

A Deontic Logic Reasoning Infrastructure

Christoph Benzmüller (jww Xavier Parent & Leon van der Torre)

Freie Universität Berlin | University of Luxembourg



HaPoC@CiE 2018, Kiel, 2 Aug 2018 — Celebration of Martin Davis' 90th Birthday

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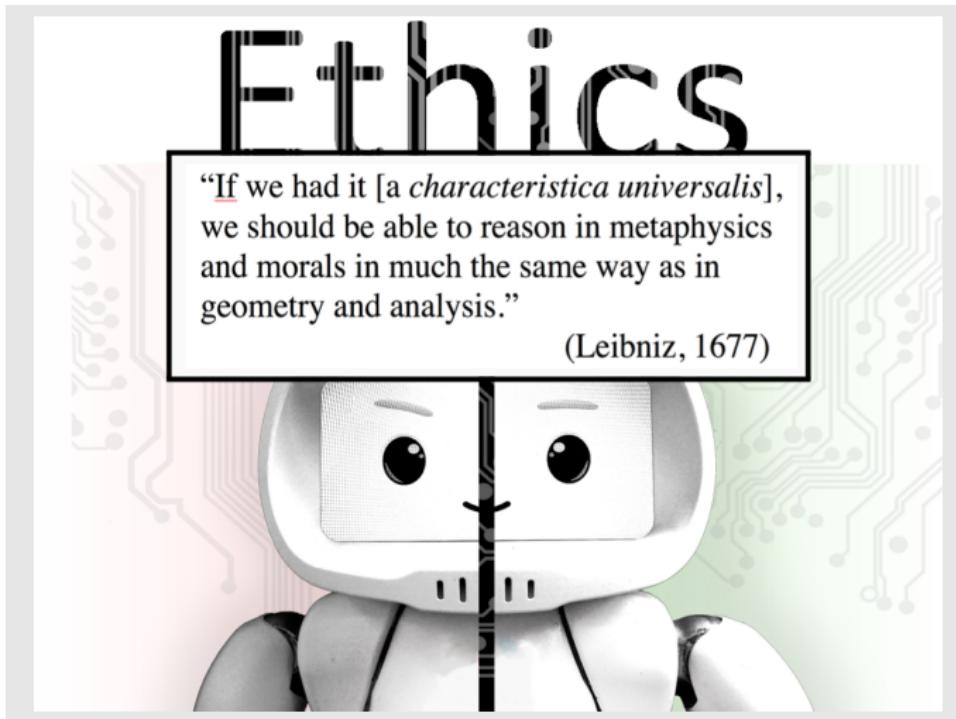
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Ethics

"If we had it [a *characteristica universalis*], we should be able to reason in metaphysics and morals in much the same way as in geometry and analysis."

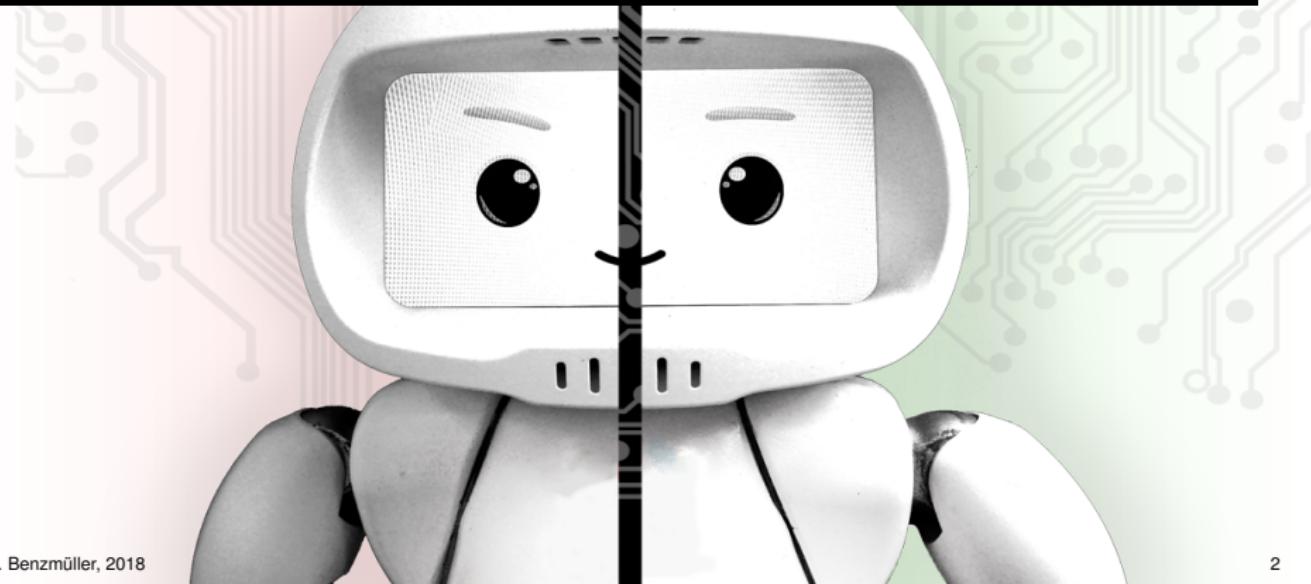
(Leibniz, 1677)



Ethics

Peaceful coexistence with **intelligent autonomous systems (IASs)**?

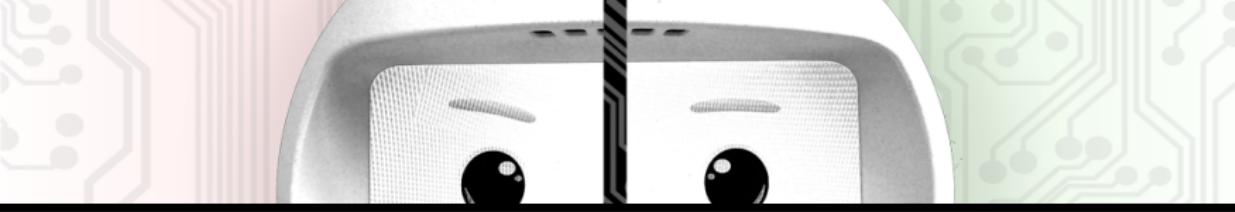
- ▶ appropriate forms of **machine-control**
- ▶ appropriate forms of **human-machine-interaction**



Ethics

Peaceful coexistence with **intelligent autonomous systems (IASs)**?

- ▶ appropriate forms of **machine-control**
- ▶ appropriate forms of **human-machine-interaction**



Existing societal processes are based on:

- ▶ **rational argumentation & dialog**
- ▶ **explicit normative reasoning** (legal & ethical)

Deployment of IASs lacking such competencies? How wise is this?

Ethics

Talk Outline

Foreword: How does Martin Davis fit in?

- A Motivation:** Explicit Ethical Reasoning
- B Technology:** Universal Logical Reasoning in Higher-Order Logic
- C Evidence:** Experiments in Computational Metaphysics
- D Demo(s):** Normative Reasoning Experimentation Platform

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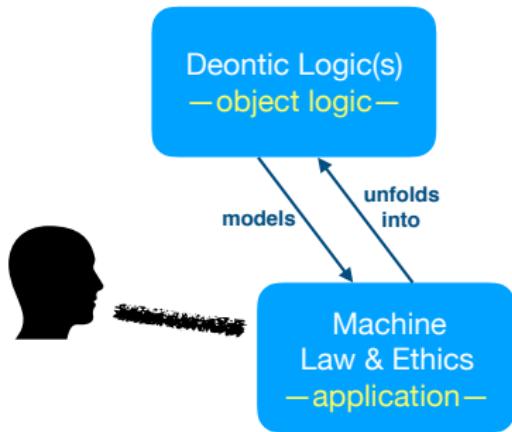
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How does Martin Davis fit in?

