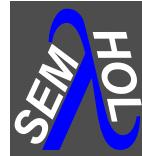


# Semantics of Higher-Order Logics

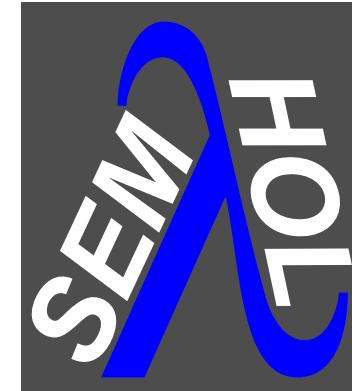
Christoph E. Benzmüller and Chad E. Brown



<http://www.ags.uni-sb.de/~chris/>

SEMHOL'06

Lecture Course at ESSLLI 2006, Malaga, Spain



Introduction



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## History



- Cantor's Set Theory – late 1800's
- Frege's Logic – late 1800's
- Russell's Paradox – 1902
- Zermelo's Axiomatic Set Theory – 1908
- Russell's Type Theory – 1908
- Church's Untyped  $\lambda$ -Calculus (Computation) – 1930's
- Church's Type Theory – HOL (Mathematics) – 1940
- Henkin Models and Completeness – 1950
- Cut-Elimination (Takahashi, Prawitz, Andrews) – 1967-1972
- Theorem Proving: Isabelle-HOL, HOL4, HOL-light, TPS, LEO – 1980's - today



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## Cantor's Set Theory



Sets:

$$\{x|\varphi(x)\}$$

$$a \in \{x|\varphi(x)\} \Leftrightarrow \varphi(a)$$

Power Set  $\mathcal{P}(A)$  of A:

$$\{X|X \subseteq A\}$$



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## Cantor's Theorem



## Cantor's Theorem

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- Two versions of Cantor's Theorem are the surjective version and the injective version.
- The **surjective Cantor Theorem** states that there does not exist a surjection from A onto  $\mathcal{P}(A)$ .

## Cantor's Theorem

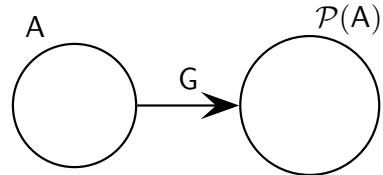


- **Cantor's Theorem** states that the powerset of A is always bigger than A.
- Two versions of Cantor's Theorem are the surjective version and the injective version.
- The **surjective Cantor Theorem** states that there does not exist a surjection from A onto  $\mathcal{P}(A)$ .
- The **injective Cantor Theorem** states that there does not exist an injection from  $\mathcal{P}(A)$  into A.

## Surjective Cantor Theorem

Cantor's Thm 1: There is no surjection from  $A$  onto  $\mathcal{P}(A)$ .

Proof:

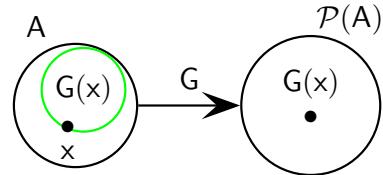


- Suppose  $G : A \rightarrow \mathcal{P}(A)$  is a surjection.

## Surjective Cantor Theorem

Cantor's Thm 1: There is no surjection from  $A$  onto  $\mathcal{P}(A)$ .

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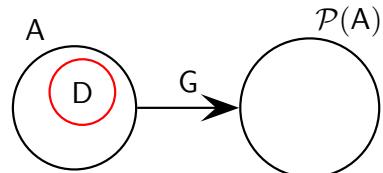


- Note for  $x \in A$ ,  $G(x) \subseteq A$ .

## Surjective Cantor Theorem

Cantor's Thm 1: There is no surjection from  $A$  onto  $\mathcal{P}(A)$ .

Proof:

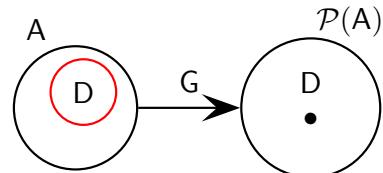


- Let  $D$  be the diagonal set  $\{x \in A \mid x \notin G(x)\}$ .

## Surjective Cantor Theorem

Cantor's Thm 1: There is no surjection from  $A$  onto  $\mathcal{P}(A)$ .

Proof:

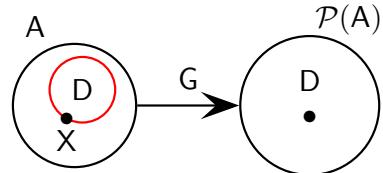


- Let  $D$  be the diagonal set  $\{x \in A \mid x \notin G(x)\}$ .
- $D \in \mathcal{P}(A)$ .

## Surjective Cantor Theorem

Cantor's Thm 1: There is no surjection from A onto  $\mathcal{P}(A)$ .

Proof:

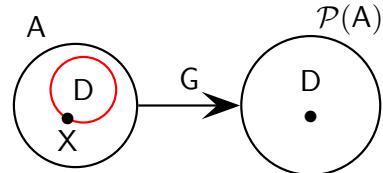


- Let D be the diagonal set  $\{x \in A | x \notin G(x)\}$ .
- Since G is surjective,  $G(X) = D$  for some  $X \in A$ .

## Surjective Cantor Theorem

Cantor's Thm 1: There is no surjection from A onto  $\mathcal{P}(A)$ .

Proof:



- Let D be the diagonal set  $\{x \in A | x \notin G(x)\}$ .
- $X \in G(X)$  iff  $X \in D$  iff  $X \notin G(X)$ . Contradiction!

## Russell's Paradox

Reconsider Diagonal Set:

$$D := \{x \in A | x \notin G(x)\}$$

Easy Modification Gives Russell Set:

$$R := \{x | x \notin x\}$$

Russell's Paradox:  $R \in R \Leftrightarrow R \notin R$

This shows Cantor's Naive Set Theory is Inconsistent

And Frege's Logic is Inconsistent

## Resolving Russell's Paradox

Zermelo (later Zermelo-Fraenkel) Axiomatic Set Theory  
(Sets are not “too big”)

Russell's Type Theory (Syntactic Hierarchy of Objects)

Using Simply Typed  $\lambda$ -Calculus,  
Can Formulate Church's Type Theory

Pure Calculus of Functions

$$x \quad | \quad [\lambda x M] \quad | \quad [F A]$$

$\beta$ -reduction:  $[[\lambda x M] A]$  reduces to  $[A/x] M$

Foundation for Computation:

Recursive Functions  $\cong \lambda$ -Definable Functions

Problematic for Mathematics (but still hope, see Map Calculus)

1940:

- Simple Types (including type  $\circ$  of “truth values”)
- Simply Typed  $\lambda$ -Terms (including logical constants)
- Deductive System
- Sufficient for Much of Mathematics

## Simple Types

Simple Types  $\mathcal{T}$ :

|                              |                                       |
|------------------------------|---------------------------------------|
| $\circ$                      | (truth values)                        |
| $\iota$                      | (individuals)                         |
| $(\alpha \rightarrow \beta)$ | (functions from $\alpha$ to $\beta$ ) |

$(\alpha \rightarrow \beta)$  is sometimes written  $(\beta\alpha)$

$(\alpha \rightarrow \beta \rightarrow \gamma)$  abbreviates  $(\alpha \rightarrow (\beta \rightarrow \gamma))$

## Simple Types

Simple Types  $\mathcal{T}$ :

|                              |                                       |
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$\mathcal{T}$  is a freely generated, inductive set.

**Induction on Types:** We can prove a property  $\varphi(\alpha)$  holds for all types  $\alpha$  by proving

- $\varphi(\circ)$
- $\varphi(\iota)$
- If  $\varphi(\alpha)$  and  $\varphi(\beta)$ , then  $\varphi(\alpha \rightarrow \beta)$ .

Simple Types  $\mathcal{T}$ :

- $\circ$  (truth values)
- $\iota$  (individuals)
- $(\alpha \rightarrow \beta)$  (functions from  $\alpha$  to  $\beta$ )

**Recursion on Types:** We can uniquely define a family  $\mathcal{D}_\alpha$  for  $\alpha \in \mathcal{T}$  by specifying:

- $\mathcal{D}_\circ$
- $\mathcal{D}_\iota$
- A rule for forming  $\mathcal{D}_{\alpha \rightarrow \beta}$  given  $\mathcal{D}_\alpha$  and  $\mathcal{D}_\beta$ .

$\mathcal{D}_\circ = \{\text{T}, \text{F}\}.$   
 $\mathcal{D}_\iota = \mathbb{N}$  (natural numbers).  
 $\mathcal{D}_{\alpha \rightarrow \beta} = \mathcal{D}_\beta^{\mathcal{D}_\alpha}$ , all functions from  $\mathcal{D}_\alpha$  to  $\mathcal{D}_\beta$ .

$\mathcal{D}_\circ = \{\text{T}, \text{F}\}.$   
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 $\mathcal{D}_{\alpha \rightarrow \beta} = \mathcal{D}_\beta^{\mathcal{D}_\alpha}$ , all functions from  $\mathcal{D}_\alpha$  to  $\mathcal{D}_\beta$ .

$\mathcal{D}_{\iota \rightarrow \circ} \cong \mathcal{P}(\mathbb{N})$ :

$X \subseteq \mathbb{N}$  induces  $\chi_X \in \mathcal{D}_{\iota \rightarrow \circ}$  (characteristic function)

$$\chi_X(x) := \begin{cases} \text{T} & \text{if } x \in X \\ \text{F} & \text{if } x \notin X \end{cases}$$

Every  $f \in \mathcal{D}_{\iota \rightarrow \circ}$  is  $\chi_X$  where

$$X := \{x \in \mathcal{D}_\iota \mid f(x) = \text{T}\}$$

$\mathcal{D}_\circ = \{\text{T}, \text{F}\}.$   
 $\mathcal{D}_\iota = \mathbb{N}$  (natural numbers).  
 $\mathcal{D}_{\alpha \rightarrow \beta} = \mathcal{D}_\beta^{\mathcal{D}_\alpha}$ , all functions from  $\mathcal{D}_\alpha$  to  $\mathcal{D}_\beta$ .

$\mathcal{D}_{\iota \rightarrow \iota \rightarrow \circ} \cong \mathcal{P}(\mathbb{N} \times \mathbb{N})$ : Binary relations on  $\mathbb{N}$

$\mathcal{D}_{(\iota \rightarrow \circ) \rightarrow \circ} \cong \mathcal{P}(\mathcal{P}(\mathbb{N}))$

$\mathcal{D}_o$  = any nonempty set

$\mathcal{D}_\iota$  = any nonempty set

$\mathcal{D}_{\alpha \rightarrow \beta}$  =  $(\mathcal{D}_\beta)^{\mathcal{D}_\alpha}$ , all functions from  $\mathcal{D}_\alpha$  to  $\mathcal{D}_\beta$ .

**Standard Frames are Determined by Domains of Base Type:** If  $\mathcal{D}$  and  $\mathcal{E}$  are standard frames,  $\mathcal{D}_o = \mathcal{E}_o$ , and  $\mathcal{D}_\iota = \mathcal{E}_\iota$ , then  $\mathcal{D} = \mathcal{E}$ .

**Proof:** Induction on types.

## Simply Typed Lambda Terms

- $x_\alpha \in wff_\alpha(\Sigma)$  for each variable  $x_\alpha \in \mathcal{V}_\alpha$ .
- $W_\alpha \in wff_\alpha(\Sigma)$  for each parameter  $W_\alpha \in \mathcal{P}_\alpha$ .
- $c_\alpha \in wff_\alpha(\Sigma)$  for each constant  $c_\alpha \in \Sigma_\alpha$ .
- $[F_{\alpha \rightarrow \beta} A_\alpha] \in wff_\beta(\Sigma)$  for each  $F \in wff_{\alpha \rightarrow \beta}(\Sigma)$  and  $A \in wff_\alpha(\Sigma)$ .
- $[\lambda x_\alpha B_\beta] \in wff_{\alpha \rightarrow \beta}(\Sigma)$  for each variable  $x_\alpha \in \mathcal{V}_\alpha$  and  $B \in wff_\beta(\Sigma)$ .

## Simply Typed Lambda Terms

- $\text{Free}(A_\alpha) \subset \mathcal{V}$  - set of free variables in  $A$
- A term is  $A_\alpha$  closed if there are no free variables in  $A$ .
- $cwff_\alpha(\Sigma)$  (or  $cwff_\alpha$ ) := set of all closed terms of type  $\alpha$ .

Some logical constants we will consider:

|  |   |
|--|---|
| $\neg_{\circ \rightarrow \circ}$                                 | negation                                      |
| $\vee_{\circ \rightarrow \circ \rightarrow \circ}$               | disjunction                                   |
| $\wedge_{\circ \rightarrow \circ \rightarrow \circ}$             | conjunction                                   |
| $=_{\alpha \rightarrow \alpha \rightarrow \circ}^{\alpha}$       | equality at type $\alpha$                     |
| $\Pi_{(\alpha \rightarrow \circ) \rightarrow \circ}^{\alpha}$    | universal quantification over type $\alpha$   |
| $\Sigma_{(\alpha \rightarrow \circ) \rightarrow \circ}^{\alpha}$ | existential quantification over type $\alpha$ |

Intuition:  $[\Sigma^{\alpha} \bullet \lambda x_{\alpha} C_{\circ}]$  maps to true iff  $\{x_{\alpha} \mid C\}$  is nonempty.

# Peano Arithmetic

Easy to Encode Peano's Axioms with  $\iota$  as  $\mathbb{N}$ ,  
 $0_{\iota}$  a parameter and  $S_{\iota \rightarrow \iota}$  a parameter

1. Zero is a natural number.  
 $0$  has type  $\iota$
2.  $n$  natural number  $\Rightarrow$  successor of  $n$  is a natural number  
 $[S n]$  has type  $\iota$  for any term  $N_{\iota}$
3. No successor is zero.  
 $[\Pi_{(\iota \rightarrow \circ) \rightarrow \circ}^{\iota} [\lambda n_{\iota} [\neg_{\circ \rightarrow \circ} [=_{\iota \rightarrow \iota \rightarrow \circ} [S_{\iota \rightarrow \iota} n_{\iota} 0_{\iota}]]]]_{\circ}$
4. The successor function is injective.
5. Induction:

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 $\forall n_{\iota} \forall m_{\iota} [[[S n] = [S m]] \supset n = m]$
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5. Induction:  $\forall p_{\iota \rightarrow 0} [[p 0] \wedge [\forall n_\iota [[p n] \supset [p [S n]]]] \supset [\forall n_\iota [p n]]]$

## Incompleteness wrt Standard Frames

Only ONE standard frame with  $\mathcal{D}_o = \{\text{T}, \text{F}\}$  satisfies Peano:  $\mathcal{D}_\iota = \mathbf{N}$

Suppose we have a recursively axiomatizable deduction system  $\vdash$   
for HOL sound and complete for standard models with  $\mathcal{D}_o = \{\text{T}, \text{F}\}$ .

Gödel construction gives:  $G_\circ$

$G$  evaluates to  $\text{T}$  in standard frame  $\mathcal{D}$  above  $\Leftrightarrow \not\vdash [\text{PA} \supset G]$

There is no recursively axiomatizable deduction system for HOL  
sound and complete wrt standard models.

## Incompleteness wrt Standard Frames

Only ONE standard frame with  $\mathcal{D}_o = \{\text{T}, \text{F}\}$  satisfies Peano:  $\mathcal{D}_\iota = \mathbf{N}$

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$\vdash [\text{PA} \supset G] \Rightarrow_{\text{Soundness}} G$  evaluates to  $\text{T}$  in  $\mathcal{D} \Rightarrow \not\vdash [\text{PA} \supset G]$

$\not\vdash [\text{PA} \supset G] \Rightarrow G$  evaluates to  $\text{T}$  in  $\mathcal{D} \Rightarrow_{\text{Completeness}} \vdash [\text{PA} \supset G]$

## Frames in General

$\mathcal{D}_o$  = any nonempty set

$\mathcal{D}_\iota$  = any nonempty set

$\mathcal{D}_{\alpha \rightarrow \beta} \subseteq (\mathcal{D}_\beta)^{\mathcal{D}_\alpha}$  (maybe not all functions)

Frames are NOT Determined by Domains of Base Type.

**Henkin Completeness (1950):** Church's Deductive System is Complete  
wrt a Class of General Frames ("Henkin Models")

Interactive Systems for Constructing Formal Theories (these use extensions of Church's Type Theory):

- Isabelle-HOL
- HOL-light
- HOL4

Systems Performing Automated Search for Proofs in (Fragments of) Church's Type Theory:

- TPS
- LEO

## Theorem Proving: Extensionality

Consider  $[A_o \wedge B_o \wedge [Q_{o \rightarrow o} A]] \supset [Q B]$ .

Theorem? Yes, assuming Boolean Extensionality.

Idea:  $A$  and  $B$  true implies  $A$  and  $B$  are equal.

Automatic Search? Clauses to Refute:

$A$   
 $B$   
 $[Q A]$   
 $\neg[Q B]$

What to resolve?

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None Unify Syntactically.

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Automatic Search? Clauses to Refute:

$A$

$B$

$[Q A]$

$\neg [Q B]$

What to resolve?

None Unify Syntactically.

Idea: Resolve  $[Q A]$  and  $\neg [Q B]$ , then prove  $A = B$

## Theorem Proving: Extensionality



There are Similar Examples for Functional Extensionality

TPS traditionally searches without extensionality.

TPS Could Not Prove Such Examples

TPS Was Not “Henkin Complete” (but maybe wrt other model classes)?

LEO (1999) introduced Search with Extensionality

## Theorem Proving: Logical Constants



During Automated Search, must consider “Set Instantiations” involving logical constants.

Example, Diagonal Set in Injective Cantor Theorem is of the form:

$$[\lambda Y_{\iota \rightarrow o} . \exists X_{\iota \rightarrow o} [Y =^{\iota} H(X) \wedge \neg [X[HX]]]]$$

How can an Automated Prover discover this?

Primitive Substitutions. (Guessing)

Instantiation during Search

$$W_{(\iota \rightarrow o) \rightarrow o}$$

Initially a Variable

## Theorem Proving: Logical Constants



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Instantiation during Search

$$[\lambda Y_{\iota \rightarrow o} [W Y]]$$

Initially a Variable ( $\eta$ -long form)

## Theorem Proving: Logical Constants



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How can an Automated Prover discover this?

Primitive Substitutions. (Guessing)

Instantiation during Search

$$[\lambda Y_{\iota \rightarrow o} . \exists X_{\iota \rightarrow o} [w^1 X Y]]$$

Guess  $\Sigma^{\iota \rightarrow o}$  at the head (guessing  $\Sigma$  and type!)



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## Theorem Proving: Logical Constants



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$$[\lambda Y_{\iota \rightarrow o} . \exists X_{\iota \rightarrow o} [[w^2 X Y] \wedge [w^3 X Y]]]$$

Guess  $\wedge$



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$$[\lambda Y_{\iota \rightarrow o} . \exists X_{\iota \rightarrow o} [[w^2 X Y] \wedge \neg[w^4 X Y]]]$$

Guess  $\neg$  for  $w^3$



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## Theorem Proving: Logical Constants



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How can an Automated Prover discover this?

Primitive Substitutions. (Guessing)

Instantiation during Search

$$[\lambda Y_{\iota \rightarrow o} . \exists X_{\iota \rightarrow o} [[[w^5 X Y] =^{\iota} [w^6 X Y]] \wedge \neg[w^4 X Y]]]$$

Guess  $=^{\iota}$  for  $w^2$  (guessing type!)



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During Automated Search, must consider “Set Instantiations” involving logical constants.

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Now we have the right logical form



During Automated Search, must consider “Set Instantiations” involving logical constants.

Example, Diagonal Set in Injective Cantor Theorem is of the form:

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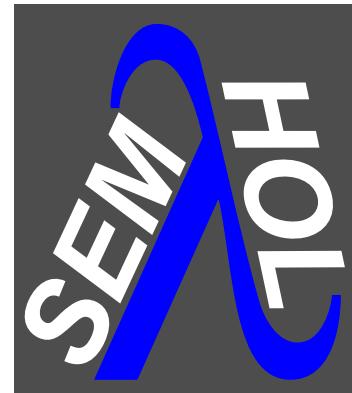
Instantiation during Search

Not Realistic During Search. What if we don't bother?

Is there an appropriate semantics without all logical constants?

## Coming Attractions

- Semantics without all Logical Constants
- Semantics without full Extensionality



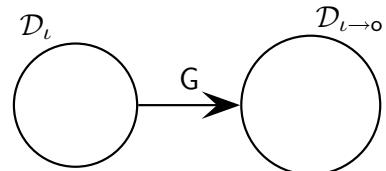
Cantor's Theorem Revisited



- Cantor's Theorem states that the powerset of A is always bigger than A.
- In the simply typed setting, the type  $\iota$  will correspond to the set A and the type  $\iota \rightarrow o$  will correspond to the set  $\mathcal{P}(A)$ .

**Proposition:** Suppose  $\mathcal{D}$  is a standard frame with  $\mathcal{D}_o = \{\text{T}, \text{F}\}$ . There is no surjection in  $\mathcal{D}_{\iota \rightarrow (\iota \rightarrow o)}$ .

**Proof:**

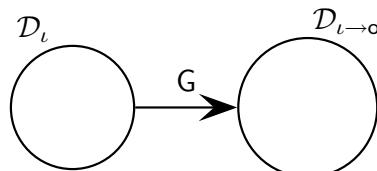


- Suppose  $G \in \mathcal{D}_{\iota \rightarrow (\iota \rightarrow o)}$  is a surjection.

## Surjective Cantor in Standard Frames

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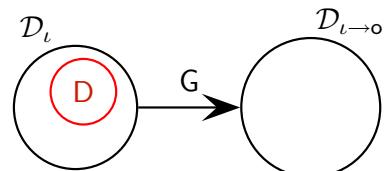


- That is,  $G : \mathcal{D}_i \rightarrow \mathcal{D}_{i \rightarrow o}$  is a surjection.

## Surjective Cantor in Standard Frames

**Proposition:** Suppose  $\mathcal{D}$  is a standard frame with  $\mathcal{D}_o = \{\text{T}, \text{F}\}$ . There is no surjection in  $\mathcal{D}_{\iota \rightarrow (\iota \rightarrow o)}$ .

**Proof:**

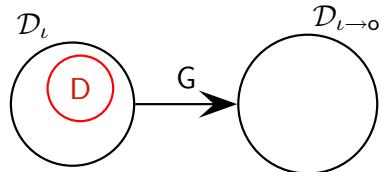


- Let D be the diagonal set  $\{x \in \mathcal{D}_i | G(x)(x) = \text{F}\}$ .

## Surjective Cantor in Standard Frames

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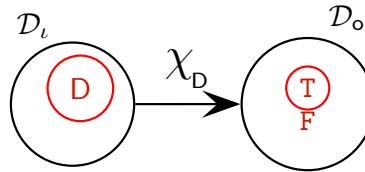


- Let  $D$  be the diagonal set  $\{x \in \mathcal{D}_i | G(x)(x) = \text{F}\}$ .
- Intuitively,  $G(x)(x) = \text{F}$  means " $x \notin G(x)$ ".

## Surjective Cantor in Standard Frames

**Proposition:** Suppose  $\mathcal{D}$  is a standard frame with  $\mathcal{D}_o = \{\text{T}, \text{F}\}$ . There is no surjection in  $\mathcal{D}_{\iota \rightarrow (\iota \rightarrow o)}$ .

**Proof:**

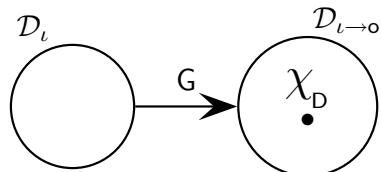


- Let  $D$  be the diagonal set  $\{x \in \mathcal{D}_i | G(x)(x) = \text{F}\}$ .
- Consider  $\chi_D : \mathcal{D}_i \rightarrow \mathcal{D}_o$  defined by  $\chi_D(x) := \text{T}$  iff  $x \in D$ .

## Surjective Cantor in Standard Frames

**Proposition:** Suppose  $\mathcal{D}$  is a standard frame with  $\mathcal{D}_o = \{\text{T}, \text{F}\}$ . There is no surjection in  $\mathcal{D}_{\iota \rightarrow (\iota \rightarrow o)}$ .

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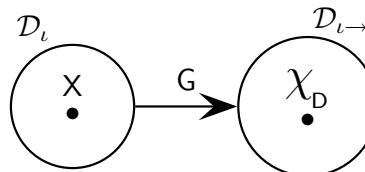


- Let  $D$  be the diagonal set  $\{x \in \mathcal{D}_i | G(x)(x) = \text{F}\}$ .
- Since  $\mathcal{D}$  is standard,  $\chi_D \in \mathcal{D}_{i \rightarrow o}$ .

## Surjective Cantor in Standard Frames

**Proposition:** Suppose  $\mathcal{D}$  is a standard frame with  $\mathcal{D}_o = \{\text{T}, \text{F}\}$ . There is no surjection in  $\mathcal{D}_{\iota \rightarrow (\iota \rightarrow o)}$ .

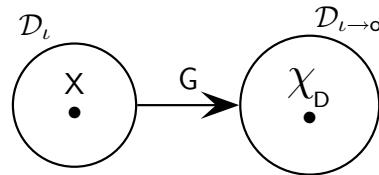
**Proof:**



- Let  $D$  be the diagonal set  $\{x \in \mathcal{D}_i | G(x)(x) = \text{F}\}$ .
- Since  $G$  is surjective,  $G(X) = \chi_D$  for some  $X \in \mathcal{D}_i$ .

**Proposition:** Suppose  $\mathcal{D}$  is a standard frame with  $\mathcal{D}_o = \{\text{T}, \text{F}\}$ . There is no surjection in  $\mathcal{D}_{\iota \rightarrow (\iota \rightarrow o)}$ .

**Proof:**



*Contradiction!*

- Let  $D$  be the diagonal set  $\{x \in \mathcal{D}_i \mid G(x)(x) = \text{F}\}$ .
- $G(X)(X) = \text{T}$  iff  $\chi_D(X) = \text{T}$  iff  $X \in D$  iff  $G(X)(X) = \text{F}$

Does the surjective Cantor Theorem also hold in more general frames?

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**Not Always**

Does the surjective Cantor Theorem also hold in more general frames?

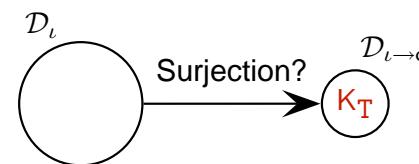
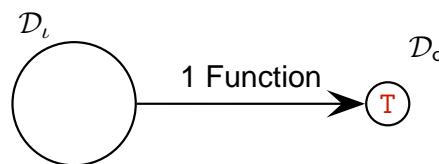
**Not Always**

Let  $\mathcal{D}$  be a frame.

**Defn:** We say **Surjective Cantor Holds** in  $\mathcal{D}$  if there is no surjection in  $\mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$ . Otherwise, we say **Surjective Cantor Fails**.

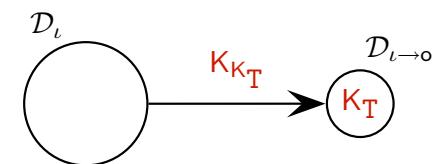
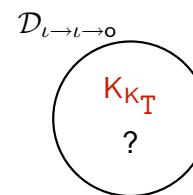
## Example Frame 1

- Let  $\mathcal{D}$  be a standard frame with  $\mathcal{D}_o = \{\text{T}\}$ .
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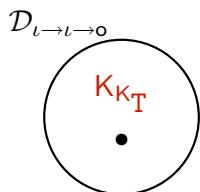


## Example Frame 1

- Let  $\mathcal{D}$  be a standard frame with  $\mathcal{D}_o = \{\text{T}\}$ .
- $\mathcal{D}_{\iota \rightarrow o}$  has exactly one element
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- Since  $\mathcal{D}$  is standard, the surjection is in  $\mathcal{D}_{i \rightarrow i \rightarrow o}$ .

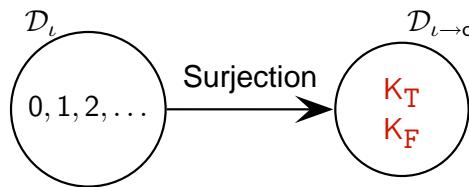
## Example Frame 1

- Let  $\mathcal{D}$  be a standard frame with  $\mathcal{D}_o = \{\text{T}\}$ .
- $\mathcal{D}_{\iota \rightarrow o}$  has exactly one element
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- Since  $\mathcal{D}$  is standard, the surjection is in  $\mathcal{D}_{i \rightarrow i \rightarrow o}$ .
- Surjective Cantor Fails in this frame. For Cantor, it is vital  $\mathcal{D}_o$  has at least two elements.**



## Example Frame 2

- Let  $\mathcal{D}$  be defined by  $\mathcal{D}_o := \{\text{T}, \text{F}\}$ ,  $\mathcal{D}_\iota := \mathbb{N}$ ,
- $\mathcal{D}_{\alpha \rightarrow \beta}$  to be the set of *constant* functions from  $\mathcal{D}_\alpha$  to  $\mathcal{D}_\beta$ .
- In this case  $\mathcal{D}_{\iota \rightarrow o}$  contains two elements (the constant **T** function and the constant **F** function).
- Clearly, there is a surjection from  $\mathbb{N}$  to the two element set  $\mathcal{D}_{\iota \rightarrow o}$ .

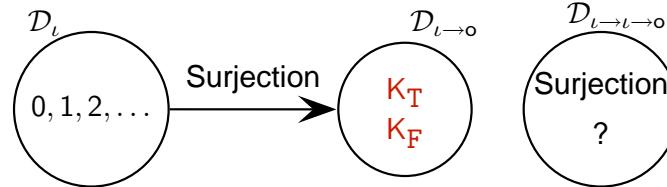


## Example Frame 2

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- In this case  $\mathcal{D}_{\iota \rightarrow o}$  contains two elements (the constant **T** function and the constant **F** function).
- Clearly, there is a surjection from  $\mathbb{N}$  to the two element set  $\mathcal{D}_{\iota \rightarrow o}$ .
- No such surjection is a constant function.*
- No such surjections are in  $\mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$ .
- $\mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$  is also a two element set, neither surjective
- Surjective Cantor Holds in this frame.**

## Example Frame 2

- Let  $\mathcal{D}$  be defined by  $\mathcal{D}_o := \{\text{T}, \text{F}\}$ ,  $\mathcal{D}_\iota := \mathbb{N}$ ,
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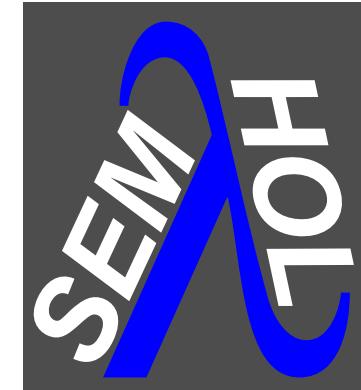
## Example Frame 2

## Example Frame 3

- Let  $\mathcal{D}$  be defined by  $\mathcal{D}_o := \{\text{T}, \text{F}\}$ ,  $\mathcal{D}_\iota := \mathbb{N}$ ,
- $\mathcal{D}_{\iota \rightarrow o}$  to be the set of *constant* functions from  $\mathcal{D}_\iota$  to  $\mathcal{D}_o$ ,
- $\mathcal{D}_{\alpha \rightarrow \beta}$  to be the set of *all* functions from  $\mathcal{D}_\alpha$  to  $\mathcal{D}_\beta$  if  $(\alpha \rightarrow \beta) \neq (\iota \rightarrow o)$ .
- $\mathcal{D}_{\iota \rightarrow o}$  contains two elements,
- There is a surjection from  $\mathbb{N}$  onto  $\mathcal{D}_{\iota \rightarrow o}$ .
- In this case,  $\mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$  is the set of all functions, so any such surjection is in  $\mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$ .
- Surjective Cantor Fails in this frame.**

## Example Frame 3

- Let  $\mathcal{D}$  be defined by  $\mathcal{D}_o := \{\text{T}, \text{F}\}$ ,  $\mathcal{D}_i := \mathbb{N}$ ,
- $\mathcal{D}_{i \rightarrow o}$  to be the set of *constant* functions from  $\mathcal{D}_i$  to  $\mathcal{D}_o$ ,
- $\mathcal{D}_{\alpha \rightarrow \beta}$  to be the set of *all* functions from  $\mathcal{D}_\alpha$  to  $\mathcal{D}_\beta$  if  $(\alpha \rightarrow \beta) \neq (\iota \rightarrow o)$ .
- $\mathcal{D}_{i \rightarrow o}$  contains two elements,
- There is a surjection from  $\mathbb{N}$  onto  $\mathcal{D}_{i \rightarrow o}$ .
- In this case,  $\mathcal{D}_{i \rightarrow i \rightarrow o}$  is the set of all functions, so any such surjection is in  $\mathcal{D}_{i \rightarrow i \rightarrow o}$ .
- **Surjective Cantor Fails in this frame.**
- **HOWEVER**, One cannot interpret typed  $\lambda$ -calculus in this frame. Consider  $[\lambda g_{i \rightarrow i \rightarrow o} \lambda x_i [g \times x]]$ .



Interpreting Lambda Terms

## Interpreting Terms in Standard Frames

- Let  $\mathcal{D}$  be a standard frame.
- An *assignment*  $\varphi$  into  $\mathcal{D}$  is  $\varphi : \mathcal{V} \rightarrow \mathcal{D}$  typed function (so  $\varphi(x_\alpha) \in \mathcal{D}_\alpha$ ).
- We use  $\varphi, [a/x]$  to denote the assignment which agrees with  $\varphi$  except that  $(\varphi, [a/x])(x_\alpha) := a$ .
- An “interpretation”  $\mathcal{I}$  of parameters and logical constants is a typed function  $\mathcal{I} : (\mathcal{P} \cup \Sigma) \rightarrow \mathcal{D}$

## Interpreting Terms in Standard Frames

Given  $\mathcal{I} : (\mathcal{P} \cup \Sigma) \rightarrow \mathcal{D}$ .

Define  $\mathcal{E}_\varphi(\mathbf{M})$  by induction on  $\mathbf{M}$ :

- $\mathcal{E}_\varphi(x) := \varphi(x)$  for variables  $x \in \mathcal{V}$ .
- $\mathcal{E}_\varphi(c) := \mathcal{I}(c)$  for parameters or logical constants  $c \in \mathcal{P} \cup \Sigma$ .
- $\mathcal{E}_\varphi(F_{\alpha \rightarrow \beta} A_\alpha) := \mathcal{E}_\varphi(F)(\mathcal{E}_\varphi(A_\alpha))$  – using the fact that  $\mathcal{E}_\varphi(F_{\alpha \rightarrow \beta}) \in \mathcal{D}_{\alpha \rightarrow \beta}$  is a function from  $\mathcal{D}_\alpha$  to  $\mathcal{D}_\beta$ .
- Let  $\mathcal{E}_\varphi([\lambda x_\alpha B_\beta])$  be the function from  $\mathcal{D}_\alpha$  to  $\mathcal{D}_\beta$  taking  $a \in \mathcal{D}_\alpha$  to  $\mathcal{E}_{\varphi, [a/x]}(B_\beta)$ . This function is in  $\mathcal{D}_{\alpha \rightarrow \beta}$  since  $\mathcal{D}$  is standard.

$\mathcal{E}$  “evaluates”  $\lambda$ -terms.

- To define  $\mathcal{E}$  on  $\lambda$ -abstractions, we used  $\mathcal{D}$  standard.
- Want weaker conditions on  $\mathcal{D}$
- $\mathcal{D}$  Combinatory

- $K_{\alpha \rightarrow \beta \rightarrow \alpha} := \lambda x_\alpha \lambda y_\beta x$
- $S_{(\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} := \lambda u_{\alpha \rightarrow \beta \rightarrow \gamma} \lambda v_{\alpha \rightarrow \beta} \lambda w_\alpha [u w [v w]]$

SK-combinatory formulas contain every **K** and **S**, every constant, every parameter, every variable, and are closed under application.

# Combinatory Frames

Frame  $\mathcal{D}$  is combinatory if:  
 for all types  $\alpha, \beta$  and  $\gamma$   
 there exist elements  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$  and  
 $s \in \mathcal{D}_{(\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma}$  such that

- $k(a)(b) = a$  for every  $a \in \mathcal{D}_\alpha$  and  $b \in \mathcal{D}_\beta$ , and
- $s(g)(f)(a) = g(a)(f(a))$  for every  $a \in \mathcal{D}_\alpha$ ,  $f \in \mathcal{D}_{\alpha \rightarrow \beta}$  and  
 $g \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \gamma}$ .

# Evaluations in Combinatory Frames

**Proposition:** Let  $\mathcal{D}$  be a combinatory frame.  
 Let  $\mathcal{I} : (\mathcal{P} \cup \Sigma) \rightarrow \mathcal{D}$  be an interpretation of constants and parameters.  
 There is a function  $\mathcal{E}$  such that for every assignment  $\varphi$  and term  $M_\beta$ ,  $\mathcal{E}_\varphi(M_\beta) \in \mathcal{D}_\beta$  and the following hold:

- $\mathcal{E}_\varphi(x) = \varphi(x)$  for variables  $x \in \mathcal{V}$ .
- $\mathcal{E}_\varphi(c) = \mathcal{I}(c)$  for parameters or logical constants  $c$ .
- $\mathcal{E}_\varphi(F_{\alpha \rightarrow \beta} A_\alpha) = \mathcal{E}_\varphi(F)(\mathcal{E}_\varphi(A_\alpha))$
- $\mathcal{E}_\varphi([\lambda x_\alpha B_\beta])$  is the function from  $\mathcal{D}_\alpha$  to  $\mathcal{D}_\beta$  taking  $a \in \mathcal{D}_\alpha$  to  $\mathcal{E}_{\varphi,[a/x]}(B_\beta)$ .

$\mathcal{D}_o \subseteq \{\text{T}, \text{F}\}$  (nonempty)

$\mathcal{D}_i =$  any nonempty set

$\mathcal{D}_{\alpha \rightarrow \beta} \subseteq (\mathcal{D}_\beta)^{\mathcal{D}_\alpha}$  (maybe not all functions)

We may want  $\mathcal{D}$  to include interpretations for logical constants.

**Defn:** We say  $\mathcal{D}$  realizes a logical constant  $c_\alpha$  if there is an appropriate interpretation for  $c$  in  $\mathcal{D}_\alpha$ .

Examples:

- $\mathcal{D}$  realizes  $\neg$  if the negation function  $\neg : \mathcal{D}_o \longrightarrow \mathcal{D}_o$  is in  $\mathcal{D}_{o \rightarrow o}$ .
- $\mathcal{D}$  realizes  $\Pi^\alpha$  if there is some function  $\pi \in \mathcal{D}_{(\alpha \rightarrow o) \rightarrow o}$  such that  $\pi(f) = \text{T}$  iff  $f(a) = \text{T}$  for every  $a \in \mathcal{D}_\alpha$ .

## Surjective Cantor Theorem: II

Suppose  $\mathcal{D}$  is a

- Combinatory Frame
- $\mathcal{D}_o = \{\text{T}, \text{F}\}$
- $\mathcal{D}$  realizes  $\neg$

Then

there is no surjection in  $\mathcal{D}_{i \rightarrow i \rightarrow o}$ .

| prop.                                 | where   | holds when  | for all                                    |
|---------------------------------------|---|---|--|
| $\mathcal{L}_\neg(n)$                 | $n \in \mathcal{D}_{o \rightarrow o}$                           | $n(a) = \text{T}$ iff $a = \text{F}$  | $a \in \mathcal{D}_o$                      |
| $\mathcal{L}_V(d)$                    | $d \in \mathcal{D}_{o \rightarrow o \rightarrow o}$             | $d(a)(b) = \text{T}$ iff $a = \text{T}$ or $b = \text{T}$                     | $a, b \in \mathcal{D}_o$                   |
| $\mathcal{L}_\wedge(c)$               | $c \in \mathcal{D}_{o \rightarrow o \rightarrow o}$             | $c(a)(b) = \text{T}$ iff $a = \text{T}$ and $b = \text{T}$                    | $a, b \in \mathcal{D}_o$                   |
| $\mathcal{L}_{\Pi^\alpha}(\pi)$       | $\pi \in \mathcal{D}_{(\alpha \rightarrow o) \rightarrow o}$    | $\pi(f) = \text{T}$ iff $\forall a \in \mathcal{D}_\alpha f(a) = \text{T}$    | $f \in \mathcal{D}_{\alpha \rightarrow o}$ |
| $\mathcal{L}_{\Sigma^\alpha}(\sigma)$ | $\sigma \in \mathcal{D}_{(\alpha \rightarrow o) \rightarrow o}$ | $\sigma(f) = \text{T}$ iff $\exists a \in \mathcal{D}_\alpha f(a) = \text{T}$ | $f \in \mathcal{D}_{\alpha \rightarrow o}$ |
| $\mathcal{L}_{=^\alpha}(q)$           | $q \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$   | $q(a)(b) = \text{T}$ iff $a = b$  | $a, b \in \mathcal{D}_\alpha$              |

The frame  $\mathcal{D}$  realizes  $c$  if there is some  $a$  such that  $\mathcal{L}_c(a)$  holds.

## Surjective Cantor Theorem: Proof

$\mathcal{D}$  Combinatory,  $\mathcal{D}_o = \{\text{T}, \text{F}\}$ , realizes  $\neg$

Suppose  $G \in \mathcal{D}_{i \rightarrow i \rightarrow o}$  Surjective

- Let  $\Sigma$  be signature  $\{\neg\}$
- Let  $\mathcal{I} : (\Sigma \cup \mathcal{P}) \longrightarrow \mathcal{D}$  such that  $\mathcal{L}_\neg(\mathcal{I}(\neg))$
- $\mathcal{D}$  combinatory  $\Rightarrow \exists \mathcal{E}$  evaluating terms extending  $\mathcal{I}$
- Let  $\varphi$  such that  $\varphi(g) := G(g$  variable)
- Let  $D \in \mathcal{D}_{i \rightarrow o}$  be  $\mathcal{E}_\varphi(\lambda x_i[\neg[g \times x]])$
- $G$  surjective  $\Rightarrow \exists X \in \mathcal{D}_i$  s.t.  $G(X) = D$

$\mathcal{D}$  Combinatory,  $\mathcal{D}_o = \{\text{T}, \text{F}\}$ , realizes  $\neg$

Suppose  $G \in \mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$  Surjective

- Let  $D \in \mathcal{D}_{\iota \rightarrow o}$  be  $\mathcal{E}_\varphi(\lambda x_\iota[\neg[g \times x]])$
- $G$  surjective  $\Rightarrow \exists X \in \mathcal{D}_\iota$  s.t.  $G(X) = D$

$$\begin{aligned} G(X)(X) &= \text{T} \quad \text{iff} \quad D(X) = \text{T} \\ &\quad \text{iff} \quad \mathcal{E}_\varphi(\lambda x_\iota[\neg[g \times x]])(X) = \text{T} \\ &\quad \text{iff} \quad \mathcal{E}_{\varphi, [X/x]}([\neg[g \times x]]) = \text{T} \\ &\quad \text{iff} \quad \mathcal{I}(\neg)(G(X)(X)) = \text{T} \\ &\quad \text{iff} \quad G(X)(X) = \text{F} \end{aligned}$$

Contradiction!

(Ignore type  $\iota$ )

Define  $\mathcal{D}_o := \{\text{F}, \text{T}\}$ .

Order  $\mathcal{D}_o$  by  $\text{F} <^\circ \text{T}$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha$  is defined and ordered by  $\leq^\alpha$ .

Assume  $\mathcal{D}_\beta$  is defined and ordered by  $\leq^\beta$ .

Define  $\mathcal{D}_{\alpha \rightarrow \beta}$  to be all monotone functions  $f : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta$ .

$$\forall x, y \in \mathcal{D}_\alpha \quad x \leq^\alpha y \Rightarrow f(x) \leq^\beta f(y)$$

## Combinatory Frame Without Negation

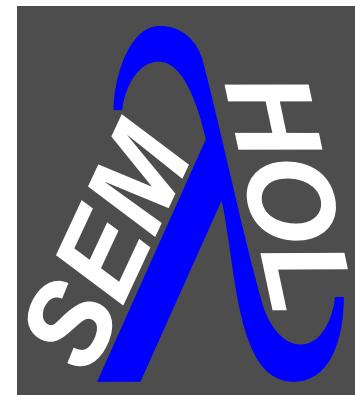
Order  $\mathcal{D}_{\alpha \rightarrow \beta}$  by  $\leq^{\alpha \rightarrow \beta}$ :  $f \leq^{\alpha \rightarrow \beta} g$  iff

$$\forall x, y \in \mathcal{D}_\alpha \quad x \leq^\alpha y \Rightarrow f(x) \leq^\beta g(y).$$

The frame  $\mathcal{D}$  is combinatory.

$\neg : \mathcal{D}_o \longrightarrow \mathcal{D}_o$  is not monotone since  $\text{F} \leq^\circ \text{T}$ , but  $\neg(\text{F}) \not\leq^\circ \neg(\text{T})$

Hence  $\mathcal{D}_{o \rightarrow o} = \{K_F, K_T, \text{id}\}$ .



Constructing Nonstandard  
Frames

Start with  $\mathcal{D}_o = \{\text{T}, \text{F}\}$ ,  $\mathcal{D}_\iota$  nonempty,

$\mathcal{R}_o \subseteq (\mathcal{D}_o)^2$  (e.g.,  $\leq^o$ ) and  $\mathcal{R}_\iota \subseteq (\mathcal{D}_\iota)^2$ .

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  are reflexive binary relations.

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^2$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq (\mathcal{D}_\beta)^2$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta}$ :

$$\{f : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta \mid \forall \langle y^0, y^1 \rangle \in \mathcal{R}_\alpha \Rightarrow \langle f(y^0), f(y^1) \rangle \in \mathcal{R}_\beta\}$$

Define  $\mathcal{R}_{\alpha \rightarrow \beta} \subseteq (\mathcal{D}_{\alpha \rightarrow \beta})^2$ :

$$\{\langle f^0, f^1 \rangle \mid \forall \langle y^0, y^1 \rangle \in \mathcal{R}_\alpha \Rightarrow \langle f^0(y^0), f^1(y^1) \rangle \in \mathcal{R}_\beta\}$$

# Logical Relation Frames

...

...

$\mathcal{D}'s$

$\mathcal{R}'s$

$$\mathcal{D}_{o \rightarrow o} \quad \mathcal{D}_{\iota \rightarrow o} \quad \mathcal{D}_{o \rightarrow \iota} \quad \mathcal{D}_{\iota \rightarrow \iota} \quad \mathcal{R}_{o \rightarrow o} \quad \mathcal{R}_{\iota \rightarrow o} \quad \mathcal{R}_{o \rightarrow \iota} \quad \mathcal{R}_{\iota \rightarrow \iota}$$

$\mathcal{D}_o$

$\mathcal{D}_\iota$

$\mathcal{R}_o$

$\mathcal{R}_\iota$

**Define:**  $\mathcal{D}_{\alpha \rightarrow \beta}$ ,  $\alpha, \beta \in \{o, \iota\}$

...

...

$\mathcal{D}'s$

$\mathcal{R}'s$

$$\mathcal{D}_{o \rightarrow o} \quad \mathcal{D}_{\iota \rightarrow o} \quad \mathcal{D}_{o \rightarrow \iota} \quad \mathcal{D}_{\iota \rightarrow \iota} \quad \mathcal{R}_{o \rightarrow o} \quad \mathcal{R}_{\iota \rightarrow o} \quad \mathcal{R}_{o \rightarrow \iota} \quad \mathcal{R}_{\iota \rightarrow \iota}$$

$\mathcal{D}_o$

$\mathcal{D}_\iota$

$\mathcal{R}_o$

$\mathcal{R}_\iota$

**Given:**  $\mathcal{D}_o, \mathcal{D}_\iota, \mathcal{R}_o, \mathcal{R}_\iota$

# Logical Relation Frames

...

...

$\mathcal{D}'s$

$\mathcal{R}'s$

$$\mathcal{D}_{o \rightarrow o} \quad \mathcal{D}_{\iota \rightarrow o} \quad \mathcal{D}_{o \rightarrow \iota} \quad \mathcal{D}_{\iota \rightarrow \iota} \quad \mathcal{R}_{o \rightarrow o} \quad \mathcal{R}_{\iota \rightarrow o} \quad \mathcal{R}_{o \rightarrow \iota} \quad \mathcal{R}_{\iota \rightarrow \iota}$$

$\mathcal{D}_o$

$\mathcal{D}_\iota$

$\mathcal{R}_o$

$\mathcal{R}_\iota$

**Define:**  $\mathcal{R}_{\alpha \rightarrow \beta}$ ,  $\alpha, \beta \in \{o, \iota\}$

# Logical Relation Frames



# Logical Relation Frames



...

...

$\mathcal{D}'s$

$\mathcal{R}'s$

$\mathcal{D}_{o \rightarrow o} \quad \mathcal{D}_{t \rightarrow o} \quad \mathcal{D}_{o \rightarrow t} \quad \mathcal{D}_{t \rightarrow t}$      $\mathcal{R}_{o \rightarrow o} \quad \mathcal{R}_{t \rightarrow o} \quad \mathcal{R}_{o \rightarrow t} \quad \mathcal{R}_{t \rightarrow t}$

Define: Next  $\mathcal{D}'s$

...

...

$\mathcal{D}'s$

$\mathcal{R}'s$

$\mathcal{D}_{o \rightarrow o} \quad \mathcal{D}_{t \rightarrow o} \quad \mathcal{D}_{o \rightarrow t} \quad \mathcal{D}_{t \rightarrow t}$      $\mathcal{R}_{o \rightarrow o} \quad \mathcal{R}_{t \rightarrow o} \quad \mathcal{R}_{o \rightarrow t} \quad \mathcal{R}_{t \rightarrow t}$

Define: Next  $\mathcal{R}'s$

# Logical Relation Frames



...

...

$\mathcal{D}'s$

$\mathcal{R}'s$

$\mathcal{D}_{o \rightarrow o} \quad \mathcal{D}_{t \rightarrow o} \quad \mathcal{D}_{o \rightarrow t} \quad \mathcal{D}_{t \rightarrow t}$      $\mathcal{R}_{o \rightarrow o} \quad \mathcal{R}_{t \rightarrow o} \quad \mathcal{R}_{o \rightarrow t} \quad \mathcal{R}_{t \rightarrow t}$

... and so on

# Logical Relation Frames



Fact 1: Each  $\mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2$  is reflexive.

Fact 2:  $\mathcal{D}$  is a combinatory frame.

No Proofs Yet... Wait...

Construction works with relations of any arity.  
Let A be a nonempty set.

function  $p : A \rightarrow \mathcal{D}_\alpha$  ~ A-tuple  $\langle p(i) \rangle_{i \in A}$   
of elements of  $\mathcal{D}_\alpha$

set of functions  $\mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^A$  ~ A-ary relation on  $\mathcal{D}_\alpha$

Let  $x \in \mathcal{D}_\alpha$  and  $K_x : A \rightarrow \mathcal{D}_\alpha$  be the constant function  $K_x(i) = x$  for all  $i \in A$ .

constant function  $K_x$  ~ A-tuple  $\langle x \rangle_{i \in A}$ .

Instead of *reflexivity* of  $\mathcal{R}_\alpha$ ,  
we will need all constant functions  $K_x$  to be in  $\mathcal{R}_\alpha$ .

## Generalizing Logical Relation Frames

Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2, \mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^2$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta} :=$

$$\{f : \mathcal{D}_\alpha \rightarrow \mathcal{D}_\beta \mid \forall (p^0, p^1) \in \mathcal{R}_\alpha \quad \langle f(p^0), f(p^1) \rangle \in \mathcal{R}_\beta\}$$

Define  $\mathcal{R}_{\alpha \rightarrow \beta} :=$

$$\{ (q^0, q^1) \in (\mathcal{D}_{\alpha \rightarrow \beta})^2 \mid \forall (p^0, p^1) \in \mathcal{R}_\alpha \quad \langle q^0(p^0), q^1(p^1) \rangle \in \mathcal{R}_\beta\}$$

## Generalizing Logical Relation Frames

Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2, \mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^2$  are defined.

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$$\{ (q^0, q^1) \in (\mathcal{D}_{\alpha \rightarrow \beta})^2 \mid \forall (p^0, p^1) \in \mathcal{R}_\alpha \quad \langle q^0(p^0), q^1(p^1) \rangle \in \mathcal{R}_\beta\}$$

# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^{\boxed{2}}$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^{\boxed{2}}$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^{\boxed{2}}$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^{\boxed{2}}$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta} :=$

$$\{f : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta \mid \forall \boxed{p} \in \mathcal{R}_\alpha \quad \langle f(p^0), f(p^1) \rangle \in \mathcal{R}_\beta\}$$

Define  $\mathcal{R}_{\alpha \rightarrow \beta} :=$

$$\{\boxed{q} \in (\mathcal{D}_{\alpha \rightarrow \beta})^{\boxed{2}} \mid \forall \boxed{p} \in \mathcal{R}_\alpha \quad \langle q^0(p^0), q^1(p^1) \rangle \in \mathcal{R}_\beta\}$$

# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^{\boxed{2}}$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^{\boxed{2}}$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^{\boxed{2}}$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^{\boxed{2}}$  are defined.

