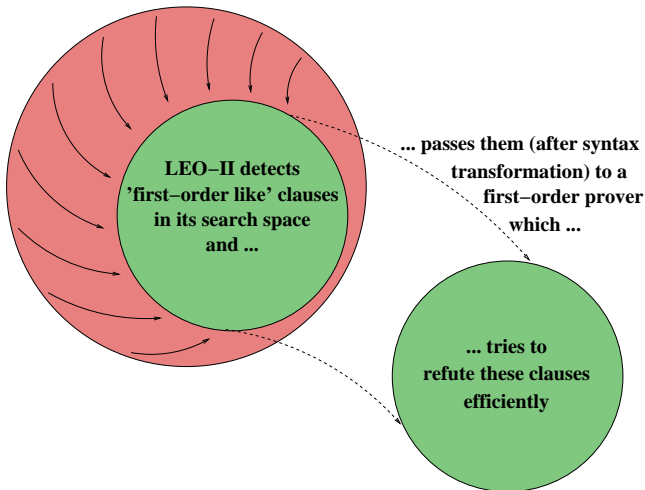
47 :  $((r \ w) \ z) \vee \neg(b \ v)$

48 :  $\neg(a \ z) \vee \neg(b \ v)$

49 :  $\neg(b \ z) \vee \neg(b \ v)$

► total proving time (notebook with 1.60GHz, 1GB): 0.071s

# Architecture of LEO-II



## More Examples ...

A simple equation between modal logic formulas

$$\forall R. \forall A. \forall B. (\Box_R (A \vee B)) \doteq (\Box_R (B \vee A))$$

where  $\doteq$  is defined as  $\lambda X, Y. \forall P. (P X) \Rightarrow (P Y)$

- initialisation, definition expansion and normalisation:

$$\begin{aligned} & (p(\lambda X_l. \forall Y_l. \neg((r X) Y) \vee (a Y) \vee (b Y))) \\ & \neg(p(\lambda X_l. \forall Y_l. \neg((r X) Y) \vee (b Y) \vee (a Y))) \end{aligned}$$

## More Examples ...

A simple equation between modal logic formulas

$$\forall R. \forall A. \forall B. (\Box_R (A \vee B)) \doteq (\Box_R (B \vee A))$$

where  $\doteq$  is defined as  $\lambda X, Y. \forall P. (P X) \Rightarrow (P Y)$

► resolution:

$$\begin{aligned} & (p(\lambda X_l. \forall Y_l. \neg((r X) Y) \vee (a Y) \vee (b Y))) \\ & \neq \\ & (p(\lambda X_l. \forall Y_l. \neg((r X) Y) \vee (b Y) \vee (a Y))) \end{aligned}$$

## More Examples ...

A simple equation between modal logic formulas

$$\forall R. \forall A. \forall B. (\Box_R (A \vee B)) \doteq (\Box_R (B \vee A))$$

where  $\doteq$  is defined as  $\lambda X, Y. \forall P. (P X) \Rightarrow (P Y)$

► decomposition:

$$\begin{aligned} &(\lambda X_l. \forall Y_l. \neg((r X) Y) \vee (a Y) \vee (b Y)) \\ &\neq \\ &(\lambda X_l. \forall Y_l. \neg((r X) Y) \vee (b Y) \vee (a Y)) \end{aligned}$$

## More Examples ...

A simple equation between modal logic formulas

$$\forall R. \forall A. \forall B. (\Box_R (A \vee B)) \doteq (\Box_R (B \vee A))$$

where  $\doteq$  is defined as  $\lambda X, Y. \forall P. (P X) \Rightarrow (P Y)$

- functional and Boolean extensionality:

$$\begin{aligned}
 & (\forall Y. \neg((r \ w) \ Y) \vee (a \ Y) \vee (b \ Y)) \\
 & \not\Rightarrow \\
 & (\forall Y. \neg((r \ w) \ Y) \vee (b \ Y) \vee (a \ Y))
 \end{aligned}$$



