

# Homework 1

Charlotte Braswell

September 22, 2023

**1. Discuss the advantages and disadvantages of satellite-based applications of climate change, focusing on physical principles. Limit: 2 pages**

The Global Climate Observing System (GCOS) provides physical, chemical, and biological requirements to enable the accurate and timely analysis of climate variability and change (Karl et al. (2010)). In 2022, GCOS published an updated list of 55 Essential Climate Variables (ECV) and associated products deemed critical to understanding climate change. With each ECV, the report lists the required spatial and temporal resolution, associated notes, and often mentions generic collection parameters. Approximately half of the published ECVs mention the practicality of satellite based remote sensing for the specific product. One product, lake water extent, is reportedly only achievable with satellite based remote sensing (Organization (WMO), United Nations Educational, Commission (IOC), Programme (UNEP), and Council (ISC) (n.d.)). Alongside the consistent mentions of using remote sensing to monitor ECVs, there are many comments about ensuring consistency across satellite generations. Since climate change is described as a long-term study, consistency in producible products (and produced products) is required to ensure consistent time series observations (Karl et al. (2010)). The lifespan of a single satellite system may not provide sufficient usable data for climate change studies. Therefore, when a satellite is decommissioned, destroyed, or otherwise rendered inoperable, climate change studies rely on replacement satellites to collect data enabling product creation that is comparable to previous satellites. Similarly, overlap is desirable between satellite systems nearing replacement to ensure accuracy, consistency between products, and validate any data quality deviations between systems (Karl et al. (2010)). Lastly, satellite-based remote sensing products may not have the desired revisit times to enable the required temporal resolution desirable for the specific ECV. Using Lake Water Extent as an example, the goal temporal resolution is 5 days, but the threshold resolution is 30 days. The goal temporal resolution is achievable when considering the entirety of remote sensing satellites capable of collecting this data. However, LANDSAT-8 has a revisit time of 16 days, and Sentinel-2 is 10 days (5 days with combined constellation). Although this problem is conquerable assuming similar coverage in the future, several ECVs also require hourly or minutely data.

Transitioning to a discussion on specific physical principles for a subset of the ECVs, snow and ice cover are frequently mentioned in climate change studies. To monitor snow, the Normalized-Difference Snow Index (NDSI) was considered in the development of MODIS and crucial in the development of MODIS Snow Cover Product (D. K. Hall and Riggs (2011)).

This index is focused on snow’s highly reflective nature in the visible spectrum and highly adsorptive in NIR or SWIR. By capturing within the visible spectrum and SWIR, snow is able to be differentiated from clouds, which maintain a high reflectance for the selected portion of the electromagnetic spectrum in Figure 1.

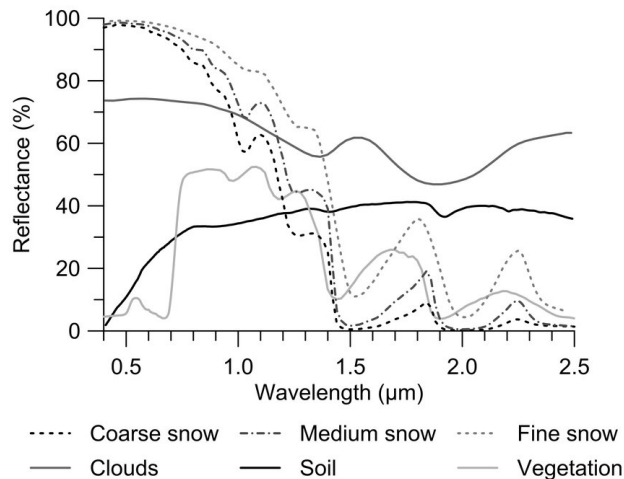


Figure 1: Spectral Reflectance of Snow, Soil, and Vegetation (Dong (2018))