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# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^{\boxed{2}}$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^{\boxed{2}}$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^{\boxed{2}}$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^{\boxed{2}}$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta} :=$

$$\{f : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta \mid \forall \boxed{p} \in \mathcal{R}_\alpha \quad \langle f(p^0), f(p^1) \rangle \in \mathcal{R}_\beta\}$$

Define  $\mathcal{R}_{\alpha \rightarrow \beta} :=$

$$\{\boxed{q} \in (\mathcal{D}_{\alpha \rightarrow \beta})^{\boxed{2}} \mid \forall \boxed{p} \in \mathcal{R}_\alpha \quad \langle q^0(p^0), q^1(p^1) \rangle \in \mathcal{R}_\beta\}$$

# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^{\boxed{2}}$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^{\boxed{2}}$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^{\boxed{2}}$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^{\boxed{2}}$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta} :=$

$$\{f : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta \mid \forall \boxed{p} \in \mathcal{R}_\alpha \quad \langle f(p(0)), f(p(1)) \rangle \in \mathcal{R}_\beta\}$$

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# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^2$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta} :=$

$$\{f : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta \mid \forall [p] \in \mathcal{R}_\alpha \quad [i \mapsto f(p(i))] \in \mathcal{R}_\beta\}$$

Define  $\mathcal{R}_{\alpha \rightarrow \beta} :=$

$$\{[q] \in (\mathcal{D}_{\alpha \rightarrow \beta})^2 \mid \forall [p] \in \mathcal{R}_\alpha \quad \langle q^0(p^0), q^1(p^1) \rangle \in \mathcal{R}_\beta\}$$

# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^2$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta} :=$

$$\{f : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta \mid \forall [p] \in \mathcal{R}_\alpha \quad [f \circ p] \in \mathcal{R}_\beta\}$$

Define  $\mathcal{R}_{\alpha \rightarrow \beta} :=$

$$\{[q] \in (\mathcal{D}_{\alpha \rightarrow \beta})^2 \mid \forall [p] \in \mathcal{R}_\alpha \quad \langle q^0(p^0), q^1(p^1) \rangle \in \mathcal{R}_\beta\}$$

# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^2$  are defined.

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# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^2$  are defined.

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# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

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# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^2$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta} :=$

$$\{f : \mathcal{D}_\alpha \rightarrow \mathcal{D}_\beta \mid \forall p \in \mathcal{R}_\alpha \quad (f \circ p) \in \mathcal{R}_\beta\}$$

Define  $\mathcal{R}_{\alpha \rightarrow \beta} :=$

$$\{q \in (\mathcal{D}_{\alpha \rightarrow \beta})^2 \mid \forall p \in \mathcal{R}_\alpha \quad \langle q(0)(p(0)), q(1)(p(1)) \rangle \in \mathcal{R}_\beta\}$$

# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

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Define  $\mathcal{R}_{\alpha \rightarrow \beta} :=$

$$\{q \in (\mathcal{D}_{\alpha \rightarrow \beta})^2 \mid \forall p \in \mathcal{R}_\alpha \quad S(q, p) \in \mathcal{R}_\beta\}$$

where  $S(q, p)(i) := q(i)(p(i))$ .

# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^2$  are defined.

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# Generalizing Logical Relation Frames



Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^2$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta} :=$

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where  $S(q, p)(i) := q(i)(p(i))$ .

## Generalizing Logical Relation Frames

Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^2$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^2$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^2$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^2$  are defined.

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$$\{f : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta \mid \forall p \in \mathcal{R}_\alpha \quad (f \circ p) \in \mathcal{R}_\beta\}$$

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where  $S(q, p)(i) := q(i)(p(i))$ .

## Generalizing Logical Relation Frames

Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^A$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^A$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^A$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^A$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta} :=$

$$\{f : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta \mid \forall p \in \mathcal{R}_\alpha \quad (f \circ p) \in \mathcal{R}_\beta\}$$

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where  $S(q, p)(i) := q(i)(p(i))$ .

## Generalizing Logical Relation Frames

Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^A$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^A$ .

Extend to function types:

Assume  $\mathcal{D}_\alpha, \mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha^A$ ,  $\mathcal{D}_\beta$  and  $\mathcal{R}_\beta \subseteq \mathcal{D}_\beta^A$  are defined.

Define  $\mathcal{D}_{\alpha \rightarrow \beta} :=$

$$\{f : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta \mid \forall p \in \mathcal{R}_\alpha \quad (f \circ p) \in \mathcal{R}_\beta\}$$

Define  $\mathcal{R}_{\alpha \rightarrow \beta} :=$

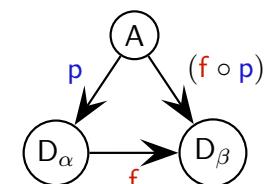
$$\{q \in (\mathcal{D}_{\alpha \rightarrow \beta})^A \mid \forall p \in \mathcal{R}_\alpha \quad S(q, p) \in \mathcal{R}_\beta\}$$

where  $S(q, p)(i) := q(i)(p(i))$ .

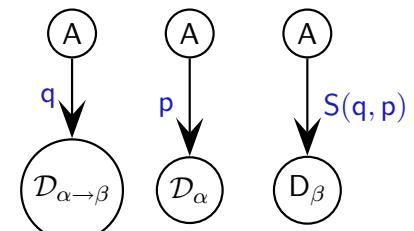
## Logical Relation Frames

Start with  $\mathcal{D}_o, \mathcal{D}_i, \mathcal{R}_o \subseteq \mathcal{D}_o^A$  and  $\mathcal{R}_i \subseteq \mathcal{D}_i^A$ .

$$f \in \mathcal{D}_{\alpha \rightarrow \beta} \text{ iff } \forall p \in \mathcal{R}_\alpha (f \circ p) \in \mathcal{R}_\beta$$



$$q \in \mathcal{R}_{\alpha \rightarrow \beta} \text{ iff } \forall p \in \mathcal{R}_\alpha S(q, p) \in \mathcal{R}_\beta$$



**Defn:** This  $\mathcal{D}$  is the A-ary logical relation extension of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$  (or, A-ary l.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ ).

**Defn:** If  $\mathcal{D}$  is a frame,  $\mathcal{D}$  is an A-ary logical relation frame with relation  $\mathcal{R}$ .

Facts:

- If  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions, then each  $\mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^A$  contains all constant functions.
- If  $\mathcal{D}_o$  and  $\mathcal{D}_\iota$  are nonempty and  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions, then  $\mathcal{D}$  is a combinatory frame.

Proofs...

# Logical Relation Frames

**Lemma:** Let  $\mathcal{D}$  be the A-ary l.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{R}_\alpha$  contains all constant functions.

**Proof:** Case Split. For  $\alpha \in \{o, \iota\}$  assertion holds by assumption.

**Lemma:** Let  $\mathcal{D}$  be the A-ary l.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ . Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions. Then each  $\mathcal{R}_\alpha$  contains all constant functions.

**Proof:** Case Split.

## Logical Relation Frames



**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{R}_\alpha$  contains all constant functions.

**Proof:** Case Split. For  $\alpha \in \{o, \iota\}$  assertion holds by assumption.

Assume  $\alpha$  is a function type  $\gamma \rightarrow \beta$ .

Show:  $\mathcal{R}_{\gamma \rightarrow \beta}$  contains all constant functions.

## Logical Relation Frames



**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{R}_\alpha$  contains all constant functions.

**Proof:** Case Split. For  $\alpha \in \{o, \iota\}$  assertion holds by assumption.

Assume  $\alpha$  is a function type  $\gamma \rightarrow \beta$ .

Show:  $\mathcal{R}_{\gamma \rightarrow \beta}$  contains all constant functions.

Let  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$  be given.

## Logical Relation Frames



**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{R}_\alpha$  contains all constant functions.

**Proof:** Case Split. For  $\alpha \in \{o, \iota\}$  assertion holds by assumption.

Assume  $\alpha$  is a function type  $\gamma \rightarrow \beta$ .

Show:  $\mathcal{R}_{\gamma \rightarrow \beta}$  contains all constant functions.

Let  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$  be given.

Show:  $K_f \in \mathcal{R}_{\gamma \rightarrow \beta}$ .

## Logical Relation Frames



**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{R}_\alpha$  contains all constant functions.

**Proof:** Case Split. For  $\alpha \in \{o, \iota\}$  assertion holds by assumption.

Assume  $\alpha$  is a function type  $\gamma \rightarrow \beta$ .

Show:  $\mathcal{R}_{\gamma \rightarrow \beta}$  contains all constant functions.

Let  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$  be given.

Show:  $K_f \in \mathcal{R}_{\gamma \rightarrow \beta}$ .

I.e., show: for all  $p \in \mathcal{R}_\gamma$ ,  $S(K_f, p) \in \mathcal{R}_\beta$ .

# Logical Relation Frames



**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{R}_\alpha$  contains all constant functions.

**Proof:** Case Split. For  $\alpha \in \{o, \iota\}$  assertion holds by assumption.

Assume  $\alpha$  is a function type  $\gamma \rightarrow \beta$ .

Show:  $\mathcal{R}_{\gamma \rightarrow \beta}$  contains all constant functions.

Let  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$  be given.

Show:  $K_f \in \mathcal{R}_{\gamma \rightarrow \beta}$ .

I.e., show: for all  $p \in \mathcal{R}_\gamma$ ,  $S(K_f, p) \in \mathcal{R}_\beta$ .

Let  $p \in \mathcal{R}_\gamma$  be given.

# Logical Relation Frames



**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{R}_\alpha$  contains all constant functions.

**Proof:** Case Split. For  $\alpha \in \{o, \iota\}$  assertion holds by assumption.

Assume  $\alpha$  is a function type  $\gamma \rightarrow \beta$ .

Show:  $\mathcal{R}_{\gamma \rightarrow \beta}$  contains all constant functions.

Let  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$  be given.

Show:  $K_f \in \mathcal{R}_{\gamma \rightarrow \beta}$ .

I.e., show: for all  $p \in \mathcal{R}_\gamma$ ,  $S(K_f, p) \in \mathcal{R}_\beta$ .

Let  $p \in \mathcal{R}_\gamma$  be given.

For  $i \in A$ ,  $S(K_f, p)(i) = K_f(i)(p(i)) = f(p(i))$ .

# Logical Relation Frames



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Let  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$  be given.

Show:  $K_f \in \mathcal{R}_{\gamma \rightarrow \beta}$ .

I.e., show: for all  $p \in \mathcal{R}_\gamma$ ,  $S(K_f, p) \in \mathcal{R}_\beta$ .

Let  $p \in \mathcal{R}_\gamma$  be given.

For  $i \in A$ ,  $S(K_f, p)(i) = K_f(i)(p(i)) = f(p(i))$ .

Hence  $S(K_f, p) = (f \circ p)$ .

# Logical Relation Frames



**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

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**Proof:** Case Split. For  $\alpha \in \{o, \iota\}$  assertion holds by assumption.

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Show:  $\mathcal{R}_{\gamma \rightarrow \beta}$  contains all constant functions.

Let  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$  be given.

Show:  $K_f \in \mathcal{R}_{\gamma \rightarrow \beta}$ .

I.e., show: for all  $p \in \mathcal{R}_\gamma$ ,  $S(K_f, p) \in \mathcal{R}_\beta$ .

Let  $p \in \mathcal{R}_\gamma$  be given.

For  $i \in A$ ,  $S(K_f, p)(i) = K_f(i)(p(i)) = f(p(i))$ .

Hence  $S(K_f, p) = (f \circ p)$ .

Note  $(f \circ p) \in \mathcal{R}_\beta$  since  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$ .

QED

**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .  
 Assume  $\mathcal{D}_o$  and  $\mathcal{D}_\iota$  are nonempty.  
 Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.  
 Then each  $\mathcal{D}_\alpha$  is nonempty.

**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .  
 Assume  $\mathcal{D}_o$  and  $\mathcal{D}_\iota$  are nonempty.  
 Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.  
 Then each  $\mathcal{D}_\alpha$  is nonempty.  
**Proof:** Induction on types. Base cases by assumption.

**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .  
 Assume  $\mathcal{D}_o$  and  $\mathcal{D}_\iota$  are nonempty.  
 Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.  
 Then each  $\mathcal{D}_\alpha$  is nonempty.  
**Proof:** Induction on types. Base cases by assumption.  
 Assume  $\mathcal{D}_\beta$  is nonempty. Choose some  $b \in \mathcal{D}_\beta$ .

**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .  
 Assume  $\mathcal{D}_o$  and  $\mathcal{D}_\iota$  are nonempty.  
 Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.  
 Then each  $\mathcal{D}_\alpha$  is nonempty.  
**Proof:** Induction on types. Base cases by assumption.  
 Assume  $\mathcal{D}_\beta$  is nonempty. Choose some  $b \in \mathcal{D}_\beta$ .  
 Let  $f : \mathcal{D}_\gamma \longrightarrow \mathcal{D}_\beta$  be  $f(x) := b$  for all  $x \in \mathcal{D}_\gamma$ .

## Logical Relation Frames

**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{D}_o$  and  $\mathcal{D}_\iota$  are nonempty.

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{D}_\alpha$  is nonempty.

**Proof:** Induction on types. Base cases by assumption.

Assume  $\mathcal{D}_\beta$  is nonempty. Choose some  $b \in \mathcal{D}_\beta$ .

Let  $f : \mathcal{D}_\gamma \rightarrow \mathcal{D}_\beta$  be  $f(x) := b$  for all  $x \in \mathcal{D}_\gamma$ .

**Claim:**  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$ .

## Logical Relation Frames

**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{D}_o$  and  $\mathcal{D}_\iota$  are nonempty.

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{D}_\alpha$  is nonempty.

**Proof:** Induction on types. Base cases by assumption.

Assume  $\mathcal{D}_\beta$  is nonempty. Choose some  $b \in \mathcal{D}_\beta$ .

Let  $f : \mathcal{D}_\gamma \rightarrow \mathcal{D}_\beta$  be  $f(x) := b$  for all  $x \in \mathcal{D}_\gamma$ .

**Claim:**  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$ .

Let  $p \in \mathcal{R}_\gamma$  be given. Check:  $(f \circ p) \in \mathcal{R}_\beta$ .

## Logical Relation Frames

**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{D}_o$  and  $\mathcal{D}_\iota$  are nonempty.

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{D}_\alpha$  is nonempty.

**Proof:** Induction on types. Base cases by assumption.

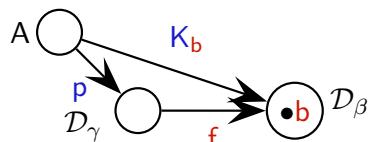
Assume  $\mathcal{D}_\beta$  is nonempty. Choose some  $b \in \mathcal{D}_\beta$ .

Let  $f : \mathcal{D}_\gamma \rightarrow \mathcal{D}_\beta$  be  $f(x) := b$  for all  $x \in \mathcal{D}_\gamma$ .

**Claim:**  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$ .

Let  $p \in \mathcal{R}_\gamma$  be given. Check:  $(f \circ p) \in \mathcal{R}_\beta$ .

Note:  $(f \circ p) = K_b$  (constant  $b$  function with domain A).



## Logical Relation Frames

**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{D}_o$  and  $\mathcal{D}_\iota$  are nonempty.

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{D}_\alpha$  is nonempty.

**Proof:** Induction on types. Base cases by assumption.

Assume  $\mathcal{D}_\beta$  is nonempty. Choose some  $b \in \mathcal{D}_\beta$ .

Let  $f : \mathcal{D}_\gamma \rightarrow \mathcal{D}_\beta$  be  $f(x) := b$  for all  $x \in \mathcal{D}_\gamma$ .

**Claim:**  $f \in \mathcal{D}_{\gamma \rightarrow \beta}$ .

Let  $p \in \mathcal{R}_\gamma$  be given. Check:  $(f \circ p) \in \mathcal{R}_\beta$ .

Note:  $(f \circ p) = K_b$  (constant  $b$  function with domain A).

By previous lemma,  $(f \circ p) \in \mathcal{R}_\beta$ .

QED

## Logical Relation Frames



**Lemma:** Let  $\mathcal{D}$  be the A-ary I.r.e. of  $\langle \mathcal{D}_\iota, \mathcal{D}_o, \mathcal{R}_\iota, \mathcal{R}_o \rangle$ .

Assume  $\mathcal{D}_o$  and  $\mathcal{D}_\iota$  are nonempty.

Assume  $\mathcal{R}_o$  and  $\mathcal{R}_\iota$  contain all constant functions.

Then each  $\mathcal{D}$  is a combinatory frame.

**Proof:** Know  $\mathcal{D}$  is a frame:

Each  $\mathcal{D}_\alpha$  is nonempty.

Each  $\mathcal{D}_{\alpha \rightarrow \beta} \subseteq \mathcal{D}_\beta^{\mathcal{D}_\alpha}$ .

Must Check Combinatory:  $k$  and  $s$

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

First check:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

First check:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

Let  $a \in \mathcal{D}_\alpha$  be given. Check:  $k(a) \in \mathcal{D}_{\beta \rightarrow \alpha}$ .

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

First check:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

Let  $a \in \mathcal{D}_\alpha$  be given. Check:  $k(a) \in \mathcal{D}_{\beta \rightarrow \alpha}$ .

Let  $p \in \mathcal{R}_\beta$  be given.

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

First check:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

Let  $a \in \mathcal{D}_\alpha$  be given. Check:  $k(a) \in \mathcal{D}_{\beta \rightarrow \alpha}$ .

Let  $p \in \mathcal{R}_\beta$  be given.

$(k(a) \circ p) : A \longrightarrow \mathcal{D}_\alpha$  is constant  $a$  function.

Hence:  $(k(a) \circ p) \in \mathcal{R}_\alpha$ .

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

First check:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

Let  $a \in \mathcal{D}_\alpha$  be given. Check:  $k(a) \in \mathcal{D}_{\beta \rightarrow \alpha}$ .

Let  $p \in \mathcal{R}_\beta$  be given.

$(k(a) \circ p) : A \longrightarrow \mathcal{D}_\alpha$  is constant  $a$  function.

Hence:  $(k(a) \circ p) \in \mathcal{R}_\alpha$ .

Hence:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

Know:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

To check  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ , let  $q \in \mathcal{R}_\alpha$  be given.

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

Know:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

To check  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ , let  $q \in \mathcal{R}_\alpha$  be given.

In order to check  $(k \circ q) \in \mathcal{R}_{\beta \rightarrow \alpha}$ , let  $p \in \mathcal{R}_\beta$  be given.

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

Know:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

To check  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ , let  $q \in \mathcal{R}_\alpha$  be given.

In order to check  $(k \circ q) \in \mathcal{R}_{\beta \rightarrow \alpha}$ , let  $p \in \mathcal{R}_\beta$  be given.

Now, we must check that  $S(k \circ q, p) \in \mathcal{R}_\alpha$ .

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

Know:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

To check  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ , let  $q \in \mathcal{R}_\alpha$  be given.

In order to check  $(k \circ q) \in \mathcal{R}_{\beta \rightarrow \alpha}$ , let  $p \in \mathcal{R}_\beta$  be given.

Now, we must check that  $S(k \circ q, p) \in \mathcal{R}_\alpha$ .

For each  $i \in A$ , we compute

$$S(k \circ q, p)(i) = (k \circ q)(i)(p(i)) = k(q(i))(p(i)) = q(i).$$

## Logical Relation Frames: K



Check:  $\exists k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha} \ni k(a)(b) = a \forall a \in \mathcal{D}_\alpha, b \in \mathcal{D}_\beta$ .

Define  $k$  as function  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\alpha^{\mathcal{D}_\beta}$  by  $k(a)(b) := a$ .

Check:  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ .

Know:  $k : \mathcal{D}_\alpha \longrightarrow \mathcal{D}_{\beta \rightarrow \alpha}$ .

To check  $k \in \mathcal{D}_{\alpha \rightarrow \beta \rightarrow \alpha}$ , let  $q \in \mathcal{R}_\alpha$  be given.

In order to check  $(k \circ q) \in \mathcal{R}_{\beta \rightarrow \alpha}$ , let  $p \in \mathcal{R}_\beta$  be given.

Now, we must check that  $S(k \circ q, p) \in \mathcal{R}_\alpha$ .

For each  $i \in A$ , we compute

$$S(k \circ q, p)(i) = (k \circ q)(i)(p(i)) = k(q(i))(p(i)) = q(i).$$

Thus  $S(k \circ q, p) = q \in \mathcal{R}_\alpha$ .

## Review



- Given  $A$ ,  $\mathcal{D}_o$ ,  $\mathcal{D}_t$  nonempty and  $\mathcal{R}_o \subseteq \mathcal{D}_o^A$  and  $\mathcal{R}_t \subseteq \mathcal{D}_t^A$  containing all constant functions
- Define  $\mathcal{D}_\alpha$  and  $\mathcal{R}_\alpha \subseteq \mathcal{D}_\alpha$
- $\mathcal{D}$  combinatory
- $\mathcal{D}$  evaluates  $\lambda$ -terms
- $\mathcal{D}$  may or may not realize logical constants

## Logical Relation Frames: S

Define  $s(g)(f)(a) := g(a)(f(a))$

Check:  $s(g)(f) \in \mathcal{D}_*$

$$(s(g)(f) \circ p) = S(g \circ p, f \circ p) \in \mathcal{R}_*$$

Check:  $s(g) \in \mathcal{D}_*$

$$S(s(g) \circ q, p) = S(g \circ p, S(q, p)) \in \mathcal{R}_*$$

Check:  $s \in \mathcal{D}_*$

$$S(S(s \circ r, q), p) = S(S(r, q), p) \in \mathcal{R}_*$$

## Frames with Specified Sets



Let  $A$  be nonempty and  $B \subseteq PA$ . Assume  $\emptyset \in B$  and  $A \in B$ .

Define  $\mathcal{D}_o := \{\text{T}, \text{F}\}$  and  $\mathcal{D}_t := A$ .

Define  $\mathcal{R}_o \subseteq (\mathcal{D}_o)^A$ :  $\{p : A \longrightarrow \mathcal{D}_o \mid p^{-1}(\text{T}) \in B\} = \{\chi_X \mid X \in B\}$

Define  $\mathcal{R}_t \subseteq (\mathcal{D}_t)^A$ :  $\{p : A \longrightarrow \mathcal{D}_t \mid p^{-1}(X) \in B \text{ whenever } X \in B\}$

Fact:  $\mathcal{R}_o$  and  $\mathcal{R}_t$  contain all constant functions.

**Proof:**

## Frames with Specified Sets



Let A be nonempty and  $\mathcal{B} \subseteq \mathcal{P}A$ . Assume  $\emptyset \in \mathcal{B}$  and  $A \in \mathcal{B}$ .

Define  $\mathcal{D}_o := \{\text{T}, \text{F}\}$  and  $\mathcal{D}_i := A$ .

Define  $\mathcal{R}_o \subseteq (\mathcal{D}_o)^A$ :  $\{p : A \longrightarrow \mathcal{D}_o \mid p^{-1}(\text{T}) \in \mathcal{B}\} = \{\chi_X \mid X \in \mathcal{B}\}$

Define  $\mathcal{R}_i \subseteq (\mathcal{D}_i)^A$ :  $\{p : A \longrightarrow \mathcal{D}_i \mid p^{-1}(X) \in \mathcal{B} \text{ whenever } X \in \mathcal{B}\}$

Fact:  $\mathcal{R}_o$  and  $\mathcal{R}_i$  contain all constant functions.

**Proof:**

$$K_{\text{T}} = \chi_A \quad (K_{\text{T}})^{-1}(\text{T}) = A \in \mathcal{B}$$

$$K_{\text{F}} = \chi_{\emptyset} \quad (K_{\text{F}})^{-1}(\text{T}) = \emptyset \in \mathcal{B}$$

Hence  $K_{\text{T}}, K_{\text{F}} \in \mathcal{R}_o$ .



## Frames with Specified Sets



Let A be nonempty and  $\mathcal{B} \subseteq \mathcal{P}A$ . Assume  $\emptyset \in \mathcal{B}$  and  $A \in \mathcal{B}$ .

Define  $\mathcal{D}_o := \{\text{T}, \text{F}\}$  and  $\mathcal{D}_i := A$ .

Define  $\mathcal{R}_o \subseteq (\mathcal{D}_o)^A$ :  $\{p : A \longrightarrow \mathcal{D}_o \mid p^{-1}(\text{T}) \in \mathcal{B}\} = \{\chi_X \mid X \in \mathcal{B}\}$

Define  $\mathcal{R}_i \subseteq (\mathcal{D}_i)^A$ :  $\{p : A \longrightarrow \mathcal{D}_i \mid p^{-1}(X) \in \mathcal{B} \text{ whenever } X \in \mathcal{B}\}$

Let  $\mathcal{D}$  be the A-ary l.r.e. with relation  $\mathcal{R}$ .

By Previous Results:  $\mathcal{D}$  is a combinatory frame.

## Frames with Specified Sets



Let A be nonempty and  $\mathcal{B} \subseteq \mathcal{P}A$ . Assume  $\emptyset \in \mathcal{B}$  and  $A \in \mathcal{B}$ .

Define  $\mathcal{D}_o := \{\text{T}, \text{F}\}$  and  $\mathcal{D}_i := A$ .

Define  $\mathcal{R}_o \subseteq (\mathcal{D}_o)^A$ :  $\{p : A \longrightarrow \mathcal{D}_o \mid p^{-1}(\text{T}) \in \mathcal{B}\} = \{\chi_X \mid X \in \mathcal{B}\}$

Define  $\mathcal{R}_i \subseteq (\mathcal{D}_i)^A$ :  $\{p : A \longrightarrow \mathcal{D}_i \mid p^{-1}(X) \in \mathcal{B} \text{ whenever } X \in \mathcal{B}\}$

Fact:  $\mathcal{R}_o$  and  $\mathcal{R}_i$  contain all constant functions.

**Proof:** For each  $a \in A$  and  $X \in \mathcal{B}$

$$(K_a)^{-1}(X) = A \in \mathcal{B} \text{ if } a \in X \text{ and } (K_a)^{-1}(X) = \emptyset \in \mathcal{B} \text{ if } a \notin X$$

Hence  $K_a \in \mathcal{R}_i$ .



## Frames with Specified Sets



Let A be nonempty and  $\mathcal{B} \subseteq \mathcal{P}A$ . Assume  $\emptyset \in \mathcal{B}$  and  $A \in \mathcal{B}$ .

Define  $\mathcal{D}_o := \{\text{T}, \text{F}\}$  and  $\mathcal{D}_i := A$ .

Define  $\mathcal{R}_o \subseteq (\mathcal{D}_o)^A$ :  $\{p : A \longrightarrow \mathcal{D}_o \mid p^{-1}(\text{T}) \in \mathcal{B}\} = \{\chi_X \mid X \in \mathcal{B}\}$

Define  $\mathcal{R}_i \subseteq (\mathcal{D}_i)^A$ :  $\{p : A \longrightarrow \mathcal{D}_i \mid p^{-1}(X) \in \mathcal{B} \text{ whenever } X \in \mathcal{B}\}$

## Frames with Specified Sets



Let A be nonempty and  $\mathcal{B} \subseteq \mathcal{P}A$ . Assume  $\emptyset \in \mathcal{B}$  and  $A \in \mathcal{B}$ .

Define  $\mathcal{D}_o := \{\text{T}, \text{F}\}$  and  $\mathcal{D}_i := A$ .

Define  $\mathcal{R}_o \subseteq (\mathcal{D}_o)^A$ :  $\{p : A \longrightarrow \mathcal{D}_o \mid p^{-1}(\text{T}) \in \mathcal{B}\} = \{\chi_X \mid X \in \mathcal{B}\}$

Define  $\mathcal{R}_i \subseteq (\mathcal{D}_i)^A$ :  $\{p : A \longrightarrow \mathcal{D}_i \mid p^{-1}(X) \in \mathcal{B} \text{ whenever } X \in \mathcal{B}\}$

Let  $\mathcal{D}$  be the A-ary l.r.e. with relation  $\mathcal{R}$ .

By Previous Results:  $\mathcal{D}$  is a combinatory frame.

We will show:  $\mathcal{D}_{i \rightarrow o} = \{\chi_X \mid X \in \mathcal{B}\} \cong \mathcal{B}$



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By Previous Results:  $\mathcal{D}$  is a combinatory frame.

We will show:  $\mathcal{D}_{\iota \rightarrow o} = \{\chi_X \mid X \in \mathcal{B}\} \cong \mathcal{B}$

Idea: We specified  $\mathcal{D}_\iota$  and  $\mathcal{D}_{\iota \rightarrow o}$  by giving  $A$  and  $\mathcal{B}$ ,

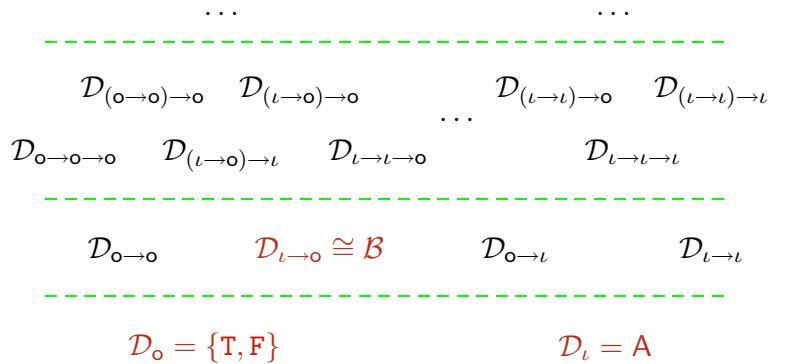
then extended definition to all types using relations.

## Specified Sets: $\mathcal{D}_{\iota \rightarrow \alpha} \subseteq \mathcal{R}_\alpha$

$$\mathcal{D}_{\iota \rightarrow \alpha} \subseteq (\mathcal{D}_\alpha)^{\mathcal{D}_\iota} = (\mathcal{D}_\alpha)^A \supseteq \mathcal{R}_\alpha.$$

$$\mathcal{R}_\iota = \{p : A \longrightarrow \mathcal{D}_\iota \mid p^{-1}(X) \in \mathcal{B} \text{ whenever } X \in \mathcal{B}\}$$

$$\mathcal{D}_{\iota \rightarrow \alpha} = \{f : A \longrightarrow \mathcal{D}_\alpha \mid \forall p \in \mathcal{R}_\iota \quad (f \circ p) \in \mathcal{R}_\alpha\}$$



$$\mathcal{D}_o = \{\text{T}, \text{F}\}$$

$$\mathcal{D}_i = A$$

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For any  $f \in \mathcal{D}_{\iota \rightarrow \alpha}$ ,  $f = (f \circ \text{id}) \in \mathcal{R}_\alpha$ .

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$$\mathcal{D}_{\iota \rightarrow \alpha} \subseteq \mathcal{R}_\alpha$$

In particular,

$$\mathcal{D}_{\iota \rightarrow o} \subseteq \mathcal{R}_o$$

Every  $f \in \mathcal{D}_{\iota \rightarrow o}$  is  $\chi_X$  for some  $X \in \mathcal{B}$ .

## Specified Sets: $\mathcal{R}_o \subseteq \mathcal{D}_{\iota \rightarrow o}$ , $\mathcal{D}_{\iota \rightarrow o} \cong \mathcal{B}$



$$\mathcal{R}_o = \{\chi_X \mid X \in \mathcal{B}\} = \{p : A \longrightarrow \mathcal{D}_o \mid p^{-1}(\text{T}) \in \mathcal{B}\}$$

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Suppose  $X \in \mathcal{B}$  and  $p \in \mathcal{R}_\iota$ .  $(\chi_X \circ p) \in \mathcal{R}_o$ ?

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$$(\chi_X \circ p)^{-1}(T) \in \mathcal{B}?$$

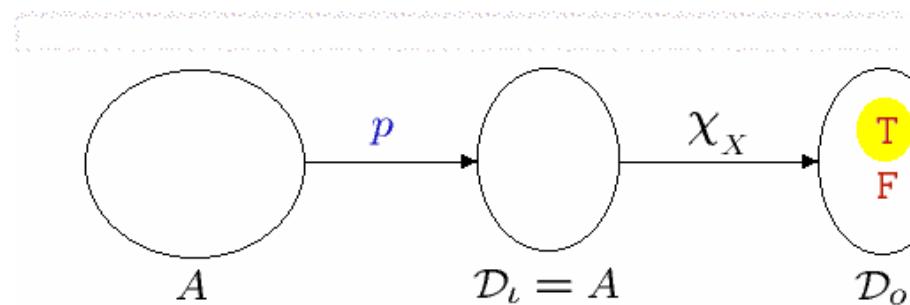
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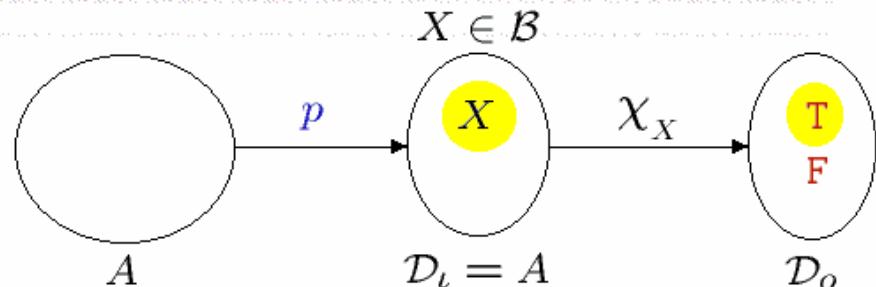
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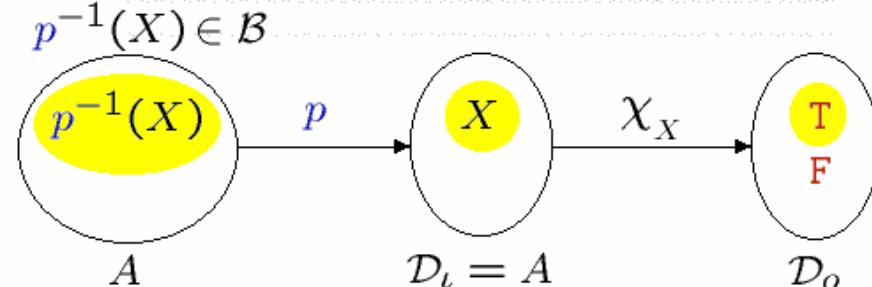
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Suppose  $X \in \mathcal{B}$  and  $p \in \mathcal{R}_i$ .  $(\chi_X \circ p) \in \mathcal{R}_o$ ?

Yes:  $(\chi_X \circ p)^{-1}(T) = p^{-1}(X) \in \mathcal{B}$  since  $p \in \mathcal{R}_i$ .

Hence  $\mathcal{R}_o \subseteq \mathcal{D}_{\iota \rightarrow o}$  and  $\mathcal{D}_{\iota \rightarrow o} = \mathcal{R}_o \cong \mathcal{B}$ .

## Specified Sets and Logical Constants



A nonempty,  $\mathcal{B} \subseteq \mathcal{P}A$ ,  $\emptyset \in \mathcal{B}$ ,  $A \in \mathcal{B}$ ,  $\mathcal{D}$  specified sets frame.

Let  $\neg : \mathcal{D}_o \longrightarrow \mathcal{D}_o$  be the negation function.  $\neg \in \mathcal{D}_{o \rightarrow o}$ ?

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$\neg \in \mathcal{D}_{o \rightarrow o}$  iff  $(\neg \circ \chi_X) \in \mathcal{R}_o$  for all  $X \in \mathcal{B}$

iff  $\chi_{(A \setminus X)} \in \mathcal{R}_o$  for all  $X \in \mathcal{B}$

iff  $(A \setminus X) \in \mathcal{B}$  for all  $X \in \mathcal{B}$

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$$\neg \in \mathcal{D}_{o \rightarrow o} \quad \text{iff} \quad (\neg \circ \chi_X) \in \mathcal{R}_o \text{ for all } X \in \mathcal{B}$$

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- $\mathcal{D}$  realizes  $\neg$  iff  $\mathcal{B}$  is closed under complements.

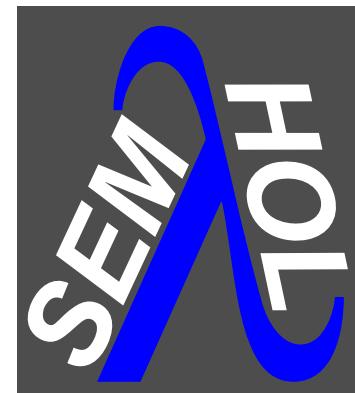
- $\mathcal{D}$  realizes  $\wedge$  iff  $\mathcal{B}$  is closed under binary intersections.

- $\mathcal{D}$  realizes  $\vee$  iff  $\mathcal{B}$  is closed under binary unions.

- We do not have such characterizations for  $=^\alpha$ ,  $\Pi^\alpha$  and  $\Sigma^\alpha$ .

## Review

- Given A nonempty,  $\mathcal{B} \subseteq \mathcal{P}A$ ,  $\emptyset \in \mathcal{B}$ ,  $A \in \mathcal{B}$ .
- $\mathcal{D}_t = A$
- $\mathcal{D}_{t \rightarrow o} \cong \mathcal{B}$
- $\mathcal{D}$  combinatory frame (evaluates terms)
- $\mathcal{D}$  may or may not realize logical constants
- Boolean Logical Constants correspond to Closure in  $\mathcal{B}$



Frame in which Surjective  
Cantor Fails

## Frame in which Surjective Cantor Fails



Let A be the real interval  $(-1, 1)$  and

$$\mathcal{B} := \{(a, 1) \mid -1 \leq a \leq 1\} \subseteq \mathcal{P}(A).$$

Note:  $\emptyset$  is  $(1, 1) \in \mathcal{B}$  and A is  $(-1, 1) \in \mathcal{B}$ .

Define  $\mathcal{D}_o := \{\text{T}, \text{F}\}$  and  $\mathcal{D}_t := A$ .

Let  $\mathcal{D}$  be Specified Sets Frame with  $\mathcal{D}_{t \rightarrow o} \cong \mathcal{B}$ .

## Frame in which Surjective Cantor Fails



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Facts:

- $\mathcal{D}_{t \rightarrow o} = \{\chi_{(a,1)} \mid -1 \leq a \leq 1\}$

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Facts:

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- Surjective Cantor Fails

## Proposed Surjection in $\mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$

$$\mathcal{D}_\iota = (-1, 1)$$

$$\mathcal{D}_{\iota \rightarrow o} = \{\chi_{(a,1)} \mid -1 \leq a \leq 1\}$$

That is,  $G(x)(y) = T$  iff  $-2x < y$  for each  $x, y \in (-1, 1)$ .

**Claim 1:**  $G$  is surjective.

**Claim 2:**  $G \in \mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$ .

$$\mathcal{D}_\iota = (-1, 1)$$

$$\mathcal{D}_{\iota \rightarrow o} = \{\chi_{(a,1)} \mid -1 \leq a \leq 1\}$$

Define  $G : \mathcal{D}_\iota \rightarrow \mathcal{D}_{\iota \rightarrow o}$  as follows:

$$G(x) := \begin{cases} \chi_\emptyset & \text{if } -1 < x \leq -\frac{1}{2} \\ \chi_{(-2x, 1)} & \text{if } -\frac{1}{2} < x < \frac{1}{2} \\ \chi_{(-1, 1)} & \text{if } \frac{1}{2} \leq x < 1 \end{cases}$$

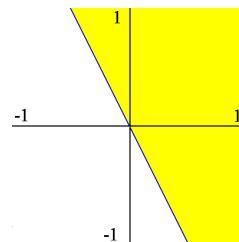
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## Proposed Surjection in $\mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$

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The graph of the relation  $G(x)(y) = T$ :



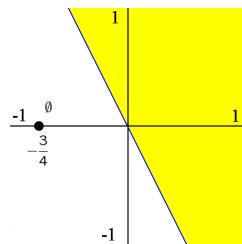
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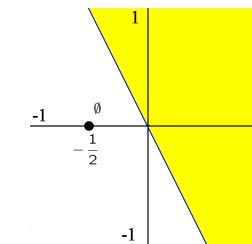


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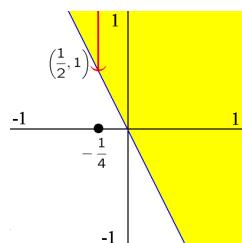
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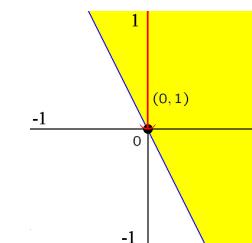
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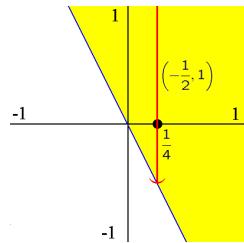
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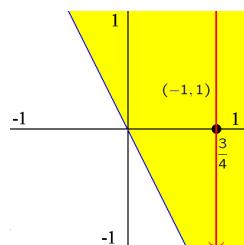
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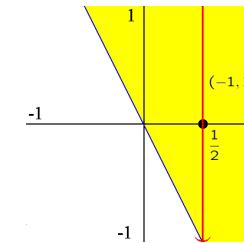
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## Proposed Surjection in $\mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$



$G(x)(y) = T$  iff  $-2x < y$  for each  $x, y \in (-1, 1)$ .

Is  $G \in \mathcal{D}_{\iota \rightarrow \iota \rightarrow o}$ ?

Need to check  $(G \circ p_1) \in \mathcal{R}_{\iota \rightarrow o}$  for every  $p_1 \in \mathcal{R}_\iota$ .

To check  $(G \circ p_1) \in \mathcal{R}_{\iota \rightarrow o}$ , we need to check

$S((G \circ p_1), p_2) \in \mathcal{R}_o$  for every  $p_2 \in \mathcal{R}_\iota$ .

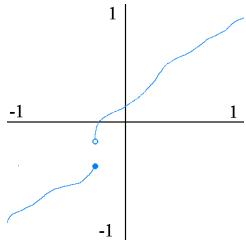
First: Characterize  $\mathcal{R}_\iota$ .

## Frame ... Surjective Cantor Fails: $\mathcal{R}_\iota$



$$\mathcal{R}_\iota = \{p : A \longrightarrow D_\iota \mid \forall X \in \mathcal{B} \quad p^{-1}(X) \in \mathcal{B}\}$$

$p \in \mathcal{R}_\iota$



Suppose  $p : A \longrightarrow A$ .

$p \in \mathcal{R}_\iota$

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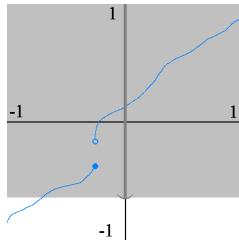
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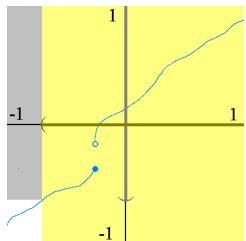
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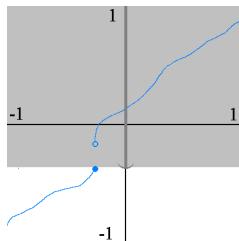
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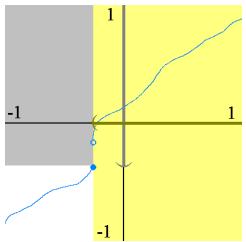
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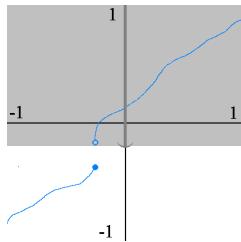
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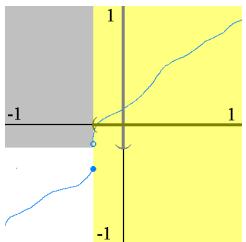
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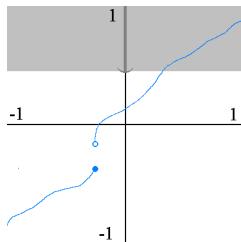
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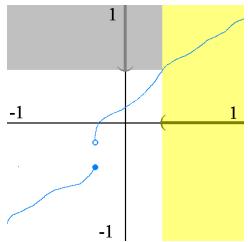
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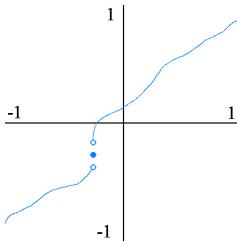
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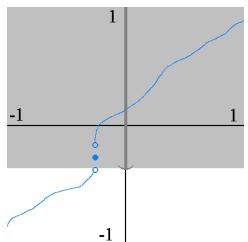
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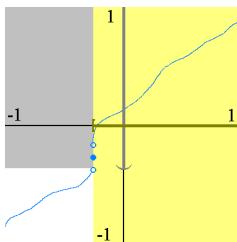
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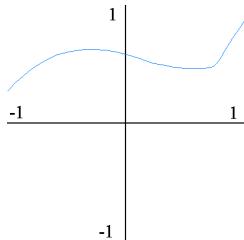
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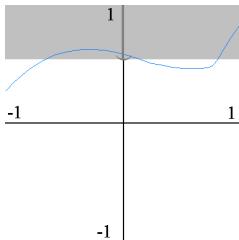
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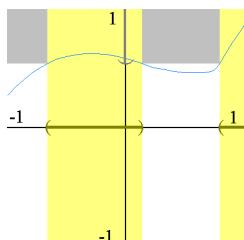
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## Frame in which Surjective Cantor Fails



$A$  is  $(-1, 1)$  and  $\mathcal{B}$  is  $\{(a, 1) \mid -1 \leq a \leq 1\} \subseteq \mathcal{P}(A)$ .

Is  $G \in \mathcal{D}_{\iota \rightarrow \iota \rightarrow \circ}$ ?

Let  $p_1, p_2 \in \mathcal{R}_\iota$ .

$p_1, p_2 : (-1, 1) \longrightarrow (-1, 1)$  are nondecreasing and left-continuous.

Show:  $S((G \circ p_1), p_2) \in ? \mathcal{R}_\circ$ .

Need:  $S((G \circ p_1), p_2)^{-1}(\textcolor{red}{T}) \in ? \mathcal{B}$ .

$$\{x \in (-1, 1) \mid S((G \circ p_1), p_2)(x) = \textcolor{red}{T}\} \in ? \mathcal{B}$$

## Frame in which Surjective Cantor Fails



Show:  $\{x \in (-1, 1) \mid S((G \circ p_1), p_2)(x) = T\} \in {}^? \mathcal{B}$

Compute:

$$S((G \circ p_1), p_2)(x) = (G \circ p_1)(x)(p_2(x)) = G(p_1(x))(p_2(x))$$

Show:  $\{x \in (-1, 1) \mid G(p_1(x))(p_2(x)) = T\} \in {}^? \mathcal{B}$

Recall:  $G(x)(y) = T$  iff  $-2x < y$  for each  $x, y \in (-1, 1)$ .

Simplifies Using Definition of  $G$ :

$$\{x \in (-1, 1) \mid -2p_1(x) < p_2(x)\} \in {}^? \mathcal{B}$$

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SEMHOL'06-[1] – p.80

## Review



- There is a combinatory frame  $\mathcal{D}$  in which Surjective Cantor Fails
- The frame does not realize  $\neg$
- Negation is necessary for the diagonal argument

## Frame in which Surjective Cantor Fails



Show:  $\{x \in (-1, 1) \mid -2p_1(x) < p_2(x)\} \in {}^? \mathcal{B}$

Show:  $\{x \in (-1, 1) \mid 0 < (p_2 + 2p_1)(x)\} \in {}^? \mathcal{B}$

$(p_2 + 2p_1)$  is nondecreasing and left-continuous since  $p_1, p_2 \in \mathcal{R}_\iota$  are nondecreasing and left-continuous.

Thus

$$\{x \in (-1, 1) \mid 0 < (p_2 + 2p_1)(x)\} = (a, 1) \in \mathcal{B}$$

for some  $a \in [-1, 1]$ .

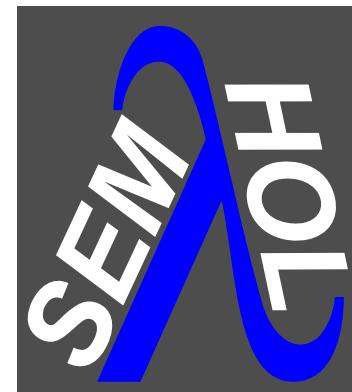
Therefore, the surjection  $G$  is in  $\mathcal{D}_{\iota \rightarrow \iota \rightarrow \circ}$  as desired.

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Injective Cantor



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## Injective Cantor Theorem



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Hence  $H(D) \notin D$ . **Contradiction!**

## Injective Cantor in Frames



Let  $\mathcal{D}$  be a frame.

**Defn:** We say **Injective Cantor Holds** in  $\mathcal{D}$  if there is no injection in  $\mathcal{D}_{(\iota \rightarrow o) \rightarrow \iota}$ . Otherwise, we say **Injective Cantor Fails**.

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With more work, one can show:

Injective Cantor Fails in Specified Sets Frame  $\mathcal{D}$  given by  $(-1, 1)$  and  $\{(a, 1) | a \in [-1, 1]\}$ .

There is an injection  $H \in \mathcal{D}_{(\iota \rightarrow o) \rightarrow \iota}$ .

In fact,  $G \circ H$  is the identity function.

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Let  $\mathcal{D}$  be a combinatory frame realizing  $\neg$ ,  $\wedge$ ,  $\Sigma^{\iota \rightarrow o}$ , and  $=^\iota$ .  
Injective Cantor Holds in  $\mathcal{D}$ .

*Proof:* Assume  $H \in \mathcal{D}_{(\iota \rightarrow o) \rightarrow \iota}$  is injective.

Let  $\Sigma$  be  $\{\neg, \wedge, \Sigma^{\iota \rightarrow o}, =^\iota\}$ .

Let  $\mathcal{I} : (\Sigma \cup \mathcal{P}) \rightarrow \mathcal{D}$  such that  $\mathcal{L}_c(\mathcal{I}(c))$  for  $c \in \Sigma$ .

$\mathcal{D}$  combinatory  $\Rightarrow \exists \mathcal{E}$  evaluating terms extending  $\mathcal{I}$

## Injective Cantor in Frames



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Injective Cantor Holds in  $\mathcal{D}$ .

*Proof:* Assume  $H \in \mathcal{D}_{(\iota \rightarrow o) \rightarrow \iota}$  is injective.

Let  $D$  be

$$\mathcal{E}_\varphi([\lambda Y_{\iota \rightarrow o}. \exists X_{\iota \rightarrow o}[Y =^\iota H(X) \wedge \neg[X[HX]]]])$$

where  $\varphi(H) = H$ .

If  $D(H(D)) = F$ , then  $D(H(D)) = T$ .

Hence  $D(H(D)) = T$ .

## Injective Cantor in Frames



Let  $\mathcal{D}$  be a combinatory frame realizing  $\neg$ ,  $\wedge$ ,  $\Sigma^{\iota \rightarrow o}$ , and  $=^\iota$ .  
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If  $D(H(D)) = F$ , then  $D(H(D)) = T$ .

Hence  $D(H(D)) = T$ .

Hence  $H(D)$  equals  $H(X)$  where  $X(H(X)) = F$  for some  $X$ .

## Injective Cantor in Frames



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$H$  injective implies  $D$  equals  $X$ .

## Injective Cantor in Frames



Let  $\mathcal{D}$  be a combinatory frame realizing  $\neg$ ,  $\wedge$ ,  $\Sigma^{\iota \rightarrow o}$ , and  $=^{\iota}$ .  
Injective Cantor Holds in  $\mathcal{D}$ .

*Proof:* Assume  $H \in \mathcal{D}_{(\iota \rightarrow o) \rightarrow \iota}$  is injective.

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where  $\varphi(H) = H$ .

If  $D(H(D)) = F$ , then  $D(H(D)) = T$ .

Hence  $D(H(D)) = T$ .

Hence  $H(D)$  equals  $H(X)$  where  $X(H(X)) = F$  for some  $X$ .

$H$  injective implies  $D$  equals  $X$ . Contradiction.

## Frame in which Injective Cantor Fails



Let  $A$  be the natural numbers  $\mathbb{N}$ .

Let  $\mathcal{B}$  be the set of finite  $X \subseteq \mathbb{N}$  and cofinite  $Y \subseteq \mathbb{N}$ .

Note:  $\emptyset \in \mathcal{B}$  (finite),  $\mathbb{N} \in \mathcal{B}$  (cofinite), and  $\mathcal{B}$  is closed under complements, binary unions and binary intersections.

Specified Sets Frame:  $\mathcal{D}_o := \{T, F\}$ ,  $\mathcal{D}_\iota := \mathbb{N}$ , and  $\mathcal{D}_{\iota \rightarrow o} \cong \mathcal{B}$ .

- $\mathcal{D}$  realizes  $\neg$ ,  $\wedge$ , and  $\vee$

## Injective Cantor Theorem



**Claim:** There is a combinatory frame  $\mathcal{D}$  such that:

- Injective Cantor Fails
- Surjective Cantor Holds
- $\mathcal{D}$  realizes  $\neg$  and  $\wedge$ . ( $\Rightarrow$  Surjective Cantor Holds)
- $\mathcal{D}$  realizes neither  $=^{\iota}$  nor  $\Sigma^{\iota \rightarrow o}$ .

## Frame in which Injective Cantor Fails



Let  $A$  be the natural numbers  $\mathbb{N}$ .

Let  $\mathcal{B}$  be the set of finite  $X \subseteq \mathbb{N}$  and cofinite  $Y \subseteq \mathbb{N}$ .

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- $\mathcal{D}$  realizes  $\neg$ ,  $\wedge$ , and  $\vee$
- Both  $\mathcal{D}_\iota$  and  $\mathcal{D}_{\iota \rightarrow o}$  are countable.
- There is a bijection.

## Frame in which Injective Cantor Fails



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- Already know Surjective Cantor Holds  $\Rightarrow$  There is no surjection.