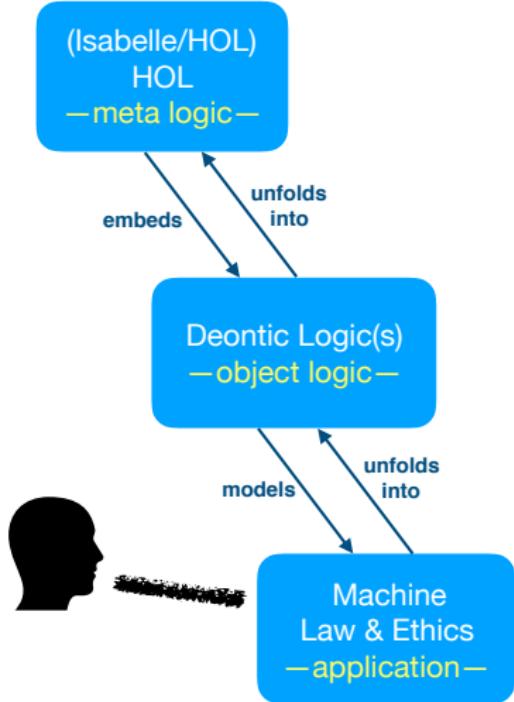


Machine
Law & Ethics
—application—

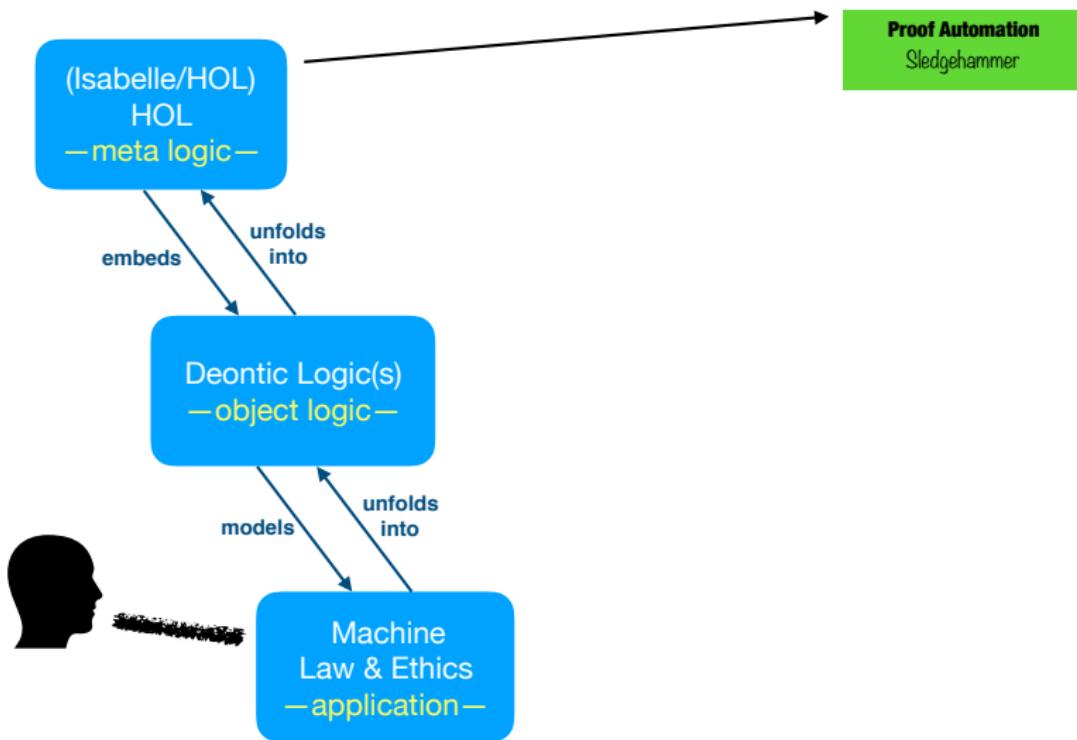
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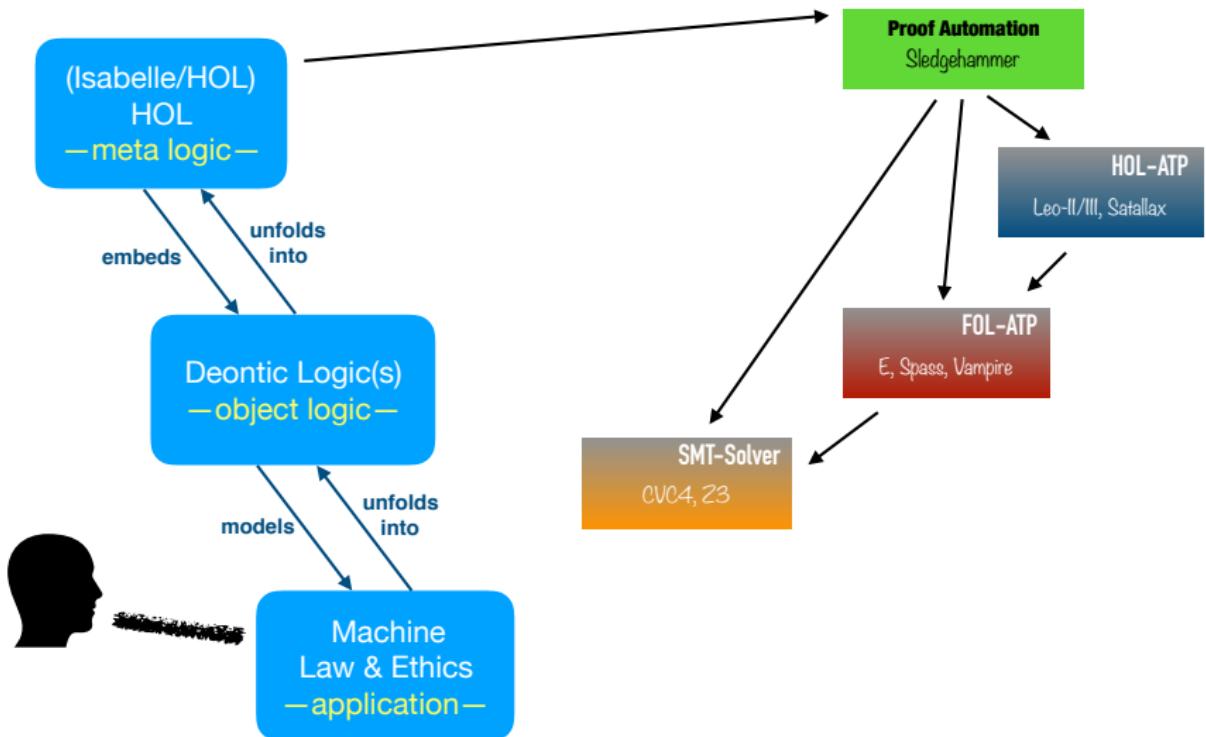
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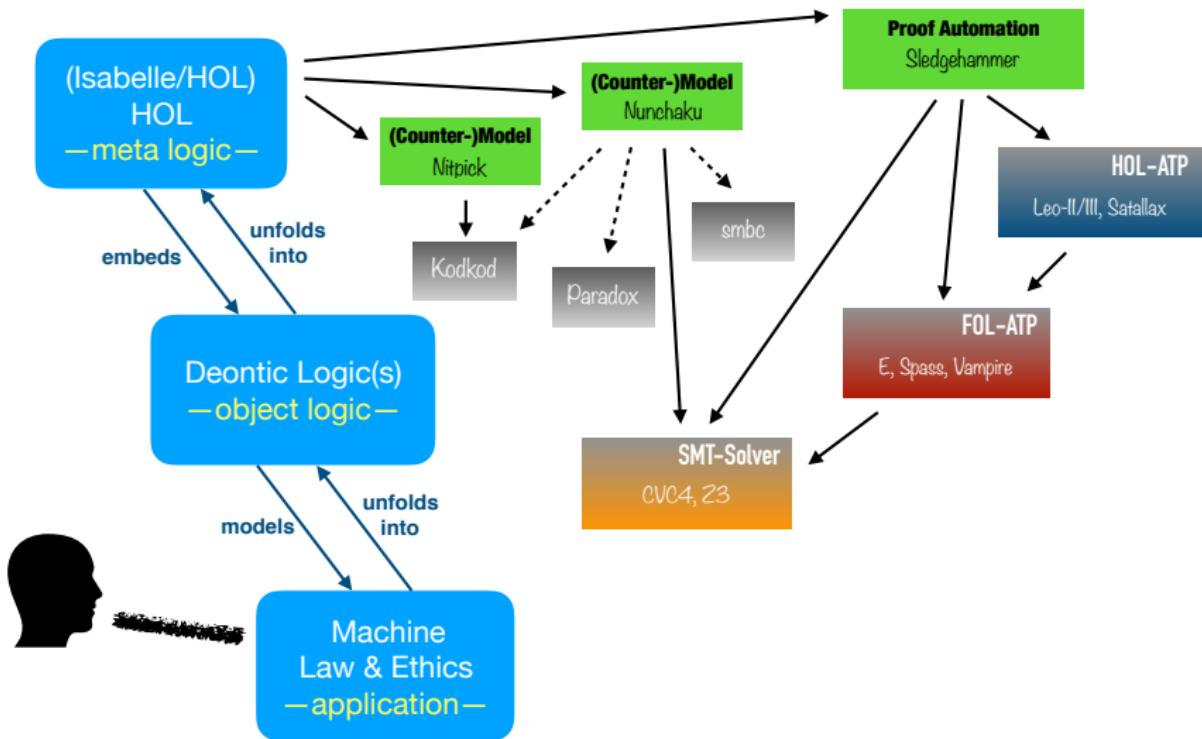
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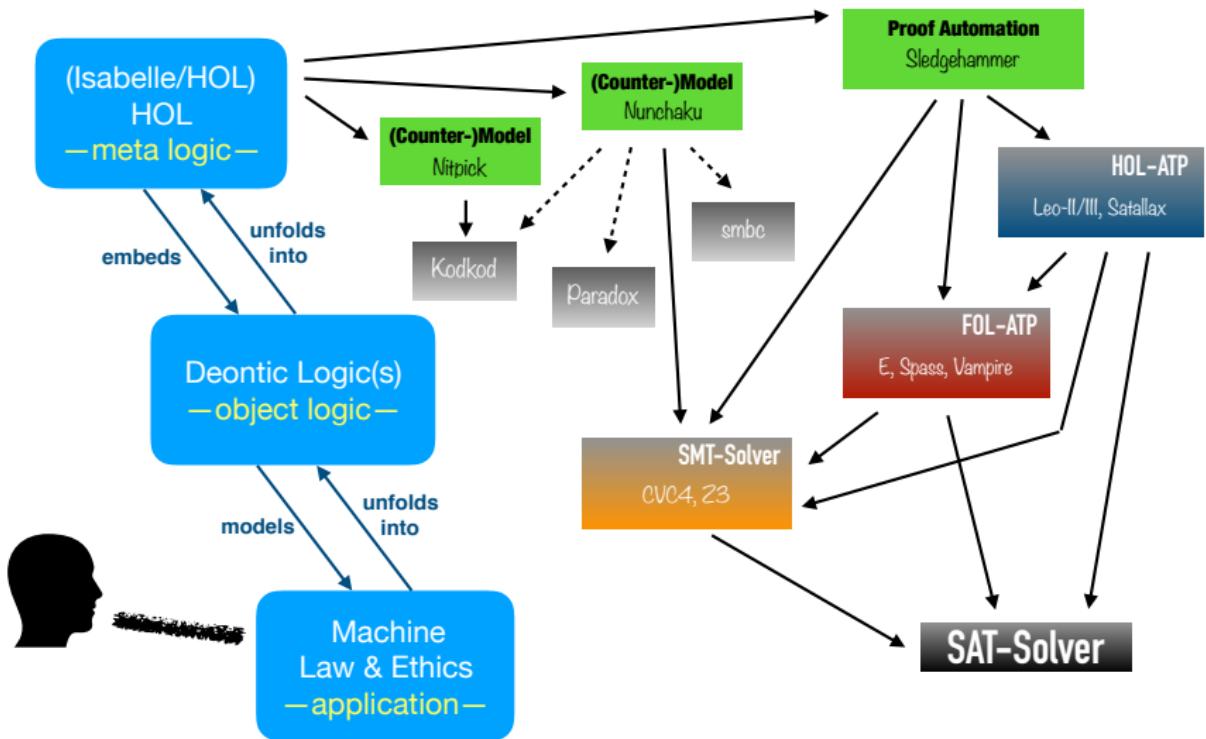
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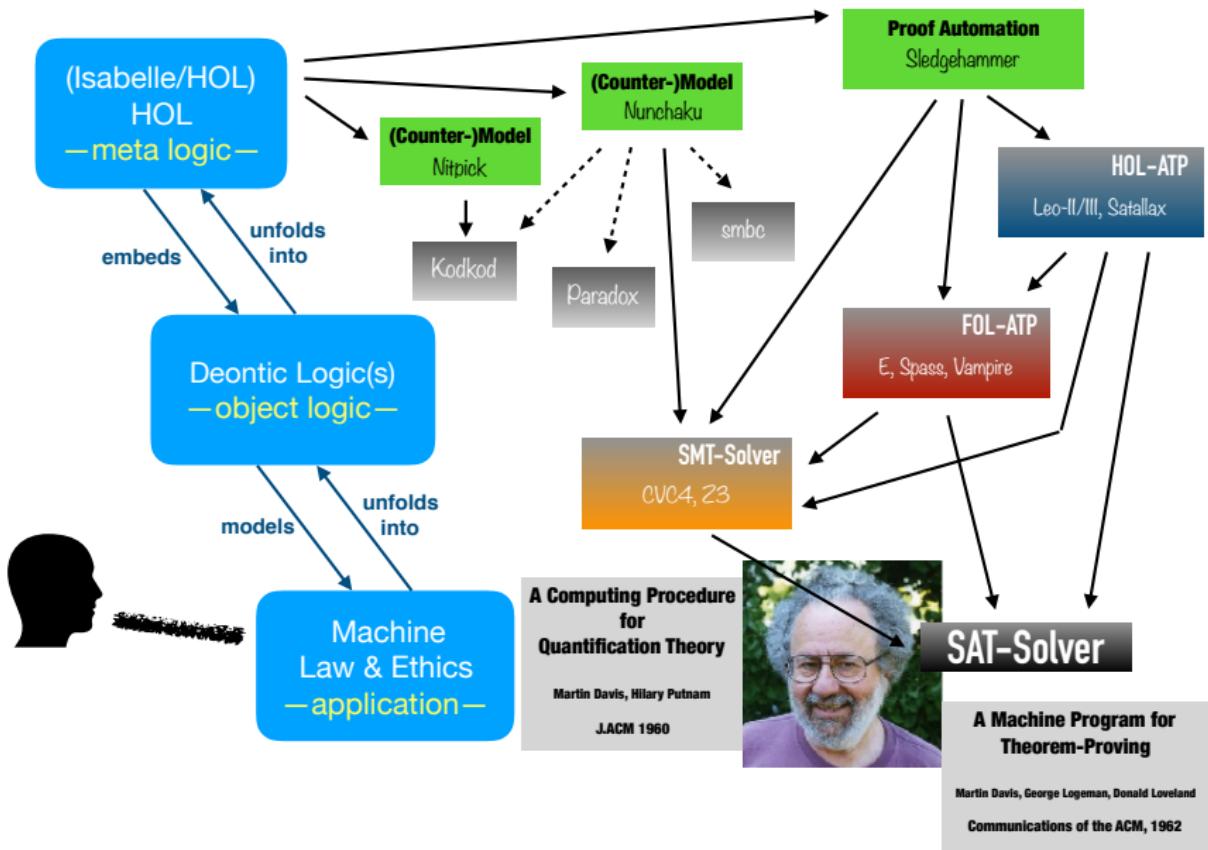
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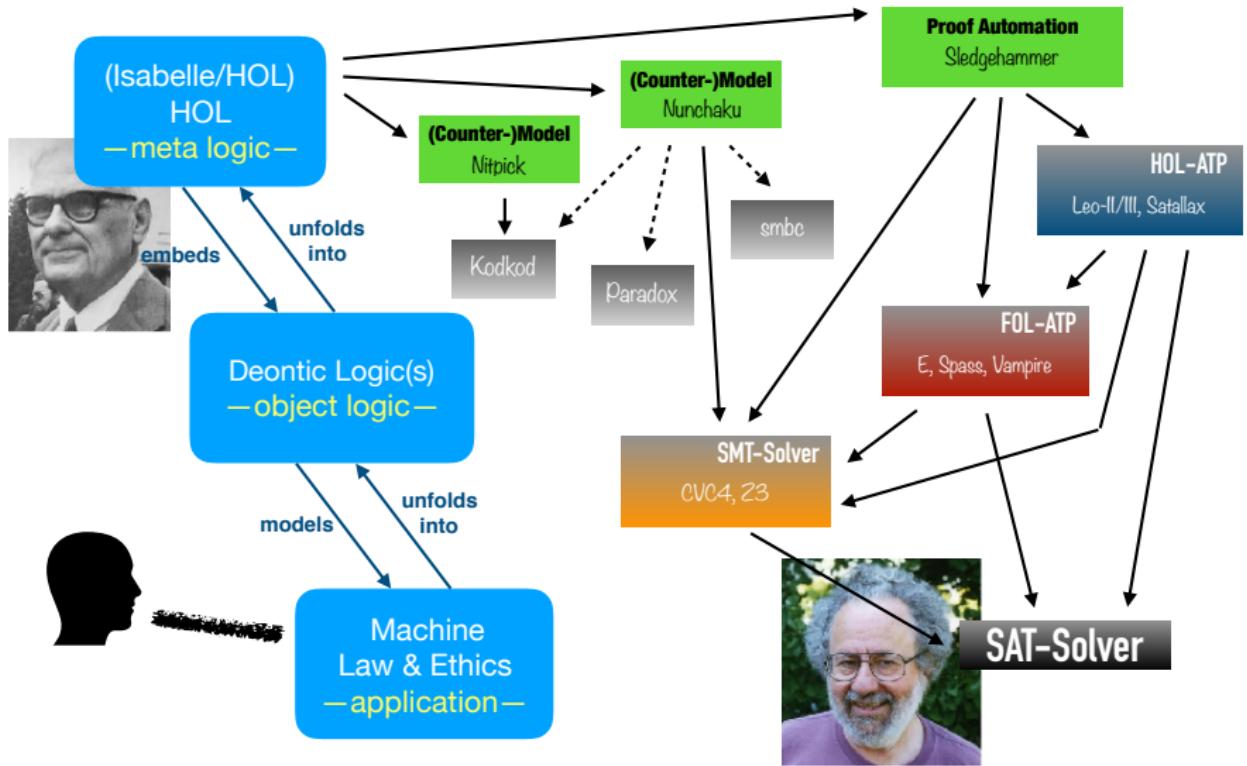
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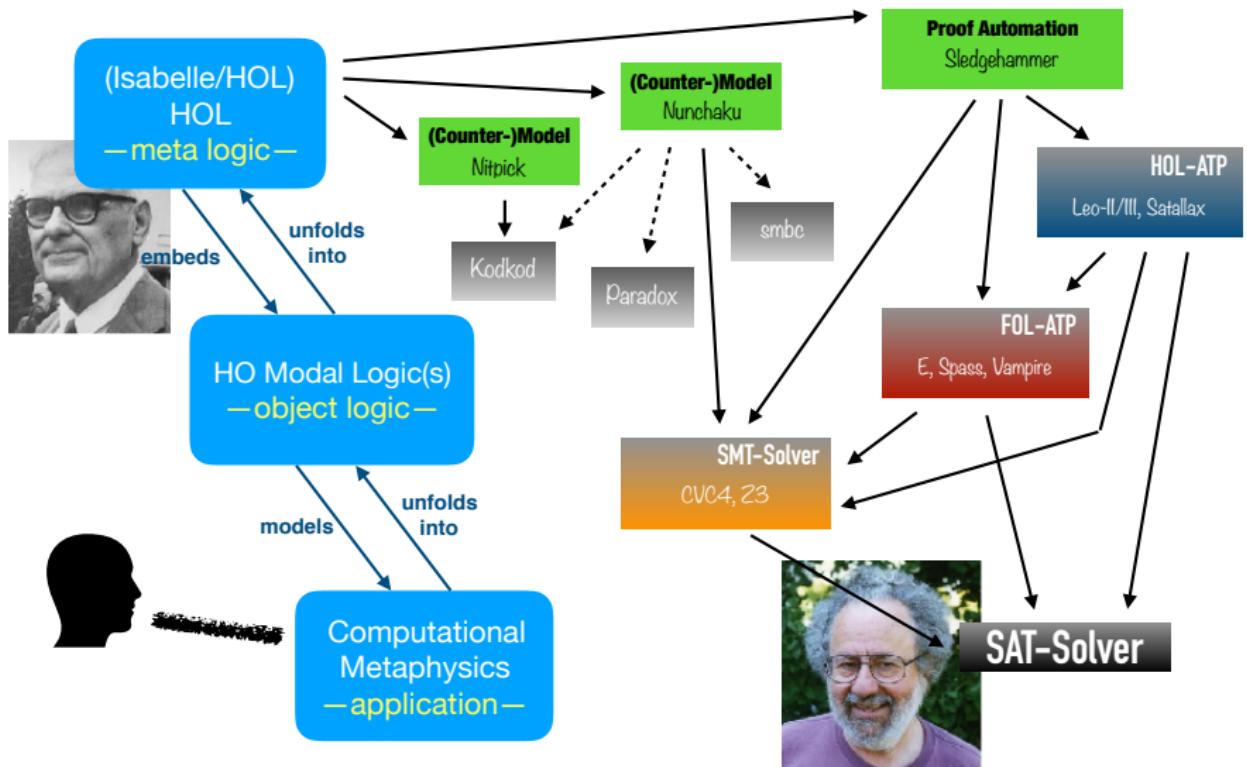
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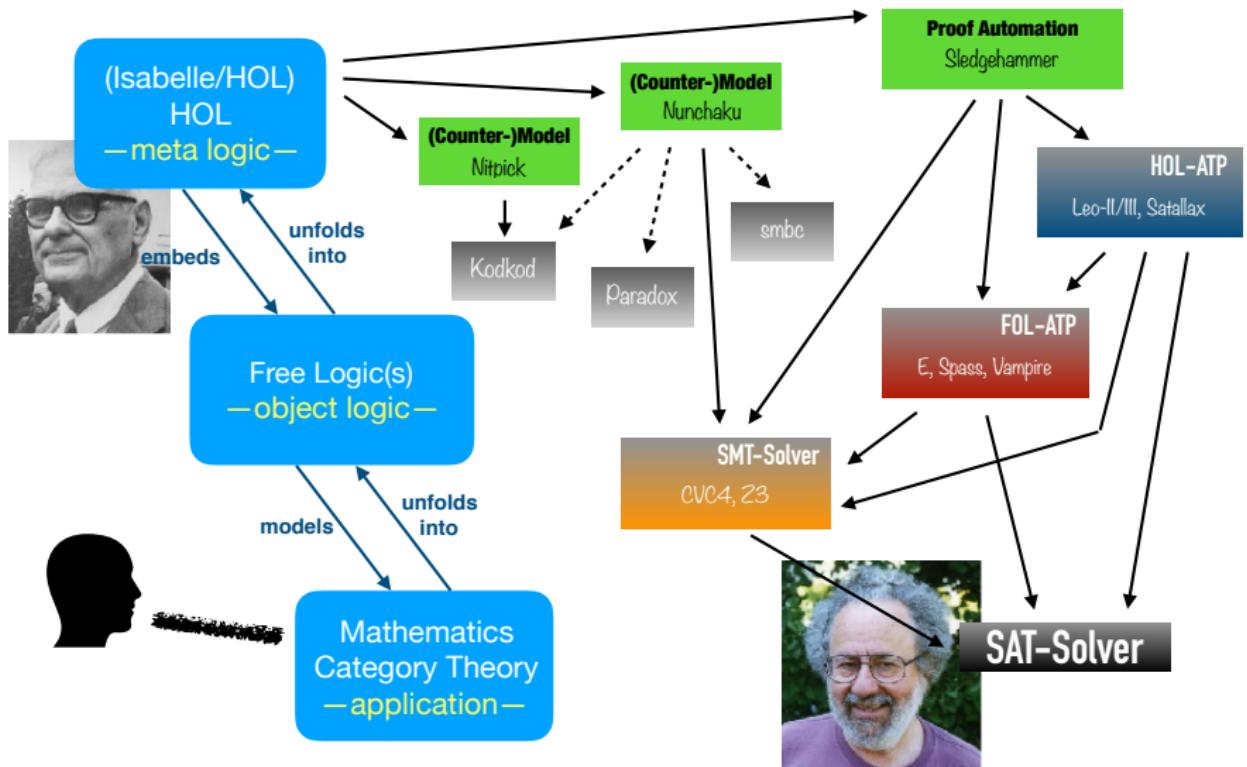
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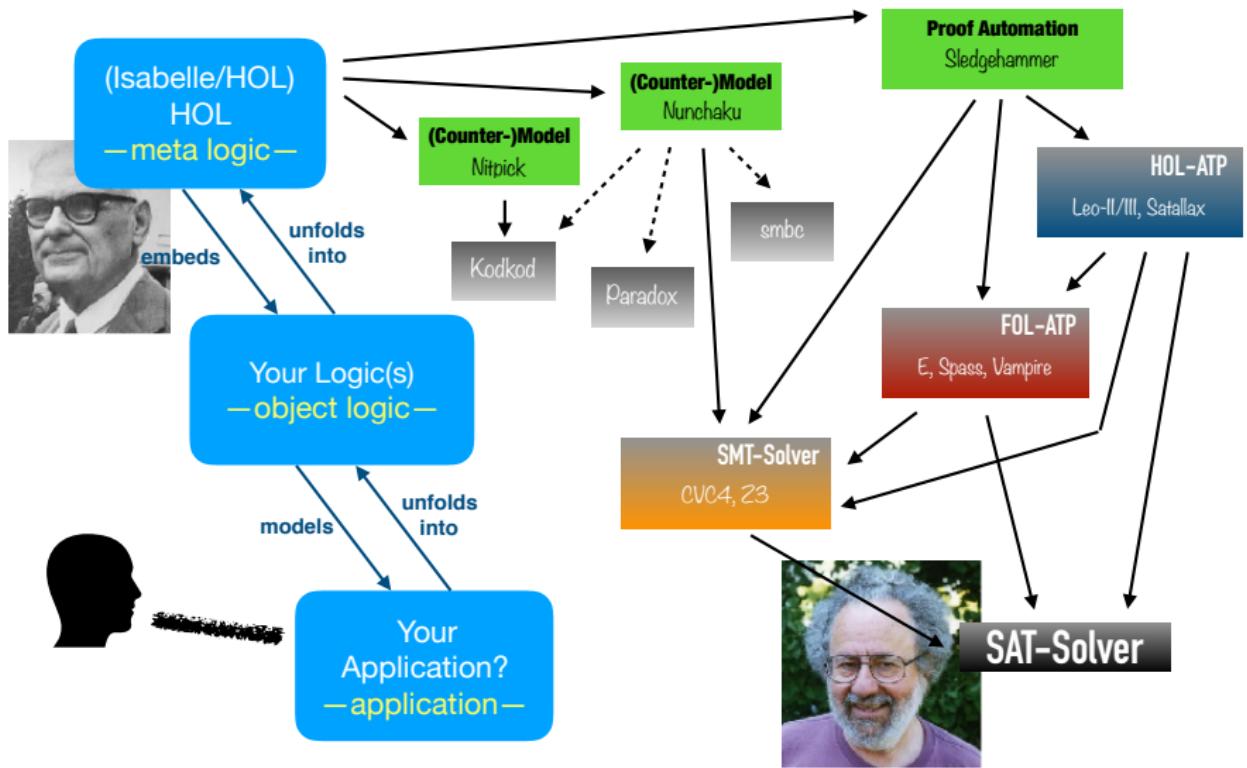
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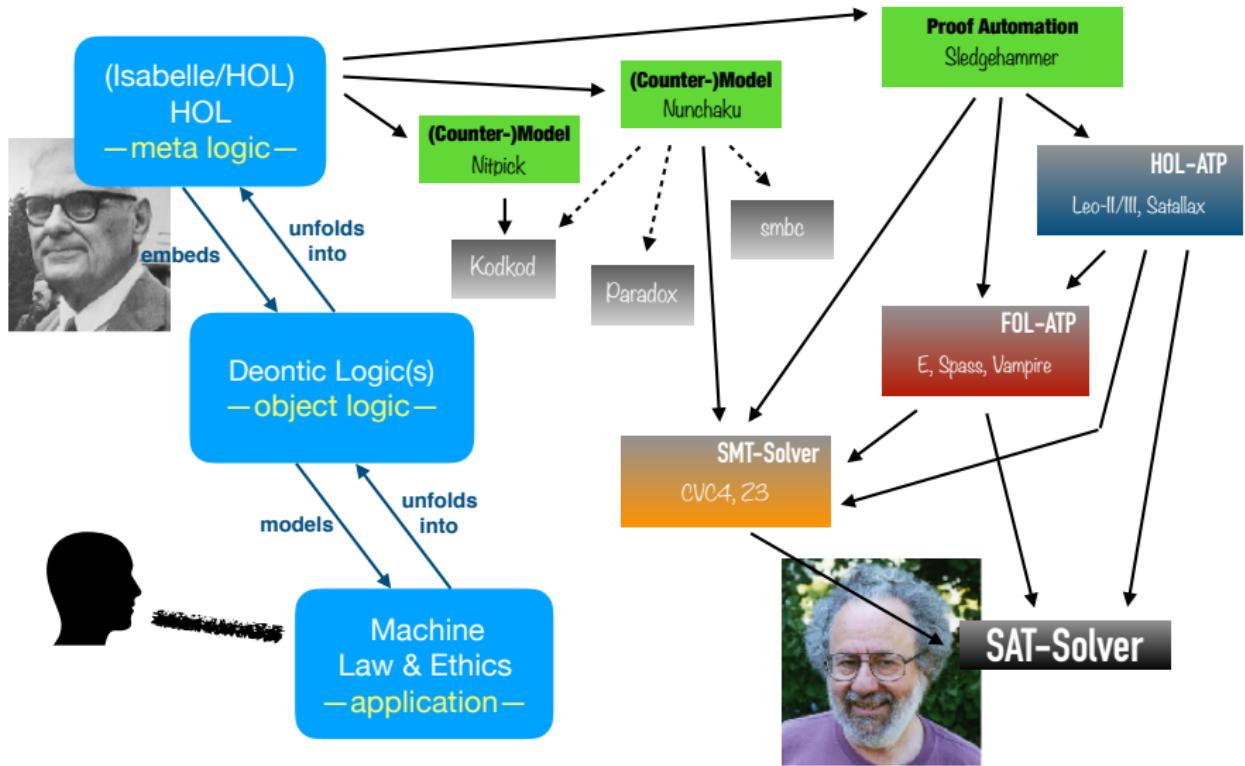
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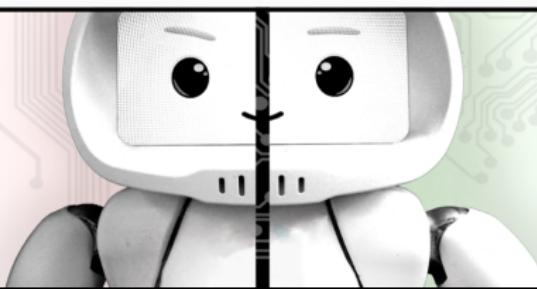
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Part A — Motivation: Explicit Ethical Reasoning

Long-term: Emerging Superintelligence

Really? Anyhow ...

