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# **A LIST OF QUESTIONS**

For transparency and replicability, we list all evaluated questions of the survey including their exact phrasing. We exclude a small number of questions about power structures, community health, and motivation that we have not used in this paper.

### Part I: Ecosystem.

- Please choose ONE software ecosystem\* in which you publish a package\*\*. If you don't publish any packages, then pick an ecosystem whose packages you use.
  - \* "Software ecosystem" = a community of people using and developing packages that can depend on each other, using some shared language or platform
  - \*\* "Package": A distributable, separately maintained unit of software. Some ecosystems have other names for them, such as "libraries", "modules", "crates", "cocoapods", "rocks" or "goodies", but we'll use "package" for consistency. [selection or textfield, substituted for <ecosystem> in remainder of survey]

#### **Ecosystem Role.**

- Check the statement that best describes your role in this ecosystem.
  - I'm a founder or core contributor to <ecosystem> (i.e. its language, platform, or repository).
  - I'm a lead maintainer of a commonly-used package in <ecosystem>.
  - I'm a lead maintainer of at least one package in <ecosystem>.
  - I have commit access to at least one package in <ecosystem>.
  - I have submitted a patch or pull request to a package in <ecosystem>.
  - I have used packages from <ecosystem> for code or scripts I've written.
- About how many years have you been using <ecosystem> in any way?
  - < 1 year
  - 1 2 years
  - 2 5 years
  - 5 10 years
  - 10 20 years
  - > 20 years

# Ecosystem values.

- "How important do you think the following values are to the <ecosystem> community? (Not to you personally; we'll ask that separately.)" see Section 3.1 for the 11 value questions; **results shown in Figure 1**.
- How confident are you in your ratings of the values of <ecosystem> above?
  - Not confident
  - Slightly confident
  - Confident
  - Very confident
- "Is there some other value the <ecosystem> community emphasizes that was not asked above? If so, describe it here:"

#### Part II: Package.

- In the following we are going to ask about your experience working on one particular package. Please think of one package in <ecosystem> you have contributed to recently and are most familiar with. If you haven't contributed to a package in <ecosystem>, then name some software you've written that relies on packages in <ecosystem> packages. You may use a pseudonym for it if you are concerned about keeping your responses anonymous. [text fields, substituted for <package> in remainder of survey]
- Do you submit the package you chose to a/the repository associated with <ecosystem>? (Choose "no" if the ecosystem does not have its own central repository.) [yes/no]
- Is there any software maintained by other people that depends on the package you chose? — [yes/no]
- Is the package you chose installed by default as part of a standard basic set of packages or platform tools? [yes/no]
- "How important are each of these values in development of <package> to you personally?" – see Section 3.1 for the 11 value questions; results shown in Appendix B.
- (OPTIONAL) Is there some other value important to you personally for <package> which was not mentioned? — [text fields]
- How often do you face breaking changes from any upstream dependencies (that require rework in <package>)?

# - results shown in Figure 3a

- Never
- Less than once a year
- Several times a year
- Several times a month
- Several times a week
- Several times a day
- How often do you make breaking changes to <package>?
   (i.e. changes that might require end-users or downstream packages to change their code) [frequency scale as above] results shown in Figure 2a

#### Making changes to <package>.

- I feel constrained not to make too many changes to <package> because of
- potential impact on users. results shown in Figure 2b
  - Strongly agree
  - Somewhat agree
  - Neither agree nor disagree
  - Somewhat disagree
  - Strongly disagree
  - I don't know
- I know what changes users of package> want. [agreement+don't know scale as above]
- If I have multiple breaking changes to make to <package>, I try to batch them up into a single release. [agreement+don't know scale as above] results shown in Figure 2d
- I release <package> on a fixed schedule, which <package> users are aware of. [agreement+don't know scale as above] results shown in Figure 2j

- Releases of <package> are coordinated or synchronized with releases of packages by other authors. — [agreement+don't know scale as above] results shown in Figure 2i
- When working on <package>, I make technical compromises to maintain backward compatibility for users. —
  [agreement+don't know scale as above] results shown in Figure 2c
- When working on <package>, I often spend extra time working on extra code aimed at backward compatibility. (e.g. maintaining deprecated or outdated methods) [agreement+don't know scale as above]
- When working on <package>, I spend extra time backporting changes, i.e. making similar fixes to prior releases of the code, for backward compatibility. [agreement+don't know scale as above]

# Releasing Packages.

- A large part of the community releases updates/revisions to packages together at the same time. — [agreement+don't know scale as above]
- A package has to a meet strict standards to be accepted into the repository. — [agreement+don't know scale as above] results shown in Figure 2k
- Most packages in <ecosystem> will sometimes have small updates without changing the version number at all. — [agreement+don't know scale as above]
- Most packages in <ecosystem> with version greater than 1.0.0 increment the leftmost digit of the version number if the change might break downstream code. — [agreement+don't know scale as above]
- I sometimes release small updates of <package> to users without changing the version number at all. [agreement scale, without 'don't know'] results shown in Figure 2g
- For my packages whose version is greater than 1.0.0, I always increment the leftmost digit if a change might break downstream code (semantic versioning). [agreement as above] results shown in Figure 2f
- When making a change to <package>, I usually write up an explanation of what changed and why (a change log). —
   [agreement as above] results shown in Figure 2e
- When working on <package>, I usually communicate with
  users before performing a change, to get feedback or alert
  them to the upcoming change. [agreement as above]
  results shown in Figure 2h
- When making a breaking change on <package>, I usually create a migration guide to explain how to upgrade. [agreement as above]
- After making a breaking change to <package>, I usually assist one or more users individually to upgrade. (e.g. reaching out to affected users, submitting patches/pull requests, offering help) [agreement as above]