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- Both  $\mathcal{D}_i$  and  $\mathcal{D}_{i \rightarrow o}$  are countable.
- There is a bijection.
- Already know Surjective Cantor Holds  $\Rightarrow$  There is no surjection.
- “Skolem’s Paradox”

## Frame in which Injective Cantor Fails



Let A be the natural numbers  $\mathbb{N}$ .

Let  $\mathcal{B}$  be the set of finite  $X \subseteq \mathbb{N}$  and cofinite  $Y \subseteq \mathbb{N}$ .

Specified Sets Frame:  $\mathcal{D}_o := \{\text{T}, \text{F}\}$ ,  $\mathcal{D}_i := \mathbb{N}$ , and  $\mathcal{D}_{i \rightarrow o} \cong \mathcal{B}$ .

- Need Surjection/Injection to be *in* the frame.
- No Surjection is in the frame.
- Injection?

## Frame in which Injective Cantor Fails



Let A be the natural numbers  $\mathbb{N}$ .

Let  $\mathcal{B}$  be the set of finite  $X \subseteq \mathbb{N}$  and cofinite  $Y \subseteq \mathbb{N}$ .

Specified Sets Frame:  $\mathcal{D}_o := \{\text{T}, \text{F}\}$ ,  $\mathcal{D}_i := \mathbb{N}$ , and  $\mathcal{D}_{i \rightarrow o} \cong \mathcal{B}$ .

- Need Surjection/Injection to be *in* the frame.
- No Surjection is in the frame.
- Injection? All the injections will be in the frame...

## Frame ... Injective Cantor Fails – $\mathcal{R}_o$

$$\mathcal{D}_o = \{\text{T}, \text{F}\}$$

$$\mathcal{D}_i = \mathbb{N}$$

$$\mathcal{R}_o = \{\chi_X : \mathbb{N} \rightarrow \mathcal{D}_o \mid X \text{ finite or cofinite}\}$$

## Frame ... Injective Cantor Fails – $\mathcal{R}_o$

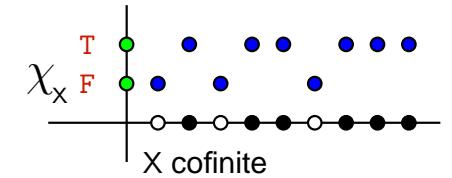
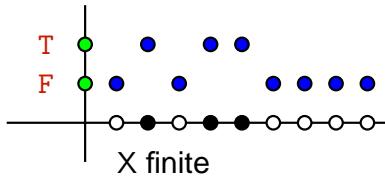
$$\mathcal{D}_o = \{\text{T}, \text{F}\}$$

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**Two Cases:**

1.  $X$  is finite and  $\chi_X$  is eventually  $\text{F}$ .
2.  $X$  is cofinite and  $\chi_X$  is eventually  $\text{T}$ .



## Frame ... Injective Cantor Fails – $\mathcal{R}_o$

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**Two Cases:**

1.  $X$  is finite and  $\chi_X$  is eventually  $\text{F}$ .
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**Lemma:**  $p \in \mathcal{R}_o$  iff  $p : \mathbb{N} \rightarrow \mathcal{D}_o$  is **eventually constant**.

## Frame ... Injective Cantor Fails – $\mathcal{R}_o$

$$\mathcal{D}_o = \{\text{T}, \text{F}\}$$

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**Two Cases:**

1.  $X$  is finite and  $\chi_X$  is eventually  $\text{F}$ .
2.  $X$  is cofinite and  $\chi_X$  is eventually  $\text{T}$ .

**Lemma:**  $p \in \mathcal{R}_o$  iff  $p : \mathbb{N} \rightarrow \mathcal{D}_o$  is **eventually constant**.

**Defn:** A function  $f : \mathbb{N} \rightarrow C$  is **eventually constant** if there is some  $N \in \mathbb{N}$  and  $c \in C$  such that  $f(n) = c$  for every  $n \geq N$ .

## Frame ... Injective Cantor Fails – $\mathcal{R}_\iota$



$$\mathcal{R}_\iota := \{p : \mathbb{N} \rightarrow \mathbb{N} \mid p^{-1}(X) \in \mathcal{B} \forall X \in \mathcal{B}\}$$

Suppose  $p \in \mathcal{R}_\iota$ .

$p$  is a sequence of natural numbers.

Inverse images of finite/cofinite sets are either finite or cofinite.



## Frame ... Injective Cantor Fails – $\mathcal{R}_\iota$



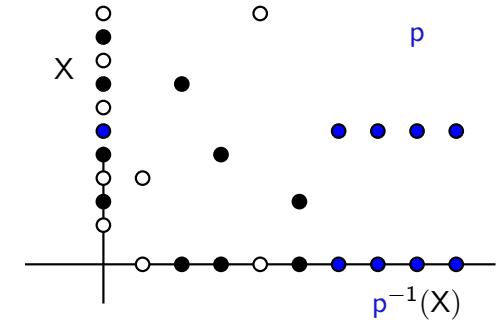
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- Eventually Constant  $p$  satisfy this.



## Frame ... Injective Cantor Fails – $\mathcal{R}_\iota$



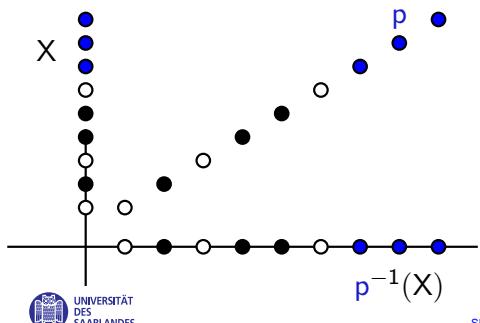
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Inverse images of finite/cofinite sets are either finite or cofinite.

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- So does identity function – not eventually constant.



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Suppose  $p \in \mathcal{R}_\iota$ .

$p$  is a sequence of natural numbers.

Inverse images of finite/cofinite sets are either finite or cofinite.

- Eventually Constant  $p$  satisfy this.
- So does identity function – not eventually constant.

**Defn:** We say  $p$  is **uniformly unbounded** if for every  $j \in \mathbb{N}$  there is some  $I \in \mathbb{N}$  such that  $p(i) > j$  for every  $i > I$ .

## Frame ... Injective Cantor Fails – $\mathcal{R}_i$



$$\mathcal{R}_i := \{p : \mathbb{N} \rightarrow \mathbb{N} \mid p^{-1}(X) \in \mathcal{B} \forall X \in \mathcal{B}\}$$

**Lemma:** Let  $p : \mathbb{N} \rightarrow \mathbb{N}$ .

$p \in \mathcal{R}_i$  iff  $p$  is either **eventually constant** or **uniformly unbounded**.

## Frame ... Injective Cantor Fails – $\mathcal{R}_i$



$$\mathcal{R}_i := \{p : \mathbb{N} \rightarrow \mathbb{N} \mid p^{-1}(X) \in \mathcal{B} \forall X \in \mathcal{B}\}$$

**Lemma:** Let  $p : \mathbb{N} \rightarrow \mathbb{N}$ .

$p \in \mathcal{R}_i$  iff  $p$  is either **eventually constant** or **uniformly unbounded**.

**Proof:** “ $\Rightarrow$ ” Assume  $p \in \mathcal{R}_i$  and  $p$  is not eventually constant.

Check:  $p$  is uniformly unbounded.

Let  $j \in \mathbb{N}$  be given.  $p^{-1}(\{0, \dots, j\})$  is finite or cofinite.

## Frame ... Injective Cantor Fails – $\mathcal{R}_i$



$$\mathcal{R}_i := \{p : \mathbb{N} \rightarrow \mathbb{N} \mid p^{-1}(X) \in \mathcal{B} \forall X \in \mathcal{B}\}$$

**Lemma:** Let  $p : \mathbb{N} \rightarrow \mathbb{N}$ .

$p \in \mathcal{R}_i$  iff  $p$  is either **eventually constant** or **uniformly unbounded**.

**Proof:** “ $\Rightarrow$ ” Assume  $p \in \mathcal{R}_i$  and  $p$  is not eventually constant.

Check:  $p$  is uniformly unbounded.

Let  $j \in \mathbb{N}$  be given.  $p^{-1}(\{0, \dots, j\})$  is finite or cofinite.

• Assume  $p^{-1}(\{0, \dots, j\})$  is cofinite

$\Rightarrow \exists L \in \{0, \dots, j\} \ni p^{-1}(\{L\})$  is cofinite

$\Rightarrow p$  is eventually  $L$ , contradicting  $p$  not eventually constant.

## Frame ... Injective Cantor Fails – $\mathcal{R}_i$



$$\mathcal{R}_i := \{p : \mathbb{N} \rightarrow \mathbb{N} \mid p^{-1}(X) \in \mathcal{B} \forall X \in \mathcal{B}\}$$

**Lemma:** Let  $p : \mathbb{N} \rightarrow \mathbb{N}$ .

$p \in \mathcal{R}_i$  iff  $p$  is either **eventually constant** or **uniformly unbounded**.

**Proof:** “ $\Rightarrow$ ” Assume  $p \in \mathcal{R}_i$  and  $p$  is not eventually constant.

Check:  $p$  is uniformly unbounded.

Let  $j \in \mathbb{N}$  be given.  $p^{-1}(\{0, \dots, j\})$  is finite or cofinite.

•  $p^{-1}(\{0, \dots, j\})$  is finite

Hence  $\subseteq \{0, \dots, l\}$  for some  $l \in \mathbb{N}$ .

Thus  $p(i) > j$  for all  $i > l$ .

## Frame ... Injective Cantor Fails – $\mathcal{R}_\iota$



$$\mathcal{R}_\iota := \{p : \mathbb{N} \rightarrow \mathbb{N} \mid p^{-1}(X) \in \mathcal{B} \forall X \in \mathcal{B}\}$$

**Lemma:** Let  $p : \mathbb{N} \rightarrow \mathbb{N}$ .

$p \in \mathcal{R}_\iota$  iff  $p$  is either **eventually constant** or **uniformly unbounded**.

**Proof:** “ $\Leftarrow$ ”  $p$  eventually constant

$\Rightarrow p^{-1}(X)$  is finite or cofinite (based on whether the eventual value is in  $X$ )

$\Rightarrow p \in \mathcal{R}_\iota$

## Frame ... Injective Cantor Fails – $\mathcal{R}_\iota$



$$\mathcal{R}_\iota := \{p : \mathbb{N} \rightarrow \mathbb{N} \mid p^{-1}(X) \in \mathcal{B} \forall X \in \mathcal{B}\}$$

**Lemma:** Let  $p : \mathbb{N} \rightarrow \mathbb{N}$ .

$p \in \mathcal{R}_\iota$  iff  $p$  is either **eventually constant** or **uniformly unbounded**.

**Proof:** “ $\Leftarrow$ ”  $p$  uniformly unbounded

$\Rightarrow p^{-1}(X)$  is finite if  $X$  is finite  
and  $p^{-1}(X)$  is cofinite if  $X$  is cofinite

$\Rightarrow p \in \mathcal{R}_\iota$



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## Eventually Equal Functions in $\mathcal{R}$



**Defn:** Functions  $p : \mathbb{N} \rightarrow C$  and  $q : \mathbb{N} \rightarrow C$  are **eventually equal** if there is some  $N \in \mathbb{N}$  such that  $p(n) = q(n)$  for every  $n \geq N$ .

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**Lemma:**  $p, q : \mathbb{N} \rightarrow \mathcal{D}_\alpha$

If  $p \in \mathcal{R}_\alpha$  and  $p$  and  $q$  are eventually equal, then  $q \in \mathcal{R}_\alpha$ .



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If  $p \in \mathcal{R}_\alpha$  and  $p$  and  $q$  are eventually equal, then  $q \in \mathcal{R}_\alpha$ .

**Proof:** Induction on type  $\alpha$

$\alpha = o: p \in \mathcal{R}_o$

$\Rightarrow p$  eventually constant

$\Rightarrow q$  eventually constant

$\Rightarrow q \in \mathcal{R}_o$ .

## Eventually Equal Functions in $\mathcal{R}$



**Defn:** Functions  $p : \mathbb{N} \rightarrow C$  and  $q : \mathbb{N} \rightarrow C$  are **eventually equal** if there is some  $N \in \mathbb{N}$  such that  $p(n) = q(n)$  for every  $n \geq N$ .

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If  $p \in \mathcal{R}_\alpha$  and  $p$  and  $q$  are eventually equal, then  $q \in \mathcal{R}_\alpha$ .

**Proof:** Induction on type  $\alpha$

$\alpha = \iota: p \in \mathcal{R}_\iota$

$\Rightarrow p$  eventually constant or uniformly unbounded

$\Rightarrow q$  eventually constant or uniformly unbounded

$\Rightarrow q \in \mathcal{R}_\iota$ .

## Eventually Equal Functions in $\mathcal{R}$



**Defn:** Functions  $p : \mathbb{N} \rightarrow C$  and  $q : \mathbb{N} \rightarrow C$  are **eventually equal** if there is some  $N \in \mathbb{N}$  such that  $p(n) = q(n)$  for every  $n \geq N$ .

**Lemma:**  $p, q : \mathbb{N} \rightarrow \mathcal{D}_\alpha$

If  $p \in \mathcal{R}_\alpha$  and  $p$  and  $q$  are eventually equal, then  $q \in \mathcal{R}_\alpha$ .

**Proof:** Induction on type  $\alpha$

$\alpha = (\beta \rightarrow \gamma):$  Let  $r \in \mathcal{R}_\beta$ . Check  $S(q, r) \in \mathcal{R}_\gamma$ .

Know:  $S(p, r) \in \mathcal{R}_\gamma$ .

## Eventually Equal Functions in $\mathcal{R}$



**Defn:** Functions  $p : \mathbb{N} \rightarrow C$  and  $q : \mathbb{N} \rightarrow C$  are **eventually equal** if there is some  $N \in \mathbb{N}$  such that  $p(n) = q(n)$  for every  $n \geq N$ .

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**Proof:** Induction on type  $\alpha$

$\alpha = (\beta \rightarrow \gamma):$  Let  $r \in \mathcal{R}_\beta$ . Check  $S(q, r) \in \mathcal{R}_\gamma$ .

Know:  $S(p, r) \in \mathcal{R}_\gamma$ .

For big enough  $n \in \mathbb{N}$ ,  $p(n) = q(n)$  and so

$$S(p, r)(n) = p(n)(r(n)) = q(n)(r(n)) = S(q, r)(n)$$

## Eventually Equal Functions in $\mathcal{R}$



**Defn:** Functions  $p : \mathbb{N} \rightarrow C$  and  $q : \mathbb{N} \rightarrow C$  are **eventually equal** if there is some  $N \in \mathbb{N}$  such that  $p(n) = q(n)$  for every  $n \geq N$ .

**Lemma:**  $p, q : \mathbb{N} \rightarrow \mathcal{D}_\alpha$

If  $p \in \mathcal{R}_\alpha$  and  $p$  and  $q$  are eventually equal, then  $q \in \mathcal{R}_\alpha$ .

**Proof:** Induction on type  $\alpha$

$\alpha = (\beta \rightarrow \gamma)$ : Let  $r \in \mathcal{R}_\beta$ . Check  $S(q, r) \in \mathcal{R}_\gamma$ .

Know:  $S(p, r) \in \mathcal{R}_\gamma$ .

$S(p, r)$  and  $S(q, r)$  are eventually equal.

Inductive hypothesis (at  $\gamma$ )  $\Rightarrow S(q, r) \in \mathcal{R}_\gamma$

QED

## Eventually Constant Functions in $\mathcal{R}$



**Lemma:** For any type  $\alpha$ , if  $p : \mathbb{N} \rightarrow \mathcal{D}_\alpha$  is eventually constant, then  $p \in \mathcal{R}_\alpha$ .

**Proof:**  $p$  is eventually equal to a constant function.

Constant functions are in  $\mathcal{R}_\alpha$ .

QED

Every eventually constant function is in  $\mathcal{R}_\alpha$ .

For some  $\alpha$ ,  $\mathcal{R}_\alpha$  contains *exactly* the eventually constant functions.

For such  $\alpha$ , we have...

## Full Domains



**Fact:** If every  $p \in \mathcal{R}_\alpha$  is eventually constant, then  $\mathcal{D}_{\alpha \rightarrow \beta} = (\mathcal{D}_\beta)^{\mathcal{D}_\alpha}$  for every type  $\beta$ .

**Proof:** Know  $\mathcal{D}_{\alpha \rightarrow \beta} \subseteq (\mathcal{D}_\beta)^{\mathcal{D}_\alpha}$ . Show:  $(\mathcal{D}_\beta)^{\mathcal{D}_\alpha} \subseteq \mathcal{D}_{\alpha \rightarrow \beta}$ .

Let  $f : \mathcal{D}_\alpha \rightarrow \mathcal{D}_\beta$  be given.

Let  $p \in \mathcal{R}_\alpha$  be given. By assumption,  $p$  is eventually constant.

Hence  $(f \circ p)$  is eventually constant.

Hence  $(f \circ p) \in \mathcal{R}_\beta$ .

Hence  $f \in \mathcal{D}_{\alpha \rightarrow \beta}$ .

## Frame ... Injective Cantor Fails – $\mathcal{R}_{\iota \rightarrow o}$



**Lemma:** Every  $p \in \mathcal{R}_{\iota \rightarrow o}$  is eventually constant.

- After showing this, we know  $\mathcal{D}_{(\iota \rightarrow o) \rightarrow \beta}$  contains all functions from  $\mathcal{D}_{\iota \rightarrow o}$  to  $\mathcal{D}_\beta$ .

## Frame ... Injective Cantor Fails – $\mathcal{R}_{\iota \rightarrow o}$



**Lemma:** Every  $p \in \mathcal{R}_{\iota \rightarrow o}$  is eventually constant.

**Proof:**

We first prove:

$$(1) \exists M, N \in \mathbb{N}. p(m)(n) = p(M)(N) \forall m > M, n > N$$

## Frame ... Injective Cantor Fails – $\mathcal{R}_{\iota \rightarrow o}$



**Lemma:** Every  $p \in \mathcal{R}_{\iota \rightarrow o}$  is eventually constant.

**Proof:**

We first prove:

$$(1) \exists M, N \in \mathbb{N}. p(m)(n) = p(M)(N) \forall m > M, n > N$$

Otherwise, have  $0 = m_0 < m_1 < \dots$  and  $0 = n_0 < n_1 < \dots$  such that  $p(m_{i+1})(n_{i+1}) \neq p(m_i)(n_i)$ .



## Frame ... Injective Cantor Fails – $\mathcal{R}_{\iota \rightarrow o}$



**Lemma:** Every  $p \in \mathcal{R}_{\iota \rightarrow o}$  is eventually constant.

**Proof:**

We first prove:

$$(1) \exists M, N \in \mathbb{N}. p(m)(n) = p(M)(N) \forall m > M, n > N$$

Otherwise, have  $0 = m_0 < m_1 < \dots$  and  $0 = n_0 < n_1 < \dots$  such that  $p(m_{i+1})(n_{i+1}) \neq p(m_i)(n_i)$ .

Define  $q : \mathbb{N} \longrightarrow \mathbb{N}$  by  $q(m) := n_l$  where  $l$  is least  $i$  with  $m_i \leq m < m_{i+1}$ .

$q$  is uniformly unbounded

## Frame ... Injective Cantor Fails – $\mathcal{R}_{\iota \rightarrow o}$



**Lemma:** Every  $p \in \mathcal{R}_{\iota \rightarrow o}$  is eventually constant.

**Proof:**

We first prove:

$$(1) \exists M, N \in \mathbb{N}. p(m)(n) = p(M)(N) \forall m > M, n > N$$

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$q$  is uniformly unbounded  $\Rightarrow q \in \mathcal{R}_\iota$ .



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$S(p, q) \in \mathcal{R}_o$

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$q$  is uniformly unbounded  $\Rightarrow q \in \mathcal{R}_\iota$ .

$S(p, q) \in \mathcal{R}_o$   $\Rightarrow S(p, q)$  eventually constant

However,  $S(p, q)(m_i) = p(m_i)(n_i)$  alternates by construction.

## Frame ... Injective Cantor Fails – $\mathcal{R}_{\iota \rightarrow o}$



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## Frame ... Injective Cantor Fails – $\mathcal{R}_{\iota \rightarrow o}$



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So we have  $M, N \in \mathbb{N}$  such that

$$p(m)(n) = p(M)(N) \forall m > M, n > N$$

Now: For each  $n \in \{0, \dots, N\}$  there is some  $M_n$  and  $a_n$  such that

$$p(m)(n) = a_n \text{ for } m > M_n.$$

## Frame ... Injective Cantor Fails – $\mathcal{R}_{\iota \rightarrow o}$



**Lemma:** Every  $p \in \mathcal{R}_{\iota \rightarrow o}$  is eventually constant.

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Now: For each  $n \in \{0, \dots, N\}$  there is some  $M_n$  and  $a_n$  such that

$$p(m)(n) = a_n \text{ for } m > M_n.$$

$S(p, K_n) \in \mathcal{R}_o$  must be eventually constant,

and  $p(m)(n) = S(p, K_n)(m)$ .

## Frame ... Injective Cantor Fails – $\mathcal{R}_{\iota \rightarrow o}$



**Lemma:** Every  $p \in \mathcal{R}_{\iota \rightarrow o}$  is eventually constant.

**Proof:**

So we have  $M, N \in \mathbb{N}$  such that

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Now: For each  $n \in \{0, \dots, N\}$  there is some  $M_n$  and  $a_n$  such that

$$p(m)(n) = a_n \text{ for } m > M_n.$$

Let  $M'$  be  $\max(M, M_0, \dots, M_N)$ .

**Claim:** For all  $m > M'$ ,  $p(m) = p(M' + 1)$ .

## Frame ... Injective Cantor Fails – $\mathcal{R}_{\iota \rightarrow o}$



**Lemma:** Every  $p \in \mathcal{R}_{\iota \rightarrow o}$  is eventually constant.

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$$p(m)(n) = a_n \text{ for } m > M_n.$$

Let  $M'$  be  $\max(M, M_0, \dots, M_N)$ .

**Claim:** For all  $m > M'$ ,  $p(m) = p(M' + 1)$ .

If  $n \leq N$ ,  $p(m)(n) = p(M' + 1)(n)$  since  $M' \geq M_n$ .

If  $n > N$ ,  $p(m)(n) = p(M' + 1)(n)$  since  $M' \geq M$ .

Hence  $p$  is eventually constant.

QED

## Conclusions:

- $f \in \mathcal{D}_{(\iota \rightarrow o) \rightarrow \iota}$  for every  $f : \mathcal{D}_{\iota \rightarrow o} \rightarrow \mathcal{D}_\iota$ .
- Every injection from  $\mathcal{D}_{\iota \rightarrow o}$  to  $\mathcal{D}_\iota$  (both are countable) is in  $\mathcal{D}_{(\iota \rightarrow o) \rightarrow \iota}$ .
- Injective Cantor Fails in  $\mathcal{D}$ .
- $f \in \mathcal{D}_{(\iota \rightarrow o) \rightarrow o}$  for every  $f : \mathcal{D}_{\iota \rightarrow o} \rightarrow \mathcal{D}_o$ .
- $\Pi^L$  and  $\Sigma^L$  have interpretations in  $\mathcal{D}_{(\iota \rightarrow o) \rightarrow o}$ .

- If  $\mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^A$  is **small**, then the sets

$$\mathcal{D}_{\alpha \rightarrow o} := \{f : \mathcal{D}_\alpha \rightarrow \mathcal{D}_o \mid \forall p \in \boxed{\mathcal{R}_\alpha} \quad (f \circ p) \in \mathcal{R}_o\}$$

and

$$\mathcal{R}_{\alpha \rightarrow o} := \{q : A \rightarrow \mathcal{D}_{\alpha \rightarrow o} \mid \forall p \in \boxed{\mathcal{R}_\alpha} \quad S(q, p) \in \mathcal{R}_o\}$$

are **big** (fewer restrictions).

- If  $\mathcal{R}_\alpha \subseteq (\mathcal{D}_\alpha)^A$  is **big**, then the sets

$$\mathcal{D}_{\alpha \rightarrow o} := \{f : \mathcal{D}_\alpha \rightarrow \mathcal{D}_o \mid \forall p \in \boxed{\mathcal{R}_\alpha} \quad (f \circ p) \in \mathcal{R}_o\}$$

and

$$\mathcal{R}_{\alpha \rightarrow o} := \{q : A \rightarrow \mathcal{D}_{\alpha \rightarrow o} \mid \forall p \in \boxed{\mathcal{R}_\alpha} \quad S(q, p) \in \mathcal{R}_o\}$$

are **small** (more restrictions).

# Contravariant Effects

- $\mathcal{R}_\iota$  is **big**.
- $\mathcal{D}_{\iota \rightarrow o}$  and  $\mathcal{R}_{\iota \rightarrow o}$  are **small**.
- $\mathcal{D}_{(\iota \rightarrow o) \rightarrow o}$  and  $\mathcal{R}_{(\iota \rightarrow o) \rightarrow o}$  are **big**.
- $\mathcal{D}_{((\iota \rightarrow o) \rightarrow o) \rightarrow o}$  and  $\mathcal{R}_{((\iota \rightarrow o) \rightarrow o) \rightarrow o}$  are **small**.
- $\mathcal{D}_{(((\iota \rightarrow o) \rightarrow o) \rightarrow o) \rightarrow o}$  and  $\mathcal{R}_{(((\iota \rightarrow o) \rightarrow o) \rightarrow o) \rightarrow o}$  are **big**.
- etc...

# Frame in which Injective Cantor Fails

- $\mathcal{D}_\iota$  and  $\mathcal{D}_{\iota \rightarrow o}$  are countable.

- $\mathcal{D}_{(\iota \rightarrow o) \rightarrow o} = (\mathcal{D}_o)^{\mathcal{D}_{\iota \rightarrow o}}$  is uncountable (continuum).

- $\mathcal{D}_{((\iota \rightarrow o) \rightarrow o) \rightarrow o}$  is again *countable*.

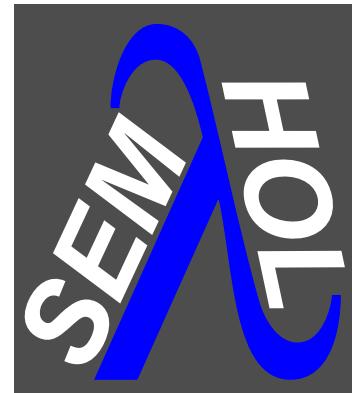
- $\mathcal{D}_{(((\iota \rightarrow o) \rightarrow o) \rightarrow o) \rightarrow o} = (\mathcal{D}_o)^{\mathcal{D}_{((\iota \rightarrow o) \rightarrow o) \rightarrow o}}$  is uncountable (continuum).

- Alternates up the hierarchy.

| <u>cardinality</u>  | <u>standard</u> | $\Pi^\alpha$ | $\Sigma^\alpha$ | $\equiv^\alpha$ |
|---|-----------------|--------------|-----------------|-----------------|
| $\vdots$  |                 |              |                 |                 |
| $\mathcal{D}_{(((\iota \rightarrow o) \rightarrow o) \rightarrow o) \rightarrow o}$ | $\aleph_0$      | no           | no              | yes             |
| $\mathcal{D}_{((\iota \rightarrow o) \rightarrow o) \rightarrow o}$                 | $2^{\aleph_0}$  | yes          | yes             | yes             |
| $\mathcal{D}_{(\iota \rightarrow o) \rightarrow o}$                                 | $\aleph_0$      | no           | no              | yes             |
| $\mathcal{D}_{(\iota \rightarrow o) \rightarrow o}$                                 | $2^{\aleph_0}$  | yes          | yes             | no              |
| $\mathcal{D}_{\iota \rightarrow o}$   | $\aleph_0$      | no           | no              | yes             |
| $\mathcal{D}_\iota$   | $\aleph_0$      | —            | yes             | yes             |

- Realizing  $\neg$ ,  $\wedge$ ,  $\Sigma^{\iota \rightarrow o}$  and  $=^\iota$  is enough for Injective Cantor to Hold

- Realizing  $\neg$  and  $\wedge$  are not enough



Generalizing the Semantics

## More Syntax

- $\alpha$ -conversion: We consider terms “identical” if they are the same up renaming of bound variables.

Example:  $[\lambda x_\iota \lambda y_{\iota \rightarrow o} [y x]]$  is identical to  $[\lambda y_\iota \lambda z_{\iota \rightarrow o} [z y]]$

- $[A/x]B$  denotes substitution of  $A$  for free occurrences of  $x$  in  $B$ . We rename bound variables to ensure no capture.

Example:  $[y/x][\lambda y_\iota [p_{\iota \rightarrow \iota \rightarrow o} x y]]$  is  $[\lambda z_\iota [p y z]]$ .

- We may also consider simultaneous substitutions  $\theta$  for a finite number of variables.

- We will consider  $\beta$  and  $\eta$  reduction and conversion.

$\beta$ :  $[[\lambda x_\alpha B_\beta] A]$   $\beta$ -reduces to  $[A/x]B$

$\eta$ :  $[\lambda x_\alpha [F_{\alpha \rightarrow \beta} x]]$   $\eta$ -reduces to  $F$  if  $x \notin \text{Free}(F)$

- We write  $A \xrightarrow{\beta} B$  if  $B$  is obtained by  $\beta$ -reducing in some position in  $A$ .

- We write  $A \xrightarrow{\eta} B$  if  $B$  is obtained by  $\eta$ -reducing in some position in  $A$ .

- We write  $\xrightarrow{\beta}$  to denote the reflexive, transitive closure of  $\xrightarrow{\beta}^1$ .

- We write  $\xrightarrow{\beta\eta}$  to denote the reflexive, transitive closure of  $\xrightarrow{\beta}^1 \cup \xrightarrow{\eta}^1$ .

## Generalized Semantics

There are two key steps to generalize combinatory frames with evaluations to give nonextensional models.

To obtain non-functional semantics, we allow  $\mathcal{D}_{\alpha \rightarrow \beta}$  to be any nonempty set and include an “application operator”

$$@ : \mathcal{D}_{\alpha \rightarrow \beta} \times \mathcal{D}_\alpha \longrightarrow \mathcal{D}_\beta.$$

To generalize from two truth values, we allow  $\mathcal{D}_o$  to be any nonempty set and include a “valuation”  $v : \mathcal{D}_o \rightarrow \{\text{T}, \text{F}\}$ .

- We will consider  $\beta$  and  $\eta$  reduction and conversion.

$\beta$ :  $[[\lambda x_\alpha B_\beta] A]$   $\beta$ -reduces to  $[A/x]B$

$\eta$ :  $[\lambda x_\alpha [F_{\alpha \rightarrow \beta} x]]$   $\eta$ -reduces to  $F$  if  $x \notin \text{Free}(F)$

**Facts:**  $\xrightarrow{\beta}$  and  $\xrightarrow{\beta\eta}$  satisfy the strong Church-Rosser property:  
Every wff  $A$  has a unique normal form.

- $A \downarrow_\beta$  denotes the  $\beta$ -normal (i.e.,  $\xrightarrow{\beta}$  normal) form of  $A$ .

- $A \downarrow_{\beta\eta}$  denotes the  $\beta\eta$ -normal (i.e.,  $\xrightarrow{\beta\eta}$  normal) form of  $A$ .

## Coming Attractions

1. Definition of **applicative structure** generalizing frames
2. Definition of **logical properties** relative to  $v : \mathcal{D}_o \rightarrow \{\text{T}, \text{F}\}$ .
3. Definition of **evaluations** for interpreting terms in applicative structures
4. Definition of **model** for determining which terms of type  $o$  are true
5. Definition of **model classes** varying extensionality

**Defn:** A (typed) applicative structure is a pair  $(\mathcal{D}, @)$  where  $\mathcal{D}$  is a typed family of nonempty sets and  $@^{\alpha \rightarrow \beta} : \mathcal{D}_{\alpha \rightarrow \beta} \times \mathcal{D}_\alpha \rightarrow \mathcal{D}_\beta$  for each function type  $(\alpha \rightarrow \beta)$ .

Write  $f@a$  for  $f @^{\alpha \rightarrow \beta} a$  when  $f \in \mathcal{D}_{\alpha \rightarrow \beta}$  and  $a \in \mathcal{D}_\alpha$  are clear in context.

**Defn:** Let  $\mathcal{A} := (\mathcal{D}, @)$  be an applicative structure. We say  $\mathcal{A}$  is functional if for all types  $\alpha$  and  $\beta$  and objects  $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ ,  $f = g$  whenever  $f@a = g@a$  for every  $a \in \mathcal{D}_\alpha$ .

Suppose  $v : \mathcal{D}_o \rightarrow \{\text{T}, \text{F}\}$  is a function.

**Defn:** Let  $\mathcal{A} := (\mathcal{D}, @)$  be an applicative structure and  $v : \mathcal{D}_o \rightarrow \{\text{T}, \text{F}\}$  be a function.

For each logical constant  $c_\alpha$  and element  $a \in \mathcal{D}_\alpha$ , we define the properties  $\mathcal{L}_c(a)$  with respect to  $v$  given in the following table...

## Logical Properties

| prop.                                 | where   | holds when  | for all                                    |
|---------------------------------------|---|---|--|
| $\mathcal{L}_{\neg}(n)$               | $n \in \mathcal{D}_{o \rightarrow o}$                           | $v(n@a) = \text{T}$ iff $v(a) = \text{F}$   | $a \in \mathcal{D}_o$                      |
| $\mathcal{L}_v(d)$                    | $d \in \mathcal{D}_{o \rightarrow o \rightarrow o}$             | $v(d@a@b) = \text{T}$ iff $v(a) = \text{T}$ or $v(b) = \text{T}$                  | $a, b \in \mathcal{D}_o$                   |
| $\mathcal{L}_\wedge(c)$               | $c \in \mathcal{D}_{o \rightarrow o \rightarrow o}$             | $v(c@a@b) = \text{T}$ iff $v(a) = \text{T}$ and $v(b) = \text{T}$                 | $a, b \in \mathcal{D}_o$                   |
| $\mathcal{L}_{\Pi^\alpha}(\pi)$       | $\pi \in \mathcal{D}_{(\alpha \rightarrow o) \rightarrow o}$    | $v(\pi@f) = \text{T}$ iff $\forall a \in \mathcal{D}_\alpha v(f@a) = \text{T}$    | $f \in \mathcal{D}_{\alpha \rightarrow o}$ |
| $\mathcal{L}_{\Sigma^\alpha}(\sigma)$ | $\sigma \in \mathcal{D}_{(\alpha \rightarrow o) \rightarrow o}$ | $v(\sigma@f) = \text{T}$ iff $\exists a \in \mathcal{D}_\alpha v(f@a) = \text{T}$ | $f \in \mathcal{D}_{\alpha \rightarrow o}$ |
| $\mathcal{L}_{=^\alpha}(q)$           | $q \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$   | $v(q@a@b) = \text{T}$ iff $a = b$   | $a, b \in \mathcal{D}_\alpha$              |

## Logical Properties

**Defn:** Suppose  $(\mathcal{D}, @)$  is an applicative structure and  $v : \mathcal{D}_o \rightarrow \{\text{T}, \text{F}\}$  is a function.

We say  $(\mathcal{D}, @, v)$  realizes a logical constant  $c_\alpha$  if there is some  $a \in \mathcal{D}_\alpha$  such that  $\mathcal{L}_c(a)$  holds with respect to this  $v$ . We say  $(\mathcal{D}, @, v)$  realizes a signature  $\Sigma$  if it realizes every  $c \in \Sigma$ .

## Variable Assignment



**Defn:** Let  $\mathcal{A} := (\mathcal{D}, @)$  be an applicative structure.

A typed function  $\varphi: \mathcal{V} \longrightarrow \mathcal{D}$  is called a **variable assignment** into  $\mathcal{D}$ .

Given a variable assignment  $\varphi$ , variable  $x_\alpha$ , and value  $a \in \mathcal{D}_\alpha$ , we use  $\varphi, [a/x]$  to denote the variable assignment with

$(\varphi, [a/x])(x) = a$  and

$(\varphi, [a/x])(y) = \varphi(y)$  for variables  $y$  other than  $x$ .

## Evaluations



**Defn:** Let  $\mathcal{A} = (\mathcal{D}, @)$  be an applicative structure.

An  $\Sigma$ -**evaluation function**  $\mathcal{E}$  for  $\mathcal{A}$  is a function taking assignments  $\varphi$  and terms  $\mathbf{A}_\alpha$  to  $\mathcal{E}_\varphi(\mathbf{A}) \in \mathcal{D}_\alpha$  satisfying the following properties:

1.  $\mathcal{E}_\varphi(x) = \varphi(x)$  for  $x \in \mathcal{V}$ .
2.  $\mathcal{E}_\varphi([\mathbf{F} \ \mathbf{A}]) = \mathcal{E}_\varphi(\mathbf{F}) @ \mathcal{E}_\varphi(\mathbf{A})$  for any  $\mathbf{F} \in wff_{\alpha \rightarrow \beta}(\Sigma)$  and  $\mathbf{A} \in wff_\alpha(\Sigma)$  and types  $\alpha$  and  $\beta$ .
3.  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\psi(\mathbf{A})$  for any type  $\alpha$  and  $\mathbf{A} \in wff_\alpha(\Sigma)$ , whenever  $\varphi$  and  $\psi$  coincide on  $\text{Free}(\mathbf{A})$ .
4.  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{A} \downarrow_\beta)$  for all  $\mathbf{A} \in wff_\alpha(\Sigma)$ .

## Evaluations



If  $\mathbf{A}$  is a closed formula, then  $\mathcal{E}_\varphi(\mathbf{A})$  is independent of  $\varphi$ .

Then we write  $\mathcal{E}(\mathbf{A})$ .

## Evaluations



**Defn:** We call  $\mathcal{J} := (\mathcal{D}, @, \mathcal{E})$  an  $\Sigma$ -**evaluation** if  $(\mathcal{D}, @)$  is an applicative structure and  $\mathcal{E}$  is an evaluation function for  $(\mathcal{D}, @)$ .

We call an  $\Sigma$ -evaluation  $\mathcal{J} := (\mathcal{D}, @, \mathcal{E})$  **functional** if the applicative structure  $(\mathcal{D}, @)$  is **functional**.

We say  $\mathcal{J}$  is a  $\Sigma$ -evaluation over a frame if  $(\mathcal{D}, @)$  is a frame.

If  $A$   $\beta$ -converts to  $B$ , then they have the same normal form.

Hence

$$\mathcal{E}_\varphi(A) = \mathcal{E}_\varphi(A \downarrow_\beta) = \mathcal{E}_\varphi(B \downarrow_\beta) = \mathcal{E}_\varphi(B)$$

**Substitution-Value Lemma:**

$$\mathcal{E}_{\varphi, [\mathcal{E}_\varphi(B_\beta)/x_\beta]}(A_\alpha) = \mathcal{E}_\varphi([B/x]A)$$

**Proof:**

## Substitution-Value Lemma

**Substitution-Value Lemma:**

$$\mathcal{E}_{\varphi, [\mathcal{E}_\varphi(B_\beta)/x_\beta]}(A_\alpha) = \mathcal{E}_\varphi([B/x]A)$$

**Proof:**

$$\begin{aligned} \mathcal{E}_{\varphi, [\mathcal{E}_\varphi(B)/x]}(A) &= \mathcal{E}_{\varphi, [\mathcal{E}_\varphi(B)/x]}([[\lambda x A] x]) \\ &= \mathcal{E}_{\varphi, [\mathcal{E}_\varphi(B)/x]}([\lambda x A]) @ \mathcal{E}_{\varphi, [\mathcal{E}_\varphi(B)/x]}(x) \\ &= \mathcal{E}_\varphi([\lambda x A]) @ \mathcal{E}_\varphi(B) \\ &= \mathcal{E}_\varphi([[\lambda x A] B]) \\ &= \mathcal{E}_\varphi([B/x]A). \end{aligned}$$

## Substitution-Value Lemma

**Substitution-Value Lemma:**

$$\mathcal{E}_{\varphi, [\mathcal{E}_\varphi(B_\beta)/x_\beta]}(A_\alpha) = \mathcal{E}_\varphi([B/x]A)$$

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*Proof by Andrei Paskevich*

## Weak Functionality



Let  $\mathcal{J} = (\mathcal{D}, @, \mathcal{E})$  be an  $\Sigma$ -evaluation.

We say  $\mathcal{J}$  is  **$\eta$ -functional** if  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{A}|_{\beta\eta})$  for any type  $\alpha$ , formula  $\mathbf{A} \in wff_\alpha(\Sigma)$ , and assignment  $\varphi$ .

We say  $\mathcal{J}$  is  **$\xi$ -functional** if for all  $\alpha, \beta \in \mathcal{T}$ ,  $\mathbf{M}, \mathbf{N} \in wff_\beta(\Sigma)$ , assignments  $\varphi$ , and variables  $x_\alpha$ ,  $\mathcal{E}_\varphi([\lambda x_\alpha \mathbf{M}_\beta]) = \mathcal{E}_\varphi([\lambda x_\alpha \mathbf{N}_\beta])$  whenever  $\mathcal{E}_{\varphi,[a/x]}(\mathbf{M}) = \mathcal{E}_{\varphi,[a/x]}(\mathbf{N})$  for every  $a \in \mathcal{D}_\alpha$ .

$$f = \eta + \xi$$



functional  $\Rightarrow \eta$ -functional

since  $\mathcal{E}_\varphi([\lambda x_\alpha [F x]]) = \mathcal{E}_\varphi(F)$  if  $x \notin \text{Free}(F)$

functional  $\Rightarrow \xi$ -functional

since  $\forall a \in \mathcal{D}_\alpha \mathcal{E}_{\varphi,[a,x]}(\mathbf{M}) = \mathcal{E}_{\varphi,[a,x]}(\mathbf{N}) \Rightarrow \mathcal{E}_\varphi([\lambda x \mathbf{M}]) = \mathcal{E}_\varphi([\lambda x \mathbf{N}])$

$\eta$ -functional and  $\xi$ -functional  $\Rightarrow$  functional

$\forall a \in \mathcal{D}_\alpha f@a = g@a \Rightarrow f =_\eta \mathcal{E}_\varphi([\lambda x[f x]]) =_\xi \mathcal{E}_\varphi([\lambda x[g x]]) =_\eta g$

## Models



Let  $\mathcal{J} := (\mathcal{D}, @, \mathcal{E})$  be an  $\Sigma$ -evaluation.

A function  $v: \mathcal{D}_o \longrightarrow \{\text{T}, \text{F}\}$  is called a  **$\Sigma$ -valuation** for  $\mathcal{J}$  if  $\mathcal{L}_c(\mathcal{E}(c))$  holds for every  $c \in \Sigma$ .

In this case,  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  is called an  **$\Sigma$ -model**.

## Models



An assignment  $\varphi$  **satisfies** a formula  $\mathbf{A} \in wff_o(\Sigma)$  in  $\mathcal{M}$  (we write  $\mathcal{M} \models_\varphi \mathbf{A}$ )  
if  $v(\mathcal{E}_\varphi(\mathbf{A})) = \text{T}$ .

We say that  $\mathbf{A}$  is **valid** in  $\mathcal{M}$   
(and write  $\mathcal{M} \models \mathbf{A}$ )  
if  $\mathcal{M} \models_\varphi \mathbf{A}$  for all assignments  $\varphi$ .

When  $\mathbf{A} \in cwff_o(\Sigma)$ , we drop  $\varphi$  and write  $\mathcal{M} \models \mathbf{A}$ .

Finally, we say that  $\mathcal{M}$  is an  **$\Sigma$ -model** for a set  $\Phi \subseteq cwff_o(\Sigma)$   
(we write  $\mathcal{M} \models \Phi$ )

if  $\mathcal{M} \models \mathbf{A}$  for all  $\mathbf{A} \in \Phi$ .

## Example



Assume  $\Sigma$  contains  $\neg$  and  $\vee$

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model

Claim:  $\mathcal{M} \models_{\varphi} [\vee P \neg P]$  (i.e.,  $P \vee \neg P$ ) where  $P \in \text{wff}_o(\Sigma)$

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OK, since  $v : \mathcal{D}_o \rightarrow \{\text{T}, \text{F}\}$ .

## Properties of Models



A  $\Sigma$ -model  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  is called **functional** if the applicative structure  $(\mathcal{D}, @)$  is **functional**.

Similarly,  $\mathcal{M}$  is called  $\eta$ -**functional** [ $\xi$ -**functional**] if the evaluation  $(\mathcal{D}, @, \mathcal{E})$  is  $\eta$ -**functional** [ $\xi$ -**functional**].

We say  $\mathcal{M}$  is an  $\Sigma$ -model over a frame if  $(\mathcal{D}, @)$  is a frame.

## Properties



Given an  $\Sigma$ -model  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$ , we say that  $\mathcal{M}$  has **property**  $q$  iff for all  $\alpha \in \mathcal{T}$  there is some  $q^{\alpha} \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$  such that  $\mathcal{L}_{=^{\alpha}}(q^{\alpha})$  holds.

$\eta$  iff  $\mathcal{M}$  is  $\eta$ -functional.

$\xi$  iff  $\mathcal{M}$  is  $\xi$ -functional.

$f$  iff  $\mathcal{M}$  is functional. (This is generally associated with functional extensionality.)

$b$  iff  $v$  is injective (and so  $\mathcal{D}_o$  has at most two elements).

**NOTE:** From now on, we restrict to the signature  $\Sigma$  being either

$\{\neg, \vee\} \cup \{\Pi^\alpha | \alpha \in T\}$  or  $\{\neg, \vee\} \cup \{\Pi^\alpha, =^\alpha | \alpha \in T\}$ .

Unless otherwise noted, other logical “constants” are abbreviations:

- $\supset$  is  $[\lambda p_o \lambda q_o [\neg p \vee q]]$
- $\wedge$  is  $[\lambda p_o \lambda q_o \neg[\neg p \vee \neg q]]$
- $\Leftrightarrow$  is  $[\lambda p_o \lambda q_o [[p \supset q] \wedge [q \supset p]]]$
- $\Sigma^\alpha$  is  $[\lambda p_{\alpha \rightarrow o} \neg[\Pi^\alpha [\lambda x_\alpha \neg[p x]]]]$

We sometimes consider “Leibniz Equality” denoted  $\dot{=}^\alpha$ :

$$[\lambda x_\alpha \lambda y_\alpha \forall p_{\alpha \rightarrow o} [[p x] \supset [p y]]]$$

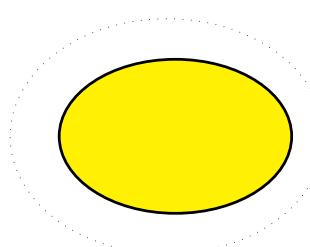
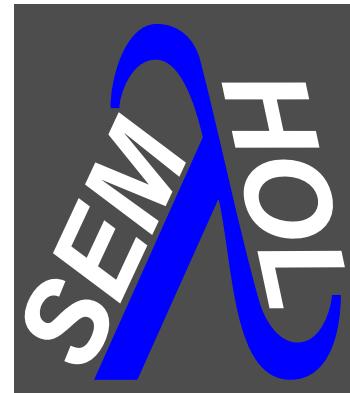
Denote class of  $\Sigma$ -models that satisfy property  $q$  by  $\mathfrak{M}_\beta(\Sigma)$ .

Specialized subclasses of depending on the validity of the properties  $\eta$ ,  $\xi$ ,  $f$ , and  $b$  denoted by

$$\begin{array}{cccc} \mathfrak{M}_{\beta\eta}(\Sigma), & \mathfrak{M}_{\beta\xi}(\Sigma), & \mathfrak{M}_{\beta f}(\Sigma), & \mathfrak{M}_{\beta b}(\Sigma), \\ \mathfrak{M}_{\beta\eta b}(\Sigma), & \mathfrak{M}_{\beta\xi b}(\Sigma), & \text{and } \mathfrak{M}_{\beta f b}(\Sigma). \end{array}$$

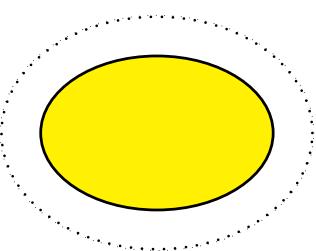
Semantics: HOL-CUBE

# Standard Models and Henkin Models

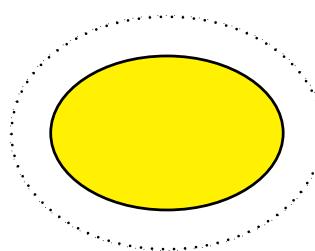


Standard Models  $\mathfrak{S}\mathfrak{T}(\Sigma)$

- Leon Henkin [Henkin50] generalized the class of admissible domains for functional types.

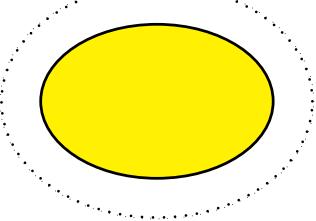


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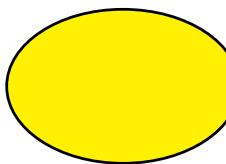
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- Instead of requiring  $\mathcal{D}_{\alpha \rightarrow \beta}$  (and thus in particular,  $\mathcal{D}_{\iota \rightarrow o}$ ) to be the full set of functions (predicates), it is sufficient to require that  $\mathcal{D}_{\alpha \rightarrow \beta}$  has enough members that any well-formed formula can be evaluated.



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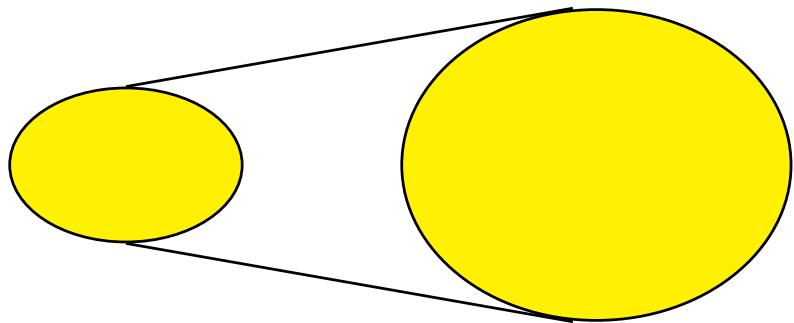
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- Note that with this generalized notion of a model, there are fewer formulae that are valid in all models (intuitively, for any given formula there are more possibilities for counter-models).



Standard Models  $\mathfrak{S}\mathfrak{T}(\Sigma)$

## HOL Models and Valid Formulas

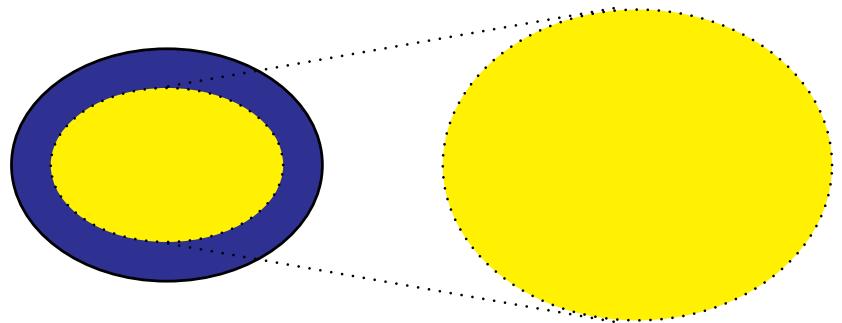
## HOL Models and Valid Formulas



Standard Models  $S\Sigma(\Sigma)$

Formulas valid in  $S\Sigma(\Sigma)$

Ex.: Cantor's Theorem

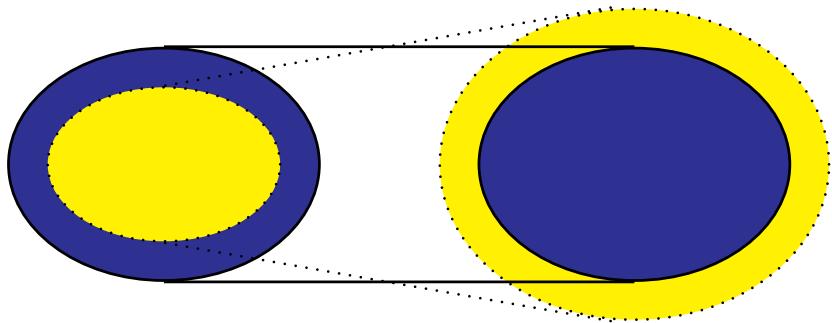


Henkin Models  $H\Sigma = M_{\beta fb}(\Sigma)$

Formulas valid in  $M_{\beta fb}(\Sigma) ?$

## HOL Models and Valid Formulas

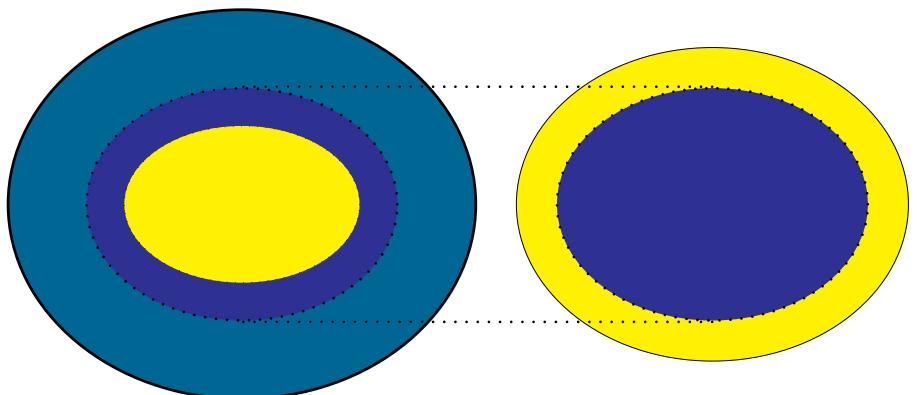
## HOL Models and Valid Formulas



Henkin Models  $H\Sigma = M_{\beta fb}(\Sigma)$

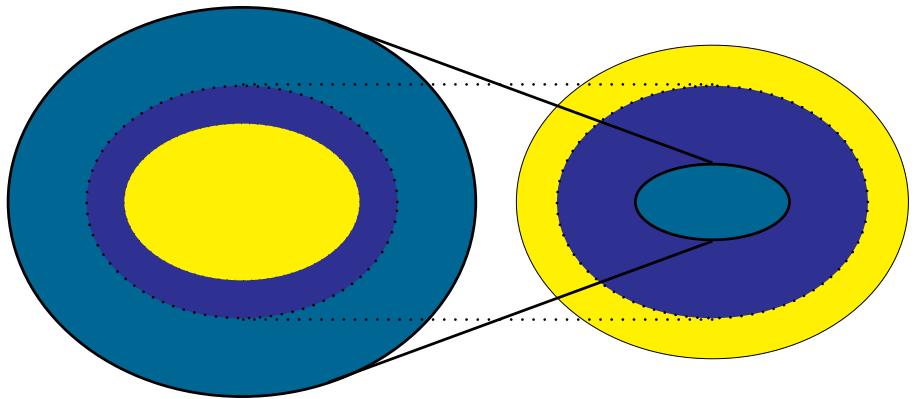
Formulas valid in  $M_{\beta fb}(\Sigma)$

Ex.: Cantor's Theorem (for certain  $\Sigma$ )  
not valid anymore



Non-Extensional Models  $M_\beta(\Sigma)$

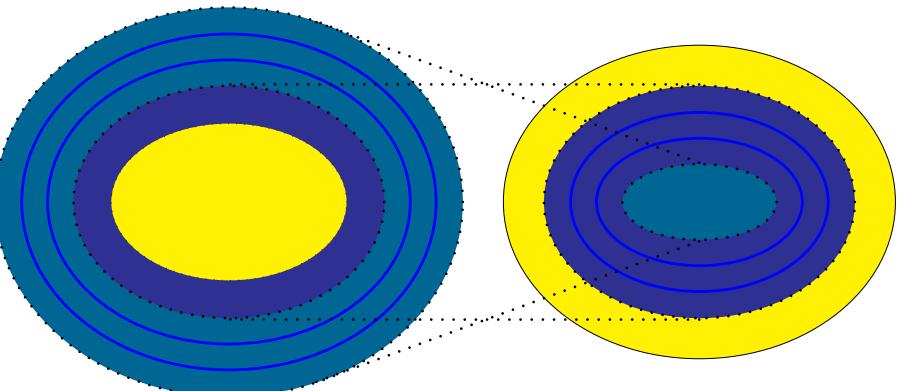
Formulas valid in  $M_\beta(\Sigma) ?$



Non-Extensional Models  $\mathfrak{M}_\beta(\Sigma)$

Formulas valid in  $\mathfrak{M}_\beta(\Sigma)$  ?