- ▶ How to prevent Superintelligence from turning against humanity?

Medium-term: Development of pseudo-ethical skills in IAs

- ▶ Which norms? Which reasoning principles?
- ▶ What architectural design? What functionalities?
- ▶ How to implement, deploy and verify?

Different kinds of systems and approaches:

- ▶ [Moor, 2009]:
 - ethical impact agents (ethical consequences to actions)
 - implicit ethical agents (ethical reactions to given situations)
 - explicit ethical agents (reasoning with ethical theories/rules)
 - full ethical agents (conscious, intentional, free will)
- ▶ bottom-up vs. top-down
- ▶ [DoranEtAl., 2017]:
 - opaque — comprehensible — interpretable — explainable AI

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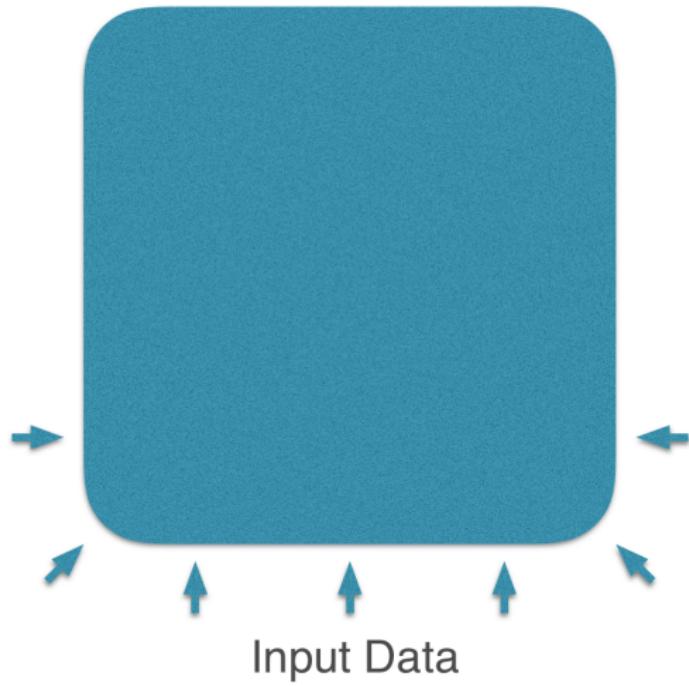
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Pseudo-Ethical IAS (medium-term)

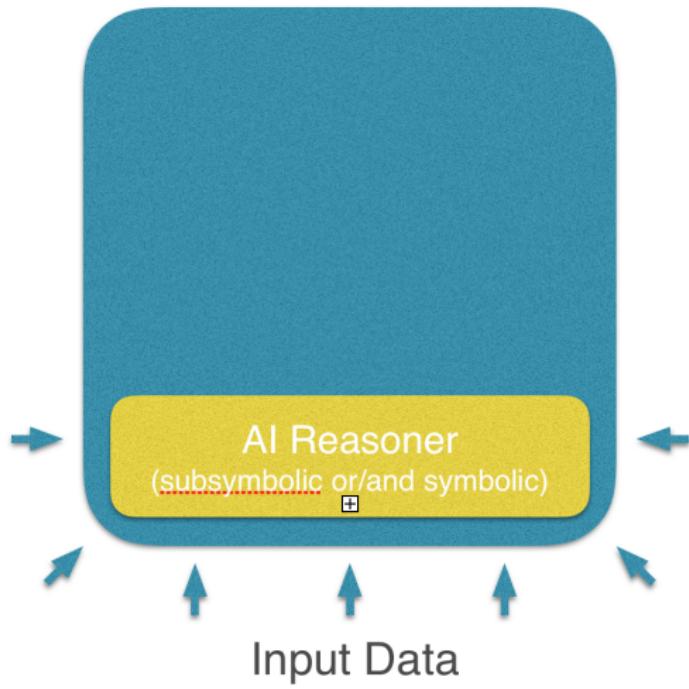


IAS

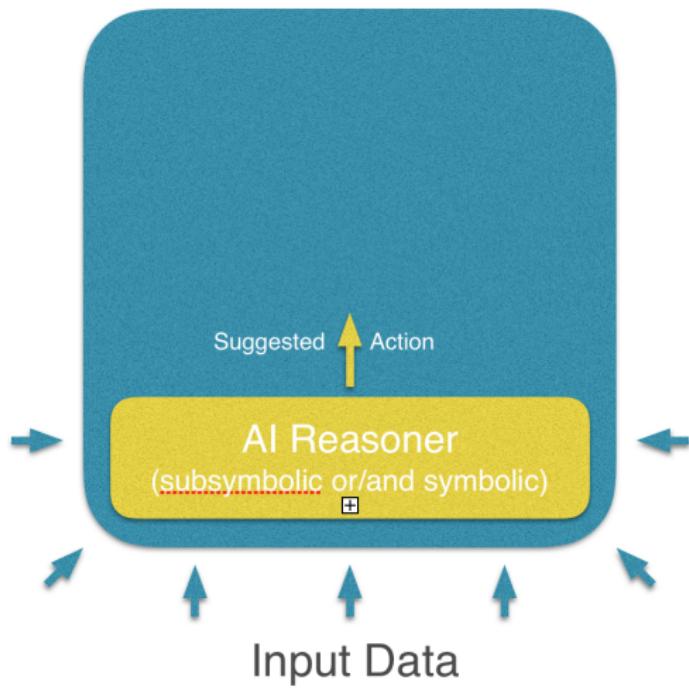
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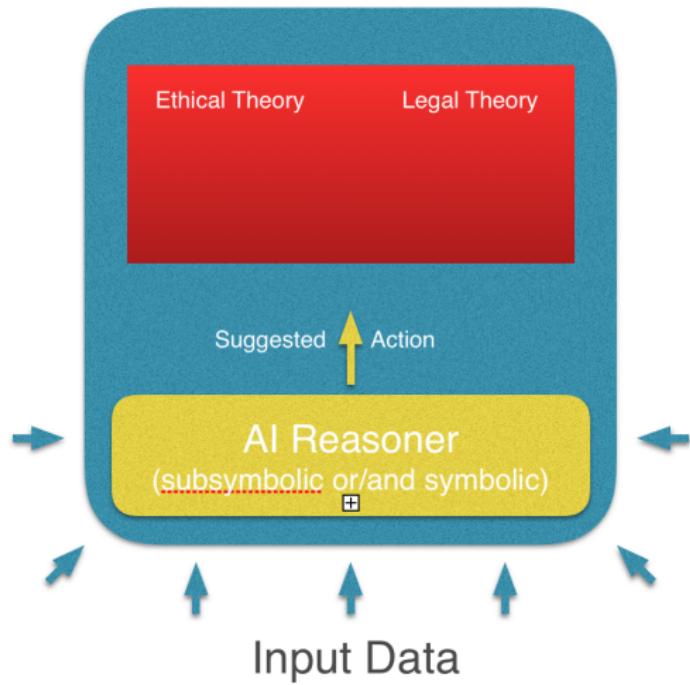
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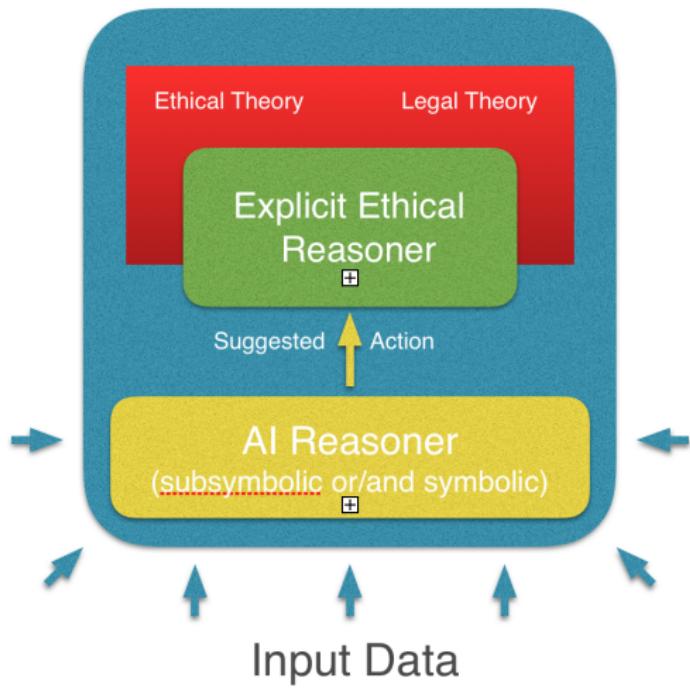
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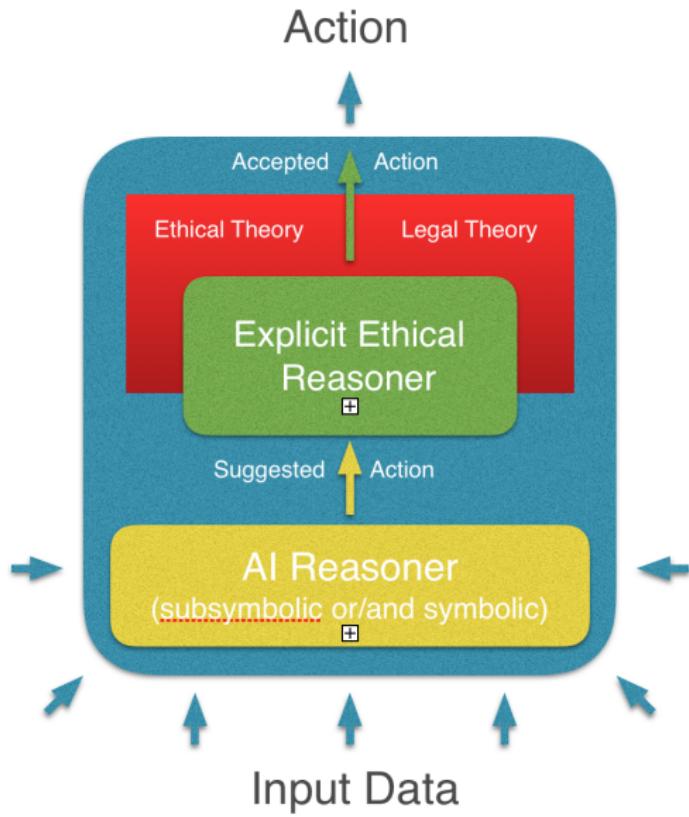
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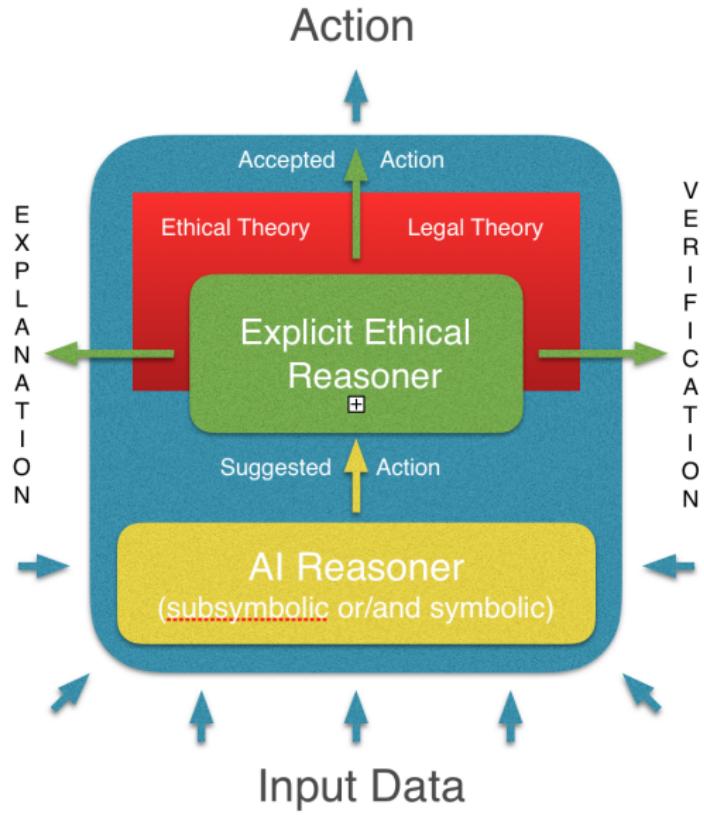
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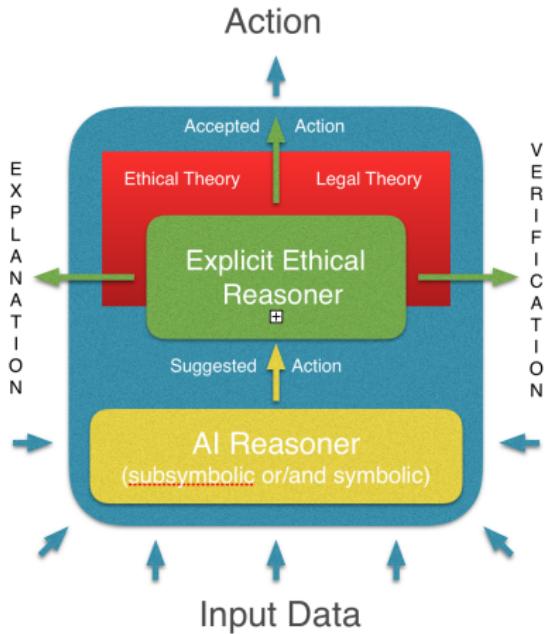
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Pseudo-Ethical IAS (medium-term)



Related Work

- ▶ Artificial Moral Agents
 - ▶ [Wallach&Allen, 2008]
- ▶ Ethical Governors
 - ▶ [ArkinEtAl., 2009, 2012]
 - ▶ [Dennis&Fisher, 2017]
- ▶ Ethical Deliberation in ART
 - ▶ [Dignum, 2017]
- ▶ Programming Machine Ethics
 - ▶ [Pereira&Saptawijaya, 2016]
- ▶ ...

Which Reasoning Formalisms?

“If we had it [a *characteristica universalis*], we should be able to reason in metaphysics and morals in much the same way as in geometry and analysis.”

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Challenges for Explicit Ethical Reasoning Engines: Which Logic(s)?

- ▶ Dilemmas, conflicting theories, etc.
- ▶ Appropriate handling of notion of **obligation**
 - ▶ Contrary-to-duty (**CTD**) scenarios

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Standard CTD structure (Chisholm)

1. obligatory ' a '
2. obligatory 'if a then not b '
3. if 'not a ' then obligatory ' b '
4. 'not a ' (in a given situation)

Danger: Paradox/inconsistency — ex falso quodlibet!

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CTD example (X. Parent): EU General Data Protection Regulation (GDPR)

1. Personal data shall be processed lawfully. (Art. 5)
E.g., the data subject must have given consent to the processing. (Art. 6/1.a)
2. **Implicit:** The data shall be kept, for the agreed purposes, if processed lawfully.
3. If personal data has been processed unlawfully, the controller has the obligation to erase the personal data in question without delay. (Art. 17.d, right to be forgotten)
4. **Given situation:** Some personal data has been processed unlawfully.

Danger: Paradox/inconsistency — ex falso quodlibet!

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L. van der Torre



X. Parent



A. Farjam

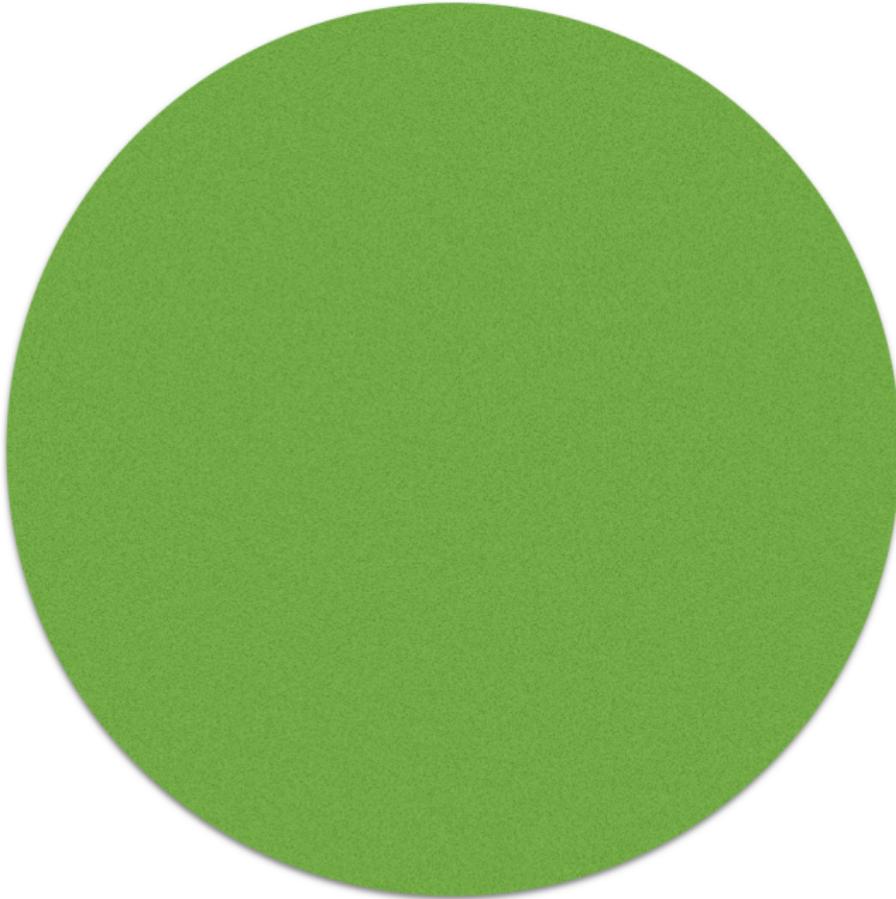
Deontic Logic

- ▶ Reasoning about obligations and permissions
 - ▶ Two groups of approaches:
 - Possible worlds
 - ▶ standard deontic logic
 - ▶ dyadic deontic logic
 - Norm-based semantics
 - ▶ input/output logic
- CTD: no
CTD: yes
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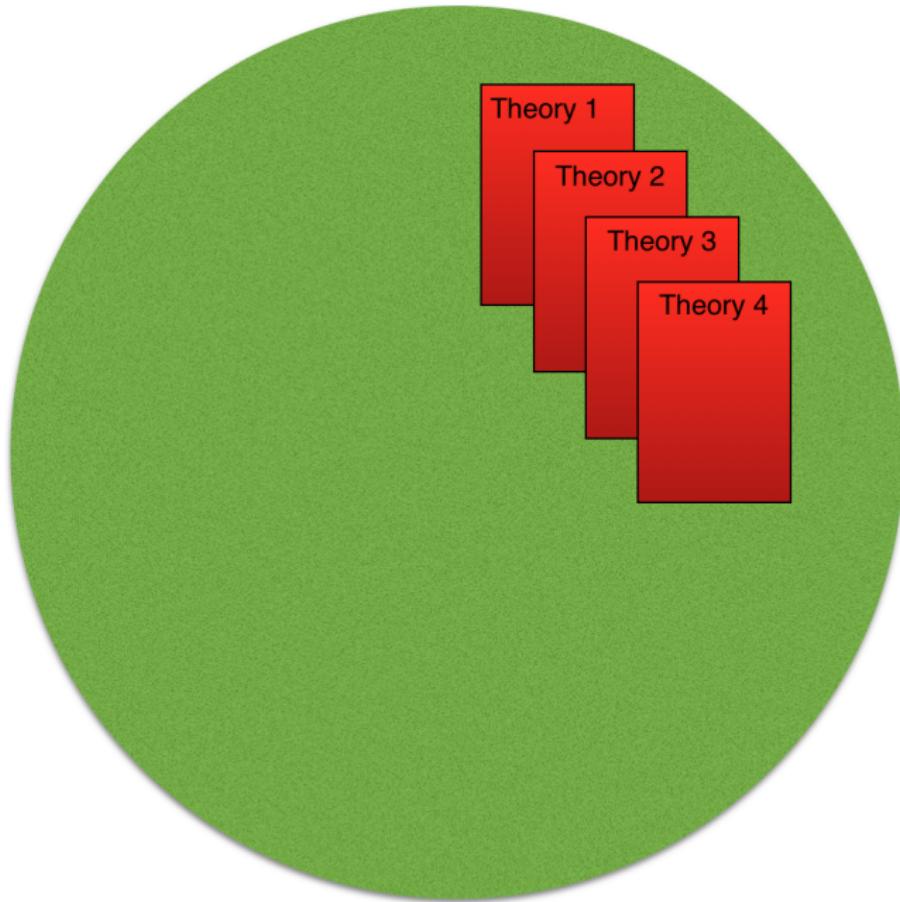
Further interests and challenges