#### Part IV: Dependencies.

• In the last 6 months I have participated in discussions, or made bug/feature requests, or worked on development of another package in <ecosystem> that one of my packages depends on. — [yes/no]

- Have you contributed code to an upstream dependency of one of your packages in the last 6 months (one where you're not the primary developer)? [yes/no]
- About how often do you communicate with developers of packages you depend on (e.g. participating in mailing lists, conferences, twitter conversations, filing bug reports or feature requests, etc.)? [frequency scale, as above] results shown in Figure 3f

For most dependencies that my packages rely on, the way I typically become aware of a change to the dependency that might break my package is:

- I read about it in the dependency project's internal media (e.g. dev mailing lists, not general public announcements)
   [agreement scale, as above]
- I read about it in the dependency project's external media (e.g. a general announcement list, blog, twitter, etc) [agreement scale, as above]
- A developer typically contacts me personally to bring the change to my attention — [agreement scale, as above] results shown in Figure 3e
- Typically I get a notification from a tool when a new version of the dependency is likely to break my package —
  [agreement scale, as above] results shown in Figure 3f
- Typically, I find out that a dependency changed because something breaks when I try to build my package. — [agreement scale, as above] results shown in Figure 3g
- How do you typically declare the version numbers of packages that <package> depends results shown in Figure 3i
  - I specify an exact version number
  - I specify a range of version numbers, e.g. 3.x.x, or [2.1 through 2.4]
  - I specify just a package name and always get the newest version
  - I specify a range or just the name, but I take a snapshot of dependencies (e.g. shrinkwrap, packrat)
- What is the common practice in <ecosystem> for declaring version numbers of dependencies? [same scale as previous + "don't know"]

# Using or avoiding dependencies.

- When adding a dependency to <package>, I usually do significant research to assess the quality of the package or its maintainers, before relying on a package that seems to provide the functionality I need. [agreement scale, as above] results shown in Figure 3d
- It's only worth adding a dependency if it adds a substantial amount of value. — [agreement scale, as above] results shown in Figure 3c
- I often choose NOT to update <package> to use the latest version of its dependencies. — [agreement scale, as above] results shown in Figure 3h
- When adding a dependency, I usually create an abstraction layer (i.e., facade, wrapper, shim) to protect internals of my code from changes. — [agreement scale, as above]
- When working on <package>, I often copy or rewrite segments of code from other packages into my package, to

- avoid creating a new dependency. [agreement scale, as above]  $\,$
- When working on <package>, I must expend substantial
  effort to find versions of all my dependencies that will work
  together. [agreement scale, as above]
- (OPTIONAL) Compare <ecosystem> with other ecosystems you've used or heard about does one have some features that the other should adopt? If so, name the other ecosystem(s) and describe the feature(s). [text field]
- (OPTIONAL) Why do you think people chose to design these other ecosystem(s) differently from <ecosystem>? — [text field]

# Part V: Demographics and motivations.

- Age
  - 18-24
  - 25-34
  - 35-44
  - 45-54
  - 55-64

- 65+
- Gender [male/female/other]
- Formal computer science education/training
  - None
  - Coursework
  - Degree
- How many years have you been contributing to open source? (in any way, including writing code, documentation, engaging in discussions, etc) — [same time scale as "years used ecosystem" above]
- How many years have you been developing or maintaining software? — [same as previous]
- (OPTIONAL) Is there anything else we should have asked, that would help us better understand your experience with community values and breaking changes in <ecosystem> If so, tell us about it: — [text field]

### **B PLOTS OF ADDITIONAL RESULTS**

Figure 4 plots personal values for each ecosystem.

Stability	Innovation	Replicab.	Compatib.	Rapid Acc.	Quality	Commerce	Community	Openness	Curation	Fun
Atori Cocc Node Pyto R/CI Grah	Mav y Aton n Cocc n R/CF R H/St Go R R R H/St H/St R H/St R R R R R R R R R R R R R R R R R R R	## Wind High High High High High High High High	R	Node	Erla.  PHP  R/CI  NO  NO  R/CI  NO  NO  NO  NO  NO  NO  NO  NO  NO  N	NuG Node Eclip Cocco Number H/St Number H/	Go Erla.  De Erla.  H/St Eclip H/St Cocc  Perl May May May May May May Ruby  May May May Ruby  May May PHP  De Ruby  May May May May May May May Ruby  May	Cocc Grlan Declip Decli	D. Rub Perl Perl NuC Noc Noc Pytr Ato O PHI H/S Lua	R

<sup>1:</sup> not important or opposed, 2: somewhat important, 3: important, 4: very important, 5: extremely important; plots show smoothed distribution; diamond indicates mean

Figure 4: Personal values.