

# Working with Automated Reasoning Tools – Typed Lambda Calculus –

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## $\lambda$ -Calculus: Review

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$$(1)^2 - 1 = 0$$

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A more general arithmetic expression for the LHS:

$$x^2 - 1$$

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This expression is not a first-order statement; however we want to be able to express such statements. We also want to prove such statements and in a constructive proof we would like to provide witnesses for  $f$  and  $n, m$ . In first-order logic we can describe  $f$  by the following equation

$$f(x) = x^2 - 1$$



In  $\lambda$ -calculus the specified function  $f$  can be described (without giving it a name) by the witnessing  $\lambda$ -term

$$f = (\lambda x. x^2 - 1)$$

and the witnesses for  $n$  and  $m$  are  $-1$  and  $1$ .

# $\lambda$ -Calculus: Set of $\lambda$ -expressions



Given a countably infinite set of identifiers, say  $a, b, c, \dots, x, y, z, x_1, x_2, \dots$ . The set of all  $\lambda$ -expressions can then be described by the following context-free grammar in BNF:

1.  $\langle \text{expr} \rangle ::= \langle \text{identifier} \rangle$

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2.  $\langle \text{expr} \rangle ::= (\lambda \langle \text{identifier} \rangle . \langle \text{expr} \rangle)$                       abstraction
3.  $\langle \text{expr} \rangle ::= (\langle \text{expr} \rangle \langle \text{expr} \rangle)$                                       application

# $\lambda$ -Calculus: Conventions



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- $(F A B)$  means  $((F A) B)$ . (Application associates to the left.)

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- A dot stands for a left bracket whose mate is as far to the right as possible without changing the existing bracketing.

# $\lambda$ -Calculus: $\beta$ -reduction



Consider now the instantiation of (1) with these witness terms

$$\exists f. \exists n, m. f(n) = 0 \wedge f(m) = 0 \wedge n \neq m$$



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$$f \longrightarrow \exists n, m. ((\lambda x. x^2 - 1) n) = 0 \wedge ((\lambda x. x^2 - 1) m) = 0 \wedge n \neq m$$

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Finally we can ‘evaluate’ function applications by so called  $\beta$ -reduction

$$((-1)^2 - 1) = 0 \wedge (1^2 - 1) = 0 \wedge -1 \neq 1$$

# $\lambda$ -Calculus: $\beta$ -reduction



The  $\beta$ -reduction rule expresses the idea of function application as motivated on the previous slide. Formally it states that

$$((\lambda x. A) B) \longrightarrow_{\beta} A[x/B]$$

if all free occurrences in  $B$  remain free in  $A[x/B]$ . Here,  $A[x/B]$  means the expression  $E$  with every free occurrence of  $x$  in  $A$  replaced with  $B$ .

# $\lambda$ -Calculus: Currying



A function of two variables is expressed in lambda calculus as a function of one argument which returns a function of one argument. For instance, the function

$$f(x, y) = x^2 - y$$

is encoded as

$$(\lambda x. \lambda y. x^2 - y)$$

# $\lambda$ -Calculus: $\alpha$ -conversion



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Formally, the  $\alpha$ -conversion rule states that if  $x$  and  $y$  are variables and  $A$  is a  $\lambda$ -expression then

$$(\lambda x.A) \longleftrightarrow_{\alpha} (\lambda y.A[x/y])$$

if  $y$  does not appear freely in  $A$  and  $y$  is not bound by a  $\lambda$  in  $A$  whenever it replaces a  $x$ .

# $\lambda$ -Calculus: $\eta$ -reduction



$\eta$ -reduction expresses the idea of (functional) extensionality, which in this context is that two functions are the same iff they give the same result for all arguments:

$$(\lambda x. Fx) \longrightarrow_{\eta} F$$

whenever  $x$  does not appear free in  $F$ .



# $\lambda$ -Calculus: $\beta\eta$ -equivalence



- We define  $\longleftrightarrow_{\alpha\beta\eta}^*$  as the smallest equivalence relation closed under the reduction rules  $\longrightarrow_{\beta}$  and  $\longrightarrow_{\eta}$  and  $\alpha$ -conversion.  
(Similarly we may define  $\longleftrightarrow_M^*$  for  $M \subset \{\alpha, \beta, \eta\}$ )

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- We call two  $\lambda$ -terms  $E$  and  $T$   $\alpha\beta\eta$ -equivalent (or short equivalent) if

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(Similarly we may define  $M$ -equivalence for  $M \subset \{\alpha, \beta, \eta\}$ )

- A  $\lambda$ -expression is called a  $\beta$ -normal form if it does not allow any  $\beta$ -reduction, i.e., has no subexpression of the form

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- A  $\lambda$ -expression is called a  $\beta\eta$ -normal form if it satisfies both conditions.