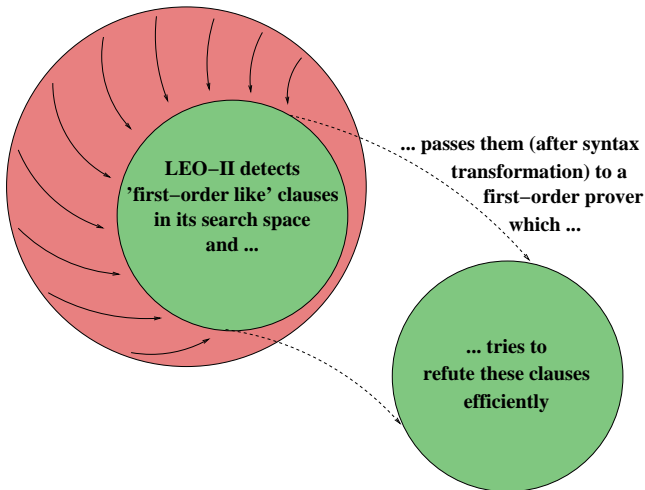
A simple equation between modal logic formulas

$$\forall R. \forall A. \forall B. (\Box_R (A \vee B)) \doteq (\Box_R (B \vee A))$$

where  $\doteq$  is defined as  $\lambda X, Y. \forall P. (P X) \Rightarrow (P Y)$

- ▶ normalisation: ... see previous example ...
- ▶ total proving time is 0.166s

# Architecture of LEO-II



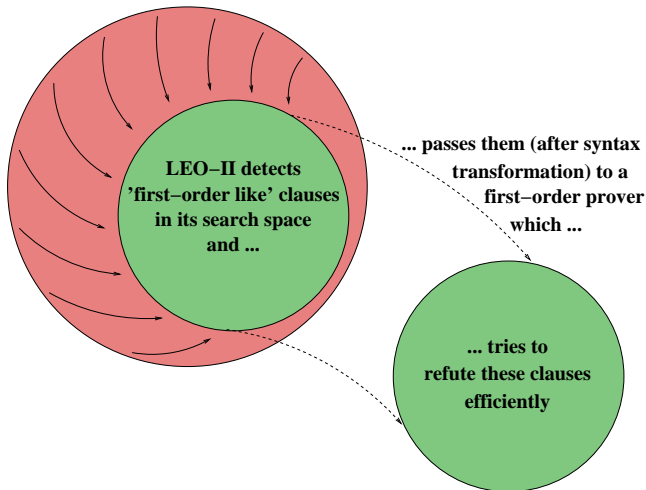
## More Examples ...

In modal logic **K**, the axioms *T* and 4 are equivalent to reflexivity and transitivity of the accessibility relation *R*

$$\begin{aligned} & \forall R. (\forall A. \text{valid}(\Box_R A \Rightarrow A) \wedge \text{valid}(\Box_R A \Rightarrow \Box_R \Box_R A)) \\ & \Leftrightarrow (\text{reflexive}(R) \wedge \text{transitive}(R)) \end{aligned}$$

- ▶ processing in LEO-II analogous to previous example
- ▶ now 70 clauses are passed to E
- ▶ E generates **21769** clauses before finding the empty clause
- ▶ total proving time 2.4s
- ▶ this proof cannot be found in LEO-II alone

# Architecture of LEO-II



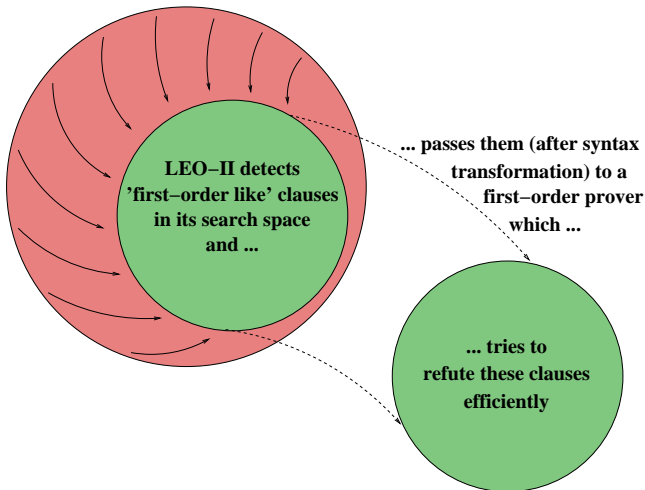
## More Examples ...