- ▶ Combination with other logics (other modalities)
- ▶ Propositional deontic logic(s) will hardly be sufficient in practice

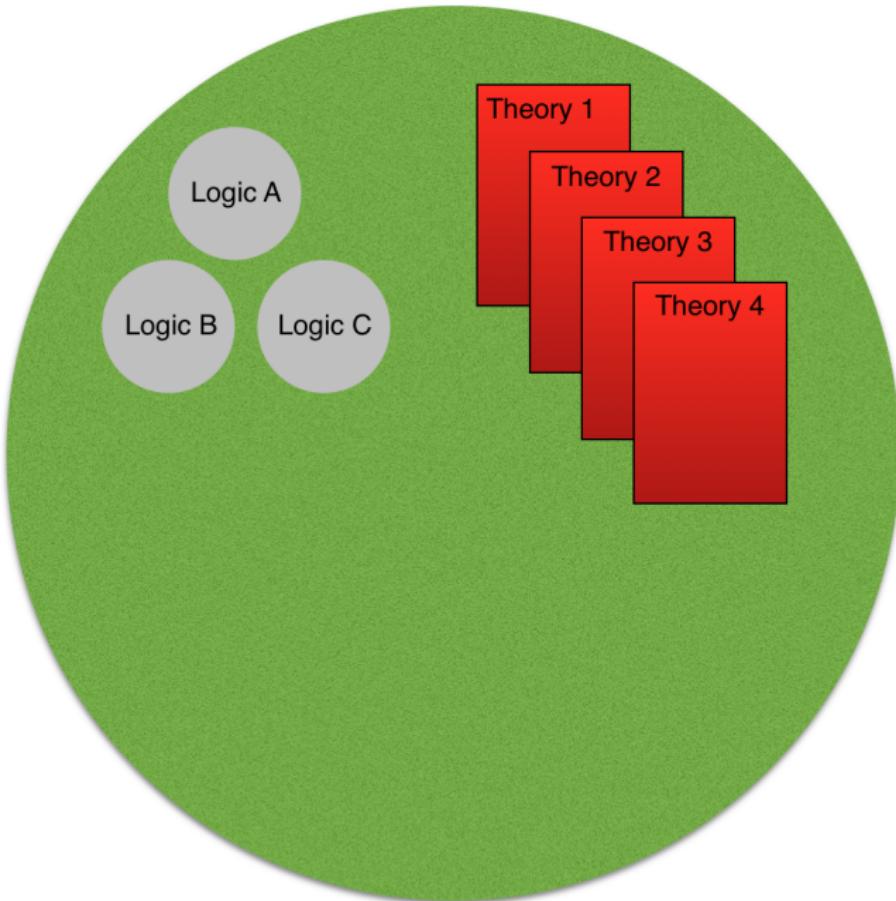
Normative Reasoning Experimentation Platform



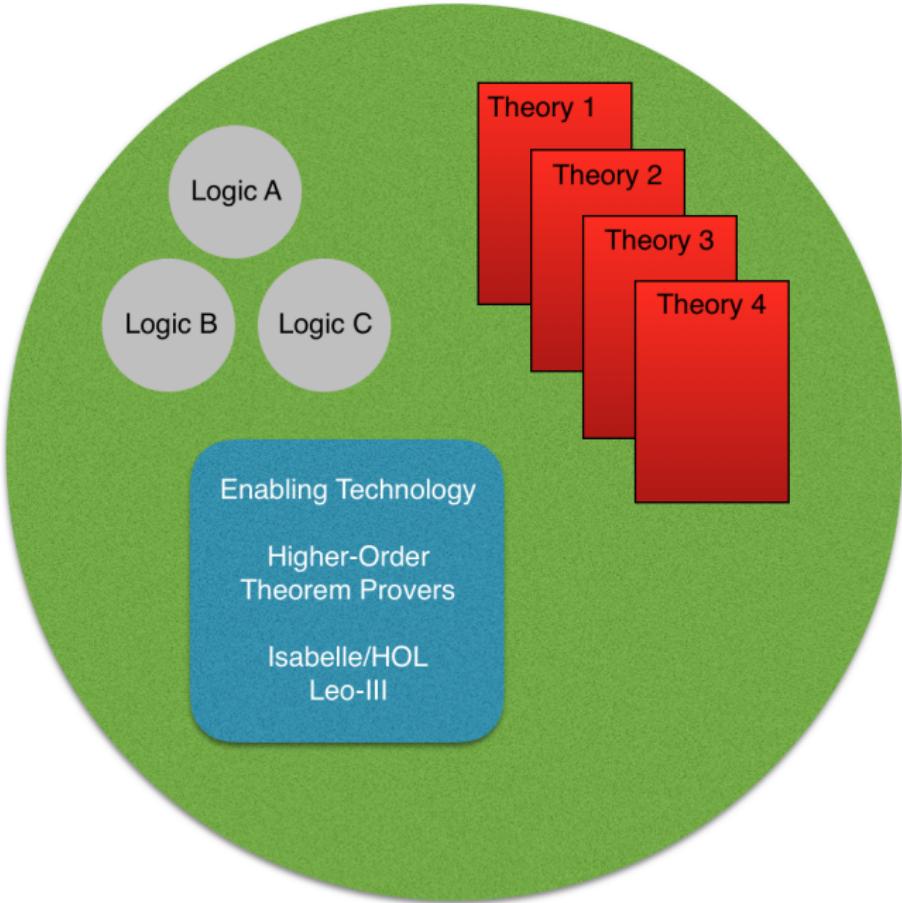
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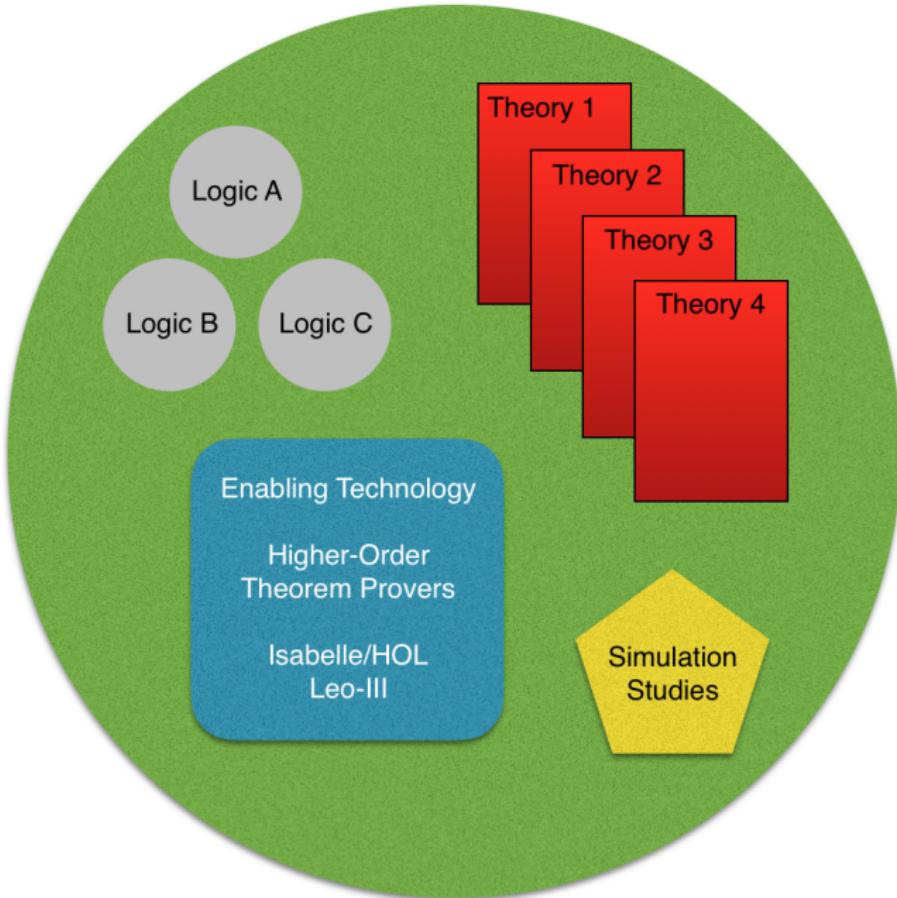
Normative Reasoning Experimentation Platform



Normative Reasoning Experimentation Platform



Normative Reasoning Experimentation Platform



Normative Reasoning Experimentation Platform — Demo in Isabelle/HOL

The screenshot shows the Isabelle/HOL interface with the theory file `GDPR.thy` open. The code defines an obligation to process data lawfully, which implies keeping the data if it was processed lawfully, and an obligation to erase data if it was not processed lawfully. It also includes some experiments to check consistency and derive contradictions.

```
1 theory GDPR imports SLDL (* Christoph Benzmueller & Xavier Parent, 2018 *)
2
3 begin (** GDPR Example **)
4 consts process_data_lawfully::σ erase_data::σ kill_boss::σ
5
6 axiomatization where
7   (* It is an obligation to process data lawfully. *)
8   A1: " $\text{process\_data\_lawfully} \rightarrow \text{erase\_data}$ " and
9   (* Implicit: It is an obligation to keep the data if it was processed lawfully. *)
10  Implicit: " $\text{process\_data\_lawfully} \rightarrow \neg \text{erase\_data}$ " and
11  (* If data was not processed lawfully, then it is an obligation to erase the data. *)
12  A2: " $\neg \text{process\_data\_lawfully} \rightarrow \text{erase\_data}$ " and
13  (* Given a situation where data is processed unlawfully. *) and
14  A3: " $\neg \text{process\_data\_lawfully} \vee \text{kill\_boss}$ "
15
16 (** Some Experiments **)
17 lemma True nitpick [satisfy] oops (* Consistency-check: Is there a model? *)
18 lemma False sledgehammer oops (* Inconsistency-check: Can Falsum be derived? *)
19
20 lemma " $\text{erase\_data}$ " sledgehammer nitpick oops (* Should the data be erased? *)
21 lemma " $\neg \text{erase\_data}$ " sledgehammer nitpick oops (* Should the data be kept? *)
22 lemma " $\text{kill\_boss}$ " sledgehammer nitpick oops (* Should the boss be killed? *)
23 end
```

The interface includes a toolbar, a vertical navigation bar on the right, and a status bar at the bottom. The status bar shows "Sledgehammering..." and "Proof found...", along with log messages from spass, z3, and cvc4.

Bottom navigation bar: Output, Query, Sledgehammer, Symbols

Normative Reasoning Experimentation Platform — Demo in Isabelle/HOL

The screenshot shows the Isabelle/HOL interface with the file `GDPR.thy` open. The code defines a theory `GDPR` that imports `SDL`. It includes an obligation to process data lawfully, an implicit obligation to keep data if processed lawfully, and obligations to erase data or kill a boss if data was not processed lawfully. A section is highlighted with a red box containing the text:

**Danger Zone:
Paradoxes and Inconsistencies!**

Below this, the proof state shows two lemmas:

```
lemma "\O{\neg erase_data}" sledgehammer nitpick oops (* Should the data be kept? *)
lemma "\O{kill_boss}" sledgehammer nitpick oops (* Should the boss be killed? *)
```

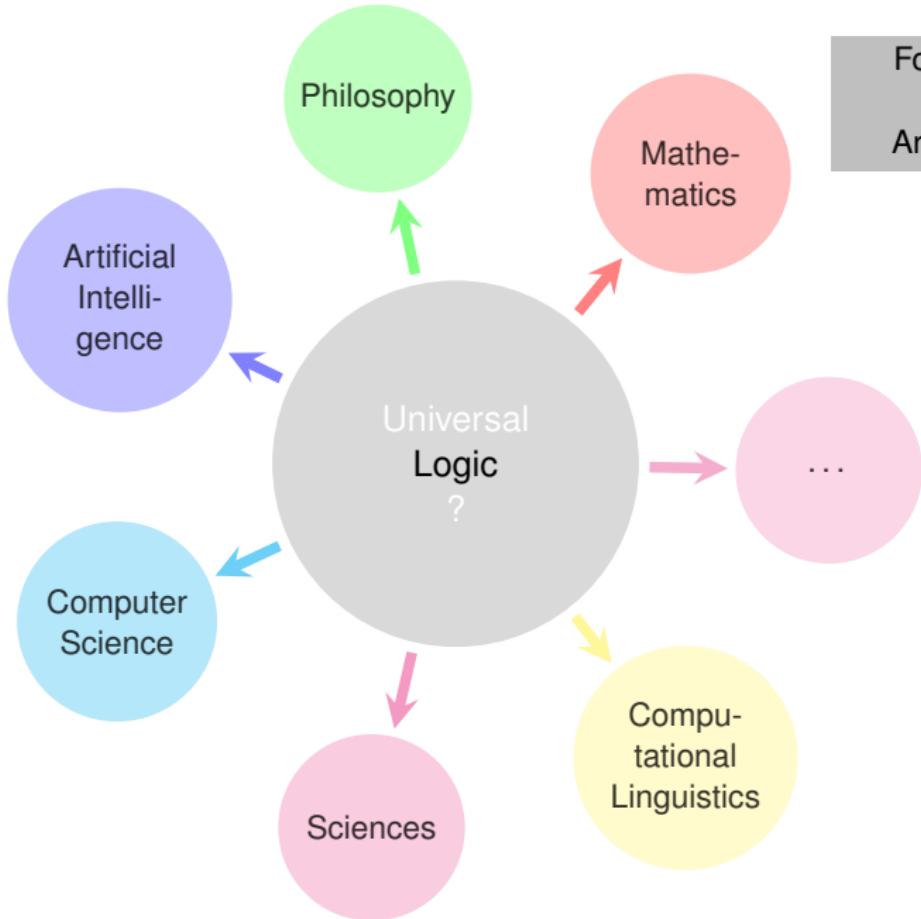
The interface also displays a message "Sledgehammering..." and a proof summary.

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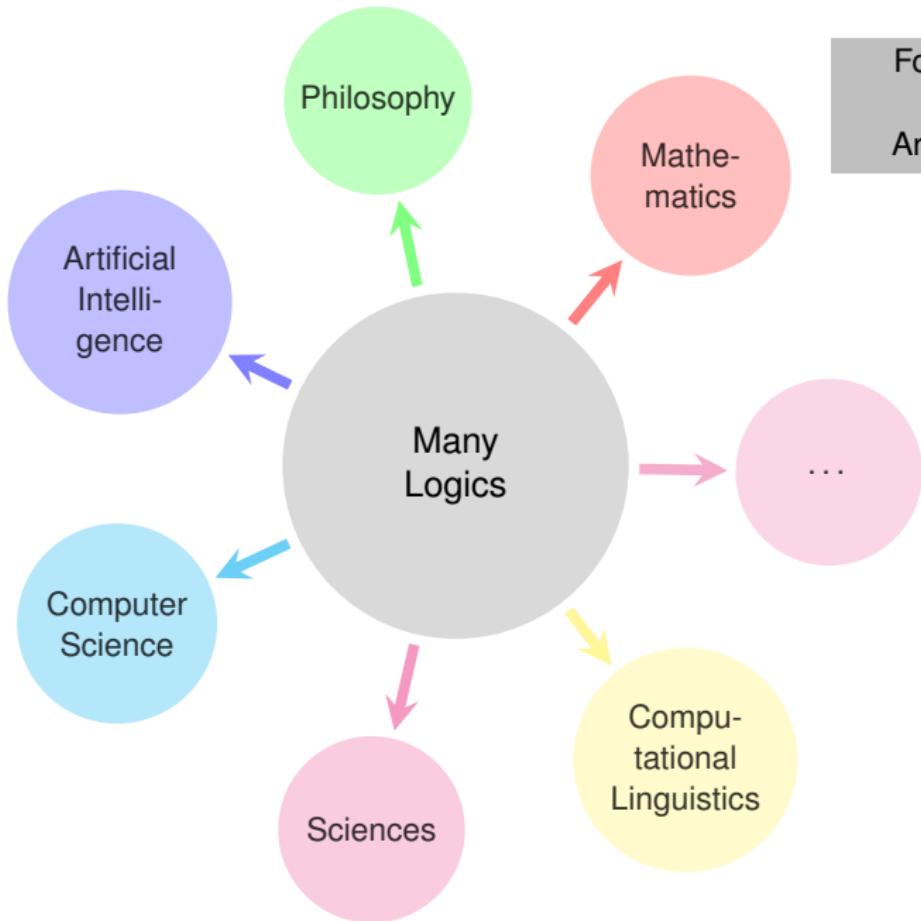
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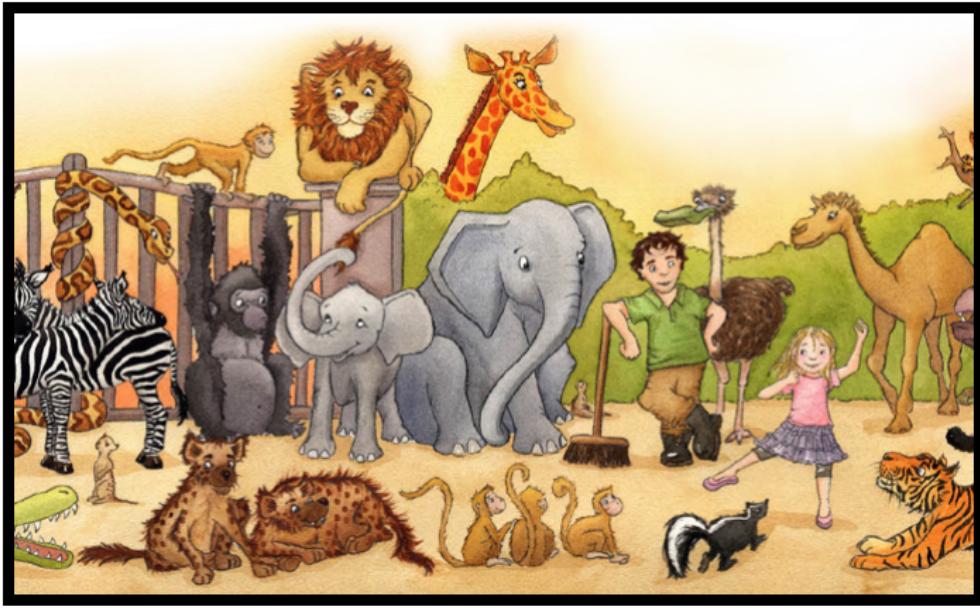
Part B — Technology: Universal Logical Reasoning in Higher-Order Logic

Foundation for
Rational
Argumentation



Foundation for Rational Argumentation





Logic Zoo

Example: Modal Logic Textbook



STUDIES IN LOGIC
AND
PRACTICAL REASONING

VOLUME 3

D.M. GABBAY / P. GARDENFORS / J. SIEKMANN / J. VAN BENTHEM / M. VARDI / J. WOODS

EDITORS

*Handbook of
Modal Logic*

2 BASIC MODAL LOGIC

In this section we introduce the basic modal language and its relational semantics. We define basic modal syntax, introduce models and frames, and give the satisfaction definition. We then draw the reader's attention to the internal perspective that modal languages offer on relational structure, and explain why models and frames should be thought of as graphs. Following this we give the standard translation. This enables us to convert any basic modal formula into a first-order formula with one free variable. The standard translation is a bridge between the modal and classical worlds, a bridge that underlies much of the work of this chapter.