- Not every  $\lambda$ -expression is equivalent to a  $\eta$ -normal form (where  $\eta \in \{\beta, \beta\eta\}$ )

- Not every  $\lambda$ -expression is equivalent to a  $?$ -normal form (where  $? \in \{\beta, \beta\eta\}$ )
- The Church-Rosser theorem(s) state that if  $A \longrightarrow^{?*} B$  and  $A \longrightarrow^{?*} C$ , then there is some  $D$  such that  $B \longrightarrow^{?*} D$  and  $C \longrightarrow^{?*} D$ .



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- From Church-Rosser it follows that every term has at most one  $?$ -normal form (up to  $\alpha$ -conversion).

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# $\lambda$ -Calculus: Church Numerals



We employ iteration to define natural numbers as Church numerals:

$$\bar{0} = (\lambda f. \lambda x. x), \quad \bar{1} = (\lambda f. \lambda x. fx), \quad \bar{2} = (\lambda f. \lambda x. f(fx)), \quad \dots$$



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Generally a natural number  $n$  is encoded as the Church numeral

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where  $f^n$  is an abbreviation for  $\underbrace{(f (f (f \dots (f y))))}_{n\text{-times}}$ .

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Intuitively, the number  $n$  in lambda calculus is a function that takes a function  $f$  as argument and returns the  $n$ -th iterate of  $f$ .

# $\lambda$ -Calculus: Church Numerals



We can now define a successor function  $\overline{\text{SUCC}}$ , which takes a number  $\overline{n}$  and returns  $\overline{n + 1}$ :

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Multiplication can then be defined as

$$\overline{\text{MULT}} = \lambda m. \lambda n. m(\overline{\text{PLUS}}\ n)\overline{0},$$

the idea being that multiplying  $m$  and  $n$  is the same as adding  $n$  to  $0$   $m$  times.

# $\lambda$ -Calculus: Church Numerals



The predecessor function is more difficult:

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Note the trick  $(g \ \overline{1})(\lambda u. \overline{\text{PLUS}}(g \ k) \ \overline{1})k$  which evaluates to  $k$  if  $(g \ \overline{1})$  is  $\overline{0}$  and to  $(g \ k) + \overline{1}$  otherwise.





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Alternatively, we can express the characteristic function of  $A$  by the  $\lambda$ -term

$$(\lambda x. (x^2 - 1 = 0))$$

# $\lambda$ -Calculus: Sets

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The characteristic function  $(\lambda x. x^2 - 1 = 0)$  provides a witness for

$$\exists P. \exists m, n. (P m) \wedge (P n) \wedge m \neq n$$


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3.  $\forall P. (P \bar{0}) \wedge (\forall x. (P x) \supset (P (\overline{\text{SUCC}} x))) \supset (\bar{N} \subseteq P)$   
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Define  $\bar{N}$  to be:

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- $(\bar{N} \bar{0})$  since  $(P \bar{0})$  implies  $(P \bar{0})$
- $\forall x. (\bar{N} x) \supset (\bar{N} \overline{\text{SUCC} x})$  since if  $P x$  and  $P$  is closed under successor, then  $P (\overline{\text{SUCC} p})$
- $\forall P. (P \bar{0}) \wedge (\forall x. (P x) \supset (P \overline{\text{SUCC} x})) \supset (\bar{N} \subseteq P)$   
 $\bar{N}$  is the least such set as the intersection of all such sets  $P$

Define  $\overline{N}$  to be:

$$\lambda z. \forall P. ((P \overline{0}) \wedge (\forall x. (P x) \supset (P . \overline{SUCC} x))) \supset (P z)$$

This satisfies the three requirements.

We have used quantification over sets (characteristic functions – the variable  $P$ ) to define  $\overline{N}$ .

# $\lambda$ -Calculus: Russell's Paradox



Our representation framework is very powerful.