$S4 \not\subseteq K$ : Axioms  $T$  and 4 are not valid in modal logic  $K$

$$\neg \forall R. \forall A. \forall B. (\text{valid}(\Box_R A \Rightarrow A)) \wedge (\text{valid}(\Box_R B \Rightarrow \Box_R \Box_R B))$$

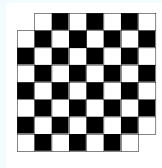
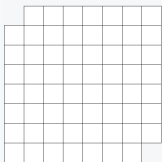
- ▶ LEO-II shows that axiom  $T$  is not valid
- ▶  $R$  is instantiated with  $\neq$  via primitive substitution
- ▶ total proving time 17.3s

# Architecture of LEO-II



# Representation (and the right System Architecture) Matters!

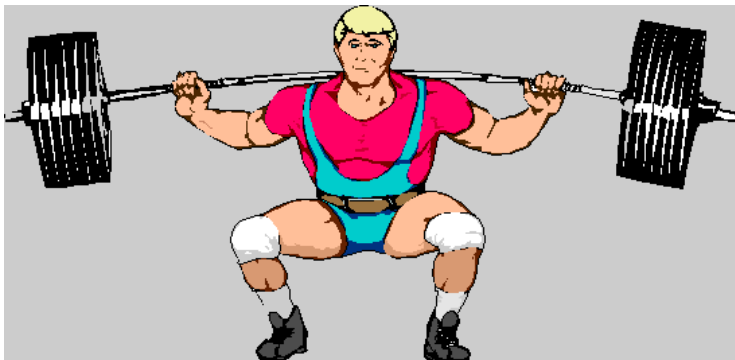
## A general lesson in AI ...



## ... and a specific lesson here

FOL  
+  
FO-ATP

HOL  
+  
LEO-II + FO-ATP



## Access Control Logics (thanks to: Catalin Hritcu)



## Motivation

(Specialized) logics for describing, analyzing and enforcing access control policies

Example (from [GargAbadi-08]):

- ▶ If the admin says that file1 should be deleted, then this must be the case  
 $(\text{admin says deletefile1}) \supset \text{deletefile1}$
- ▶ admin trusts Bob to decide whether file1 should be deleted  
 $\text{admin says } ((\text{Bob says deletefile1}) \supset \text{deletefile1})$
- ▶ Bob wants to delete file1  
 $\text{Bob says deletefile1}$

Deepak Garg, Martín Abadi:

## A Modal Deconstruction of Access Control Logics

FoSSaCS 2008: 216-230, LNCS 4962 ©Springer

- ▶ translation of a logic of access control with “says” operator into classical modal logic S4
- ▶ sound and complete
- ▶ extends to logics with
  - ▶ “speaks for” relation ( $ICL \Rightarrow$ )
  - ▶ Boolean combinations of principals ( $ICL^B$ )
- ▶ Direct second-order modeling of  $ICL \Rightarrow$

So, let's realize this quickly in LEO-II ...

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  - ▶ “speaks for” relation ( $ICL \Rightarrow$ )
  - ▶ Boolean combinations of principals ( $ICL^B$ )
- ▶ Direct second-order modeling of  $ICL \Rightarrow$

So, let's realize this quickly in LEO-II ...