Using MODIS as an example, MODIS band 4 (centered on  $.555\mu\text{m}$ ) and band 6 (centered on  $1.24\mu\text{m}$ ) are used to calculate the NDSI (Dong (2018)). However, the NDSI’s reliance on only two bands leaves it vulnerable to mislabeling pixels, namely cirrus clouds and areas within the tropics. To combat false positives in areas that cannot possibly have snow, MODIS uses a specific value derived from the brightness temperature difference,  $BT_{11} - BT_{3.9}$  (D. Hall, Riggs, and Salomonson (n.d.)), which is stored in Bit 19 of the MODIS’ cloud mapping product. Therefore, to remove false positives in tropical areas, the snow cover product also requires collection that either allows a similar calculation or similar collection (MODS band 22:  $3.9\mu\text{m}$ , MODUS band 31:  $11.03\mu\text{m}$ ). To combat mislabeling pixels as Cirrus clouds, MODIS band 26 (centered on  $1.38\mu\text{m}$ ) is utilized, and the output is also available in MODIS’ cloud mapping product. Using all of the bands, MODIS obtains an error expected to be around 7.5 percent (Dong (2018)) with a 1000m resolution and a 2-day temporal resolution. The ECV for snow cover requires a threshold resolution of 48 hours (2 days), a horizontal resolution of 1000 m, and the threshold time delivery for the product to be 240 hours (10 days) (Organization (WMO) et al. (n.d.)). The ECV’s goals are more ambitious, requiring a spatial resolution of 50 meters with a temporal resolution of 6 hours. Although this ECV’s threshold is entirely met by MODIS, the goals are not met by MODIS, but there are other platforms that could be evaluated.

2. **Identify satellite sensor specification for fire, aerosol, Land Surface Temperature (LST), and vegetation monitoring from space. Discuss satellite, sensor name, orbit, swath, spatial resolution, band center and width, spectral radian, required Signal-To-Noise (SNR) Ratio or Noise-equivalent temperature difference (NE( $\Delta$ )T).**

Launched in 1999 and 2002, Terra and Aqua satellites orbit Earth in a near polar orbit with the Moderate Resolution Imaging Spectrometer (MODIS) sensor (Qu, Gao, Kafatos, Murphy, and Salomonson (2006)). MODIS was designed to facilitate monitoring of numerous geophysical parameters with its' 36 bands and scan swath of 2,330 kilometers, which extends 10 kilometers at nadir (Qu et al. (2006)). Using MODIS collected-data, NASA produces a variety of products, such as Thermal Anomalies - Active Fires, Aerosol, Vegetation Indices, and Land Surface Temperature and Emissivity products (*MODIS* (n.d.)). Each product implements a unique algorithm and is reliant on different MODIS bands. For the Thermal Anomalies - Active Fires product, the algorithm is reliant on bands 1, 2, 7, 21, 22, 31, and 32 (Giglio, Schroeder, and Justice (2016)). MODIS Bands 21, 22, and 31 all assist with active fire detection; however, bands 21 and 22 only support fire detection within the algorithm. Within the MODIS Level 1 ATBDs, Band 21 is listed as primarily supporting fire detection and its' calibration is discussed heavily due to special circumstances (Xiong et al. (2013)). Bands 1, 2, 7, and 32 are used to create cloud masks, identify sun glint or bright surfaces, or assist with rejections (Giglio et al. (2016)). NASA's Aerosol product uses MODIS bands 1-7, as well as band 26 for cirrus correction (Levy, Remer, Tanre, Mattoo, and Kaufman (2009)). Currently, NASA produces two vegetation index (VI) products using MODIS: (1) the Normalized Difference Vegetation Index (NDVI) and (2) the Enhanced Vegetation Index (EVI). MODIS Bands 1 (250m spatial resolution, red) and 2 (250m spatial resolution, NIR) are mentioned to be critical to both VI products. Given that NDVI is calculated using two bands (near infrared and red), this is expected. Combined, the ATBDs for both VI products use bands 1-7 (Huete, Justice, and van Leeuwen (1999)). Similar to fire, additional bands are included to assist with cloud masks, atmospheric correction, reflection signatures, etc. Finally, NASA produces two separate LST and emissivity products, MOD11 and MOD21. MOD11 was determined to underestimate the LST in desert regions; however, MOD21 does not experience the same inaccuracies (*MODIS Land Surface Temperature and Emissivity (MOD11)* (n.d.)). MOD11 remains published due to user's requesting continued publication; however, MOD21 is considered to provide greater worldwide accuracy (*MODIS Land Surface Temperature and Emissivity (MOD11)* (n.d.)). Depending on the specific LST product,