# $\lambda$ -Calculus: Russell's Paradox



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Actually it is so powerful that it is **inconsistent!**

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Russell's paradox:

Consider the term  $R$ :

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# $\lambda$ -Calculus: Russell's Paradox



Our representation framework is very powerful.

Actually it is so powerful that it is **inconsistent!**

Russell's paradox:

Consider the term  $R$ :

$$(\lambda x. \neg (x x))$$

As a characteristic function,  $R$  represents the set of all sets which do not contain themselves:

$$\{x | x \notin x\}$$

# $\lambda$ -Calculus: Russell's Paradox



Consider the term  $R$ :

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# $\lambda$ -Calculus: Russell's Paradox



Consider the term  $R$ :

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Now we evaluate the expression  $E := (R R)$

$$((\lambda x. \neg. x x) R)$$

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And we evaluate  $\neg(R R)$

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And we evaluate  $\neg(R R)$

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which is equivalent to  $(R R)$

Thus if  $E$  holds we can infer  $\neg E$  and vice versa. This is Russell's paradox.

# $\lambda$ -Calculus: Nontermination



Note that the term  $(\lambda x. \neg. x x)$  (just as the standard example  $(\lambda x. x x)$ ) does not terminate with respect to  $\beta$ -reduction:

$$(R R) \longrightarrow_{\beta} \neg(R R) \longrightarrow_{\beta} \neg\neg(R R) \longrightarrow_{\beta} \dots$$

# Typed $\lambda$ -Calculus

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Simple Types:

- ○ Base type of propositions

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- $\iota$  Base type of individuals





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Simple Types:

- $o$  Base type of propositions
- $\iota$  Base type of individuals
- $(\alpha\beta)$  (or  $(\beta \rightarrow \alpha)$ ) Type of functions from  $\beta$  to  $\alpha$

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Simple Types:

- $\circ$  Base type of propositions
- $\iota$  Base type of individuals
- $(\alpha\beta)$  (or  $(\beta \rightarrow \alpha)$ ) Type of functions from  $\beta$  to  $\alpha$

One may include arbitrarily many base types  $\iota^1, \dots, \iota^n, \dots$

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We often omit parenthesis in types.  $(\alpha\beta\gamma)$  means  $((\alpha\beta)\gamma)$

Likewise  $(\gamma \rightarrow \beta \rightarrow \alpha)$  means  $(\gamma \rightarrow (\beta \rightarrow \alpha))$

Note that the type  $(\alpha\beta\gamma)$  (or  $(\gamma \rightarrow \beta \rightarrow \alpha)$ ) is the type of a (Curried) function of two arguments which returns a value of type  $\alpha$ .

# Typed $\lambda$ -Calculus: Typed Terms



- Typed Variables  $x_\alpha$

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Examples:

- $(\lambda x_\alpha. x_\alpha)$  term of type  $(\alpha\alpha)$  – identity on type  $\alpha$

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Examples:

- $(\lambda x_\alpha. x_\alpha)$  term of type  $(\alpha\alpha)$  – identity on type  $\alpha$
- $(\lambda y_\beta. x_\alpha)$  term of type  $(\alpha\beta)$  – constant  $x$ -valued function

# Typed $\lambda$ -Calculus: Typed Terms



Consider the untyped term

$$(\lambda x. x^2 - 1)$$

# Typed $\lambda$ -Calculus: Typed Terms



Consider the untyped term

$$(\lambda x. x^2 - 1)$$

This is shorthand for

$$(\lambda x. (\text{MINUS} (\text{SQUARE } x) 1))$$

where **MINUS**, **SQUARE** and **1** are constants.

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- **SQUARE** should take a real number to a real number (type  $(\iota\iota)$ )

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- **SQUARE** should take a real number to a real number (type  $(\iota\iota)$ )
- **MINUS** should take two real numbers to a real number (type  $(\iota\iota\iota)$ )

# Typed $\lambda$ -Calculus: Typed Terms



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Assume the type of individuals  $\iota$  corresponds to real numbers.

Typed Term:

$$(\lambda x_\iota. (\text{MINUS}_{\iota\iota\iota} (\text{SQUARE}_{\iota\iota} x_\iota) 1_\iota))$$

# Typed $\lambda$ -Calculus: Typed Terms



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Typed Term:

$$(\lambda x_{\iota}. (\text{MINUS}_{\iota\iota\iota} (\text{SQUARE}_{\iota\iota} x_{\iota}) 1_{\iota}))$$

This term has type  $(\iota\iota)$ .