$s ::= p \mid s_1 \wedge s_2 \mid s_1 \vee s_2 \mid s_1 \supset s_2 \mid \perp \mid \top \mid A \text{ says } s$

Translation a la [GargAbadi-08]

$$\begin{aligned}
 [p] &= \Box p \\
 [s \wedge t] &= [s] \wedge [t] \\
 [s \vee t] &= [s] \vee [t] \\
 [s \supset t] &= [s] \supset [t] \\
 [\top] &= \top \\
 [\perp] &= \perp \\
 [A \text{ says } s] &= \Box(A \vee [s])
 \end{aligned}$$

$$s_{l \rightarrow o} ::= p \mid s_1 \wedge s_2 \mid s_1 \vee s_2 \mid s_1 \supset s_2 \mid \perp \mid \top \mid A \text{ says } s$$

## Representation/Translation of Principals and Atoms

- ▶ principals:  $A_{l \rightarrow o}, B_{l \rightarrow o}, C_{l \rightarrow o}, \dots$   
translation of principals:  $\text{princ } A_{l \rightarrow o} = A_{l \rightarrow o}$
- ▶ atomic propositions:  $p_{l \rightarrow o}, q_{l \rightarrow o}, s_{l \rightarrow o}, t_{l \rightarrow o}, \dots$   
translation of atomic propositions:  $\text{atom } p_{l \rightarrow o} = \Box_r p_{l \rightarrow o}$
- ▶ fixed accessibility relation:  $r_{l \rightarrow l \rightarrow o}$

# ICL Logic translated to K

$$s_{l \rightarrow o} ::= p \mid s_1 \wedge s_2 \mid s_1 \vee s_2 \mid s_1 \supset s_2 \mid \perp \mid \top \mid A \text{ says } s$$

## Representation/Translation of ICL Connectives

- ▶ translation of  $\wedge$ :  $p_{l \rightarrow o} \wedge q_{l \rightarrow o} = p \wedge q$
- ▶ translation of  $\vee$ :  $p_{l \rightarrow o} \vee q_{l \rightarrow o} = p \vee q$
- ▶ translation of  $\supset$ :  $p_{l \rightarrow o} \supset q_{l \rightarrow o} = \Box_r (p \Rightarrow q)$
- ▶ translation of  $\perp$  and  $\top$ :  $\perp = \perp$  and  $\top = \top$
- ▶ translation of **says**:  $A_{l \rightarrow o} \text{ says } s_{l \rightarrow o} = \Box_r (A \vee s)$

## Notion of Validity (wrt K)

$$\text{icl\_valid } p = \text{valid } p$$

## Addition of Modal Logic Axioms for S4

$$\forall P_{l \rightarrow o}. \text{valid}(\Box_r P \Rightarrow P)$$

$$\forall P_{l \rightarrow o}. \text{valid}(\Box_r P \Rightarrow \Box_r \Box_r P)$$

## Notion of Validity (wrt S4)

$$\text{icl\_valid } p = \text{valid } p$$

## Example 1 from [GargAbadi-08]

### Original Formulation

```
(admin says deletefile1)  $\supset$  deletefile1  
admin says ((Bob says deletefile1)  $\supset$  deletefile1)  
Bob says deletefile1
```

### ICL Problem Encoding for LEO-II

```
icl_valid [(princ admin) says (atom deletefile1)]      (ax1)  
   $\supset$  (atom deletefile1]  
icl_valid [(princ admin) says ((princ Bob) says  
  (atom deletefile1))  $\supset$  (atom deletefile1))]      (ax2)  
icl_valid [(princ Bob) says (atom deletefile1)]      (ax3)  
icl_valid (atom deletefile1)]                        (conj)
```



# Example 1 from [GargAbadi-08]

## Definition Expansion: Translation to HOL and FOL via S4

- ▶ Example (ax3):

`icl_valid [(princ Bob) says (atom deletefile1)]`

- ▶ Expansion to S4:

`valid [□, (Bob ∨ (□, deletefile1))]`

- ▶ Expansion to HOL:

$\forall w_l. ((\lambda x_l. \forall y_l. (r x y) \Rightarrow ((\text{Bob } y) \vee ((\lambda z_l. \forall u_l. (r z u) \Rightarrow (\text{deletefile1 } u)) x))) w)$

- ▶  $\beta$ -reduction to FO-like

$\forall w_l. (\forall y_l. (r w y) \Rightarrow ((\text{Bob } y) \vee \forall u_l. (r w u) \Rightarrow (\text{deletefile1 } u)))$

- ▶ Further normalisation

...