2.1 First steps in relational semantics

Suppose we have a set of proposition symbols (whose elements we typically write as p, q, r and so on) and a set of modality symbols (whose elements we typically write as $m, m', m'',$ and so on). The choice of PROP and MOD is called the *signature* (or *similarity type*) of the language; in what follows we'll tacitly assume that PROP is denumerably infinite, and we'll often work with signatures in which MOD contains only a single element. Given a signature, we define the *basic modal language* (over the signature) as follows:

$$\varphi ::= p \mid \top \mid \perp \mid \neg\varphi \mid \varphi \wedge \psi \mid \varphi \vee \psi \mid \varphi \rightarrow \psi \mid \varphi \leftrightarrow \psi \mid \langle m \rangle \varphi \mid [m]\varphi.$$

That is, a basic modal formula is either a proposition symbol, a boolean constant, a boolean combination of basic modal formulas, or (most interesting of all) a formula prefixed by a diamond

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Syntax

Metalanguage

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Example: Modal Logic Textbook

A model (or Kripke model) \mathfrak{M} for the basic modal language (over some fixed signature) is a triple $\mathfrak{M} = (W, \{R^m\}_{m \in \text{MOD}}, V)$. Here W , the *domain*, is a non-empty set, whose elements we usually call *points*, but which, for reasons which will soon be clear, are sometimes called *states*, *times*, *situations*, *worlds* and other things besides. Each R^m in a model is a binary relation on W , and V is a function (the valuation) that assigns to each proposition symbol p in PROP a subset $V(p)$ of W ; think of $V(p)$ as the set of points in \mathfrak{M} where p is true. The first two components $(W, \{R^m\}_{m \in \text{MOD}})$ of \mathfrak{M} are called the *frame* underlying the model. If there is only one relation in the model, we typically write (W, R) for its frame, and (W, R, V) for the model itself. We encourage the reader to think of Kripke models as graphs (or to be slightly more precise, *directed graphs*, that is, graphs whose points are linked by directed arrows) and will shortly give some examples which show why this is helpful.

Suppose w is a point in a model $\mathfrak{M} = (W, \{R^m\}_{m \in \text{MOD}}, V)$. Then we inductively define the notion of a formula φ being *satisfied* (or *true*) in \mathfrak{M} at point w as follows (we omit some of the clauses for the booleans):

$\mathfrak{M}, w \models p$	iff	$w \in V(p)$,
$\mathfrak{M}, w \models \top$		always,
$\mathfrak{M}, w \models \perp$		never,
$\mathfrak{M}, w \models \neg\varphi$	iff	not $\mathfrak{M}, w \models \varphi$ (notation: $\mathfrak{M}, w \not\models \varphi$),
$\mathfrak{M}, w \models \varphi \wedge \psi$	iff	$\mathfrak{M}, w \models \varphi$ and $\mathfrak{M}, w \models \psi$,
$\mathfrak{M}, w \models \varphi \rightarrow \psi$	iff	$\mathfrak{M}, w \not\models \varphi$ or $\mathfrak{M}, w \models \psi$,
$\mathfrak{M}, w \models \langle m \rangle \varphi$	iff	for some $v \in W$ such that $R^m w v$ we have $\mathfrak{M}, v \models \varphi$,
$\mathfrak{M}, w \models [m] \varphi$	iff	for all $v \in W$ such that $R^m w v$ we have $\mathfrak{M}, v \models \varphi$.

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Metalanguage

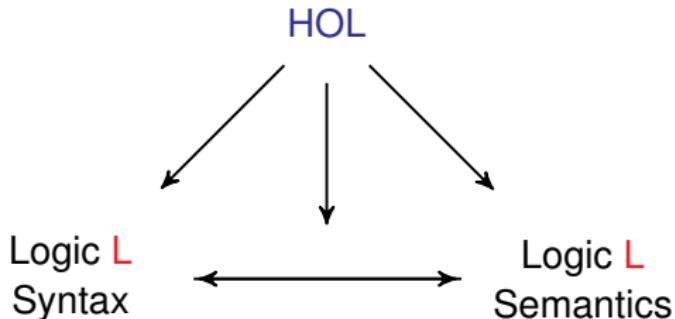
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$\mathfrak{M}, w \models \varphi \wedge \psi$	iff	$\mathfrak{M}, w \models \varphi$ and $\mathfrak{M}, w \models \psi$,
$\mathfrak{M}, w \models \varphi \rightarrow \psi$	iff	$\mathfrak{M}, w \not\models \varphi$ or $\mathfrak{M}, w \models \psi$,
$\mathfrak{M}, w \models \langle m \rangle \varphi$	iff	for some $v \in W$ such that $R^m w v$ we have $\mathfrak{M}, v \models \varphi$,
$\mathfrak{M}, w \models [m] \varphi$	iff	for all $v \in W$ such that $R^m w v$ we have $\mathfrak{M}, v \models \varphi$.

Universal Logical Reasoning in Meta-Logic HOL



Examples for L we have already studied:

Intuitionistic Logics, (Mathematical) Fuzzy Logics, Free Logic, Modal Logics, Description Logics, Conditional Logics, Access Control Logics, Hybrid Logics, Multivalued Logics, Logics with Neighborhood Semantics, Paraconsistent Logics, Dyadic Deontic Logic, ...

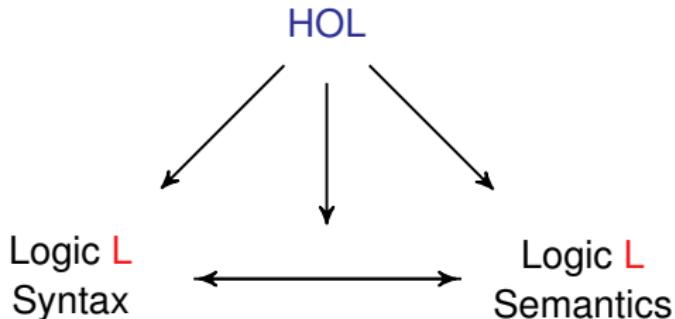
Embedding works also for quantifiers (first-order & higher-order)

HOL provers become universal logic reasoning engines!

interactive: Isabelle/HOL, PVS, HOL4, Hol Light, Coq/HOL, ...

automated: Leo-III, LEO-II, Satallax, TPS, Nitpick, Isabelle/HOL, ...

Universal Logical Reasoning in Meta-Logic HOL



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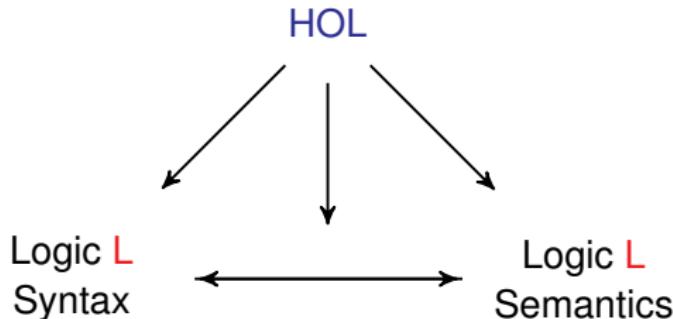
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Isabelle/HOL (one of various Theorem Provers for HOL)



Isabelle

UNIVERSITY OF
CAMBRIDGE
Computer Laboratory

TUM
TECHNISCHE UNIVERSITÄT MÜNCHEN

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[Sydney \(au\)](#)
[Potsdam, NY \(us\)](#)

What is Isabelle?

Isabelle is a generic proof assistant. It allows mathematical formulas to be expressed in a formal language and provides tools for proving those formulas in a logical calculus. Isabelle was originally developed at the [University of Cambridge](#) and [Technische Universität München](#), but now includes numerous contributions from institutions and individuals worldwide. See the [Isabelle overview](#) for a brief introduction.

Now available: Isabelle2017 (October 2017)

 Download for Mac OS X

[Download for Linux](#) - [Download for Windows \(32bit\)](#) - [Download for Windows \(64bit\)](#) - [Download for Mac OS X](#)

Some notable changes:

- Experimental support for Visual Studio Code as alternative PIDE front-end.
- Improved Isabelle/Edit Prover IDE: management of session sources independently of editor buffers, removal of unused theories, explicit indication of theory status, more careful auto-indentation.
- Session-qualified theory imports.
- Code generator improvements: support for statically embedded computations.
- Numerous HOL library improvements.
- More material in HOL-Algebra, HOL-Computational_Algebra and HOL-Analysis (ported from HOL-Light).
- Improved Nunchaku model finder, now in main HOL.
- SQL database support in Isabelle/Scala.

See also the cumulative [NEWS](#).

Distribution & Support

Isabelle is distributed for free under a conglomerate of open-source licenses, but the main code-base is subject to BSD-style regulations. The application bundles include source and binary packages and documentation, see the detailed [Installation Instructions](#). A vast collection of Isabelle examples and applications is available from the [Archive of Formal Proofs](#).

<https://isabelle.in.tum.de>
many other systems:

Coq, HOL, HOL Light, PVS, Lean, NuPrL, IMPS, ACL2, **Leo-II/Leo-III**, ...

Universal Logical Reasoning in Isabelle/HOL

The screenshot shows the Isabelle/HOL IDE interface with the file `GodProof.thy` open. The code defines various logical connectives and operators using shallow embedding in HOL. The interface includes a toolbar with icons for file operations, a navigation bar, and a vertical sidebar with tabs for Documentation, Sidekick, State, and Theories.

```
1 theory GodProof imports Main
2 begin
3   typedecl i -- "type for possible worlds"
4   typedecl μ -- "type for individuals"
5   type_synonym σ = "(i⇒bool)"
6
7 (* Shallow embedding modal logic connectives in HOL *)
8 abbreviation mneg ("¬"[52]53) where "¬φ ≡ λw. ¬φ(w)"
9 abbreviation mand (infixr "∧"51) where "φ ∧ ψ ≡ λw. φ(w) ∧ ψ(w)"
10 abbreviation mor (infixr "∨"50) where "φ ∨ ψ ≡ λw. φ(w) ∨ ψ(w)"
11 abbreviation mimp (infixr "→"49) where "φ → ψ ≡ λw. φ(w) → ψ(w)"
12 abbreviation mequ (infixr "↔"48) where "φ ↔ ψ ≡ λw. φ(w) ←→ ψ(w)"
13 abbreviation mnegpred ("¬_"[52]53) where "¬Φ ≡ λx. λw. ¬Φ(x)(w)"
14
15 (* Generic box and diamond operators *)
16 abbreviation mboxgen ("□") where "□r φ ≡ λw. ∀v. r w v → φ(v)"
17 abbreviation mdiaggen ("◇") where "◇r φ ≡ λw. ∃v. r w v ∧ φ(v)"
18
19 (* Shallow embedding of constant domain quantifiers in HOL *)
20 abbreviation mall_const ("∀c") where "∀c Φ ≡ λw. ∀x. Φ(x)(w)"
21 abbreviation mallB_const (binder "∀c"[8]9) where "∀c x. φ(x) ≡ ∀c φ"
22 abbreviation mexi_const ("∃c") where "∃c Φ ≡ λw. ∃x. Φ(x)(w)"
23 abbreviation mexiB_const (binder "∃c"[8]9) where "∃c x. φ(x) ≡ ∃c φ"
24
25 (* Global validity: truth in all possible worlds *)
26 abbreviation mvalid :: "σ ⇒ bool" ("_|"[7]110) where "|p| ≡ ∀w. p w"
27
28 (* Shallow embedding of varying domain quantifiers in HOL *)
```

At the bottom, there is a menu bar with tabs for Output, Query, Sledgehammer, and Symbols.

Universal Logical Reasoning in Isabelle/HOL

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φ_o lifted to $\varphi_{i \rightarrow o}$ ("truth sets")

$$\varphi_{i \rightarrow o} \vee \psi_{i \rightarrow o} = \lambda w_i (\varphi w \vee \psi w) \quad \text{encodes: } \{w \mid w \in \varphi \text{ or } w \in \psi\}$$

$$\forall = \lambda \varphi_{i \rightarrow o} \lambda \psi_{i \rightarrow o} . \lambda w_i (\varphi w \vee \psi w)$$

$$\Box \varphi_{i \rightarrow o} = \lambda w_i \forall y_i (w \, r \, y \rightarrow \varphi y)$$

$$\Box = \lambda \varphi_{i \rightarrow o} \lambda w_i \forall y_i (w \, r \, y \rightarrow \varphi y)$$

In HOL $\forall x_\mu. \varphi_o$ is syntactic sugar for $\overbrace{\Pi(\lambda x_\mu \varphi_o)}^{\phi_{\mu \rightarrow o}}$

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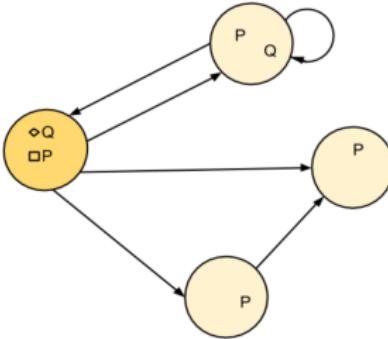
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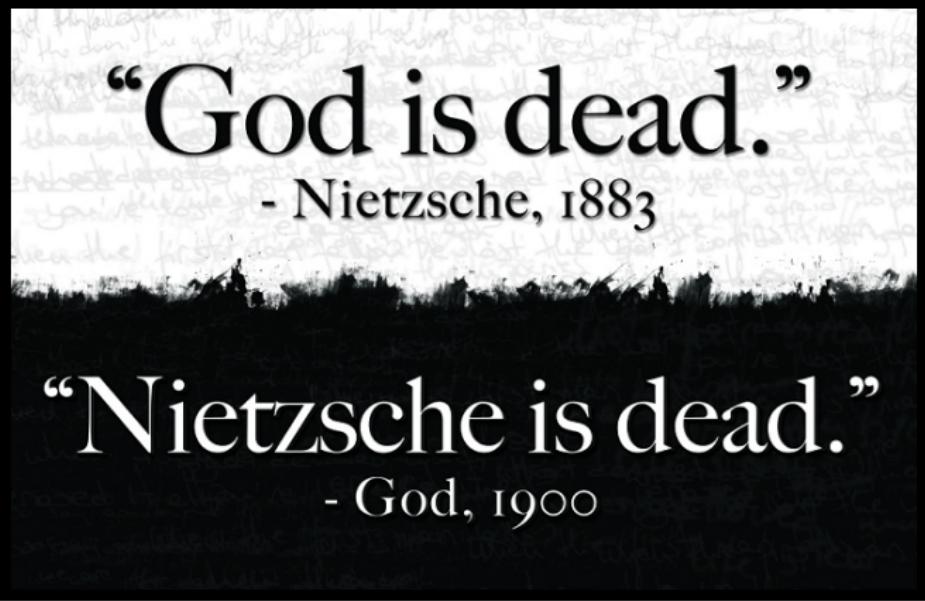
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Universal Logical Reasoning in Isabelle/HOL

Properties of \Box and \Diamond correlated to structure of transition system between worlds



- ▶ Logic K: — (no restrictions, any structure)
- ▶ Logic M: reflexiv transition relation, $\forall P. \Box P \rightarrow P$
- ▶ Logic KB: symmetric transition relation, $\forall P. P \rightarrow \Box \Diamond P$
- ▶ Logic S5: equivelance relation as transition system, add $\forall P. \Box P \rightarrow \Box \Box P$
- ▶ Logic D: serial transition relation, $\forall P. \Box P \rightarrow \Diamond P$ (**Standard Deontic Logic**)
(alternatively: $\forall P. \neg(\Box P \wedge \Box \neg P)$)



“God is dead.”

- Nietzsche, 1883

“Nietzsche is dead.”

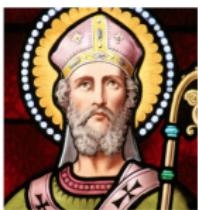
- God, 1900

Part C — Evidence: Experiments in Computational Metaphysics

[BenzmüllerWoltzenlogelPaleo, ECAI, 2014 + IJCAI, 2016 + KI 2016 + ...]