# Typed $\lambda$ -Calculus: Typed Terms



Consider the untyped term

$$(\lambda x. (x^2 - 1 = 0))$$

# Typed $\lambda$ -Calculus: Typed Terms



Consider the untyped term

$$(\lambda x. (x^2 - 1 = 0))$$

This is shorthand for

$$(\lambda x. (= (\text{MINUS} (\text{SQUARE } x) 1) 0))$$

where  $=$ ,  $\text{MINUS}$ ,  $\text{SQUARE}$ ,  $0$  and  $1$  are constants.

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- $0$  should be a real number (type  $\iota$ )



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$$(\lambda x. (= (\text{MINUS} (\text{SQUARE } x) 1) 0))$$

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- Already know types of  $\text{MINUS}$ ,  $\text{SQUARE}$  and  $1$ .
- $0$  should be a real number (type  $\iota$ )
- $=$  takes two real numbers and returns a truth value (type  $(o\iota\iota)$ )

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Consider the untyped term

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This is shorthand for

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where  $=$ ,  $\text{MINUS}$ ,  $\text{SQUARE}$ ,  $0$  and  $1$  are constants.

Typed Term:

$$(\lambda x_\iota. (=_{o\iota\iota} (\text{MINUS}_{\iota\iota\iota} (\text{SQUARE}_{\iota\iota} x_\iota) 1_\iota) 0_\iota))$$

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Consider the untyped term

$$(\lambda x. (x^2 - 1 = 0))$$

This is shorthand for

$$(\lambda x. (= (\text{MINUS} (\text{SQUARE } x) 1) 0))$$

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Typed Term:

$$(\lambda x_\iota. (=_{o\iota} (\text{MINUS}_{\iota\iota} (\text{SQUARE}_{\iota\iota} x_\iota) 1_\iota) 0_\iota)$$

This term has type  $(o\iota)$ .

# Typed $\lambda$ -Calculus: Assigning Types



General algorithm for assigning types to terms (when this is possible) – see Hindley97.

# Typed $\lambda$ -Calculus: Assigning Types



The basis for such an algorithm is the following deduction system:

# Typed $\lambda$ -Calculus: Assigning Types



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$$\frac{C : \alpha \in \Gamma \quad C \text{ variable, parameter or constant}}{\Gamma \vdash_{TA} C : \alpha} \text{Hyp}$$

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$$\frac{\Gamma, y : \beta \vdash_{TA} A : \alpha}{\Gamma \vdash_{TA} (\lambda y. A) : \alpha\beta} \text{Lam}$$

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$$\frac{\Gamma \vdash_{TA} F : \alpha\beta \quad \Gamma \vdash_{TA} B : \beta}{\Gamma \vdash_{TA} (FB) : \alpha} \text{App}$$



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$$\frac{\Gamma \vdash_{TA} F : \alpha\beta \quad \Gamma \vdash_{TA} B : \beta}{\Gamma \vdash_{TA} (FB) : \alpha} \text{App}$$

We can assign the type  $\alpha$  to a term  $A$  in context  $\Gamma$  whenever we can derive

$$\Gamma \vdash_{TA} A : \alpha$$

# Typed $\lambda$ -Calculus: Assigning Types



Untyped Term:  $(\lambda x. (\text{SQUARE } x))$

Goal: Find a type  $\alpha$  such that

$\text{SQUARE} : (\iota\iota) \vdash_{\text{TA}} (\lambda x. (\text{SQUARE } x)) : \alpha$

# Typed $\lambda$ -Calculus: Assigning Types



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Goal: Find a type  $\alpha$  such that

$\text{SQUARE} : (\iota) \vdash_{\text{TA}} (\lambda x. (\text{SQUARE } x)) : \alpha$

$\vdots$   
 $\text{SQUARE} : (\iota) \vdash_{\text{TA}} (\lambda x. (\text{SQUARE } x)) : \alpha$

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Untyped Term:  $(\lambda x. (\text{SQUARE } x))$