# Example 1 from [GargAbadi-08]

## Definition Expansion: Translation to HOL and FOL via S4

- ▶ Example (ax3):

$\text{icl\_valid } [(\text{princ Bob}) \text{ says } (\text{atom deletefile1})]$

- ▶ Expansion to S4:

$\text{valid } [\Box_r (\text{Bob} \vee (\Box_r \text{deletefile1}))]$

- ▶ Expansion to HOL:

$\forall w_{\iota} \models ((\lambda x_{\iota} \models \forall y_{\iota} \models (r x y) \Rightarrow ((\text{Bob } y) \vee ((\lambda z_{\iota} \models \forall u_{\iota} \models (r z u) \Rightarrow (\text{deletefile1 } u)) x))) w)$

- ▶  $\beta$ -reduction to FO-like

$\forall w_{\iota} \models (\forall y_{\iota} \models (r w y) \Rightarrow ((\text{Bob } y) \vee \forall u_{\iota} \models (r w u) \Rightarrow (\text{deletefile1 } u)))$

- ▶ Further normalisation

...

# Example 1 from [GargAbadi-08]

## Definition Expansion: Translation to HOL and FOL via S4

- ▶ Example (ax3):

`icl_valid [(princ Bob) says (atom deletefile1)]`

- ▶ Expansion to S4:

`valid [□r (Bob ∨ (□r deletefile1))]`

- ▶ Expansion to HOL:

$\forall w_{\iota} \sqsubseteq ((\lambda x_{\iota} \sqsubseteq \forall y_{\iota} \sqsubseteq (r \ x \ y) \Rightarrow ((\text{Bob } y) \vee ((\lambda z_{\iota} \sqsubseteq \forall u_{\iota} \sqsubseteq (r \ z \ u) \Rightarrow (\text{deletefile1 } u)) \ x))) \ w)$

- ▶  $\beta$ -reduction to FO-like

$\forall w_{\iota} \sqsubseteq (\forall y_{\iota} \sqsubseteq (r \ w \ y) \Rightarrow ((\text{Bob } y) \vee \forall u_{\iota} \sqsubseteq (r \ w \ u) \Rightarrow (\text{deletefile1 } u)))$

- ▶ Further normalisation

...

# Example 1 from [GargAbadi-08]

## Definition Expansion: Translation to HOL and FOL via S4

- ▶ Example (ax3):

`icl_valid [(princ Bob) says (atom deletefile1)]`

- ▶ Expansion to S4:

`valid [□r (Bob ∨ (□r deletefile1))]`

- ▶ Expansion to HOL:

$\forall w_{\iota} \bullet ((\lambda x_{\iota} \bullet \forall y_{\iota} \bullet (r \ x \ y) \Rightarrow ((\text{Bob } y) \vee ((\lambda z_{\iota} \bullet \forall u_{\iota} \bullet (r \ z \ u) \Rightarrow (\text{deletefile1 } u)) \ x))) \ w)$

- ▶  $\beta$ -reduction to FO-like

$\forall w_{\iota} \bullet (\forall y_{\iota} \bullet (r \ w \ y) \Rightarrow ((\text{Bob } y) \vee \forall u_{\iota} \bullet (r \ w \ u) \Rightarrow (\text{deletefile1 } u)))$

- ▶ Further normalisation

...