Ex.:  $\forall X \forall Y. X \vee Y \Leftrightarrow Y \vee X$   
vs.  $\vee \doteq \lambda X. \lambda Y. Y \vee X$



We additionally studied different model classes with 'varying degrees of extensionality'

$$\forall X \forall Y. X \vee Y \Leftrightarrow Y \vee X$$

$$\lambda X. \lambda Y. X \vee Y \doteq \lambda X. \lambda Y. Y \vee X$$

$$\forall X \forall Y. X \vee Y \doteq Y \vee X$$

$$\vee \doteq \lambda X. \lambda Y. Y \vee X$$

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We use  $\equiv^*$  in the following to refer to any of the above

$\mathfrak{M}_\beta(\Sigma)$

$b: v$  is injective ( $|D_o| = 2$ )  
 $f = \eta + \xi: \mathcal{M}$  is functional  
 $\eta: \mathcal{M}$  is  $\eta$ -functional  
 $\xi: \mathcal{M}$  is  $\xi$ -functional



$\mathfrak{M}_{\beta fb}(\Sigma) \simeq \mathfrak{H}(\Sigma)$

full

$\mathfrak{G}\mathfrak{T}(\Sigma)$

$\mathfrak{M}_\beta(\Sigma)$  elementary type theory ( $\Sigma$ -models)

Assume that logical symbols are  
 $\{\neg, \vee\} \cup \{\Pi^\alpha\}$  or  $\{\neg, \vee\} \cup \{\Pi^\alpha, =^\alpha\}$

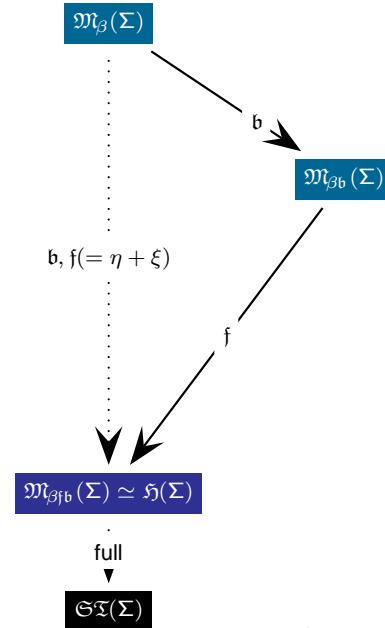
We also require property  $q$ :

$$\forall \alpha : id \in D_{\alpha \rightarrow \alpha \rightarrow \dots}$$

without this equality  $\doteq$  not necessarily evaluates to identity relation even in Henkin models [[Andrews72]]

$\mathfrak{M}_{\beta fb}(\Sigma) \simeq \mathfrak{H}(\Sigma)$  extensional type theory (Henkin models)

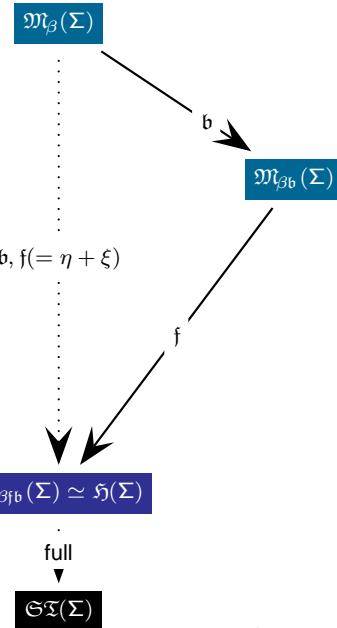
# Semantics: HOL-CUBE



Motivation for Models without Functional Extensionality

- modeling programs:  $p_1 \neq p_2$  even if  $f@a = g@a$  for every  $a \in D_\alpha$
- consider properties like run-time complexity:
- $P_1 := \lambda X_{\text{nat}}. 1$  and  $P_2 := \lambda X_{\text{nat}}. 1 + (X + 1)^2 - (X^2 + 2X + 1)$
- $P_1$  has constant complexity,  $P_2$  has not
- however,  $P_1$  behaves like  $P_2$  on all inputs
- a logic with a functionally extensional model theory (property  $\dagger$ ) necessarily conflates  $P_1$  and  $P_2$  semantically

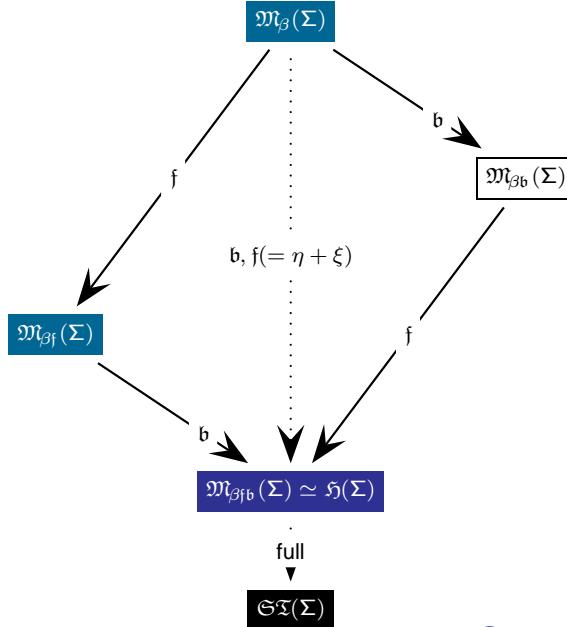
# Semantics: HOL-CUBE



How do we account for Models without Functional Extensionality?

- generalized the notion of domains at function types and evaluation functions
- example:  $(\text{efficient}, K_1) \neq (\text{inefficient}, K_1) \in D_{\text{nat} \rightarrow \text{nat}}$  where  $K_1$  is the constant-1 function and  $(*^1, *^2)@n$  is defined as  $*^2(n)$
- we build on the notion of applicative structures to define  $\Sigma$ -evaluations, where the evaluation function is assumed to respect application and  $\beta$ -conversion

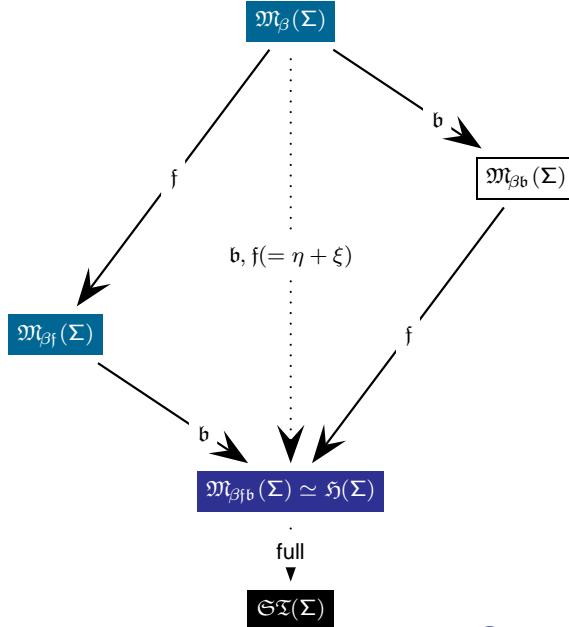
# Semantics: HOL-CUBE



Motivation for models without Boolean Extensionality?

- modeling of intensional concepts like 'knowledge', 'believe', etc.
- example:  
 $O := 2 + 2 = 4$   
 $F := \forall x, y, z, n > 2x^n + y^n = z^n \Rightarrow x = y = z = 0$   
We want to model:  
(1)  $O \Leftrightarrow F$  is true  
(2)  
  
 $\text{john\_knows}(F) \not\Leftrightarrow \text{john\_knows}(O)$
- if we have  $D_o = \{\text{T}, \text{F}\}$  then  
(1) implies  $O = F$   
which enforces  
 $\text{john\_knows}(F) = \text{john\_knows}(O)$   
and  
 $\text{john\_knows}(F) \Leftrightarrow \text{john\_knows}(O)$

# Semantics: HOL-CUBE



How do we account for models without Boolean Extensionality?

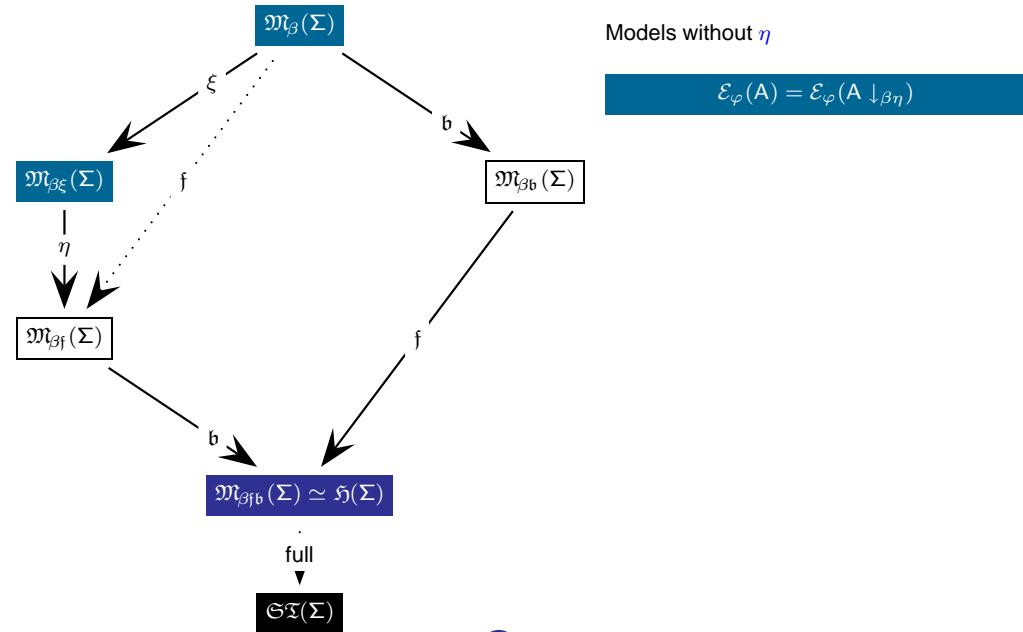
- allow that  $|D_o| > 2$  and use  $v$
- partition  $|D_o|$  into representatives of  $\text{T}$  and  $\text{F}$ ;  
e.g.  $D_o := \{\perp^1, \perp^2, \top^1, \top^2\}$  with  $v(\perp^*) = \text{F}$  and  $v(\top^*) = \text{T}$
- now, a predicate like  $\text{john\_knows}$  may map:

$$\begin{aligned} \top^1 &\longrightarrow \top^1 \\ \top^2 &\longrightarrow \perp^1 \\ \perp^1 &\longrightarrow \perp^1 \\ \perp^2 &\longrightarrow \top^1 \end{aligned}$$

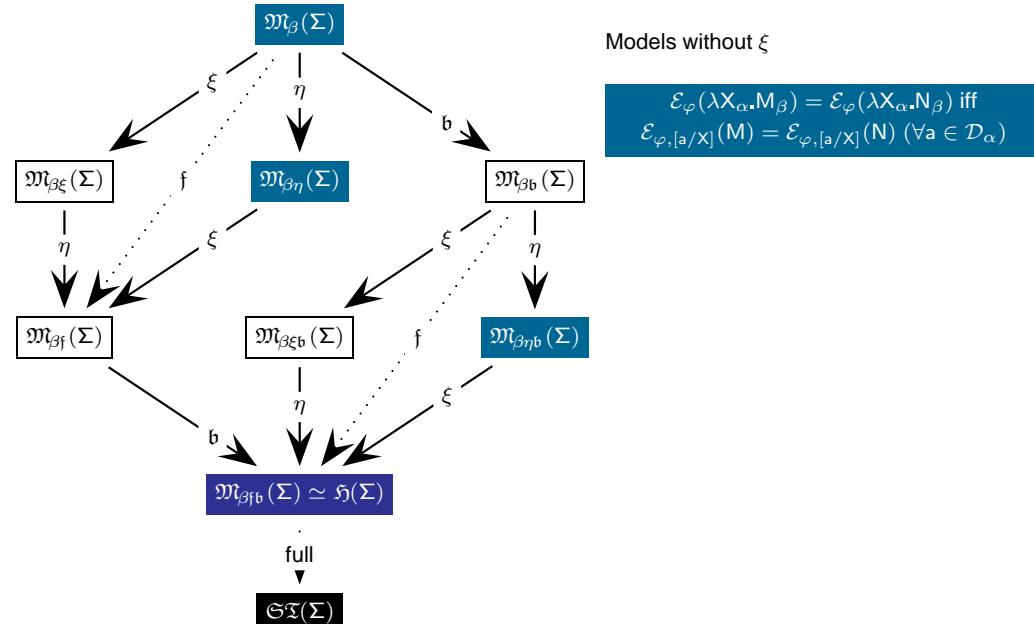
and we may choose:

- $O$  evaluates to  $\top^1$
- $F$  evaluates to  $\top^2$

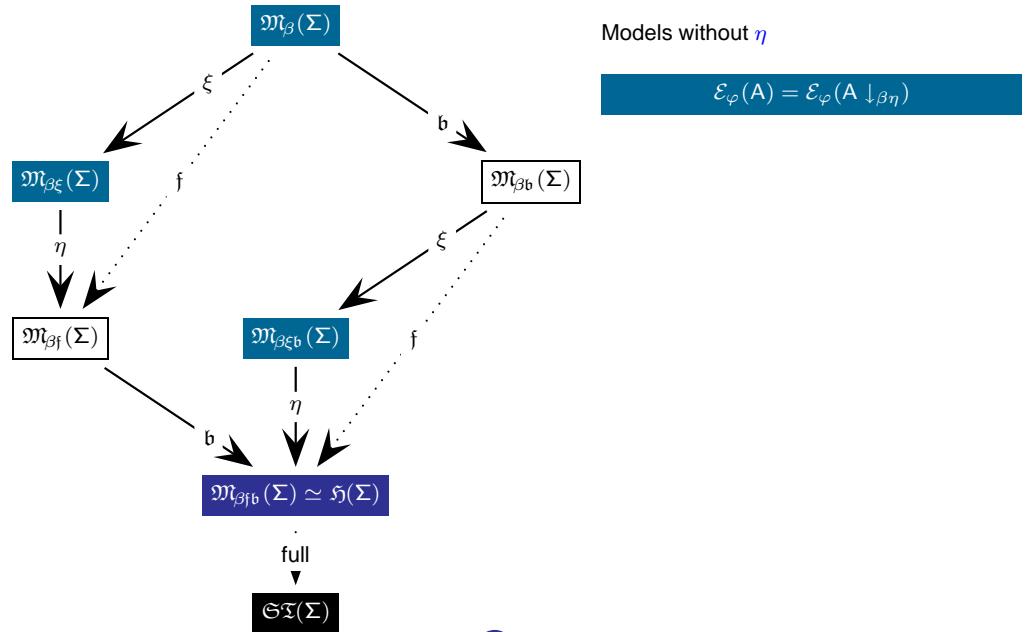
## Semantics: HOL-CUBE



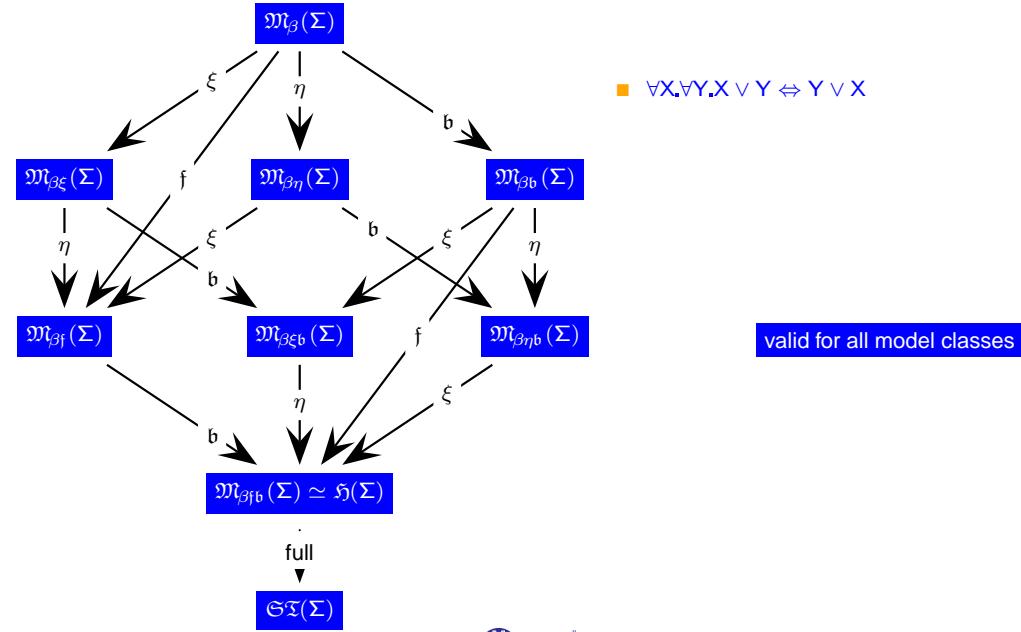
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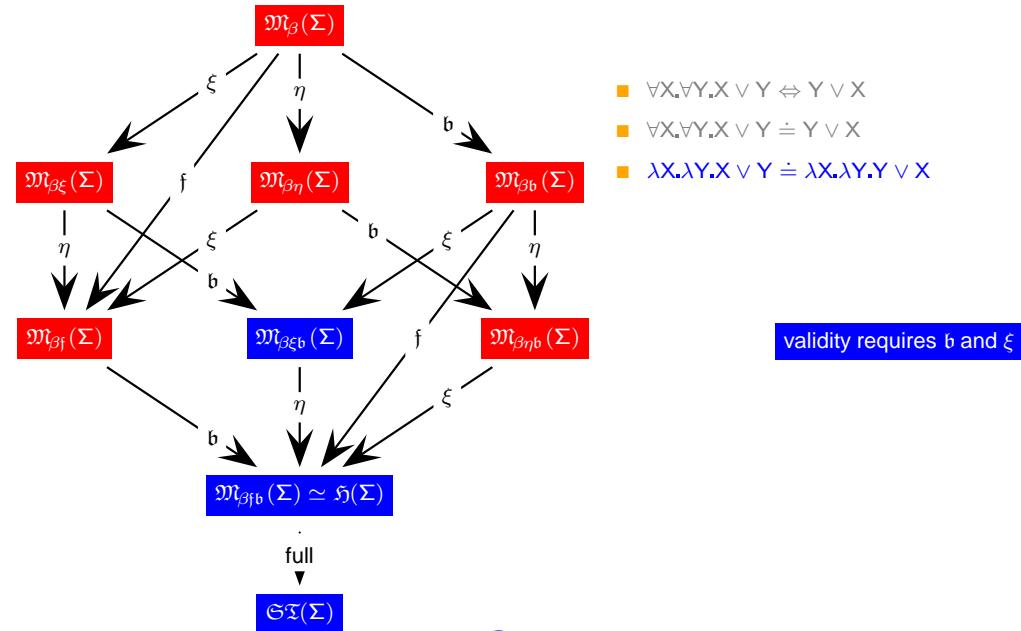
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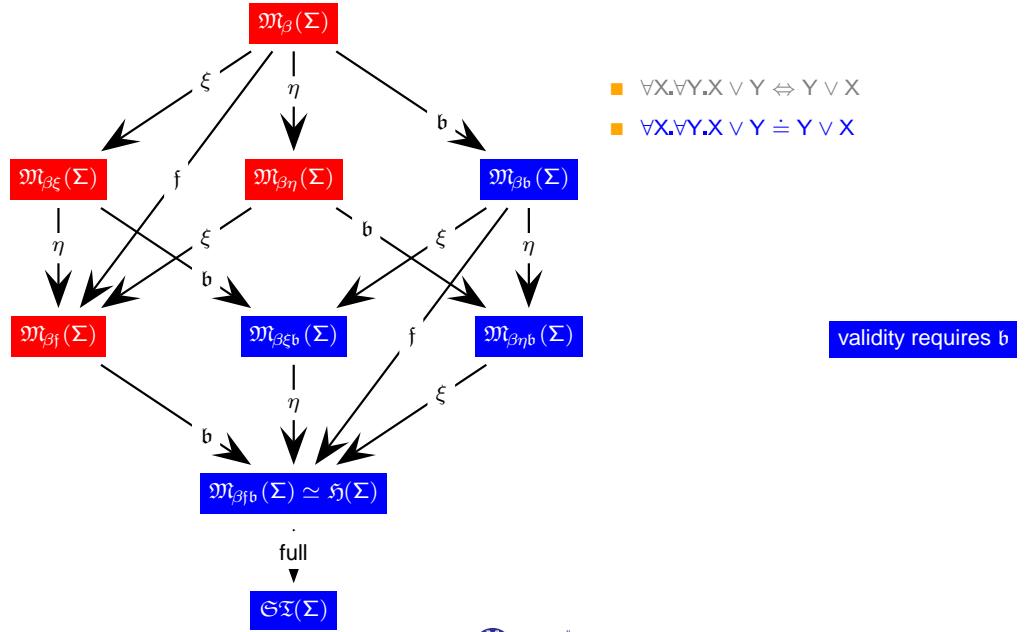
## HOL Example Problems



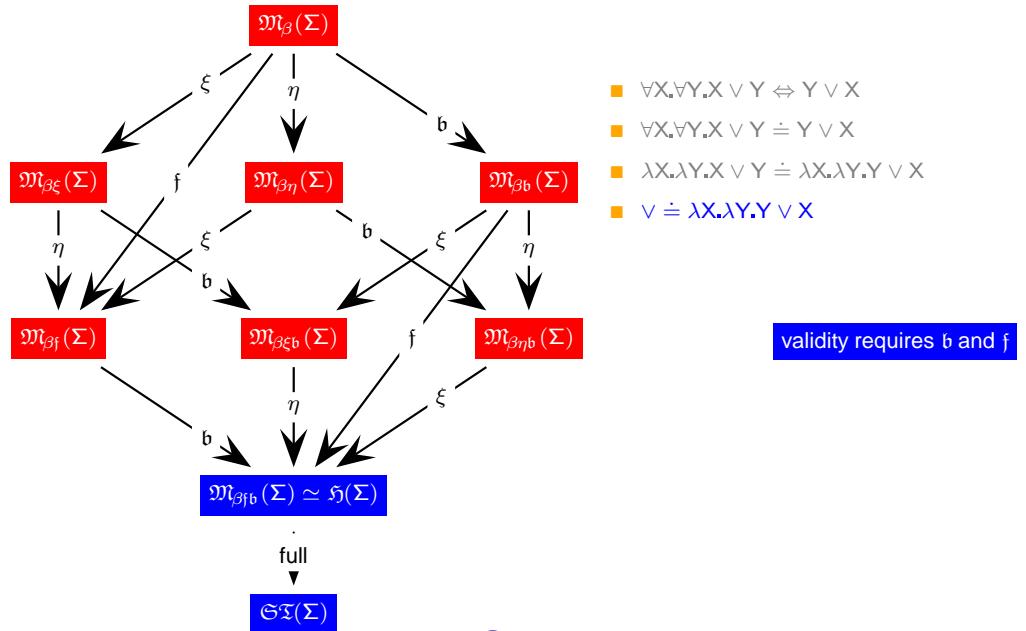
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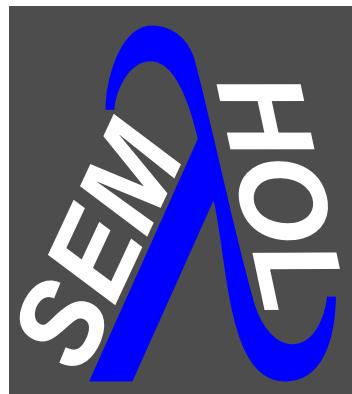


## HOL Example Problems



## HOL Example Problems





## Defined Logical Connectives in $\Sigma$ -Models

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## Defined Logical Connectives

Lemma: (Truth and Falsity in  $\Sigma$ -Models)Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model and  $\varphi$  an assignment.Let  $\mathbf{T}_o := \forall P_o.P \vee \neg P$  and  $\mathbf{F}_o := \neg \mathbf{T}_o$ .Then  $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \text{T}$  and  $v(\mathcal{E}_\varphi(\mathbf{F}_o)) = \text{F}$ .

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Proof:  $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \text{T}$

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- This is equivalent to  $v(\varphi[p/P](P)) = \text{T}$  or  $v(\varphi[p/P](\neg P)) = \text{F}$ .

Lemma: (Truth and Falsity in  $\Sigma$ -Models)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model and  $\varphi$  an assignment.

Let  $\mathbf{T}_o := \forall P_o.P \vee \neg P$  and  $\mathbf{F}_o := \neg \mathbf{T}_o$ .

Then  $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \text{T}$  and  $v(\mathcal{E}_\varphi(\mathbf{F}_o)) = \text{F}$ .

Proof:  $v(\mathcal{E}_\varphi(\mathbf{T}_o)) = \text{T}$

- iff  $v(\mathcal{E}_{\varphi[p/P]}(P \vee \neg P)) = \text{T}$  for all  $p \in \mathcal{D}_o$
- This is equivalent to  $v(\mathcal{E}_{\varphi[p/P]}(P)) = \text{T}$  or  $v(\mathcal{E}_{\varphi[p/P]}(\neg P)) = \text{F}$ .
- This is equivalent to  $v(\varphi[p/P](P)) = \text{T}$  or  $v(\varphi[p/P](\neg P)) = \text{F}$ .
- Since  $v$  maps into  $\{\text{T}, \text{F}\}$  this must be true.

## Defined Logical Connectives



Rem.: ( $|\mathcal{D}_o| \geq 2$  and  $v$  surjective)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model. By the previous Lemma,  $\mathcal{D}_o$  must have at least the two elements  $\mathcal{E}_\varphi(\mathbf{T}_o)$  and  $\mathcal{E}_\varphi(\mathbf{F}_o)$ , and  $v$  must be surjective.

## Defined Logical Connectives



Lemma: (Equivalence)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  an assignment into  $\mathcal{M}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_o(\Sigma)$ .  
 $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \text{T}$  iff  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$ .

## Defined Logical Connectives



Lemma: (Equivalence)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  an assignment into  $\mathcal{M}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_o(\Sigma)$ .

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \text{T}$  iff  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$ .

Proof:

## Defined Logical Connectives



Lemma: (Equivalence)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  an assignment into  $\mathcal{M}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_o(\Sigma)$ .

$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \text{T}$  iff  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$ .

Proof: Suppose  $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \text{T}$ .

## Defined Logical Connectives



Lemma: (Equivalence)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  an assignment into  $\mathcal{M}$ , and  $A, B \in wff_o(\Sigma)$ .

$$v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T \text{ iff } v(\mathcal{E}_\varphi(A)) = v(\mathcal{E}_\varphi(B)).$$

Proof: Suppose  $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T$ .

- This implies  $v(\mathcal{E}_\varphi(\neg(\neg A \vee B) \vee \neg(\neg B \vee A)))) = T$

## Defined Logical Connectives



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Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  an assignment into  $\mathcal{M}$ , and  $A, B \in wff_o(\Sigma)$ .

$$v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T \text{ iff } v(\mathcal{E}_\varphi(A)) = v(\mathcal{E}_\varphi(B)).$$

Proof: Suppose  $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T$ .

- This implies  $v(\mathcal{E}_\varphi(\neg(\neg A \vee B) \vee \neg(\neg B \vee A)))) = T$
- This implies  $v(\mathcal{E}_\varphi(\neg A \vee B)) = T$  and  $v(\mathcal{E}_\varphi(\neg B \vee A)) = T$ .

## Defined Logical Connectives



Lemma: (Equivalence)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  an assignment into  $\mathcal{M}$ , and  $A, B \in wff_o(\Sigma)$ .

$$v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T \text{ iff } v(\mathcal{E}_\varphi(A)) = v(\mathcal{E}_\varphi(B)).$$

Proof: Suppose  $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T$ .

- This implies  $v(\mathcal{E}_\varphi(\neg(\neg A \vee B) \vee \neg(\neg B \vee A)))) = T$
- This implies  $v(\mathcal{E}_\varphi(\neg A \vee B)) = T$  and  $v(\mathcal{E}_\varphi(\neg B \vee A)) = T$ .
- If  $v(\mathcal{E}_\varphi(A)) = T$ , then  $v(\mathcal{E}_\varphi(\neg A \vee B)) = T$  implies  $v(\mathcal{E}_\varphi(B)) = T$ , so  $v(\mathcal{E}_\varphi(A)) = T = v(\mathcal{E}_\varphi(B))$ .

## Defined Logical Connectives



Lemma: (Equivalence)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  an assignment into  $\mathcal{M}$ , and  $A, B \in wff_o(\Sigma)$ .

$$v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T \text{ iff } v(\mathcal{E}_\varphi(A)) = v(\mathcal{E}_\varphi(B)).$$

Proof: Suppose  $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T$ .

- This implies  $v(\mathcal{E}_\varphi(\neg(\neg A \vee B) \vee \neg(\neg B \vee A)))) = T$
- This implies  $v(\mathcal{E}_\varphi(\neg A \vee B)) = T$  and  $v(\mathcal{E}_\varphi(\neg B \vee A)) = T$ .
- If  $v(\mathcal{E}_\varphi(A)) = T$ , then  $v(\mathcal{E}_\varphi(\neg A \vee B)) = T$  implies  $v(\mathcal{E}_\varphi(B)) = T$ , so  $v(\mathcal{E}_\varphi(A)) = T = v(\mathcal{E}_\varphi(B))$ .
- If  $v(\mathcal{E}_\varphi(A)) = F$ , then  $v(\mathcal{E}_\varphi(\neg B \vee A)) = T$  implies  $v(\mathcal{E}_\varphi(B)) = F$ , so  $v(\mathcal{E}_\varphi(A)) = F = v(\mathcal{E}_\varphi(B))$ .

## Defined Logical Connectives



Lemma: (Equivalence)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  an assignment into  $\mathcal{M}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_o(\Sigma)$ .

$$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T} \text{ iff } v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})).$$

Proof: Suppose  $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T}$ .

- ▶ This implies  $v(\mathcal{E}_\varphi(\neg(\neg(\neg\mathbf{A} \vee \mathbf{B}) \vee \neg(\neg\mathbf{B} \vee \mathbf{A})))) = \mathbf{T}$
- ▶ This implies  $v(\mathcal{E}_\varphi(\neg\mathbf{A} \vee \mathbf{B})) = \mathbf{T}$  and  $v(\mathcal{E}_\varphi(\neg\mathbf{B} \vee \mathbf{A})) = \mathbf{T}$ .
- ▶ If  $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T}$ , then  $v(\mathcal{E}_\varphi(\neg\mathbf{A} \vee \mathbf{B})) = \mathbf{T}$  implies  $v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$ , so  $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{T} = v(\mathcal{E}_\varphi(\mathbf{B}))$ .
- ▶ If  $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{F}$ , then  $v(\mathcal{E}_\varphi(\neg\mathbf{B} \vee \mathbf{A})) = \mathbf{T}$  implies  $v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$ , so  $v(\mathcal{E}_\varphi(\mathbf{A})) = \mathbf{F} = v(\mathcal{E}_\varphi(\mathbf{B}))$ .
- ▶ Since these are the only two possible values for  $v(\mathcal{E}_\varphi(\mathbf{A}))$ , we have  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$ .

## Defined Logical Connectives



Lemma: (Equivalence)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  an assignment into  $\mathcal{M}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_o(\Sigma)$ .

$$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T} \text{ iff } v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})).$$

Proof:

Suppose  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$ .

- ▶ Either  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{T}$  or  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \mathbf{F}$ .

## Defined Logical Connectives



Lemma: (Equivalence)

Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  an assignment into  $\mathcal{M}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_o(\Sigma)$ .

$$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \mathbf{T} \text{ iff } v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})).$$

Proof:

Suppose  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$ .

## Defined Logical Connectives

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$$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \text{T} \text{ iff } v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})).$$

Proof:

Suppose  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$ .

- Either  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \text{T}$   
or  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \text{F}$ .
- An easy consideration of both cases verifies  
 $v(\mathcal{E}_\varphi(\neg \mathbf{A} \vee \mathbf{B})) = \text{T}$  and  $v(\mathcal{E}_\varphi(\neg \mathbf{B} \vee \mathbf{A})) = \text{T}$ .
- Hence,  $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \text{T}$ .

## Defined Logical Connectives

Lemma: (Equivalence)

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$$v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \text{T} \text{ iff } v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})).$$

Proof:

Suppose  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B}))$ .

- Either  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \text{T}$   
or  $v(\mathcal{E}_\varphi(\mathbf{A})) = v(\mathcal{E}_\varphi(\mathbf{B})) = \text{F}$ .
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q.e.d.

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- An easy consideration of both cases verifies  
 $v(\mathcal{E}_\varphi(\neg \mathbf{A} \vee \mathbf{B})) = \text{T}$  and  $v(\mathcal{E}_\varphi(\neg \mathbf{B} \vee \mathbf{A})) = \text{T}$ .
- Hence,  $v(\mathcal{E}_\varphi(\mathbf{A} \Leftrightarrow \mathbf{B})) = \text{T}$ .

q.e.d.

## Extensionality for Leibniz Equality

Def.: (Extensionality for Leibniz Equality)

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We call a formula of the form

$$\text{EXT}_{\equiv}^{\alpha \rightarrow \beta} := \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta} (\forall X_{\alpha} FX \dot{=}^{\beta} GX) \Rightarrow F \dot{=}^{\alpha \rightarrow \beta} G$$

an **axiom of (strong) functional extensionality for Leibniz equality.**

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an **axiom of (strong) functional extensionality for Leibniz equality.**

We refer to the set

$$\text{EXT}_{\equiv}^{\rightarrow} := \{\text{EXT}_{\equiv}^{\alpha \rightarrow \beta} \mid \alpha, \beta \in \mathcal{T}\}$$

as the **axioms of (strong) functional extensionality for Leibniz equality.**

Def.: (Extensionality for Leibniz Equality)

Def.: (Extensionality for Leibniz Equality)

We call the formula

$$\text{EXT}_{\equiv}^{\circ} := \forall A_{\circ} \forall B_{\circ} (A \Leftrightarrow B) \Rightarrow A \dot{=}^{\circ} B$$

the **axiom of Boolean extensionality.**

Def.: (Extensionality for Leibniz Equality)

We call the formula

$$\text{EXT}_{\equiv}^{\circ} := \forall A_o. \forall B_o. (A \Leftrightarrow B) \Rightarrow A \doteq^{\circ} B$$

the **axiom of Boolean extensionality**.

We call the set  $\text{EXT}_{\equiv}^{\rightarrow} \cup \{\text{EXT}_{\equiv}^{\circ}\}$  the **axioms of (strong) extensionality for Leibniz equality**.

# Extensionality and Leibniz Equality

Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $A, B \in \text{wff}_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(A) = \mathcal{E}_\varphi(B)$ , then  $v(\mathcal{E}_\varphi(A \doteq^\alpha B)) = \text{T}$ .

Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $A, B \in \text{wff}_\alpha(\Sigma)$ .

# Extensionality and Leibniz Equality

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1. If  $\mathcal{E}_\varphi(A) = \mathcal{E}_\varphi(B)$ , then  $v(\mathcal{E}_\varphi(A \doteq^\alpha B)) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\varphi$  and  $v(\mathcal{E}_\varphi(A \doteq^\alpha B)) = \text{T}$ , then  $\mathcal{E}_\varphi(A) = \mathcal{E}_\varphi(B)$ .

## Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\varphi$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

## Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\varphi$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

## Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\varphi$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof: Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

- For the first part, suppose  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

## Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in wff_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\varphi$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

- For the first part, suppose  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .
- Given  $r \in \mathcal{D}_{\alpha \rightarrow o}$ , we have either
  - $v(r @ \mathcal{E}_\varphi(\mathbf{A})) = v(r @ \mathcal{E}_\varphi(\mathbf{B})) = \text{F}$  or
  - $v(r @ \mathcal{E}_\varphi(\mathbf{B})) = v(r @ \mathcal{E}_\varphi(\mathbf{A})) = \text{T}$ .

## Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\mathfrak{q}$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

- For the first part, suppose  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .
- Given  $r \in \mathcal{D}_{\alpha \rightarrow o}$ , we have either  
 $v(r @ \mathcal{E}_\varphi(\mathbf{A})) = v(r @ \mathcal{E}_\varphi(\mathbf{B})) = \text{F}$  or  
 $v(r @ \mathcal{E}_\varphi(\mathbf{B})) = v(r @ \mathcal{E}_\varphi(\mathbf{A})) = \text{T}$ .
- In either case, for any variable  $P_{\alpha \rightarrow o}$  not in  $\text{Free}(\mathbf{A}) \cup \text{Free}(\mathbf{B})$ , we have  $v(\mathcal{E}_{\varphi, [r/P]}(\neg(P\mathbf{A}) \vee P\mathbf{B})) = \text{T}$ .

## Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\mathfrak{q}$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

To show the second part, suppose  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .

## Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\mathfrak{q}$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

- For the first part, suppose  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .
- Given  $r \in \mathcal{D}_{\alpha \rightarrow o}$ , we have either  
 $v(r @ \mathcal{E}_\varphi(\mathbf{A})) = v(r @ \mathcal{E}_\varphi(\mathbf{B})) = \text{F}$  or  
 $v(r @ \mathcal{E}_\varphi(\mathbf{B})) = v(r @ \mathcal{E}_\varphi(\mathbf{A})) = \text{T}$ .
- In either case, for any variable  $P_{\alpha \rightarrow o}$  not in  $\text{Free}(\mathbf{A}) \cup \text{Free}(\mathbf{B})$ , we have  $v(\mathcal{E}_{\varphi, [r/P]}(\neg(P\mathbf{A}) \vee P\mathbf{B})) = \text{T}$ .
- So, we have  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .

## Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_\alpha(\Sigma)$ .

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2. If  $\mathcal{M}$  satisf.  $\mathfrak{q}$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

## Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\mathfrak{q}$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

To show the second part, suppose  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .

- By property  $\mathfrak{q}$ , there is some  $q^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$  such that for  $a, b \in \mathcal{D}_\alpha$  we have  $v(q^\alpha @ a @ b) = \text{T}$  iff  $a = b$ .

# Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\mathbf{q}$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

- To show the second part, suppose  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
- By property  $\mathbf{q}$ , there is some  $\mathbf{q}^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow \circ}$  such that for  $a, b \in \mathcal{D}_\alpha$  we have  $v(\mathbf{q}^\alpha @ a @ b) = \text{T}$  iff  $a = b$ .
  - Let  $r = \mathbf{q}^\alpha @ \mathcal{E}_\varphi(\mathbf{A})$ .

# Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\mathbf{q}$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

- To show the second part, suppose  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
- By property  $\mathbf{q}$ , there is some  $\mathbf{q}^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow \circ}$  such that for  $a, b \in \mathcal{D}_\alpha$  we have  $v(\mathbf{q}^\alpha @ a @ b) = \text{T}$  iff  $a = b$ .
  - Let  $r = \mathbf{q}^\alpha @ \mathcal{E}_\varphi(\mathbf{A})$ .
  - From  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$  we get  $v(\mathcal{E}_{\varphi, [r/P]}(\neg PA \vee PB)) = \text{T}$  (where  $P_{\alpha \rightarrow \circ} \notin \text{Free}(A) \cup \text{Free}(B)$ ).

# Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\mathbf{q}$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

- To show the second part, suppose  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
- By property  $\mathbf{q}$ , there is some  $\mathbf{q}^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow \circ}$  such that for  $a, b \in \mathcal{D}_\alpha$  we have  $v(\mathbf{q}^\alpha @ a @ b) = \text{T}$  iff  $a = b$ .
  - Let  $r = \mathbf{q}^\alpha @ \mathcal{E}_\varphi(\mathbf{A})$ .
  - From  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$  we get  $v(\mathcal{E}_{\varphi, [r/P]}(\neg PA \vee PB)) = \text{T}$  (where  $P_{\alpha \rightarrow \circ} \notin \text{Free}(A) \cup \text{Free}(B)$ ).
  - Since  $v(\mathcal{E}_{\varphi, [r/P]}(PA)) = v(\mathbf{q}^\alpha @ \mathcal{E}_\varphi(\mathbf{A}) @ \mathcal{E}_\varphi(\mathbf{A})) = \text{T}$ , we must have  $v(\mathcal{E}_{\varphi, [r/P]}(PB)) = \text{T}$ .

# Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\mathbf{q}$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

- To show the second part, suppose  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
- By property  $\mathbf{q}$ , there is some  $\mathbf{q}^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow \circ}$  such that for  $a, b \in \mathcal{D}_\alpha$  we have  $v(\mathbf{q}^\alpha @ a @ b) = \text{T}$  iff  $a = b$ .
  - Let  $r = \mathbf{q}^\alpha @ \mathcal{E}_\varphi(\mathbf{A})$ .
  - From  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$  we get  $v(\mathcal{E}_{\varphi, [r/P]}(\neg PA \vee PB)) = \text{T}$  (where  $P_{\alpha \rightarrow \circ} \notin \text{Free}(A) \cup \text{Free}(B)$ ).
  - Since  $v(\mathcal{E}_{\varphi, [r/P]}(PA)) = v(\mathbf{q}^\alpha @ \mathcal{E}_\varphi(\mathbf{A}) @ \mathcal{E}_\varphi(\mathbf{A})) = \text{T}$ , we must have  $v(\mathcal{E}_{\varphi, [r/P]}(PB)) = \text{T}$ .
  - That is,  $v(\mathbf{q}^\alpha @ \mathcal{E}_\varphi(\mathbf{A}) @ \mathcal{E}_\varphi(\mathbf{B})) = \text{T}$ , hence  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

## Extensionality and Leibniz Equality



Lemma: (Leibniz Equality in  $\Sigma$ -models) Let  $\mathcal{M} := (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model,  $\varphi$  be an assignment,  $\alpha \in \mathcal{T}$ , and  $\mathbf{A}, \mathbf{B} \in \text{wff}_\alpha(\Sigma)$ .

1. If  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ , then  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .
2. If  $\mathcal{M}$  satisf.  $\mathfrak{q}$  and  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ , then  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

Proof:

To show the second part, suppose  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$ .

- By property  $\mathfrak{q}$ , there is some  $\mathfrak{q}^\alpha \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow \circ}$  such that for  $a, b \in \mathcal{D}_\alpha$  we have  $v(\mathfrak{q}^\alpha @ a @ b) = \text{T}$  iff  $a = b$ .
- Let  $r = \mathfrak{q}^\alpha @ \mathcal{E}_\varphi(\mathbf{A})$ .
- From  $v(\mathcal{E}_\varphi(\mathbf{A} \doteq^\alpha \mathbf{B})) = \text{T}$  we get  $v(\mathcal{E}_{\varphi, [r/P]}(\neg PA \vee PB)) = \text{T}$  (where  $P_{\alpha \rightarrow \circ} \notin \text{Free}(\mathbf{A}) \cup \text{Free}(\mathbf{B})$ ).
- Since  $v(\mathcal{E}_{\varphi, [r/P]}(PA)) = v(\mathfrak{q}^\alpha @ \mathcal{E}_\varphi(\mathbf{A}) @ \mathcal{E}_\varphi(\mathbf{A})) = \text{T}$ , we must have  $v(\mathcal{E}_{\varphi, [r/P]}(PB)) = \text{T}$ .
- That is,  $v(\mathfrak{q}^\alpha @ \mathcal{E}_\varphi(\mathbf{A}) @ \mathcal{E}_\varphi(\mathbf{B})) = \text{T}$ , hence  $\mathcal{E}_\varphi(\mathbf{A}) = \mathcal{E}_\varphi(\mathbf{B})$ .

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## Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

1. If  $\mathcal{M}$  satisfies  $\mathfrak{q}$  but not property  $\mathfrak{f}$ , then  $\mathcal{M} \not\models \text{EXT}_\leqq^\rightarrow$ .

## Extensionality and Leibniz Equality



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Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

## Extensionality and Leibniz Equality



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2. If  $\mathcal{M}$  satisfies  $\mathfrak{q}$  but not property  $\mathfrak{b}$ , then  $\mathcal{M} \not\models \text{EXT}_\leqq^\circ$ .

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# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

1. If  $\mathcal{M}$  satisfies  $q$  but not property  $f$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^{\rightarrow}$ .
2. If  $\mathcal{M}$  satisfies  $q$  but not property  $b$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^o$ .
3. If  $\mathcal{M}$  satisfies  $q$  and  $f$ , then  $\mathcal{M} \models \text{EXT}_{\leq}^{\rightarrow}$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

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3. If  $\mathcal{M}$  satisfies  $q$  and  $f$ , then  $\mathcal{M} \models \text{EXT}_{\leq}^{\rightarrow}$ .
4. If  $\mathcal{M}$  satisfies  $b$ , then  $\mathcal{M} \models \text{EXT}_{\leq}^o$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

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2. If  $\mathcal{M}$  satisfies  $q$  but not property  $b$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^o$ .
3. If  $\mathcal{M}$  satisfies  $q$  and  $f$ , then  $\mathcal{M} \models \text{EXT}_{\leq}^{\rightarrow}$ .
4. If  $\mathcal{M}$  satisfies  $b$ , then  $\mathcal{M} \models \text{EXT}_{\leq}^o$ .

| in                                | $\mathfrak{M}_\beta(\Sigma), \mathfrak{M}_{\beta\eta}(\Sigma), \mathfrak{M}_{\beta\xi}(\Sigma)$ |    | $\mathfrak{M}_{\beta f}(\Sigma)$ |    | $\mathfrak{M}_{\beta b}(\Sigma), \mathfrak{M}_{\beta\eta b}(\Sigma), \mathfrak{M}_{\beta\xi b}(\Sigma)$ |    | $\mathfrak{M}_{\beta f b}(\Sigma)$ |    |
|-----------------------------------|---|----|----------------------------------|----|---|----|------------------------------------|----|
| formula                           | valid?  | by | valid?                           | by | valid?  | by | valid?                             | by |
| $\text{EXT}_{\leq}^{\rightarrow}$ | —   | 1. | +                                | 3. | —   | 1. | +                                  | 3. |
| $\text{EXT}_{\leq}^o$             | —   | 2. | —                                | 2. | +   | 4. | +                                  | 4. |

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

1. If  $\mathcal{M}$  satisfies  $q$  but not property  $f$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^{\rightarrow}$ .

Proof:

Suppose  $\mathcal{M}$  satisfies property  $q$  but does not satisfy property  $f$ .

- Then there must be types  $\alpha$  and  $\beta$  and objects  $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$  such that  $f \neq g$  but  $f@a = g@a$  for every  $a \in \mathcal{D}_\alpha$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

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- Let  $F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta} \in \mathcal{V}_{\alpha \rightarrow \beta}$  be distinct variables,  $X_\alpha \in \mathcal{V}_\alpha$ , and  $\varphi$  be any assignment with  $\varphi(F) = f$  and  $\varphi(G) = g$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

1. If  $\mathcal{M}$  satisfies  $q$  but not property  $f$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^{\rightarrow}$ .

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- Let  $F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta} \in \mathcal{V}_{\alpha \rightarrow \beta}$  be distinct variables,  $X_\alpha \in \mathcal{V}_\alpha$ , and  $\varphi$  be any assignment with  $\varphi(F) = f$  and  $\varphi(G) = g$ .
- For any  $a \in \mathcal{D}_\alpha$ ,  $f@a = g@a$  implies  $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$  implies  $v(\mathcal{E}_{\varphi, [a/X]}(FX \dot{=}^\beta GX)) = T$  by Lemma 'Leibniz Equality in  $\Sigma$ -models(1.)'.

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

1. If  $\mathcal{M}$  satisfies  $q$  but not property  $f$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^{\rightarrow}$ .

Proof:

Suppose  $\mathcal{M}$  satisfies property  $q$  but does not satisfy property  $f$ .

- Then there must be types  $\alpha$  and  $\beta$  and objects  $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$  such that  $f \neq g$  but  $f@a = g@a$  for every  $a \in \mathcal{D}_\alpha$ .
- Let  $F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta} \in \mathcal{V}_{\alpha \rightarrow \beta}$  be distinct variables,  $X_\alpha \in \mathcal{V}_\alpha$ , and  $\varphi$  be any assignment with  $\varphi(F) = f$  and  $\varphi(G) = g$ .
- For any  $a \in \mathcal{D}_\alpha$ ,  $f@a = g@a$  implies  $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$  implies  $v(\mathcal{E}_{\varphi, [a/X]}(FX \dot{=}^\beta GX)) = T$  by Lemma 'Leibniz Equality in  $\Sigma$ -models(1.)'.
- Hence, we have  $v(\mathcal{E}_{\varphi}(\forall X_\alpha(FX \dot{=}^\beta GX))) = T$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

1. If  $\mathcal{M}$  satisfies  $q$  but not property  $f$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^{\rightarrow}$ .

Proof:

Suppose  $\mathcal{M}$  satisfies property  $q$  but does not satisfy property  $f$ .

- Then there must be types  $\alpha$  and  $\beta$  and objects  $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$  such that  $f \neq g$  but  $f@a = g@a$  for every  $a \in \mathcal{D}_\alpha$ .
- Let  $F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta} \in \mathcal{V}_{\alpha \rightarrow \beta}$  be distinct variables,  $X_\alpha \in \mathcal{V}_\alpha$ , and  $\varphi$  be any assignment with  $\varphi(F) = f$  and  $\varphi(G) = g$ .
- For any  $a \in \mathcal{D}_\alpha$ ,  $f@a = g@a$  implies  $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$  implies  $v(\mathcal{E}_{\varphi, [a/X]}(FX \doteq^\beta GX)) = T$  by Lemma 'Leibniz Equality in  $\Sigma$ -models(1.)'.
- Hence, we have  $v(\mathcal{E}_\varphi(\forall X.(FX \doteq^\beta GX))) = T$ .
- On the other hand, since  $f \neq g$  and  $\mathcal{M}$  satisfies property  $q$ , we have  $v(\mathcal{E}_\varphi(F \doteq^{\alpha \rightarrow \beta} G)) = F$  by contraposition of Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)'.

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

1. If  $\mathcal{M}$  satisfies  $q$  but not property  $f$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^{\rightarrow}$ .

Proof:

Suppose  $\mathcal{M}$  satisfies property  $q$  but does not satisfy property  $f$ .