Goal: Find a type  $\alpha$  such that

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$\alpha$  is  $(\gamma\beta)$

$$\frac{\text{SQUARE} : (\iota), x : \beta \vdash_{\text{TA}} (\text{SQUARE } x) : \gamma}{\text{SQUARE} : (\iota) \vdash_{\text{TA}} (\lambda x. (\text{SQUARE } x)) : \gamma\beta} \text{Lam}$$

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$\gamma$  and  $\delta$  are both  $\iota$

$$\begin{array}{c}
 \text{SQUARE} : (\iota), x : \beta \vdash_{\text{TA}} \text{SQUARE} : (\iota) \quad \text{SQUARE} : (\iota), x : \beta \vdash_{\text{TA}} x : \iota \\
 \hline
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 \hline
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 \end{array}
 \begin{array}{l}
 \text{Hyp} \\
 \vdots \\
 \text{App} \\
 \text{Lam}
 \end{array}$$

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$\beta$  is  $\iota$

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So  $(\lambda x. (\text{SQUARE } x))$  can be assigned the type  $(\iota\iota)$  in context  
 $\text{SQUARE} : (\iota\iota)$



# Typed $\lambda$ -Calculus: Assigning Types



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$$\frac{\frac{\text{SQUARE} : (\iota\iota), x : \iota \vdash_{\text{TA}} \text{SQUARE} : (\iota\iota)}{\text{SQUARE} : (\iota\iota), x : \iota \vdash_{\text{TA}} (\text{SQUARE } x) : \iota} \text{Hyp} \quad \text{SQUARE} : (\iota\iota), x : \iota \vdash_{\text{TA}} x : \iota \text{Hyp}}{\text{SQUARE} : (\iota\iota) \vdash_{\text{TA}} (\lambda x. (\text{SQUARE } x)) : \iota\iota} \text{App} \text{Lam}$$

So  $(\lambda x. (\text{SQUARE } x))$  can be assigned the type  $(\iota\iota)$  in context  
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Corresponding Typed Term:  $(\lambda x_{\iota}. (\text{SQUARE}_{\iota\iota} x_{\iota}))$

# Typed $\lambda$ -Calculus: Assigning Types



Untyped Term:  $(\lambda x. \neg (xx))$

Goal: Find a type  $\alpha$  such that  $\neg : (oo) \vdash_{TA} (\lambda x. \neg (xx)) : \alpha$

# Typed $\lambda$ -Calculus: Assigning Types



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$$\neg : (oo) \vdash_{TA} \begin{array}{c} \vdots \\ (\lambda x. \neg (xx)) \end{array} : \alpha$$

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 $\alpha$  is  $(\gamma\beta)$

$$\frac{\neg : (oo), x : \beta \vdash_{TA} (\neg (xx)) : \gamma}{\neg : (oo) \vdash_{TA} (\lambda x. \neg (xx)) : \gamma\beta} \text{Lam}$$

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Untyped Term:  $(\lambda x. \neg (xx))$

Goal: Find a type  $\alpha$  such that  $\neg : (oo) \vdash_{TA} (\lambda x. \neg (xx)) : \alpha$

$$\frac{\frac{\neg : (oo), x : \beta \vdash_{TA} \neg : (\gamma\delta) \quad \neg : (oo), x : \beta \vdash_{TA} (xx) : \delta}{\neg : (oo), x : \beta \vdash_{TA} (\neg (xx)) : \gamma} \text{App}}{\neg : (oo) \vdash_{TA} (\lambda x. (\neg (xx))) : \gamma\beta} \text{Lam}$$

# Typed $\lambda$ -Calculus: Assigning Types



Untyped Term:  $(\lambda x. \neg (xx))$

Goal: Find a type  $\alpha$  such that  $\neg : (oo) \vdash_{TA} (\lambda x. \neg (xx)) : \alpha$

$\gamma$  and  $\delta$  are both  $o$

$$\begin{array}{c}
 \frac{}{\neg : (oo), x : \beta \vdash_{TA} \neg : (oo)} \text{Hyp} \quad \vdots \quad \neg : (oo), x : \beta \vdash_{TA} (xx) : o \\
 \hline
 \neg : (oo), x : \beta \vdash_{TA} (\neg (xx)) : o \quad \text{App} \\
 \hline
 \neg : (oo) \vdash_{TA} (\lambda x. (\neg (xx))) : o\beta \quad \text{Lam}
 \end{array}$$