# Example 1 from [GargAbadi-08]

## Definition Expansion: Translation to HOL and FOL via S4

- ▶ Example (ax3):

`icl_valid [(princ Bob) says (atom deletefile1)]`

- ▶ Expansion to S4:

`valid [□r (Bob ∨ (□r deletefile1))]`

- ▶ Expansion to HOL:

$\forall w_{\iota} \bullet ((\lambda x_{\iota} \bullet \forall y_{\iota} \bullet (r x y) \Rightarrow ((\text{Bob } y) \vee ((\lambda z_{\iota} \bullet \forall u_{\iota} \bullet (r z u) \Rightarrow (\text{deletefile1 } u)) x))) w)$

- ▶  $\beta$ -reduction to FO-like

$\forall w_{\iota} \bullet (\forall y_{\iota} \bullet (r w y) \Rightarrow ((\text{Bob } y) \vee \forall u_{\iota} \bullet (r w u) \Rightarrow (\text{deletefile1 } u)))$

- ▶ Further normalisation

...

## Example 1 from [GargAbadi-08]

### After Complete Definition Expansion and Normalisation of Ex. 1

- ▶ 11 clauses, all FO-like
- ▶ example clause:  
$$(admin\ V^5) \vee (deletefile1\ V^8) \vee \neg(rel\ V^2\ V^5) \vee \neg(rel\ V^5\ V^8) \\ \vee (rel\ V^5\ (sk4\ V^8\ @V^2\ @V5))$$
- ▶ Proof immediately found by E
- ▶ Total proving time 0.095 sec.

# ICL Logic translated to K and S4

Filename	Status	LEO (s) + ATP-calls (s)	Total (s)
ICL_unit_k.thf	–	...	–
ICL_unit_s4.thf	✓	0.008 0.023	0.031
$s \supset (A \text{ says } s)$			
ICL_cuc_k.thf	✓	0.004 0.022	0.026
ICL_cuc_s4.thf	✓	0.012 0.024	0.036
$(A \text{ says } (s \supset t)) \supset (A \text{ says } s) \supset (A \text{ says } t)$			
ICL_idem_k.thf	–	...	–
ICL_idem_s4.thf	✓	0.040 0.050	0.090
$(A \text{ says } A \text{ says } s) \supset (A \text{ says } s)$			
ICL_ex1_k.thf	✓	0.040 0.055	0.095
ICL_ex1_s4.thf	✓	0.028 0.084	0.112
$(\text{admin says deletefile1}) \supset \text{deletefile1}$			
$\text{admin says } ((\text{Bob says deletefile1}) \supset \text{deletefile1})$			
$\text{Bob says deletefile1}$			

# ICL $\Rightarrow$ Logic translated to K and S4

Filename	Status	LEO (s) + ATP-calls (s)	Total (s)
ICLimp_refl_k.thf	✓	0.008 0.045	0.053
ICLimp_refl_s4.thf	✓	0.040 0.084	0.124
$A \Rightarrow A$			
ICLimp_trans_k.thf	✓	0.012 0.021	0.033
ICLimp_trans_s4.thf	✓	0.012 0.020	0.032
$(A \Rightarrow B) \supset (B \Rightarrow C) \supset (A \Rightarrow C)$			
ICLimp_speaking_k.thf	✓	0.008 0.020	0.028
ICLimp_speaking_s4.thf	✓	0.024 0.021	0.045
$(A \Rightarrow B) \supset (A \text{ says } s) \supset (B \text{ says } s)$			
ICLimp_handoff_k.thf	–	–	–
ICLimp_handoff_s4.thf	✓	0.028 0.047	0.075
$(B \text{ says } (A \Rightarrow B)) \supset (A \Rightarrow B)$			
ICLimp_ex2_s4.thf	✓	0.112 0.263	0.375
$(\text{admin says deletefile1}) \supset \text{deletefile1}$ $\text{admin says } ((\text{Bob says deletefile1}) \supset \text{deletefile1})$ $\text{Bob says } (\text{Alice} \Rightarrow \text{Bob})$ $\text{Alice says deletefile1}$			