- Then there must be types  $\alpha$  and  $\beta$  and objects  $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$  such that  $f \neq g$  but  $f@a = g@a$  for every  $a \in \mathcal{D}_\alpha$ .
- Let  $F_{\alpha \rightarrow \beta}, G_{\alpha \rightarrow \beta} \in \mathcal{V}_{\alpha \rightarrow \beta}$  be distinct variables,  $X_\alpha \in \mathcal{V}_\alpha$ , and  $\varphi$  be any assignment with  $\varphi(F) = f$  and  $\varphi(G) = g$ .
- For any  $a \in \mathcal{D}_\alpha$ ,  $f@a = g@a$  implies  $\mathcal{E}_{\varphi, [a/X]}(FX) = \mathcal{E}_{\varphi, [a/X]}(GX)$  implies  $v(\mathcal{E}_{\varphi, [a/X]}(FX \doteq^\beta GX)) = T$  by Lemma 'Leibniz Equality in  $\Sigma$ -models(1.)'.
- Hence, we have  $v(\mathcal{E}_\varphi(\forall X.(FX \doteq^\beta GX))) = T$ .
- On the other hand, since  $f \neq g$  and  $\mathcal{M}$  satisfies property  $q$ , we have  $v(\mathcal{E}_\varphi(F \doteq^{\alpha \rightarrow \beta} G)) = F$  by contraposition of Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)'.
- This implies  $\mathcal{M} \not\models \text{EXT}_{\leq}^{\alpha \rightarrow \beta}$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

2. If  $\mathcal{M}$  satisfies  $q$  but not property  $b$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^o$ .

Proof:

Suppose  $\mathcal{M}$  satisfies property  $q$  but does not satisfy property  $b$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

2. If  $\mathcal{M}$  satisfies  $q$  but not property  $b$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^o$ .

Proof:

Suppose  $\mathcal{M}$  satisfies property  $q$  but does not satisfy property  $b$ .

- Then, there must be at least three elements in  $\mathcal{D}_o$ . Since  $v$  maps into a two element set, there must be two distinct elements  $a, b \in \mathcal{D}_o$  such that  $v(a) = v(b)$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

2. If  $\mathcal{M}$  satisfies  $q$  but not property  $b$ , then  $\mathcal{M} \not\models \text{EXT}_{\equiv}^o$ .

Proof:

Suppose  $\mathcal{M}$  satisfies property  $q$  but does not satisfy property  $b$ .

- Then, there must be at least three elements in  $\mathcal{D}_o$ . Since  $v$  maps into a two element set, there must be two distinct elements  $a, b \in \mathcal{D}_o$  such that  $v(a) = v(b)$ .
- Let  $A_o, B_o \in \mathcal{V}_o$  be distinct variables and  $\varphi$  be any assignment into  $\mathcal{M}$  with  $\varphi(A) = a$  and  $\varphi(B) = b$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

2. If  $\mathcal{M}$  satisfies  $q$  but not property  $b$ , then  $\mathcal{M} \not\models \text{EXT}_{\equiv}^o$ .

Proof:

Suppose  $\mathcal{M}$  satisfies property  $q$  but does not satisfy property  $b$ .

- Then, there must be at least three elements in  $\mathcal{D}_o$ . Since  $v$  maps into a two element set, there must be two distinct elements  $a, b \in \mathcal{D}_o$  such that  $v(a) = v(b)$ .
- Let  $A_o, B_o \in \mathcal{V}_o$  be distinct variables and  $\varphi$  be any assignment into  $\mathcal{M}$  with  $\varphi(A) = a$  and  $\varphi(B) = b$ .
- By Lemma 'Equivalence', we know  $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

2. If  $\mathcal{M}$  satisfies  $q$  but not property  $b$ , then  $\mathcal{M} \not\models \text{EXT}_{\equiv}^o$ .

Proof:

Suppose  $\mathcal{M}$  satisfies property  $q$  but does not satisfy property  $b$ .

- Then, there must be at least three elements in  $\mathcal{D}_o$ . Since  $v$  maps into a two element set, there must be two distinct elements  $a, b \in \mathcal{D}_o$  such that  $v(a) = v(b)$ .
- Let  $A_o, B_o \in \mathcal{V}_o$  be distinct variables and  $\varphi$  be any assignment into  $\mathcal{M}$  with  $\varphi(A) = a$  and  $\varphi(B) = b$ .
- By Lemma 'Equivalence', we know  $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T$ .
- Since  $a \neq b$  and property  $q$  holds, by contraposition of Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)', we know  $v(\mathcal{E}_\varphi(A \doteq^o B)) = F$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

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- By Lemma 'Equivalence', we know  $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = T$ .
- Since  $a \neq b$  and property  $q$  holds, by contraposition of Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)', we know  $v(\mathcal{E}_\varphi(A \doteq^o B)) = F$ .
- It follows that  $\mathcal{M} \not\models \text{EXT}_{\equiv}^o$ .

# Extensionality and Leibniz Equality



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- It follows that  $\mathcal{M} \not\models \text{EXT}_{\equiv}^o$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

3. If  $\mathcal{M}$  satisfies  $q$  and  $f$ , then  $\mathcal{M} \models \text{EXT}_{\equiv}^{\rightarrow}$ .

Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

3. If  $\mathcal{M}$  satisfies  $q$  and  $f$ , then  $\mathcal{M} \models \text{EXT}_{\equiv}^{\rightarrow}$ .

Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

- From  $v(\mathcal{E}_\varphi(\forall X_\alpha.FX \doteq GX)) = T$  we know  $v(\mathcal{E}_{\varphi,[a/X]}(FX \doteq GX)) = T$  holds for all  $a \in \mathcal{D}_\alpha$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

3. If  $\mathcal{M}$  satisfies  $q$  and  $f$ , then  $\mathcal{M} \models \text{EXT}_{\equiv}^{\rightarrow}$ .

Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

- From  $v(\mathcal{E}_\varphi(\forall X_\alpha.FX \doteq GX)) = T$  we know  $v(\mathcal{E}_{\varphi,[a/X]}(FX \doteq GX)) = T$  holds for all  $a \in \mathcal{D}_\alpha$ .
- By Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)' we can conclude that  $\mathcal{E}_{\varphi,[a/X]}(FX) = \mathcal{E}_{\varphi,[a/X]}(GX)$  for all  $a \in \mathcal{D}_\alpha$  and hence  $\mathcal{E}_{\varphi,[a/X]}(F) @ \mathcal{E}_{\varphi,[a/X]}(X) = \mathcal{E}_{\varphi,[a/X]}(G) @ \mathcal{E}_{\varphi,[a/X]}(X)$  for all  $a \in \mathcal{D}_\alpha$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

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Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

- From  $v(\mathcal{E}_{\varphi}(\forall X_{\alpha}. FX \doteq GX)) = T$  we know  $v(\mathcal{E}_{\varphi,[a/X]}(FX \doteq GX)) = T$  holds for all  $a \in \mathcal{D}_{\alpha}$ .
- By Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)' we can conclude that  $\mathcal{E}_{\varphi,[a/X]}(FX) = \mathcal{E}_{\varphi,[a/X]}(GX)$  for all  $a \in \mathcal{D}_{\alpha}$  and hence  $\mathcal{E}_{\varphi,[a/X]}(F)@ \mathcal{E}_{\varphi,[a/X]}(X) = \mathcal{E}_{\varphi,[a/X]}(G)@ \mathcal{E}_{\varphi,[a/X]}(X)$  for all  $a \in \mathcal{D}_{\alpha}$ .
- That is,  $\mathcal{E}_{\varphi,[a/X]}(F)@a = \mathcal{E}_{\varphi,[a/X]}(G)@a$  for all  $a \in \mathcal{D}_{\alpha}$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

3. If  $\mathcal{M}$  satisfies  $q$  and  $f$ , then  $\mathcal{M} \models \text{EXT}_{\equiv}^{\rightarrow}$ .

Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

- From  $v(\mathcal{E}_{\varphi}(\forall X_{\alpha}. FX \doteq GX)) = T$  we know  $v(\mathcal{E}_{\varphi,[a/X]}(FX \doteq GX)) = T$  holds for all  $a \in \mathcal{D}_{\alpha}$ .
- By Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)' we can conclude that  $\mathcal{E}_{\varphi,[a/X]}(FX) = \mathcal{E}_{\varphi,[a/X]}(GX)$  for all  $a \in \mathcal{D}_{\alpha}$  and hence  $\mathcal{E}_{\varphi,[a/X]}(F)@ \mathcal{E}_{\varphi,[a/X]}(X) = \mathcal{E}_{\varphi,[a/X]}(G)@ \mathcal{E}_{\varphi,[a/X]}(X)$  for all  $a \in \mathcal{D}_{\alpha}$ .
- That is,  $\mathcal{E}_{\varphi,[a/X]}(F)@a = \mathcal{E}_{\varphi,[a/X]}(G)@a$  for all  $a \in \mathcal{D}_{\alpha}$ .
- Since  $X$  does not occur free in  $F$  or  $G$ , by property  $f$  and Definition of  $\Sigma$ -evaluations we obtain  $\mathcal{E}_{\varphi}(F) = \mathcal{E}_{\varphi}(G)$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

3. If  $\mathcal{M}$  satisfies  $q$  and  $f$ , then  $\mathcal{M} \models \text{EXT}_{\equiv}^{\rightarrow}$ .

Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

- From  $v(\mathcal{E}_{\varphi}(\forall X_{\alpha}. FX \doteq GX)) = T$  we know  $v(\mathcal{E}_{\varphi,[a/X]}(FX \doteq GX)) = T$  holds for all  $a \in \mathcal{D}_{\alpha}$ .
- By Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)' we can conclude that  $\mathcal{E}_{\varphi,[a/X]}(FX) = \mathcal{E}_{\varphi,[a/X]}(GX)$  for all  $a \in \mathcal{D}_{\alpha}$  and hence  $\mathcal{E}_{\varphi,[a/X]}(F)@ \mathcal{E}_{\varphi,[a/X]}(X) = \mathcal{E}_{\varphi,[a/X]}(G)@ \mathcal{E}_{\varphi,[a/X]}(X)$  for all  $a \in \mathcal{D}_{\alpha}$ .
- That is,  $\mathcal{E}_{\varphi,[a/X]}(F)@a = \mathcal{E}_{\varphi,[a/X]}(G)@a$  for all  $a \in \mathcal{D}_{\alpha}$ .
- Since  $X$  does not occur free in  $F$  or  $G$ , by property  $f$  and Definition of  $\Sigma$ -evaluations we obtain  $\mathcal{E}_{\varphi}(F) = \mathcal{E}_{\varphi}(G)$ .
- This finally gives us that  $v(\mathcal{E}_{\varphi}(F \doteq^{\alpha \rightarrow \beta} G)) = T$  with Lemma 'Leibniz Equality in  $\Sigma$ -models(1.)'.

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

3. If  $\mathcal{M}$  satisfies  $q$  and  $f$ , then  $\mathcal{M} \models \text{EXT}_{\equiv}^{\rightarrow}$ .

Proof:

Let  $\varphi$  be any assignment into  $\mathcal{M}$ .

- From  $v(\mathcal{E}_{\varphi}(\forall X_{\alpha}. FX \doteq GX)) = T$  we know  $v(\mathcal{E}_{\varphi,[a/X]}(FX \doteq GX)) = T$  holds for all  $a \in \mathcal{D}_{\alpha}$ .
- By Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)' we can conclude that  $\mathcal{E}_{\varphi,[a/X]}(FX) = \mathcal{E}_{\varphi,[a/X]}(GX)$  for all  $a \in \mathcal{D}_{\alpha}$  and hence  $\mathcal{E}_{\varphi,[a/X]}(F)@ \mathcal{E}_{\varphi,[a/X]}(X) = \mathcal{E}_{\varphi,[a/X]}(G)@ \mathcal{E}_{\varphi,[a/X]}(X)$  for all  $a \in \mathcal{D}_{\alpha}$ .
- That is,  $\mathcal{E}_{\varphi,[a/X]}(F)@a = \mathcal{E}_{\varphi,[a/X]}(G)@a$  for all  $a \in \mathcal{D}_{\alpha}$ .
- Since  $X$  does not occur free in  $F$  or  $G$ , by property  $f$  and Definition of  $\Sigma$ -evaluations we obtain  $\mathcal{E}_{\varphi}(F) = \mathcal{E}_{\varphi}(G)$ .
- This finally gives us that  $v(\mathcal{E}_{\varphi}(F \doteq^{\alpha \rightarrow \beta} G)) = T$  with Lemma 'Leibniz Equality in  $\Sigma$ -models(1.)'.
- It follows that  $\mathcal{M} \models \text{EXT}_{\equiv}^{\alpha \rightarrow \beta}$  and  $\mathcal{M} \models \text{EXT}_{\equiv}^{\rightarrow}$ , since  $\alpha$  and  $\beta$  were chosen arbitrarily.

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

4. If  $\mathcal{M}$  satisfies  $b$ , then  $\mathcal{M} \models \text{EXT}_{\underline{\underline{\equiv}}}^o$ .

Proof: Let  $A_o, B_o \in \mathcal{V}_o$  be distinct variables and  $\varphi$  be any assignment into  $\mathcal{M}$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

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Proof: Let  $A_o, B_o \in \mathcal{V}_o$  be distinct variables and  $\varphi$  be any assignment into  $\mathcal{M}$ .

- Since property  $b$  holds, we can assume  $\mathcal{D}_o = \{\text{T}, \text{F}\}$  and  $v$  is the identity function.

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

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- Suppose  $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = \text{T}$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

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- Since property  $b$  holds, we can assume  $\mathcal{D}_o = \{\text{T}, \text{F}\}$  and  $v$  is the identity function.
- Suppose  $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = \text{T}$ .
- By Lemma 'Equivalence', we have  $\mathcal{E}_\varphi(A) = v(\mathcal{E}_\varphi(A)) = v(\mathcal{E}_\varphi(B)) = \mathcal{E}_\varphi(B)$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

4. If  $\mathcal{M}$  satisfies  $b$ , then  $\mathcal{M} \models \text{EXT}_{\leq}^o$ .

Proof:

Let  $A_o, B_o \in \mathcal{V}_o$  be distinct variables and  $\varphi$  be any assignment into  $\mathcal{M}$ .

- Since property  $b$  holds, we can assume  $\mathcal{D}_o = \{\top, \perp\}$  and  $v$  is the identity function.
- Suppose  $v(\mathcal{E}_\varphi(A \Leftrightarrow B)) = \top$ .
- By Lemma 'Equivalence', we have  $\mathcal{E}_\varphi(A) = v(\mathcal{E}_\varphi(A)) = v(\mathcal{E}_\varphi(B)) = \mathcal{E}_\varphi(B)$ .
- By Lemma 'Leibniz Equality in  $\Sigma$ -models(1.)', we have  $v(\mathcal{E}_\varphi(A \doteq^o B)) = \top$ .

# Extensionality and Leibniz Equality



Thm.: (Extensionality in  $\Sigma$ -models)

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  be a  $\Sigma$ -model.

1. If  $\mathcal{M}$  satisfies  $q$  but not property  $f$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^o$ .
2. If  $\mathcal{M}$  satisfies  $q$  but not property  $b$ , then  $\mathcal{M} \not\models \text{EXT}_{\leq}^o$ .
3. If  $\mathcal{M}$  satisfies  $q$  and  $f$ , then  $\mathcal{M} \models \text{EXT}_{\leq}^o$ .
4. If  $\mathcal{M}$  satisfies  $b$ , then  $\mathcal{M} \models \text{EXT}_{\leq}^o$ .

Proof:

q.e.d.

# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $q$ , then  $\mathcal{M} \models \forall A_o. \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$ .

# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $\varphi$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$ .
2. If  $\mathcal{M}$  satisfies  $\varphi$ , then  
$$\mathcal{M} \models \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \doteq^\beta GX)$$

# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $\varphi$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
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$$\mathcal{M} \models \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \doteq^\beta GX)$$

Proof: (1.)

$$v(\mathcal{E}_\varphi(\forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B))) = \top$$



# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $\varphi$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
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$$\mathcal{M} \models \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \doteq^\beta GX)$$

Proof: (1.)

$$v(\mathcal{E}_\varphi(\forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B))) = \top$$

► iff (for all  $a, b \in \mathcal{D}_\alpha$ )

$$v(\mathcal{E}_{\varphi[a/A][b/B]}(A \doteq^o B)) = \mathbf{F} \text{ or } v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = \mathbf{T}$$

# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $\varphi$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
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$$\mathcal{M} \models \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \doteq^\beta GX)$$

Proof: (1.)

$$v(\mathcal{E}_\varphi(\forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B))) = \top$$

► iff (for all  $a, b \in \mathcal{D}_\alpha$ )

$$v(\mathcal{E}_{\varphi[a/A][b/B]}(A \doteq^o B)) = \mathbf{F} \text{ or } v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = \mathbf{T}$$

► assume  $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \doteq^o B)) = \mathbf{T}$



# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $\varphi$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
2. If  $\mathcal{M}$  satisfies  $\varphi$ , then  
 $\mathcal{M} \models \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \doteq^\beta GX)$

Proof: (1.)

- $v(\mathcal{E}_\varphi(\forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B))) = T$
- iff (for all  $a, b \in \mathcal{D}_\alpha$ )  
 $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \doteq^o B) = F \text{ or } v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = T$
- assume  $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \doteq^o B)) = T$
- then by Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)':  
 $\mathcal{E}_{\varphi[a/A][b/B]}(A) = \mathcal{E}_{\varphi[a/A][b/B]}(B)$

# Extensionality and Leibniz Equality

Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $\varphi$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
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 $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \doteq^o B) = F \text{ or } v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = T$
- assume  $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \doteq^o B)) = T$
- then by Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)':  
 $\mathcal{E}_{\varphi[a/A][b/B]}(A) = \mathcal{E}_{\varphi[a/A][b/B]}(B)$
- then by Lemma 'Equivalence':  $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = T$



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# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $\varphi$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
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Proof: (1.)

- $v(\mathcal{E}_\varphi(\forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B))) = T$
- iff (for all  $a, b \in \mathcal{D}_\alpha$ )  
 $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \doteq^o B) = F \text{ or } v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = T$
- assume  $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \doteq^o B)) = T$
- then by Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)':  
 $\mathcal{E}_{\varphi[a/A][b/B]}(A) = \mathcal{E}_{\varphi[a/A][b/B]}(B)$
- then by Lemma 'Equivalence':  $v(\mathcal{E}_{\varphi[a/A][b/B]}(A \Leftrightarrow B)) = T$

q.e.d.

# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $\varphi$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
2. If  $\mathcal{M}$  satisfies  $\varphi$ , then  
 $\mathcal{M} \models \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \doteq^\beta GX)$

Proof: (2.)  $v(\mathcal{E}_\varphi(\forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_\alpha. FX \doteq^\beta GX))) = T$



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# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $q$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
2. If  $\mathcal{M}$  satisfies  $q$ , then  
 $\mathcal{M} \models \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_{\alpha}. FX \doteq^{\beta} GX)$

Proof: (2.)  $v(\mathcal{E}_{\varphi}(\forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_{\alpha}. FX \doteq^{\beta} GX))) = T$

- iff (for all  $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ )  
 $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \doteq^{\alpha \rightarrow \beta} G)) = F$  or  $v(\mathcal{E}_{\varphi[f/F][g/G]}(\forall X_{\alpha}. FX \doteq^{\beta} GX)) = T$

# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

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2. If  $\mathcal{M}$  satisfies  $q$ , then  
 $\mathcal{M} \models \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_{\alpha}. FX \doteq^{\beta} GX)$

Proof: (2.)  $v(\mathcal{E}_{\varphi}(\forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_{\alpha}. FX \doteq^{\beta} GX))) = T$

- iff (for all  $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ )  
 $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \doteq^{\alpha \rightarrow \beta} G)) = F$  or  $v(\mathcal{E}_{\varphi[f/F][g/G]}(\forall X_{\alpha}. FX \doteq^{\beta} GX)) = T$
- assume  $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \doteq^{\alpha \rightarrow \beta} G)) = T$

# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

1. If  $\mathcal{M}$  satisfies  $q$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
2. If  $\mathcal{M}$  satisfies  $q$ , then  
 $\mathcal{M} \models \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_{\alpha}. FX \doteq^{\beta} GX)$

Proof: (2.)  $v(\mathcal{E}_{\varphi}(\forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_{\alpha}. FX \doteq^{\beta} GX))) = T$

- iff (for all  $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ )  
 $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \doteq^{\alpha \rightarrow \beta} G)) = F$  or  $v(\mathcal{E}_{\varphi[f/F][g/G]}(\forall X_{\alpha}. FX \doteq^{\beta} GX)) = T$
- assume  $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \doteq^{\alpha \rightarrow \beta} G)) = T$
- by Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)':  $\mathcal{E}_{\varphi[f/F][g/G]}(F) = \mathcal{E}_{\varphi[f/F][g/G]}(G)$

# Extensionality and Leibniz Equality



Thm.: (Trivial Extensionality Directions in  $\Sigma$ -Models)

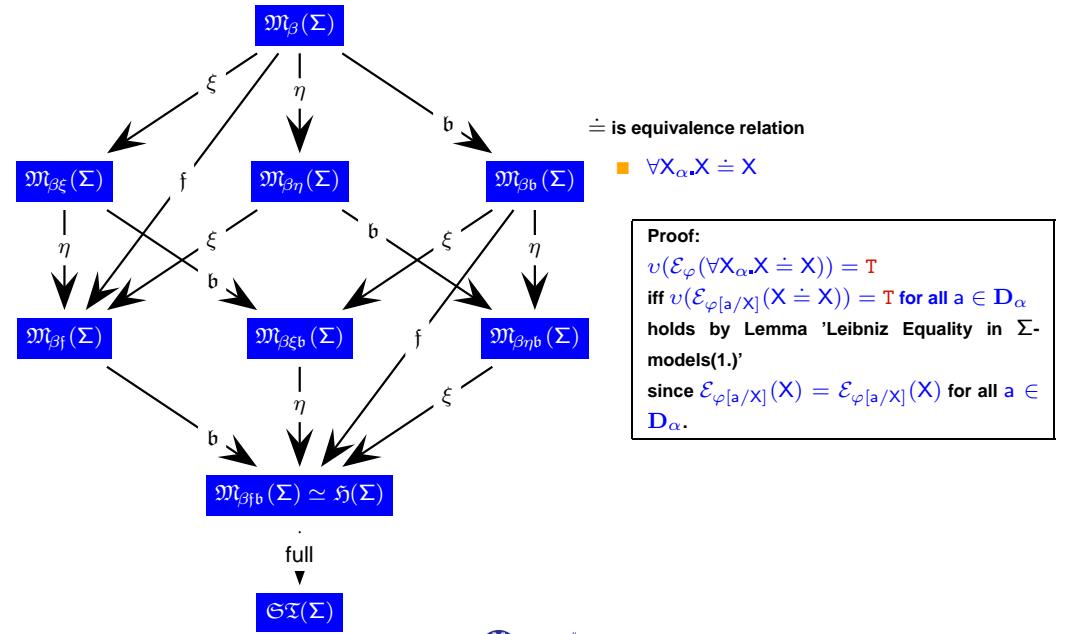
1. If  $\mathcal{M}$  satisfies  $q$ , then  $\mathcal{M} \models \forall A_o \forall B_o. A \doteq^o B \Rightarrow (A \Leftrightarrow B)$
2. If  $\mathcal{M}$  satisfies  $q$ , then  
 $\mathcal{M} \models \forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_{\alpha}. FX \doteq^{\beta} GX)$

Proof: (2.)  $v(\mathcal{E}_{\varphi}(\forall F_{\alpha \rightarrow \beta} \forall G_{\alpha \rightarrow \beta}. F \doteq^{\alpha \rightarrow \beta} G \Rightarrow (\forall X_{\alpha}. FX \doteq^{\beta} GX))) = T$

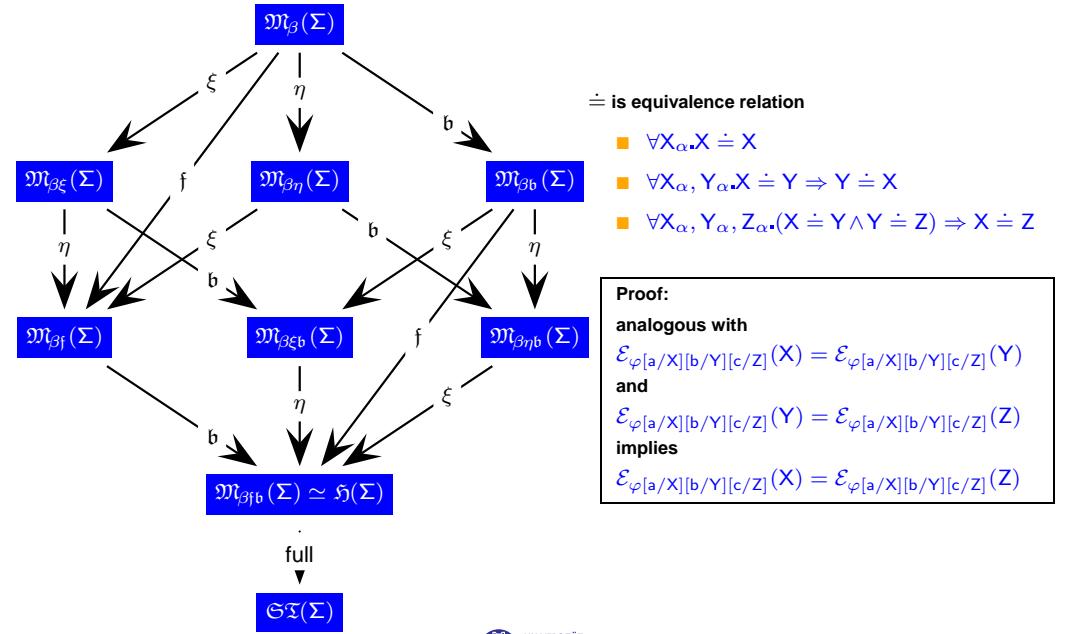
- iff (for all  $f, g \in \mathcal{D}_{\alpha \rightarrow \beta}$ )  
 $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \doteq^{\alpha \rightarrow \beta} G)) = F$  or  $v(\mathcal{E}_{\varphi[f/F][g/G]}(\forall X_{\alpha}. FX \doteq^{\beta} GX)) = T$
- assume  $v(\mathcal{E}_{\varphi[f/F][g/G]}(F \doteq^{\alpha \rightarrow \beta} G)) = T$
- by Lemma 'Leibniz Equality in  $\Sigma$ -models(2.)':  $\mathcal{E}_{\varphi[f/F][g/G]}(F) = \mathcal{E}_{\varphi[f/F][g/G]}(G)$
- $X$  not free in  $G$  or  $F$  (for any  $a \in \mathcal{D}_\alpha$ ):  $\mathcal{E}_{\varphi[f/F][g/G][a/X]}(F) = \mathcal{E}_{\varphi[f/F][g/G][a/X]}(G)$



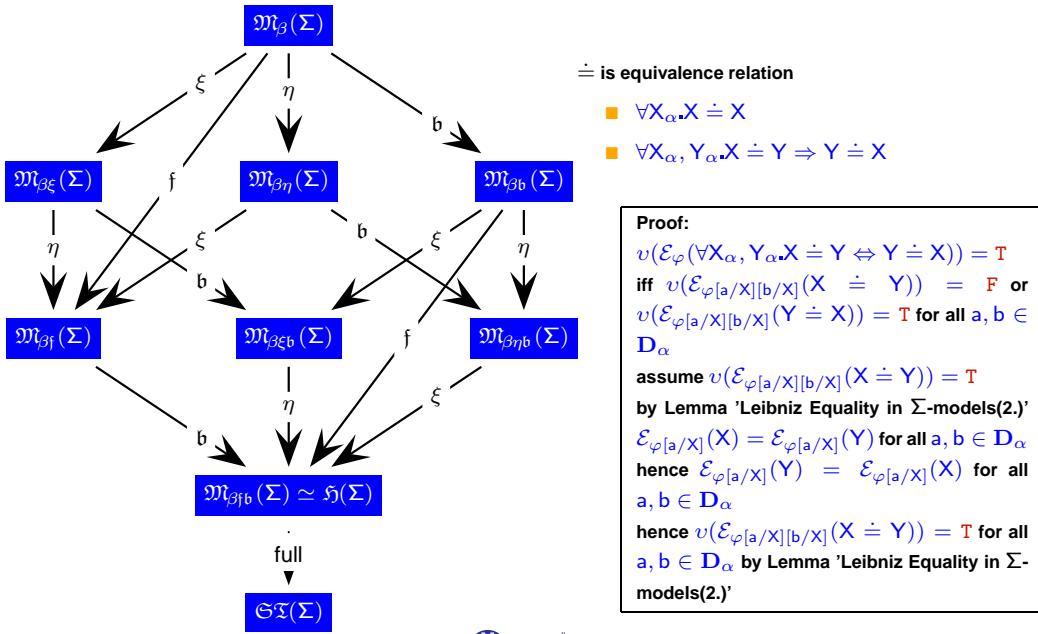
# Leibniz Equality in $\Sigma$ -Models



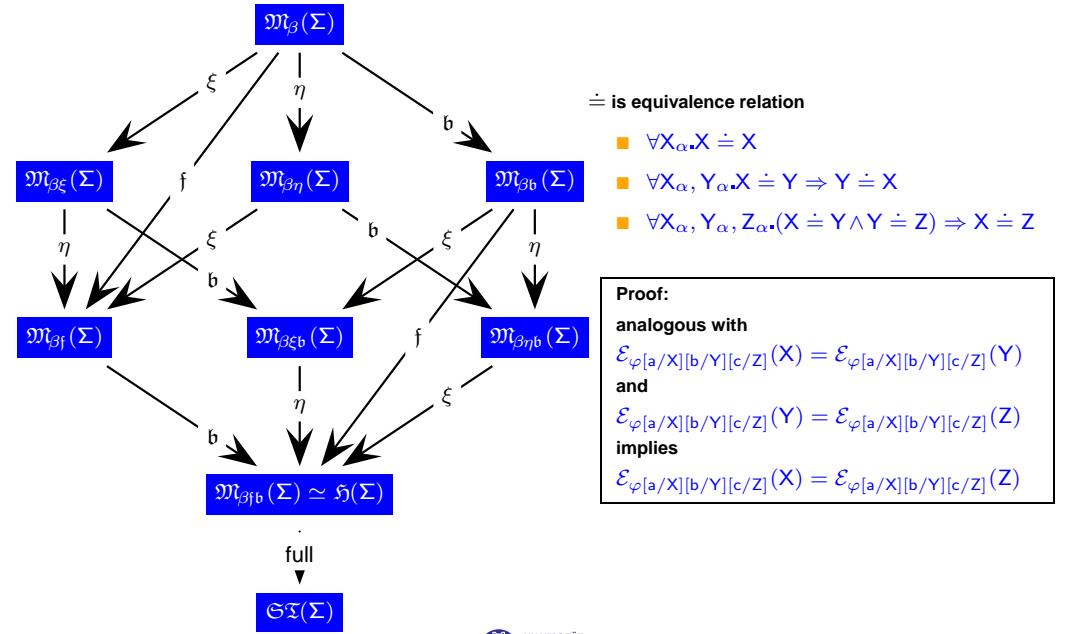
# Leibniz Equality in $\Sigma$ -Models



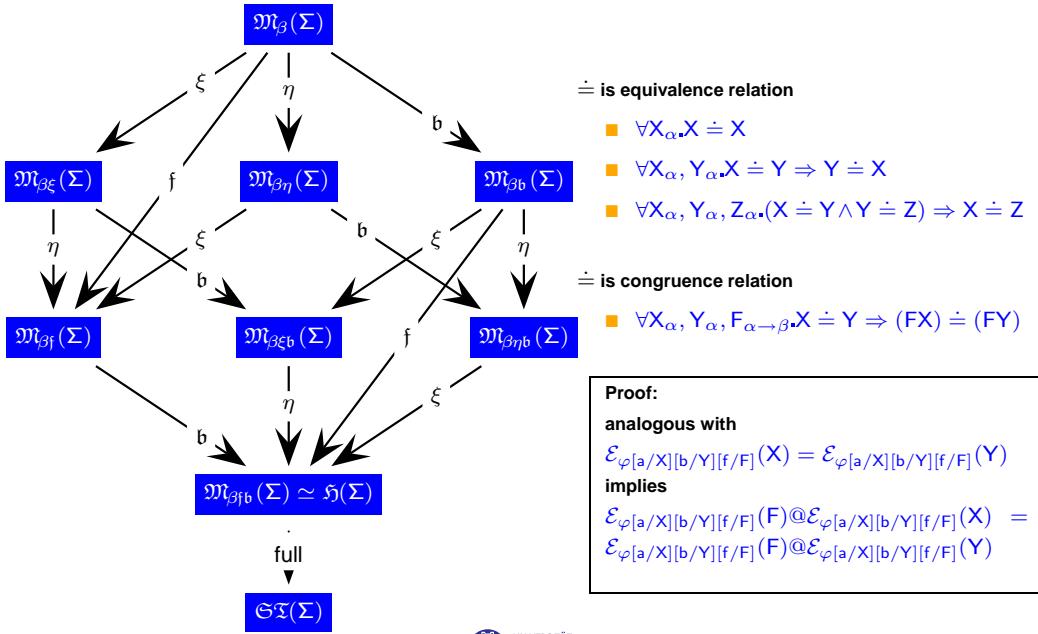
# Leibniz Equality in $\Sigma$ -Models



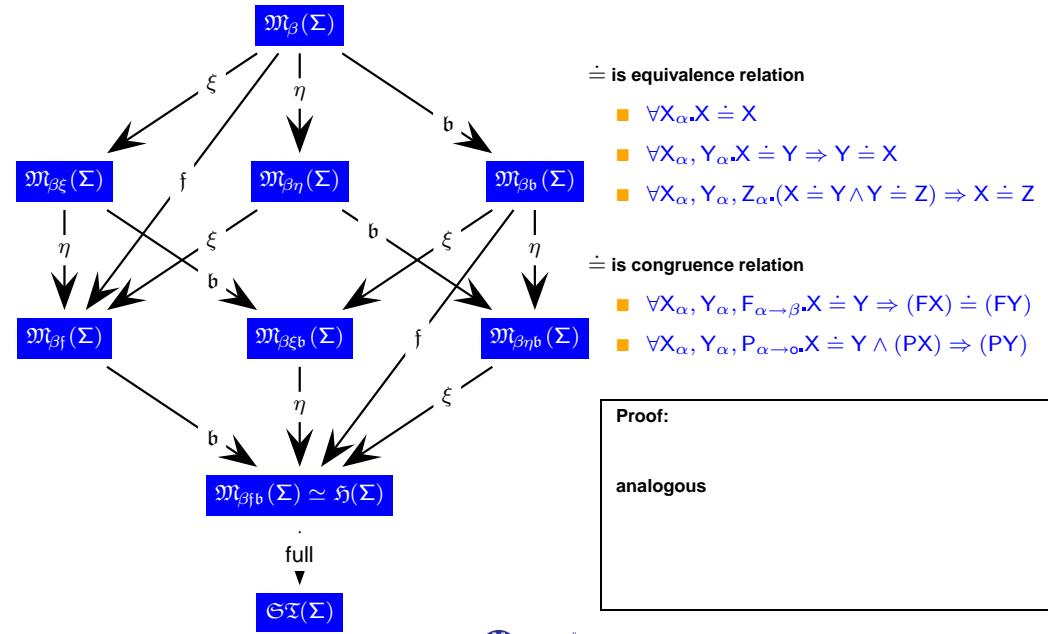
# Leibniz Equality in $\Sigma$ -Models



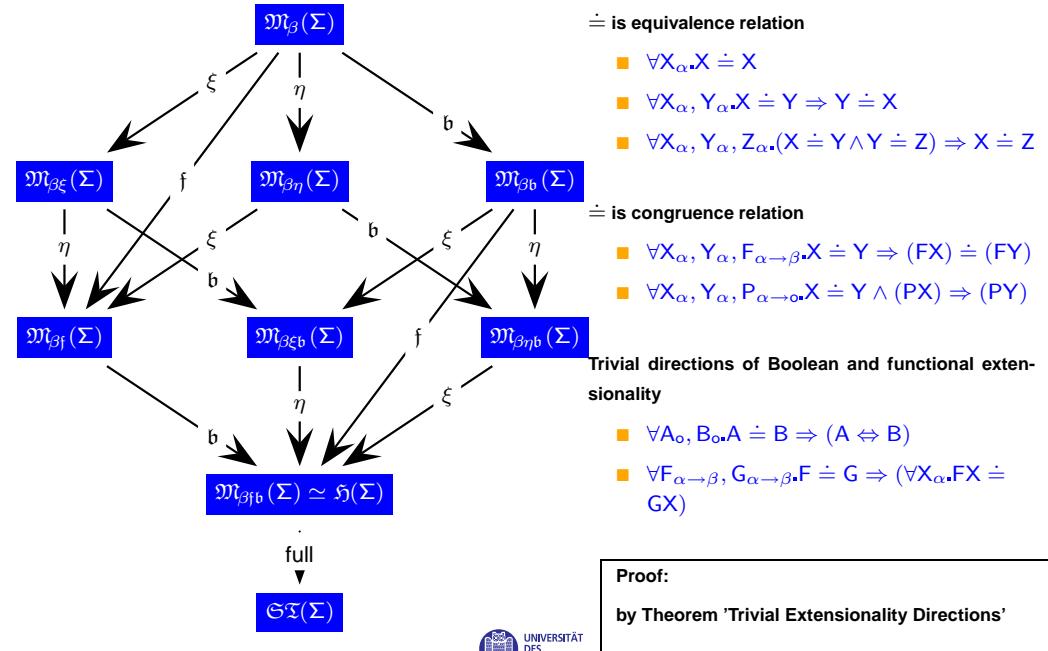
# Leibniz Equality in $\Sigma$ -Models



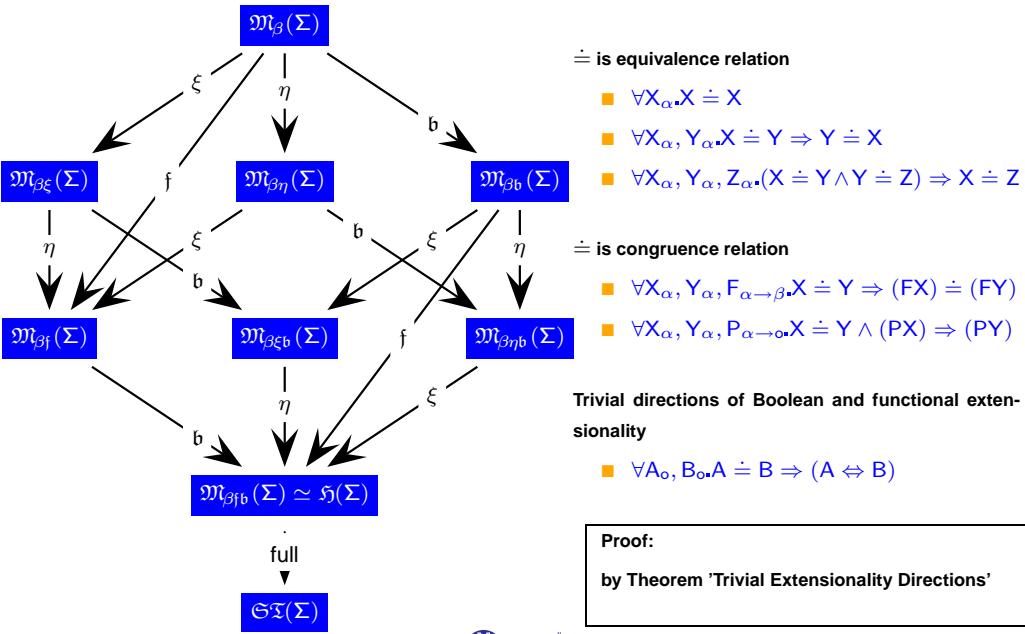
# Leibniz Equality in $\Sigma$ -Models



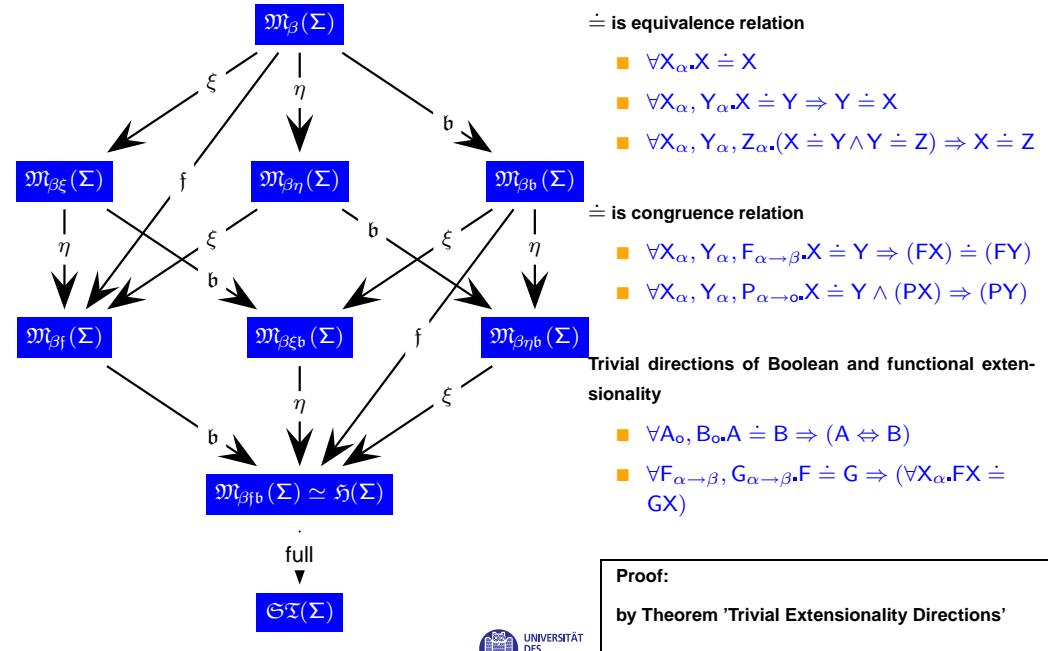
# Leibniz Equality in $\Sigma$ -Models



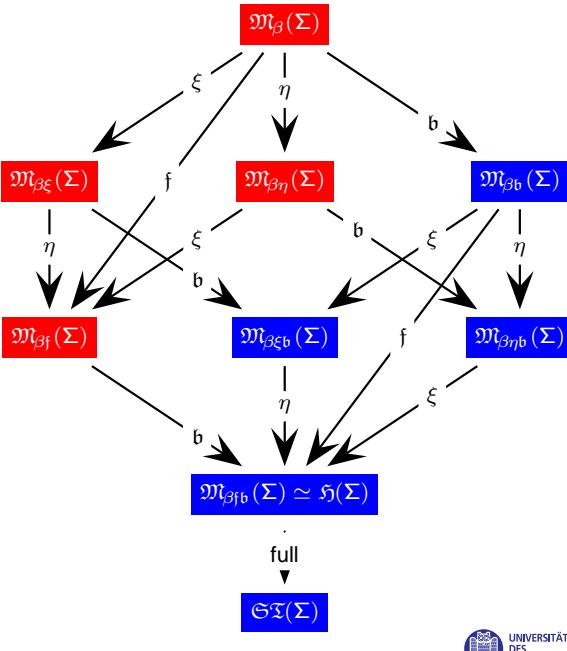
# Leibniz Equality in $\Sigma$ -Models



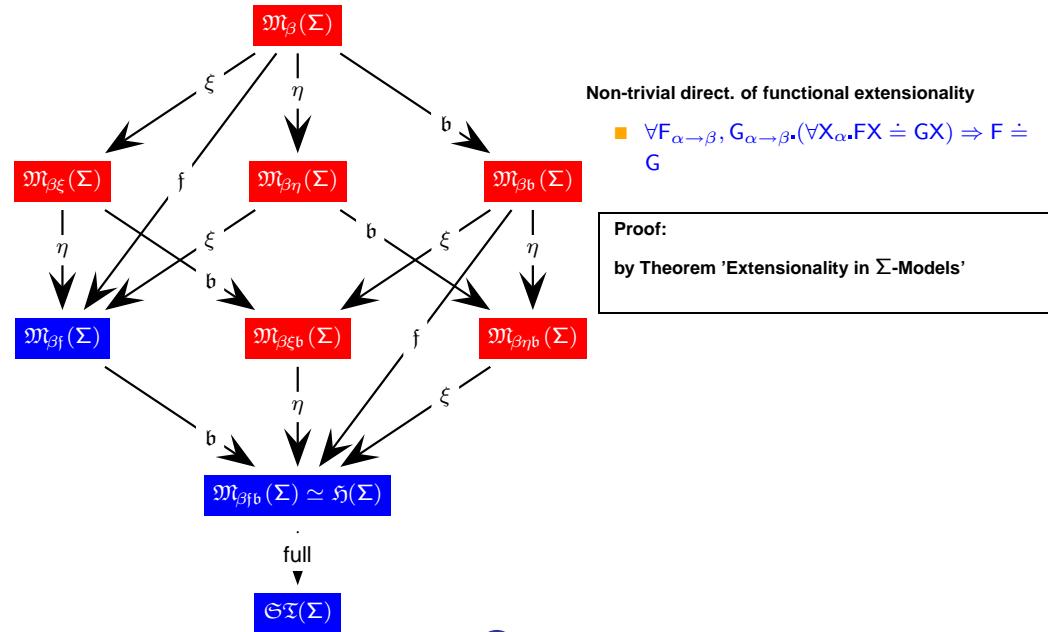
# Leibniz Equality in $\Sigma$ -Models



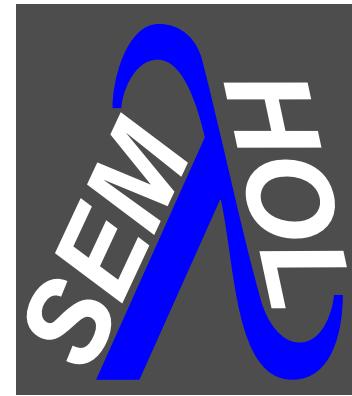
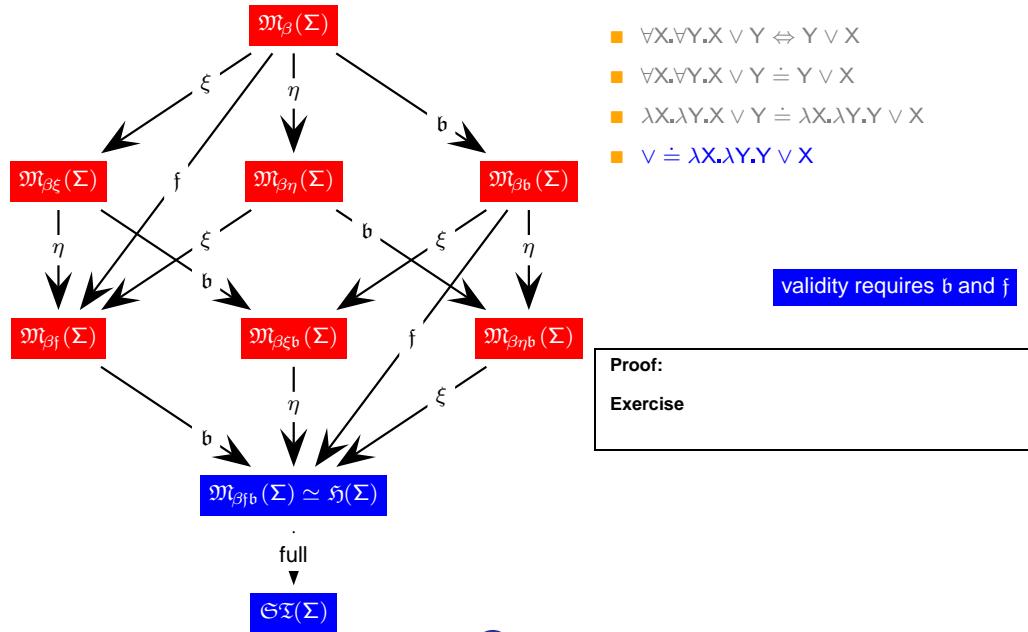
# Leibniz Equality in $\Sigma$ -Models



# Leibniz Equality in $\Sigma$ -Models

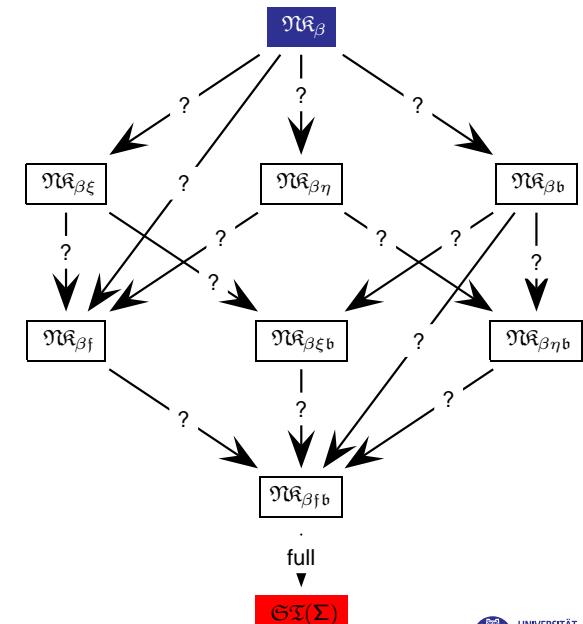


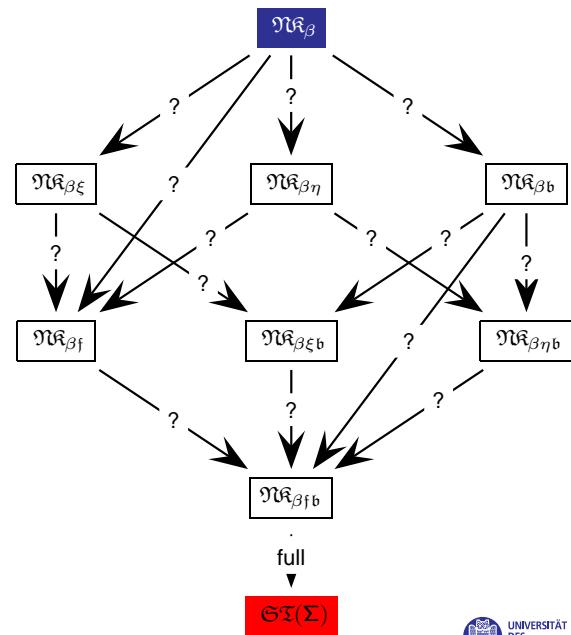
# Further Examples



Calculi: ND for HOL

# ND for HOL: Base Calculus $\mathfrak{N}\mathfrak{K}_\beta$





Base Calculus  $\mathfrak{N}_\beta$

- $\mathfrak{N}(Hyp)$  —  $\mathfrak{N}(\beta)$
- $\mathfrak{N}(\neg I)$  —  $\mathfrak{N}(\neg E)$
- $\mathfrak{N}(\vee I_L)$  —  $\mathfrak{N}(\vee I_R)$
- $\mathfrak{N}(\vee E)$
- $\mathfrak{N}(\Pi I)^w$
- $\mathfrak{N}(\Pi E)$  —  $\mathfrak{N}(Contr)$

$\mathfrak{N}_\beta$ :

$$\frac{\mathbf{A} \in \Phi \quad \mathfrak{N}(Hyp)}{\Phi \Vdash \mathbf{A}} \quad \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \quad \mathfrak{N}(\beta)$$

$\mathfrak{N}_\beta$ :

$$\frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \quad \mathfrak{N}(Hyp)$$

$$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$$

$\mathfrak{N}_\beta$ :

$$\frac{\mathbf{A} \in \Phi}{\Phi \Vdash \mathbf{A}} \quad \mathfrak{N}(Hyp) \quad \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \quad \mathfrak{N}(\beta)$$

$$\frac{\Phi * \mathbf{A} \Vdash F_o}{\Phi \Vdash \neg \mathbf{A}} \quad \mathfrak{N}(\neg I)$$

# ND for HOL: Base Calculus $\mathfrak{N}_\beta$



$\mathfrak{N}_\beta:$

$$\begin{array}{c} \frac{\mathbf{A} \in \Phi \quad \mathfrak{N}_\beta(\text{Hyp})}{\Phi \Vdash \mathbf{A}} \\ \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}_\beta(\beta) \\ \frac{\Phi * \mathbf{A} \Vdash F_o \quad \mathfrak{N}_\beta(\neg I)}{\Phi \Vdash \neg \mathbf{A}} \\ \frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}_\beta(\neg E) \end{array}$$

$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$



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# ND for HOL: Base Calculus $\mathfrak{N}_\beta$



$\mathfrak{N}_\beta:$

$$\begin{array}{c} \frac{\mathbf{A} \in \Phi \quad \mathfrak{N}_\beta(\text{Hyp})}{\Phi \Vdash \mathbf{A}} \\ \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}_\beta(\beta) \\ \frac{\Phi * \mathbf{A} \Vdash F_o \quad \mathfrak{N}_\beta(\neg I)}{\Phi \Vdash \neg \mathbf{A}} \\ \frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}_\beta(\neg E) \\ \frac{\Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_L) \end{array}$$

$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$



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# ND for HOL: Base Calculus $\mathfrak{N}_\beta$



$\mathfrak{N}_\beta:$

$$\begin{array}{c} \frac{\mathbf{A} \in \Phi \quad \mathfrak{N}_\beta(\text{Hyp})}{\Phi \Vdash \mathbf{A}} \\ \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}_\beta(\beta) \\ \frac{\Phi * \mathbf{A} \Vdash F_o \quad \mathfrak{N}_\beta(\neg I)}{\Phi \Vdash \neg \mathbf{A}} \\ \frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}_\beta(\neg E) \\ \frac{\Phi \Vdash \mathbf{A} \quad \Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_L) \\ \frac{\Phi \Vdash \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_R) \end{array}$$

$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$



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# ND for HOL: Base Calculus $\mathfrak{N}_\beta$