Ontological Proofs of God's Existence

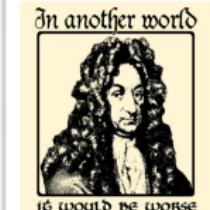
A Long and Continuing Tradition in Philosophy



St. Anselm



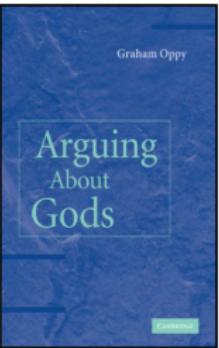
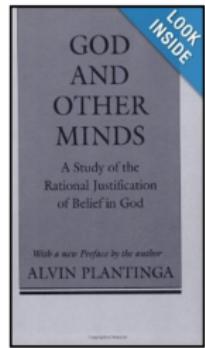
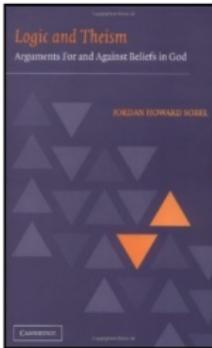
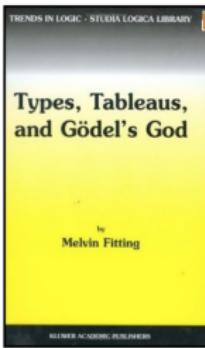
Descartes



Leibniz



Gödel



Computational Metaphysics: Kurt Gödel's Ontological Argument



Ontologischer Beweis

Feb 10, 1970

$P(\varphi)$ φ is positive ($\Leftrightarrow \varphi \in P$)

At 1 $P(\varphi), P(\psi) \vdash P(\varphi \wedge \psi)$ At 2 $P(\varphi) \vdash P(\neg \varphi)$

$\underline{P_1} \quad G(x) \equiv (\varphi)[P(\varphi) \supset \varphi(x)]$ (God)

$\underline{P_2} \quad \varphi \text{ Emx } \equiv (\psi)[\psi(x) \supset N(y)[P(y) \supset \psi(y)]]$ (Emx \neq x)

$P \supset_N q = N(p \supset q)$ Necessity

At 2 $\begin{array}{l} P(\varphi) \supset N P(\varphi) \\ \sim P(\varphi) \supset N \sim P(\varphi) \end{array} \left. \begin{array}{l} \text{because it follows} \\ \text{from the nature of the} \\ \text{property} \end{array} \right\}$

Th. $G(x) \supset G \text{ Em. } x$

Df. $E(x) \equiv (\varphi)[\varphi \text{ Emx} \supset N \exists x \varphi(x)]$ necessary Existence

At 3 $P(E)$

Th. $G(x) \supset N(\exists y) G(y)$

hence $(\exists x) G(x) \supset N(\exists y) G(y)$

" $M(\exists x) G(x) \supset M N(\exists y) G(y)$ Mi = permuting
" $\supset N(\exists y) G(y)$

any two instances of x are nec. equivalent

exclusive or * and for any number of numerants

$M(\exists x) G(x)$ means "the system of all pos. φ s" This is the

At 4: $P(\varphi), \varphi \supset \psi$:

$\begin{cases} x=x & \text{is positive} \\ x \neq x & \text{is negative} \end{cases}$

But if a system S of pos. prop. were incongruous it would mean, that the num.prop. s (which is positive) would be $x \neq x$

Positive means positive in the moral aesthetic sense (independently of the accidental structure of the world). Only \neg the at. time. It may also mean "affirmation" as opposed to "privation" (or crushing of privation). This supports the pl. part

$\neg \varphi$ is negative ($\neg (\varphi \wedge \psi)$) Other wise $\varphi(x) \supset x \neq x$

hence $x \neq x$ (positive) $\neg x \neq x$ (negative) At. or the opposite of φ ($\neg \varphi$)

i.e. the formal form in terms of elem. prop. contains a Member without negation.

Computational Metaphysics: Kurt Gödel's Ontological Argument



Ontologischer Beweis FEB 10, 1970
P(φ) if is positive ($\Leftrightarrow \phi \in P$)

$M(x) G(x)$ means all pos
in the system
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Computational Metaphysics: Dana Scott's Variant

Axiom A1 Either a property or its negation is positive, but not both: $\forall \phi [P(\neg\phi) \leftrightarrow \neg P(\phi)]$

Axiom A2 A property necessarily implied by a positive property is positive: $\forall \phi \forall \psi [(P(\phi) \wedge \Box \forall x[\phi(x) \rightarrow \psi(x)]) \rightarrow P(\psi)]$

Thm. T1 Positive properties are possibly exemplified: $\forall \phi [P(\phi) \rightarrow \Diamond \exists x \phi(x)]$

Def. D1 A God-like being possesses all positive properties: $G(x) \leftrightarrow \forall \phi [P(\phi) \rightarrow \phi(x)]$

Axiom A3 The property of being God-like is positive: $P(G)$

Cor. C Possibly, God exists: $\Diamond \exists x G(x)$

Axiom A4 Positive properties are necessarily positive: $\forall \phi [P(\phi) \rightarrow \Box P(\phi)]$

Def. D2 An essence of an individual is a property possessed by it and necessarily implying any of its properties: $\phi \text{ ess. } x \leftrightarrow \phi(x) \wedge \forall \psi (\psi(x) \rightarrow \Box \forall y (\phi(y) \rightarrow \psi(y)))$

Thm. T2 Being God-like is an essence of any God-like being: $\forall x [G(x) \rightarrow G \text{ ess. } x]$

Def. D3 Necessary existence of an individual is the necessary exemplification of all its essences: $NE(x) \leftrightarrow \forall \phi [\phi \text{ ess. } x \rightarrow \Box \exists y \phi(y)]$

Axiom A5 Necessary existence is a positive property: $P(NE)$

Thm. T3 Necessarily, God exists: $\Box \exists x G(x)$



Computational Metaphysics: Kurt Gödel's Ontological Argument



Ontologischer Beweis FEB 10, 1970
P(φ) if is positive ($\Leftrightarrow \phi \in P$)

$M(x) G(x)$ means all pos
in the system
This is the

Computational Metaphysics: Dana Scott's Variant

Axiom A1 Either a property or its negation is positive, but not both: $\forall \phi [P(\neg\phi) \leftrightarrow \neg P(\phi)]$

Axiom A2 A property necessarily implied by a positive property is positive: $\forall \phi \forall \psi [(P(\phi) \wedge \Box \forall x[\phi(x) \rightarrow \psi(x)]) \rightarrow P(\psi)]$

Thm. T1 Positive properties are possibly exemplified: $\forall \phi [P(\phi) \rightarrow \Diamond \exists x \phi(x)]$

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inconsistent

Computational Metaphysics: Kurt Gödel's Ontological Argument



Ontologischer Beweis Feb 10, 1970
P(φ) if is positive ($\Leftrightarrow \varphi \in P$)

$M(x) G(x)$ means all pos
in the system
This is the

$\Diamond \psi$:
is positive
is negative

if pos. prop. were in con-
that the num prop. is (which
be $x \neq x$)

positive in the moral aesth.
the accidental structure of
the at. time. It m.
an answer to "What is
This

inconsistent

Computational Metaphysics: Dana Scott's Variant

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Axiom A2 A property necessarily implied by a positive property is positive: $\forall \phi \forall \psi [(P(\phi) \wedge \Box \forall x[\phi(x) \rightarrow \psi(x)]) \rightarrow P(\psi)]$

- Theorem Def. Axiom Cor. Axiom Def.
- ▶ consistent
 - ▶ argument valid already in logic KB
 - ▶ monotheism
 - ▶ modal collapse ($\varphi \rightarrow \Box \varphi$)—no free will

Thm. T2 Being God-like is an essence of any God-like being: $\forall x[G(x) \rightarrow G \text{ ess. } x]$

Def. D3 Necessary existence of an individual is the necessary exemplification of all its essences: $NE(x) \leftrightarrow \forall \phi [\phi \text{ ess. } x \rightarrow \Box \exists y \phi(y)]$

Axiom A5 Necessary existence is a positive property:

Thm. T3 Necessarily, God exists:



$P(NE)$

$\Box \exists x G(x)$

Computational Metaphysics: Vision of Leibniz (1646–1716) — *Calculemus!*



If controversies were to arise, there would be no more need of disputation between two philosophers than between two accountants. For it would suffice to take their pencils in their hands, to sit down to their slates, and to say to each other ...: Let us calculate.

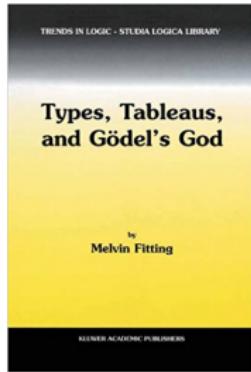
(Translation by Russell)

Quo facto, quando orientur controversiae, non magis disputatione opus erit inter duos philosophos, quam inter duos Computistas. Sufficiet enim calamos in manus sumere sedereque ad abacos, et sibi mutuo ... dicere: calculemus.
(Leibniz, 1684)



Required:
characteristica universalis and **calculus ratiocinator**

Further Experiments



Melvin Fitting (New York)

Ontological Argument
(avoids modal collapse)

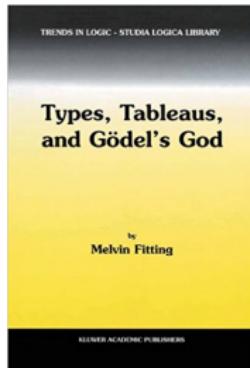
Intensional higher-order modal logic

Verified (main chapters)



David Fuenmayor
(Philosophy, FU Berlin)

Further Experiments



Melvin Fitting (New York)

Ontological Argument
(avoids modal collapse)

Intensional higher-order modal logic

Verified (main chapters)



David Fuenmayor
(Philosophy, FU Berlin)



Ed Zalta (Stanford)

Principia Logico-Metaphysica

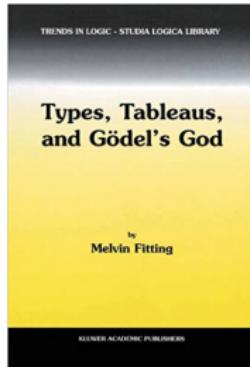
Hyperintensional higher-order modal logic

Inconsistency/Paradox detected



Daniel Kirchner
(Mathematics, FU Berlin)

Further Experiments



Melvin Fitting (New York)

Ontological Argument
(avoids modal collapse)

Intensional higher-order modal logic

Verified (main chapters)



David Fuenmayor
(Philosophy, FU Berlin)



Ed Zalta (Stanford)

Principia Logico-Metaphysica

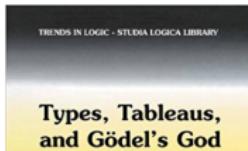
Hyperintensional higher-order modal logic

Inconsistency/Paradox detected



Daniel Kirchner
(Mathematics, FU Berlin)

Further Experiments



Ontological Argument
(avoids modal collapse)



Kirchner Paradox

Daniel & Isabelle/HOL are now closely collaborating with Ed Zalta

Computational Metaphysics par excellence!!!



Ed Zalta (Stanford)

Principia Logico-Metaphysica

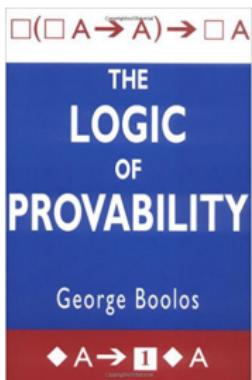
Hyperintensional higher-order modal logic

Inconsistency/Paradox detected



Daniel Kirchner
(Mathematics, FU Berlin)

Further Experiments



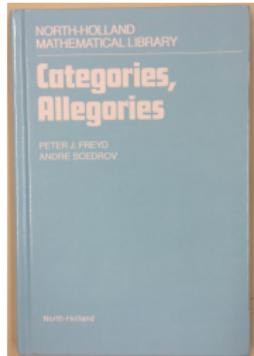
Textbook on Provability Logic

Provability Logic

Various parts verified



David Streit
(Mathematics, FU Berlin)



Category Theory

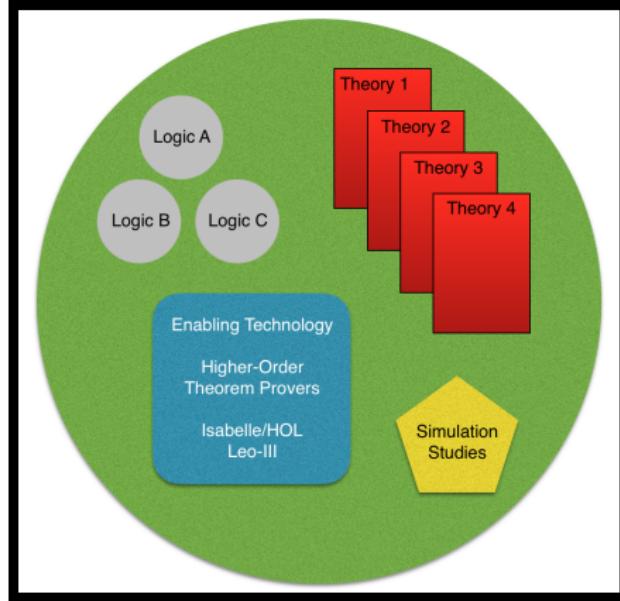
Free first-order logic

(Constricted) Inconsistency detected



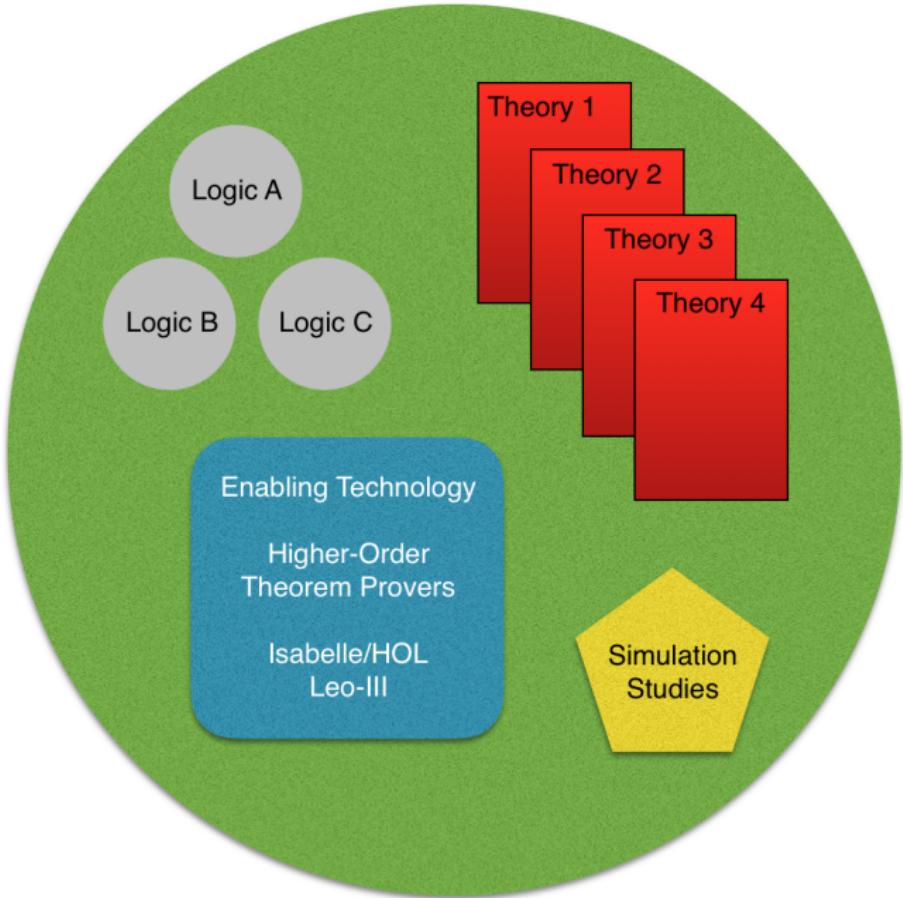
D. Scott
(UC Berkeley)

Papers on these topics: <http://christoph-benzmueller.de> → Publications



Part D — Demo(s): Normative Reasoning Experimentation Platform

Demo(s): Normative Reasoning Experimentation Platform



Demo(s): Normative Reasoning Experimentation Platform

Demo I

- ▶ Standard Deontic Logic (SDL) in Isabelle/HOL
- ▶ Dyadic Deontic Logic (DDL) in Isabelle/HOL
- ▶ Preference-based DDL in Isabelle/HOL

Demo II

- ▶ Input/Output-Logic in Isabelle/HOL

Demo III

- ▶ Gewirth's Principle of Generic Consistency (PGC) in Isabelle/HOL

Demo IV

- ▶ Native Support for Deontic Logic(s) in Leo-III

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Demo IV

- ▶ Native Support for Deontic Logic(s) in Leo-III

The screenshot shows the Isabelle/HOL interface with the theory file 'SDL.thy' open. The code defines various modal operators and properties:

```

1 theory SDL imports Main          (* Christoph Benzmueller & Xavier Parent, 2018 *)
2
3 begin (* SDL: Standard Deontic Logic (Modal Logic D) *)
4 typecl型 i (*type for possible worlds*) type_synonym σ = "(i⇒bool)"
5 consts r::"i⇒i⇒bool" (infixr "r"70) (*Accessibility relation.*) cw::i (*Current world.*)
6
7 abbreviation mtop ("T") where "T ≡ λw. True"
8 abbreviation mbot ("⊥") where "⊥ ≡ λw. False"
9 abbreviation mnnot ("¬"[52]53) where "¬φ ≡ λw. ¬φ(w)"
10 abbreviation mand (infixr "∧"51) where "φ∧ψ ≡ λw. φ(w) ∧ ψ(w)"
11 abbreviation mor (infixr "∨"50) where "φ∨ψ ≡ λw. φ(w) ∨ ψ(w)"
12 abbreviation mimp (infixr "→"49) where "φ→ψ ≡ λw. φ(w) → ψ(w)"
13 abbreviation mequ (infixr "↔"48) where "φ↔ψ ≡ λw. φ(w) ↔ ψ(w)"
14 abbreviation mobligatory ("OB") where "OB φ ≡ λw. ∀v. w r v → φ(v)" (*obligatory*)
15 abbreviation mpermissible ("PE") where "PE φ ≡ ¬(OB(¬φ))" (*permissible*)
16 abbreviation mimpermissible ("IM") where "IM φ ≡ OB(¬φ)" (*impermissible*)
17 abbreviation omissible ("OM") where "OM φ ≡ ¬(OB φ)" (*omissible*)
18 abbreviation moptional ("OP") where "OP φ ≡ (¬(OB φ) ∧ ¬(OB(¬φ)))" (*optional*)
19
20 abbreviation ddlderived::"σ ⇒ bool" ("[_]"[7]105)      (*Global Validity*)
21   where "[A] ≡ ∀w. A w"
22 abbreviation ddlderivedcw::"σ ⇒ bool" ("[_]cw"[7]105) (*Local Validity (in cw)*)
23   where "[A]cw ≡ A cw"
24
25 (* The D axiom is postulated *)
26 axiomatization where D: "[¬ ((OB φ) ∧ (OB (¬ φ)))]"
27
28 (* Meta-level study: D corresponds to seriality *)
29 lemma "[¬ ((OB φ) ∧ (OB (¬ φ)))] ← (λw. ∃v. w r v)" by auto
30
31 (* Standardised syntax: unary operator for obligation in SDL *)
32 abbreviation obligatorySDL::"σ⇒σ" ("0(_)") where "0(A) ≡ OB A"
33
34 (* Consistency *)
35 lemma True nitpick [satisfy] oops

```

The right sidebar shows navigation links: Documentation, Sidekick, State, Theories.

Bottom navigation bar: Output, Query, Sledgehammer, Symbols.