# Typed $\lambda$ -Calculus: Assigning Types



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# Typed $\lambda$ -Calculus: Assigning Types



Untyped Term:  $(\lambda x. \neg (xx))$

Goal: Find a type  $\alpha$  such that  $\neg : (oo) \vdash_{TA} (\lambda x. \neg (xx)) : \alpha$

$$\frac{\neg : (oo), x : \beta \vdash_{TA} x : (o\epsilon) \quad \neg : (oo), x : \beta \vdash_{TA} x : \epsilon}{\neg : (oo), x : \beta \vdash_{TA} (xx) : o} \text{App}$$



# Typed $\lambda$ -Calculus: Assigning Types



Untyped Term:  $(\lambda x. \neg (x x))$

Goal: Find a type  $\alpha$  such that  $\neg : (o o) \vdash_{TA} (\lambda x. \neg (x x)) : \alpha$   
 $\beta$  is  $(o \epsilon)$

$$\frac{\frac{}{\neg : (o o), x : (o \epsilon) \vdash_{TA} x : (o \epsilon)} \text{Hyp} \quad \vdots \quad \neg : (o o), x : (o \epsilon) \vdash_{TA} x : \epsilon}{\neg : (o o), x : (o \epsilon) \vdash_{TA} (x x) : o} \text{App}$$

# Typed $\lambda$ -Calculus: Assigning Types



Untyped Term:  $(\lambda x. \neg (x x))$

Goal: Find a type  $\alpha$  such that  $\neg : (oo) \vdash_{TA} (\lambda x. \neg (x x)) : \alpha$

Only remaining subgoal:

$$\neg : (oo), x : (oe) \vdash_{TA} x : e$$

# Typed $\lambda$ -Calculus: Assigning Types



Untyped Term:  $(\lambda x. \neg (x x))$

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Only remaining subgoal:

$$\neg : (oo), x : (o\epsilon) \vdash_{TA} x : \epsilon$$

This goal cannot be solved since  $(o\epsilon)$  cannot equal  $\epsilon$ .

# Typed $\lambda$ -Calculus: Assigning Types



Untyped Term:  $(\lambda x. \neg (x x))$

Goal: Find a type  $\alpha$  such that  $\neg : (o o) \vdash_{TA} (\lambda x. \neg (x x)) : \alpha$

Only remaining subgoal:

$$\neg : (o o), x : (o \epsilon) \vdash_{TA} x : \epsilon$$

This goal cannot be solved since  $(o \epsilon)$  cannot equal  $\epsilon$ .

Hence  $(\lambda x. (\neg (x x)))$  cannot be typed – avoiding Russell's Paradox.

# Typed $\lambda$ -Calculus: $\beta\eta$



$\beta$ -reduction:

$$((\lambda y_{\beta} . A_{\alpha}) B_{\beta}) \longrightarrow_{\beta} A_{\alpha}[y_{\beta}/B_{\beta}]$$

# Typed $\lambda$ -Calculus: $\beta\eta$



$\beta$ -reduction:

$$((\lambda y_\beta . A_\alpha) B_\beta) \longrightarrow_\beta A_\alpha[y_\beta/B_\beta]$$

$\eta$ -reduction:

$$(\lambda y_\beta . F_{\alpha\beta} y_\beta) \longrightarrow_\eta F_{\alpha\beta}$$

# Typed $\lambda$ -Calculus: $\beta\eta$



$\beta$ -reduction:

$$((\lambda y_\beta . A_\alpha) B_\beta) \longrightarrow_\beta A_\alpha[y_\beta/B_\beta]$$

$\eta$ -reduction:

$$(\lambda y_\beta . F_{\alpha\beta} y_\beta) \longrightarrow_\eta F_{\alpha\beta}$$

Facts:

- $\beta\eta$ -normalization terminates for typed terms.

# Typed $\lambda$ -Calculus: $\beta\eta$



$\beta$ -reduction:

$$((\lambda y_\beta . A_\alpha) B_\beta) \longrightarrow_\beta A_\alpha[y_\beta / B_\beta]$$

$\eta$ -reduction:

$$(\lambda y_\beta . F_{\alpha\beta} y_\beta) \longrightarrow_\eta F_{\alpha\beta}$$

Facts:

- $\beta\eta$ -normalization terminates for typed terms.
- Every typed term has a unique  $\beta\eta$ -normal form.