$\mathfrak{N}_\beta:$

$$\begin{array}{c} \frac{\mathbf{A} \in \Phi \quad \mathfrak{N}_\beta(\text{Hyp})}{\Phi \Vdash \mathbf{A}} \\ \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{B}} \mathfrak{N}_\beta(\beta) \\ \frac{\Phi * \mathbf{A} \Vdash F_o \quad \mathfrak{N}_\beta(\neg I)}{\Phi \Vdash \neg \mathbf{A}} \\ \frac{\Phi \Vdash \neg \mathbf{A} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}_\beta(\neg E) \\ \frac{\Phi \Vdash \mathbf{A} \quad \Phi \Vdash \mathbf{B}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_L) \\ \frac{\Phi \Vdash \mathbf{B} \quad \Phi \Vdash \mathbf{A}}{\Phi \Vdash \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_R) \\ \frac{\Phi \Vdash \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \Vdash \mathbf{C} \quad \Phi * \mathbf{B} \Vdash \mathbf{C}}{\Phi \Vdash \mathbf{C}} \mathfrak{N}_\beta(\vee E) \end{array}$$

$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$



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# ND for HOL: Base Calculus $\mathfrak{N}_\beta$



$\mathfrak{N}_\beta:$

$$\begin{array}{c}
 \frac{\mathbf{A} \in \Phi \quad \mathfrak{N}_\beta(\text{Hyp})}{\Phi \models \mathbf{A}} \\
 \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \models \mathbf{A}}{\Phi \models \mathbf{B}} \mathfrak{N}_\beta(\beta) \\
 \frac{\Phi * \mathbf{A} \models \mathbf{F}_o \quad \mathfrak{N}_\beta(\neg I)}{\Phi \models \neg \mathbf{A}} \\
 \frac{\Phi \models \neg \mathbf{A} \quad \Phi \models \mathbf{A}}{\Phi \models \mathbf{C}} \mathfrak{N}_\beta(\neg E) \\
 \frac{\Phi \models \mathbf{A}}{\Phi \models \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_L) \quad \frac{\Phi \models \mathbf{B}}{\Phi \models \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_R) \\
 \frac{\Phi \models \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \models \mathbf{C} \quad \Phi * \mathbf{B} \models \mathbf{C}}{\Phi \models \mathbf{C}} \mathfrak{N}_\beta(\vee E) \\
 \frac{\Phi \models \mathbf{Gw}_\alpha \quad w \text{ new parameter}}{\Phi \models \Pi^\alpha \mathbf{G}} \mathfrak{N}_\beta(III)^w
 \end{array}$$

$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$



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# ND for HOL: Base Calculus $\mathfrak{N}_\beta$



$\mathfrak{N}_\beta:$

$$\begin{array}{c}
 \frac{\mathbf{A} \in \Phi \quad \mathfrak{N}_\beta(\text{Hyp})}{\Phi \models \mathbf{A}} \\
 \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \models \mathbf{A}}{\Phi \models \mathbf{B}} \mathfrak{N}_\beta(\beta) \\
 \frac{\Phi * \mathbf{A} \models \mathbf{F}_o \quad \mathfrak{N}_\beta(\neg I)}{\Phi \models \neg \mathbf{A}} \\
 \frac{\Phi \models \neg \mathbf{A} \quad \Phi \models \mathbf{A}}{\Phi \models \mathbf{C}} \mathfrak{N}_\beta(\neg E) \\
 \frac{\Phi \models \mathbf{A}}{\Phi \models \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_L) \quad \frac{\Phi \models \mathbf{B}}{\Phi \models \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_R) \\
 \frac{\Phi \models \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \models \mathbf{C} \quad \Phi * \mathbf{B} \models \mathbf{C}}{\Phi \models \mathbf{C}} \mathfrak{N}_\beta(\vee E) \\
 \frac{\Phi \models \mathbf{Gw}_\alpha \quad w \text{ new parameter}}{\Phi \models \Pi^\alpha \mathbf{G}} \mathfrak{N}_\beta(III)^w \\
 \frac{\Phi \models \Pi^\alpha \mathbf{G}}{\Phi \models \mathbf{GA}} \mathfrak{N}_\beta(IE) \\
 \frac{\Phi * \neg \mathbf{A} \models \mathbf{F}_o}{\Phi \models \mathbf{A}} \mathfrak{N}_\beta(Contr)
 \end{array}$$

$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$



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# ND for HOL: Base Calculus $\mathfrak{N}_\beta$



$\mathfrak{N}_\beta:$

$$\begin{array}{c}
 \frac{\mathbf{A} \in \Phi \quad \mathfrak{N}_\beta(\text{Hyp})}{\Phi \models \mathbf{A}} \\
 \frac{\mathbf{A} =_\beta \mathbf{B} \quad \Phi \models \mathbf{A}}{\Phi \models \mathbf{B}} \mathfrak{N}_\beta(\beta) \\
 \frac{\Phi * \mathbf{A} \models \mathbf{F}_o \quad \mathfrak{N}_\beta(\neg I)}{\Phi \models \neg \mathbf{A}} \\
 \frac{\Phi \models \neg \mathbf{A} \quad \Phi \models \mathbf{A}}{\Phi \models \mathbf{C}} \mathfrak{N}_\beta(\neg E) \\
 \frac{\Phi \models \mathbf{A}}{\Phi \models \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_L) \quad \frac{\Phi \models \mathbf{B}}{\Phi \models \mathbf{A} \vee \mathbf{B}} \mathfrak{N}_\beta(\vee I_R) \\
 \frac{\Phi \models \mathbf{A} \vee \mathbf{B} \quad \Phi * \mathbf{A} \models \mathbf{C} \quad \Phi * \mathbf{B} \models \mathbf{C}}{\Phi \models \mathbf{C}} \mathfrak{N}_\beta(\vee E) \\
 \frac{\Phi \models \mathbf{Gw}_\alpha \quad w \text{ new parameter}}{\Phi \models \Pi^\alpha \mathbf{G}} \mathfrak{N}_\beta(III)^w \\
 \frac{\Phi \models \Pi^\alpha \mathbf{G}}{\Phi \models \mathbf{GA}} \mathfrak{N}_\beta(IE)
 \end{array}$$

$\Phi * \mathbf{A} := \Phi \cup \{\mathbf{A}\}$



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# ND for HOL: Rules for Richer Signatures



Inference rules for  $\mathfrak{N}_\beta$  (for richer signatures)

$$\frac{\Phi \models \mathbf{A} \wedge \mathbf{B} \quad \mathfrak{N}_\beta(\wedge E_L)}{\Phi \models \mathbf{A}}$$



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## ND for HOL: Rules for Richer Signatures



Inference rules for  $\mathfrak{N}_\beta$  (for richer signatures)

$$\frac{\Phi \Vdash A \wedge B}{\Phi \Vdash A} \mathfrak{N}(\wedge E_L) \quad \frac{\Phi \Vdash A \wedge B}{\Phi \Vdash B} \mathfrak{N}(\wedge E_R)$$

## ND for HOL: Rules for Richer Signatures



Inference rules for  $\mathfrak{N}_\beta$  (for richer signatures)

$$\frac{\Phi \Vdash A \wedge B}{\Phi \Vdash A} \mathfrak{N}(\wedge E_L) \quad \frac{\Phi \Vdash A \wedge B}{\Phi \Vdash B} \mathfrak{N}(\wedge E_R) \quad \frac{\Phi \Vdash A \quad \Phi \Vdash B}{\Phi \Vdash A \wedge B} \mathfrak{N}(\wedge I)$$

## ND for HOL: Rules for Richer Signatures



Inference rules for  $\mathfrak{N}_\beta$  (for richer signatures)

$$\frac{\Phi \Vdash A \wedge B}{\Phi \Vdash A} \mathfrak{N}(\wedge E_L) \quad \frac{\Phi \Vdash A \wedge B}{\Phi \Vdash B} \mathfrak{N}(\wedge E_R) \quad \frac{\Phi \Vdash A \quad \Phi \Vdash B}{\Phi \Vdash A \wedge B} \mathfrak{N}(\wedge I)$$

$$\frac{\Phi \Vdash A \Rightarrow B \quad \Phi \Vdash A}{\Phi \Vdash B} \mathfrak{N}(\Rightarrow E)$$

## ND for HOL: Rules for Richer Signatures



Inference rules for  $\mathfrak{N}_\beta$  (for richer signatures)

$$\frac{\Phi \Vdash A \wedge B}{\Phi \Vdash A} \mathfrak{N}(\wedge E_L) \quad \frac{\Phi \Vdash A \wedge B}{\Phi \Vdash B} \mathfrak{N}(\wedge E_R) \quad \frac{\Phi \Vdash A \quad \Phi \Vdash B}{\Phi \Vdash A \wedge B} \mathfrak{N}(\wedge I)$$

$$\frac{\Phi \Vdash A \Rightarrow B \quad \Phi \Vdash A}{\Phi \Vdash B} \mathfrak{N}(\Rightarrow E) \quad \frac{\Phi, A \Vdash B}{\Phi \Vdash A \Rightarrow B} \mathfrak{N}(\Rightarrow I)$$

## ND for HOL: Rules for Richer Signatures



Inference rules for  $\mathfrak{N}\mathfrak{R}_\beta$  (for richer signatures)

$$\begin{array}{c}
 \frac{\Phi \Vdash A \wedge B \quad \mathfrak{N}\mathfrak{R}(\wedge E_L)}{\Phi \Vdash A} \quad \frac{\Phi \Vdash A \wedge B \quad \mathfrak{N}\mathfrak{R}(\wedge E_R)}{\Phi \Vdash B} \quad \frac{\Phi \Vdash A \quad \Phi \Vdash B \quad \mathfrak{N}\mathfrak{R}(\wedge I)}{\Phi \Vdash A \wedge B} \\
 \\ 
 \frac{\Phi \Vdash A \Rightarrow B \quad \Phi \Vdash A \quad \mathfrak{N}\mathfrak{R}(\Rightarrow E)}{\Phi \Vdash B} \quad \frac{\Phi, A \Vdash B \quad \mathfrak{N}\mathfrak{R}(\Rightarrow I)}{\Phi \Vdash A \Rightarrow B} \\
 \\ 
 \frac{\Phi \Vdash GT_\alpha \quad \mathfrak{N}\mathfrak{R}(\Sigma I)}{\Phi \Vdash \Sigma^\alpha G}
 \end{array}$$

## ND for HOL: Rules for Richer Signatures



Inference rules for  $\mathfrak{N}\mathfrak{R}_\beta$  (for richer signatures)

$$\begin{array}{c}
 \frac{\Phi \Vdash A \wedge B \quad \mathfrak{N}\mathfrak{R}(\wedge E_L)}{\Phi \Vdash A} \quad \frac{\Phi \Vdash A \wedge B \quad \mathfrak{N}\mathfrak{R}(\wedge E_R)}{\Phi \Vdash B} \quad \frac{\Phi \Vdash A \quad \Phi \Vdash B \quad \mathfrak{N}\mathfrak{R}(\wedge I)}{\Phi \Vdash A \wedge B} \\
 \\ 
 \frac{\Phi \Vdash A \Rightarrow B \quad \Phi \Vdash A \quad \mathfrak{N}\mathfrak{R}(\Rightarrow E)}{\Phi \Vdash B} \quad \frac{\Phi, A \Vdash B \quad \mathfrak{N}\mathfrak{R}(\Rightarrow I)}{\Phi \Vdash A \Rightarrow B} \\
 \\ 
 \frac{\Phi \Vdash GT_\alpha \quad \mathfrak{N}\mathfrak{R}(\Sigma I) \quad \Phi \Vdash \Sigma^\alpha G \quad \Phi * Gw_\alpha \Vdash C \quad w \text{ new parameter}}{\Phi \Vdash C} \quad \mathfrak{N}\mathfrak{R}(\Sigma E)
 \end{array}$$

## ND for HOL: Rules for Richer Signatures



Inference rules for  $\mathfrak{N}\mathfrak{R}_\beta$  (for richer signatures)

$$\begin{array}{c}
 \frac{\Phi \Vdash A \wedge B \quad \mathfrak{N}\mathfrak{R}(\wedge E_L)}{\Phi \Vdash A} \quad \frac{\Phi \Vdash A \wedge B \quad \mathfrak{N}\mathfrak{R}(\wedge E_R)}{\Phi \Vdash B} \quad \frac{\Phi \Vdash A \quad \Phi \Vdash B \quad \mathfrak{N}\mathfrak{R}(\wedge I)}{\Phi \Vdash A \wedge B} \\
 \\ 
 \frac{\Phi \Vdash A \Rightarrow B \quad \Phi \Vdash A \quad \mathfrak{N}\mathfrak{R}(\Rightarrow E)}{\Phi \Vdash B} \quad \frac{\Phi, A \Vdash B \quad \mathfrak{N}\mathfrak{R}(\Rightarrow I)}{\Phi \Vdash A \Rightarrow B} \\
 \\ 
 \frac{\Phi \Vdash GT_\alpha \quad \mathfrak{N}\mathfrak{R}(\Sigma I) \quad \Phi \Vdash \Sigma^\alpha G \quad \Phi * Gw_\alpha \Vdash C \quad w \text{ new parameter}}{\Phi \Vdash C} \quad \mathfrak{N}\mathfrak{R}(\Sigma E) \\
 \\ 
 \frac{\Phi \Vdash T =^\alpha W \quad \Phi \Vdash A[T]}{\Phi \Vdash A[W]} \quad \mathfrak{N}\mathfrak{R}(= Subst)
 \end{array}$$

## ND for HOL: Rules for Richer Signatures



Inference rules for  $\mathfrak{N}\mathfrak{R}_\beta$  (for richer signatures)

$$\begin{array}{c}
 \frac{\Phi \Vdash A \wedge B \quad \mathfrak{N}\mathfrak{R}(\wedge E_L)}{\Phi \Vdash A} \quad \frac{\Phi \Vdash A \wedge B \quad \mathfrak{N}\mathfrak{R}(\wedge E_R)}{\Phi \Vdash B} \quad \frac{\Phi \Vdash A \quad \Phi \Vdash B \quad \mathfrak{N}\mathfrak{R}(\wedge I)}{\Phi \Vdash A \wedge B} \\
 \\ 
 \frac{\Phi \Vdash A \Rightarrow B \quad \Phi \Vdash A \quad \mathfrak{N}\mathfrak{R}(\Rightarrow E)}{\Phi \Vdash B} \quad \frac{\Phi, A \Vdash B \quad \mathfrak{N}\mathfrak{R}(\Rightarrow I)}{\Phi \Vdash A \Rightarrow B} \\
 \\ 
 \frac{\Phi \Vdash GT_\alpha \quad \mathfrak{N}\mathfrak{R}(\Sigma I) \quad \Phi \Vdash \Sigma^\alpha G \quad \Phi * Gw_\alpha \Vdash C \quad w \text{ new parameter}}{\Phi \Vdash C} \quad \mathfrak{N}\mathfrak{R}(\Sigma E) \\
 \\ 
 \frac{\Phi \Vdash T =^\alpha W \quad \Phi \Vdash A[T]}{\Phi \Vdash A[W]} \quad \mathfrak{N}\mathfrak{R}(= Subst) \quad \frac{}{\Phi \Vdash A = A} \quad \mathfrak{N}\mathfrak{R}(= Refl)
 \end{array}$$

# ND for HOL: Rules for Richer Signatures

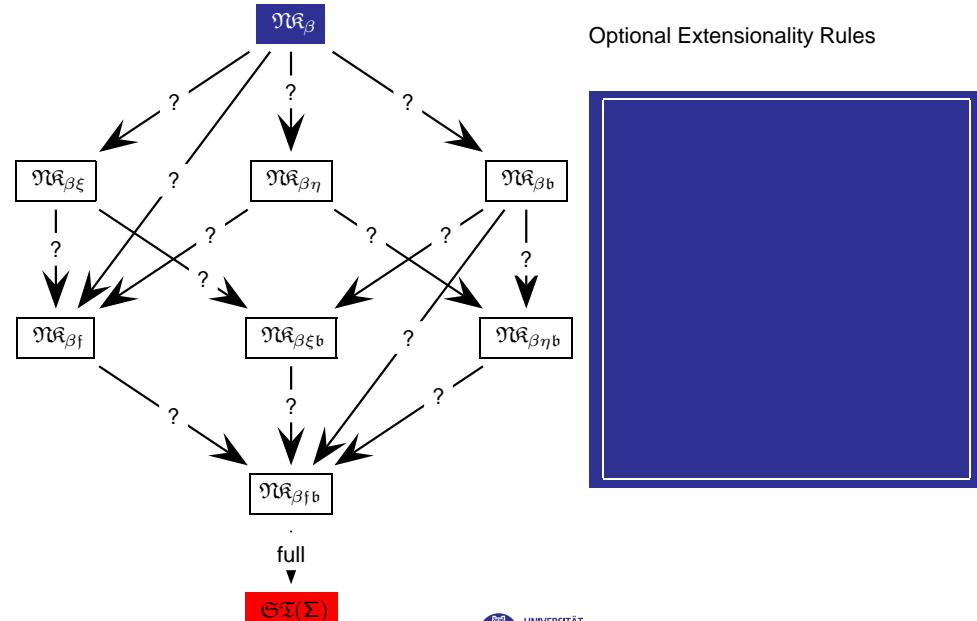
Inference rules for  $\mathfrak{N}\mathfrak{K}_\beta$  (for richer signatures)

$$\begin{array}{c}
 \frac{\Phi \Vdash A \wedge B \quad \mathfrak{N}\mathfrak{K}(\wedge E_L)}{\Phi \Vdash A} \quad \frac{\Phi \Vdash A \wedge B \quad \mathfrak{N}\mathfrak{K}(\wedge E_R)}{\Phi \Vdash B} \quad \frac{\Phi \Vdash A \quad \Phi \Vdash B \quad \mathfrak{N}\mathfrak{K}(\wedge I)}{\Phi \Vdash A \wedge B} \\
 \\ 
 \frac{\Phi \Vdash A \Rightarrow B \quad \Phi \Vdash A \quad \mathfrak{N}\mathfrak{K}(\Rightarrow E)}{\Phi \Vdash B} \quad \frac{\Phi, A \Vdash B \quad \mathfrak{N}\mathfrak{K}(\Rightarrow I)}{\Phi \Vdash A \Rightarrow B} \\
 \\ 
 \frac{\Phi \Vdash GT_\alpha \quad \mathfrak{N}\mathfrak{K}(\Sigma I)}{\Phi \Vdash \Sigma^\alpha G} \quad \frac{\Phi \Vdash \Sigma^\alpha G \quad \Phi * Gw_\alpha \Vdash C \quad w \text{ new parameter} \quad \mathfrak{N}\mathfrak{K}(\Sigma E)}{\Phi \Vdash C} \\
 \\ 
 \frac{\Phi \Vdash T =^\alpha W \quad \Phi \Vdash A[T]}{\Phi \Vdash A[W]} \quad \frac{\mathfrak{N}\mathfrak{K}(= Subst)}{\Phi \Vdash A = A} \quad \frac{}{\mathfrak{N}\mathfrak{K}(= Refl)}
 \end{array}$$

Here: we define logical constants  $\wedge, \Rightarrow, \Sigma$ , etc. in terms of  $\neg, \vee, \Pi$  as usual and strictly use Leibniz equality instead of primitive equality; then the above rules are not needed

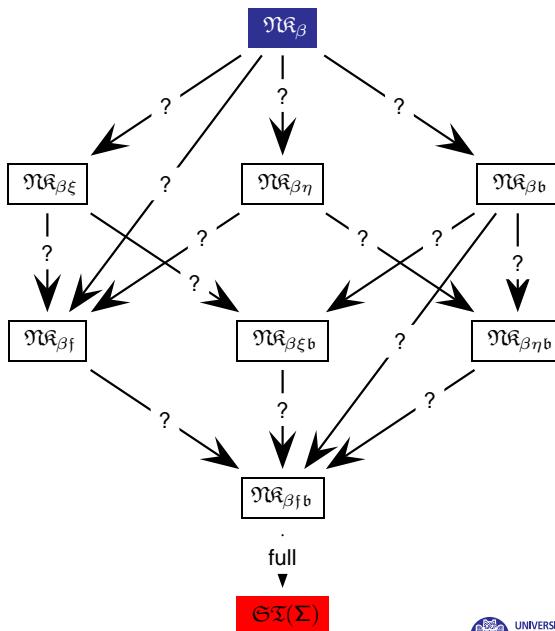
# ND for HOL: Extensionality Rules

Optional Extensionality Rules



# ND for HOL: Extensionality Rules

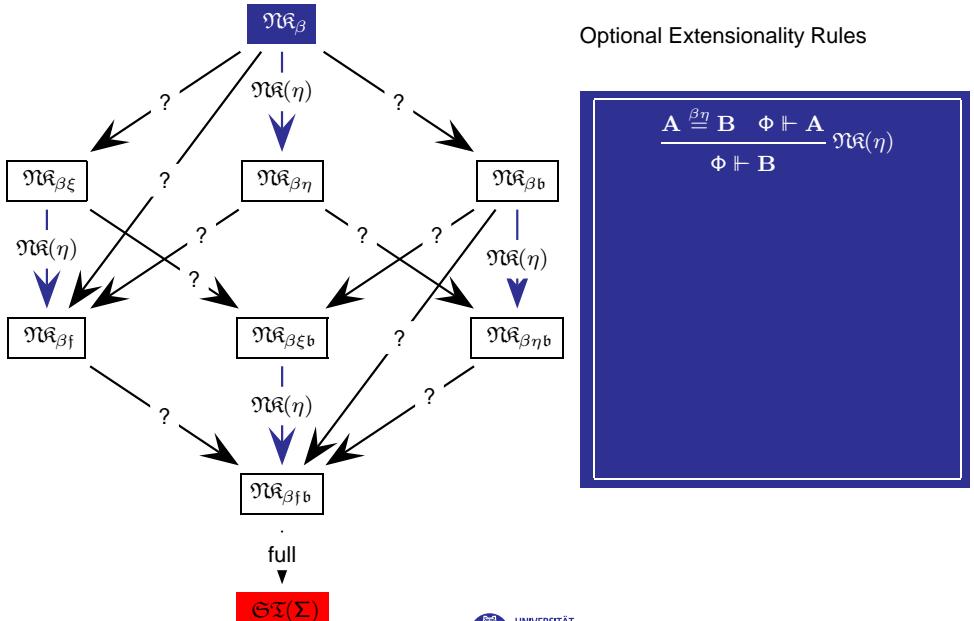
Base Calculus  $\mathfrak{N}\mathfrak{K}_\beta$



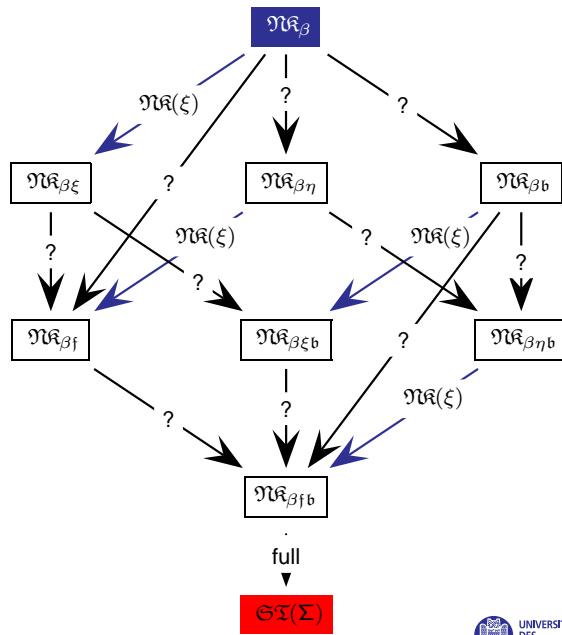
|                                      |                                      |
|--------------------------------------|--------------------------------------|
| $\mathfrak{N}\mathfrak{K}(Hyp)$      | $\mathfrak{N}\mathfrak{K}(\beta)$    |
| $\mathfrak{N}\mathfrak{K}(\neg I)$   | $\mathfrak{N}\mathfrak{K}(\neg E)$   |
| $\mathfrak{N}\mathfrak{K}(\vee I_L)$ | $\mathfrak{N}\mathfrak{K}(\vee I_R)$ |
| $\mathfrak{N}\mathfrak{K}(\vee E)$   |                                      |
| $\mathfrak{N}\mathfrak{K}(\Pi^w)$    |                                      |
| $\mathfrak{N}\mathfrak{K}(\Pi E)$    | $\mathfrak{N}\mathfrak{K}(Contr)$    |

# ND for HOL: Extensionality Rules

Optional Extensionality Rules



## ND for HOL: Extensionality Rules



Optional Extensionality Rules

$$\frac{A \stackrel{\beta\eta}{=} B \quad \phi \Vdash A}{\phi \Vdash B} \eta\kappa(\eta)$$

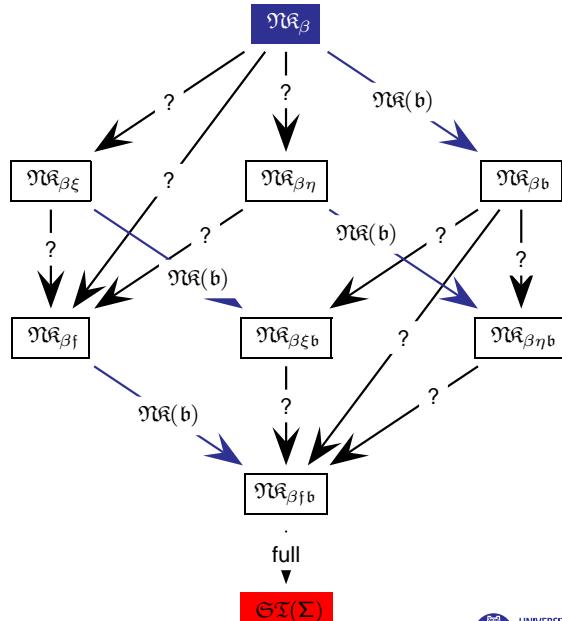
$$\frac{\phi \Vdash \forall x_\alpha.M \stackrel{\beta}{=} N}{\phi \Vdash (\lambda x_\alpha.M) \stackrel{\beta\alpha}{=} (\lambda x_\alpha.N)} \eta\kappa(\xi)$$

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## ND for HOL: Extensionality Rules



Optional Extensionality Rules

$$\frac{A \stackrel{\beta\eta}{=} B \quad \phi \Vdash A}{\phi \Vdash B} \eta\kappa(\eta)$$

$$\frac{\phi \Vdash \forall x_\alpha.M \stackrel{\beta}{=} N}{\phi \Vdash (\lambda x_\alpha.M) \stackrel{\beta\alpha}{=} (\lambda x_\alpha.N)} \eta\kappa(\xi)$$

$$\frac{\phi \Vdash \forall x_\alpha.Gx \stackrel{\beta}{=} Hx}{\phi \Vdash G \stackrel{\beta\alpha}{=} H} \eta\kappa(f)$$

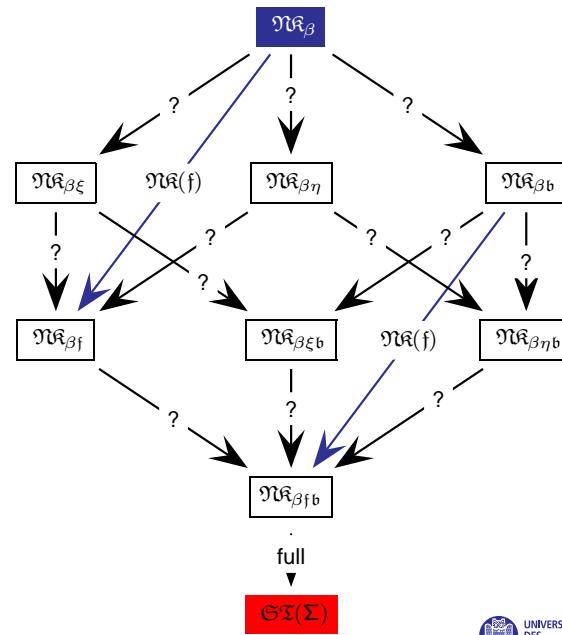
$$\frac{\phi * A \Vdash B \quad \phi * B \Vdash A}{\phi \Vdash A \stackrel{\circ}{=} B} \eta\kappa(b)$$

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## ND for HOL: Extensionality Rules



Optional Extensionality Rules

$$\frac{A \stackrel{\beta\eta}{=} B \quad \phi \Vdash A}{\phi \Vdash B} \eta\kappa(\eta)$$

$$\frac{\phi \Vdash \forall x_\alpha.M \stackrel{\beta}{=} N}{\phi \Vdash (\lambda x_\alpha.M) \stackrel{\beta\alpha}{=} (\lambda x_\alpha.N)} \eta\kappa(\xi)$$

$$\frac{\phi \Vdash \forall x_\alpha.Gx \stackrel{\beta}{=} Hx}{\phi \Vdash G \stackrel{\beta\alpha}{=} H} \eta\kappa(f)$$

$$\frac{\phi \Vdash A \stackrel{\circ}{=} B}{\phi \Vdash A \stackrel{\beta\alpha}{=} B} \eta\kappa(b)$$

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## ND Calculi for HOL



Defn.: The Calculi  $\eta\kappa_*$



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Defn.: The Calculi  $\mathfrak{N}_*$

- The calculus  $\mathfrak{N}_\beta$  consists of the inference rules for  $\mathfrak{N}_\beta$  for the provability judgment  $\Vdash$  between sets of sentences  $\Phi$  and sentences  $A$ .

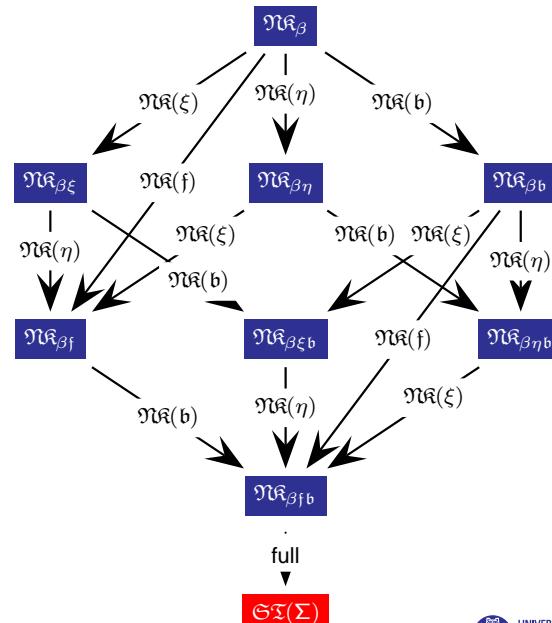
Defn.: The Calculi  $\mathfrak{N}_*$

- The calculus  $\mathfrak{N}_\beta$  consists of the inference rules for  $\mathfrak{N}_\beta$  for the provability judgment  $\Vdash$  between sets of sentences  $\Phi$  and sentences  $A$ .
- We write  $\Vdash A$  for  $\emptyset \Vdash A$ .

Defn.: The Calculi  $\mathfrak{N}_*$

- The calculus  $\mathfrak{N}_\beta$  consists of the inference rules for  $\mathfrak{N}_\beta$  for the provability judgment  $\Vdash$  between sets of sentences  $\Phi$  and sentences  $A$ .
- We write  $\Vdash A$  for  $\emptyset \Vdash A$ .
- For  $* \in \{\beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$  we obtain the calculus  $\mathfrak{N}_*$  by adding the respective extensionality rules when specified in  $*$ :

$\mathfrak{N}_{\beta\eta}, \mathfrak{N}_{\beta\xi}, \mathfrak{N}_{\beta f}, \mathfrak{N}_{\beta b}, \mathfrak{N}_{\beta\eta b}, \mathfrak{N}_{\beta\xi b}, \mathfrak{N}_{\beta f b}$



Base Calculus  $\mathfrak{N}_\beta$

|                          |                          |
|--------------------------|--------------------------|
| $\mathfrak{N}(Hyp)$      | $\mathfrak{N}(\beta)$    |
| $\mathfrak{N}(\neg I)$   | $\mathfrak{N}(\neg E)$   |
| $\mathfrak{N}(\vee I_L)$ | $\mathfrak{N}(\vee I_R)$ |
| $\mathfrak{N}(\vee E)$   |                          |
| $\mathfrak{N}(III^w)$    |                          |
| $\mathfrak{N}(II E)$     | $\mathfrak{N}(Contr)$    |

Optional Extensionality Rules

|                      |                     |
|----------------------|---------------------|
| $\mathfrak{N}(\eta)$ | $\mathfrak{N}(\xi)$ |
| $\mathfrak{N}(f)$    | $\mathfrak{N}(b)$   |

## Derivation of:

$$(A \doteq^\alpha A)$$

## Derivation of:

$$(A \doteq^\alpha A)$$

## ND Example Proof in $\mathcal{N}\mathcal{K}_\beta$

# ND Example Proof in $\mathcal{M}_\beta$

$$\frac{\vdash_{\mathfrak{N}_\beta} ((\lambda P(\neg(PA)) \vee (PA)))q}{\vdash_{\mathfrak{N}_\beta} (A \doteq^\alpha A) := \Pi^\alpha (\lambda P(\neg(PA)) \vee (PA)))} \mathfrak{N}(III)$$

## Derivation of:

$$(A \doteq^\alpha A)$$

## Derivation of:

$$(A \doteq^\alpha A)$$

## ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$

$$\begin{array}{c}
 \frac{\Phi^1 := \{\neg(\neg(qA) \vee (qA))\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)} \mathfrak{N}\mathfrak{K}(Contr) \\
 \frac{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)} \mathfrak{N}\mathfrak{K}(\beta) \\
 \frac{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \doteq^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA)))} \mathfrak{N}\mathfrak{K}(III)
 \end{array}$$

Derivation of:

$$(A \doteq^\alpha A)$$



## ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$

$$\begin{array}{c}
 \frac{\Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)}{\Phi^1 := \{\neg(\neg(qA) \vee (qA))\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o} \mathfrak{N}\mathfrak{K}(-E) \\
 \frac{\Phi^1 := \{\neg(\neg(qA) \vee (qA))\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)} \mathfrak{N}\mathfrak{K}(Contr) \\
 \frac{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)} \mathfrak{N}\mathfrak{K}(\beta) \\
 \frac{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \doteq^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA)))} \mathfrak{N}\mathfrak{K}(III)
 \end{array}$$

Derivation of:

$$(A \doteq^\alpha A)$$



## ND Example Proof in $\mathfrak{N}\mathfrak{K}_\beta$



$$\begin{array}{c}
 \frac{\Phi^1 \Vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \quad \Phi^1 \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \{\neg(\neg(qA) \vee (qA))\}}{\Phi^1 := \{\neg(\neg(qA) \vee (qA))\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o} \mathfrak{N}\mathfrak{K}(Hyp) \\
 \frac{\Phi^1 := \{\neg(\neg(qA) \vee (qA))\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)} \mathfrak{N}\mathfrak{K}(-E) \\
 \frac{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA)}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)} \mathfrak{N}\mathfrak{K}(\beta) \\
 \frac{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)}{\vdash_{\mathfrak{N}\mathfrak{K}_\beta} (A \doteq^\alpha A) := \Pi^\alpha(\lambda P(\neg(PA) \vee (PA)))} \mathfrak{N}\mathfrak{K}(III)
 \end{array}$$

Derivation of:

$$(A \doteq^\alpha A)$$



Derivation of:

$$(A \doteq^\alpha A)$$



|  |  |
|--|--|
| <p>See Next Slide</p>  | $\mathfrak{N} \mathfrak{K}(Hyp)$                                   |
| $\Phi^1 \models_{\mathfrak{N}_\beta} \neg(qA) \vee (qA)$   | $\Phi^1 \models_{\mathfrak{N}_\beta} \{\neg(\neg(qA) \vee (qA))\}$ |
|  | $\mathfrak{N} \mathfrak{K}(\neg E)$                                |
| $\Phi^1 := \{\neg(\neg(qA) \vee (qA))\} \models_{\mathfrak{N}_\beta} F_o$                        | $\mathfrak{N} \mathfrak{K}(Contr)$                                 |
|  | $\models_{\mathfrak{N}_\beta} \neg(qA) \vee (qA)$                  |
| $\models_{\mathfrak{N}_\beta} ((\lambda P(\neg(PA) \vee (PA)))q)$                                | $\mathfrak{N} \mathfrak{K}(\beta)$                                 |
|  | $\mathfrak{N} \mathfrak{K}(III)$                                   |
| $\models_{\mathfrak{N}_\beta} (A \doteq^\alpha A) := \Pi^\alpha (\lambda P(\neg(PA) \vee (PA)))$ |  |

## Derivation of:

$$(A \doteq^\alpha A)$$

## Derivation of:

resp.  $\{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathfrak{M}_\beta} \neg(qA) \vee (qA)$

$$\frac{}{\{\neg(\neg p \vee p)\} \Vdash_{\mathfrak{N}_\beta} \neg p \vee p} \mathfrak{N}(\vee IL)$$

## Derivation of:

resp.  $\{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathfrak{N}_\beta} \neg(qA) \vee (qA)$

Derivation of:

resp.  $\{\neg(\neg(qA) \vee (qA))\} \Vdash_{\mathfrak{M}_3} \neg(qA) \vee (qA)$

$$\frac{\Phi^2 := \{\neg(\neg p \vee p), p\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o}{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p} \mathfrak{N}\mathfrak{K}(\neg I)$$

$$\frac{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p}{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p} \mathfrak{N}\mathfrak{K}(\vee I_L)$$

Derivation of:

$$\begin{aligned} & \{\neg(\neg p \vee p)\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p \\ \text{resp. } & \{\neg(\neg(qA) \vee (qA))\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \end{aligned}$$

$$\frac{\Phi^2 \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(\neg p \vee p)}{\Phi^2 := \{\neg(\neg p \vee p), p\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o} \mathfrak{N}\mathfrak{K}(Hyp)$$

$$\frac{\Phi^2 \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p}{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p} \mathfrak{N}\mathfrak{K}(\vee I_R)$$

$$\frac{\Phi^2 := \{\neg(\neg p \vee p), p\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o}{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p} \mathfrak{N}\mathfrak{K}(\neg I)$$

$$\frac{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p}{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p} \mathfrak{N}\mathfrak{K}(\vee I_L)$$

Derivation of:

$$\begin{aligned} & \{\neg(\neg p \vee p)\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p \\ \text{resp. } & \{\neg(\neg(qA) \vee (qA))\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \end{aligned}$$

$$\frac{\Phi^2 \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(\neg p \vee p)}{\Phi^2 := \{\neg(\neg p \vee p), p\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o} \mathfrak{N}\mathfrak{K}(Hyp)$$

$$\frac{\Phi^2 \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p}{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p} \mathfrak{N}\mathfrak{K}(\neg I)$$

$$\frac{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p}{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p} \mathfrak{N}\mathfrak{K}(\vee I_L)$$

Derivation of:

$$\begin{aligned} & \{\neg(\neg p \vee p)\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p \\ \text{resp. } & \{\neg(\neg(qA) \vee (qA))\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \end{aligned}$$

$$\frac{\Phi^2 \vdash_{\mathfrak{N}\mathfrak{K}_\beta} p}{\Phi^2 \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(\neg p \vee p)} \mathfrak{N}\mathfrak{K}(Hyp)$$

$$\frac{\Phi^2 \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p}{\Phi^2 \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p} \mathfrak{N}\mathfrak{K}(\vee I_R)$$

$$\frac{\Phi^2 \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p}{\Phi^2 := \{\neg(\neg p \vee p), p\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o} \mathfrak{N}\mathfrak{K}(\neg I)$$

$$\frac{\Phi^2 := \{\neg(\neg p \vee p), p\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} F_o}{\neg(\neg p \vee p) \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p} \mathfrak{N}\mathfrak{K}(\vee I_L)$$

Derivation of:

$$\begin{aligned} & \{\neg(\neg p \vee p)\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg p \vee p \\ \text{resp. } & \{\neg(\neg(qA) \vee (qA))\} \vdash_{\mathfrak{N}\mathfrak{K}_\beta} \neg(qA) \vee (qA) \end{aligned}$$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: By induction on the derivation of  $\Phi \vdash_{\mathfrak{N}_*} C$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (base case)

$$\frac{A \in \Phi}{\Phi \vdash A} \mathfrak{N}(Hyp)$$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (base case)

$$\frac{C \in \Phi}{\Phi \vdash C} \mathfrak{N}(Hyp)$$

$\mathcal{M} \models C$  whenever  $\mathcal{M} \models \Phi$  and  $C \in \Phi$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .  
 That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  
 $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{A =_{\beta} C \quad \Phi \vdash A}{\Phi \vdash C} \mathfrak{N}(\beta)$$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .  
 That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  
 $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{A =_{\beta} C \quad \Phi \vdash A}{\Phi \vdash C} \mathfrak{N}(\beta)$$

Suppose  $\Phi \vdash C$  follows from  $\Phi \vdash A$  and  $A =_{\beta} C$ . Let  
 $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .  
 That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  
 $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{A =_{\beta} C \quad \Phi \vdash A}{\Phi \vdash C} \mathfrak{N}(\beta)$$

Suppose  $\Phi \vdash C$  follows from  $\Phi \vdash A$  and  $A =_{\beta} C$ . Let  
 $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . By induction, we know  $\mathcal{M} \models A$   
 and so  $\mathcal{M} \models C$  since  $\Sigma$ -evaluations respect  $\beta$ -equality.

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .  
 That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  
 $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi * \neg C \vdash F_o}{\Phi \vdash C} \mathfrak{N}(Contr)$$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi * \neg C \vdash F_o}{\Phi \vdash C} \mathfrak{N}(Contr)$$

Suppose  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ ,  $\mathcal{M} \models \Phi$  and  $\Phi \vdash C$  follows from  $\Phi * \neg C \vdash F_o$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi * \neg C \vdash F_o}{\Phi \vdash C} \mathfrak{N}(Contr)$$

Suppose  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ ,  $\mathcal{M} \models \Phi$  and  $\Phi \vdash C$  follows from  $\Phi * \neg C \vdash F_o$ . By a previous Lemma,  $\mathcal{M} \not\models F_o$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi * \neg C \vdash F_o}{\Phi \vdash C} \mathfrak{N}(Contr)$$

Suppose  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ ,  $\mathcal{M} \models \Phi$  and  $\Phi \vdash C$  follows from  $\Phi * \neg C \vdash F_o$ . By a previous Lemma,  $\mathcal{M} \not\models F_o$ . So, we must have  $\mathcal{M} \not\models \neg C$  and, hence,  $\mathcal{M} \models C$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi * A \vdash F_o}{\Phi \vdash \neg A} \mathfrak{N}(\neg I)$$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi * A \vdash F_o}{\Phi \vdash \neg A} \mathfrak{N}(\neg I)$$

Analogous to  $\mathfrak{N}(Contr)$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash \neg A \quad \Phi \vdash A}{\Phi \vdash C} \mathfrak{N}(\neg E)$$

Suppose  $\Phi \vdash C$  follows from  $\Phi \vdash \neg A$  and  $\Phi \vdash A$ . By induction, any model in  $\mathfrak{M}_*(\Sigma)$  of  $\Phi$  would have to model both  $A$  and  $\neg A$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash \neg A \quad \Phi \vdash A}{\Phi \vdash C} \mathfrak{N}(\neg E)$$

Suppose  $\Phi \vdash C$  follows from  $\Phi \vdash \neg A$  and  $\Phi \vdash A$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash A}{\Phi \vdash A \vee B} \mathfrak{N}_*(\vee L)$$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash A}{\Phi \vdash A \vee B} \mathfrak{N}_*(\vee L)$$

Suppose  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ ,  $\mathcal{M} \models \Phi$ , and  $\Phi \vdash (A \vee B)$  follows from  $\Phi \vdash A$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash A}{\Phi \vdash A \vee B} \mathfrak{N}_*(\vee L)$$

Suppose  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ ,  $\mathcal{M} \models \Phi$ , and  $\Phi \vdash (A \vee B)$  follows from  $\Phi \vdash A$ . By induction,  $\mathcal{M} \models A$  and so  $\mathcal{M} \models (A \vee B)$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\ddot{\j}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash B}{\Phi \vdash A \vee B} \mathfrak{N}_*(\vee R)$$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash B}{\Phi \vdash A \vee B} \mathfrak{N}(\vee I_R)$$

Analogous to  $\mathfrak{N}(\vee I_L)$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash A \vee B \quad \Phi * A \vdash C \quad \Phi * B \vdash C}{\Phi \vdash C} \mathfrak{N}(\vee E)$$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash A \vee B \quad \Phi * A \vdash C \quad \Phi * B \vdash C}{\Phi \vdash C} \mathfrak{N}(\vee E)$$

Suppose  $\Phi \vdash C$  follows from  $\Phi \vdash (A \vee B)$ ,  $\Phi * A \vdash C$  and  $\Phi * B \vdash C$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash A \vee B \quad \Phi * A \vdash C \quad \Phi * B \vdash C}{\Phi \vdash C} \mathfrak{N}(\vee E)$$

Suppose  $\Phi \vdash C$  follows from  $\Phi \vdash (A \vee B)$ ,  $\Phi * A \vdash C$  and  $\Phi * B \vdash C$ . Let  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash A \vee B \quad \Phi * A \vdash C \quad \Phi * B \vdash C}{\Phi \vdash C} \mathfrak{N}(\vee E)$$

Suppose  $\Phi \vdash C$  follows from  $\Phi \vdash (A \vee B)$ ,  $\Phi * A \vdash C$  and  $\Phi * B \vdash C$ . Let  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . By induction,  $\mathcal{M} \models A \vee B$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash A \vee B \quad \Phi * A \vdash C \quad \Phi * B \vdash C}{\Phi \vdash C} \mathfrak{N}(\vee E)$$

Suppose  $\Phi \vdash C$  follows from  $\Phi \vdash (A \vee B)$ ,  $\Phi * A \vdash C$  and  $\Phi * B \vdash C$ . Let  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . By induction,  $\mathcal{M} \models A \vee B$ . If  $\mathcal{M} \models A$ , then by induction  $\mathcal{M} \models C$  since  $\Phi * A \vdash C$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash A \vee B \quad \Phi * A \vdash C \quad \Phi * B \vdash C}{\Phi \vdash C} \mathfrak{N}(\vee E)$$

Suppose  $\Phi \vdash C$  follows from  $\Phi \vdash (A \vee B)$ ,  $\Phi * A \vdash C$  and  $\Phi * B \vdash C$ . Let  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . By induction,  $\mathcal{M} \models A \vee B$ . If  $\mathcal{M} \models A$ , then by induction  $\mathcal{M} \models C$  since  $\Phi * A \vdash C$ . If  $\mathcal{M} \models B$ , then by induction  $\mathcal{M} \models C$  since  $\Phi * B \vdash C$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\beta}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{\beta}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash A \vee B \quad \Phi * A \vdash C \quad \Phi * B \vdash C}{\Phi \vdash C} \mathfrak{N}(\vee E)$$

Suppose  $\Phi \vdash C$  follows from  $\Phi \vdash (A \vee B)$ ,  $\Phi * A \vdash C$  and  $\Phi * B \vdash C$ . Let  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . By induction,  $\mathcal{M} \models A \vee B$ . If  $\mathcal{M} \models A$ , then by induction  $\mathcal{M} \models C$  since  $\Phi * A \vdash C$ . If  $\mathcal{M} \models B$ , then by induction  $\mathcal{M} \models C$  since  $\Phi * B \vdash C$ . In either case,  $\Phi \vdash C$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash G w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha G} \mathfrak{N}(III)^w$$

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash G w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha G} \mathfrak{N}(III)^w$$

Suppose  $\Phi \vdash (\Pi^\alpha G)$  follows from  $\Phi \vdash G w$  where  $w_\alpha$  is a fresh parameter.

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash G w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha G} \mathfrak{N}(III)^w$$

Suppose  $\Phi \vdash (\Pi^\alpha G)$  follows from  $\Phi \vdash G w$  where  $w_\alpha$  is a fresh parameter. Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash G w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha G} \mathfrak{N}(III)^w$$

Suppose  $\Phi \vdash (\Pi^\alpha G)$  follows from  $\Phi \vdash G w$  where  $w_\alpha$  is a fresh parameter. Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . Assume  $\mathcal{M} \not\models \Pi^\alpha G$ .

## Soundness of $\mathfrak{N}_*$

Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash G w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha G} \mathfrak{N}(III)^w$$

Suppose  $\Phi \vdash (\Pi^\alpha G)$  follows from  $\Phi \vdash G w$  where  $w_\alpha$  is a fresh parameter. Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ .

Assume  $\mathcal{M} \not\models \Pi^\alpha G$ . Then there must be some  $a \in \mathcal{D}_\alpha$  such that  $v(\mathcal{E}(G)@a) = F$ .

## Soundness of $\mathfrak{N}_*$

Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash G w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha G} \mathfrak{N}(III)^w$$

Suppose  $\Phi \vdash (\Pi^\alpha G)$  follows from  $\Phi \vdash G w$  where  $w_\alpha$  is a fresh parameter. Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ .

Assume  $\mathcal{M} \not\models \Pi^\alpha G$ . Then there must be some  $a \in \mathcal{D}_\alpha$  such that  $v(\mathcal{E}(G)@a) = F$ . From  $\mathcal{E}$ , one can define  $\mathcal{E}'$  such that  $\mathcal{E}'(w) = a$  and  $\mathcal{E}'_v(A_\alpha) = \mathcal{E}_v(A_\alpha)$  if  $w$  does not occur in  $A$ .

## Soundness of $\mathfrak{N}_*$

Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash G w_\alpha \quad w \text{ new parameter}}{\Phi \vdash \Pi^\alpha G} \mathfrak{N}(III)^w$$

Suppose  $\Phi \vdash (\Pi^\alpha G)$  follows from  $\Phi \vdash G w$  where  $w_\alpha$  is a fresh parameter. Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ .

Assume  $\mathcal{M} \not\models \Pi^\alpha G$ . Then there must be some  $a \in \mathcal{D}_\alpha$  such that  $v(\mathcal{E}(G)@a) = F$ . From  $\mathcal{E}$ , one can define  $\mathcal{E}'$  such that  $\mathcal{E}'(w) = a$  and  $\mathcal{E}'_v(A_\alpha) = \mathcal{E}_v(A_\alpha)$  if  $w$  does not occur in  $A$ . Let  $\mathcal{M}' := (\mathcal{D}, @, \mathcal{E}', v)$ . One can check  $\mathcal{M}' \in \mathfrak{M}_*(\Sigma)$  using the fact that  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ .

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## Soundness of $\mathfrak{N}_*$

Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\ddot{\j}, \beta\ddot{b}, \beta\eta\ddot{b}, \beta\xi\ddot{b}, \beta\ddot{b}\ddot{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

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$\mathcal{E}'(w) = a$  and  $\mathcal{E}'_\varphi(A_\alpha) = \mathcal{E}_\varphi(A_\alpha)$  if  $w$  does not occur in  $A$ . Let  $\mathcal{M}' := (\mathcal{D}, @, \mathcal{E}', v)$ . One can check  $\mathcal{M}' \in \mathfrak{M}_*(\Sigma)$  using the fact that  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ . Since  $\mathcal{M}' \models \Phi$ , by induction we have  $\mathcal{M}' \models Gw$ . This contradicts  $v(\mathcal{E}'(G)@a) = v(\mathcal{E}(G)@a) = F$ .

Thus,  $\mathcal{M} \models \Pi^\alpha G$ .

## Soundness of $\mathfrak{N}_*$

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Proof: (step cases)

$$\frac{\Phi \vdash \Pi^\alpha G}{\Phi \vdash GA} \mathfrak{N}(IIE)$$

Suppose  $C$  is  $(GA)$  and  $\Phi \vdash C$  follows from  $\Phi \vdash (\Pi^\alpha G)$ .

## Soundness of $\mathfrak{N}_*$

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Suppose  $C$  is  $(GA)$  and  $\Phi \vdash C$  follows from  $\Phi \vdash (\Pi^\alpha G)$ . Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

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Proof: (step cases)

$$\frac{\Phi \vdash \Pi^\alpha G}{\Phi \vdash GA} \mathfrak{N}(IE)$$

Suppose  $C$  is  $(GA)$  and  $\Phi \vdash C$  follows from  $\Phi \vdash (\Pi^\alpha G)$ . Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . By induction,  $\mathcal{M} \models (\Pi^\alpha G)$  and thus  $v(\mathcal{E}(G))@a = T$  for every  $a \in \mathcal{D}_\alpha$ .

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

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Proof: (step cases)

$$\frac{\Phi \vdash \Pi^\alpha G}{\Phi \vdash GA} \mathfrak{N}(IE)$$

Suppose  $C$  is  $(GA)$  and  $\Phi \vdash C$  follows from  $\Phi \vdash (\Pi^\alpha G)$ . Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . By induction,  $\mathcal{M} \models (\Pi^\alpha G)$  and thus  $v(\mathcal{E}(G))@a = T$  for every  $a \in \mathcal{D}_\alpha$ . In particular,  $\mathcal{M} \models GA$ .

q.e.d.



## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{A \stackrel{\beta\eta}{=} B \quad \Phi \vdash A}{\Phi \vdash B} \mathfrak{N}(\eta)$$

(In this case  $*$  contains property  $\eta$ )

Analogous to  $\mathfrak{N}(\beta)$  using property  $\eta$

q.e.d.