Completeness and decidability results for a logic of contrary-to-duty conditionals

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Abstract

This article has two parts. In Part I, we briefly outline the analysis of ‘contrary-to-duty’ obligation sentences presented in our 2002 handbook chapter ‘Deontic logic and contrary-to-duties’, with a focus on the intuitions that motivated the basic formal-logical moves we made. We also explain that the present account of the theory differs in two significant respects from the earlier version, one terminological, the other concerning the way the constituent modalities interconnect. Part II is the principal contribution of this article, in which we show that it is possible to define a complete and decidable axiomatization for the Carmo and Jones logic, a problem that was still open. The axiomatization includes two new inference rules; we illustrate their use in proofs, and show that on the basis of this axiomatization we can recover all the axioms and rules considered in ‘Deontic logic and contrary-to-duties’, and used there in the analysis of contrary-to-duty conditional scenarios.

Keywords: deontic logic, contrary-to-duty conditionals (CTDs), completeness and decidability results.

Completeness and decidability results for a logic of contrary-to-duty conditionals

2.2 Section 2. Semantics

Our *models* are structures $M = \langle W, av, pv, ob, V \rangle$, where:

- (1) W is a non-empty set.
- (2) V is a function assigning a truth set to each atomic sentence (i.e. $V(q) \subseteq W$).
- (3) ‘av’ is a function (where $\wp(W)$ denotes the power set of W)
 - av : $W \rightarrow \wp(W)$
 - such that (where w denotes an arbitrary element of W):

$$(3a) \quad av(w) \neq \emptyset$$

- (4) $pv : W \rightarrow \wp(W)$ is such that:

$$(4a) \quad av(w) \subseteq pv(w)$$

$$(4b) \quad w \in pv(w)$$

- (5) and $ob : \wp(W) \rightarrow \wp(\wp(W))$ is such that (where X, Y, Z designate arbitrary subsets of W)⁷:

$$(5a) \quad \emptyset \notin ob(X)$$

$$(5b) \quad \text{if } Y \cap X = Z \cap X, \text{ then } (Y \in ob(X) \text{ iff } Z \in ob(X))$$

$$(5c^*) \quad \text{Let } \beta \subseteq ob(X) \text{ and } \beta \neq \emptyset, \text{ i.e. let } \beta \text{ be a non-empty set of elements of } ob(X).$$

$$\begin{aligned} &\text{If } (\cap \beta) \cap X \neq \emptyset \text{ (where } \cap \beta = \{w \in W : \forall_{Z \in \beta} w \in Z\}) \\ &\text{then } (\cap \beta) \in ob(X) \end{aligned}$$

$$(5d) \quad \text{if } Y \subseteq X \text{ and } Y \in ob(X) \text{ and } X \subseteq Z, \text{ then } ((Z-X) \cup Y) \in ob(Z)$$

$$(5e) \quad \text{if } Y \subseteq X \text{ and } Z \in ob(X) \text{ and } Y \cap Z \neq \emptyset, \text{ then } Z \in ob(Y)$$

ig, University
Madeira,

ondon

sentences presented in
motivated the basic
significant respects from
connect. Part II is the
the axiomatization for
rules; we illustrate
rules considered in
narios.

Completeness and decidability results for a logic of contrary-to-duty conditionals

Given a model $M = \langle W, \dots \rangle$, the elements of W are designated by *worlds* and (as above) in what follows we will use w, v, \dots to denote arbitrary worlds and X, Y, Z to denote arbitrary sets of worlds. Intuitively: $av(w)$ denotes the set of actual versions of the world w ; $pv(w)$ denotes the set of potential versions of the world w ; and $ob(X)$ denotes the set of propositions which are obligatory in context X .

We write $M \models_w A$ to denote that formula A is true in the world w of a model $M = \langle W, av, pv, ob, V \rangle$, and we define $\|A\|^M = \{w \in W : M \models_w A\}$. In order to simplify the presentation, whenever the model M is obvious from the context, we write $\|A\|$ instead of $\|A\|^M$.

Truth in a world w in a model $M = \langle W, av, pv, ob, V \rangle$ is characterized as follows:

- | | | |
|------------------------|-----|--|
| $M \models_w p$ | iff | $w \in V(p)$ |
| ... | | (the usual truth conditions for the connectives $\neg, \wedge, \vee, \rightarrow$ and \leftrightarrow) |
| $M \models_w \Box A$ | iff | $\ A\ = W$ |
| $M \models_w \Box_a A$ | iff | $av(w) \subseteq \ A\ $ |
| $M \models_w \Box_p A$ | iff | $pv(w) \subseteq \ A\ $ |
| $M \models_w O(B/A)$ | iff | $\ A\ \cap \ B\ \neq \emptyset$ and $(\forall X)(if\ X \subseteq \ A\ \text{ and } X \cap \ B\ \neq \emptyset, \text{ then } \ B\ \in ob(X))$ |
| $M \models_w O_a A$ | iff | $\ A\ \in ob(av(w)) \text{ and } av(w) \cap \ \neg A\ \neq \emptyset$ |
| $M \models_w O_p A$ | iff | $\ A\ \in ob(pv(w)) \text{ and } pv(w) \cap \ \neg A\ \neq \emptyset$ |

A sentence A is said to be *true in a model $M = \langle W, av, pv, ob, V \rangle$* , written $M \models A$, iff $\|A\|^M = W$; and A is said to be *valid*, written $\models A$, iff $M \models A$ in all models M .

Demo I: DDL in Isabelle/HOL

[see other DEON paper]

```

theory DDL imports Main
begin (* DDL: Dyadic Deontic Logic by Carmo and Jones *)
typedecl i (*type for possible worlds*) type_synonym σ = "i⇒bool"
consts av::"i⇒σ" pv::"σ⇒(σ⇒bool)" (*accessibility relations*) cw::i (*current world*)
axiomatization where
  ax_3a: "∃x. av(w)(x)" and ax_4a: "∀x. av(w)(x) → pv(w)(x)" and ax_4b: "pv(w)(w)" and
  ax_5a: "¬ob(X)(x). False)" and
  ax_5b: "(∀w. ((Y(w) → X(w)) → (Z(w) ∧ X(w)))) → (ob(X)(Y) → ob(X)(Z))" and
  ax_5c: "((Y. β(Z) → ob(X)(Z)) ∧ (∃Z. β(Z))) →
    (((y. ((Aw. ∀Z. (β Z) → (Z w)) ∧ X(y)) → ob(X)(λw. ∀Z. (β Z) → (Z w))))" and
  ax_5d: "((∀w. Y(w) → X(w)) ∧ ob(X)(Y) ∧ (∀w. X(w) → Z(w)))
    → ob(Z)(λw. (Z(w) ∧ ¬X(w)) ∨ Y(w))" and
  ax_5e: "((∀w. Y(w) → X(w)) ∧ ob(X)(Z) ∧ (∃w. Y(w) ∧ Z(w))) → ob(Y)(Z)"
abbreviation ddlneg ("¬_[52]53") where "¬A ≡ λw. ¬A(w)"
abbreviation ddland (infixr "∧" 51) where "A ∧ B ≡ λw. A(w) ∧ B(w)"
abbreviation ddlor (infixr "∨" 50) where "A ∨ B ≡ λw. A(w) ∨ B(w)"
abbreviation ddlimp (infixr "→" 49) where "A → B ≡ λw. A(w) → B(w)"
abbreviation ddlequiv (infixr "↔" 48) where "A ↔ B ≡ λw. A(w) ↔ B(w)"
abbreviation ddbox ("□") where "□A ≡ λw. ∀v. A(v)" (*A = (λw. True)*)
abbreviation ddboxa ("□a") where "□a ≡ λw. (Y. av(w)(x) → A(x))" (*in all actual worlds*)
abbreviation ddboxp ("□o") where "□o ≡ λw. (Y. pv(w)(x) → A(x))" (*in all potential worlds*)
abbreviation ddldia ("◇") where "◇A ≡ ▦(¬A)"
abbreviation ddldiaa ("◇a") where "◇a ≡ ▦(¬a)"
abbreviation ddldiap ("◇o") where "◇o ≡ ▦(¬o(¬A))"
abbreviation ddlo ("O[_)") [52]53 where "O[B|A] ≡ λw. ob(A)(B)" (*it ought to be w, given φ*)
abbreviation ddloa ("Oa") where "Oa ≡ λw. ▦(ob(av(w))(A) ∧ (∃x. av(w)(x) ∧ ¬A(x)))" (*actual obligation*)
abbreviation ddlop ("Oo") where "Oo ≡ λw. ▦(ob(pv(w))(A) ∧ (∃x. pv(w)(x) ∧ ¬A(x)))" (*primary obligation*)
abbreviation ddltop ("T") where "T ≡ λw. True"
abbreviation ddbot ("⊥") where "⊥ ≡ λw. False"
abbreviation ddvalid::"σ ⇒ bool" ("[_]" [7]105) where "[A] ≡ λw. A w" (*Global validity*)
abbreviation ddlidcw::"σ ⇒ bool" ("[_]cw" [7]105) where "[A]cw ≡ A cw" (*Local validity (in cw)*)
(* A is obligatory *)
abbreviation obligatoryDDL::"σ ⇒ σ" ("O[_)") where "O(A) ≡ O(A|T)"
(* Consistency *)
lemma True nitpick [satisfy] oops

```

The screenshot shows the Isabelle/HOL IDE interface with the file `GDPR.thy` open. The code defines obligations related to data processing lawfully, erasing data, and killing a boss, and includes experiments for consistency and inconsistency checks.

```

1 theory GDPR imports SDL
2 begin (** GDPR Example **)
3 consts process_data_lawfully::σ erase_data::σ kill_boss::σ
4
5 axiomatization where
6   (* It is an obligation to process data lawfully. *)
7   A1: "[0(process_data_lawfully)]" and
8   (* Implicit: It is an obligation to keep the data if it was processed lawfully. *)
9   Implicit: "[0(process_data_lawfully → ¬erase_data)]" and
10  (* If data was not processed lawfully, then it is an obligation to erase the data. *)
11  A2: "[¬process_data_lawfully → 0(erase_data)]"
12  (* Given a situation where data is processed unlawfully. *) and
13  A3: "[¬process_data_lawfully]_cw"
14
15 (** Some Experiments **)
16 lemma True nitpick [satisfy] oops (* Consistency-check: Is there a model? *)
17 lemma False sledgehammer oops (* Inconsistency-check: Can Falsum be derived? *)
18
19 lemma "[0(erase_data)]" sledgehammer nitpick oops (* Should the data be erased? *)
20 lemma "[0(¬erase_data)]" sledgehammer nitpick oops (* Should the data be kept? *)
21 lemma "[0(kill_boss)]" sledgehammer nitpick oops (* Should the boss be killed? *)
22
23 end

```

The interface includes tabs for Documentation, Sidekick, State, and Theories, and a bottom panel with Proof state, Auto update, Update, Search, and Sledgehammer buttons. The Sledgehammer panel displays the results of the proof search:

Sledgehammering...
Proof found...
"spass": The prover derived "False" from "A1", "A2", "A3", "D", and "Implicit", which could
"e": The prover derived "False" from "A1", "A2", "A3", "D", and "Implicit", which could be d
"cvc4": Try this: by (metis A1 A2 A3 D Implicit) (68 ms)
"z3": Try this: by (metis A1 A2 A3 D Implicit) (59 ms)

Output Query Sledgehammer Symbols

"Act in accord with the generic rights of your recipients as well as of yourself. I shall call this the Principle of Generic Consistency (PGC), since it combines the formal consideration of consistency with the material consideration of rights to the generic features or goods of action." (Alan Gewirth, **Reason and Morality**, Chicago U Press, 1978)

REASON
AND
MORALITY
ALAN GEWIRTH

- ▶ **Gewirth's PGC has**
 - ▶ stirred much controversy in moral philosophy
 - ▶ been discussed as means to bound the impact of artificial general intelligence (AGI)
- ▶ **Idea (in a nutshell):**
 - ▶ devise a safety mechanism of a mathematical (deductive) nature to ensure that an AGI respects human's freedom and well-being
 - ▶ mechanism is based on assumption that it is able to recognize itself, as well as us humans, as agents (prospective purposive agents, PPA) which
 - (i) act voluntarily on self-chosen purposes, and
 - (ii) reason rationally
- ▶ **Further References**
 - ▶ D. Beyleveld. The dialectical necessity of morality: An analysis and defense of Alan Gewirth's argument to the principle of generic consistency. U of Chicago Press, 1991
 - ▶ A. Kornai. Bounding the impact of AGI. J. Experimental & Theoretical AI, 2014

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- ▶ **Further References**
 - ▶ D. Beyleveld. The dialectical necessity of morality: An analysis and defense of Alan Gewirth's argument to the principle of generic consistency. U of Chicago Press, 1991
 - ▶ A. Kornai. Bounding the impact of AGI. J. Experimental & Theoretical AI, 2014

"Act in accord with the generic rights of your recipients as well as of yourself. I shall call this the Principle of Generic Consistency (PGC), since it combines the formal consideration of consistency with the material consideration of rights to the generic features or goods of action." (Alan Gewirth, **Reason and Morality**, Chicago U Press, 1978)

REASON
AND
MORALITY
ALAN GEWIRTH

- ▶ **Gewirth's PGC has**
 - ▶ stirred much controversy in moral philosophy
 - ▶ been discussed as means to bound the impact of artificial general intelligence (AGI)
- ▶ **Idea (in a nutshell):**
 - ▶ devise a safety mechanism of a mathematical (deductive) nature to ensure that an AGI respects human's freedom and well-being
 - ▶ mechanism is based on assumption that it is able to recognize itself, as well as us humans, as agents (prospective purposive agents, PPA) which
 - (i) act voluntarily on self-chosen purposes, and
 - (ii) reason rationally
- ▶ **Further References**
 - ▶ D. Beyleveld. The dialectical necessity of morality: An analysis and defense of Alan Gewirth's argument to the principle of generic consistency. U of Chicago Press, 1991
 - ▶ A. Kornai. Bounding the impact of AGI. J. Experimental & Theoretical AI, 2014

Demo III: Gewirth's Principle of Generic Consistency (PGC) in Isabelle/HOL

Idea is to constrain AGIs to reason in the following way

- ▶ For me, as an AGI, it is necessary to accept that:

- (P1) I act voluntarily on purpose E (equivalent by def. to: "I am a PPA")
- (C2) E is good (for me)
- (P3) In order to achieve any purpose whatsoever by my agency, I need my freedom and well-being
- (C4) My freedom and well-being are necessary goods (for me)
- (C5) I (even if no one else) have a claim right to my freedom and well-being

- ▶ It is necessary for all PPAs to accept that:

- (C9) Every PPA has a necessary right to their freedom and well-being

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- ▶ It is necessary for all PPAs to accept that:

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Any AGI (PPA) denying that it is bound by the PCG, e.g. by refusing to respect humans' well being, would deny that it is a PPA (and thus its own agency).

Hence, to avoid self-contradiction, an AGI would be bound to accord basic rights to humans.

Demo III: Gewirth's Principle of Generic Consistency (PGC) in Isabelle/HOL

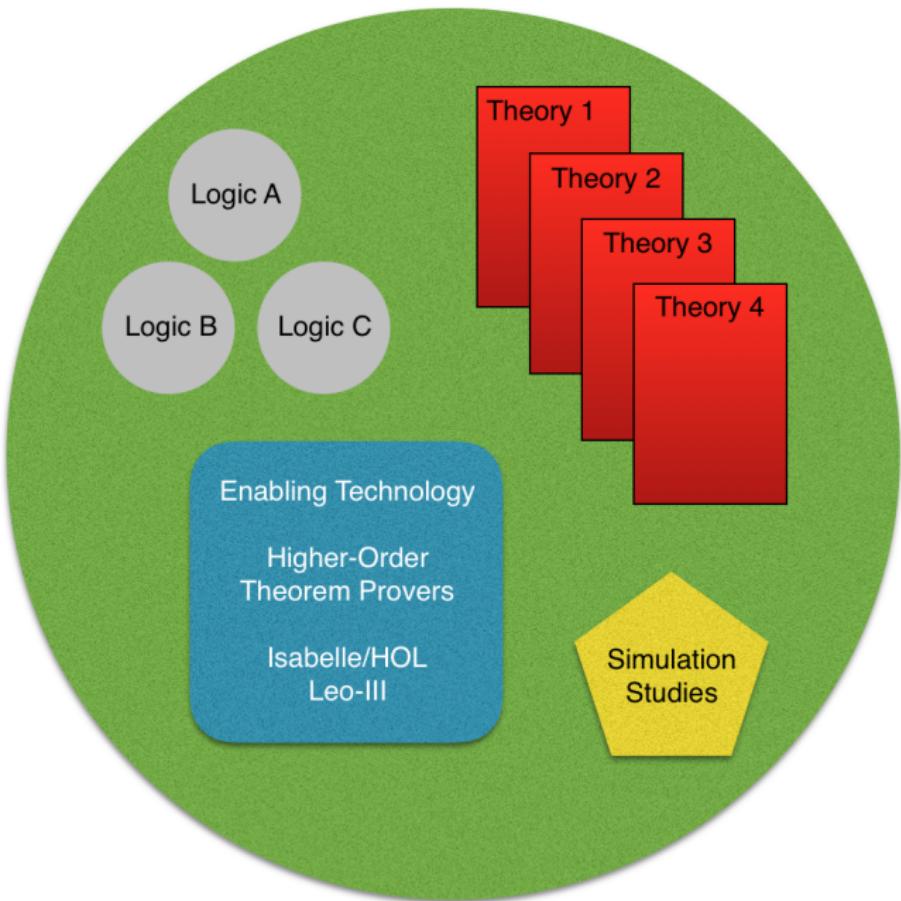
The screenshot shows the Isabelle/HOL IDE interface with a proof script named "Gewirth3.thy". The proof is structured into several stages (I, II, IIIa, IIIb) and concludes with a thesis. The proof uses various Isabelle tactics like auto, blast, simp, and rule. The bottom part of the window shows the current proof state with a single subgoal involving lambda expressions and set membership.

```
15 (*> C9: "Every PPA has a necessary right to their freedom and well-being")  
16 theorem C9: "[ $\forall a. \text{PPA } a \rightarrow \square.\text{RightTo } a \text{ FWB}]^A"  
17 proof -  
18 {  
19   fix I {  
20     fix E {  
21       (** Stage I *)  
22       assume P1: "[ $\text{ActsOnPurpose } I E]^A" (*I act voluntarily on purpose E*)  
23       from P1 have P1_var: "[ $\text{PPA } I]^A" by auto (*definition of PPA*)  
24       from P1 have C2: "[ $\text{Good } I E]^A" using explicationGoodness1 by blast (*E is good for me (I)*)  
25       hence C4: "[ $\square.\text{Good } I (\text{FWB } I)]^A" using explicationGoodness2 P3 by blast (*My F_WB are necessary goods*)  
26       (** Stage II *)  
27       hence C4a: "[ $\square(\text{FWB } I \mid \square.\text{Good } I (\text{FWB } I))]^A" using explicationGoodness3 explicationFWB1 by blast  
28       hence C4b: "[ $\square_1(\text{FWB } I)]^A" using explicationFWB2 C4 CJ_14p by blast  
29       hence C4c: "[ $\square_1(\square_2(\text{FWB } I))]^A" using OIOAC by auto  
30       hence C5a: "[ $\square_1(\neg\text{InterferesWith } a (\text{FWB } I))]^A" using explicationInterference2 by auto  
31       hence C5: "[ $\square.\text{RightTo } I \text{ FWB}]^A" by simp (*I have a claim right to my freedom and well-being*)  
32       hence C5_var: "[ $\square.\text{RightTo } I \text{ FWB}]^A" by simp  
33     }  
34     (** Stage IIIa *)  
35     hence C6: "[ $\text{ActsOnPurpose } I E \rightarrow \square.\text{RightTo } I \text{ FWB}]^A" by (rule impI)  
36   }  
37   hence C7: "[ $\forall P. \text{ActsOnPurpose } I P \rightarrow \square.\text{RightTo } I \text{ FWB}]^A" by (rule allI)  
38 }  
39 hence CB: "[ $\forall a. \forall P. \text{ActsOnPurpose } a P \rightarrow \square.\text{RightTo } a \text{ FWB}]^A" by (rule allI)  
40 hence C9_var: "[ $\forall a. \text{PPA } a \rightarrow \square.\text{RightTo } a \text{ FWB}]^A"  
41 by simp (*Every PPA has a necessary right to their freedom and well-being*)  
42 thus ?thesis by simp  
43 qed  
44$$$$$$$$$$$$$$$ 
```