bands 20, 22, 23, 29, 31, 32, and 33 may be used (Hulley, Malakar, and Freepartner (2016)). MOD11 uses MODIS bands 20, 22, 23, 29, 31-33; however, MOD21 only uses MODIS thermal infrared bands 29, 31, and 32 (Hulley et al. (2016)).

The exact specifications for the bands are listed in Table 1, which matches the requirements listed in the ATBD.

Table 1: MODIS Bands (Qu et al. (2006))

Band	Width( $\mu\text{m}$ )	Center( $\mu\text{m}$ )	Spatial Res(m)	Spectral Radiance	SNR	NE $\Delta$ T(K)
1	.62 - .67	.645	250	21.8	128	-
2	.841 - .876	.8585	250	24.7	201	-
3	.459 - .479	.469	500	35.3	243	-
4	.545 - .565	.555	500	29.0	228	-
5	1.230 - 1.250	1.24	500	5.4	74	-
6	1.628 - 1.652	1.64	500	7.3	275	-
7	2.105 - 2.155	2.13	500	1.0	110	-
8	.405 - .420	.4125	1000	44.9	880	-
9	.438 - .448	.443	1000	41.9	838	-
10	.483 - .493	.488	1000	32.1	802	-
11	.526 - .536	.531	1000	27.9	754	-
12	.546 - .556	.551	1000	21.0	750	-
13	.662 - .672	.667	1000	9.5	910	-
14	.673 - .683	.678	1000	8.7	1087	-
15	.743 - .753	.748	1000	10.2	586	-
16	.862 - .877	.8695	1000	6.2	516	-
17	.890 - .920	.905	1000	10.0	167	-
18	.931 - .941	.936	1000	3.6	57	-
19	.915 - .965	.94	1000	15.0	250	-
20	3.660 - 3.840	3.75	1000	.45	-	.05
21	3.929 - 3.989	3.959	1000	2.38	-	2.0
22	3.929 - 3.989	3.959	1000	.67	-	.07
23	4.020 - 4.080	4.05	1000	.79	-	.07
24	4.433 - 4.498	4.4655	1000	.17	.25	-
25	4.482 - 4.549	4.5155	1000	.59	.25	-
26	1.360 - 1.390	1.375	1000	6	150	-
27	6.535 - 6.895	6.715	1000	1.16	.25	-
28	7.175 - 7.475	7.325	1000	2.18	.25	-
29	8.400 - 8.700	8.55	1000	9.58	.05	-
30	9.580 - 9.880	9.73	1000	3.69	.25	-
31	10.780 - 11.280	11.03	1000	9.55	.05	-
32	11.770 - 12.270	12.02	1000	8.94	.05	-
33	13.185 - 13.485	13.335	1000	4.52	.25	-
34	13.485 - 13.785	13.635	1000	3.76	.25	-
35	13.785 - 14.085	13.935	1000	3.11	.25	-
36	14.085 - 14.385	14.235	1000	2.08	.35	-

**3. Review an ATBD and An Introduction to Atmospheric Radiation Chapter 1. Write a summary about Blackbody law applications on the associated ATBD.**

Chapter 1 discusses 4 blackbody radiation laws: Planck's, Stefan-Boltzmann, Wien's Displacement, and Kirchoff's. Planck's law represents the intensity of a blackbody at a specific temperature and wavelength. The Stefan-Boltzmann Law provides the total intensity of a blackbody, and Wien's Displacement provides the wavelength where the intensity of blackbody radiation is the strongest. Lastly, Kirchoff's law states emissivity of a given wavelength is equal to the absorptivity.