# ICL<sup>B</sup> Logic translated to K and S4

Filename	Status	LEO (s) + ATP-calls (s)	Total (s)
ICLb_trust_k.thf	–	...	–
ICLb_trust_s4.thf	✓	0.008 0.022	0.030
$(\perp \text{ says } s) \supset s$			
ICLb_untrust_k.thf	✓	0.004 0.020	0.024
ICLb_untrust_s4.thf	✓	0.028 0.046	0.074
<b>If <math>A \equiv \top</math> then <math>\vdash A \text{ says } s</math></b>			
ICLb_cuc_k.thf	✓	0.012 0.021	0.033
ICLb_cuc_s4.thf	✓	0.024 0.021	0.045
$((A \supset B) \text{ says } s) \supset (A \text{ says } s) \supset (B \text{ says } s)$			
ICLb_ex3_k.thf	–	...	–
ICLb_ex3_s4.thf	✓	0.016 0.024	0.040
$(\text{admin} \supset \perp) \text{ says deletefile1}$ $\text{admin says } ((\text{Bob} \supset \text{admin}) \text{ says deletefile1})$ $\text{Bob says deletefile1}$			

# ICL<sup>∀</sup> Logic translated to K and S4

Filename	Status	LEO (s) + ATP-calls (s)	Total (s)
ICLall_unit.thf	✓	0.104 0.043 0.041 0.042 $s \supset (A \text{ says } s)$	0.230
ICLall_cuc.thf	✓	0.140 0.043 0.039 0.040 0.043 $(A \text{ says } (s \supset t)) \supset (A \text{ says } s) \supset (A \text{ says } t)$	0.306
ICLall_idem.thf	✓	0.056 0.019 ... $(A \text{ says } A \text{ says } s) \supset (A \text{ says } s)$	0.131
ICLall_ex1.thf	✓	0.468 0.042 ... $(\text{admin says deletefile1}) \supset \text{deletefile1}$ $\text{admin says } ((\text{Bob says deletefile1}) \supset \text{deletefile1})$ $\text{Bob says deletefile1}$	0.716
ICLall_ex2.thf	✓	0.000 0.062 ... $(\text{admin says deletefile1}) \supset \text{deletefile1}$ $\text{admin says } ((\text{Bob says deletefile1}) \supset \text{deletefile1})$ $\text{Bob says } (\text{Alice} \Rightarrow \text{Bob})$ $\text{Alice says deletefile1}$	2.081

## What makes LEO-II strong? The combination of

- ▶ expressive higher-order representations
- ▶ reduction to first-order representations
- ▶ cooperation with first-order ATPs
- ▶ higher-order termsharing and termindexing techniques

## Try LEO-II (running under Ocaml 3.10)

- ▶ Website: <http://www.ags.uni-sb.de/~leo>
  - ▶ download version, very easy to install
  - ▶ online demo
- ▶ Systems on TPTP:  
<http://www.cs.miami.edu/~tptp/cgi-bin/SystemOnTPTP>

## LEO-II

- ▶ Equational Reasoning
- ▶ Termination
- ▶ Handling of Definitions

## Cooperat. with Specialist Reasoners

- ▶ Prop. Logic, Arithmetic, ... Z3?
- ▶ Logic Translations
- ▶ Feedback for LEO-II
- ▶ Proof Transf./Verification
- ▶ Agent-based Architecture

## Integration into Proof Assistants

- ▶ Relevance of Axioms
- ▶ Proof Transf./Verification

## International Infrastructure

- ▶ TPTP Language(s) for HOL
- ▶ Repository of Proof Problems
- ▶ HOL Prover Contest

## Applications

Formal Methods, Ontology Reasoning, Comp. Linguistics, Logic System Interrelationships, ...

# ... there is much left to be done!

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# More Information on LEO-II

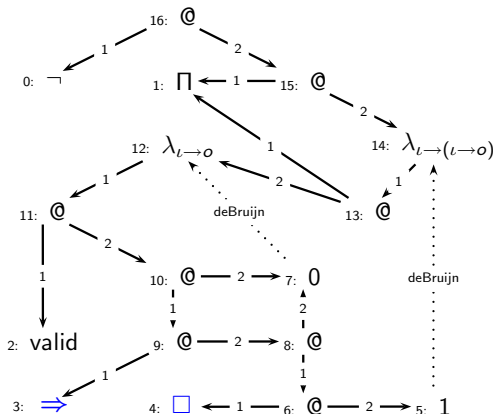
- ▶ Website with online version of LEO-II:

<http://www.ag.s.uni-sb.de/~leo>

- ▶ System description [IJCAR-08]
- ▶ TPTP THF input syntax [IJCAR-THF-08]  
Higher-Order TPTP Infrastructure EU project THFTPTP
- ▶ Reasoning in and about multimodal logic [Festschrift-Andrews-08]

# Term Graph for:

$$\neg \forall R. \forall A. (\text{valid}(\Box_R A \Rightarrow A))$$

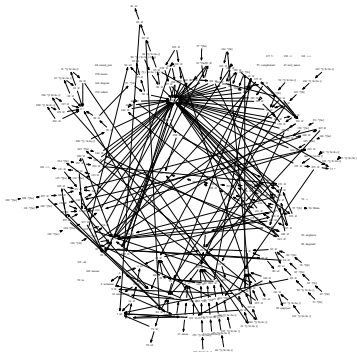


Term graph videos: <http://www.ags.uni-sb.de/~leo/art>

# Latest Application of LEO-II: Dancefloor Animation



Grooving to an animation of LEO-II's dynamically growing termgraph (while LEO-II is proving Cantor's theorem)



## In LEO-II:

- ▶ Terms as unique instances
- ▶ Perfect Term Sharing
- ▶ Shallow data structures

## Features:

- ▶  $\beta$ - $\eta$ -normalization
- ▶ DeBruijn indices
- ▶ local contexts for polymorphic type variables

## More Examples ...

$T \not\subseteq K$ : Axiom  $T$  is not valid in modal logic  $K$

$$\neg \forall R. \forall A. (\text{valid}(\Box_R A \Rightarrow A))$$

- initialisation, definition expansion and normalization generates:

$$((R W) s^{A,W,R}) \vee (A W)$$

$$\neg (A s^{A,W,R}) \vee (A W)$$

where  $s^{A,W,R} = (((s A) W) R)$  is a new Skolem term

## More Examples ...

$T \not\subseteq K$ : Axiom  $T$  is not valid in modal logic  $K$

$$\neg \forall R. \forall A. (\text{valid}(\Box_R A \Rightarrow A))$$

- ▶ the refutation employs only the former clause

$$((R \ W) s^{A,W,R}) \vee (A \ W)$$

## More Examples ...

$T \not\subseteq K$ : Axiom  $T$  is not valid in modal logic  $K$

$$\neg \forall R. \forall A. (\text{valid}(\Box_R A \Rightarrow A))$$

- ▶  $((R W) s^{A,W,R}) \vee (A W)$
- ▶ LEO-II 'guesses' the instantiations

$$R \leftarrow \lambda X, Y. ((M X) Y) \neq ((N X) Y)$$

$$A \leftarrow \lambda X. (O X) \neq (P X)$$

with primitive substitution rule ( $M, N, O, P$  are new free variables) ...

## More Examples ...

$T \not\subseteq K$ : Axiom  $T$  is not valid in modal logic  $K$

$$\neg \forall R. \forall A. (\text{valid}(\Box_R A \Rightarrow A))$$

- ...and applies them

$$((M(RW))s^{A,W,R}) \neq ((N(RW))s^{A,W,R})$$

$\vee$

$$(OW) \neq (PW)$$

- such flex-flex unification constraints are always solvable!
- total proving time 9.0s



LEO-II cannot prove the following example:

Modal logic  $K4$  (which adds only axiom 4 to  $K$ ) is not entailed in  $K$ :

$$\neg \forall R. \forall B. (\text{valid}(\Box_R B \Rightarrow \Box_R \Box_R B))$$

LEO-II also cannot prove this related example:

$$\neg \forall R. \text{trans}(R)$$

- ▶ reason: not a theorem; domain of possible worlds may well just consist of a single world  $w$ .
- ▶ LEO-II can in fact prove the latter example under the additional assumption

$$\neg \forall X. \forall Y. X = Y$$

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