## Soundness of $\mathfrak{N}_*$



Thm.:  $\mathfrak{N}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{N}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash \forall x_\alpha.M \doteq^\beta N \quad \Phi \vdash (\lambda x_\alpha.M) \doteq^{\beta\alpha} (\lambda x_\alpha.N)}{\Phi \vdash (\lambda x_\alpha.M) \doteq^{\beta\alpha} (\lambda x_\alpha.N)} \mathfrak{N}(\xi)$$

(In this case  $*$  contains property  $\xi$ )

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . By induction, we have  $\mathcal{M} \models \forall X_\alpha.M \doteq^\beta N$ . So, for any assignment  $\varphi$  and  $a \in \mathcal{D}_\alpha$ ,  $\mathcal{M} \models_{\varphi, [a/X]} M \doteq^\beta N$ . Since property  $\eta$  holds, by a previous Lemma we have  $\mathcal{E}_{\varphi, [a/X]}(M) = \mathcal{E}_{\varphi, [a/X]}(N)$ . By property  $\xi$ ,  $\mathcal{E}_\varphi(\lambda X_\alpha.M) = \mathcal{E}_\varphi(\lambda X_\alpha.N)$  and thus  $\mathcal{M} \models C$  by a previous Lemma.



## Soundness of $\mathfrak{M}_*$



Thm.:  $\mathfrak{M}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

That is, if  $\Phi \vdash_{\mathfrak{M}_*} C$  is derivable, then  $\mathcal{M} \models C$  for all models  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v)$  in  $\mathfrak{M}_*(\Sigma)$  such that  $\mathcal{M} \models \Phi$ .

Proof: (step cases)

$$\frac{\Phi \vdash \forall x_\alpha.Gx \doteq^\beta Hx}{\Phi \vdash G \doteq^{\beta\alpha} H} \mathfrak{M}(\mathfrak{f})$$

(In this case  $*$  contains property  $\mathfrak{f}$ )

Let  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . By induction, we know  $\mathcal{M} \models \forall x_\alpha.Gx \doteq^\beta Hx$ . Note that property  $\mathfrak{q}$  holds for  $\mathcal{M}$  since  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$ . By a previous theorem, we must have  $\mathcal{M} \models (G \doteq^{\alpha \rightarrow \beta} H)$ .

q.e.d.



## Completeness of $\mathfrak{M}_*$



Thm.: Let  $\Phi$  be a sufficiently  $\Sigma$ -pure set of sentences,  $A$  be a sentence, and  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ . If  $A$  is valid in all models  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  that satisfy  $\Phi$ , then  $\Phi \vdash_{\mathfrak{M}_*} A$ .

## Soundness of $\mathfrak{M}_*$



Thm.:  $\mathfrak{M}_*$  is sound for  $\mathfrak{M}_*(\Sigma)$  for  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ .

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Proof: (step cases)

$$\frac{\Phi * A \vdash B \quad \Phi * B \vdash A}{\Phi \vdash A \doteq^\circ B} \mathfrak{M}(\mathfrak{b})$$

(In this case  $*$  contains property  $\mathfrak{b}$ )

Let  $\mathcal{M} = (\mathcal{D}, @, \mathcal{E}, v) \in \mathfrak{M}_*(\Sigma)$  be a model of  $\Phi$ . If  $\mathcal{M} \models A$ , then  $\mathcal{M} \models B$  by induction. If  $\mathcal{M} \models B$ , then  $\mathcal{M} \models A$  by induction. These facts imply  $v(\mathcal{E}(A)) = v(\mathcal{E}(B))$ . By a previous lemma, we have  $\mathcal{M} \models (A \Leftrightarrow B)$ . By a previous theorem, we must have  $\mathcal{M} \models (A \doteq^\circ B)$ .

q.e.d.



## Completeness of $\mathfrak{M}_*$



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Proof:

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Proof:

How can we easily prove this?

## Completeness (of $\mathfrak{M}_*$ )



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## Completeness (of $\mathcal{M}_*$ )



## HOL Test Problems



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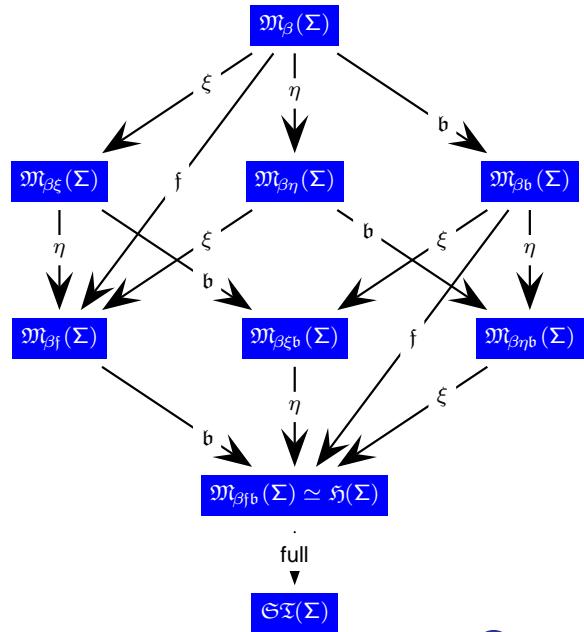
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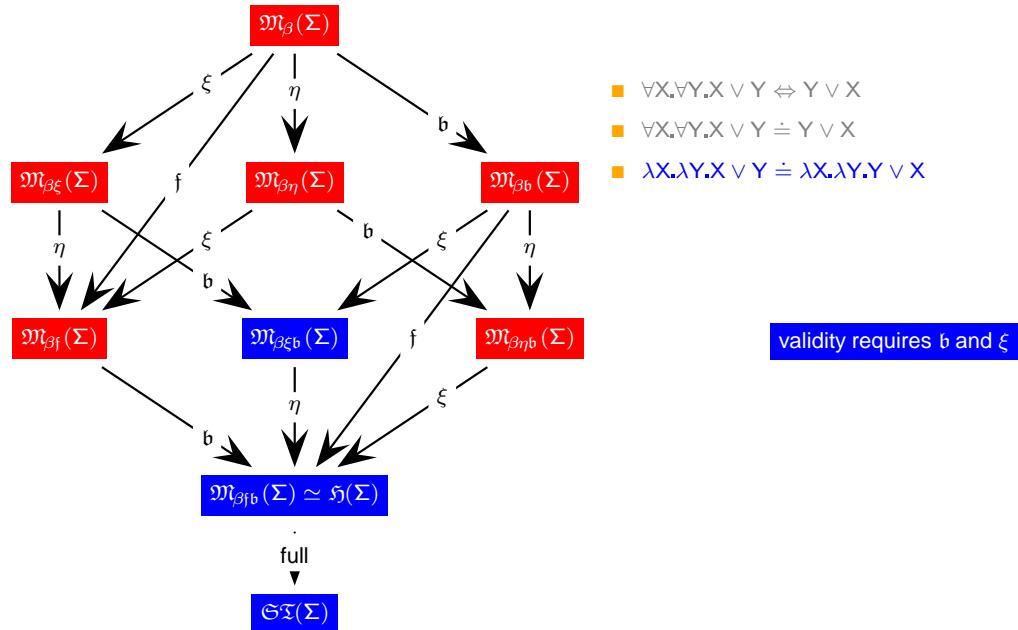
Recommendation:

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- with the help of examples (published in [\[TPHOLs-05\]](#))
- before you formally analyse them
- with the help of the abstract consistency proof method (published in [\[JSL-04\]](#) and [\[Unpublished-04\]](#))

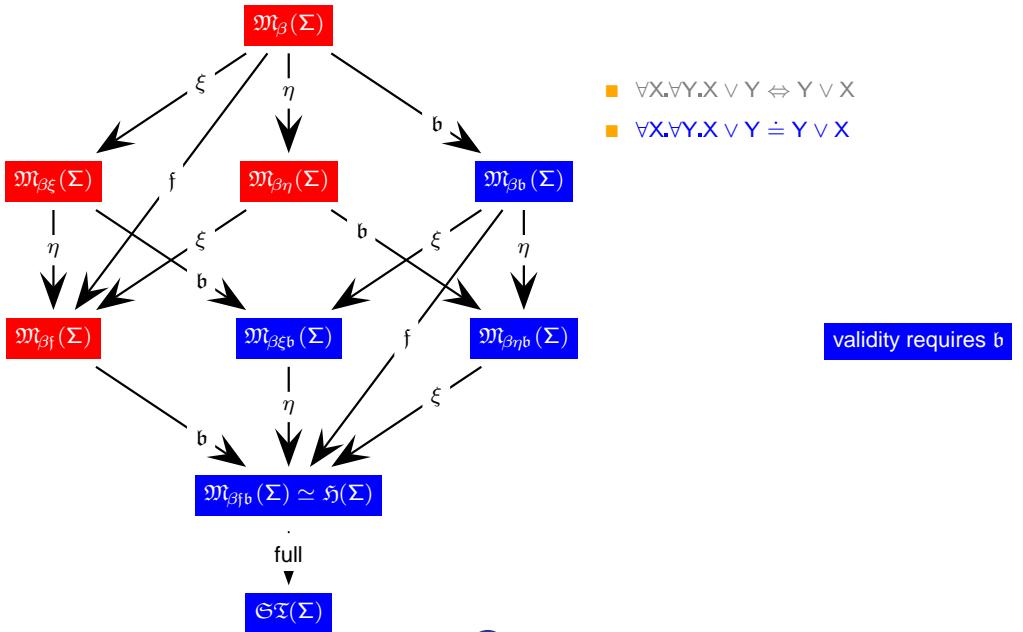
## HOL Test Problems (from before)



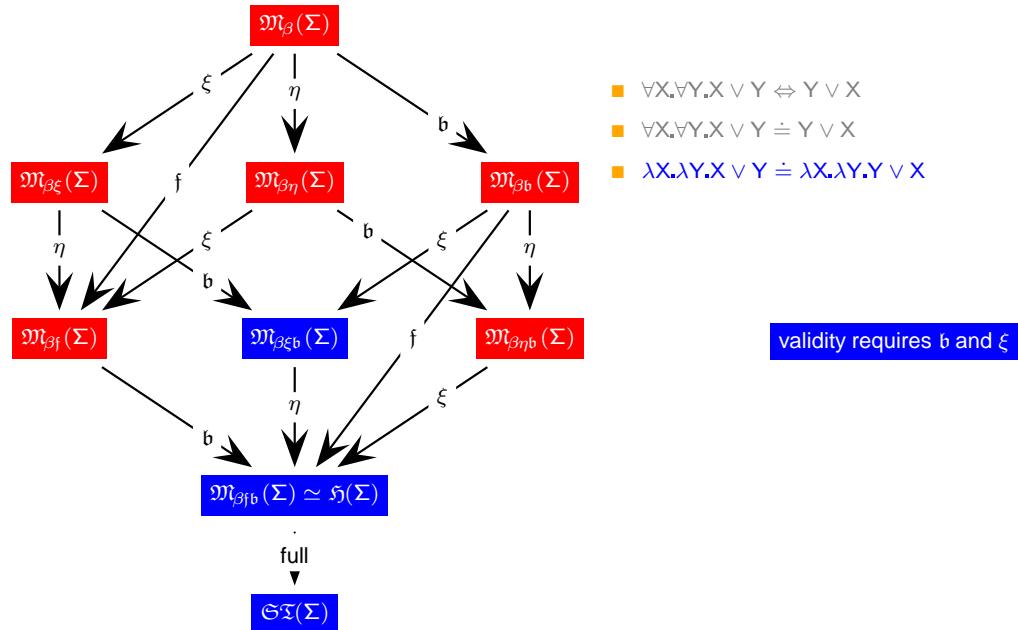
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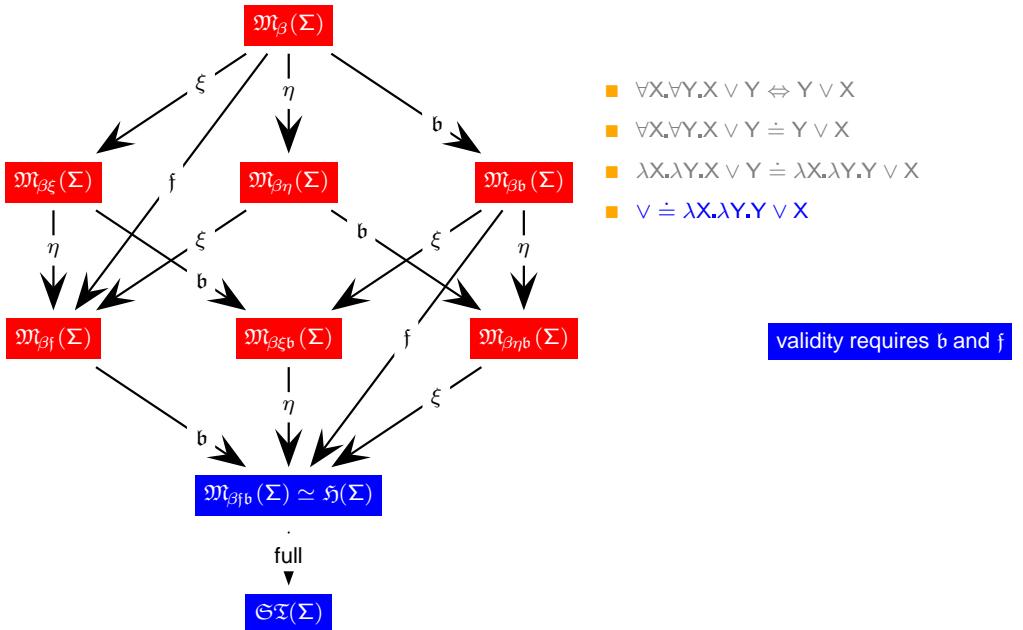
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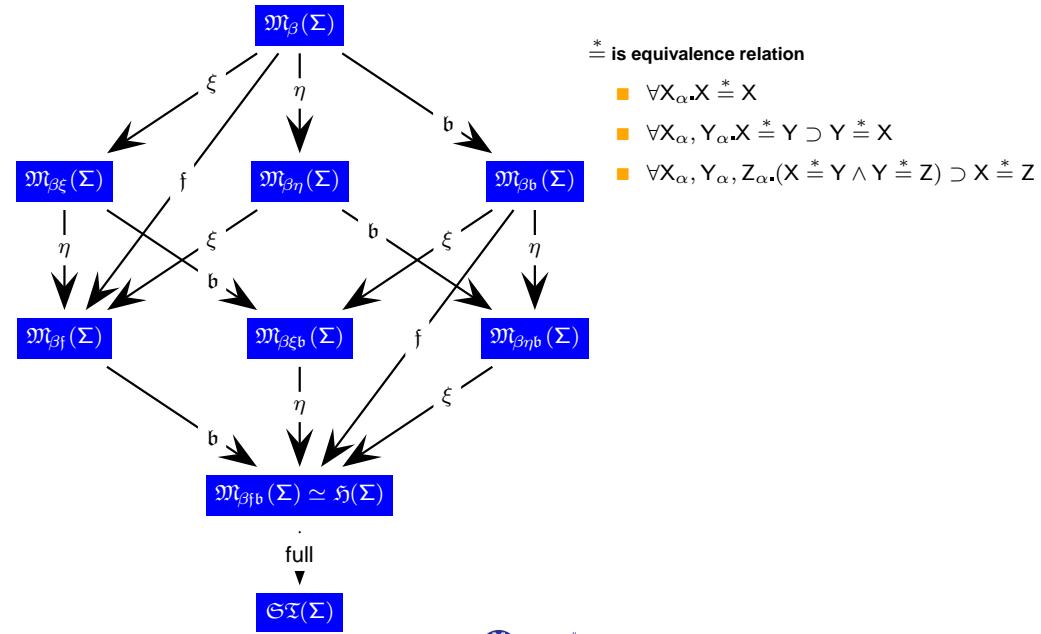
## HOL Test Problems (from before)



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## Other HOL Test Problems: $\beta$

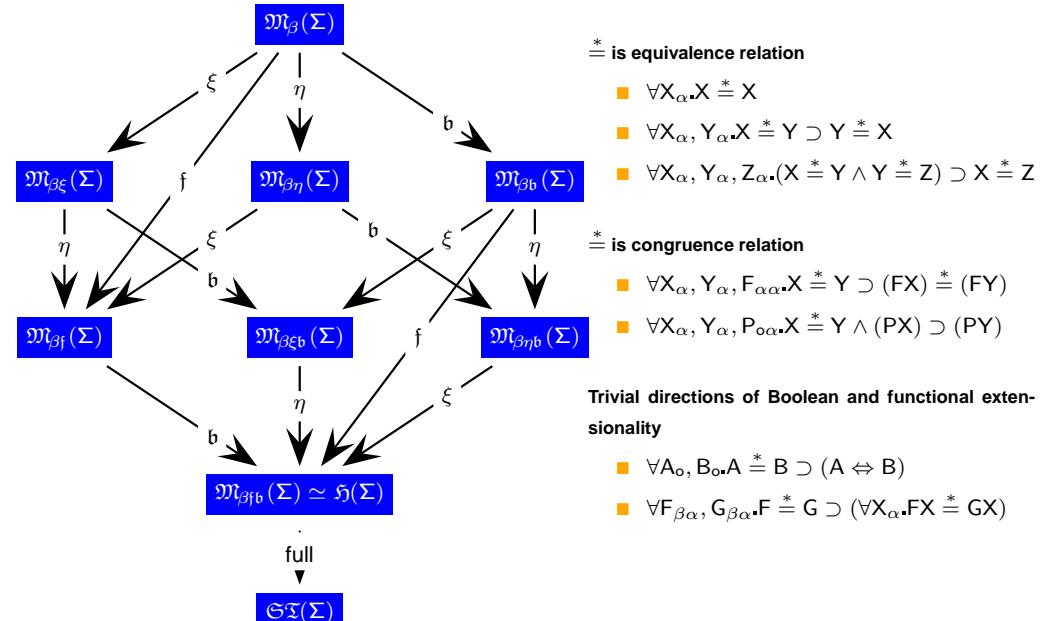


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## Other HOL Test Problems: $\beta$

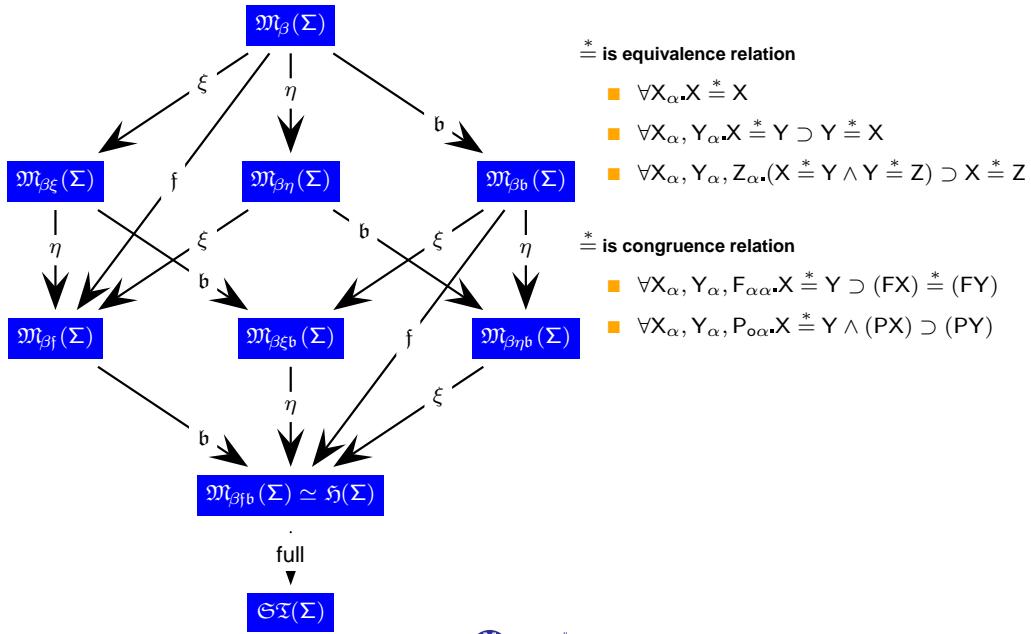


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## Other HOL Test Problems: $\beta$

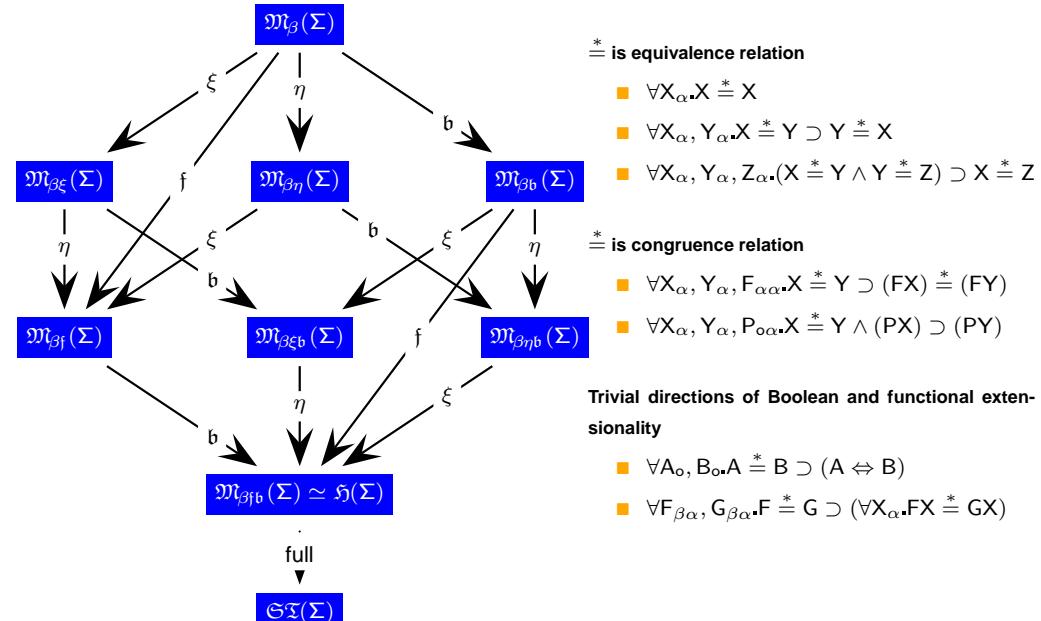


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## Other HOL Test Problems: $\beta$

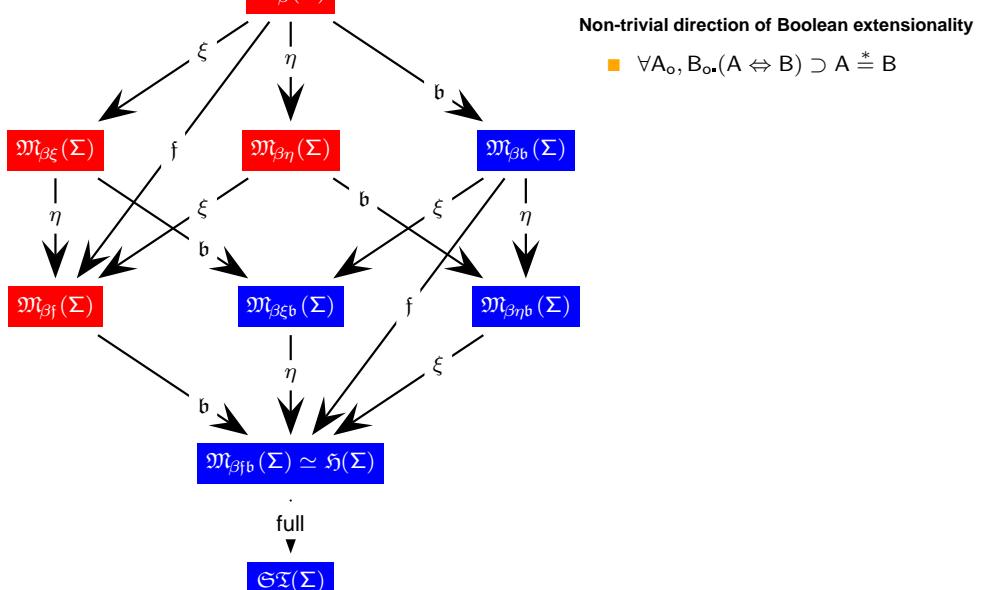


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## Other HOL Test Problems: $b$

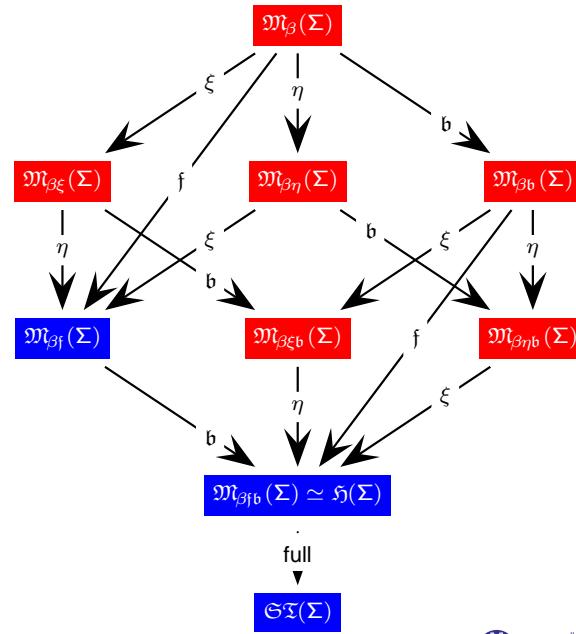


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## Other HOL Test Problems: $\xi$



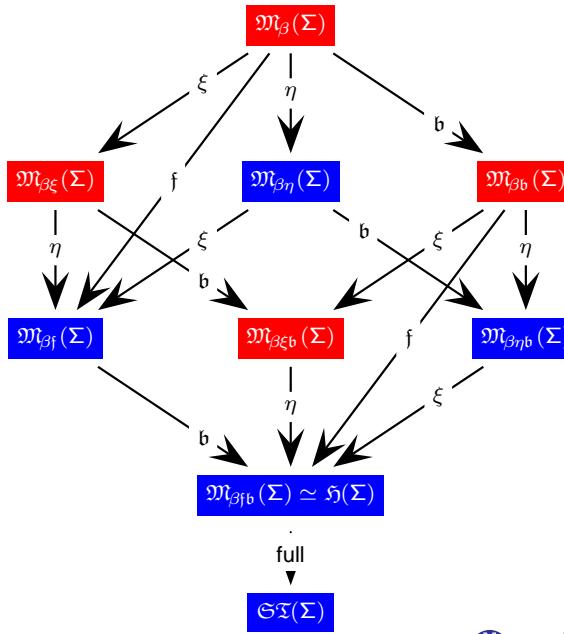
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## Other HOL Test Problems: $\eta$



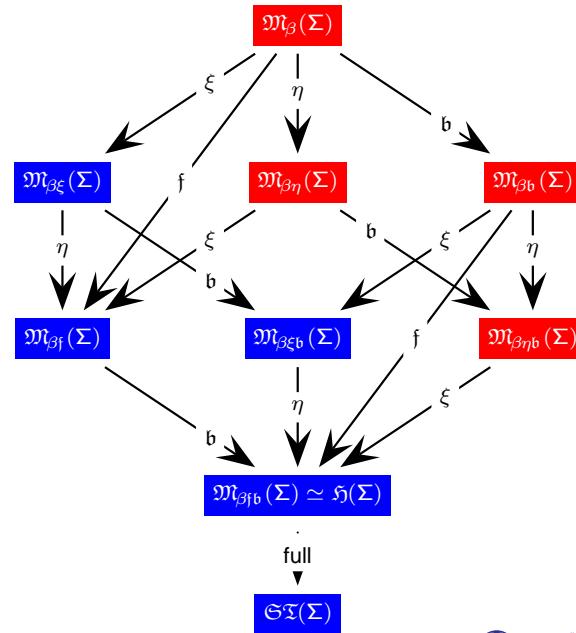
## Other HOL Test Problems: $\eta$



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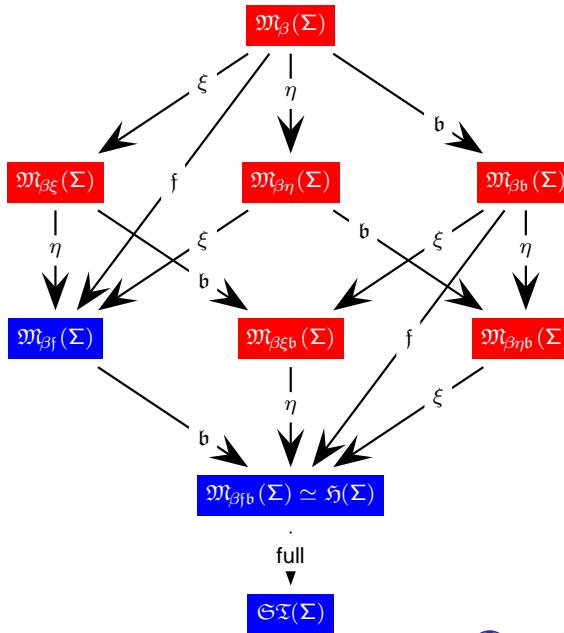
## Other HOL Test Problems: $\xi$



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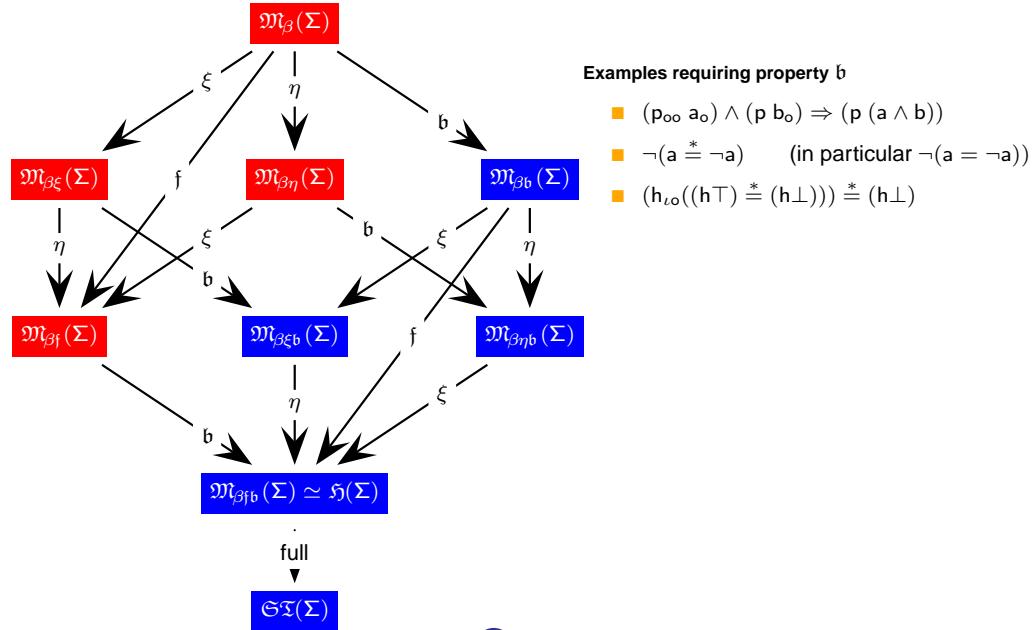
## Other HOL Test Problems: $f$



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## Other HOL Test Problems: b



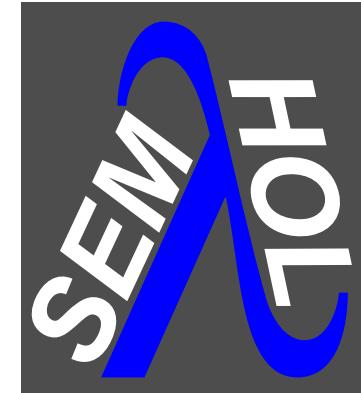
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Abstract Consistency

## Abstract Consistency: History



- Technique was developed for first-order logic by Jaakko Hintikka and Raymond Smullyan [Hintikka55,Smullyan63,Smullyan68]. It is well explained in Fitting's textbook [Fitting96].

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- The technique has been extended to our landscape of HOL model classes in [Chris-PhD-99,Chad-PhD-04,JSL-04].

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- For many calculi  $C$ , this also shows  $A$  is provable, thus establishing completeness of  $C$ .

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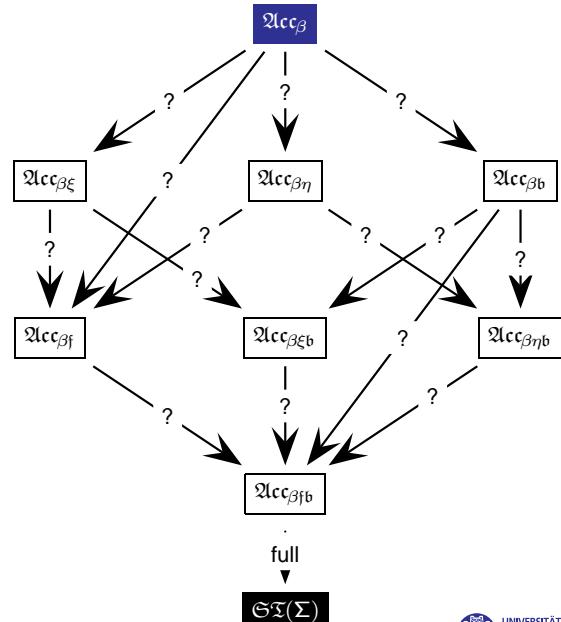
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Thus,  $S \in C$  by compactness.

## Basic Abstract Consistency Properties



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Defn.: Let  $\Gamma_\Sigma$  be a class of sets of  $\Sigma$ -sentences. We define (where  $\Phi \in \Gamma_\Sigma$ ,  $\alpha, \beta \in \mathcal{T}$ ,  $A, B \in \text{cwff}_0(\Sigma)$ ,  $F \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$  are arbitrary):

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(These properties are going back to Hintikka, Smullyan, and Andrews)

Defn.: Let  $\Sigma$  be a signature and  $\Gamma_\Sigma$  be a class of sets of  $\Sigma$ -sentences that is closed under subsets.

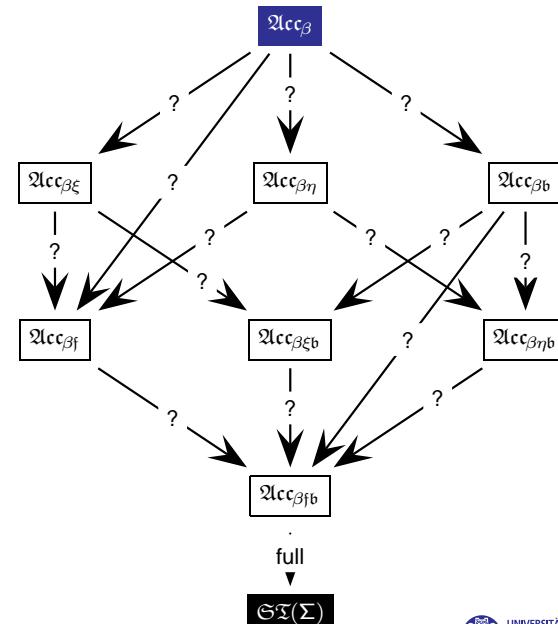
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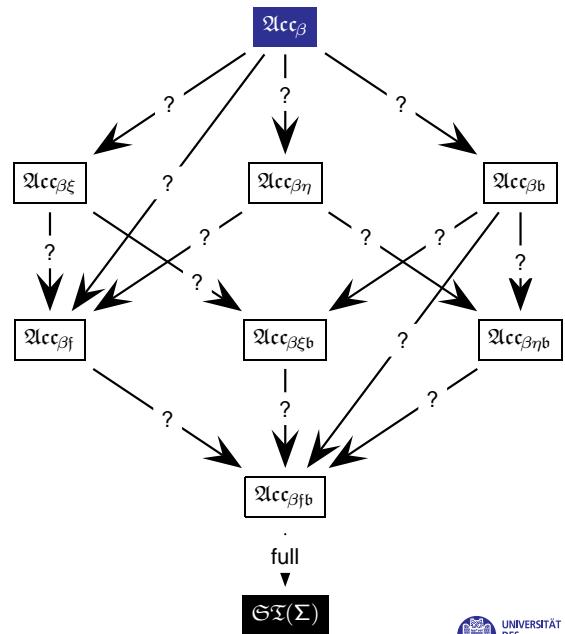


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- We will denote the collection of abstract consistency classes by  $\mathfrak{Acc}_\beta$ .



# Basic Abstract Consistency Properties



Properties for  $\text{Acc}_\beta$

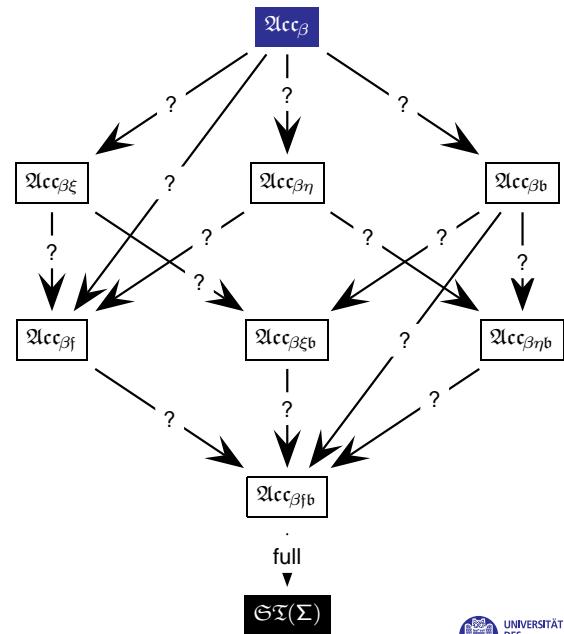
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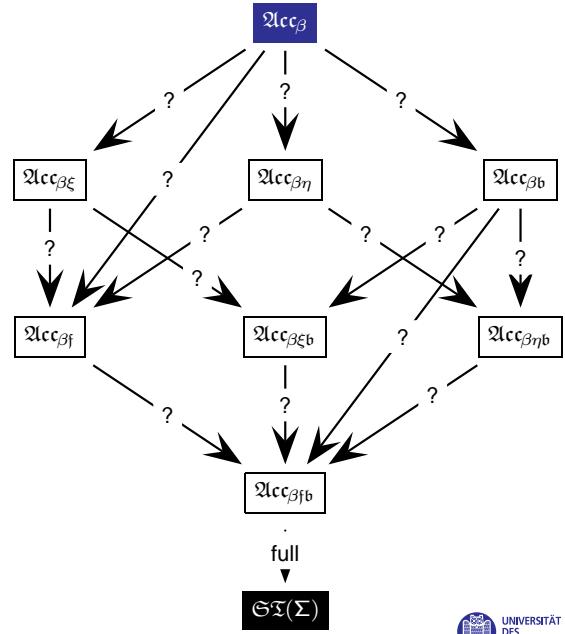


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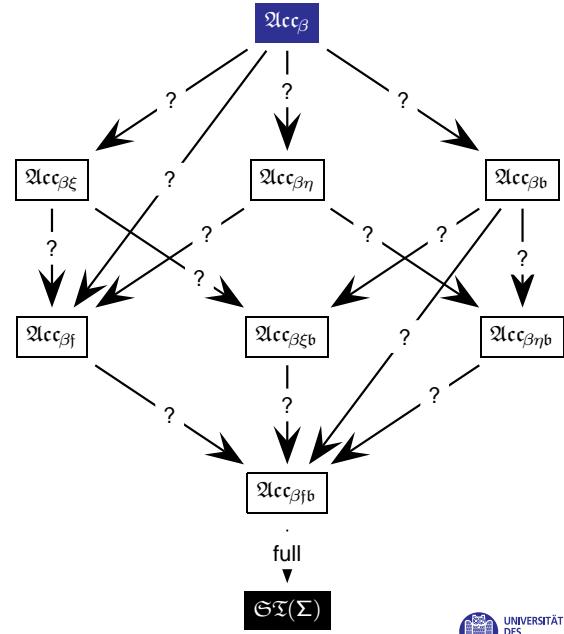
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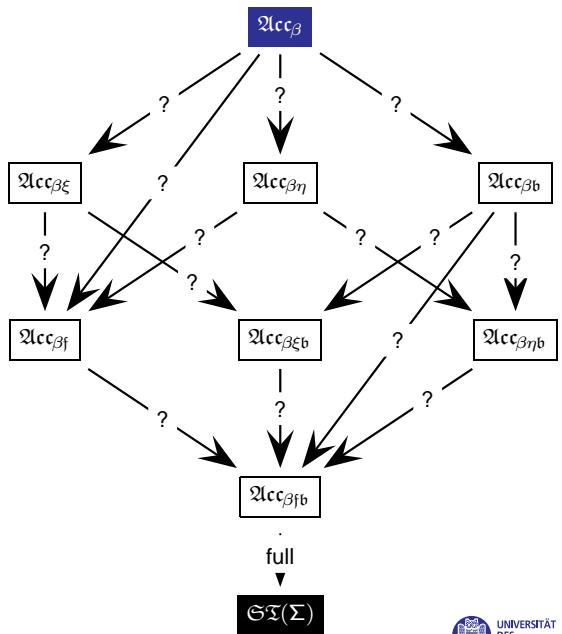
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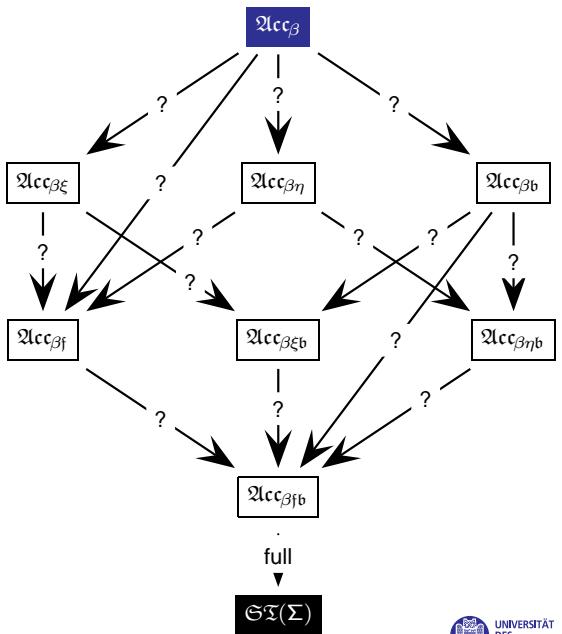
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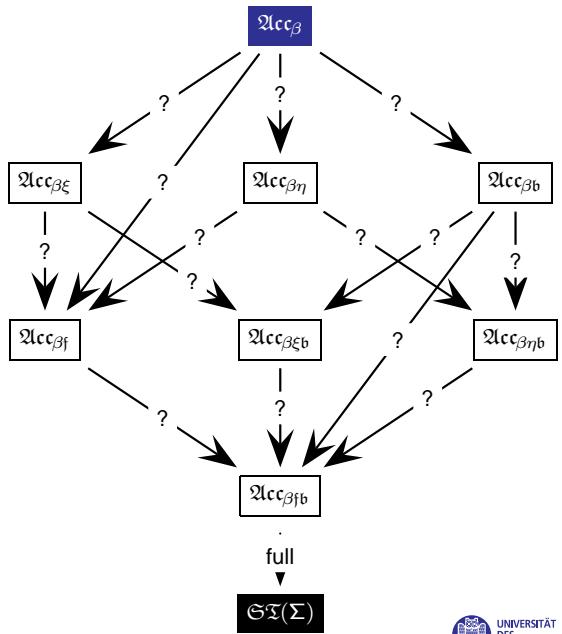
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- $\nabla_\beta$  If  $A =_\beta B$  and  $A \in \Phi$ , then  $\Phi * B \in \Gamma_\Sigma$ .
- $\nabla_\vee$  If  $A \vee B \in \Phi$ , then  $\Phi * A \in \Gamma_\Sigma$  or  $\Phi * B \in \Gamma_\Sigma$ .
- $\nabla_\wedge$  If  $\neg(A \vee B) \in \Phi$ , then  $\Phi * \neg A * \neg B \in \Gamma_\Sigma$ .
- $\nabla_\forall$  If  $\Pi^\alpha F \in \Phi$ , then  $\Phi * FW \in \Gamma_\Sigma$  for each  $W \in \text{cwff}_\alpha(\Sigma)$ .

# Basic Abstract Consistency Properties



Properties for  $\text{Acc}_\beta$

- $\nabla_c$  If  $A$  is atomic, then  $A \notin \Phi$  or  $\neg A \notin \Phi$ .
- $\nabla_\neg$  If  $\neg\neg A \in \Phi$ , then  $\Phi * A \in \Gamma_\Sigma$ .
- $\nabla_\beta$  If  $A =_\beta B$  and  $A \in \Phi$ , then  $\Phi * B \in \Gamma_\Sigma$ .
- $\nabla_\vee$  If  $A \vee B \in \Phi$ , then  $\Phi * A \in \Gamma_\Sigma$  or  $\Phi * B \in \Gamma_\Sigma$ .
- $\nabla_\wedge$  If  $\neg(A \vee B) \in \Phi$ , then  $\Phi * \neg A * \neg B \in \Gamma_\Sigma$ .
- $\nabla_\forall$  If  $\Pi^\alpha F \in \Phi$ , then  $\Phi * FW \in \Gamma_\Sigma$  for each  $W \in \text{cwff}_\alpha(\Sigma)$ .
- $\nabla_\exists$  If  $\neg\Pi^\alpha F \in \Phi$ , then  $\Phi * \neg(Fw) \in \Gamma_\Sigma$  for any parameter  $w_\alpha \in \Sigma_\alpha$  which does not occur in any sentence of  $\Phi$ .

# Extens. Abstract Consistency Properties

Let  $\Gamma_\Sigma$  be a class of sets of  $\Sigma$ -sentences. We define (where  $\Phi \in \Gamma_\Sigma$ ,  $\alpha, \beta \in \mathcal{T}$ ,  $A, B \in \text{cwff}_0(\Sigma)$ ,  $G, H, (\lambda X_\alpha.M), (\lambda X_\alpha.N) \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$  are arbitrary):

Let  $\Gamma_\Sigma$  be a class of sets of  $\Sigma$ -sentences. We define (where  $\Phi \in \Gamma_\Sigma$ ,  $\alpha, \beta \in \mathcal{T}$ ,  $\mathbf{A}, \mathbf{B} \in \text{cwff}_o(\Sigma)$ ,  $\mathbf{G}, \mathbf{H}, (\lambda X_\alpha.M), (\lambda X_\alpha.N) \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$  are arbitrary):

$\nabla_b$  If  $\neg(A \doteq^o B) \in \Phi$ , then  $\Phi * A * \neg B \in \Gamma_\Sigma$  or  $\Phi * \neg A * B \in \Gamma_\Sigma$ .

Let  $\Gamma_\Sigma$  be a class of sets of  $\Sigma$ -sentences. We define (where  $\Phi \in \Gamma_\Sigma$ ,  $\alpha, \beta \in \mathcal{T}$ ,  $\mathbf{A}, \mathbf{B} \in \text{cwff}_o(\Sigma)$ ,  $\mathbf{G}, \mathbf{H}, (\lambda X_\alpha.M), (\lambda X_\alpha.N) \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$  are arbitrary):

$\nabla_b$  If  $\neg(A \doteq^o B) \in \Phi$ , then  $\Phi * A * \neg B \in \Gamma_\Sigma$  or  $\Phi * \neg A * B \in \Gamma_\Sigma$ .

$\nabla_\eta$  If  $A \stackrel{\beta_\eta}{=} B$  and  $A \in \Phi$ , then  $\Phi * B \in \Gamma_\Sigma$ .

## Extens. Abstract Consistency Properties

Let  $\Gamma_\Sigma$  be a class of sets of  $\Sigma$ -sentences. We define (where  $\Phi \in \Gamma_\Sigma$ ,  $\alpha, \beta \in \mathcal{T}$ ,  $\mathbf{A}, \mathbf{B} \in \text{cwff}_o(\Sigma)$ ,  $\mathbf{G}, \mathbf{H}, (\lambda X_\alpha.M), (\lambda X_\alpha.N) \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$  are arbitrary):

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$\nabla_\eta$  If  $A \stackrel{\beta_\eta}{=} B$  and  $A \in \Phi$ , then  $\Phi * B \in \Gamma_\Sigma$ .

$\nabla_\xi$  If  $\neg(\lambda X_\alpha.M \doteq^{\alpha \rightarrow \beta} \lambda X_\alpha.N) \in \Phi$ , then  
 $\Phi * \neg([w/X]M \doteq^\beta [w/X]N) \in \Gamma_\Sigma$  for any parameter  $w_\alpha \in \Sigma_\alpha$   
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$\nabla_f$  If  $\neg(G \doteq^{\alpha \rightarrow \beta} H) \in \Phi$ , then  $\Phi * \neg(Gw \doteq^\beta Hw) \in \Gamma_\Sigma$  for any  
parameter  $w_\alpha \in \Sigma_\alpha$  which does not occur in any sentence of  $\Phi$ .

# Extens. Abstract Consistency Properties

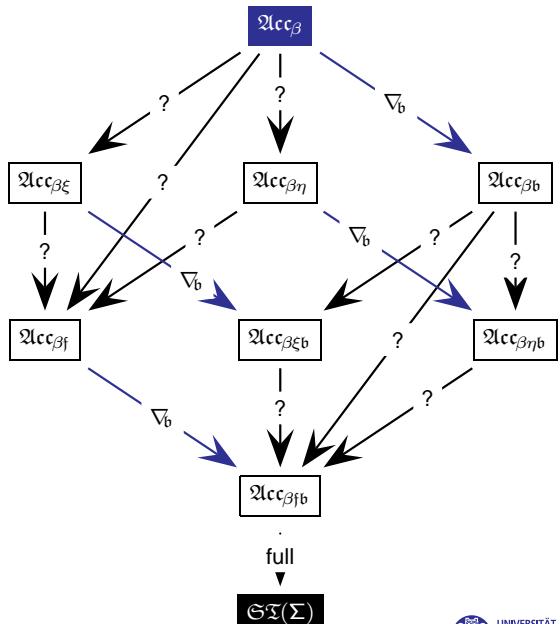


Let  $\Gamma_\Sigma$  be a class of sets of  $\Sigma$ -sentences. We define (where  $\Phi \in \Gamma_\Sigma$ ,  $\alpha, \beta \in \mathcal{T}$ ,  $A, B \in \text{cwff}_o(\Sigma)$ ,  $G, H, (\lambda X_\alpha.M), (\lambda X_\alpha.N) \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$  are arbitrary):

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- $\nabla_\eta$  If  $A \stackrel{\beta\eta}{=} B$  and  $A \in \Phi$ , then  $\Phi * B \in \Gamma_\Sigma$ .
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- $\nabla_f$  If  $\neg(G \doteq^{\alpha \rightarrow \beta} H) \in \Phi$ , then  $\Phi * \neg(Gw \doteq^\beta Hw) \in \Gamma_\Sigma$  for any  
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(These properties are new in [Chris-PhD-99, Chad-PhD-04, JSL-04])

# Extens. Abstract Consistency Properties



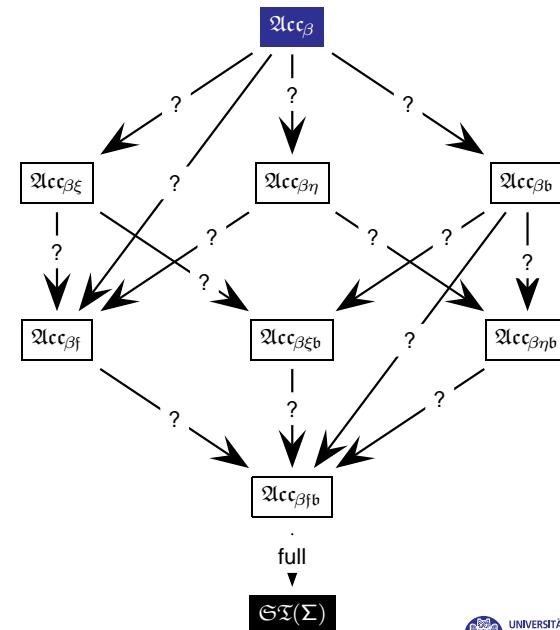
## Basic AC Properties for $\text{Acc}_\beta$

|                |     |                 |     |
|----------------|-----|-----------------|-----|
| $\nabla_c$     | ... | $\nabla_v$      | ... |
| $\nabla_\neg$  | ... | $\nabla_\wedge$ | ... |
| $\nabla_\beta$ | ... | $\nabla_\vee$   | ... |

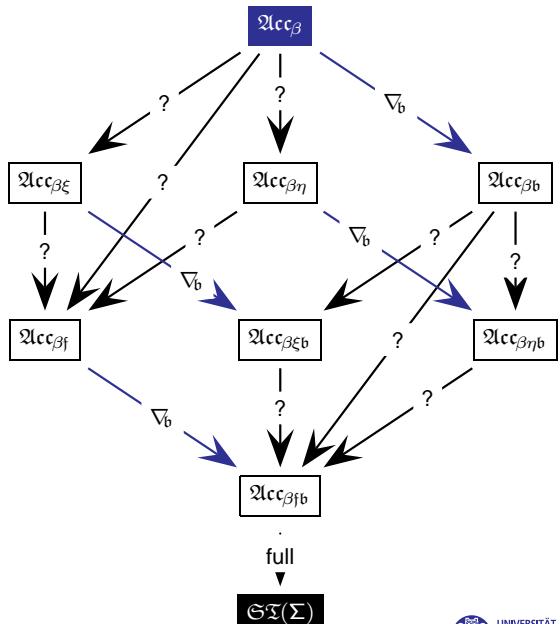
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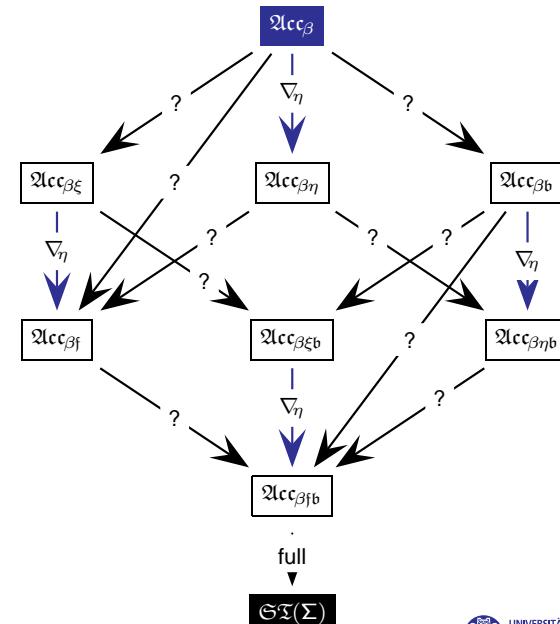
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## Abstract Consistency Class $\mathfrak{Acc}_\beta$



Defn.: (Contd.) Let  $\Sigma$  be a signature and  $\Gamma_\Sigma$  be a class of sets of  $\Sigma$ -sentences that is closed under subsets.