NOAA's Visible Infrared Imaging Radiometer Suite (VIIRS) collects data on 22 spectral bands, which vary from  $.412 \mu\text{m}$  to  $12.01 \mu\text{m}$ . From the Sensor Data Record, VIIRS produces 23 Environmental Data Records (EDRs), which support products such as aerosol monitoring, active fire detection, and sea surface temperature. Reviewing the NOAA ATBD which "provides guidelines for the production of calibrated top of atmosphere (TOA) radiances, calibrated TOA reflectances, and calibrated TOA brightness temperatures from VIIRS Raw Data Records (RDR)", it is clear that blackbody radiation laws are critical to properly performing radiometric calibration (*Joint Polar Satellite System (JPSS) Visible Infrared Imaging Radiometer Suite (VIIRS) Sensor Data Records (SDR) Algorithm Theoretical Basis Document (ATBD)* (n.d.)). When conducting pre-launch calibration, Planck's formula is used to validate the Blackbody Calibration Source (BCS) to validate it is a near-perfect blackbody. Similarly, Planck's Function is used alongside the On-Board Calibrator Blackbody (OBCBB) to ensure that it has an emissivity of 1.0 (i.e., almost a perfect blackbody). When calculating the irradiance for the field stop from an emissive source, Planck's Function is one of the four factors listed (*Joint Polar Satellite System (JPSS) Visible Infrared Imaging Radiometer Suite (VIIRS) Sensor Data Records (SDR) Algorithm Theoretical Basis Document (ATBD)* (n.d.)).

**4. An infrared scanning radiometer aboard a meteorological satellite measures the outgoing radiation emitted from the earth's surface in the  $10 \mu\text{m}$  window region. Assuming that the effect of the atmosphere between the satellite and the surface can be neglected, what would be the temperature of the surface if the observed radiance at  $10 \mu\text{m}$  is  $9.8 \text{Wm}^{-2} \mu\text{m}^{-1} \text{sr}^{-1}$ .**

Since we have the observed radiance ( $9.8 \text{Wm}^{-2} \mu\text{m}^{-1} \text{sr}^{-1}$ ) and central wavelength ( $10 \mu\text{m}$ ) but desire Temperature, the inverse Planck function can be used. The answer is 299.2 K.

$T = (C_2 / (\ln((C_1 / I_\lambda) + 1)))$ , where

$C_2 = (hc)/(k\lambda)$  is the second radiation constant and

$C_1 = 2hc^2\lambda^{-5}$  is the first radiation constant

```
import math
h = 6.626068 * (10**-34) #joules/sec
c = 2.997925 * (10**8) #m/s
K = 1.38066 * (10**-23) #joules/degree
lambda_ = 10**-5 # meters
C1 = 2*h*c*c*(lambda_)**-5 #Watts /
C2 = (h*c)/(lambda_*K)
I = 9.8 / (10**-6) # divide by 1 * 10^-6 to convert mum to
    meters to be compatible with K1

print(C1) #1191042919.6552804 W m^-3 sr^-1
print(C2) #1438.7651491967606 K

T = C2/math.log((C1/I) + 1)

print(T) #299.21931528251974
```



$$B_{\lambda} = \frac{2hc^2 \lambda^{-5}}{e^{hc/k\lambda T} - 1}$$

$$B_{\lambda} e^{hc/k\lambda T} - 1 = \frac{2hc^2 \lambda^{-5}}{B_{\lambda}}$$

$$e^{hc/k\lambda T} = \frac{2hc^2 \lambda^{-5}}{B_{\lambda}} + 1$$

Note:  $y = e^x \Rightarrow x = \ln(y)$

$$\frac{hc}{k\lambda T} = \ln\left(\frac{2hc^2 \lambda^{-5}}{B_{\lambda}} + 1\right)$$

$$T = \ln\left(\frac{2hc^2 \lambda^{-5}}{B_{\lambda}} + 1\right)^{-1} \left(\frac{hc}{k\lambda T}\right)$$