Proof state: \checkmark Proof state \checkmark Auto update Update Search: 100%
Output Query Sledgehammer Symbols

By David Fuenmayor, cf. <http://christoph-benzmueller.de/papers/2018-GewirthArgument.zip>

Demo III motivates Simulation Studies



Ethics

Argued for explicit ethical reasoning competencies in IASs

- ▶ normative reasoning experimentation platform
- ▶ HOL as universal meta-logic
- ▶ evidence from previous work
- ▶ very suitable for teaching logics

Ongoing and further work

- ▶ more (deontic) logics, more logic combinations
- ▶ encoding of ethical & legal theories
- ▶ experiments, ... simulation studies, ... deployment

Ethics

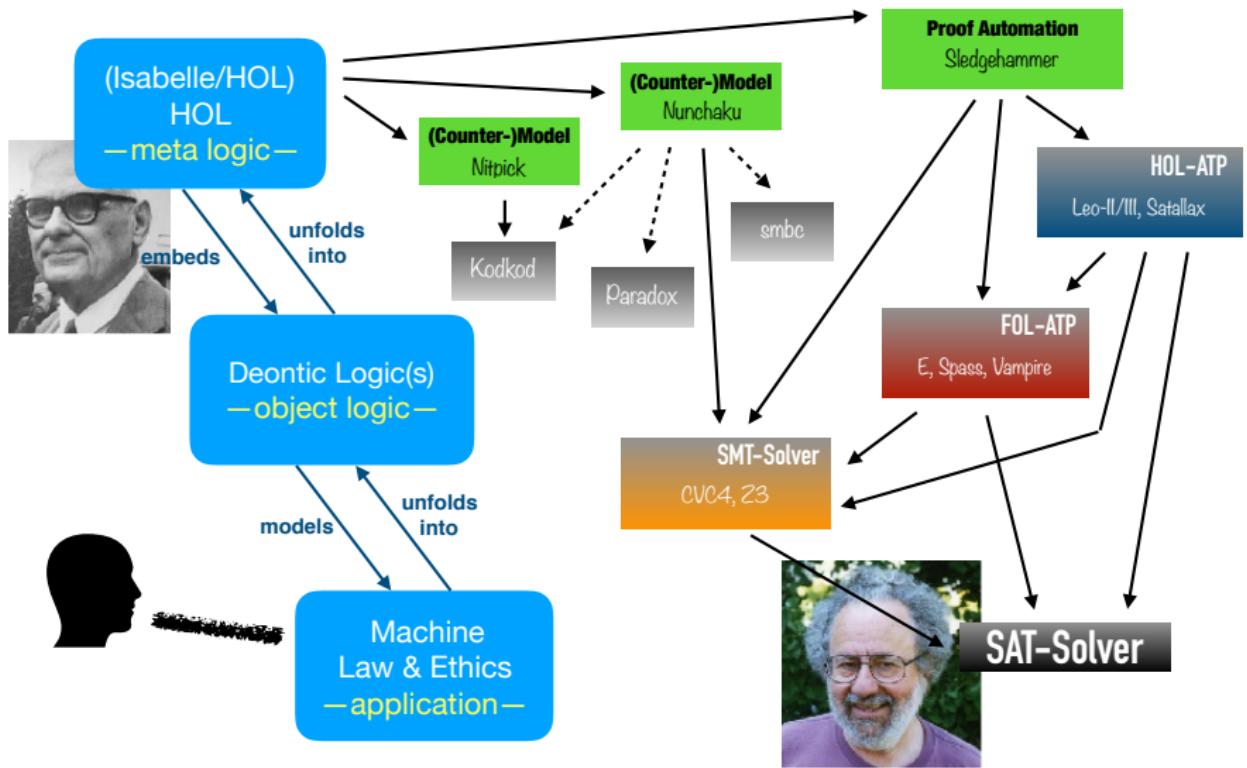
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How does Martin Davis fit in?



Before I forget:

— A big thanks to —

University of Luxembourg:

ILIAS group of Leon van der Torre, many others

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DFG, Heisenberg grant: Computational Metaphysics, BE 2501/9, **2012-2017**

DFG, Project Leo-III: Higher-Order Theorem Prover, BE 2501/9, **2013-2017**

Various Collaborators:



B. Woltzenl.-P.
(ANU Canberra)



Alexander Steen
(FU Berlin)



Max Wisniewski
(FU Berlin)



Ed Zalta
(Stanford U.)



Dana Scott
(UC Berkeley)

Many further Collaborators and Students:

Matthias Bentert (TU Berlin), Jasmin Blanchette (Amsterdam), Chad Brown (Prag), Maximilian Claus, David Fuenmayor, Tobias Gleißner, Kim Kern, Daniel Kirchner, Hanna Lachnitt, Irina Makarenko (alle FU Berlin), Larry Paulson (Cambridge), Fabian Schütz, Hans-Jörg Schurr, David Streit, Marco Ziener (alle FU Berlin), many further students in Berlin und Luxemburg

Demo I: Global vs. Local Consequence Relation

The screenshot shows the Isabelle/HOL IDE interface. The top part displays the theory file `GDPRGlobal.thy` with its imports, global obligations, and experiments. The bottom part shows the proof process for one of the lemmas, with the output window displaying the sledgehammer search results.

```
GDPRGlobal.thy (~/chris/trunk/tex/talks/2018-Bath/experiments/)

1 theory GDPRGlobal imports DDL      (* Christoph Benzmueller & Xavier Parent, 2018 *)
2
3 begin (** GDPR Example **)
4 consts process_data_lawfully::σ erase_data::σ kill_boss::σ
5
6 axiomatization where
7   (* It is an obligation to process data lawfully. *)
8   A1: "[0(process_data_lawfully)]"
9   (* Given a situation where data is processed unlawfully. *) and
10  A3: "[~process_data_lawfully]"
11
12 (** Some Experiments **)
13 lemma True nitpick [satisfy] nunchaku [satisfy] oops (* Consistency-check: Is there a model? *)
14 lemma False sledgehammer oops (* Inconsistency-check: Can Falsum be derived? *)
15
16 lemma "[0(erase_data)]" sledgehammer nitpick oops (* Should the data be erased? *)
17 lemma "[0(~erase_data)]" sledgehammer nitpick oops (* Should the data be kept? *)
18 lemma "[0(kill_boss)]" sledgehammer nitpick oops (* Should the boss be killed? *)
19 end
20
21
22
23
```

Sledgehammering...

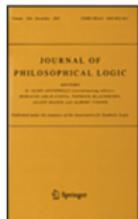
Proof found...

"cvc4": Try this: using A1 A3 ax_5a ax_5b by auto (11 ms)
"z3": Try this: using A1 A3 ax_5a ax_5b by auto (2 ms)
"e": Try this: using A1 A3 ax_5a ax_5b by auto (3 ms)
"spass": The prover derived "False" from "A1", "A3", "ax_5a", and "ax_5b", which could be due to a bug

Output Query Sledgehammer Symbols

Demo I: Preference-based DDL in Isabelle/HOL

[Journal of Philosophical Logic](#) / Vol. 43, No. 6, December 2014 / Maximality vs. Optim...



JOURNAL ARTICLE

Maximality vs. Optimality in Dyadic Deontic Logic: Completeness Results for Systems in Hansson's Tradition

Xavier Parent

Journal of Philosophical Logic

Vol. 43, No. 6 (December 2014), pp. 1101-1128

Demo I: Preference-based DDL in Isabelle/HOL

Journal of Philosophical Logic / Vol. 43, No. 6, December 2014 / Maximality vs. Optim...

The screenshot shows the Isabelle/HOL IDE interface. On the left, there's a vertical bar with the "JOURNAL OF PHILOSOPHICAL LOGIC" logo and a Springer logo. The main window displays the theory file `PrefDDL.thy`. The code contains several lemmas, many of which are annotated with comments like "`(* axioms of proof theory for E, check for soundness *)`". Some lemmas are marked with a yellow background, such as the one for CM. The interface includes a toolbar at the top with icons for file operations, a search bar, and a status bar at the bottom indicating a proof state.

```
(* axioms of proof theory for E, check for soundness *)
lemma classical: "A ==> [Ax. A]" by simp -- "all classical tautologies"
lemma "OM": "[□A → A]" by simp -- "part of S5 schema for □"
lemma "O5": "[□A → □(□A)]" by simp -- "part of S5 schema for □"
lemma DfP: "[P(B|A) ↔ ¬(O(¬B)|A))]" by (simp add: prefDDLBase.truthSet_def)
lemma COK: "[O((B → C)|A) → (O(B|A) → O(C|A))]" by (simp add: prefDDLBase.truthSet_def)
lemma absi: "[O(B|A) → □(O(B|A))]" by simp
lemma nec: "[□A → O(A|B))]" by (simp add: prefDDLBase.truthSet_def)
lemma ext: "[□(A → B) → (O(C|A) → O(C|B))]" by (simp add: prefDDLBase.truthSet_def)
lemma id: "[O(A|A)" by (simp add: optChoice)
lemma Sh: "[O(C|(A ∧ B)) → O(B → C)|A)]" by (smt optBest.optChoice optBest_axioms prefDDLBase.
(* soundness of inference rules *)
lemma MP: "[A] ==> [A → B] ==> [B]" by simp
lemma N: "[A] ==> [□A]" by simp
(* D* should hold in F, this can be verified: *)
lemma "D*": "[□A → (O(B|A) → P(B|A))]"
by (metis FOpt.opt_limitedness FOpt_axioms truthSet_def)
(* (CM) should not be provable in system F but only as of system F+CM, verified by nitpick *)
lemma CM: "[(O(B|A) ∧ O(C|A)) → O(C|(A ∧ B))]" nitpick oops
```

Nitpicking formula...
Nitpick found a counterexample for card ' $w = 3$:

Free variables:

```
A = (λx. _)(w1 := True, w2 := True, w3 := True)
B = (λx. _)(w1 := True, w2 := True, w3 := False)
C = (λx. _)(w1 := False, w2 := True, w3 := False)
op ≥ =
(λx. _)
(w1 := (λx. _)(w1 := True, w2 := True, w3 := False),
 w2 := (λx. _)(w1 := True, w2 := True, w3 := True),
 w3 := (λx. _)(w1 := True, w2 := False, w3 := True))
opt = (λx. _)
```

Output Query Sledgehammer Symbols

By
A. Steen

Input/output (I/O) logic

[Makinson, JPL, 2000], [GabbayHortyParentEtAl-Handbook, 2013]

- ▶ I/O-operators, such as `out1` (simple-minded output), accept set G of conditional norms as argument
- ▶ Conditional norms: pairs (a,x) with input “ a ” (condition) and output “ x ” (obligation)
- ▶ Pairs (a,x) are not given a truth-functional semantics in I/O logic

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Semantics of out1 (for a of input formulas A)

- ▶ $\text{out1}(G, A) := \text{Cn}(G(\text{Cn}(A)))$
- ▶ where $\text{Cn}(X) := \{s \mid X \models s\}$ and $G(X) := \{s \mid \exists a \in X. (a, s) \in G\}$

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```
(*I0 logic in HOL*)
typedecl i -- "type for possible worlds" type_synonym e = "(i⇒bool)"
abbreviation ktop :: "e" ("⊤") where "⊤ ≡ λw. True"
abbreviation kbot :: "e" ("⊥") where "⊥ ≡ λw. False"
abbreviation knot :: "e⇒e" ("¬_"[52]53) where "¬φ ≡ λw. ¬φ(w)"
abbreviation kor :: "e⇒e⇒e" (infixr "∨"50) where "φ∨ψ ≡ λw. φ(w)∨ψ(w)"
abbreviation kand :: "e⇒e⇒e" (infixr "∧"51) where "φ∧ψ ≡ λw. φ(w)∧ψ(w)"
abbreviation kimp :: "e⇒e⇒e" (infixr "⇒"49) where "φ⇒ψ ≡ λw. φ(w)→ψ(w)"
abbreviation kvalid :: "e⇒bool" ("_|"[8]109) where "|p ≡ ∀w. p w"

abbreviation "outpre ≡ λG.λa.λy::e. ∃f. [a ⊢ f] ∧ G (f,y)"
abbreviation "out1 ≡ λG.λa.λx. [x] ∨
  (∃i j k. outpre G a i ∧ outpre G a j ∧ outpre G a k ∧ [(i ∧ j ∧ k) ⊢ x])"
```

Demo II: I/O-Logic in Isabelle/HOL

[arXiv:1803.09681]

IO_Logic.thy

```
(* Some Tests *)
consts a::e b::e e::e
abbreviation "G1 ≡ (λX. X=(a,e) ∨ X=(b,e))" (* G = {(a,e),(b,e)} *)
lemma "outl G1 a e" by blast (*proof*)
lemma "outpre G1 a e" by blast (*proof*)
lemma "outpre G1 (a ∨ b) e" nitpick oops (*countermodel*)
lemma "outl G1 (a ∨ b) e" nitpick oops (*countermodel*)
lemma "[x] ==> outpre G1 (a ∨ b) x" nitpick oops (*countermodel*)
lemma "[x] ==> outl G1 (a ∨ b) x" by blast (*proof*)

(* GDPR Example from before *)
consts pr_d_lawf::e erase_d::e kill_boss::e

abbreviation (* G = {(T,pr_d_lawf),(pr_d_lawf,¬erase_d), (¬pr_d_lawf,erase_d)} *)
"G ≡ (λX. X=(T,pr_d_lawf) ∨ X=(pr_d_lawf,¬erase_d) ∨ X=(¬pr_d_lawf,erase_d))"

lemma "outl G (¬pr_d_lawf) erase_d" by smt (*proof*)
lemma "outl G (¬pr_d_lawf) (¬erase_d)" nitpick oops (*countermodel*)
lemma "outl G (¬pr_d_lawf) kill_boss" nitpick oops (*countermodel*)
lemma "outl G (¬pr_d_lawf) ⊥" nitpick oops (*countermodel*)
```

Nitpicking formula...

Nitpick found a counterexample for card i = 2:

Skolem constant:
w = i₁

Constants:
erase_d = (λx::i. _)(i₁ := True, i₂ := True)
kill_boss = (λx::i. _)(i₁ := False, i₂ := False)
pr_d_lawf = (λx::i. _)(i₁ := False, i₂ := True)

Output Query Sledgehammer Symbols



$\forall P$.Leo III

Leo III - A MASSIVELY PARALLEL HIGHER-ORDER THEOREM PROVER

What is Leo-III?

- ▶ ATP for classical HOL (by **A. Steen**, M. Wisniewski and myself)
- ▶ ordered paramodulation; efficient data-structures; parallelisation; etc.
- ▶ native support for more than 120 logics (all normal quantified modal logics)
- ▶ including native support for **quantified SDL and DDL**
- ▶ Website: <http://page.mi.fu-berlin.de/lex/leo3/>
- ▶ Download: <https://github.com/leoprover/Leo-III>

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Brand new: Support for Dyadic Deontic Logic (Carmo/Jones)

- ▶ Enhance propositional TPTP fragment with
 1. Dyadic deontic obligation $\$O(p/q)$
 2. Actual/primary deontic obligations $\$O_a(p)$, $\$O_p(p)$
 3. Box operators $\$box(p)$, $\$box_a(p)$, $\$box_p(p)$
- ▶ Integrated into Leo-III (stand-alone tool available)



ASCII	Syntax	Meaning
~	\neg	Negation
	\vee	Disjunction
&	\wedge	Conjunction
=>	\Rightarrow	Material implication
\Leftrightarrow	\Leftrightarrow	Equivalence
$\$O(p/q)$	$O(p/q)$	Dyadic deontic obligation (It ought to be p given that q)
$\$box(p)$	$\Box(p)$	In all worlds p

Input statements: `ddl(<name>, <role>, <formula>).`

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where `<role>` provides meta-logical information:

- ▶ `axiom` *assumed, globally valid*
- ▶ `localAxiom` *assumed, valid in current world*
- ▶ `conjecture` *global consequence?*
- ▶ `localConjecture` *consequence in current world?*



Example

This problem can directly be given to Leo-III:

```
ddl(a1, axiom, $0(processDataLawfully)).  
ddl(a2, axiom, $0(eraseData/~processDataLawfully)).  
ddl(a3, localAxiom, ~processDataLawfully).  
  
ddl(c1, conjecture, $0(eraseData)).
```

... giving ...

```
% SWS status Theorem for gdpr_new.p : 2143 ms resp. 776 ms w/o parsing
```

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```

... giving ...

```
% SWS status Theorem for gdpr_new.p : 2143 ms resp. 776 ms w/o parsing
```

Demo IV: Native Support for Deontic Logic(s) in Leo-III [IJCAR, 2018], [RuleML+RR, 2018]

```
leopard:Leo3 cbenzmueller$ more gdpr.p
ddl(a1, axiom, $0(processDataLawfully)).
ddl(a2, axiom, (~processDataLawfully)=> $0(eraseData)).
ddl(a3, localAxiom, ~processDataLawfully).

ddl(c1, conjecture, $0(eraseData)).

leopard:Leo3 cbenzmueller$ leo3 gdpr_killboss.p --ddl
```

Deontic Logic Reasoning Infrastructure

- ▶ A Dyadic Deontic Logic in HOL, DEON 2018, 2018. (See also <https://arxiv.org/abs/1802.08454>)
- ▶ A Deontic Logic Reasoning Infrastructure, CiE 2018, Springer LNCS, 2018.
- ▶ I/O Logic in HOL — First Steps, CoRR, 2018.
<https://arxiv.org/abs/1803.09681>
- ▶ First Experiments with a Flexible Infrastructure for Normative Reasoning, CoRR, 2018. <http://arxiv.org/abs/1804.02929>

Computational Metaphysics (selected)

- ▶ Experiments in Computational Metaphysics: Gödel's Proof of God's Existence, Savijnanam: scientific exploration for a spiritual paradigm. Journal of the Bhaktivedanta Institute, volume 9, pp. 43-57, 2017.
- ▶ The Inconsistency in Gödel's Ontological Argument: A Success Story for AI in Metaphysics, IJCAI 2016, 2016.
- ▶ Automating Gödel's Ontological Proof of God's Existence with Higher-order Automated Theorem Provers, ECAI 2014, IOS Press, 2014.

Other (selected)

- ▶ The Higher-Order Prover Leo-III, IJCAR 2018, Springer LNCS, 2018.