- If  $\nabla_c, \nabla_{\neg}, \nabla_\beta, \nabla_v, \nabla_\wedge, \nabla_\forall$  and  $\nabla_\exists$  are valid for  $\Gamma_\Sigma$ , then  $\Gamma_\Sigma$  is called an **abstract consistency class** for  $\Sigma$ -models.

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- We will denote the collection of abstract consistency classes by  $\mathfrak{Acc}_\beta$ .
- Similarly, we introduce the following collections of specialized abstract consistency classes (with primitive equality):  $\mathfrak{Acc}_{\beta\eta}, \mathfrak{Acc}_{\beta\xi}, \mathfrak{Acc}_{\beta f}, \mathfrak{Acc}_{\beta b}, \mathfrak{Acc}_{\beta\eta b}, \mathfrak{Acc}_{\beta\xi b}, \mathfrak{Acc}_{\beta f b}$ , where we indicate by indices which additional properties from  $\{\nabla_\eta, \nabla_\xi, \nabla_f, \nabla_b\}$  are required.

## Ex.: Abstract Consistency Class



- not an abstract consistency class:  
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- how about this one:  
 $\Gamma := \{\{\neg(A \vee B), \neg A, \neg B\}, \{\neg(A \vee B), \neg A\}, \{\neg(A \vee B), \neg B\}, \{\neg A, \neg B\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\neg B\}, \{\}\}$

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- and how about this:  
 $\Gamma_0 := \Gamma$   
 $\Phi \in \Gamma_i \wedge A \in \Phi \wedge B =_{\beta\eta} A \wedge B \neq A \wedge (\Phi * B) \notin \Gamma_i \longrightarrow$   
 $\Gamma_{i+1} := \text{close-under-subsets}(\Gamma_i * (\Phi * B))$   
 $\Gamma^* := \Gamma_\infty$

## Rem.: Possible Generalization



The work presented here is based on the choice of the primitive logical connectives  $\neg$ ,  $\vee$  and  $\Pi^\alpha$ .

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**$\alpha$ -case** If  $\alpha \in \Phi$ , then  $\Phi * \alpha_1 * \alpha_2 \in \Gamma_\Sigma$ .

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**$\gamma$ -case** If  $\gamma \in \Phi$ , then  $\Phi * \gamma W \in \Gamma_\Sigma$  for each  $W \in \text{cwff}_\alpha(\Sigma)$ .

**$\delta$ -case** If  $\delta \in \Phi$ , then  $\Phi * \delta w \in \Gamma_\Sigma$  for any parameter  $w_\alpha \in \Sigma$  which does not occur in any sentence of  $\Phi$ .

## Def.: Sufficiently $\Sigma$ -Pure



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Let  $\Sigma$  be a signature and  $\Phi$  be a set of  $\Sigma$ -sentences.  $\Phi$  is called **sufficiently  $\Sigma$ -pure** if for each type  $\alpha$  there is a set  $\mathcal{P}_\alpha \subseteq \Sigma_\alpha$  of parameters with equal cardinality to  $wff_\alpha(\Sigma)$ , such that the elements of  $\mathcal{P}_\alpha$  do not occur in the sentences of  $\Phi$ .

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This can be obtained in practice by enriching the signature with spurious parameters.



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$\nabla_{\text{sat}}$  Either  $\Phi * A \in \Gamma_\Sigma$  or  $\Phi * \neg A \in \Gamma_\Sigma$ .

- We call an abstract consistency class  $\Gamma_\Sigma$  **saturated** if  $\nabla_{\text{sat}}$  holds for all  $A$ .

## Ex.: Saturated



- consider  $\Gamma$  (and  $\Gamma^*$ ) from before:

$\{\{\neg(A \vee B), \neg A, \neg B\}, \{\neg(A \vee B), \neg A\}, \{\neg(A \vee B), \neg B\}, \{\neg A, \neg B\}, \{\neg(A \vee B)\}, \{\neg A\}, \{\neg B\}, \{\}\}$

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- $\Gamma$  (and  $\Gamma^*$ ) is not saturated: for instance, it does not provide information on the formulas  $(\neg A \vee B) \vee A$  and  $\Pi^o(\lambda X_o.X)$

## Def.: Saturated Extension



Def.: (Saturated Extension)

Let  $\Gamma_\Sigma, \Gamma'_\Sigma \in \mathfrak{Acc}_*$  be abstract consistency classes. We say  $\Gamma'_\Sigma$  is an **extension** of  $\Gamma_\Sigma$  if  $\Phi \in \Gamma'_\Sigma$  for every (sufficiently  $\Sigma$ -pure)  $\Phi \in \Gamma_\Sigma$ . We say  $\Gamma'_\Sigma$  is a **saturated extension** of  $\Gamma_\Sigma$  if  $\Gamma'_\Sigma$  is saturated and an extension of  $\Gamma_\Sigma$ .

## Ex.: ACC without Saturated Extension



There exist abstract consistency classes  $\Gamma$  in  $\text{Acc}_{\beta\text{fb}}$  which have no saturated extension.

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Example:

Let  $a_o, b_o, q_{o \rightarrow o} \in \Sigma$  and  $\Phi := \{a, b, (qa), \neg(qb)\}$ . We construct an abstract consistency class  $\Gamma_\Sigma$  from  $\Phi$  by first building the closure  $\Phi'$  of  $\Phi$  under relation  $=_\beta$  and then taking the power set of  $\Phi'$ . It is easy to check that this  $\Gamma_\Sigma$  is in  $\text{Acc}_{\beta\text{fb}}$ .

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There exist abstract consistency classes  $\Gamma$  in  $\text{Acc}_{\beta\text{fb}}$  which have no saturated extension.

Example:

Let  $a_o, b_o, q_{o \rightarrow o} \in \Sigma$  and  $\Phi := \{a, b, (qa), \neg(qb)\}$ . We construct an abstract consistency class  $\Gamma_\Sigma$  from  $\Phi$  by first building the closure  $\Phi'$  of  $\Phi$  under relation  $=_\beta$  and then taking the power set of  $\Phi'$ . It is easy to check that this  $\Gamma_\Sigma$  is in  $\text{Acc}_{\beta\text{fb}}$ . Suppose we have a saturated extension  $\Gamma'_\Sigma$  of  $\Gamma_\Sigma$  in  $\text{Acc}_{\beta\text{fb}}$ . Then  $\Phi \in \Gamma'_\Sigma$  since  $\Phi$  is finite (hence sufficiently pure). By saturation,  $\Phi * (a \doteq^o b) \in \Gamma'_\Sigma$  or  $\Phi * \neg(a \doteq^o b) \in \Gamma'_\Sigma$ .

## Ex.: ACC without Saturated Extension



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## Model Existence Theorem



Thm.: Let  $\Gamma_\Sigma$  be a saturated abstract consistency class and let  $\Phi \in \Gamma_\Sigma$  be a sufficiently  $\Sigma$ -pure set of sentences.  
For all  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$  we have:

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■ If  $\Gamma_\Sigma$  is an  $\mathfrak{Acc}_*$ , then there exists a model  $\mathcal{M} \in \mathfrak{M}_*$  that satisfies  $\Phi$ .  
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...not yet...

## Model Existence for Henkin Models

Thm.: Let  $\Gamma_\Sigma$  be a saturated abstract consistency class in  $\mathfrak{Acc}_{\beta\mathfrak{f}\mathfrak{b}}$  and let  $\Phi \in \Gamma_\Sigma$  be a sufficiently  $\Sigma$ -pure set of sentences.

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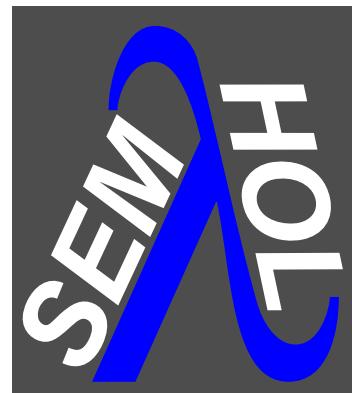
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Proof: (Sketch)

... not yet ...



Completeness of  $\mathfrak{M}_*$  via  
Abstract Consistency

## $\mathfrak{M}_*$ -Consistent/Inconsistent



Def.: A set of sentences  $\Phi$  is  $\mathfrak{M}_*$ -**inconsistent** if  $\Phi \Vdash_{\mathfrak{M}_*} F_o$ , and  $\mathfrak{M}_*$ -**consistent** otherwise.



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$$\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \text{ is } \mathfrak{M}_*\text{-consistent}\}$$

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- i.e.

$$\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \not\Vdash_{\mathfrak{M}_*} F_o\}$$

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas

Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \not\Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas

Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \not\Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We first show:  $\Gamma_\Sigma^*$  is closed under subsets)

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We first show:  $\Gamma_\Sigma^*$  is closed under subsets)

Obviously  $\Gamma_\Sigma^*$  is closed under subsets, since any subset of an  $\mathfrak{M}_*$ -consistent set is  $\mathfrak{M}_*$ -consistent. (If  $\Psi \subseteq \Phi$  and  $\Psi \Vdash_{\mathfrak{M}_*} F_o$  then clearly  $\Phi \Vdash_{\mathfrak{M}_*} F_o$ )

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Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We now show:  $\nabla_c, \nabla_{\neg}, \nabla_\beta, \nabla_V, \nabla_\wedge, \nabla_V, \nabla_\eta, \nabla_\xi, \nabla_f, \nabla_b, \nabla_{\text{sat}}$ )

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We now check the remaining conditions. We prove all the properties by proving their contrapositive.

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Proof: (We show:  $\nabla_c$ )

## Class of Sets of $\mathfrak{N}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{N}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_c$ )

$\nabla_c$  If  $A$  is atomic, then  $A \notin \Phi$  or  $\neg A \notin \Phi$ .

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Suppose  $A, \neg A \in \Phi$ .

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## Class of Sets of $\mathfrak{N}_*$ -consistent Formulas



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$$\frac{\overline{\Phi \vdash A} \quad \mathfrak{N}(Hyp) \quad \overline{\Phi \vdash \neg A} \quad \mathfrak{N}(Hyp)}{\Phi \vdash F_o} \quad \mathfrak{N}(\neg E)$$

## Class of Sets of $\mathfrak{N}_*$ -consistent Formulas



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$$\frac{\overline{\Phi \vdash A} \quad \mathfrak{N}(Hyp) \quad \overline{\Phi \vdash \neg A} \quad \mathfrak{N}(Hyp)}{\Phi \vdash F_o} \quad \mathfrak{N}(\neg E)$$

Hence  $\Phi$  is  $\mathfrak{N}_*$ -inconsistent.

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Proof: (We show:  $\nabla_\beta$ )

## Class of Sets of $\mathfrak{N}_*$ -consistent Formulas



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Proof: (We show:  $\nabla_\beta$ )

$\nabla_\beta \quad \text{If } A =_\beta B \text{ and } A \in \Phi, \text{ then } \Phi * B \in \Gamma_\Sigma^*.$



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## Class of Sets of $\mathfrak{N}_*$ -consistent Formulas



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Let  $A \in \Phi$ ,  $A =_\beta B$  and  $\Phi * B$  be  $\mathfrak{N}_*$ -inconsistent.

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Let  $A \in \Phi$ ,  $A =_\beta B$  and  $\Phi * B$  be  $\mathfrak{N}_*$ -inconsistent. That is,  
 $\Phi * B \vdash F_o$ .



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## Class of Sets of $\mathfrak{N}_*$ -consistent Formulas



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Let  $A \in \Phi$ ,  $A =_\beta B$  and  $\Phi * B$  be  $\mathfrak{N}_*$ -inconsistent. That is,  
 $\Phi * B \Vdash F_o$ .

$$\boxed{\begin{array}{c} \nabla_\beta \\ \frac{\frac{\frac{\Phi * B \Vdash F_o}{\Phi \Vdash A} \text{ nk}(\gamma I)}{\Phi \Vdash B} \text{ nk}(\beta)}{\Phi \Vdash F_o} \text{ nk}(\gamma E) \end{array}}$$

## Class of Sets of $\mathfrak{N}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{N}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\neg$ )

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Proof: (We show:  $\nabla_\neg$ )

$\nabla_\neg$  If  $\neg\neg A \in \Phi$ , then  $\Phi * A \in \Gamma_\Sigma^*$ .

## Class of Sets of $\mathfrak{N}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{N}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

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$\nabla_\neg$  If  $\neg\neg A \in \Phi$ , then  $\Phi * A \in \Gamma_\Sigma^*$ .

Suppose  $\neg\neg A \in \Phi$  and  $\Phi * A$  is  $\mathfrak{N}_*$ -inconsistent.

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



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$\nabla_{\neg}$  If  $\neg\neg A \in \Phi$ , then  $\Phi * A \in \Gamma_\Sigma^*$ .

Suppose  $\neg\neg A \in \Phi$  and  $\Phi * A$  is  $\mathfrak{M}_*$ -inconsistent.

$$\boxed{\nabla_{\neg}} \quad \frac{\frac{\frac{\Phi * A \Vdash F_o \text{ nk(I)}}{\Phi \Vdash \neg A} \text{ nk(I) } \quad \frac{}{\Phi \Vdash \neg\neg A} \text{ nk(Hyp) }}{\Phi \Vdash F_o} \text{ nk(IE) }}$$

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_V$ )

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_V$ )

$\nabla_V$  If  $A \vee B \in \Phi$ , then  $\Phi * A \in \Gamma_\Sigma^*$  or  $\Phi * B \in \Gamma_\Sigma^*$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_V$ )

$\nabla_V$  If  $A \vee B \in \Phi$ , then  $\Phi * A \in \Gamma_\Sigma^*$  or  $\Phi * B \in \Gamma_\Sigma^*$ .

Suppose  $(A \vee B) \in \Phi$  and both  $\Phi * A$  and  $\Phi * B$  are  $\mathfrak{M}_*$ -inconsistent.

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

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Suppose  $(A \vee B) \in \Phi$  and both  $\Phi * A$  and  $\Phi * B$  are  $\mathfrak{M}_*$ -inconsistent.

$$\boxed{\nabla_V} \quad \frac{\Phi \Vdash A \vee B \quad \begin{array}{c} \Phi * A \Vdash \neg F_o \\ \Phi * B \Vdash \neg F_o \end{array} \quad \Phi * A \vee B \Vdash \neg F_o \quad \text{wk}(\vee E)}{\Phi \Vdash \neg F_o}$$

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_\wedge$ )

$\nabla_\wedge$  If  $\neg(A \vee B) \in \Phi$ , then  $\Phi * \neg A * \neg B \in \Gamma_\Sigma^*$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_\wedge$ )

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



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$\nabla_\wedge$  If  $\neg(A \vee B) \in \Phi$ , then  $\Phi * \neg A * \neg B \in \Gamma_\Sigma^*$ .

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## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\wedge$ )

$\nabla_\wedge$  If  $\neg(A \vee B) \in \Phi$ , then  $\Phi * \neg A * \neg B \in \Gamma_\Sigma^*$ .

Suppose  $\neg(A \vee B) \in \Phi$  and  $\Phi * \neg A * \neg B$  is  $\mathfrak{M}_*$ -inconsistent.

$$\begin{array}{c}
 \frac{\Phi * \neg A * \neg B \Vdash F_o}{\Phi * \neg A \Vdash B} \text{ nk(Cont)} \\
 \frac{\Phi * \neg A \Vdash B}{\Phi * \neg A \Vdash \neg(A \vee B)} \text{ nk(vL)} \qquad \frac{}{\Phi * \neg A \Vdash \neg(A \vee B)} \text{ nk(Hyp)} \\
 \frac{\Phi * \neg A \Vdash \neg(A \vee B)}{\Phi * \neg A \Vdash F_o} \text{ nk(E)} \\
 \frac{\Phi * \neg A \Vdash F_o}{\Phi \Vdash A} \text{ nk(Cont)} \\
 \frac{\Phi \Vdash A}{\Phi \Vdash A \vee B} \text{ nk(vL)} \qquad \frac{\Phi \Vdash \neg(A \vee B)}{\Phi \Vdash \neg(A \vee B)} \text{ nk(Hyp)} \\
 \frac{\Phi \Vdash A \vee B \quad \Phi \Vdash \neg(A \vee B)}{\Phi \Vdash F_o} \text{ nk(E)}
 \end{array}$$

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## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\forall$ )



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## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\forall$ )

$\nabla_\forall$  If  $\Pi^\alpha F \in \Phi$ , then  $\Phi * FW \in \Gamma_\Sigma^*$  for each  $W \in \text{cwff}_\alpha(\Sigma)$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

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Suppose  $(\Pi^\alpha G) \in \Phi$  and  $\Phi * (\mathbf{G}A)$  is  $\mathfrak{M}_*$ -inconsistent.

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## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_\alpha(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\forall$ )

$\nabla_\forall$  If  $\Pi^\alpha F \in \Phi$ , then  $\Phi * FW \in \Gamma_\Sigma^*$  for each  $W \in \text{cwff}_\alpha(\Sigma)$ .

Suppose  $(\Pi^\alpha G) \in \Phi$  and  $\Phi * (GA)$  is  $\mathfrak{M}_*$ -inconsistent.

$$\boxed{\nabla_\forall} \quad \frac{\frac{\frac{\Phi \Vdash (\Pi^\alpha G)}{\Phi \Vdash \neg(\Pi^\alpha G)} \text{wk(Hyp)}}{\Phi \Vdash \neg(\Pi^\alpha G)} \text{wk(Hyp)}}{\Phi \Vdash F_o} \text{wk(GA)}$$

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_\alpha(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\exists$ )

$\nabla_\exists$  If  $\neg\Pi^\alpha F \in \Phi$ , then  $\Phi * \neg(Fw) \in \Gamma_\Sigma^*$  for any parameter  $w_\alpha \in \Sigma_\alpha$  which does not occur in any sentence of  $\Phi$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_\alpha(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

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## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



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## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwff}_\alpha(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

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Suppose  $\neg(\Pi^\alpha G) \in \Phi$ ,  $w_\alpha$  is a parameter which does not occur in  $\Phi$ , and  $\Phi * \neg(Gw)$  is  $\mathfrak{M}_*$ -inconsistent.

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas

Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwoff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\exists$ )

$\nabla_\exists$  If  $\neg \Pi^\alpha F \in \Phi$ , then  $\Phi * \neg(Fw) \in \Gamma_\Sigma^*$  for any parameter  $w_\alpha \in \Sigma_\alpha$  which does not occur in any sentence of  $\Phi$ .

Suppose  $\neg(\Pi^\alpha G) \in \Phi$ ,  $w_\alpha$  is a parameter which does not occur in  $\Phi$ , and  $\Phi * \neg(Gw)$  is  $\mathfrak{M}_*$ -inconsistent.

$$\boxed{\nabla_\exists} \quad \frac{\frac{\frac{\phi * \neg(Gw) \Vdash F_o}{\phi \Vdash Gw} \text{wk}(\text{Con})}{\phi \Vdash \neg \Pi^\alpha G} \text{wk}(\Pi^\alpha)}{\phi \Vdash \neg \neg(\Pi^\alpha G)} \text{wk}(\neg \neg) \quad \frac{\phi \Vdash \neg \neg(\Pi^\alpha G)}{\phi \Vdash \neg \Pi^\alpha G} \text{wk}(\neg \Pi^\alpha)$$

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas

Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwoff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_{\text{sat}}$ )

$\nabla_{\text{sat}}$  Either  $\Phi * A \in \Gamma_\Sigma^*$  or  $\Phi * \neg A \in \Gamma_\Sigma^*$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas

Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwoff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_{\text{sat}}$ )

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Proof: (We show:  $\nabla_{\text{sat}}$ )

$\nabla_{\text{sat}}$  Either  $\Phi * A \in \Gamma_\Sigma^*$  or  $\Phi * \neg A \in \Gamma_\Sigma^*$ .

Let  $\Phi * A$  and  $\Phi * \neg A$  be  $\mathfrak{M}_*$ -inconsistent.

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwoff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_{\text{sat}}$ )

$\nabla_{\text{sat}}$  Either  $\Phi * A \in \Gamma_\Sigma^*$  or  $\Phi * \neg A \in \Gamma_\Sigma^*$ .

Let  $\Phi * A$  and  $\Phi * \neg A$  be  $\mathfrak{M}_*$ -inconsistent.

$$\begin{array}{c} \boxed{\nabla_{\text{sat}}} \\ \frac{\frac{\Phi * A \Vdash F_o}{\Phi \Vdash \neg A} \text{ nk}(\tau I)}{\Phi \Vdash \neg \neg A} \text{ nk}(\tau E) \end{array}$$

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwoff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwoff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: Thus we have shown that  $\Gamma_\Sigma^\beta$  is saturated and in  $\mathfrak{A}\text{cc}_\beta$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwoff}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: Thus we have shown that  $\Gamma_\Sigma^\beta$  is saturated and in  $\mathfrak{A}\text{cc}_\beta$ .

Now let us check the conditions for the additional properties  $\eta$ ,  $\xi$ ,  $f$ , and  $b$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: Thus we have shown that  $\Gamma_\Sigma^\beta$  is saturated and in  $\mathfrak{Acc}_\beta$ .

Now let us check the conditions for the additional properties  $\eta$ ,  
 $\xi$ ,  $f$ , and  $b$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\eta$ )

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\eta$ )

$\nabla_\eta$  If  $\mathbf{A}^{\beta\eta} \mathbf{B}$  and  $\mathbf{A} \in \Phi$ , then  $\Phi * \mathbf{B} \in \Gamma_\Sigma^*$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\eta$ )

$\nabla_\eta$  If  $\mathbf{A}^{\beta\eta} \mathbf{B}$  and  $\mathbf{A} \in \Phi$ , then  $\Phi * \mathbf{B} \in \Gamma_\Sigma^*$ .

Suppose  $*$  includes  $\eta$ , and let  $\mathbf{A} \in \Phi$ ,  $\mathbf{A}^{\beta\eta} \mathbf{B}$  and  $\Phi * \mathbf{B}$  be  $\mathfrak{M}_*$ -inconsistent.

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_\eta$ )

$\nabla_\eta$  If  $A \stackrel{\beta_\eta}{=} B$  and  $A \in \Phi$ , then  $\Phi * B \in \Gamma_\Sigma^*$ .

Suppose  $*$  includes  $\eta$ , and let  $A \in \Phi$ ,  $A \stackrel{\beta_\eta}{=} B$  and  $\Phi * B$  be  $\mathfrak{M}_*$ -inconsistent.

$$\boxed{\nabla_\eta} \quad \frac{\frac{\frac{\Phi * B \Vdash F_o}{\Phi \Vdash B} \text{ nk}(G, I)}{\Phi \Vdash A} \text{ nk}(\alpha)}{\Phi \Vdash B} \text{ nk}(\alpha) \quad \frac{\Phi \Vdash A}{\Phi \Vdash B} \text{ nk}(\alpha) \quad \frac{\Phi \Vdash B}{\Phi \Vdash F_o} \text{ nk}(\alpha E)$$

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_\xi$ )

$\nabla_\xi$  If  $\neg(\lambda X_\alpha.M \stackrel{\dot{\alpha} \rightarrow \beta}{=} \lambda X_\alpha.N) \in \Phi$ , then  $\Phi * \neg([w/X]M \stackrel{\beta}{=} [w/X]N) \in \Gamma_\Sigma^*$  for any parameter  $w_\alpha \in \Sigma_\alpha$  which does not occur in any sentence of  $\Phi$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_\xi$ )

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_\xi$ )

$\nabla_\xi$  If  $\neg(\lambda X_\alpha.M \stackrel{\dot{\alpha} \rightarrow \beta}{=} \lambda X_\alpha.N) \in \Phi$ , then  $\Phi * \neg([w/X]M \stackrel{\beta}{=} [w/X]N) \in \Gamma_\Sigma^*$  for any parameter  $w_\alpha \in \Sigma_\alpha$  which does not occur in any sentence of  $\Phi$ .

Suppose  $*$  includes  $\xi$ ,  $\neg(\lambda X_\alpha.M \stackrel{\dot{\alpha} \rightarrow \beta}{=} \lambda X_\alpha.N) \in \Phi$ , and  $\Phi * \neg([w/X]M \stackrel{\beta}{=} [w/X]N)$  is  $\mathfrak{M}_*$ -inconsistent for some parameter  $w_\alpha$  which does not occur in any sentence of  $\Phi$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_\xi$ )

$\nabla_\xi$  If  $\neg(\lambda X_\alpha.M \doteq^{\alpha \rightarrow \beta} \lambda X_\alpha.N) \in \Phi$ , then  
 $\Phi * \neg([w/X]M \doteq^\beta [w/X]N) \in \Gamma_\Sigma^*$  for any parameter  $w_\alpha \in \Sigma_\alpha$   
which does not occur in any sentence of  $\Phi$ .

Suppose  $*$  includes

$\xi$ ,  $\neg(\lambda X.M \doteq^{\alpha \rightarrow \beta} \lambda X.N) \in \Phi$ , and  
 $\Phi * \neg([w/X]M \doteq^\beta [w/X]N)$  is  $\mathfrak{M}_*$ -inconsistent for some parameter  $w_\alpha$  which does not occur in any sentence of  $\Phi$ .

$$\begin{array}{c} \Phi * \neg([w/X]M \doteq^{\alpha \rightarrow \beta} [w/X]N) \Vdash_{\mathfrak{M}_*} \text{wk(Corr)} \\ \hline \Phi \Vdash ([w/X]M \doteq^\beta [w/X]N) \text{ wk(B)} \\ \hline \Phi \Vdash (\lambda X.M \doteq N) w \text{ wk(TI)} \\ \hline \Phi \Vdash (\forall X.M \doteq N) \text{ wk(F)} \\ \hline \Phi \Vdash (\lambda X.M \doteq \lambda X.N) \text{ wk(Hg)} \\ \hline \Phi \Vdash \neg(\lambda X.M \doteq \lambda X.N) \text{ wk(Hg)} \\ \hline \Phi \Vdash \top_o \end{array}$$



## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_f$ )



## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_f$ )

$\nabla_f$  If  $\neg(G \doteq^{\alpha \rightarrow \beta} H) \in \Phi$ , then  $\Phi * \neg(Gw \doteq^\beta Hw) \in \Gamma_\Sigma^*$  for any parameter  $w_\alpha \in \Sigma_\alpha$  which does not occur in any sentence of  $\Phi$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_f$ )

$\nabla_f$  If  $\neg(G \doteq^{\alpha \rightarrow \beta} H) \in \Phi$ , then  $\Phi * \neg(Gw \doteq^\beta Hw) \in \Gamma_\Sigma^*$  for any parameter  $w_\alpha \in \Sigma_\alpha$  which does not occur in any sentence of  $\Phi$ .

Suppose  $*$  includes  $f$ ,  
 $\neg(G \doteq^{\alpha \rightarrow \beta} H) \in \Phi$ ,  
and  $\Phi * \neg(Gw \doteq^\beta Hw)$  is  $\mathfrak{M}_*$ -inconsistent for some parameter  $w_\alpha$  which does not occur in any sentence of  $\Phi$ .



## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_f$ )

$\nabla_f$  If  $\neg(G \doteq^{\alpha \rightarrow \beta} H) \in \Phi$ , then  $\Phi * \neg(Gw \doteq^\beta Hw) \in \Gamma_\Sigma^*$  for any parameter  $w_\alpha \in \Sigma_\alpha$  which does not occur in any sentence of  $\Phi$ .

Suppose  $*$  includes  $f$ ,  
 $\neg(G \doteq^{\alpha \rightarrow \beta} H) \in \Phi$ ,  
and  $\Phi * \neg(Gw \doteq^\beta Hw)$  is  $\mathfrak{M}_*$ -inconsistent for some parameter  $w_\alpha$  which does not occur in any sentence of  $\Phi$ .

$$\begin{array}{c}
 \frac{\Phi * \gamma(Gw \doteq Hw) \Vdash F_o}{\Phi \Vdash Gw \doteq Hw} nk(\text{Gv}) \\
 \frac{\Phi \Vdash Gw \doteq Hw}{\Phi \Vdash (\lambda X. Gx \doteq Hx) w} nk(\beta) \\
 \frac{\Phi \Vdash (\lambda X. Gx \doteq Hx) w}{\Phi \Vdash (\forall X. Gx \doteq Hx)} nk(\Pi) \\
 \frac{\Phi \Vdash (\forall X. Gx \doteq Hx)}{\Phi \Vdash G \doteq H} nk(f) \\
 \frac{\Phi \Vdash G \doteq H}{\Phi \Vdash \neg(G \doteq H)} nk(\neg E) \\
 \Phi \Vdash \neg(G \doteq H)
 \end{array}$$

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_b$ )

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_b$ )

$\nabla_b$  If  $\neg(A \doteq^o B) \in \Phi$ , then  $\Phi * A * \neg B \in \Gamma_\Sigma^*$  or  
 $\Phi * \neg A * B \in \Gamma_\Sigma^*$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: (We show:  $\nabla_b$ )

$\nabla_b$  If  $\neg(A \doteq^o B) \in \Phi$ , then  $\Phi * A * \neg B \in \Gamma_\Sigma^*$  or  
 $\Phi * \neg A * B \in \Gamma_\Sigma^*$ .

Suppose  $*$  includes  $b$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: (We show:  $\nabla_b$ )

$\nabla_b$  If  $\neg(A \doteq^\circ B) \in \Phi$ , then  $\Phi * A * \neg B \in \Gamma_\Sigma^*$  or  
 $\Phi * \neg A * B \in \Gamma_\Sigma^*$ .

Suppose  $*$  includes  $b$ . Assume that  $\neg(A \doteq^\circ B) \in \Phi$  and that both  $\Phi * \neg A * B$  and  $\Phi * A * \neg B$  are  $\mathfrak{M}_*$ -inconsistent.

$$\boxed{\nabla_b} \quad \frac{\frac{\frac{\frac{\Phi * A * \neg B \Vdash F_o}{\Phi * A \Vdash B} \text{ (cav)} \quad \frac{\Phi * B * \neg A \Vdash F_o}{\Phi * B \Vdash \neg A} \text{ (cav)}}{\Phi \Vdash A \doteq B} \text{ (l)}}{\Phi \Vdash \neg(A \doteq B)} \text{ (l)}}{\Phi \Vdash F_o} \text{ (l)}$$

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## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: Thus, for all  $*$  we have  $\Gamma_\Sigma^*$  is a saturated  $\mathfrak{Acc}_*$ .

## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{Acc}_*$ .

Proof: Thus, for all  $*$  we have  $\Gamma_\Sigma^*$  is a saturated  $\mathfrak{Acc}_*$ .

This completes the proof of the lemma.

q.e.d.

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## Class of Sets of $\mathfrak{M}_*$ -consistent Formulas



Lemma:  $\Gamma_\Sigma^* := \{\Phi \subseteq \text{cwf}_o(\Sigma) \mid \Phi \Vdash_{\mathfrak{M}_*} F_o\}$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

Proof: Thus, for all  $*$  we have  $\Gamma_\Sigma^*$  is a saturated  $\mathfrak{A}\text{cc}_*$ .

This completes the proof of the lemma.

q.e.d.

## Henkin's Theorem for $\mathfrak{M}_*$



Thm.: Let  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ . Every sufficiently  $\Sigma$ -pure  $\mathfrak{M}_*$ -consistent set of sentences has an  $\mathfrak{M}_*(\Sigma)$ -model.

## Henkin's Theorem for $\mathfrak{M}_*$



Thm.: Let  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ . Every sufficiently  $\Sigma$ -pure  $\mathfrak{M}_*$ -consistent set of sentences has an  $\mathfrak{M}_*(\Sigma)$ -model.

Proof: Let  $\Phi$  be a sufficiently  $\Sigma$ -pure  $\mathfrak{M}_*$ -consistent set of sentences.

## Henkin's Theorem for $\mathfrak{M}_*$



Thm.: Let  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ . Every sufficiently  $\Sigma$ -pure  $\mathfrak{M}_*$ -consistent set of sentences has an  $\mathfrak{M}_*(\Sigma)$ -model.

Proof: Let  $\Phi$  be a sufficiently  $\Sigma$ -pure  $\mathfrak{M}_*$ -consistent set of sentences.  
By the previous lemma we know that the class of sets of  $\mathfrak{M}_*$ -consistent sentences constitute a saturated  $\mathfrak{A}\text{cc}_*$ ,

Thm.: Let  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ . Every sufficiently  $\Sigma$ -pure  $\mathfrak{M}_*$ -consistent set of sentences has an  $\mathfrak{M}_*(\Sigma)$ -model.

Proof: Let  $\Phi$  be a sufficiently  $\Sigma$ -pure  $\mathfrak{M}_*$ -consistent set of sentences. By the previous lemma we know that the class of sets of  $\mathfrak{M}_*$ -consistent sentences constitute a saturated  $\mathfrak{Acc}_*$ , thus the Model Existence Theorem guarantees an  $\mathfrak{M}_*(\Sigma)$  model for  $\Phi$ .

Thm.: Let  $\Phi$  be a sufficiently  $\Sigma$ -pure set of sentences,  $\mathbf{A}$  be a sentence, and  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ . If  $\mathbf{A}$  is valid in all models  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  that satisfy  $\Phi$ , then  $\Phi \vdash_{\mathfrak{M}_*} \mathbf{A}$ .

## Completeness Theorem for $\mathfrak{M}_*$

Thm.: Let  $\Phi$  be a sufficiently  $\Sigma$ -pure set of sentences,  $\mathbf{A}$  be a sentence, and  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ . If  $\mathbf{A}$  is valid in all models  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  that satisfy  $\Phi$ , then  $\Phi \vdash_{\mathfrak{M}_*} \mathbf{A}$ .

Proof: Let  $\mathbf{A}$  be given such that  $\mathbf{A}$  is valid in all  $\mathfrak{M}_*(\Sigma)$  models that satisfy  $\Phi$ .

## Completeness Theorem for $\mathfrak{M}_*$

Thm.: Let  $\Phi$  be a sufficiently  $\Sigma$ -pure set of sentences,  $\mathbf{A}$  be a sentence, and  $* \in \{\beta, \beta\eta, \beta\xi, \beta\mathfrak{f}, \beta\mathfrak{b}, \beta\eta\mathfrak{b}, \beta\xi\mathfrak{b}, \beta\mathfrak{f}\mathfrak{b}\}$ . If  $\mathbf{A}$  is valid in all models  $\mathcal{M} \in \mathfrak{M}_*(\Sigma)$  that satisfy  $\Phi$ , then  $\Phi \vdash_{\mathfrak{M}_*} \mathbf{A}$ .

Proof: Let  $\mathbf{A}$  be given such that  $\mathbf{A}$  is valid in all  $\mathfrak{M}_*(\Sigma)$  models that satisfy  $\Phi$ . So,  $\Phi * \neg \mathbf{A}$  is unsatisfiable in  $\mathfrak{M}_*(\Sigma)$ .

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Proof: Let  $A$  be given such that  $A$  is valid in all  $\mathfrak{M}_*(\Sigma)$  models that satisfy  $\Phi$ . So,  $\Phi * \neg A$  is unsatisfiable in  $\mathfrak{M}_*(\Sigma)$ . Since only finitely many constants occur in  $\neg A$ ,  $\Phi * \neg A$  is sufficiently  $\Sigma$ -pure. So,  $\Phi * \neg A$  must be  $\mathfrak{M}_*$ -inconsistent by Henkin's theorem above.

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## Compactness Theorem for $\mathfrak{M}_*$



We can use the completeness theorems obtained so far to prove a compactness theorem for  $\mathfrak{M}_*$ :

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## Note on the Saturation Condition



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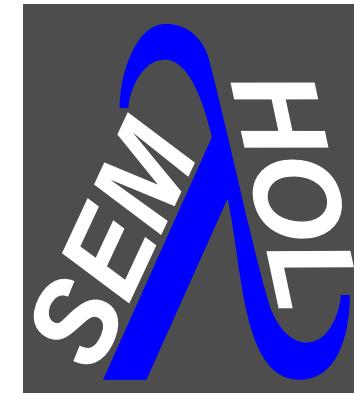
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- in fact, as we show in [Unpublished-04] and [IJCAR-06], proving  $\nabla_{\text{sat}}$  is as hard as showing admissibility of cut
- if time permits, we will hear more about this later



Model Existence Theorems

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Lemma (Compactness of ACC's):

Lemma (Abstract Extension Lemma):

Thm (Model Existence Theorem for Saturated Hintikka Sets):

For all  $*$  we have: If  $\mathcal{H}$  is a saturated Hintikka set in  $\mathfrak{Hint}_*$ , then there exists a model  $\mathcal{M} \in \mathfrak{M}_*$  that satisfies  $\mathcal{H}$ .

Furthermore, each domain  $\mathcal{D}_\alpha$  of  $\mathcal{M}$  has cardinality at most  $\aleph_s$ .

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Lemma (Abstract Extension Lemma): Let  $\Sigma$  be a signature,  $\Gamma_\Sigma$  be a compact ACC in  $\mathfrak{Acc}_*$ , and let  $\Phi \in \Gamma_\Sigma$  be sufficiently pure. Then there exists a  $\Sigma$ -Hintikka set  $\mathcal{H} \in \mathfrak{Hint}_*$ , such that  $\Phi \subseteq \mathcal{H}$ . Furthermore, if  $\Gamma_\Sigma$  is saturated, then  $\mathcal{H}$  is saturated.

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► ... now we sketch the proofs of these ingredients ...

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- ▶ Show  $\Gamma_\Sigma \subseteq \Gamma'_\Sigma$ : Suppose  $\Phi \in \Gamma_\Sigma$ .  $\Gamma_\Sigma$  is closed under subsets, so every finite subset of  $\Phi$  is in  $\Gamma_\Sigma$  and thus  $\Phi \in \Gamma'_\Sigma$ .

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- ▶ Show  $\Gamma_\Sigma \subseteq \Gamma'_\Sigma$ :
- ▶ Show  $\Gamma'_\Sigma$  is compact: Suppose  $\Phi \in \Gamma'_\Sigma$  and  $\Psi$  is an arbitrary finite subset of  $\Phi$ . By definition of  $\Gamma'_\Sigma$  all finite subsets of  $\Phi$  are in  $\Gamma_\Sigma$  and therefore  $\Psi \in \Gamma_\Sigma$ . Thus all finite subsets of  $\Phi$  are in  $\Gamma'_\Sigma$  whenever  $\Phi$  is in  $\Gamma'_\Sigma$ .

On the other hand, suppose all finite subsets of  $\Phi$  are in  $\Gamma'_\Sigma$ . Then by the definition of  $\Gamma'_\Sigma$  the finite subsets of  $\Phi$  are also in  $\Gamma_\Sigma$ , so  $\Phi \in \Gamma_\Sigma$ .  
Thus  $\Gamma'_\Sigma$  is compact (and closed under subsets).

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  - ▶ Show  $\Gamma'_\Sigma$  is compact:
  - ▶ Show that  $\Gamma'_\Sigma$  satisfies  $\nabla_*$  whenever  $\Gamma_\Sigma$  satisfies  $\nabla_*$ :
- $\nabla_c$  Let  $\Phi \in \Gamma'_\Sigma$  and suppose there is an atom  $A$ , such that  $\{A, \neg A\} \subseteq \Phi$ .  $\{A, \neg A\}$  is clearly a finite subset of  $\Phi$  and hence  $\{A, \neg A\} \in \Gamma_\Sigma$  contradicting  $\nabla_c$  for  $\Gamma_\Sigma$ .

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  - ▶ Show that  $\Gamma'_\Sigma$  satisfies  $\nabla_*$  whenever  $\Gamma_\Sigma$  satisfies  $\nabla_*$ :
- $\nabla_c$  Let  $\Phi \in \Gamma'_\Sigma$ ,  $\neg\neg A \in \Phi$ ,  $\Psi$  be any finite subset of  $\Phi * A$ , and  $\Theta := (\Psi \setminus \{A\}) * \neg\neg A$ .  $\Theta$  is a finite subset of  $\Phi$ , so  $\Theta \in \Gamma_\Sigma$ . Since  $\Gamma_\Sigma$  is an abstract consistency class and  $\neg\neg A \in \Theta$ , we get  $\Theta * A \in \Gamma_\Sigma$  by  $\nabla_c$  for  $\Gamma_\Sigma$ . We know that  $\Psi \subseteq \Theta * A$  and  $\Gamma_\Sigma$  is closed under subsets, so  $\Psi \in \Gamma_\Sigma$ . Thus every finite subset  $\Psi$  of  $\Phi * A$  is in  $\Gamma_\Sigma$  and therefore by definition  $\Phi * A \in \Gamma'_\Sigma$ .

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- ▶ Show that  $\Gamma'_\Sigma$  satisfies  $\nabla_*$  whenever  $\Gamma_\Sigma$  satisfies  $\nabla_*$ :  
For  $\nabla_\beta, \nabla_\eta, \nabla_v, \nabla_\wedge, \nabla_\forall, \nabla_\exists, \nabla_\xi, \nabla_f, \nabla_b, \nabla_{\text{sat}}$  see the lecture notes.

## Abstract Extension Lemma



Lemma: Let  $\Sigma$  be a signature,  $\Gamma_\Sigma$  be a compact ACC in  $\mathfrak{Acc}_*$ , where  $* \in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$ , and let  $\Phi \in \Gamma_\Sigma$  be sufficiently pure. Then there exists a  $\Sigma$ -Hintikka set  $\mathcal{H} \in \mathfrak{Hint}_*$ , such that  $\Phi \subseteq \mathcal{H}$ . Furthermore, if  $\Gamma_\Sigma$  is saturated, then  $\mathcal{H}$  is saturated.

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  - If  $\mathcal{H}^n * A^n \notin \Gamma_\Sigma$ , we let  $\mathcal{H}^{n+1} := \mathcal{H}^n$ .
  - If  $\mathcal{H}^n * A^n \in \Gamma_\Sigma$ , then  $\mathcal{H}^{n+1} := \mathcal{H}^n * A^n * E^n * X^n$ , where  $X^n$ : If  $* \in \{\beta f, \beta f b\}$  and  $A^n$  is of the form  $\neg(F \doteq^{\alpha \rightarrow \beta} G)$ , let  $X^n := \neg(F w_\alpha^n \doteq^\beta G w_\alpha^n)$ .  
If  $* \in \{\beta\xi, \beta\xi b\}$  and  $A^n$  is of the form  $\neg((\lambda X_\alpha M) \doteq^{\alpha \rightarrow \beta} (\lambda X_\alpha N))$ , let  $X^n := \neg([w_\alpha^n / X] M \doteq^\beta [w_\alpha^n / X] N)$ . Otherwise, let  $X^n := A^n$ .



## Abstract Extension Lemma



Lemma: Let  $\Sigma$  be a signature,  $\Gamma_\Sigma$  be a compact ACC in  $\mathfrak{Acc}_*$ , where  $* \in \{\beta, \beta\eta, \beta\xi, \beta f, \beta b, \beta\eta b, \beta\xi b, \beta f b\}$ , and let  $\Phi \in \Gamma_\Sigma$  be sufficiently pure. Then there exists a  $\Sigma$ -Hintikka set  $\mathcal{H} \in \mathfrak{Hint}_*$ , such that  $\Phi \subseteq \mathcal{H}$ . Furthermore, if  $\Gamma_\Sigma$  is saturated, then  $\mathcal{H}$  is saturated.

Proof: (We only give the simplified idea; see lecture notes for details)

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generalize: the above only works for the countable case; in the lecture notes we use transfinite induction for the general case

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params  $w_\alpha^n$ : need to prove that always fresh parameters exists



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  - Since  $\Gamma_\Sigma$  is compact, we also have  $\mathcal{H} \in \Gamma_\Sigma$ .

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  - Then we show by induction that  $\mathcal{H}^n \in \Gamma_\Sigma$  for all  $n$ .
  - Since  $\Gamma_\Sigma$  is compact, we also have  $\mathcal{H} \in \Gamma_\Sigma$ .
  - Hence  $\Phi \subseteq \mathcal{H}$  and  $\mathcal{H} \in \Gamma_\Sigma$ .
  - Remains to show that  $\mathcal{H}$  is (subset) maximal in  $\Gamma_\Sigma$  and that  $\mathcal{H}$  is indeed a Hintikka set.

## Hintikka Sets



- Hintikka sets connect syntax with semantics as they provide the basis for the model constructions in the model existence theorem(s).

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## Hintikka Sets



- Hintikka sets connect syntax with semantics as they provide the basis for the model constructions in the model existence theorem(s).
- We have defined eight different notions of abstract consistency classes by first defining properties  $\nabla_*$ , then specifying which should hold in  $\text{Acc}_*$ .
- Similarly, we define Hintikka sets by first defining the desired properties.

## Hintikka Properties



Defn.: Let  $\mathcal{H}$  be a set of sentences. We define the following properties which  $\mathcal{H}$  may satisfy, where  $A, B \in \text{cwff}_o(\Sigma)$ ,  $C, D \in \text{cwff}_\alpha(\Sigma)$ ,  $F \in \text{cwff}_{\alpha \rightarrow o}(\Sigma)$ , and  $(\lambda X_\alpha.M), (\lambda X.N), G, H \in \text{cwff}_{\alpha \rightarrow \beta}(\Sigma)$ :

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$\vec{\nabla}_c$   $A \notin \mathcal{H}$  or  $\neg A \notin \mathcal{H}$ .

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- $\vec{\nabla}_\beta$  If  $\mathbf{A} \in \mathcal{H}$  and  $\mathbf{A} =_\beta \mathbf{B}$ , then  $\mathbf{B} \in \mathcal{H}$ .
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- $\vec{\nabla}_\forall$  If  $\Pi^\alpha \mathbf{F} \in \mathcal{H}$ , then  $\mathbf{F}\mathbf{W} \in \mathcal{H}$  for each  $\mathbf{W} \in \text{cwff}_\alpha(\Sigma)$ .

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- $\vec{\nabla}_\forall$  If  $\Pi^\alpha \mathbf{F} \in \mathcal{H}$ , then  $\mathbf{F}\mathbf{W} \in \mathcal{H}$  for each  $\mathbf{W} \in \text{cwff}_\alpha(\Sigma)$ .
- $\vec{\nabla}_\exists$  If  $\neg \Pi^\alpha \mathbf{F} \in \mathcal{H}$ , then there is a parameter  $w_\alpha \in \Sigma_\alpha$  such that  $\neg(\mathbf{F}w) \in \mathcal{H}$ .

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- $\vec{\nabla}_b$  If  $\neg(\mathbf{A} \doteq^0 \mathbf{B}) \in \mathcal{H}$ , then  $\{\mathbf{A}, \neg \mathbf{B}\} \subseteq \mathcal{H}$  or  $\{\neg \mathbf{A}, \mathbf{B}\} \subseteq \mathcal{H}$ .

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- $\vec{\nabla}_\eta$  If  $\mathbf{A} \in \mathcal{H}$  and  $\mathbf{A} \stackrel{\beta\eta}{=} \mathbf{B}$ , then  $\mathbf{B} \in \mathcal{H}$ .

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- $\vec{\nabla}_f$  If  $\neg(\mathbf{G} \doteq^{\alpha \rightarrow \beta} \mathbf{H}) \in \mathcal{H}$ , then there is a parameter  $w_\alpha \in \Sigma_\alpha$  such that  $\neg(\mathbf{G}_w \doteq^\beta \mathbf{H}_w) \in \mathcal{H}$ .

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- $\vec{\nabla}_\eta$  If  $\mathbf{A} \in \mathcal{H}$  and  $\mathbf{A} \stackrel{\beta\eta}{=} \mathbf{B}$ , then  $\mathbf{B} \in \mathcal{H}$ .
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- $\vec{\nabla}_f$  If  $\neg(\mathbf{G} \doteq^{\alpha \rightarrow \beta} \mathbf{H}) \in \mathcal{H}$ , then there is a parameter  $w_\alpha \in \Sigma_\alpha$  such that  $\neg(\mathbf{G}_w \doteq^\beta \mathbf{H}_w) \in \mathcal{H}$ .
- $\vec{\nabla}_{\text{sat}}$  Either  $\mathbf{A} \in \mathcal{H}$  or  $\neg\mathbf{A} \in \mathcal{H}$ .

## $\Sigma$ -Hintikka Set

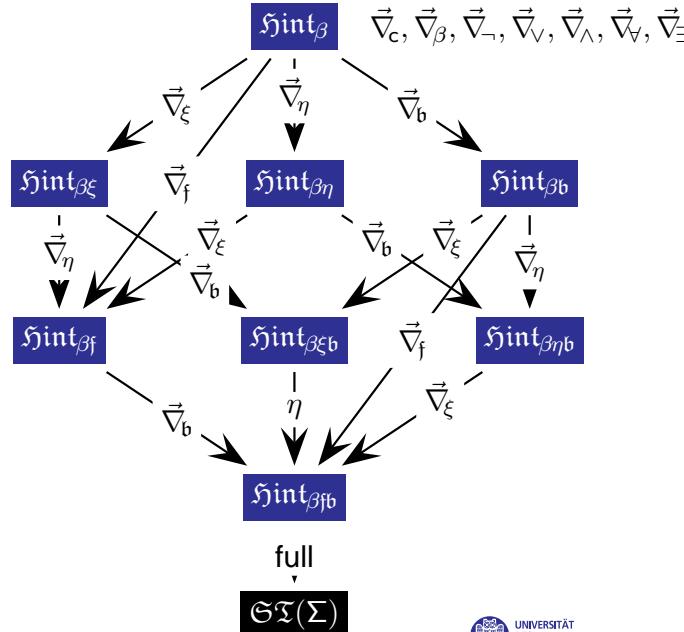
Defn.: A set  $\mathcal{H}$  of sentences is called a  $\Sigma$ -**Hintikka set** if it satisfies  $\vec{\nabla}_c$ ,  $\vec{\nabla}_{\neg}$ ,  $\vec{\nabla}_\beta$ ,  $\vec{\nabla}_V$ ,  $\vec{\nabla}_\wedge$ ,  $\vec{\nabla}_\forall$  and  $\vec{\nabla}_\exists$ .

## $\Sigma$ -Hintikka Set

Defn.: A set  $\mathcal{H}$  of sentences is called a  $\Sigma$ -**Hintikka set** if it satisfies  $\vec{\nabla}_c$ ,  $\vec{\nabla}_{\neg}$ ,  $\vec{\nabla}_\beta$ ,  $\vec{\nabla}_V$ ,  $\vec{\nabla}_\wedge$ ,  $\vec{\nabla}_\forall$  and  $\vec{\nabla}_\exists$ .

- We define the following collections of Hintikka sets:  $\text{Hint}_\beta$ ,  $\text{Hint}_{\beta\eta}$ ,  $\text{Hint}_{\beta\xi}$ ,  $\text{Hint}_{\beta f}$ ,  $\text{Hint}_{\beta b}$ ,  $\text{Hint}_{\beta\eta b}$ ,  $\text{Hint}_{\beta\xi b}$ , and  $\text{Hint}_{\beta f b}$ , where we indicate by indices which additional properties from  $\{\vec{\nabla}_\eta, \vec{\nabla}_\xi, \vec{\nabla}_f, \vec{\nabla}_b\}$  are required.

## $\Sigma$ -Hintikka Sets



## Model Ex. Thm for Saturated H.-Sets

Thm.: (Model Existence Theorem for Saturated Hintikka Sets)  
 For all  $* \in \{\dots\}$  we have: If  $\mathcal{H}$  is a saturated Hintikka set in  $\text{Hint}_*$ , then there exists a model  $\mathcal{M} \in \mathfrak{M}_*$  that satisfies  $\mathcal{H}$ .  
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►  $\mathcal{M}_1^{\mathcal{H}}$  is based on term evaluation

$\mathcal{T}\mathcal{E}(\Sigma)^\beta := (\text{cwff}(\Sigma)|_{\beta}, @^\beta, \mathcal{E}^\beta)$  where

-  $\text{cwff}(\Sigma)|_{\beta}$ : closed well-formed formulae in  $\beta$ -normal form

-  $\mathbf{A} @^\beta \mathbf{B} := (\mathbf{A}\mathbf{B})|_{\beta}$

-  $\mathcal{E}^\beta(\mathbf{A}) := \sigma(\mathbf{A})|_{\beta}$

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$$\triangleright v(\mathbf{A}) := \begin{cases} \text{T} & \text{if } \mathbf{A} \in \mathcal{H} \\ \text{F} & \text{if } \mathbf{A} \notin \mathcal{H} \end{cases}$$



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- way out: use congruence relation  $\sim$  on  $\mathcal{M}_1^\mathcal{H}$

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- then show that  $\mathcal{M}$  'does the job'

## Further Reading



- [Chris-PhD-99] C. Benzmüller: Equality and Extensionality in Higher-Order Theorem Proving. Doctoral Thesis, Computer Science, Saarland University, 1999.

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## Thank You!