## References

- Dong, C. (2018, April). Remote sensing, hydrological modeling and in situ observations in snow cover research: A review. *Journal of Hydrology*, 561. doi: 10.1016/j.jhydrol.2018.04.027
- Giglio, L., Schroeder, W., & Justice, C. O. (2016, June). The collection 6 MODIS active fire detection algorithm and fire products. *Remote Sensing of Environment*, 178, 31–41. Retrieved 2023-09-19, from <https://www.sciencedirect.com/science/article/pii/S0034425716300827> doi: 10.1016/j.rse.2016.02.054
- Hall, D., Riggs, G., & Salomonson, V. (n.d.). *Algorithm Theoretical Basis Document (ATBD) for the MODIS Snow and Sea Ice-Mapping Algorithms*. Retrieved 2023-09-21, from <https://modis-snow-ice.gsfc.nasa.gov/?c=atbd>
- Hall, D. K., & Riggs, G. A. (2011). Normalized-Difference Snow Index (NDSI). In V. P. Singh, P. Singh, & U. K. Haritashya (Eds.), *Encyclopedia of Snow, Ice and Glaciers* (pp. 779–780). Dordrecht: Springer Netherlands. Retrieved 2023-09-21, from [https://doi.org/10.1007/978-90-481-2642-2\\_376](https://doi.org/10.1007/978-90-481-2642-2_376)
- Huete, A., Justice, C., & van Leeuwen, W. (1999, April). *MODIS VEGETATION INDEX (MOD 13)*. National Aeronautics and Space Administration.
- Hulley, G., Malakar, N., & Freepartner, R. (2016, December). *Land Surface Temperature and Emissivity Product (MxD21) Algorithm Theoretical Basis Document*. National Aeronautics and Space Administration.
- Joint Polar Satellite System (JPSS) Visible Infrared Imaging Radiometer Suite (VIIRS) Sensor Data Records (SDR) Algorithm Theoretical Basis Document (ATBD)*. (n.d.). National Oceanic and Atmospheric Administration.
- Karl, T. R., Diamond, H. J., Bojinski, S., Butler, J. H., Dolman, H., Haeberli, W., ... Zillman, J. (2010). Observation needs for climate information, prediction and application: capabilities of existing and future observing systems. *Procedia Environmental Sciences*, 1, 192–205. Retrieved 2023-09-20, from <https://www.zora.uzh.ch/id/eprint/40457/> (Publisher: Elsevier) doi: 10.1016/j.proenv.2010.09.013
- Levy, R., Remer, L., Tanre, D., Mattoo, S., & Kaufman, Y. (2009, February). *ALGORITHM FOR REMOTE SENSING OF TROPOSPHERIC AEROSOL OVER DARK TARGETS FROM MODIS*. National Aeronautics and Space Administration.
- MODIS*. (n.d.). Retrieved 2023-09-19, from <https://modis.gsfc.nasa.gov/data/dataproduct/>
- MODIS Land Surface Temperature and Emissivity (MOD11)*. (n.d.). Retrieved 2023-09-20,

- from <https://modis.gsfc.nasa.gov/data/dataproduct/mod11.php>
- Organization (WMO), W. M., United Nations Educational, S. a. C. O. U., Commission (IOC), I. O., Programme (UNEP), U. N. E., & Council (ISC), I. S. (n.d.). *The 2022 GCOS ECVs Requirements (GCOS 245)*. Retrieved 2023-09-20, from <https://library.wmo.int/records/item/58111-the-2022-gcos-ecvs-requirements-gcos-245>
- Qu, J. J., Gao, W., Kafatos, M., Murphy, R. E., & Salomonson, V. V. (Eds.). (2006). *Earth Science Satellite Remote Sensing*. Berlin, Heidelberg: Springer. Retrieved 2023-09-19, from <http://link.springer.com/10.1007/978-3-540-37293-6>
- Xiong, J., Toller, G., Sun, J., Wenny, B., Angal, A., & Barnes, W. (2013, June). *MODIS Level 1B Algorithm Theoretical Basis Document*. National Aeronautics and Space Administration.